

FINANCIAL SECTOR CONDUCT AUTHORITY

NO. 2815

2 December 2022

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**AMENDMENT OF THE QUALIFICATIONS, EXPERIENCES AND CRITERIA FOR APPROVAL AS COMPLIANCE OFFICER, 2022**

I, Unathi Kamlana, hereby, under section 17(2) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), promulgate amendments to the Notice on Qualifications, Experiences and Criteria for the Approval as a Compliance Officer, 2010, in terms of section 17(2) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), as set out in the Schedule hereto.



**UNATHI KAMLANA
COMMISSIONER
FINANCIAL SECTOR CONDUCT AUTHORITY**

SCHEDULE

Interpretation

1. In this Schedule “**the Notice**” means the Notice on Qualifications, Experiences and Criteria for the Approval as a Compliance Officer, 2010, as published by Board Notice 127 of 2010 in Government *Gazette* No. 33537 on 9 September 2010, and as amended by Board Notice 148 of 2016 in Government *Gazette* No. 40239 on 31 August 2016.

Amendment of paragraph 1 of the Notice

2. Paragraph 1 of the Notice is hereby amended by-
 - (a) the substitution for the definition “application form” of the following definition:

“**“application form”** means form 6 and 12 of the Application by Financial Services Providers for Authorisation by the Financial Sector Conduct Authority, FSCA FAIS Notice 1 of 2018;”;
 - (b) the deletion of the definition “Determination of Qualifying Criteria and Qualifications”;
 - (c) the substitution for the definition “Fit and Proper Requirements” of the following definition:

“**“Fit and Proper Requirements”** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2017, published by Board Notice 194 of 2017 in Government *Gazette* No. 41321 on 15 December 2017;”;

and
 - (d) the substitution for the definition “regulatory examination” of the following definition:

“**“regulatory examination”** in relation to an applicant seeking approval to render compliance services in respect of-

 - (a) a Category I or IV FSP, means the RE 1 regulatory examination developed in terms of the criteria set out in Table 1 of Annexure Five of the Fit and Proper Requirements;
 - (b) Category II or IIA FSP, means the –
 - (i) RE 1 regulatory examination developed in terms of the criteria set out in Table 1 of Annexure Five of the Fit and Proper Requirements; and
 - (ii) RE 3 regulatory examination developed in terms of the criteria set out in Table 2 of Annexure Five of the Fit and Proper Requirements;
 - (c) Category III FSP, means the-
 - (i) RE 1 regulatory examination developed in terms of the criteria set out in Table 1 of Annexure Five of the Fit and Proper Requirements; and
 - (ii) RE 4 regulatory examination developed in terms of the criteria set out in Table 3 of Annexure Five of the Fit and Proper Requirements;”.

Amendment of paragraph 7 of the Notice

3. Paragraph 7 of the Notice is hereby amended by-
 - (a) the amendment of item (a) of subparagraph (4) as follows:

“(a) in respect of non-unit standards based qualifications, the individual must have successfully completed a minimum of three subjects listed in Annexure 3[Table A], or subjects that are similar in subject matter to those so listed, and at least one of the subjects must be-”; and

(b) the deletion of “Table A” in subparagraph (4).

Amendment of Annexure 2 of the Notice

4. Annexure 2 of the Notice is hereby amended by the substitution thereof of the Annexure 2 attached to this Schedule below.

Amendment after Annexure 2 of the Notice

5. The Notice is hereby amended by the insertion after Annexure 2 to the Schedule of the Notice of the following Annexure:

ANNEXURE 3		
APPROPRIATE SUBJECT LIST		
COMPLIANCE OFFICERS		
Accounting	Equity/ Equities	Mercantile Law
Advanced Investment Advice	Equity Investments	Micro-economics
Anti-money laundering laws and regulations	Equities Settlement	Money Laundering Control
Anti-terrorist finance laws and regulations	Estate and Trust Law	Money laundering schemes
Asset Classes & Basic Investment Principles	Estate Planning	Money Market
Auditing	Ethics	Money Market Settlement
Auditing and Internal Control	Finance	Network Administration
Authorisations and Approvals (Trading)	Finance for Non-Financial Managers	Operational Risk Management

ANNEXURE 3		
APPROPRIATE SUBJECT LIST		
COMPLIANCE OFFICERS		
Banking	Financial Accounting	Payment of Entitlement
Banking Operations	Financial Advice	Performance and Risk Strategy
Basic Economic Principles	Financial Analysis	Personal Financial Planning
Behavioural Finance & Economics	Financial Calculations	Personal Insurance Products and Practice
Business Economics	Financial Crime	Portfolio Management
Bond and stock markets	Financial Crime Prevention	Practical Accounting Data Processing
Blockchain	Financial Engineering	Primary, secondary and wholesale markets
Bonds	Financial Governance	Principles of Crime Prevention, Reduction and Control
Bonds Settlement	Financial Management	Principles of Ethics in a Business Environment
Business Administration	Financial Market Operations	Principles of Insurance
Business Assurance	Financial Market Regulatory Environment	Principles of Life Insurance
Business Economics	Financial Markets	Principles of Strategy, Risk & Financial Management
Business Entities	Financial Operations	Principles of Taxation

ANNEXURE 3 APPROPRIATE SUBJECT LIST		
COMPLIANCE OFFICERS		
Business Environment	Financial Planning	Process Management (Process Modelling and Control)
Business Ethics	Financial Planning Principles	Production Management
Business Finance	Financial Reporting	Prudential Requirements
Business Information Systems	Financial Services Industry	Quality Control in Call Centres
Business Insurance	Financial Services Operations	Quantitative Finance
Business Integration	Financial Statements	Quantitative Techniques
Business Management	Forex Exposure Management	Quantitative Investment Analysis
Business Management & Financial Risk Assessment	Fraud Risk Management	Regulatory Environment
Business-To-Business Marketing	Fundamentals of Financial Services	Retirement Finance
Call Centre Management	General Management	Responsibilities of Financial Institutions
Capital Market Frameworks and Concepts	Global Business Environment	Responsibilities of the regulatory authorities
Claims Management	Governance, Risk and Compliance Management	Retirement Planning
Client/ Customer Services	Group Retirement Benefits	Retirement Related Legislation

ANNEXURE 3		
APPROPRIATE SUBJECT LIST		
COMPLIANCE OFFICERS		
Collateral Management	Health Benefits/ Health Care Benefits	Retirement Wealth Preservation
Collective Investment Scheme Product Solutions	Independent audits and reviews	Risk Financing
Commercial Insurance Products and Practice	Informatics	Risk Management
Commercial Law	Information Technology Architecture	Risk Management and Insurance
Companies Law	Information Technology Enterprise	Securities Markets
Complaints and Disputes	Investigation Methodology	Settlement (Finance)
Compliance Function	Insurance	Small Business Management
Compliance Legislation	Insurance & Risk Management	South African Financial System
Compliance Management	Interest-Bearing Investment	STRATE
Compliance Principles	International Finance	Strategic Communication Management Skills
Computer Architecture	International Trade	Strategic Management
Conduct of Business (Regulation)	Interpretation of Statutes	Strategy
Corporate Finance	Introduction to The Financial Markets	Structured Systems Analysis and Design

ANNEXURE 3
APPROPRIATE SUBJECT LIST

COMPLIANCE OFFICERS

Corporate Financial Planning	Introductory Financial Accounting	Supervision and Enforcement
Corporate Governance	Investment Management	Tax/ taxation
Corporate Law	Investment Planning	Techniques
Cost Accounting	Investor Psychology	The Bond Market
Cost and Financial Management	Law of Contract or Delict	The Derivatives Market
Costing and Estimating	Law of Succession	The Equity Market
Creation of Wealth	Legal Environment	The Regulation and Ethics of The South African Financial Markets
Credit Risk Management	Legislative Impact on Financial Advisors/ Planners	The South African Foreign Exchange Market
Crime Risk Perspectives	Long-Term Product Solutions	The South African Money Market
Crypto Banking	Management	Trading on the Exchange
Crypto Currency	Managing Client Assets	Treasury Management
Debt Instrument Solutions	Managing Regulatory Risk	Terrorist Sanctions
Descriptive Statistic and Probability	Marketing	Trustee management

ANNEXURE 3		
APPROPRIATE SUBJECT LIST		
COMPLIANCE OFFICERS		
E-Commerce in Business	Market Conduct	Wealth Creation
Econometrics	Market Risk Management	Wealth Management
Economic Crime Schemes	Mathematical Analysis	
Economics/ Macro Economics/ Micro Economics	Mathematical Statistics	
Employee Benefits	Mathematics	
Enterprise Risk Management	Mathematics for Finance and Business	
Entrepreneurship	Medical Schemes	

Repeal

6. The Amendment Notice on the Determination of Recognised Qualifications for Financial Services Providers and Compliance Officers, 2017, published by Board Notice 51 of 2017 in *Government Gazette* No. 40785 on 13 April 2017 is hereby repealed.

Short title and commencement

7. This Notice is called Amendment of the Qualifications, Experiences and Criteria for Approval as Compliance Officer, 2022, and comes into operation on date of publication.

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1154	ADVANCED CERTIFICATE IN COMPLIANCE	116	INTERNATIONAL COMPLIANCE ASSOCIATION	FSB0502	0	0 G
879	ADVANCED CERTIFICATE: BANKING SERVICES	4	MILPARK BUSINESS SCHOOL	90842	6	120 G
1115	ADVANCED CERTIFICATE: FRAUD EXAMINER	347	ASSOCIATION OF CERTIFIED FRAUD EXAMINERS	67269	7	120 G
880	ADVANCED CERTIFICATE: MANAGEMENT	4	MILPARK BUSINESS SCHOOL	90665	6	120 G
944	ADVANCED CERTIFICATE: FINANCIAL PLANNING	198	PSG KONSULT LIMITED	66612	6	125 G
944	ADVANCED CERTIFICATE: FINANCIAL PLANNING	250	CENTURION AKADEMIE	111089	6	120 G
944	ADVANCED CERTIFICATE: FINANCIAL PLANNING	265	MOONSTONE BUSINESS SCHOOL OF EXCELLENCE (PTY) LTD	110441	6	120 G
944	ADVANCED CERTIFICATE: FINANCIAL PLANNING	265	MOONSTONE BUSINESS SCHOOL OF EXCELLENCE (PTY) LTD	83347	6	120 G
620	ADVANCED DIPLOMA IN BUSINESS MANAGEMENT	165	SOUTH AFRICAN INSTITUTE OF MANAGEMENT	67689	6	400 G
1126	ADVANCED DIPLOMA IN MANAGEMENT: FINANCE	15	UNIVERSITY OF WESTERN CAPE	21778	7	120 G
1219	ADVANCED DIPLOMA: ACCOUNTANCY	385	NELSON MANDELA UNIVERSITY	101447	7	120 G
1304	ADVANCED DIPLOMA: FINANCIAL MANAGEMENT	162	REGENT BUSINESS SCHOOL	109030	7	120 G
1164	ADVANCED DIPLOMA: TRUST AND ESTATE ADMINISTRATION	11	UNIVERSITY OF FREE STATE	97594	7	120 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1020	ADVANCED PROGRAMME: RISK MANAGEMENT	1	UNISA	FSB0369	0	0 G
604	ASSOCIATE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	128	THE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	FSB071	0	0 G
510	BACCALAUREUS ARTIUM	5	UNIVERSITY OF PRETORIA	23375	7	360 G
834	BACCALAUREUS COMMERCII: MARKETING MANAGEMENT	194	GRADUATE ACADEMY OF SOUTH AFRICA	FSB0203	6	360 G
600	BACCALAUREUS COMPUTATIONIS	11	UNIVERSITY OF FREE STATE	16906	7	688 G
1314	BACCALAUREUS COMPUTATIONIS	20	UNIVERSITY OF LIMPOPO	FSB0640	0	0 G
1039	BACCALAUREUS JURIS	300	UNIVERSITY OF NAMIBIA	FSB0389	0	0 G
567	BACCALAUREUS JURIS	5	UNIVERSITY OF PRETORIA	FSB0457	0	0 G
567	BACCALAUREUS JURIS	256	UNIVERSITY OF TRANSKEI	FSB0292	0	0 G
560	BACCALAUREUS PROCURATIONIS	13	RAU	FSB0288	0	0 G
154	BACCALAUREUS PROCURATIONIS	14	UNIVERSITY OF PORT ELIZABETH	4581	6	360 G
520	BACCALAUREUS TECHNOLOGIE: BUSINESS ADMINISTRATION	26	PORT ELIZABETH TECHNIKON	FSB0282	0	0 G
1116	BACHELOR COMMERCIE: BUSINESS MANAGEMENT AND INDUSTRIAL PSYCHOLOGY	1	UNISA	FSB0472	0	0 G
1116	BACHELOR COMMERCIE: BUSINESS MANAGEMENT AND INDUSTRIAL PSYCHOLOGY	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0592	0	0 G
1279	BACHELOR OF ACCOUNTANCY	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	FSB0613	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1260	BACHELOR OF ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG	90622	7	360 G
254	BACHELOR OF ACCOUNTING	11	UNIVERSITY OF FREE STATE	16946	7	688 G
254	BACHELOR OF ACCOUNTING	339	BOSTON CITY CAMPUS	103057	7	360 G
204	BACHELOR OF ACCOUNTING HONOURS	11	UNIVERSITY OF FREE STATE	17470	8	120 G
63	BACHELOR OF ACCOUNTING SCIENCE	1	UNISA	5535	6	408 G
63	BACHELOR OF ACCOUNTING SCIENCE	7	UNIVERSITY OF THE WITWATERSRAND	49923	7	360 G
63	BACHELOR OF ACCOUNTING SCIENCE	199	WALTER SISULU UNIVERSITY	80190	7	360 G
63	BACHELOR OF ACCOUNTING SCIENCE	252	REGENESYS BUSINESS SCHOOL	111745	7	360 G
1296	BACHELOR OF ACCOUNTING SCIENCES: TAXATION	1	UNISA	86226	7	360 G
746	BACHELOR OF ADMINISTRATION	1	UNISA	5553	9	360 G
746	BACHELOR OF ADMINISTRATION	11	UNIVERSITY OF FREE STATE	8555	7	440 G
746	BACHELOR OF ADMINISTRATION	12	UNIVERSITY OF KWAZULU-NATAL	72826	6	384 G
746	BACHELOR OF ADMINISTRATION	352	CHARTALL BUSINESS COLLEGE	99380	7	360 G
1067	BACHELOR OF ADMINISTRATION: PUBLIC ADMINISTRATION	12	UNIVERSITY OF KWAZULU-NATAL	81823	7	384 G
1151	BACHELOR OF ADMINISTRATION: PUBLIC SECTOR MANAGEMENT AND ADMINISTRATION	19	UNIVERSITY OF FORT HARE	FSB0499	0	0 G
1043	BACHELOR OF AGRICULTURE	11	UNIVERSITY OF FREE STATE	8475	7	360 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1043	BACHELOR OF AGRICULTURE	19	UNIVERSITY OF FORT HARE	3393	7	384 G
87	BACHELOR OF ARTS	12	UNIVERSITY OF KWAZULU-NATAL	84727	7	360 G
1168	BACHELOR OF ARTS HONOURS: BUSINESS MANAGEMENT / ECONOMICS	11	UNIVERSITY OF FREE STATE	FSB0513	0	0 G
1140	BACHELOR OF ARTS HONOURS: COMMERCE	362	NAPIER UNIVERSITY, EDINBURGH	FSB0488	0	0 G
972	BACHELOR OF ARTS: INVESTMENT & INTERNATIONAL RELATIONS	273	LONDON METROPOLITAN UNIVERSITY	FSB0326	0	0 G
918	BACHELOR OF ARTS: APPLIED ECONOMICS WITH FRENCH STUDIES	243	KINGSTON UNIVERSITY	FSB0270	0	0 G
1025	BACHELOR OF ARTS: BUSINESS STUDIES	299	THE MANCHESTER METROPOLITAN UNIVERSITY	FSB0374	0	0 G
279	BACHELOR OF ARTS: ECONOMICS	253	UNIVERSITY OF LEEDS	FSB0286	0	0 G
1004	BACHELOR OF ARTS: ECONOMICS AND INTERNATIONAL RELATIONS	289	CONNECTICUT COLLEGE NEW LONDON	FSB0353	0	0 G
1239	BACHELOR OF ARTS: LAW SUBJECTS	360	NORTH WEST UNIVERSITY	23375	7	360 G
289	BACHELOR OF BUSINESS ADMINISTRATION	252	REGENESYS BUSINESS SCHOOL	84027	7	360 G
289	BACHELOR OF BUSINESS ADMINISTRATION	307	TSIBA	61469	7	369 G
867	BACHELOR OF BUSINESS ADMINISTRATION	154	HERIOT-WATT UNIVERSITY	FSB0284	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
867	BACHELOR OF BUSINESS ADMINISTRATION	278	SOLUSI UNIVERSITY	FSB0333	0	0 G
867	BACHELOR OF BUSINESS ADMINISTRATION	283	UNIVERSITY OF PHOENIX	FSB0342	0	0 G
867	BACHELOR OF BUSINESS ADMINISTRATION	308	UNIVERSITY OF MALAWI	FSB0396	0	0 G
867	BACHELOR OF BUSINESS ADMINISTRATION	309	MING CHUAN UNIVERSITY	FSB0398	0	0 G
867	BACHELOR OF BUSINESS ADMINISTRATION	341	WOODFIELD UNIVERSITY	FSB0466	0	0 G
1200	BACHELOR OF BUSINESS ADMINISTRATION: BANKING	252	REGENESYS BUSINESS SCHOOL	96456	7	360 G
963	BACHELOR OF BUSINESS ADMINISTRATION: BUSINESS MANAGEMENT	12	UNIVERSITY OF KWAZULU-NATAL	72833	7	384 G
914	BACHELOR OF BUSINESS ADMINISTRATION: MARKETING MANAGEMENT	101	IMM GRADUATE SCHOOL OF MARKETING	80967	7	400 G
817	BACHELOR OF BUSINESS MANAGEMENT	28	UNIVERSITY OF HAMPSHIRE	FSB0187	0	0 G
847	BACHELOR OF BUSINESS SCIENCE	186	RHODES UNIVERSITY	108855	8	480 G
878	BACHELOR OF BUSINESS SCIENCE	92	MONASH SOUTH AFRICA	88603	7	374 G
1280	BACHELOR OF BUSINESS SCIENCE	12	UNIVERSITY OF KWAZULU-NATAL	FSB0614	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1267	BACHELOR OF BUSINESS SCIENCE: MANAGEMENT	12	UNIVERSITY OF KWAZULU-NATAL	81070	8	480 G
1064	BACHELOR OF BUSINESS SCIENCE: ECONOMICS	9	UNIVERSITY OF CAPE TOWN	FSB0412	9	120 G
872	BACHELOR OF BUSINESS STUDIES	221	DUBLIN CITY UNIVERSITY	FSB0227	0	0 G
905	BACHELOR OF BUSINESS STUDIES HONOURS	22	UNIVERSITY OF ZIMBABWE	FSB0261	0	0 G
1122	BACHELOR OF BUSINESS: FINANCE AND MANAGEMENT	349	EDITH COWAN UNIVERSITY AUSTRALIA	FSB0477	0	0 G
1038	BACHELOR OF COMMERCE	340	THE NATIONAL UNIVERSITY OF LESOTHO	FSB0463	0	0 G
706	BACHELOR OF COMMERCE	9	UNIVERSITY OF CAPE TOWN	FSB0207	7	432 G
706	BACHELOR OF COMMERCE	20	UNIVERSITY OF LIMPOPO	FSB0285	0	0 G
964	BACHELOR OF COMMERCE	77	INDEPENDENT INSTITUTE OF EDUCATION	84706	7	366 G
964	BACHELOR OF COMMERCE	379	CTI EDUCATION GROUP	80730	7	364 G
131	BACHELOR OF COMMERCE	1	UNISA	5563	6	384 G
131	BACHELOR OF COMMERCE	4	MILPARK BUSINESS SCHOOL	90509	7	370 G
131	BACHELOR OF COMMERCE	5	UNIVERSITY OF PRETORIA	7027	7	386 G
131	BACHELOR OF COMMERCE	7	UNIVERSITY OF THE WITWATERSRAND	9843	6	360 G
131	BACHELOR OF COMMERCE	18	UNIVERSITY OF POTCHEFSTROOM	13758	7	384 G
131	BACHELOR OF COMMERCE	162	REGENT BUSINESS SCHOOL	71778	7	360 G
131	BACHELOR OF COMMERCE	172	UNIVERSITY OF ZULULAND	94058	7	360 G
131	BACHELOR OF COMMERCE	186	RHODES UNIVERSITY	15147	7	360 G
518	BACHELOR OF COMMERCE	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87057	7	360 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
738	BACHELOR OF COMMERCE: ECONOMICS	11	UNIVERSITY OF FREE STATE	16920	6	504 G
1153	BACHELOR OF COMMERCE BANKING AND FINANCE HONOURS DEGREE	365	MIDLANDS STATE UNIVERSITY	FSB0501	0	0 G
598	BACHELOR OF COMMERCE HONOURS	1	UNISA	5687	8	120 G
598	BACHELOR OF COMMERCE HONOURS	162	REGENT BUSINESS SCHOOL	79326	8	120 G
593	BACHELOR OF COMMERCE HONOURS INSURANCE AND RISK MANAGEMENT	29	MIDLANDS STATE UNIVERSITY	FSB0132	0	0 G
1147	BACHELOR OF COMMERCE HONOURS: MANAGEMENT ACCOUNTING	10	UNIVERSITY OF STELLENBOSCH	FSB0544	0	0 G
1147	BACHELOR OF COMMERCE HONOURS: MANAGEMENT ACCOUNTING	11	UNIVERSITY OF FREE STATE	FSB0497	0	0 G
313	BACHELOR OF COMMERCE HONOURS: ACCOUNTING	11	UNIVERSITY OF FREE STATE	16948	8	120 G
874	BACHELOR OF COMMERCE HONOURS: ACTUARIAL SCIENCE	30	NATIONAL UNIVERSITY OF SCIENCE AND TECHNOLOGY	FSB0229	0	0 G
733	BACHELOR OF COMMERCE HONOURS: BUSINESS FINANCE, ECONOMICS	12	UNIVERSITY OF KWAZULU-NATAL	79007	8	128 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1014	BACHELOR OF COMMERCE HONOURS: BUSINESS MANAGEMENT	1	UNISA	FSB0363	0	0 G
576	BACHELOR OF COMMERCE HONOURS: ECONOMETRICS	8	UNIVERSITY OF JOHANNESBURG	3173	8	160 G
930	BACHELOR OF COMMERCE HONOURS: ECONOMICS	1	UNISA	FSB0276	0	0 G
930	BACHELOR OF COMMERCE HONOURS: ECONOMICS	10	UNIVERSITY OF STELLENBOSCH	FSB0465	0	0 G
949	BACHELOR OF COMMERCE HONOURS: FINANCIAL ANALYSIS	10	UNIVERSITY OF STELLENBOSCH	FSB0302	0	0 G
923	BACHELOR OF COMMERCE HONOURS: RISK MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0274	0	0 G
389	BACHELOR OF COMMERCE HONOURS: TAXATION	11	UNIVERSITY OF FREE STATE	FSB0498	0	0 G
711	BACHELOR OF COMMERCE IN COST AND MANAGEMENT ACCOUNTING	21	UNIVERSITY OF VENDA	9175	6	424 G
1201	BACHELOR OF COMMERCE: ACCOUNTING	172	UNIVERSITY OF ZULULAND	FSB0548	0	0 G
1082	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT	1	UNISA	FSB0534	0	0 G

16

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits Recognition
1082	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT	10	UNIVERSITY OF STELLENBOSCH	FSB0508	0	0 G
1082	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0434	0	0 G
1104	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT AND ECONOMICS	5	UNIVERSITY OF PRETORIA	FSB0621	0	0 G
1104	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT AND ECONOMICS	8	UNIVERSITY OF JOHANNESBURG	FSB0561	0	0 G
1104	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT AND ECONOMICS	19	UNIVERSITY OF FORT HARE	FSB0462	0	0 G
1104	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT AND ECONOMICS	385	NELSON MANDELA UNIVERSITY	FSB0562	0	0 G
1142	BACHELOR OF COMMERCE: CHARTERED ACCOUNTANCY	360	NORTH WEST UNIVERSITY	FSB0490	0	0 G
1144	BACHELOR OF COMMERCE: ECONOMICS AND STATISTICS	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0491	0	0 G
1226	BACHELOR OF COMMERCE: ECONOMICS AND STATISTICS	9	UNIVERSITY OF CAPE TOWN	4437	6	432 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1173	BACHELOR OF COMMERCE: ECONOMICS, FINANCE	12	UNIVERSITY OF KWAZULU-NATAL	FSB0519	0	0 G
966	BACHELOR OF COMMERCE: GENERAL	19	UNIVERSITY OF FORT HARE	FSB0322	0	0 G
983	BACHELOR OF COMMERCE: HUMAN RESOURCE MANAGEMENT, MARKETING	12	UNIVERSITY OF KWAZULU-NATAL	FSB0332	0	0 G
1141	BACHELOR OF COMMERCE: INDUSTRIAL PSYCHOLOGY AND LABOUR RELATIONS MANAGEMENT	360	NORTH WEST UNIVERSITY	FSB0489	0	0 G
1102	BACHELOR OF COMMERCE: INFORMATION MANAGEMENT	47	DAMELIN	61649	6	360 G
1241	BACHELOR OF COMMERCE: INFORMATION TECHNOLOGY	11	UNIVERSITY OF FREE STATE	16922	6	488 G
2	BACHELOR OF COMMERCE: INTERNAL AUDITING	5	UNIVERSITY OF PRETORIA	16390	7	464 G
1171	BACHELOR OF COMMERCE: MANAGEMENT	19	UNIVERSITY OF FORT HARE	FSB0553	0	0 G
1171	BACHELOR OF COMMERCE: MANAGEMENT	360	NORTH WEST UNIVERSITY	FSB0517	0	0 G
1190	BACHELOR OF COMMERCE: MANAGEMENT	172	UNIVERSITY OF ZULULAND	FSB0539	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1268	BACHELOR OF COMMERCE: MANAGEMENT STUDIES	9	UNIVERSITY OF CAPE TOWN	FSB0602	0	0 G
1176	BACHELOR OF COMMERCE: MANAGEMENT, ECONOMICS	12	UNIVERSITY OF KWAZULU-NATAL	FSB0522	0	0 G
1186	BACHELOR OF COMMERCE: MANAGEMENT, HUMAN RESOURCE MANAGEMENT	12	UNIVERSITY OF KWAZULU-NATAL	FSB0537	0	0 G
1172	BACHELOR OF COMMERCE: MARKETING, ECONOMICS	12	UNIVERSITY OF KWAZULU-NATAL	FSB0518	0	0 G
514	BACHELOR OF COMMERCE: ACCOUNTANCY AND LAW	17	UNIVERSITY OF NORTH WEST	FSB0298	0	0 G
568	BACHELOR OF COMMERCE: ACCOUNTING	21	UNIVERSITY OF VENDA	FSB0394	0	0 G
51	BACHELOR OF COMMERCE: ACCOUNTING	15	UNIVERSITY OF WESTERN CAPE	7259	6	360 G
51	BACHELOR OF COMMERCE: ACCOUNTING	19	UNIVERSITY OF FORT HARE	17394	7	384 G
51	BACHELOR OF COMMERCE: ACCOUNTING	47	DAMELIN	58004	7	360 G
51	BACHELOR OF COMMERCE: ACCOUNTING	199	WALTER SISULU UNIVERSITY	80806	7	360 G
166	BACHELOR OF COMMERCE: ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG	73772	6	432 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits Recognition
803	BACHELOR OF COMMERCE: ACCOUNTING	12	UNIVERSITY OF KWAZULU-NATAL	14780/790 09	6	416 G
803	BACHELOR OF COMMERCE: ACCOUNTING	162	REGENT BUSINESS SCHOOL	90913	7	360 G
803	BACHELOR OF COMMERCE: ACCOUNTING	200	MIDRAND GRADUATE INSTITUTE	48853	7	540 G
1130	BACHELOR OF COMMERCE: ACCOUNTING FINANCE	355	GRIFFITH UNIVERSITY QUEENSLAND, AUSTRALIA	FSB0483	0	0 G
1085	BACHELOR OF COMMERCE: ACCOUNTING FOR CHARTERED ACCOUNTANTS	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0438	0	0 G
197	BACHELOR OF COMMERCE: ACCOUNTING SCIENCES	5	UNIVERSITY OF PRETORIA	7033	7	493 G
734	BACHELOR OF COMMERCE: ACTUARIAL SCIENCE	10	UNIVERSITY OF STELLENBOSCH	FSB0250	0	0 G
575	BACHELOR OF COMMERCE: AGRICULTURAL ECONOMICS	5	UNIVERSITY OF PRETORIA	FSB0281	0	0 G
837	BACHELOR OF COMMERCE: AUDITING, MANAGERIAL ACCOUNTING, FINANCE	12	UNIVERSITY OF KWAZULU-NATAL	79006	7	384 G
513	BACHELOR OF COMMERCE: BANKING	172	UNIVERSITY OF ZULULAND	FSB0294	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits Recognition
259	BACHELOR OF COMMERCE: BANKING	1	UNISA	84327	7	360 G
724	BACHELOR OF COMMERCE: BUSINESS FINANCE, ECONOMICS	12	UNIVERSITY OF KWAZULU-NATAL	79006	0	0 G
1058	BACHELOR OF COMMERCE: BUSINESS INFORMATICS	1	UNISA	86267	7	360 G
152	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT	113	DA VINCI INSTITUTE	84767	7	360 G
152	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT	380	PRESTIGE ACADEMY	84887	7	365 G
876	BACHELOR OF COMMERCE: COMMUNICATION	13	RAU	FSB0230	0	0 G
853	BACHELOR OF COMMERCE: ECONOMETRICS	13	RAU	FSB0216	0	0 G
917	BACHELOR OF COMMERCE: ECONOMIC AND MANAGEMENT SCIENCE	10	UNIVERSITY OF STELLENBOSCH	19585	7	386 G
913	BACHELOR OF COMMERCE: ECONOMICS	20	UNIVERSITY OF LIMPOPO	19680	6	360 G
153	BACHELOR OF COMMERCE: ECONOMICS	21	UNIVERSITY OF VENDA	9209	7	476 G
1042	BACHELOR OF COMMERCE: ECONOMICS	305	MCGILL UNIVERSITY	FSB0391	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
946	BACHELOR OF COMMERCE: ECONOMICS AND ECONOMETRICS	8	UNIVERSITY OF JOHANNESBURG	FSB0300	0	0 G
780	BACHELOR OF COMMERCE: ECONOMICS AND INTERNATIONAL TRADE	17	UNIVERSITY OF NORTH WEST	72773	7	360 G
165	BACHELOR OF COMMERCE: ECONOMICS AND LAW	9	UNIVERSITY OF CAPE TOWN	4427	6	432 G
992	BACHELOR OF COMMERCE: ECONOMICS AND RISK MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0340	0	0 G
585	BACHELOR OF COMMERCE: ENTREPRENEURSHIP	1	UNISA	5563	6	360 G
585	BACHELOR OF COMMERCE: ENTREPRENEURSHIP	5	UNIVERSITY OF PRETORIA	16687	7	448 G
1117	BACHELOR OF COMMERCE: ENTREPRENEURSHIP AND BUSINESS MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0473	0	0 G
11	BACHELOR OF COMMERCE: FINANCE	205	BOND UNIVERSITY	24462	7	360 G
982	BACHELOR OF COMMERCE: FINANCIAL ACCOUNTANCY	9	UNIVERSITY OF CAPE TOWN	FSB0421	0	0 G
766	BACHELOR OF COMMERCE: FINANCIAL JOURNALISM	11	UNIVERSITY OF FREE STATE	16923	6	536 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
691	BACHELOR OF COMMERCE: FINANCIAL MANAGEMENT	1	UNISA	0201 1 FIM	6	360 G
691	BACHELOR OF COMMERCE: FINANCIAL MANAGEMENT	21	UNIVERSITY OF VENDA	22854	6	424 G
691	BACHELOR OF COMMERCE: FINANCIAL MANAGEMENT	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	94677	7	360 G
761	BACHELOR OF COMMERCE: FINANCIAL MANAGEMENT SCIENCE	5	UNIVERSITY OF PRETORIA	7063	7	471 G
307	BACHELOR OF COMMERCE: FINANCIAL PLANNING	14	UNIVERSITY OF PORT ELIZABETH	66093	6	366 G
307	BACHELOR OF COMMERCE: FINANCIAL PLANNING	403	AKADEMIA NPC	99642	7	370 G
511	BACHELOR OF COMMERCE: GENERAL	15	UNIVERSITY OF WESTERN CAPE	19408	7	360 G
1071	BACHELOR OF COMMERCE: GENERAL ACCOUNTING	14	UNIVERSITY OF PORT ELIZABETH	FSB0433	7	360 G
414	BACHELOR OF COMMERCE: HUMAN RESOURCE MANAGEMENT	1	UNISA	5563	6	360 G
414	BACHELOR OF COMMERCE: HUMAN RESOURCE MANAGEMENT	5	UNIVERSITY OF PRETORIA	7067	7	455 G

23

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
256	BACHELOR OF COMMERCE: INDUSTRIAL PSYCHOLOGY	21	UNIVERSITY OF VENDA	9411	7	476 G
726	BACHELOR OF COMMERCE: INTERNATIONAL TRADE AND MARKETING	17	UNIVERSITY OF NORTH WEST	FSB0291	0	0 G
35	BACHELOR OF COMMERCE: INVESTMENT MANAGEMENT	5	UNIVERSITY OF PRETORIA	16386	6	473 G
35	BACHELOR OF COMMERCE: INVESTMENT MANAGEMENT	13	RAU	73777	7	432 G
994	BACHELOR OF COMMERCE: INVESTMENT MANAGEMENT AND BANKING	11	UNIVERSITY OF FREE STATE	FSB0351	0	0 G
164	BACHELOR OF COMMERCE: LAW	11	UNIVERSITY OF FREE STATE	16909	6	360 G
164	BACHELOR OF COMMERCE: LAW	15	UNIVERSITY OF WESTERN CAPE	86172	7	375 G
164	BACHELOR OF COMMERCE: LAW	77	INDEPENDENT INSTITUTE OF EDUCATION	93729	7	376 G
164	BACHELOR OF COMMERCE: LAW	335	PEARSON INSTITUTE OF HIGHER EDUCATION	86306	7	403 G
164	BACHELOR OF COMMERCE: LAW	360	NORTH WEST UNIVERSITY	100948	7	360 G
264	BACHELOR OF COMMERCE: LAW	8	UNIVERSITY OF JOHANNESBURG	73778	6	448 G
264	BACHELOR OF COMMERCE: LAW	10	UNIVERSITY OF STELLENBOSCH	15594	6	369 G
264	BACHELOR OF COMMERCE: LAW	339	BOSTON CITY CAMPUS	104712	7	360 G
643	BACHELOR OF COMMERCE: LAW	1	UNISA	FSB0362	0	0 G
643	BACHELOR OF COMMERCE: LAW	12	UNIVERSITY OF KWAZULU-NATAL	FSB0411	0	0 G
643	BACHELOR OF COMMERCE: LAW	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0453	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits Recognition
1119	BACHELOR OF COMMERCE: LOGISTICS	1	UNISA	FSB0475	0	0 G
419	BACHELOR OF COMMERCE: MANAGEMENT	1	UNISA	5563	6	384 G
419	BACHELOR OF COMMERCE: MANAGEMENT	80	LYCEUM COLLEGE	80286	7	432 G
898	BACHELOR OF COMMERCE: MANAGEMENT	238	UNIVERSITY OF DUBLIN	FSB0254	0	0 G
898	BACHELOR OF COMMERCE: MANAGEMENT	328	THE UNIVERSITY OF SWAZILAND	FSB0431	0	0 G
1105	BACHELOR OF COMMERCE: MANAGEMENT MARKETING	339	BOSTON CITY CAMPUS	88683	7	374 G
127	BACHELOR OF COMMERCE: MANAGEMENT SCIENCES	10	UNIVERSITY OF STELLENBOSCH	19587	6	370 G
915	BACHELOR OF COMMERCE: MANAGEMENT, MARKETING	12	UNIVERSITY OF KWAZULU-NATAL	FSB0266	0	0 G
1094	BACHELOR OF COMMERCE: MANAGEMENT, INFORMATION SYSTEMS & TECHNOLOGY	12	UNIVERSITY OF KWAZULU-NATAL	FSB0454	0	0 G
996	BACHELOR OF COMMERCE: MANPOWER STRATEGY AND LABOUR RELATIONS	8	UNIVERSITY OF JOHANNESBURG	FSB0344	6	360 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
912	BACHELOR OF COMMERCE: MARKETING MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	73780	7	432 G
912	BACHELOR OF COMMERCE: MARKETING MANAGEMENT	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	96745	7	360 G
869	BACHELOR OF COMMERCE: MARKETING MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0484	0	0 G
869	BACHELOR OF COMMERCE: MARKETING MANAGEMENT	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0568	0	0 G
1018	BACHELOR OF COMMERCE: MATHEMATICAL SCIENCES	10	UNIVERSITY OF STELLENBOSCH	FSB0368	1	1 G
956	BACHELOR OF COMMERCE: PHILOSOPHY, POLITICS AND ECONOMICS	9	UNIVERSITY OF CAPE TOWN	15170	7	432 G
1032	BACHELOR OF COMMERCE: RATIONUM	14	UNIVERSITY OF PORT ELIZABETH	91998	7	480 G
664	BACHELOR OF COMMERCE: RECREATION AND SPORT MANAGEMENT	5	UNIVERSITY OF PRETORIA	7103	0	0 G
664	BACHELOR OF COMMERCE: RECREATION AND SPORT MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0278	0	0 G
890	BACHELOR OF COMMERCE: RETAIL MANAGEMENT	1	UNISA	FSB0246	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
493	BACHELOR OF COMMERCE: RISK MANAGEMENT	1	UNISA	5563	7	384 G
934	BACHELOR OF COMMERCE: STATISTICS	5	UNIVERSITY OF PRETORIA	7109	7	410 G
1048	BACHELOR OF COMMERCE: STRATEGIC SUPPLY MANAGEMENT	1	UNISA	FSB0395	0	0 G
1120	BACHELOR OF COMMERCE: SUPPLY CHAIN MANAGEMENT	5	UNIVERSITY OF PRETORIA	FSB0476	0	0 G
619	BACHELOR OF COMMERCE: TOURISM MANAGEMENT	5	UNIVERSITY OF PRETORIA	7113	6	426 G
928	BACHELOR OF ECONOMICS	186	RHODES UNIVERSITY	7999	7	360 G
1026	BACHELOR OF ECONOMICS	300	UNIVERSITY OF NAMIBIA	FSB0375	0	0 G
1065	BACHELOR OF ECONOMICS: BUSINESS SCIENCES	19	UNIVERSITY OF FORT HARE	FSB0413	0	0 G
916	BACHELOR OF FINANCE	242	LA TROBE UNIVERSITY	FSB0267	0	0 G
921	BACHELOR OF LAW (LLB)	11	UNIVERSITY OF FREE STATE	8861	8	752 G
921	BACHELOR OF LAW (LLB)	17	UNIVERSITY OF NORTH WEST	73057	7	480 G
950	BACHELOR OF LAW AND BUSINESS ADMINISTRATION: MARKETING	259	INTERDISCIPLINARY CENTER	FSB0303	0	0 G
911	BACHELOR OF LAW: B.JURIS WITH ENDORSEMENT IN FINANCIAL PLANNING	11	UNIVERSITY OF FREE STATE	8877	7	480 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits Recognition
1062	BACHELOR OF LAW: CANON AND CIVIL	318	NATIONAL UNIVERSITY OF IRELAND	FSB0409	0	0 G
1231	BACHELOR OF LAWS	35	UNIVERSITY OF BRISTOL	FSB0638	0	0 G
871	BACHELOR OF LAWS	173	UNIVERSITY OF LONDON	FSB0385	0	0 G
871	BACHELOR OF LAWS	220	THE NATIONAL UNIVERSITY OF LESOTHO	FSB0226	0	0 G
871	BACHELOR OF LAWS	371	UNIVERSITY OF RHODESIA	FSB0516	0	0 G
871	BACHELOR OF LAWS	416	UNIVERSITY OF LEICESTER	FSB0618	0	0 G
677	BACHELOR OF LAWS (LLB)	1	UNISA	6009	7	480 G
677	BACHELOR OF LAWS (LLB)	5	UNIVERSITY OF PRETORIA	6875	6	528 G
677	BACHELOR OF LAWS (LLB)	10	UNIVERSITY OF STELLENBOSCH	7355	7	480 G
677	BACHELOR OF LAWS (LLB)	19	UNIVERSITY OF FORT HARE	15577	7	144 G
677	BACHELOR OF LAWS (LLB)	193	SGB LEGAL EDUCATION AND TRAINING	22993	7	480 G
1220	BACHELOR OF LITERATURE: ENGLISH	396	ANHUI UNIVERSITY OF TECHNOLOGY	FSB0563	0	0 G
1282	BACHELOR OF MANAGEMENT SCIENCES: INTERNAL AUDITING	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	101959	8	480 G
1076	BACHELOR OF PERSONNEL LEADERSHIP	11	UNIVERSITY OF FREE STATE	FSB0432	0	0 G
1238	BACHELOR OF PUBLIC MANAGEMENT: ECONOMIC POLICY	11	UNIVERSITY OF FREE STATE	FSB0582	0	0 G
1036	BACHELOR OF SCIENCE	343	QUEEN'S UNIVERSITY BELFAST	FSB0468	0	0 G
1143	BACHELOR OF SCIENCE HONOURS: MANAGEMENT AND SYSTEMS	363	THE CITY UNIVERSITY, LONDON, SIR JOHN CASS BUSINESS SCHOOL	FSB0492	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS							
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
1233	BACHELOR OF SCIENCE IN QUANTITATIVE RISK MANAGEMENT	360	NORTH WEST UNIVERSITY	FSB0578	0	0	G
1099	BACHELOR OF SCIENCE: ACTUARIAL SCIENCE	8	UNIVERSITY OF JOHANNESBURG	FSB0459	0	0	G
1138	BACHELOR OF SCIENCE: FINANCIAL MATHEMATICS	360	NORTH WEST UNIVERSITY	FSB0487	0	0	G
1242	BACHELOR OF SCIENCE: INTERNATIONAL BUSINESS	404	UNIVERSITY OF BUCKINGHAM	FSB0585	0	0	G
1170	BACHELOR OF SCIENCE: PROPERTY STUDIES	7	UNIVERSITY OF THE WITWATERSRAND	FSB0515	0	0	G
1096	BACHELOR OF SCIENCE: AGRICULTURE	177	UNIVERSITY OF NATAL	78125	8	512	G
768	BACHELOR OF SCIENCE: APPLIED ECONOMICS	226	HOF-STRA UNIVERSITY (NEW YORK)	FSB0242	0	0	G
828	BACHELOR OF SCIENCE: BUSINESS ADMINISTRATION	401	THE GEORGIA INSTITUTE OF TECHNOLOGY	FSB0573	0	0	G
910	BACHELOR OF SCIENCE: BUSINESS ADMINISTRATION: HUMAN RESOURCE MANAGEMENT	241	CALIFORNIA STATE UNIVERSITY, HAYWARD	FSB0264	0	0	G
512	BACHELOR OF SCIENCE: INFORMATION TECHNOLOGY AND COMPUTER SCIENCE: INFORMATION TECHNOLOGY MANAGEMENT	1	UNISA	FSB0290	0	0	G

29

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
919	BACHELOR OF SCIENCE: MATHEMATICAL SCIENCE WITH ENDORSEMENT FINANCIAL MATHEMATICS	8	UNIVERSITY OF JOHANNESBURG	80374	7	360 G
1069	BACHELOR OF SCIENCE: MATHEMATICAL STATISTICS	5	UNIVERSITY OF PRETORIA	FSB0415	0	0 G
1021	BACHELOR OF SCIENCE: MATHEMATICS AND MANAGEMENT	173	UNIVERSITY OF LONDON	FSB0370	0	0 G
785	BACHELOR OF SCIENCE: OPERATIONS RESEARCH AND INFORMATION SYSTEMS	1	UNISA	6059	7	360 G
1136	BACHELOR OF SCIENCE: QUANTITY SURVEYING	357	SGB QUANTITY SURVEYING	49094	7	360 G
650	BACHELOR OF SCIENCE: STATISTICS AND ECONOMICS	9	UNIVERSITY OF CAPE TOWN	FSB0372	0	0 G
1050	BACHELOR OF SOCIAL SCIENCE: ECONOMICS	9	UNIVERSITY OF CAPE TOWN	FSB0399	0	0 G
1089	BACHELOR OF SOCIAL WORK AND ADMINISTRATION	158	MAKERERE UNIVERSITY, UGANDA	FSB0449	0	0 G
899	BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87079	7	480 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
899	BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72382	7	480 G
899	BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78689	7	480 G
899	BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING	199	WALTER SISULU UNIVERSITY	80131	7	480 G
899	BACHELOR OF TECHNOLOGY: COST AND MANAGEMENT ACCOUNTING	279	MANGOSUTHU UNIVERSITY OF TECHNOLOGY	72278	7	480 G
1101	BACHELOR OF TECHNOLOGY: FINANCIAL INFORMATION SYSTEMS	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87086	7	480 G
1101	BACHELOR OF TECHNOLOGY: FINANCIAL INFORMATION SYSTEMS	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	65297	7	480 G
1101	BACHELOR OF TECHNOLOGY: FINANCIAL INFORMATION SYSTEMS	360	NORTH WEST UNIVERSITY	87086	7	120 G
1088	BACHELOR OF TECHNOLOGY: BUSINESS ADMINISTRATION	1	UNISA	62311	7	480 G
845	BACHELOR OF TECHNOLOGY: ECONOMIC MANAGEMENT ANALYSIS	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72387	7	480 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
142	BACHELOR OF TECHNOLOGY: INTERNAL AUDITING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87093	7	480 G
142	BACHELOR OF TECHNOLOGY: INTERNAL AUDITING	86	Vaal UNIVERSITY OF TECHNOLOGY	72684	7	480 G
623	BACHELOR OF TECHNOLOGY: MANAGEMENT	1	UNISA	62335	7	480 G
1198	BETRIEBSÖKONOM FH	390	ZURCHER FACHHOCHSCHULE	FSB0546	0	0 G
614	CERTIFICATE IN SECURITIES AND INVESTMENTS	85	CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT	FSB072	0	0 G
1016	CERTIFICATE OF RDR COMPLIANT QUALIFICATIONS	85	CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT	FSB0364	0	0 G
865	CERTIFICATE: CERTIFIED ACCOUNTING TECHNICIAN	218	ASSOCIATION OF CHARTERED CERTIFIED ACCOUNTANTS	20397	5	390 G
866	CERTIFICATE: CHARTERED CERTIFIED ACCOUNTANT	218	ASSOCIATION OF CHARTERED CERTIFIED ACCOUNTANTS	63550	8	915 G
39	CERTIFICATE: FINANCIAL PLANNING/ HIGHER CERTIFICATE: FINANCIAL PLANNING	4	MILPARK BUSINESS SCHOOL	62810	5	120 G
897	CERTIFICATE: THEORY OF ACCOUNTANCY	12	UNIVERSITY OF KWAZULU-NATAL	FSB0253	0	0 G
1270	CERTIFICATE: PROGRAMME FOR MANAGEMENT DEVELOPMENT	5	UNIVERSITY OF PRETORIA	FSB0603	0	0 G
573	CERTIFIED INTERNAL AUDITOR (CIA)	89	INSTITUTE OF INTERNAL AUDITORS	FSB 083	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS							
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
412	CHARTERED FINANCIAL ANALYST (CFA)(FOREIGN QUALIFICATION)	98	CFA INSTITUTE	FSB001	0	0	G
1261	CII LEVEL 4 DIPLOMA IN FINANCIAL PLANNING(QCF)	61	CHARTERED INSURANCE INSTITUTE	FSB0598	0	0	G
1196	CII LEVEL 6 ADVANCED DIPLOMA: FINANCIAL PLANNING (QCF)	61	CHARTERED INSURANCE INSTITUTE	FSB0584	0	0	G
1271	CISI LEVEL 6 DIPLOMA: INVESTMENT COMPLIANCE	85	CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT	FSB0604	0	0	G
906	COURSE IN COMPLIANCE MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	FSB0262	0	0	G
864	DEGREE OF CERTIFIED BANKING MANAGER LEVEL 2 (BANKFACHWIRT)	224	FRANKFURT SCHOOL OF MANAGEMENT(GERMANY)	FSB0234	0	0	G
1215	DIPLOMA IN COMMERCE: BUSINESS MANAGEMENT	77	INDEPENDENT INSTITUTE OF EDUCATION	84787	6	364	G
843	DIPLOMA IN MANAGEMENT STUDIES	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	36084	7	240	G
1221	DIPLOMA: FINANCIAL MANAGEMENT	162	REGENT BUSINESS SCHOOL	97561	6	360	G
1052	DIPLOMA: ACCOUNTANCY	8	UNIVERSITY OF JOHANNESBURG	90506	6	368	G
1121	DIPLOMA: ACCOUNTING AND FINANCIAL COMPUTING	77	INDEPENDENT INSTITUTE OF EDUCATION	58667	5	240	G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
981	DIPLOMA: ADVANCED BUSINESS MANAGEMENT: ENTERPRISE RISK MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	77044	6	240 G
228	DIPLOMA: BANKING	4	MILPARK BUSINESS SCHOOL	FSB0233	0	0 G
566	DIPLOMA: BANKING	1	UNISA	14373	6	360 G
939	DIPLOMA: FINANCIAL ACCOUNTING	47	DAMELIN	66992	6	360 G
939	DIPLOMA: FINANCIAL ACCOUNTING	339	BOSTON CITY CAMPUS	93597	6	374 G
615	DIPLOMA: FINANCIAL MANAGEMENT	138	THE CHARTERED ASSOCIATION OF CERTIFIED ACCOUNTANTS	FSB073	0	0 G
762	DIPLOMA: INVESTMENT ADVICE LEVEL 4	85	CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT	FSB0243	0	0 G
1113	DIPLOMA: MANAGEMENT	345	HENLEY MANAGEMENT COLLEGE	FSB0470	0	0 G
345	DIPLOMA: MARKETING MANAGEMENT	101	IMM GRADUATE SCHOOL OF MARKETING	79546	6	360 G
1045	DIPLOMA: MUNICIPAL MANAGEMENT	306	MOSCOW STATE HUMANITIES UNIVERSITY	FSB0393	0	0 G
1183	DIPLOME DE L'ECOLE DE MANAGEMENT LÉONARD DE VINCI	378	ÉCOLE DE MANAGEMENT LÉONARD DE VINCI (EMLV)	FSB0532	0	0 G
686	DIPLOME DE LICENCIE EN ECONOMIE ET DEVELOPPEMENT	245	FACULTES CATHOLIQUES DE KINSHASA	FSB0271	0	0 G
920	DIPLOME DE LICENCIE EN SCIENCES ET TECHNIQUES DE DEVELOPPEMENT	245	FACULTES CATHOLIQUES DE KINSHASA	FSB0272	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS							
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
894	DIPLOMPRUFUNG FUR KAUFLEUTE	236	UNIVERSITY OF WURZBURG	FSB0251	0	0	G
896	DOCTOR OF JURISPRUDENCE	237	STANFORD UNIVERSITY	FSB0252	0	0	G
1222	DOTTORE IN ECONOMIA E COMMERCIO	398	UNIVERSITA DEGLI STUDI DI URBINO	FSB0565	0	0	G
1072	EXECUTIVE DEVELOPMENT PROGRAM	1	UNISA	FSB0418	0	0	G
1001	FAHIGKEIT/SZEUGNIS	287	KV ZURICH BUSDINESS SCHOOL	FSB0349	0	0	G
469	FELLOW OF ACTUARIAL SOCIETY OF SOUTH AFRICA (FASSA)	118	ACTUARIAL SOCIETY OF SOUTH AFRICA	FSB 062	8	0	G
1114	FELLOW OF THE INSTITUTE OF ACTUARIES	346	INSTITUTE AND FACULTY OF ACTUARIES	FSB0471	0	0	G
940	FURTHER DIPLOMA IN EDUCATION: COMMERCE	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	FSB0293	0	0	G
836	GRADUATE CERTIFICATE: BUSINESS MANAGEMENT "Betriebswirt VWA Dipl. -inh"	196	THE ACADEMY OF BUSINESS ADMINISTRATION AND PUBLIC MANAGEMENT SAARLAND	FSB0206	0	0	G
1210	HIGHER CERTIFICATE: ACCOUNTING	162	REGENT BUSINESS SCHOOL	73493	5	120	G
937	HIGHER CERTIFICATE: BANKING	1	UNISA	84286	5	120	G
925	HIGHER CERTIFICATE: INVESTMENT ADMINISTRATION	4	MILPARK BUSINESS SCHOOL	93949	5	120	G
842	HIGHER CERTIFICATE: WEALTH MANAGEMENT	198	PSG KONSULT LIMITED	83346	5	120	G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
842	HIGHER CERTIFICATE: WEALTH MANAGEMENT	265	MOONSTONE BUSINESS SCHOOL OF EXCELLENCE (PTY) LTD	83346	5	120 G
564	HIGHER DIPLOMA IN EDUCATION - COMMERCE	10	UNIVERSITY OF STELLENBOSCH	FSB 082	6	480 G
1106	ICA INTERNATIONAL DIPLOMA: ANTI MONEY LAUNDERING	116	INTERNATIONAL COMPLIANCE ASSOCIATION	FSB0464	0	0 G
1080	ICA PROFESSIONAL POSTGRADUATE DIPLOMA IN GOVERNANCE, RISK AND COMPLIANCE	327	THE UNIVERSITY OF MANCHESTER	FSB0428	0	0 G
543	ILPA	163	ILPA	FSB0119	0	0 G
159	INTERNATIONAL DIPLOMA IN COMPLIANCE	116	INTERNATIONAL COMPLIANCE ASSOCIATION	FSB 010	0	0 G
1057	INVESTMENT ADMINISTRATION QUALIFICATION	316	SECURITIES INSTITUTE	FSB0406	0	0 G
1002	JURIS DOCTOR	226	HOFSTRA UNIVERSITY (NEW YORK)	FSB0350	0	0 G
1037	LICENCE EN DROIT	261	THE UNIVERSITY OF YAOUNDE II	FSB0386	0	0 G
1092	LICENTIATE DIPLOMA: TRUSTEE	3	INSTITUTE OF BANKERS	FSB0451	0	0 G
888	LICENTIATE IN BUSINESS ADMINISTRATION/ ECONOMICS	225	UNIVERSITY OF ST. GALLEN	FSB0241	0	0 G
756	LICENTIATUS RERUM POLITICARUM BETRIEBSWIRTSCHAFT	247	UNIVERSITAT BERN	FSB0275	0	0 G
974	MAGISTRA DER SOZIAL-UND WIRTSCHAFTS-WISSENSCHAFTEN: SOCIAL AND ECONOMIC SCIENCE	275	UNIVERSITAT WIEN	FSB0327	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1262	MAITRISE DE SCIENCES DE GESTION (MASTERS IN MANAGEMENT SCIENCES)	410	UNIVERSITE PARIS X NANTERRE	FSB0599	0	0 G
1070	MANAGEMENT DEVELOPMENT DIPLOMA PROGRAMME	25	NELSON MANDELA METROPOLITAN UNIVERSITY	FSB0416	6	242 G
1129	MASTER OF APPLIED FINANCE	353	MACQUARIE UNIVERSITY	FSB0482	0	0 G
995	MASTER OF ARTS	305	MCGILL UNIVERSITY	FSB0474	0	0 G
995	MASTER OF ARTS	369	WESTERN KENTUCKY UNIVERSITY	FSB0507	0	0 G
1019	MASTER OF BUSINESS ADMINISTRATION	252	REGENESYS BUSINESS SCHOOL	97886	9	225 G
1019	MASTER OF BUSINESS ADMINISTRATION	360	NORTH WEST UNIVERSITY	94938	9	210 G
931	MASTER OF BUSINESS ADMINISTRATION	22	UNIVERSITY OF ZIMBABWE	FSB0442	0	0 G
931	MASTER OF BUSINESS ADMINISTRATION	23	UNIVERSITY OF LIVERPOOL	FSB0277	0	0 G
931	MASTER OF BUSINESS ADMINISTRATION	237	STANFORD UNIVERSITY	FSB0496	0	0 G
931	MASTER OF BUSINESS ADMINISTRATION	263	UNIVERSITY OF CAMBRIDGE	FSB0435	9	180 G
931	MASTER OF BUSINESS ADMINISTRATION	277	SOUTHERN METHODIST UNIVERSITY	FSB0331	0	0 G
931	MASTER OF BUSINESS ADMINISTRATION	295	UNIVERSITY OF NAIROBI	FSB0430	0	0 G
931	MASTER OF BUSINESS ADMINISTRATION	312	VANDERBILT UNIVERSITY	FSB0402	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS							
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
931	MASTER OF BUSINESS ADMINISTRATION	320	IE BUSINESS SCHOOL	FSB0414	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	321	TEL AVIV UNIVERSITY	FSB0417	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	329	STATE UNIVERSITY OF NEW YORK	FSB0426	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	351	DE MONTFORT UNIVERSITY	FSB0481	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	372	COLUMBIA UNIVERSITY	FSB0524	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	373	UNIVERSITY OF CHICAGO	FSB0526	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	381	BUCKINGHAMSHIRE CHILTERN UNIVERSITY COLLEGE	FSB0533	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	383	BARUCH COLLEGE OF THE CITY UNIVERSITY OF NEW YORK	FSB0536	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	384	SAINT MARY'S UNIVERSITY	FSB0538	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	397	PEKING UNIVERSITY	FSB0564	0	0	G
931	MASTER OF BUSINESS ADMINISTRATION	409	BENTLEY COLLEGE	FSB0594	0	0	G
504	MASTER OF BUSINESS ADMINISTRATION	162	REGENT BUSINESS SCHOOL	73297	8	300	G
504	MASTER OF BUSINESS ADMINISTRATION	201	HENLEY BUSINESS SCHOOL	65089	8	180	G
504	MASTER OF BUSINESS ADMINISTRATION	252	REGENESYS BUSINESS SCHOOL	58185	8	240	G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
504	MASTER OF BUSINESS ADMINISTRATION	310	HARVARD UNIVERSITY	96395	9	210 G
763	MASTER OF BUSINESS ADMINISTRATION (OXFORD BROOKES UNIVERSITY)	205	BOND UNIVERSITY	FSB0217	0	0 G
980	MASTER OF BUSINESS ADMINISTRATION: GENERAL	162	REGENT BUSINESS SCHOOL	73297	8	300 G
580	MASTER OF BUSINESS LEADERSHIP	1	UNISA	5789	8	360 G
193	MASTER OF BUSINESS MANAGEMENT AND ADMINISTRATION	10	UNIVERSITY OF STELLENBOSCH	19608	8	120 G
1157	MASTER OF COMMERCE: FINANCIAL MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	5107	9	120 G
1307	MASTER OF INTERNATIONAL BUSINESS	424	DEVI AHILYA VISHWAVIDYALAYA, INDORE	FSB0636	0	0 G
1311	MASTER OF MANAGEMENT IN FINANCE AND INVESTMENT	7	UNIVERSITY OF THE WITWATERSRAND	97752	9	190 G
589	MASTER OF SCIENCE IN INVESTMENT MANAGEMENT	87	THE CITY BUSINESS SCHOOL LONDON	FSB0109	0	0 G
1079	MASTER OF SCIENCE: BUSINESS MANAGEMENT	325	EDINBURGH NAPIER UNIVERSITY	FSB0425	0	0 G
802	MASTER OF SCIENCE: FINANCIAL MANAGEMENT	173	UNIVERSITY OF LONDON	FSB0126	0	0 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1033	MASTER OF SCIENCE: INSURANCE AND RISK MANAGEMENT	290	CITY UNIVERSITY LONDON	FSB0382	0	0 G
463	MASTER OF TECHNOLOGY: BUSINESS ADMINISTRATION	26	PORT ELIZABETH TECHNIKON	87185	8	120 G
770	MASTERS OF BUSINESS ADMINISTRATION (Heriot-Watt University)	154	HERIOT-WATT UNIVERSITY	FSB0093	0	0 G
487	NATIONAL DIPLOMA: COMMERCIAL PRACTICE	37	DURBAN UNIVERSITY OF TECHNOLOGY	72219	6	360 G
1243	NATIONAL CERTIFICATE: MARKETING	8	UNIVERSITY OF JOHANNESBURG	FSB0586	0	0 G
22	NATIONAL CERTIFICATE: WEALTH MANAGEMENT	188	SGB INSURANCE AND INVESTMENT	57608/66611	5	120 G
1287	NATIONAL DIPLOMA: ENGINEERING: INDUSTRIAL	86	VAAI UNIVERSITY OF TECHNOLOGY	72728	6	360 G
120	NATIONAL DIPLOMA: MARKETING AND SALES MANAGEMENT	26	PORT ELIZABETH TECHNIKON	FSB0511	0	0 G
1078	NATIONAL DIPLOMA: ENTREPRENEURSHIP	1	UNISA	FSB0423	0	0 G
1245	NATIONAL DIPLOMA: IMPORT AND EXPORT MANAGEMENT	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	FSB0587	6	360 G
1224	NATIONAL DIPLOMA: LOGISTICS	400	TECHNIKON PRETORIA	FSB0569	0	0 G
624	NATIONAL DIPLOMA: ACCOUNTING	37	DURBAN UNIVERSITY OF TECHNOLOGY	72209	6	360 G
685	NATIONAL DIPLOMA: ACCOUNTING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72546	6	360 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
835	NATIONAL DIPLOMA: ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG	FSB0205	6	360 G
835	NATIONAL DIPLOMA: ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG	FSB0220	0	0 G
627	NATIONAL DIPLOMA: ADMINISTRATIVE MANAGEMENT FINANCIAL	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72547	6	360 G
1086	NATIONAL DIPLOMA: ADMINISTRATIVE MANAGEMENT GENERAL	1	UNISA	62369	6	360 G
1031	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	FSB0381	0	0 G
676	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87115	6	360 G
676	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	65302	6	360 G
676	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	129	TECHNIKON SA	62379	6	360 G
253	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78620	6	360 G
253	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	86	Vaal University of Technology	72723	6	360 G
581	NATIONAL DIPLOMA: CREDIT MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	74076	6	360 G
975	NATIONAL DIPLOMA: ECONOMICS	25	NELSON MANDELA METROPOLITAN UNIVERSITY	71635	6	375 G
967	NATIONAL DIPLOMA: FINANCE AND ACCOUNTING PUBLIC	1	UNISA	62391	6	360 G
967	NATIONAL DIPLOMA: FINANCE AND ACCOUNTING PUBLIC	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72584	6	360 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
987	NATIONAL DIPLOMA: FINANCE AND ACCOUNTING PUBLIC	5	UNIVERSITY OF PRETORIA	FSB0337	0	0 G
973	NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS	199	WALTER SISULU UNIVERSITY	80163	6	360 G
530	NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87122	6	360 G
645	NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS	37	DURBAN UNIVERSITY OF TECHNOLOGY	72234	6	360 G
938	NATIONAL DIPLOMA: GOVERNMENT FINANCE	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72593	6	360 G
475	NATIONAL DIPLOMA: INTERNAL AUDITING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	78303	6	360 G
475	NATIONAL DIPLOMA: INTERNAL AUDITING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72600	6	360 G
475	NATIONAL DIPLOMA: INTERNAL AUDITING	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	65327	6	360 G
178	NATIONAL DIPLOMA: INTERNAL AUDITING	68	COMMITTEE OF TECHNIKON PRINCIPALS	2165	6	360 G
924	NATIONAL DIPLOMA: MANAGEMENT	1	UNISA	62401	6	360 G
924	NATIONAL DIPLOMA: MANAGEMENT	25	NELSON MANDELA METROPOLITAN UNIVERSITY	87130	6	360 G
924	NATIONAL DIPLOMA: MANAGEMENT	34	TSHWANE UNIVERSITY OF TECHNOLOGY	48640	6	360 G
725	NATIONAL DIPLOMA: MARKETING	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78649	6	360 G
594	NATIONAL DIPLOMA: RETAIL BUSINESS MANAGEMENT	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78666	6	360 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
578	NATIONAL DIPLOMA: TAXATION	37	DURBAN UNIVERSITY OF TECHNOLOGY	72265	6	360 G
1158	NATIONAL HIGHER CERTIFICATE: ACCOUNTANCY	8	UNIVERSITY OF JOHANNESBURG	FSB0509	0	0 G
1281	NATIONAL HIGHER CERTIFICATE: ACCOUNTING	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	101939	5	264 G
926	NATIONAL HIGHER CERTIFICATE: ACCOUNTANCY	199	WALTER SISULU UNIVERSITY	80183	6	240 G
941	NATIONAL HIGHER CERTIFICATE: FINANCIAL INFORMATION SYSTEMS	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	102020	5	240 G
753	NATIONAL HIGHER CERTIFICATE: FINANCIAL INFORMATION SYSTEMS	199	WALTER SISULU UNIVERSITY	80184	6	240 G
1285	NATIONAL HIGHER DIPLOMA COST ACCOUNTING	400	TECHNIKON PRETORIA	FSB0616	7	0 G
1131	OCCUPATIONAL CERTIFICATE: COMPLIANCE OFFICER	354	COMPLIANCE INSTITUTE	91671	6	240 G
606	POSTGRADUATE DIPLOMA IN FINANCIAL PLANNING	4	MILPARK BUSINESS SCHOOL	76943	8	160 G
606	POSTGRADUATE DIPLOMA IN FINANCIAL PLANNING	12	UNIVERSITY OF KWAZULU-NATAL	98919	8	128 G
606	POSTGRADUATE DIPLOMA IN FINANCIAL PLANNING	15	UNIVERSITY OF WESTERN CAPE	94571	8	120 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1312	POSTGRADUATE DIPLOMA IN INVESTMENT MANAGEMENT	382	MILPARK EDUCATION	94678	8	120 G
970	POSTGRADUATE DIPLOMA: BUSINESS MANAGEMENT	252	REGENESYS BUSINESS SCHOOL	84066	8	120 G
1148	POSTGRADUATE DIPLOMA: CHARTERED ACCOUNTANCY	11	UNIVERSITY OF FREE STATE	97681	8	120 G
1166	POSTGRADUATE DIPLOMA: EMPLOYEE BENEFITS	11	UNIVERSITY OF FREE STATE	93929	8	120 G
1163	POSTGRADUATE DIPLOMA: ESTATE PLANNING	11	UNIVERSITY OF FREE STATE	96459	8	120 G
1150	POSTGRADUATE DIPLOMA: GENERAL ACCOUNTANCY	11	UNIVERSITY OF FREE STATE	97843	8	128 G
1217	POSTGRADUATE DIPLOMA: INTERNAL AUDITING	385	NELSON MANDELA UNIVERSITY	84146	8	120 G
1162	POSTGRADUATE DIPLOMA: INVESTMENT PLANNING	11	UNIVERSITY OF FREE STATE	93909	8	120 G
1161	POSTGRADUATE DIPLOMA: PERSONAL FINANCIAL RISK MANAGEMENT	11	UNIVERSITY OF FREE STATE	97689	8	120 G
971	POSTGRADUATE DIPLOMA: PROJECT MANAGEMENT	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	62110	8	120 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1149	POSTGRADUATE DIPLOMA: TAX STRATEGY AND MANAGEMENT	11	UNIVERSITY OF FREE STATE	62909	8	124 G
171	POSTGRADUATE DIPLOMA: ACCOUNTING	15	UNIVERSITY OF WESTERN CAPE	96614	0	0 G
943	POSTGRADUATE DIPLOMA: BANKING	4	MILPARK BUSINESS SCHOOL	93608	8	120 G
764	POSTGRADUATE DIPLOMA: BUSINESS ADMINISTRATION	9	UNIVERSITY OF CAPE TOWN	66517	8	120 G
764	POSTGRADUATE DIPLOMA: BUSINESS ADMINISTRATION	11	UNIVERSITY OF FREE STATE	97200	8	136 G
1000	POSTGRADUATE DIPLOMA: BUSINESS ADMINISTRATION	285	ICFAIAN BUSINESS SCHOOL	FSB0347	0	0 G
700	POSTGRADUATE DIPLOMA: COMPLIANCE	8	UNIVERSITY OF JOHANNESBURG	FSB0249	0	0 G
334	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	11	UNIVERSITY OF FREE STATE	48866	7	160 G
334	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	66096	7	120 G
498	POSTGRADUATE DIPLOMA: RISK MANAGEMENT	1	UNISA	79926	8	120 G

ANNEXURE 2 QUALIFICATIONS RECOGNISED FOR COMPLIANCE OFFICERS						
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits/Recognition
1046	POSTGRADUATE DIPLOMA: SMALL ENTERPRISE CONSULTING	307	TSIBA	90822	8	120 G
443	PROFESSIONAL ADVANCED QUALIFICATION: GOVERNANCE AND ADMINISTRATION	90	CHARTERED SECRETARIES SOUTHERN AFRICA	60655	6	120 G
341	PROFESSIONAL POSTGRADUATE QUALIFICATION: COMPANY SECRETARIAL AND GOVERNANCE PRACTICE	207	GENERAL SGB 03	60654	7	120 G
813	PROGRAMME IN ADVANCED BANK MANAGEMENT	1	UNISA	FSB0181	7	96 G
891	PROGRAMME IN FINANCIAL MANAGEMENT	1	UNISA	FSB0297	0	0 G
93	PROGRAMME IN RISK MANAGEMENT	1	UNISA	75949	6	108 G
293	REGISTERED PERSONS EXAM: EQUITY MARKET	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB030	6	168 G
942	SOUTH AFRICAN INSTITUTE OF STOCKBROKERS MEMBERSHIP EXAMINATIONS	120	SOUTH AFRICAN INSTITUTE OF STOCKBROKERS	FSB0296	0	0 G
1155	ZWEITE JURISTISCHE STAATSPRÜFUNG	366	LANDEJUSTIZPRÜFUNGSAMT IN NORDRHEIN-WESTFALEN	FSB0504	0	0 G