

INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

Annual Report 2020/2021



**independent police
investigative directorate**

Department:
Independent Police Investigative Directorate
REPUBLIC OF SOUTH AFRICA



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INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

NATIONAL DEPARTMENT

VOTE NO. 24

ANNUAL REPORT 2020/2021 FINANCIAL YEAR



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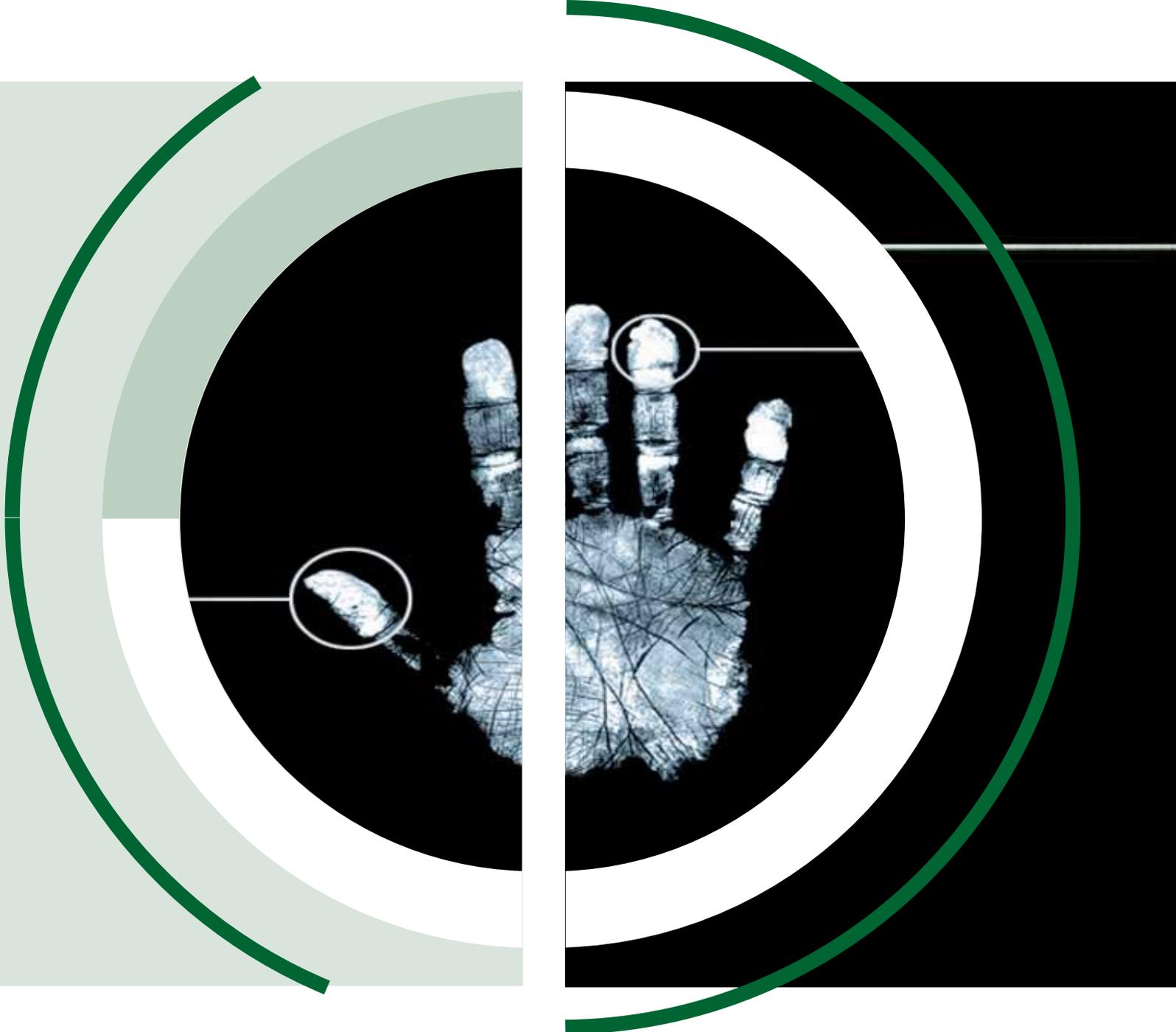
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PART A:

**General
Information**



1. DEPARTMENT GENERAL INFORMATION

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2. LIST OF ABBREVIATIONS/ ACRONYMS

AGSA	Auditor General of South Africa
APFCOF	African Policing Civilian Oversight Forum
AO	Accounting Officer
BBBEE	Broad Based Black Economic Empowerment
CERM	Compliance, Ethics and Risk Management
CFO	Chief Financial Officer
COE	Compensation of Employees
DPSA	Department of Public Service and Administration
EAPA	Employee Assistance Professionals Association
EHW	Employee Health and Wellness
FMC	Financial Misconduct Committee
GBH	Grievous Bodily Harm
GBV	Gender-based Violence
GEPF	Government Employee Pension Fund
HOD	Head of Department
ICT	Information and Communication Technology
IPID	Independent Police Investigative Directorate
IYM	In-Year-Monitoring
MEC	Member of Executive Council
MPS	Municipal Police Services
MTEF	Medium Term Expenditure Framework
OHS	Occupational Health and Safety
PCP	Portfolio Committee on Police
PFMA	Public Finance Management Act
SAPS	South African Police Services
SCM	Supply Chain Management
SDIP	Service Delivery Improvement Plan
SITA	State Information Technology Agency
TR	Treasury Regulations



3. FOREWORD BY THE MINISTER



General Bheki Cele | **Minister of the Police (MP)**

The Independent Police Investigative Directorate is one of the key role players in the fight against crime in order to bring stability in the country. The IPID's oversight role over the police service is critical to ensure any criminality across all ranks within the police is eliminated.

In August 2020, I appointed the Executive Director, Ms Dikeledi Ntlatseng. The decision to appoint a female was noble, after a decade of having male Executive Directors heading the institution. I am confident that women, children, and any other person who falls victim of rape and abuse in this country at the hands of the police will be in good hands. I applaud IPID for developing a Gender Based Violence and Femicide (GBV&F) Strategy which was launched in November 2020. This will assist the Department to strategically address GBV&F related matters in a strategic manner.

It is worth noting that the IPID is fast becoming noticeable among the South African public. This is evident in the increase of case intake by 9% in the period under review. The IPID reaffirms its commitment to transform policing and law enforcement in South Africa. In fulfilling our mandate of investigating alleged cases of criminality, corruption and misconduct reported against any members of the South African Police Service (SAPS) and Municipal Police Service (MPS), IPID collaborated with various key stakeholders to ensure that justice is served.

The 2020/2021 financial year was a challenging period for the country and including all institutions due to the COVID-19 pandemic. However; I have noted that IPID remained committed in executing their constitutional and legislative mandate despite the pandemic, including resource constraints.



We remain focused on the immense task to extinguish the legacy of injustice and to create a society based on fundamental human rights and freedoms. Our collective efforts should result in the restoration of dignity to our people. Ultimately, the professionalisation of policing as envisioned by the NDP will strengthen the confidence of our people in a fair and impartial criminal justice system for all. I believe that this Annual Report for 2020/21 fairly represents the work of the IPID. My wish is to see the IPID overcome their human and financial constraints.

General Bheki Cele

Minister of the Police (MP)

Date: 16 September 2021



4. DEPUTY MINISTER STATEMENT



Mr C Mathale | Deputy Minister of Police (MP)

The South African Police Service (SAPS) has been battling many pandemics ravaging the country long before COVID-19 reared its ugly head, some of which being misconduct and corruption from within our ranks, which had the potential of negatively impacting on the image of the SAPS and compromising the Constitutional rights of our citizens (particularly the right to safety).

As a maturing Constitutional democracy, we must ensure that all our actions, especially those of the police service are underpinned by respect for the law and law enforcement agencies. We can therefore not afford to spare the rot from among us. The South African citizens should have the highest respect for the Police, which is impossible when there is wrong-doing from within. It is, therefore, with this in mind that the Independent Police Investigative Directorate (IPID) as an oversight body, continues to play a vital role in our society by keeping the Police in check. As the ministry, we have unapologetically held that there is no place for criminal elements within the police ranks.

Although the IPID is part of the family in blue, it functions independently from the South African Police Service and it is imperative that we all assist in ensuring that the Directorate maintains its impartiality and performs its function effectively. Hand in hand with the IPID, we must all ensure that the people of South Africa do not become victims of obsessive or even criminal elements within our policing agencies.

In the financial year under review, as the IPID interacted with communities across the country, reports of police officer being implicated in some of the criminality by either turning a blind eye or actively participating in some of the crimes were received, and recommendation were shared with either the National Prosecuting Authority, the SAPS or the relevant Metro Police Service for action.



As the Ministry, we commend the work they did despite COVID-19 lockdown restrictions. Over and above the investigations undertaken, the IPID managed to conduct 46 community outreach events across the country, creating awareness and educating the community on IPID's services.

We have also noted the strides taken by IPID to interact with police officers through lectures at police stations. This initiative will also contribute to the professionalisation of the police service which is one of the priorities envisaged by National Development Plan.

The Ministry continues to support the work of the IPID and supports the tabling of this report.

Mr C Mathale

Deputy Minister of Police (MP)

Date: 07 September 2021



5. REPORT OF THE ACCOUNTING OFFICER



Ms DJ Ntlatseng | Accounting Officer
Independent Police Investigative Directorate

5.1 Overview of the operations of the department:

The 2020/2021 financial year was confronted by the COVID-19 pandemic which affected our operations. The Department continued to operate during this period by putting measures in place to contain the spread of the virus. Furthermore, procurement of tools of trade was prioritised to enable remote working and avoid disruption of service delivery. Despite challenges the Department was faced with, IPID management and staff continued to demonstrate their commitment in executing their responsibilities in line with our legislative mandate.

During the period under review the Department's budget allocation was cut by R14,6 million due to the national response to the COVID-19 pandemic. IPID management had to make difficult decisions in order to ensure that the department doesn't overspend its budget. As a result, ICT related projects and filling of vacant positions were put on hold until the end of the financial year. The Department had to reprioritise its limited resources in order to fund key activities such as implementation of section 23 of the IPID Act and investigation of cases. The outstanding payments for section 23 will be finalised in the next financial year through reprioritisation of the allocated budget.

The Department maintained an unqualified audit opinion for the 2020/2021 financial year. The audit was conducted during the relocation of the national office to the new building. The achievement can be attributed to the continuous monitoring and dedication of IPID management during the audit period and also improvement of internal controls.

The Department focused its attention on activities that contribute to National Development Plan Chapter 12 and 14 priorities. The investigation of high impact cases which includes; corruption, systemic corruption, torture, death in police custody, death as a result of police action, rape by police officer and rape in police custody was prioritised. The assault cases constitute about 70 per cent of our workload, these cases were also prioritised in order to reduce the backlog. As a result, investigation of 2 468 cases was completed during the period under review.



The Department achieved 73% overall performance as compared to 70% in the previous financial year, 2019/2020.

Programmes	2019/2020	2020/2021
Programme 1: Administration	43% (3/7)	20% (1/5)
Programme 2: Investigation & Information Management	67% (12/18)	69% (9/13)
Programme 3: Legal and Investigation Advisory Services	75% (6/8)	100% (4/4)
Programme 4: Compliance Monitoring and Stakeholder Management	100% (8/8)	100% (8/8)

A total of 106 disciplinary convictions were secured which involved 169 police officers. Eleven police officers were dismissed from service for cases related to rape, corruption and death as a result of police action. We also managed to secure 22 criminal convictions which involved 29 police officers. The highest sentence secured was 25 years' imprisonment and also year's ranging between 10 to 18 years of imprisonment for cases related to death as a result of police action, rape by police officer and corruption.

Programme 2 which is the core programme exceeded some of its targets relating to death in police custody, rape in police custody, discharge of an official firearm, torture and assault. Targets relating to rape by a police officer and death as a result of police action were impacted by intermittent closure of some of IPID, NPA, SAPS offices and laboratories as well as unavailability of witnesses due to COVID-19 infections. Corruption matters are complex and require more time and technical resources to investigate. The success in adequately dealing with acts of corruption within the law enforcement agencies will win the confidence of the public and reduce acts of criminality. In addition, the state can prevent the loss of considerable resources which can be directed to service delivery initiatives.

In order to strengthen stakeholder relations Communication and Stakeholder Management Strategy was developed and approved during the period under review. Other three (3) strategies which were approved for implementation include Access and Awareness Rural Strategy; Gender Based Violence and Femicide and the Youth Development Strategy.

In an effort to improve access to IPID services a Toll-Free number was launched on 12 November 2020. Citizens are now able to report alleged police misconduct to IPID without incurring any costs.



5.2 Overview of the financial results of the department:

Departmental receipts

Departmental receipts	2020/2021			2019/2020		
	Estimate	Actual Amount Collected	(Over)/ Under Collection	Estimate	Actual Amount Collected	(Over)/ Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	121	119	2	116	117	(1)
Transfers received						
Fines, penalties and forfeits						
Interest, dividends and rent on land	11	12	(1)	10	12	(2)
Sale of capital assets				57	61	(4)
Financial transactions in assets and liabilities	135	140	(5)	190	123	67
Total	267	271	(4)	373	313	60

The Independent Police Investigative Directorate (IPID) is not a revenue generating departments. Its core mandate is to ensure effective and independent oversight of the South African Police Service (SAPS) and Municipal Police Service (MPS). The policy mandate of the IPID purely on investigation which is a free government service. The insignificant amount of revenue reported, reflects mainly the refunds and sales of tender documents.

Programme Expenditure

Programme Name	2020/21			2019/20		
	Final Appropriation	Actual Expenditure	(Over) / Under Expenditure	Final Appropriation	Actual Expenditure	(Over) / Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	90 354	90 354	-	87 086	87 076	10
Investigation and Information Management	232 264	232 263	1	233 383	233 382	1
Legal and Investigation Advisory Services	5 671	5 635	36	5 348	5 320	28
Compliance Monitoring and Stakeholder Management	12 687	12 687	-	10 836	10 832	4
Total	340 976	340 939	37	336 653	336 610	43

As at 31 March 2021, the Department reported a cumulative expenditure of R340 939 000 against the annual adjusted budget of R340 976 000. The reported actual expenditure translates to 99.99 per cent against the annual projected target of 100 per cent which resulted in 0.01 per cent or R37 000 in monetary value less than the projected target.

Programme 1: Administration

Programme 1 reported overall actual expenditure of R90 354 000 against the allocated budget of R90 354 000, which translated to 100 per cent against the straight-line target of 100 per cent. There was a deviation of only R123 after final virement. The main cost drivers in this programme besides COE, are the Operating Lease: Buildings, Property Payments: Municipality Services and Computer Services: Data Lines.



Programme 2: Investigation and Information Management

Programme 2 reported an overall actual expenditure of R232 263 000 against the allocated budget of R232 264 000, which translated to 100 per cent as at the end of March 2021. There was a deviation of only R827 after final virement. The main cost drivers in this programme, aside from COE, are property payments, fleet services, travel & subsistence and communications.

The Programme's other key expenditure activity in the year under review, was the implementation of Section 23 of the IPID Act which resulted in reprioritisation of funds from other Economic Classifications and other Programmes under COE. As at 31 March 2021, the outstanding Section 23 backdated salaries for investigators at various levels were settled. The National Treasury approval was granted to address the projected budget pressure which was anticipated due to the implementation of Section 23.

Programme 3: Legal and Investigation Advisor Services

The Programme reported overall actual expenditure of R5 635 000 against the allocated budget of R5 671 000, which translated to 99.37 per cent against the straight-line target of 100 per cent. This is a deviation of 0.63 per cent under the straight-line target which translates to R36 000 in monetary value. Besides COE, travel and subsistence and communication remained the cost driver for the Programme.

Programme 4: Compliance Monitoring and Stakeholder Management

Programme 4 reported actual expenditure overall of R12 687 000 against the allocated budget of R12 687 000, which translated to 100 per cent against the straight-line target. This was after a virement amounting to R121 000 that was applied from this Programme to Programme 2. Expenditure compared to previous year was high, mainly due to the payment of forensic investigation on allegations of premature closing of cases.

Virements

The following virements were done to allow for implementation of Section 23 of the IPID Act

- An amount of R813 758 was moved from Programme 1 to Programme 2, under Compensation of Employees
- An amount of R1 162 832 was moved from Programme 2 to Programme 1 and Programme 4, under Goods & Services.
- An amount of R151 790 was moved from Programme 3 to Programme 2, under Compensation of Employees.
- An amount of R69 660 was moved from Programme 3 to Programme 1, under Goods & Services.

Roll overs

The Department did not apply for roll over in the financial year under review.

Unauthorised Expenditure

The Directorate did not incur unauthorised expenditure in the year under audit (2020/2021). The reported amount in the financial statements is made of prior's over expenditure by the Programme 2 and 3 in the financial year 2008/2009 and 2005/2006 respectively. The submission with the supporting documents requesting the authorisation of the disclosed amount, has since been submitted to SCOPA through National Treasury for consideration, however, by the reporting date 31 March 2021, the approval for authorisation was not yet granted despite numerous follow-ups.



Irregular Expenditure

The Department registered an amount of R712 000 in respect of Irregular Expenditure incurred during the year under review.

Fruitless and Wasteful Expenditure

The Department registered an amount of R5 000 in respect of Fruitless and Wasteful Expenditure during the year under review.

Public Private Partnerships

The Department did not enter into any Public Private Partnership (PPP) transaction before and during the period under review (2020/2021).

Discontinued Activities / Activities to be Discontinued

The Department operated within its IPID Act No 1 of 2011 mandate and did not discontinue any of its functions as outlined in the Act during the year under review.

New or Proposed Activities

The Department did not implement any new or proposed activities in the year under review.

Supply Chain Management

- List all unsolicited bid proposals concluded for the year under review:

During the period under review, the Department did not receive any unsolicited bid proposal.

- Indicate whether SCM processes and systems are in place to prevent irregular expenditure:

The Department has strengthened its Financial Misconduct Committee to evaluate all possible irregular expenditure and make recommendations to the Accounting Officer on an appropriate action. The continuous implemented and monitoring of payment checklists have assisted end users to identify any non-compliance with the applicable prescripts prior to processing of payment. Workshops were conducted on the developed irregular expenditure register and the implementation thereof assists in reporting of such expenditure.

The managers were in various management meetings encouraged to immediately report any suspected irregular expenditure transaction to the established committee. The Department continued as part of its statutory financial reporting to compile and submit to National Treasury the In-Year Monitoring (IYM) report which also reflects the monthly irregular expenditure if incurred as well as the remedial action.

- Challenges experienced in SCM and how they were resolved:

The functions in the Unit structure are not aligned with the generic SCM structure as recommended by both National Treasury and Department of Public Service and Administration (DPSA) due to the resource constraints. Whilst majority of SCM practitioners have been provided with training on SCM procurement processes, lack of training on special and technical aspects of SCM systems delays the Department's procurement process.

Gifts and Donations received in kind from non-related parties

No Gifts and Donations received in kind from non-related parties.



Exemptions and Deviations received from the National Treasury

No exemptions and deviations received from the National Treasury

Events after the reporting date

- New members of the Audit Committee were appointed by the Minister in April 2021
- The National Office relocated to new offices at Benstra Building in April 2021.

Other

None

Acknowledgement/s or Appreciation

I would like to take this opportunity to thank all our stakeholders for their continuing trust and support. I also appreciate the commitment of IPID staff, management and the political principals for their support, leadership and oversight role.

Conclusion

We must all strive to uphold the rule of law and show our commitment to the ideals that our forbearers fought and died for, by always acting with due regard to the laws of our country and the Constitution, which all office bearers swore to uphold. We should never find ourselves undermining Constitutionalism by being involved in unlawful actions.

Approval and sign off

The Annual Financial Statements set out on pages 128 to 191 have been approved by the Accounting Officer.

Ms DJ Ntlatseng

Accounting Officer

Independent Police Investigative Directorate

Date: 31 August 2021



6. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The annual report is complete, accurate and is free from any omissions.

The annual report has been prepared in accordance with the guidelines on the annual report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the annual financial statements.

The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the department for the financial year ended 31 March 2021.

Yours faithfully

Accounting Officer

Ms DJ Ntlatseng

Date: 31 August 2021



7. STRATEGIC OVERVIEW

7.1 Vision

An effective independent investigative oversight body that ensures policing that is committed to promoting respect for the rule of law and human dignity.

7.2 Mission

To conduct independent, impartial and quality investigations of identified criminal offences allegedly committed by members of the South African Police Service (SAPS) and Municipal Police Service (MPS), and to make appropriate recommendations in line with the IPID Act, whilst maintaining the highest standard of integrity and excellence.

7.3 Values

The IPID adheres to the highest standards of ethical behaviour through the continuous application of our values. The following values are the core from which we operate and respond:

- a) Independence and impartiality
- b) Mutual respect and trust
- c) Integrity and honesty
- d) Transparency and openness
- e) Equity and fairness
- f) Courtesy and commitment

8. LEGISLATIVE AND OTHER MANDATES

Constitutional Mandate

Section 206(6) of the Constitution of the Republic of South Africa makes provision for the establishment of an independent police complaints body and stipulates that "On receipt of a complaint lodged by a provincial executive, an independent police complaints body established by national legislation must investigate any alleged misconduct of, or offence committed by, a member of the police services in the province."

Legislative Mandates

The Independent Police Investigative Directorate (IPID) Act No. 1 of 2011 gives effect to the provision of Section 206(6) of the Constitution of the Republic of South Africa, ensuring independent oversight of the SAPS and MPS. The IPID resides under the Ministry of Police and functions independently of the SAPS.

The IPID Act empowers the Department to investigate offences listed hereunder, allegedly committed by the SAPS and the MPS members.

Section 28(1)(a)-(h) of the IPID Act:

- (a) Any death in police custody
- (b) Deaths as a result of police actions;



- (c) Complaints relating to the discharge of an official firearm by any police officer;
- (d) Rape by a police officer, whether the police officer is on or off duty;
- (e) Rape of any person in police custody;
- (f) Any complaint of torture or assault against a police officer in the execution of his or her duties;
- (g) Corruption matters within the police initiated by the Executive Director, or after a complaint from a member of the public or referred to the Department by the Minister, Member of Executive Council (MEC) or the Secretary for the Police Service;
- (h) Any other matter referred to the IPID as a result of a decision by the Executive Director or if so requested by the Minister, an MEC or the Secretary for the Police Service as the case may be.

Section 28 (2) of the IPID Act, 2011:

“the Department may investigate matters relating to systemic corruption involving the police”.

Section 33(3) of the IPID Act of 2011:

“any police officer who fails to comply with Section 29 is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding two years”.

Other Mandates

National Development Plan (NDP) 2030

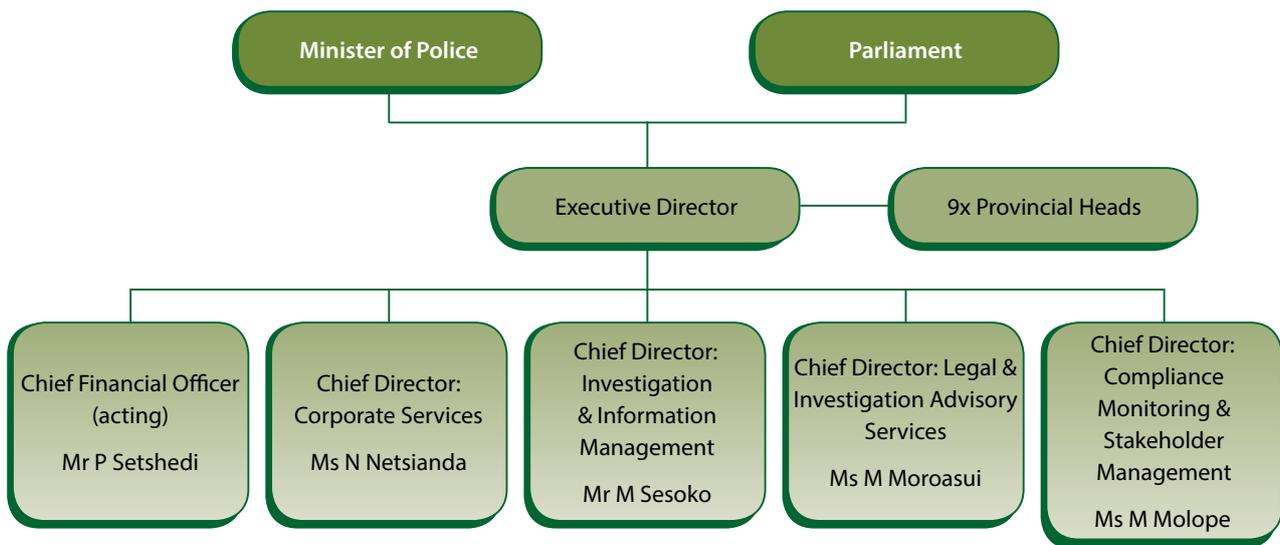
The core mandate of IPID contributes towards the realisation of Chapter 12: Building safer communities; and Chapter 14: Promoting accountability and fighting corruption.

Medium Term Strategic Framework (MTSF)

The IPID contributes to Priority 1: A capable, ethical and developmental state and Priority 6: social cohesion and safe communities.



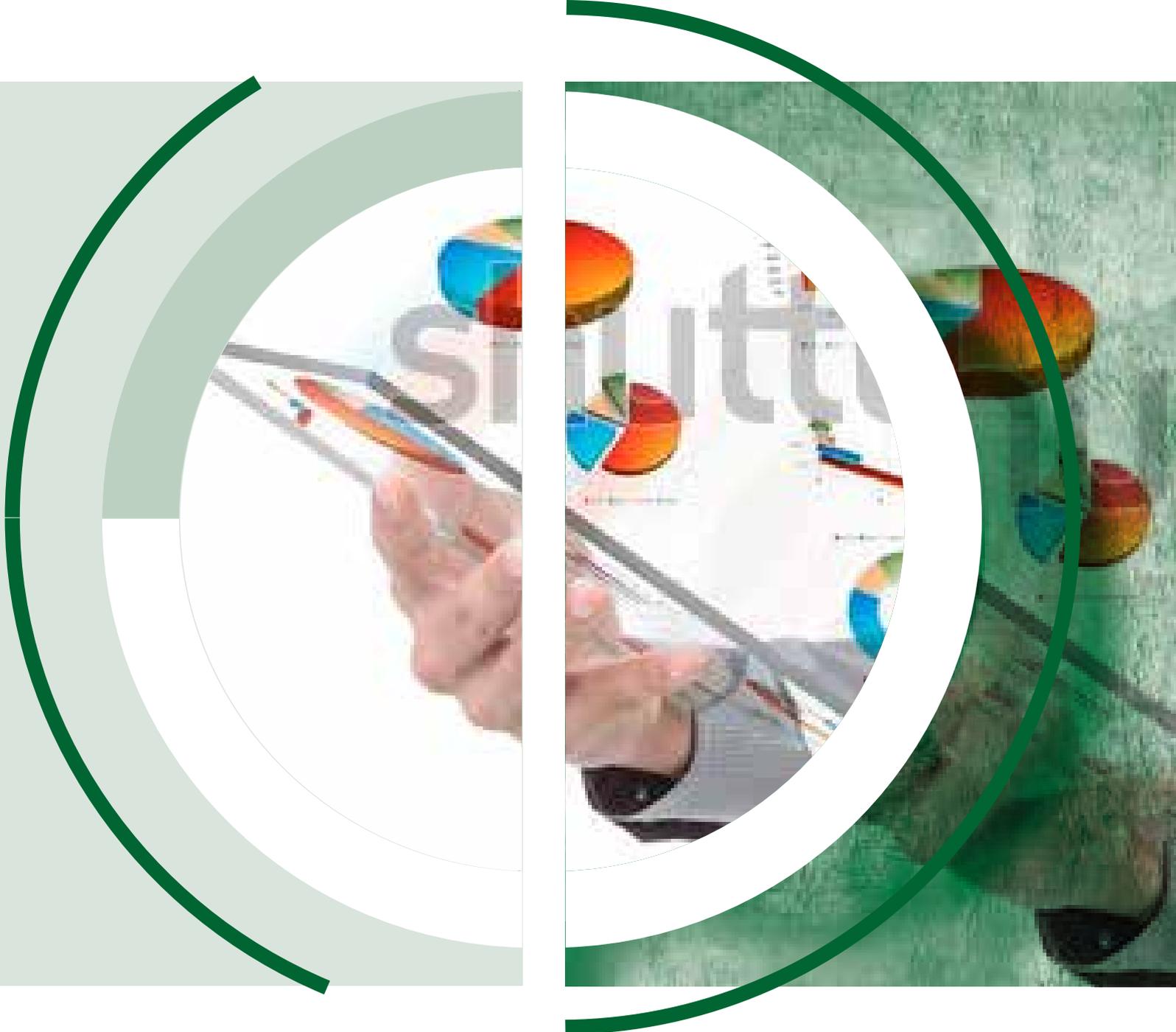
9. ORGANISATIONAL STRUCTURE



10. ENTITIES REPORTING TO THE MINISTER

The table below indicates the entities that report to the Minister.

Name of Entity	Legislative Mandate	Financial Relationship	Nature of Operations
South African Police Service	South African Police Service Act, 1995 (Act No. 68 of 1995)	None	To create a safe and secure environment for all people in South Africa
Civilian Secretariat for Police	Civilian Secretariat for Police Act, 2011 (Act No. 2 of 2011)	None	To provide technical advisory services to the Minister
Private Security Industry Regulatory Authority	Private Security Industry Regulation Act, 2001 (Act No. 56 of 2001)	None	To provide for the regulation of the Private Security Industry



PART B:

**Performance
Information**



1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to page 122 of the Report of the Auditor General, published as Part E: Financial Information.

2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

2.1 Service Delivery Environment

Status of Resources

In the 2020/2021 financial year the Department's budget was cut by R14,6 million. The cuts were as a result of the national response to the COVID-19 pandemic. The budget cut was mainly in the CoE which negatively affected the filling of vacant posts in addition to the impact of the national lockdown. Subsequent to the baseline reduction of the 2021 MTEF, all vacant posts in the establishment were reprioritised to accommodate these reductions. As a result, the vacancy rate was at 11.5 per cent (45) by 31 March 2021. There was no expansion of the organization structure, which remained at 391 by end of the financial year.

The reprioritisation existing capacity led to creation of fourteen (14) investigator positions which were allocated to four provincial offices that have high case intake and workload; which are Western Cape, Eastern Cape, KwaZulu/Natal and Gauteng.

Implementation of section 23 of the IPID Act

The Department continued to prioritise the full implementation of section 23 of the Independent Police Investigative Directorate Act (2011) in order to comply with the court orders. All payments processed were made possible through reprioritisation of the allocated budget, as there was no additional funding that was received to fund the implementation of section 23. The implementation will be finalised in the next financial year.

Amendment of the IPID Act

The Department has collaborated with the Civilian Secretariat for Police Service (CSPS) to finalise the amendment of the IPID Act. This Bill seeks to address all identified shortcomings in the Act that hampers attainment of IPID's mandate due to number of factors such as structural and operational independence, as well as condition of services.

Impact of COVID-19 pandemic and regulations on IPID's services

During the national lockdown, the IPID continued to provide key services to South African citizens. All IPID offices remained operational nationally. However, due to the lockdown restrictions, COVID-19 infections of IPID employees as well as other key stakeholders delayed the investigation process of cases. As a result, the achievement of the Annual Performance Plan (APP) targets set for the first six (6) months of the 2020/2021 financial year could not be achieved. Catch-up plans were developed for implementation in the third and fourth quarter period in order to improve overall performance which yielded positive results.



In the period under review, IPID experienced high intake of cases which increased by 9 percent (from 5 640 to 6 122) when compared to the previous financial year. The five (5) provinces with higher number of case intake ranging between 730 to 1200 are as follows: Western Cape (1 224), Gauteng (1 082), KwaZulu/Natal (763), Free State (731) and Eastern Cape (30). The case classification with highest case intake include: Assault (4 228), discharge of an official firearm (830), death as a result of police action (353), torture (256) and death in police custody (217). Assault contributes 69 per cent of the total case intake.

Access to IPID services

During the period under review the Department operated with nine (09) provincial offices and four (04) satellite offices which are located in Pretoria, Witbank, George and Thohoyandou. The Department's limited footprint has direct impact on accessibility of services. As a result, IPID envisages to share office space with other organs of state where possible in order to minimise operational costs and expand its footprint by regionalising provincial offices. Engagements have commenced with identified stakeholders within the JCPS cluster.

As part of improving service delivery a Toll-Free number was launched to ensure that members of the public are able to access services of IPID. Through this initiative, citizens will be able to report any police misconduct.

Relocation of IPID national office

The relocation project of the national office continued to unfold during the period under review. However; the COVID-19 pandemic delayed the project, particularly in the first six months of the financial year. The Department of Public Works handed over the new building to IPID management on 26 March 2021.

2.2 Service Delivery Improvement Plan

Main services and standards

Main services	Beneficiaries	Current/actual standard of service	Desired standard of service	Actual achievement
Investigation	Complainants / victim	45% completion of assault cases	51%	27% (2 468/9 058)

Batho Pele arrangements with beneficiaries (Consultation access etc.)

Current/actual arrangements	Desired arrangements	Actual achievements
Community awareness campaigns	40 community outreach events conducted	38 community outreach events were conducted

Service delivery information tool

Current/actual information tools	Desired information tools	Actual achievements
Departmental website	Introduction of social media platforms	Twitter introduced

Complaints mechanism

Current/actual complaints mechanism	Desired complaints mechanism	Actual achievements
Office of the Executive Director	None	Majority of the complaints received from external stakeholders are received through office of the Executive Director and the National Anti-Corruption Hotline.



2.3 Organisational environment

- 2.3.1 The Chief Financial Officer resigned in December 2020, and the Director Finance was appointed to act henceforth in the position.
- 2.3.2 The new Executive Director was appointed in August 2020.

2.4 Key Policy Developments and Legislative Changes

The amendment of Section 6 of the IPID Act of 2011 was asserted by the President on 26 May 2020. The amendments were effected in line with the judgment that further reinforced IPID's structural and operational independence. The amendment of the entire IPID Act is still in progress.

3. PROGRESS TOWARDS ACHIEVEMENT OF INSTITUTIONAL IMPACTS AND OUTCOMES

Effective and efficient administrative support

The ICT systems availability improved from 80 per cent to 95 per cent by end of the financial year; which demonstrated an improved level of technical support that was provided to ensure that downtime of Case Management System, emails and the Departmental Website is properly managed to reduce service interruptions. The Department maintained an unqualified audit opinion for the 2019/2020 financial year.

Reduced level of police criminality and misconduct

The number of criminal cases, decided by the NPA on whether to prosecute or not, stands at 739. This exceeded the Department's projected target in the first year of implementation. The number of disciplinary recommendations that are finalised by the SAPS and MPS is 402; exceeding the projected target for the period under review. The achievement is satisfactory, and progress will continue to be monitored regularly to ensure attainment of the five-year targets.

Department's legal interests protected

Investigation challenges that were encountered in three (3) cases were successfully addressed as a result of the legal advice provided. Three (3) claims were settled during the period under review amounting to R492 000 out of the R49 853 000 total contingency liability.

Improved awareness on IPID's services

Despite the travelling and gathering restrictions imposed due to the COVID-19 lockdown regulations, the Department during the last six (6) months of the financial year continued driving and creating awareness on IPID services throughout the country. Innovative ways were also employed to ensure that community outreach programmes were conducted. A total of 2000 people were reached during community outreach events.





4. PERFORMANCE INFORMATION BY PROGRAMME

To effectively and efficiently deliver on our mandate, our activities and priorities are categorized according to the following budget programmes:

- Programme 1: Administration
- Programme 2: Investigation and Information Management
- Programme 3: Legal and Investigation Advisory Services
- Programme 4: Compliance Monitoring and Stakeholder Management

4.1 Programme 1: Administration

Purpose: To provide strategic leadership, management and support services to the Department.

The Programme's activities are guided by the following key legislative framework; Public Finance Management Act (PFMA), Preferential Procurement Policy Framework Act (PPPFA), Treasury Regulations, Public Service Act (PSA), Public Service Regulations (PSR), Labour Relations Act, Skills Development Act, Employment Equity Act, Basic Conditions of Employment Act, Government Immovable Asset Management Act (GIAMA), National Archives Act, Minimum Information Security Standards (MISS), Public Administration Management Act (PAMA), Promotion of Access to Information Act (PAIA) and etc.

The Programme's main outcome is to provide effective and efficient administrative support, and consists of the following five (5) sub-programmes:

- Departmental management provides strategic leadership, overall management and strategic reporting of the Department and ensures overall compliance with all relevant prescripts through the following six (6) components

Components	Purpose
Executive Support	Provides strategic support to the Executive Director of the IPID. It also provides administrative, logistical and secretariat services and coordination of activities in the Office of the Executive Director.
Corporate Governance	Provides risk and ethics management services and ensures compliance with laws, regulations and other prescripts.
Strategy and Performance Monitoring	Is responsible for implementing effective organisational strategic planning, performance monitoring and reporting processes in line with relevant legislations. It also conducts evaluation to improve department's performance.
Vetting Services	Provides pre-employment screening as well as information gathering for existing employees in order to obtain security clearances in line with the IPID Act.
Labour Relations	Manages labour related issues by coordinating orderly collective bargaining and effective resolution of employee labour disputes.
Security Management	Provides security management services by developing and supporting the implementation of security policies, systems and procedures. It provides access security, information security and physical security and monitors the implementation of information technology policies based on Minimum Information Security Standards, Minimum Physical Security Standards and the Occupational Health and Safety Act.

- Internal Audit provides assurance and consulting services by conducting risk based audit reviews and performing ad-hoc requests.



- c) Finance Services ensures the establishment and implementation of strategic finance pertaining to sound financial management, accounting, procurement, provisioning and related internal controls in compliance with relevant legislative requirements. The sub-programme consists of the following components:

Components	Purpose
Office of the Chief Financial Officer	Provides strategic support to the Executive Director and core service delivery Programmes, pertaining to finance services of the Department. The Component provides effective leadership and ensures the establishment and implementation of strategic finance, for the achievement of departmental objectives. This Component provides leadership to the Finance, Supply Chain Management (SCM) and Asset Management components. This component also provides strategic support in the implementation of relevant IPID Act financial management imperatives.
Finance	Provides for the establishment and implementation of sound financial management, expenditure and budgetary management, accounting services, cash-flow management, financial reporting and related internal control systems in compliance with relevant legislative requirements. It also assists the Executive Director in implementing the legislative imperatives as provided for in section 7(1)(a), section 7(1)(b); section 31(1) (a) and section 32(2)(a) of the IPID Act. It provides critical finance support to all service delivery units within the department for the achievement of departmental objectives.
Supply-Chain and Asset Management	Provides for the establishment and implementation of provisioning, procurement, asset management and related internal control systems, in compliance with relevant legislative requirements. It provides critical supply-chain and asset management services to the Department and renders efficient provisioning services which contribute towards the attainment of departmental objectives.

- d) Corporate Services provides support services to the Department as a whole through the following components:

Components	Purpose
Human Resources Management and Development Services	Provides human resources management and development services through the development of human resource policies and strategies. It ensures the alignment of the organisational structure to the Strategic Plan. It is responsible for rendering efficient and effective human resource administration services. It promotes the optimal development and utilisation of human resources and co-ordinates the employee health and wellness programme.
Information Communication Technology	Provides communication services by developing, implementing and maintaining Information Communication Technology Strategy and advisory services. It is responsible for development and implementation of a Master System Plan and Strategy for Information System Security. It develops, manages and co-ordinates website, intranet and integrated ICT infrastructure. It also provides Business Continuity services.
Auxiliary Services	Provides record management services, manage fleet services, render switchboard services, render messenger services and oversee the rendering of cleaning services. It also provides overall services related to activities and costs of office accommodation for the Department as a whole. This includes managing Service Level Agreement with Department of Public Works regarding the renting of new property and maintenance of existing property.

- e) Office Accommodation houses the devolved funds which are appropriated for office accommodation and related costs. The Auxiliary Services component performs the management of IPID facilities.



Outcomes, outputs, output indicators, targets and actual achievements

Programme1: Administration								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
Effective and efficient administrative support	Progress reports	Percentage implementation of ICT Infrastructure Plan per year	New indicator	50% (4)	90%	12% (8/65)	-78%	Target not achieved Implementation of the projects was affected by budget cuts. Progress of three (3) projects was dependent on the relocation of head office to a new building.
	Audit reports	Percentage implementation of annual Internal Audit Plan per year	92% (24)	79% (23)	90%	90% (18/20)	None	Target achieved
	Risk progress reports	Percentage implementation of risk mitigation strategies per year	44% (8)	21% (3)	60%	57% (13/23)	-3%	Target not achieved Some of the mitigation strategies were partially achieved and others were dependent on relocation of head office to a new building.
	E-disclosure report	Percentage compliance of SMS financial interests submitted through e-disclosure per year	New indicator	New indicator	100%	97% (29/30)	-3%	Target not achieved One official indicated that they submitted on 01 April 2020; however, the system's audit trail did not support that. The official subsequently submitted the disclosure after the due date. The matter was referred to Labour Relations.



Programme1: Administration								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
	Vacancy report	Percentage vacancy rate per year	13.49%	9.97% (39)	<10%	11,5% (45/391)	-1.5%	Target not achieved The reduction of Department's baseline budget allocation for 2021 MTEF, mainly on Compensation of Employees had an impact on filling vacant position. All vacant posts were put on hold and reprioritised to accommodate the budget cut.

Strategy to overcome areas of under performance

- ICT Plan to be reviewed in line with the MTEF budget allocation. Projects that could not be implemented were deferred to the 2021/2022 financial year.
- Monthly monitoring of progress on implementation of risk mitigation strategies will be done. Progress will be tabled at monthly MANCO meetings.
- ED Directive No.2 was issued to give direction on filling of vacant positions

Linking performance with budgets (CFO)

The Programme reported overall actual expenditure of R90 354 000 against the allocated budget of R90 354 000, which translated to 100 per cent against the straight-line target of 100 per cent. There was a deviation of R123 after final virement. The main cost drivers in this programme, aside from the COE, remained to be Operating Lease: Buildings, Property Payments: Municipality Services and Computer Services: Data Lines.

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Department Management	14 386	14 386	-	13 637	13 636	1
Corporate Services	37 666	37 666	-	34 307	34 302	5
Office Accommodation	13 870	13 870	-	13 147	13 147	-
Internal Audit	5 076	5 076	-	5 399	5 398	1
Finance Services	19 356	19 356	-	20 596	20 593	3
Total	90 354	90 354	-	87 086	87 076	10



4.2 Programme 2: Investigation and Information Management

Purpose: To coordinate and facilitate the Department’s investigation processes through the development of policy and strategic frameworks that guide and report on investigations.

The programme has one outcome “reduced level of police criminality and misconduct”. It consists of the following three (3) sub-programmes:

- Investigation Management develops and maintains investigation systems, procedures, norms, standards and policies in line with the IPID Act (2011) and other relevant prescripts.
- Investigation Services manages and conducts investigations in line with provisions in the IPID Act (2011)
- Information Management manages information and knowledge-management services through the development and maintenance of a Case Flow Management System and database, analyses and compiles statistical information.

Outcomes, outputs, output indicators, targets and actual achievements

Programme 2: Investigation and Information Management								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
Reduced level of police criminality and misconduct	Case Investigative Report	Number of investigations of deaths in police custody that are decision ready per year	154	174	180	195	15	Target exceeded Cases were prioritised and performance was monitored on weekly basis.
		Number of investigations of deaths as a result of police action cases that are decision ready per year	157	141	280	259	-21	Target not achieved Delays in obtaining technical reports such as ballistic, post-mortem, histology) and constant temporary closure of laboratory due to COVID-19 infections affected performance.
		Number of investigations of discharge of an official firearm by a police officer that are decision ready per year	337	257	370	413	43	Target exceeded Cases were prioritised and performance was monitored on weekly basis.



Programme 2: Investigation and Information Management								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
		Number of investigations of rape by police officer that are decision ready per year	100	90	130	81	-49	Target not achieved Delays in obtaining technical reports such as DNA and low active workload of cases in the period under review.
		Number of investigations of rape while in police custody that are decision ready per year	12	8	15	17	2	Target exceeded Cases were prioritised and performance was monitored on weekly basis.
		Number of investigations of torture that are decision ready per year	81	62	80	137	57	Target exceeded Cases were prioritised and performance was monitored on weekly basis.
		Number of investigations of assault that are decision ready per year	1 794	1 461	2 000	2 468	468	Target exceeded Cases were prioritised and performance was monitored on weekly basis.
		Number of investigations of corruption that are decision-ready per year	60	35	85	52	-33	Target not achieved Investigation is complex and takes long to complete. There were also challenges with witnesses not being able to identify alleged suspects or provide information.
		Number of investigations of other criminal and misconduct matters referred to in section 28(1)(h) of the IPID Act that are decision ready per year	57	10	10	19	9	Target exceeded Cases were prioritised and performance was monitored on weekly basis.



Programme 2: Investigation and Information Management								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
		Number of investigations of offences referred to in section 33 of the IPID Act that are decision ready per year	New indicator	31	25	36	11	Target exceeded Cases were prioritised and performance was monitored on weekly basis.
		Number of approved systemic corruption investigations that are decision ready per year	4	4	3	2	-1	Target not achieved Due to the complex nature of systemic corruption matters, investigation was still in progress for other active cases.
		Percentage of dockets referred to the National Prosecuting Authority within 30 days of being signed off per year	96% (1 964)	95% (2 331)	90%	90% (1 703/1 887)	None	Target achieved
		Percentage of recommendation reports referred to the South African Police Service and Municipal Police Services within 30 days of being signed off per year	93% (2 198)	94% (2 552)	90%	90% (1 989/2 220)	None	Target achieved

Strategy to overcome areas of under performance

- Engagement with Department of Health and Forensic Science Laboratory on outstanding technical reports and making use of independent service providers on urgent matters.
- Cases of corruption will be regularly monitored to improve performance.

Linking performance with budgets

Programme 2 reported an overall actual expenditure of R232 263 000 against the allocated budget of R232 264 000, which translated to 100 per cent as at the end of March 2021. There was a deviation of R827 after final Virement. The main cost drivers in this programme besides COE, remained to be property payments, fleet services, travel & subsistence and communications.



The Programme's other key expenditure activity in the year under review, was the implementation of Section 23 of the IPID Act which resulted in reprioritisation of funds from other economic classifications and Programme to this programme under COE. As at 31 March 2021, the outstanding Section 23 backdated salaries for investigators at various levels were settled. The National Treasury approval was granted to address the projected budget pressure which was anticipated due to the implementation of Section 23.

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Investigation Management	15 818	15 818	-	16 802	16 801	1
Investigation Services	212 151	212 150	1	212 429	212 429	0
Information Management	4 295	4 295	-	4 152	4 152	-
Total	232 264	232 263	1	233 383	233 382	1

4.3 Programme 3: Legal and Investigation Advisory Services

Purpose: To manage and facilitate the provision of investigation advisory services. Provide legal, civil and labour litigation services.

The programme has one outcome "department's legal interests protected". It consists of the following three (3) sub-programmes:

- Legal Support and Administration manages the Directorate's legal obligations by developing and maintaining systems, procedures and standards to assist, guide and direct legal support within the Directorate.
- Litigation Advisory Services coordinates civil and labour litigation. Other key activities and outputs include drafting and reviewing contracts and service level agreements.
- Investigation Advisory Services provides support during and after investigations, provides legal guidance to investigators and also facilitates the granting of policing powers.

Outcomes, outputs, outcome indicators, targets and actual achievements

Programme 3: Legal and Investigation Advisory Services								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
Department's legal interests protected	Documented legal advice	Percentage of legal advice provided to investigators within 2 working days of receipt per year	100% (7)	100% (5)	95%	100% (9/9)	None	Target achieved



Programme 3: Legal and Investigation Advisory Services								
Outcome	Output	Output Indicator	2018/19	2019/20	2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
	Documented legal advice	Percentage of legal advice provided to the department within 30 working days of receipt per year	88% (7)	100% (8)	70%	86% (18/21)	None	Target exceeded Due to effective monitoring processes
	Appointment of state attorney	Percentage of litigation matters referred with instructions to the state attorney within 10 working days of receipt per year	New indicator	New Indicator	100%	100% (18/18)	None	Target achieved
	Signed contracts	Percentage of contracts and service level agreements finalised within 30 working days of request per year	94% (33)	96% (24)	90%	100% (16/16)	None	Target exceeded Due to effective monitoring processes

Strategy to overcome areas of under performance

- All target for the programme were achieved.

Linking performance with budgets

Programme 3 reported overall actual expenditure of R5 635 000 against the allocated budget of R5 671 000, which translated to 99.37 per cent against the straight-line target of 100 per cent. This is a deviation of 0.63 per cent under the straight-line target which translates to R36 000 in monetary value. Aside from COE, travel & subsistence and communication remained to be the cost driver for the Programme.

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Legal Support and Administration	1 709	1 704	5	1 918	1 918	-
Litigation Advisory Services	1 832	1 831	1	1 280	1 280	-
Investigation Advisory Services	2 130	2 100	30	2 15	2 122	28
Total	5 671	5 635	36	5 348	5 320	28



4.4 Programme 4: Compliance Monitoring and Stakeholder Management

Purpose: To monitor and evaluate the relevance and appropriateness of recommendations made to the South African Police Service (SAPS) and Municipal Police Service (MPS) in terms of the Independent Police Investigative Directorate Act, 2011.

The programme has one outcome “improved awareness on IPID’s services”. It consists of the following two (2) sub-programmes:

- a) Compliance Monitoring monitors and evaluates the quality of recommendations made and responses received from on such recommendations from the South African Police Service, Municipal Police Service and National Prosecuting Authority in compliance with the reporting obligations in terms of the IPID Act, 2011.
- b) Stakeholder Management manages relations and liaises with the Directorate’s key stakeholders such as the South African Police Service, Municipal Police Service, Civilian Secretariat for Police, National Prosecuting Authority, the Special Investigating Unit, the Public Protector of South Africa, the State Security Agency and civil society organisations, in line with the requirements of the IPID Act.

Outcomes, outputs, output indicators, targets and actual achievements

Programme 4: Compliance Monitoring and Stakeholder Management									
Outcome	Output	Output Indicator	2018/19		2020/2021				
			Audited Actual Achievement	2019/20	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	Reasons for revisions to the outputs / output indicators / annual targets
Improved awareness on IPID's services	Community outreach events	Number of community outreach events conducted per year	106	47	40	46	6	Target achieved and exceeded	Activities were on put on hold in the first six (6) months of the financial year. As a result the target was adjusted for the remainder of the financial year.
			138	143	136	107	-29	Target not achieved due to traveling and gathering restrictions as a result of covid-19 pandemic.	Activities were on put on hold in the first six (6) months of the financial year. As a result the target was adjusted for the remainder of the financial year.
Reduced level of police criminality and misconduct	Stakeholder engagements	Number of formal engagements held with key stakeholders per year	New Indicator	New Indicator	56	46	-10	Target not achieved due to traveling and gathering restrictions as a result of covid-19 pandemic.	Activities were on put on hold in the first six (6) months of the financial year. As a result the target was adjusted for the remainder of the financial year.
	Stakeholder engagements	Number of station lecture awareness trainings per year	New Indicator	New Indicator	56	46	-10	Target not achieved due to traveling and gathering restrictions as a result of covid-19 pandemic.	Activities were on put on hold in the first six (6) months of the financial year. As a result the target was adjusted for the remainder of the financial year.



Programme 4: Compliance Monitoring and Stakeholder Management								
Outcome	Output	Output Indicator	2018/19		2020/2021			
			Audited Actual Achievement	Planned Target	Actual Achievement	Deviation from planned target to Actual Achievement	Reasons for deviations	
Improved awareness on IPID's services	Community outreach events	Number of community outreach events conducted per year	106	30	46	16	Target exceeded Due to innovative ways that were employed to reach out to people through media platforms.	
	Stakeholder engagements	Number of formal engagements held with key stakeholders per year	138	107	107	None	Target achieved	
Reduced level of police criminality and misconduct	Stakeholder engagements	Number of station lecture awareness trainings per year	New indicator	42	46	None	Target exceeded The target was reduced during mid-year adjustments to comply with covid-19 gathering restrictions.	
	Analysis feedback	Number of recommendations referred to the SAPS and MPS that are analysed per year	2 366	1 100	2 173	None	Target exceeded Due to high number of recommendations that were referred to SAPS and MPS	
	Analysis feedback	Number of criminal referrals forwarded to the NPA that are analysed per year	1 950	1 500	1 838	None	Target exceeded Due to high number of criminal referrals that were submitted to NPA	
	Analysis feedback	Percentage of responses from the SAPS and MPS that are analysed per year	63% (386)	60%	74% (298/402)	None	Target exceeded Due effective monitoring processes	
	Analysis feedback	Percentage of responses from the NPA that are analysed per year	59% (326)	50%	68% (497/733)	None	Target exceeded Due effective monitoring processes	
	Inspection report	Number of case docket inspections conducted per year	New Indicator	5	5	5	None	Target achieved



Strategy to overcome areas of under performance

- All targets were achieved.

Linking performance with budgets

The Programme 4 reported actual expenditure overall of R12 687 000 against the allocated budget of R12 687 000, which translated to 100 per cent against the straight-line target. This was after a virement amounting to R121 000 that was applied from this Programme to Programme 2. Expenditure compared to previous year was high, mainly due to the payment of forensic investigation on allegations of premature closing of cases.

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
		R'000	R'000	R'000	R'000	R'000
Compliance Monitoring	8 418	8 418	-	6 561	6 561	-
Stakeholder Management	4 269	4 269	-	4 275	4 271	4
Total	12 687	12 687	-	10 836	10 832	4

Reporting on the Institutional Response to the COVID-19 Pandemic

Budget Programme	Intervention	Geographic Location	No. of Beneficiaries	Disaggregation of Beneficiaries	Total Budget Allocation per Intervention (R'000)	Budget spent per Intervention	Contribution to the Outputs in the APP	Immediate Outcome
Programme 2: Investigation & Information Management	Procurement of Personal Protective Equipment and Disinfecting of workspace/ station	National Office and nine (9) Provincial offices	346	Males: 179 Females: 167 35 and younger: 59 Males: 27 Females: 38	R2 074 891.00	R2 075 476.00	N/A	Conducive business environment operations and activities

5. TRANSFER PAYMENTS

Not applicable to the Department.

6. CONDITIONAL GRANTS

Not applicable to the Department.

7. DONOR FUNDS

Not applicable to the Department.

8. CAPITAL INVESTMENT

Not applicable to the Department.



STATISTICAL REPORT ON INVESTIGATIONS

1. INTRODUCTION

The purpose of this report is to outline the investigative activities of the Independent Police Investigative Directorate (IPID) for the 2020/2021 financial year. The report details the number and type of cases investigated, the recommendations made as well as the outcome of those recommendations, in accordance with section 9(n) of the IPID Act read with section 28 thereof.

2. THE MANDATE OF THE IPID IN RESPECT OF INVESTIGATIONS AND RECOMMENDATIONS

In terms of Section 28(1) of the IPID Act 1 of 2011, the IPID is obligated to investigate the following matters:

- (a) any deaths in police custody;
- (b) deaths as a result of police actions;
- (c) any complaint relating to the discharge of an official firearm by any police officer;
- (d) rape by a police officer, whether the police officer is on or off duty;
- (e) rape of any person while that person is in police custody;
- (f) any complaint of torture or assault against a police officer in the execution of his or her duties;
- (g) corruption matters within the police initiated by the Executive Director on his or her own, or after the receipt of a complaint from a member of the public, or referred to the Directorate by the Minister, an MEC or the Secretary, as the case may be; and
- (h) any other matter referred to it as a result of a decision by the Executive Director, or if so requested by the Minister, an MEC or the Secretary as the case may be, in the prescribed manner.

In terms of Section 28(2) of the IPID Act 1 of 2011, the IPID may investigate matters relating to systemic corruption involving the police.

In addition to the above provision of the IPID Act 1 of 2011, Section 29 of the Act places an obligation on members of the South African Police Service (SAPS) and Municipal Police Service (MPS), to report all matters referred to in Section 28(1)(a) to (g) to the IPID immediately upon becoming aware of such a matter and within 24 hours, forward the said report in writing to the IPID. After investigation the IPID makes appropriate recommendations to the SAPS/MPS which in turn must initiate disciplinary proceedings in compliance with Section 30 of the Act. The SAPS is required in terms of Section 30 to report to the Minister of Police on the recommendations forwarded to it by the IPID. The IPID is further required in terms of Section 7 of the Act to refer recommendations to the Director of Public Prosecutions (DPP) and report to the Minister of Police on such recommendations and the outcome thereof.



3. SECTION A: CASE INTAKE IN TERMS OF THE IPID MANDATE

The following is a statistical breakdown of the cases the Department received, the recommendations referred, and the outcome of cases investigated during the period under review.

A total of 6 122 cases were reported to the IPID during the reporting period. The majority of the cases reported were within Section 28(1) (a) to (h). Of these, 4 228 were assault cases, 830 were cases of complaints of discharge of an official firearm(s), 353 were cases of deaths as a result of police action followed by 256 cases of torture.

The following is a statistical breakdown of cases that were received during the period under review.

Table 1(a): Annual intake for the period under review	Incident(s)
Section 28(1)(a)-deaths in police custody	217
Section 28(1)(b)-deaths as a result of police action	353
Section 28(1)(c)-complaints of discharge of an official firearm(s)	830
Section 28(1)(d)-rape by police officer	80
Section 28(1)(e)-rape in police custody	15
Section 28(1)(f)-torture	256
Section 28(1)(f)-assault	4 228
Section 28(1)(g)-corruption	66
Section 28(1)(h)-other criminal matter and misconduct	30
Section 28(2)-systemic corruption	-
Non-compliance with Section 29 of IPID Act	47
Total	6 122*

*This number refer to cases against both the SAPS and MPS

Table 1(b) shows an increase in the number of cases reported when compared with the previous year 2019/2020. The IPID has experienced an overall increase of 9 per cent compared to the 2019/2020 financial year intake.

Table 1(b): Annual intake comparisons	2019-2020	2020-2021	Percentage changes
Section 28(1)(a)-deaths in police custody	237	217	-8%
Section 28(1)(b)-deaths as a result of police action	392	353	-10%
Section 28(1)(c)-complaint of the discharge of official firearm(s)	684	830	21%
Section 28(1)(d)-rape by police officer	120	80	-33%
Section 28(1)(e)-rape in police custody	11	15	36%
Section 28(1)(f)-torture	216	256	19%
Section 28(1)(f)-assault	3 820	4 228	11%
Section 28(1)(g)-corruption	84	66	-21%
Section 28(1)(h)-other criminal matter	18	30	67%
Section 28(2)-systemic corruption	1	-	-100%
Non-compliance with Section 29 of IPID Act	57	47	-18%
Total	5 640*	6 122*	9%

The IPID investigated 5 998 cases which involved the SAPS members and 111 cases involving the MPS on various criminal offences. The remaining 13 cases involved the civilians.



Table 1(c): Annual intake for the period under review	SAPS	MPS	Inmate(s) / Civilian(s)
Section 28(1)(a)-deaths in police custody	217	-	-
Section 28(1)(b)-deaths as a result of police action	333	20	-
Section 28(1)(c)-complaint of the discharge of official firearm(s)	807	23	-
Section 28(1)(d)-rape by police officer	77	3	-
Section 28(1)(e)-rape in police custody	2	-	13
Section 28(1)(f)-torture	255	1	-
Section 28(1)(f)-assault	4 170	58	-
Section 28(1)(g)-corruption	60	6	-
Section 28(1)(h)-other criminal matter	30	-	-
Section 28(2)-systemic corruption	-	-	-
Non-compliance with Section 29 of IPID Act	47	-	-
Total	5 998	111	13

*Inmate(s)/civilian(s) committed further crimes while in police cells

Figure 1

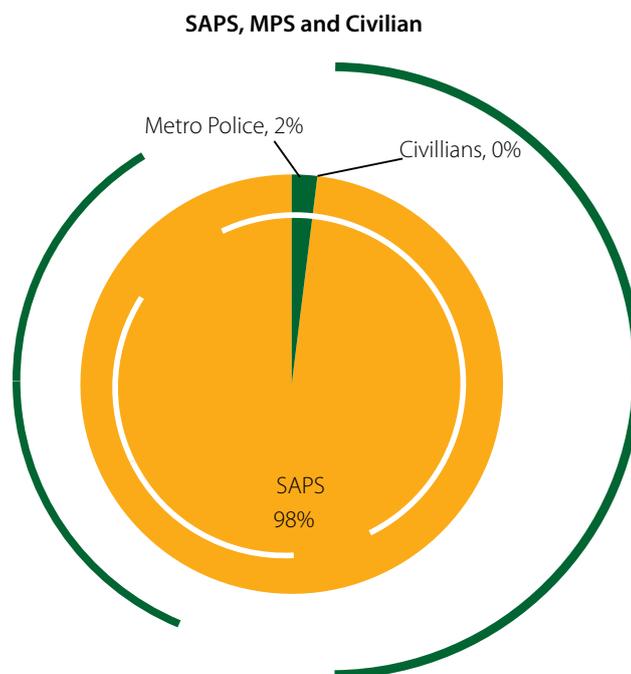




Figure 2 below shows the percentage of cases reported as per Section 28 and 33 of the IPID Act. Most cases reported were related to allegations of assault (69 per cent), followed by complaints of discharge of an official firearm (14 per cent), death as a result of police action (6 per cent) and death in police custody (4 per cent). This means that the majority of resources were spent investigating assault cases.

Intake per section & percentages

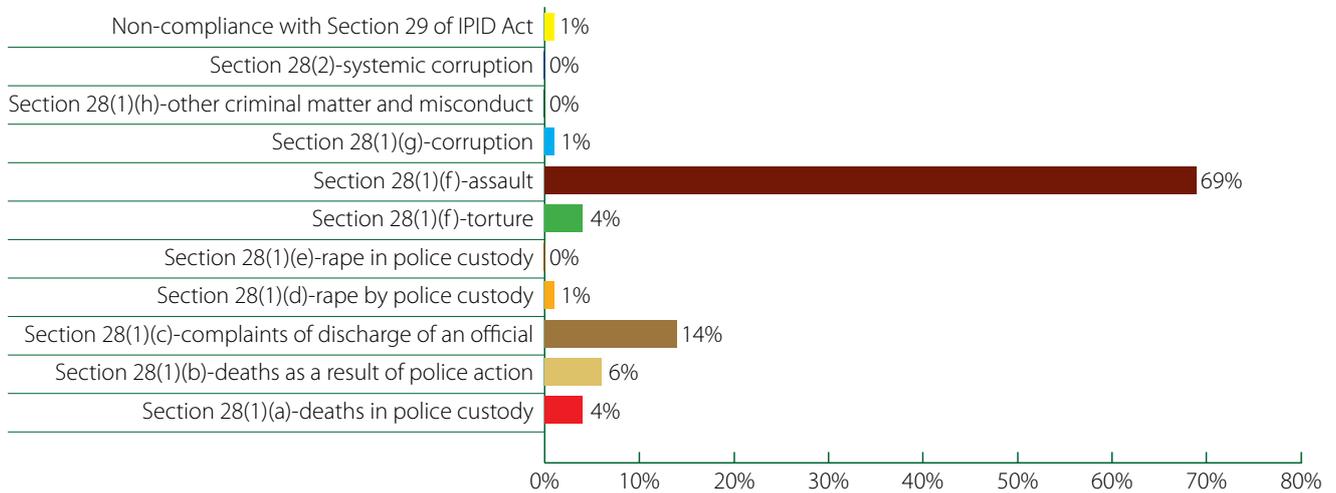


Table 2 below shows the total number of cases reported per province and per category for the period under review (2020/2021). The province that received the highest number of cases was the Western Cape with 1 224 cases, followed by Gauteng with 1 082 cases and KwaZulu-Natal with 763 cases.

Table 2: Annual Intake per province and per category- 2020/2021

Province	Deaths in police Custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Torture	Assault	Corruption	Other criminal matters/misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	25	36	125	8	1	61	468	3	-	-	3	730
Free State	19	20	69	12	-	33	564	3	7	-	4	731
Gauteng	57	89	176	16	1	14	679	36	1	-	13	1 082
KwaZulu-Natal	33	104	121	10	-	85	388	13	7	-	2	763
Limpopo	22	20	70	4	9	5	289	2	4	-	2	427
Mpumalanga	18	24	102	8	-	27	300	4	8	-	19	510
North West	18	9	38	7	-	11	256	-	-	-	-	339
Northern Cape	2	6	25	2	-	1	268	2	1	-	2	309
NSIT	-	1	-	-	-	2	-	3	-	-	1	7
Western Cape	23	44	104	13	4	17	1016	-	2	-	1	1 224
Total	217	353	830	80	15	256	4 228	66	30	-	47	6 122



3.1 INTAKE AS PER SECTION 28(1)(a) AND (b) – DEATHS IN POLICE CUSTODY AND DEATHS AS A RESULT OF POLICE ACTION

Table 3(a) below shows the number of incidents of death in police custody and death as a result of police action reported per province for the period under review as well as percentage contribution. It is evident that deaths as a result of police action have contributed more to the total amount of incidents of death. KwaZulu-Natal has the largest number of cases with 104 (29 per cent), followed by Gauteng with 89 (25 per cent), Western Cape with 44 (12 per cent) and Eastern Cape with 36 (10 per cent) cases.

Table 3(a): Annual incidents of deaths in police custody and as a result of police action						
Province	Incidents of deaths in police custody		Incidents of deaths as a result of police action		Total incidents	
Eastern Cape	25	12%	36	10%	61	11%
Free State	19	9%	20	6%	39	7%
Gauteng	57	26%	89	25%	146	26%
KwaZulu-Natal	33	15%	104	29%	137	24%
Limpopo	22	10%	20	6%	42	7%
Mpumalanga	18	8%	24	7%	42	7%
North West	18	8%	9	3%	27	5%
Northern Cape	2	1%	6	2%	8	1%
NSIT	-	-	1	0%	1	0%
Western Cape	23	11%	44	12%	67	12%
Total	217	100%	353	100%	570	100%

Table 3(b) below compares the number of incidents of death in police custody reported during the period under review (2020/2021) to the same period in the previous financial year (2019/2020). Most provinces experienced a decrease in cases related to death in police custody except KwaZulu-Natal, Free State, Western Cape and Gauteng.

Table 3(b): Comparative Annual intake of Death in police custody			
Province	2019/2020	2020/2021	Percentage changes
Eastern Cape	33	25	-24%
Free State	17	19	12%
Gauteng	55	57	4%
KwaZulu-Natal	24	33	38%
Limpopo	30	22	-27%
Mpumalanga	27	18	-33%
North West	19	18	-5%
Northern Cape	11	2	-82%
NSIT	-	-	-
Western Cape	21	23	10%
Total	237	217	-8%

Table 3(c) below compares the number of incidents of death as a result of police action reported during the period under review (2020/2021) to the same period in the previous financial year (2019/2020). A number of provinces experienced a decrease in cases related to deaths as a result of police action except Northern Cape, KwaZulu-Natal and North West.



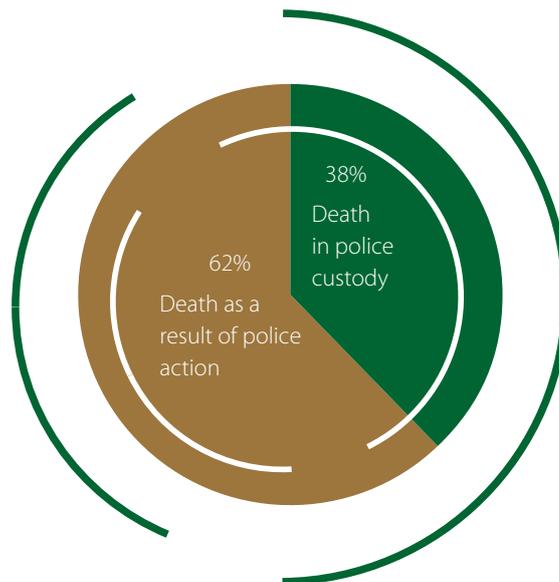
Table 3(c): Comparative Annual intake of Death as a result of police action

Province	2019/2020	2020/2021	Percentage changes
Eastern Cape	31	36	-10%
Free State	23	20	-13%
Gauteng	108	89	-18%
KwaZulu-Natal	95	104	9%
Limpopo	21	20	-5%
Mpumalanga	29	24	-17%
North West	9	9	0%
Northern Cape	3	6	100%
NSIT	-	1	100%
Western Cape	64	44	-31%
Total	392	353	-10%

Figure 3 below shows the percentage reported of death related cases. Deaths in police custody contributed 38 per cent while deaths as a result of police action contributed 62 per cent.

Figure 3

Cases of death in police custody & death as a result of police action



In some instances, incidents of death as a result of police action had more than one victim, for example incidents of business robbery and hijacked vehicles. There were incidents where three (3) or more victims died and were noted in the following provinces; Gauteng and KwaZulu-Natal. Gauteng had recorded ten (10) incidents of multiple death ranging between 2 to 5 victims in a scene and KwaZulu-Natal recorded eight (8) incidents of multiple death ranging between 2 to 3 victims.



Table 4 shows the total number of incidents of deaths as a result of police action and the number of deceased per province for the period under review.

Table 4: Annual death as a result of police action - Incidents versus number of deaths				
Province	Incidents of death		Number of deceased	
	2019/2020	2020/2021	2019/2020	2020/2021
Eastern Cape	40	36	41	37
Free State	23	20	24	21
Gauteng	108	89	116	107
KwaZulu-Natal	95	104	104	114
Limpopo	21	20	24	23
Mpumalanga	29	24	34	25
North West	9	9	9	9
Northern Cape	3	6	3	6
NSIT	-	1	-	1
Western Cape	64	44	69	45
Total	392	353	424	388

Table 5(a) shows the total of deaths in police custody per circumstance for the period under review. Most deaths in police custody can be attributed to suicide with 64 cases, followed by natural causes with 58 cases and injuries sustained prior to custody (vigilantism) with 49 cases.

Table 5(a): Annual intake per circumstances - Deaths in custody	Incident(s)
Injuries sustained in custody (Escape)	3
Suicide (accidental suicide or other)	3
Injuries sustained in custody (Inmates)	10
Assaulted	10
Injuries sustained prior to custody (Crime related)	14
Assault	3
Shot with private firearm	8
Shot with service firearm	3
Injuries sustained prior to custody (SAPS)	8
Assaulted	3
Shot with service firearm	2
Suffocation	3
Injuries sustained in custody (Suicide)	3
Poisoning	2
Suicide (accidental suicide or other)	1
Injuries sustained in custody (SAPS)	1
Vehicle collision while in police operated vehicle	1
Injuries sustained prior to custody (Vigilantism)	49
Assaulted	49
Natural Causes	59
Natural Causes	59
Suicide	64
Suicide (hanging)	64
Injuries sustained prior to custody (Suicide)	6



Table 5(a): Annual intake per circumstances - Deaths in custody		Incident(s)
Suicide (accidental suicide or other)		3
Poisoning		3
Total		217

Table 5(b) below shows the incidents of suicide in police custody per province. Most incidents were reported in Western Cape with seventeen (17) cases, followed by Gauteng with fourteen (14) cases and Free State with ten (10) cases.

Table 5(b): Suicide-hanging		
Province	Suicide (Hanging)	Percentages
Eastern Cape	1	1%
Free State	10	16%
Gauteng	14	22%
KwaZulu-Natal	8	13%
Limpopo	4	6%
Mpumalanga	6	9%
North West	3	5%
Northern Cape	1	1%
Western Cape	17	27%
Total	64	100%

A comparative analysis of suicide hanging was done for the previous financial year report (2019/2020) with the period under review (2020/2021). Four (4) provinces experienced a decrease in the number of suicide victims in police custody namely: Northern Cape, Eastern Cape, Mpumalanga and Limpopo. An overall decrease of 10% was experienced as indicated in table 5(c).

Items such as clothing, blankets were the main instruments used to commit suicides. The IPID notes with concern that other prohibited items such as rope, shoelaces and belts were used.

Table 5(c): Comparative Annual intake for Suicide (Hanging)			
Province	2019/2020	2020/2021	Percentage change
Eastern Cape	8	1	-88%
Free State	4	10	150%
Gauteng	11	14	27%
KwaZulu-Natal	8	8	0%
Limpopo	5	4	-20%
Mpumalanga	12	6	-50%
North West	1	3	200%
Northern Cape	9	1	-89%
Western Cape	13	17	31%
Total	71	64	-10%



Table 6 below shows the circumstances in which deaths as a result of police action occurred during the period under review. The analysis of circumstances surrounding death as a result of police action reveals that most deaths occurred during police operations which include response to a crime, arrest, negligence handling of official vehicles, escape and deaths associated with domestic violence.

Table 6: Annual intake per circumstances-death as a result of police action	Incident(s)
A suspect died during the course of a crime	114
Assault	2
Suicide (Shooting)	1
Shot with service firearm	109
Suspects in vehicle collision, while being pursued by police	2
A suspect died during the course of an escape	10
Shot with service firearm	9
Suicide (Accidental suicide or other)	1
A suspect died during the course of an investigation	26
Assault	9
Shot with service firearm	14
Suffocation	3
A suspect died during the course of arrest	123
Assault	10
Shot with service firearm	106
Suffocation	1
Suicide (shooting)	1
Suicide (accidental suicide or other)	3
Suspects in vehicle collision, while being pursued by police	2
A bystander died during commission of a crime	4
Shot with private firearm	1
Shot with service firearm	3
A bystander died during the course of an escape of another	2
Shot with service firearm	1
Shot with private firearm	1
Domestic Violence deaths	21
Assault	2
Shot with service firearm	15
Suicide (shooting)	4
Crowd Management incidents	12
Shot with service firearm	11
Struck by police official (pedestrian accident)	1
Negligent handling of a firearm leading to death(s)	10
Shot with service firearm	9
Suicide (Shooting)	1
Negligent handling of an private vehicle leading to death(s)	2
Struck by police official (pedestrian accident)	1
Suspects in vehicle collision, while being pursued by police	1
Negligent handling of an official vehicle leading to death(s)	23



Table 6: Annual intake per circumstances-death as a result of police action		Incident(s)
Struck by police official (pedestrian accident)		10
Vehicle collision while in police operated vehicle		12
Suspects in vehicle collision, while being pursued by police		1
Private capacity deaths		6
Shot with service firearm		6
Total		353

Table 7 below shows places where deaths occurred. Most deaths occurred on the crime scene (301), followed by deaths in hospital/clinic (135) and deaths in police cells (16).

Table 7: Places where deaths occurred		Incident(s)
Places		
Ambulance		9
Court Cell		3
Crime Scene		301
Hospital/Clinic		135
Police Cells		16
Police Vehicle		6
Total		570

3.2 INTAKE AS PER SECTION 28(1)(c) – COMPLAINT OF THE DISCHARGE OF OFFICIAL FIREARM(S)

The total number and the percentages of cases reported in relation to cases of a complaint of discharge of an official firearm per province are depicted in Table 8 (a) below. Most of incidents were reported in Gauteng (176), followed by Eastern Cape (125) and KwaZulu-Natal (121).

Table 8(a): Complaint of discharge of an official firearm(s)		
Province	Incident(s)	Percentages
Eastern Cape	125	15%
Free State	69	8%
Gauteng	176	21%
KwaZulu-Natal	121	15%
Limpopo	70	8%
Mpumalanga	102	12%
North West	38	5%
Northern Cape	25	3%
Western Cape	104	13%
Total	830	100%



A further analysis of complaints on the discharge of an official firearm(s) was done. A comparison was made between the previous financial year and the period under review. Most provinces experienced an increase except Limpopo and North West. The IPID nationally experienced an increase of 21 per cent.

Table 8 (b): Comparative Annual intake of Complaint of the discharge of an official firearm(s)			
Province	2019/2020	2020/2021	Percentages
Eastern Cape	110	125	14%
Free State	60	69	15%
Gauteng	79	176	123%
KwaZulu-Natal	102	121	19%
Limpopo	88	70	-20%
Mpumalanga	93	102	10%
North West	39	38	-3%
Northern Cape	14	25	79%
Western Cape	99	104	5%
Total	684	830	21%

3.3 INTAKE AS PER SECTION 28(1)(d) – RAPE BY POLICE OFFICER(S)

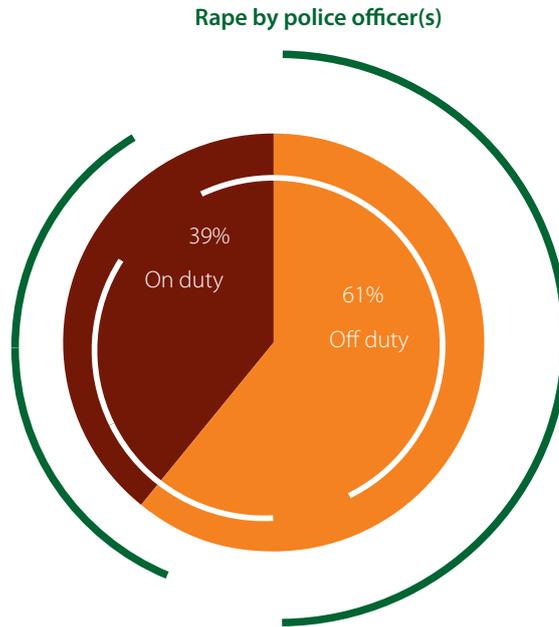
Table 9 (a) below shows the incidents of rape by the police officer(s), whilst on or off duty per province. Most incidents reported in Gauteng with sixteen (16) incidents, followed by Western Cape with twenty-three (23) incidents and Free State with twelve (12) incidents.

Table 9(a): Annual intake of Rape by police officer(s)			
Province	On duty	Off duty	Incident(s)
Eastern Cape	1	7	8
Free State	7	5	12
Gauteng	3	13	16
KwaZulu-Natal	6	4	10
Limpopo	1	3	4
Mpumalanga	4	4	8
North West	2	5	7
Northern Cape	1	1	2
Western Cape	6	7	13
Total	31	49	80



Figure 4 below is a diagrammatic representation of rape by police officer(s) whilst on and off duty.

Figure 4



A comparison of rape by police officer(s) was done between the previous year and the period under review. Most provinces increase experience a decrease except Free State, Limpopo and Mpumalanga. IPID nationally experienced a 33 per cent decrease.

Table 9(b): Comparative Annual intake of Rape by police officer(s)			
Province	2019/2020	2020/2021	Percentages
Eastern Cape	13	8	-38%
Free State	7	12	71%
Gauteng	23	16	-30%
KwaZulu-Natal	22	10	-55%
Limpopo	3	4	33%
Mpumalanga	6	8	33%
North West	8	7	-13%
Northern Cape	14	2	-86%
Western Cape	24	13	-46%
Total	120	80	-33%



A further analysis of rape by police officer(s) on duty was done. A comparison was made between the previous financial year (2019/2020) and the period under review. Most provinces experienced a decrease except Free State and Mpumalanga. Limpopo figures remained stable with one (1) case in both financial years. IPID nationally experienced a decrease of 26 per cent.

Table 9(c): Comparative Annual intake of Rape by police officer(s) – on duty			
Province	2019/2020	2020/2021	Percentages
Eastern Cape	5	1	-80%
Free State	2	7	250%
Gauteng	5	3	-40%
KwaZulu-Natal	8	6	-25%
Limpopo	1	1	0%
Mpumalanga	3	4	33%
North West	4	2	-50%
Northern Cape	6	1	-83%
Western Cape	8	6	-25%
Total	42	31	-26%

Furthermore, an analysis of rape by police officer(s) whilst off duty was made between the previous financial year and the period under review. Most provinces experienced a decrease, except Limpopo, Mpumalanga, North West and Free State. IPID nationally experienced a decrease of 37 per cent.

Table 9 (d): Comparative Annual intake of Rape by police officer(s) – off duty			
Province	2019/2020	2020/2021	Percentages
Eastern Cape	8	7	-13%
Free State	5	5	0%
Gauteng	18	13	-28%
KwaZulu-Natal	14	4	-71%
Limpopo	2	3	50%
Mpumalanga	3	4	33%
North West	4	5	25%
Northern Cape	8	1	-88%
Western Cape	16	7	-56%
Total	78	49	-37%

3.4 INTAKE AS PER SECTION 28(1)(e) – RAPE IN POLICE CUSTODY

Table 10(a) below shows the incidents of rape while in police custody per provinces. A total of thirteen (13) incidents reported were committed by inmate(s)/civilian(s). Most incidents were reported in Limpopo nine (9).

Table 10(a): Rape in police custody			
Province	Inmate(s)/Civilian(s)	Police Officer(s)	Incident(s)
Eastern Cape	-	1	1
Free State	-	-	-
Gauteng	-	1	1
KwaZulu-Natal	-	-	-



Table 10(a): Rape in police custody

Province	Inmate(s)/Civilian(s)	Police Officer(s)	Incident(s)
Limpopo	9	-	9
Mpumalanga	-	-	-
North West	-	-	-
Northern Cape	-	-	-
Western Cape	4	-	4
Total	13	2	15

A comparative analysis of rape in police custody for the previous financial year and the current financial year, revealed an increase of 36 per cent of cases reported.

Table 10(b): Comparative Annual intake of Rape in police custody

Province	2019/2020	2020/2021	Percentages
Eastern Cape	-	1	100%
Free State	1	-	-100%
Gauteng	1	1	0%
KwaZulu-Natal	-	-	-
Limpopo	2	9	350%
Mpumalanga	-	-	-
North West	1	-	-100%
Northern Cape	-	-	-
Western Cape	6	4	-33%
Total	11	15	36%

3.5 INTAKE AS PER SECTION 28(1)(f) – TORTURE / ASSAULT

Table 11(a) below shows the total number and the percentage of cases reported in relation to torture and assault per province. The highest number of such cases was in Western Cape with 1 016, followed by Gauteng with 678 incidents and Free State with 565 incidents.

Table 11(a): Torture or assault

Province	Torture	Assault	Total	Percentages
Eastern Cape	61	468	529	12%
Free State	33	565	598	13%
Gauteng	14	678	692	15%
KwaZulu-Natal	85	388	473	11%
Limpopo	5	289	294	7%
Mpumalanga	27	300	327	7%
North West	11	256	267	6%
Northern Cape	1	268	269	6%
NSIT	2	-	2	0%
Western Cape	17	1 016	1 033	23%
Total	256	4 228	4 484	100%



A comparison of torture cases was done between the previous financial year and the period under review. Most provinces experienced an increase except three provinces namely, Northern Cape, KwaZulu-Natal and Free State that experienced a decrease of 50 per cent, 8 per cent and 6 per cent respectively. The IPID nationally experienced an increase of 19 per cent.

Table 11(b): Comparative Annual intake of Torture			
Province	2019/2020	2020/2021	Percentages
Eastern Cape	39	61	56%
Free State	35	33	-6%
Gauteng	10	14	40%
KwaZulu-Natal	92	85	-8%
Limpopo	2	5	150%
Mpumalanga	17	27	59%
North West	10	11	10%
Northern Cape	2	1	-50%
NSIT	-	2	100%
Western Cape	9	17	89%
Total	216	256	19%

A comparison of assault cases was done between the previous financial year and the period under review. All provinces experienced an increase and nationally IPD experienced 11 per cent increase.

Table 11(c): Comparative Annual intake of Assault			
Province	2019/2020	2020/2021	Percentages
Eastern Cape	402	468	16%
Free State	417	565	35%
Gauteng	679	678	0%
KwaZulu-Natal	379	388	2%
Limpopo	235	289	23%
Mpumalanga	276	300	9%
North West	226	256	13%
Northern Cape	235	268	14%
NSIT	1	-	-100%
Western Cape	970	1 016	5%
Total	3 820	4 228	11%

A breakdown of assault cases was done according to their description. The highest number of cases received were assault common with 3 757 incidents, followed by assault with intent to do Grievous Bodily Harm (GBH) with 415 incidents, crowd management with forty-two (42) incidents and dog attack with four (4) incidents each.

Table 11(d): Assault		
Description	Incident(s)	Percentages
Assault - common	3 757	89%
Assault - crowd management	42	1%
Assault - dog attack	4	0%
Assault - indecent	-	-



Table 11(d): Assault

Description	Incident(s)	Percentages
Assault - sexual	10	0%
Assault - with intent to do grievous bodily harm (GBH)	415	10%
Total	4 228	100%

3.6 INTAKE AS PER SECTION 28(1)(g) – CORRUPTION

Table 12(a) below shows the total number of corruption cases reported against member of SAPS and MPS per province. A total of sixty-six (66) cases were reported which comprises of sixty (60) cases against SAPS and six (6) cases against MPS.

Table 12(a): Corruption

Province	SAPS	MPS	Total cases	Percentages
Eastern Cape	2	1	3	5%
Free State	2	-	2	3%
Gauteng	31	5	36	54%
KwaZulu-Natal	13	-	13	20%
Limpopo	2	-	2	3%
Mpumalanga	4	-	4	6%
North West	-	-	-	-
Northern Cape	2	-	2	3%
NSIT	4	-	4	6%
Western Cape	-	-	-	-
Total	60	6	66	100%

A comparison of corruption cases for the previous financial year and the period under review was done. Most provinces experienced a decrease, except Gauteng and KwaZulu-Natal that experienced an increase of 24 per cent and 8 per cent respectively. The IPID nationally experienced a decrease of 21 per cent.

Table 12(b): Comparative Annual intake of Corruption

Province	2019/2020	2020/2021	Percentages
Eastern Cape	4	3	-25%
Free State	7	2	-71%
Gauteng	29	36	24%
KwaZulu-Natal	12	13	8%
Limpopo	4	2	-50%
Mpumalanga	5	4	-20%
North West	1	-	-100%
Northern Cape	4	2	-50%
NSIT	18	4	-78%
Western Cape	-	-	-
Total	84	66	-21%



Table 12(c) depicts the number and the percentages of corruption cases per description. The analysis of cases reported indicates that the highest number of cases was extortion or soliciting bribes with 85 per cent, followed by sale, theft and/or disposal of exhibits with 11 per cent and aiding escape from custody with 3 per cent.

Table 12(c): Corruption		
Description	Incident(s)	Percentages
Corruption – Abuse of power	1	1%
Corruption - Aiding escape from custody	2	3%
Corruption - Extortion or soliciting a bribe	56	85%
Corruption - Issuing of fraudulent vehicle certificates	-	-
Corruption - Sale, theft and/or destruction of police docketts	-	-
Corruption - Sale, theft and /or disposal of exhibits	7	11%
Total	66	100%

3.7 INTAKE AS PER SECTION 28(1)(h) – OTHER CRIMINAL MATTERS AND MISCONDUCT

Other criminal matters and misconduct are matters that were referred to IPID by the Minister, MEC or Civilian Secretary for Police. They include matters where the Executive Director decides to investigate or by exercising his/her decision to investigate after being requested to do so by the SAPS or any other person having regard to the seriousness of the offence or misconduct.

Misconduct cases were investigated after IPID was satisfied that SAPS intervention at Provincial or National level did not satisfy the complainant and there were grounds to intervene in the interest of justice.

It is important to note that while Section 206(6) of the Constitution enjoins the IPID to investigate cases of misconduct and criminal offences, Section 28(1)(h) of the IPID Act enables the IPID to investigate all criminal matters and misconduct cases not provided for in Section 28(1)(a)-(g).

The IPID recorded a total of thirty (30) incidents in respect of other criminal offenses. Table 13(a) shows the total number and the percentage of cases reported of other criminal matters per province.

Table 13(a): Other criminal matters and misconduct		
Province	Incident(s)	Percentages
Eastern Cape	-	-
Free State	7	23%
Gauteng	1	3%
KwaZulu-Natal	7	23%
Limpopo	4	13%
Mpumalanga	8	27%
North West	-	-
Northern Cape	1	3%
NSIT	-	-
Western Cape	2	7%
Total	30	100%

A comparison of other criminal matter and misconduct cases for the previous financial year and the period under review was done. An increase of 67 per cent was noted.



Table 13(b): Comparative Annual intake of other criminal matters and misconduct

Province	2019/2020	2020/2021	Percentages
Eastern Cape	-	-	-
Free State	3	7	133%
Gauteng	4	1	-75%
KwaZulu-Natal	1	7	600%
Limpopo	1	4	300%
Mpumalanga	5	8	60%
North West	1	-	-100%
Northern Cape	1	1	0%
NSIT	1	-	-100%
Western Cape	1	2	100%
Total	18	30	67%

Table 13(b) below indicates the total number and the percentage of cases reported in respect of other criminal matters per description. The breakdown of other criminal matters and misconduct per type of offence was done. Most incidents reported were defeating the ends of justice and harassment both with seven (7) incidents.

Table 13(b): Other criminal matters and misconduct

Description	Incident(s)	Percentages
Attempted murder	1	3%
Crimen Injuria	2	7%
Defeating the ends of justice	7	23%
Drug related offences	1	3%
Harassment	7	23%
Intimidation	4	13%
Misconduct referred	2	7%
Off duty assault	1	3%
Reckless driving	1	3%
Robbery	1	3%
Service delivery complaint	2	7%
Theft	1	3%
Total	30	100%

3.8 INTAKE AS PER SECTION 28(2) – SYSTEMIC CORRUPTION

Section 28(2) of the IPID Act prescribes that the Directorate may investigate matters relating to systemic corruption involving the SAPS/MPS. There was one case of systemic corruption that was identified and approved during the period under review.



3.9 INTAKE AS PER SECTION 33(3) NON-COMPLIANCE WITH SECTION 29 OF THE IPID ACT

According to Section 29 of the Act, members of the SAPS and MPS should immediately notify the Directorate of any matters referred to in Section 28 (1) (a)-(f). They should, within 24 hours submit a written report to the Directorate and must provide their full cooperation in terms of the investigation, which includes, but is not limited to, arrangement of identification parades, availing members for affidavits and any other information required. The total number and percentages of cases opened by IPID in respect of non-compliance with section 29 of the IPID Act per province is depicted in Table 15(a) below.

The highest number of non-compliance cases were recorded in Mpumalanga with nineteen (19) cases, followed by Gauteng with thirteen (13) cases and Free State with four (4) cases.

Table 15(a): Non-compliance with Section 29 of the IPID Act		
Province	Incident(s)	Percentages
Eastern Cape	3	6%
Free State	4	9%
Gauteng	13	28%
KwaZulu-Natal	2	4%
Limpopo	2	4%
Mpumalanga	19	41%
North West	-	-
Northern Cape	2	4%
NSIT	1	2%
Western Cape	1	2%
Total	47	100%

A comparison of incidents of non-compliance with Section 29 of the IPID Act for the previous financial year (2018/2019) and the period under review was done. A decrease of 18 per cent was noted.

Table 15(b): Comparative Annual intake of Non-compliance with Section 29 of the IPID Act			
Province	2019/2020	2018/2019	Percentages
Eastern Cape	5	3	-40%
Free State	2	4	100%
Gauteng	6	13	117%
KwaZulu-Natal	2	2	0%
Limpopo	4	2	-50%
Mpumalanga	25	19	-24%
North West	7	-	-100%
Northern Cape	3	2	-33%
NSIT	-	1	100%
Western Cape	3	1	-67%
Total	57	47	-18%



4. THE TOTAL NUMBER OF CASES REPORTED

Table 16(a) shows the total cases reported and the percentages of cases registered by the respective provinces. A total of 6 122 cases were registered by the IPID during period under review. It can be observed that Western Cape reported the highest intake (1 224), followed by Gauteng (1 082) and KwaZulu-Natal (763).

Table 16(a): Total and percentages reported		
Province	Incident(s)	Percentages
Eastern Cape	730	12%
Free State	731	12%
Gauteng	1 082	18%
KwaZulu-Natal	763	12%
Limpopo	427	7%
Mpumalanga	510	8%
North West	339	6%
Northern Cape	309	5%
NSIT	7	0%
Western Cape	1224	20%
Total	6 122	100%

A further analysis was done comparing the previous financial year (2019/2020) with the period under review. All the provinces experienced an increase and nationally, there was an overall increase of 9 per cent depicted by Table 16 (b) below.

Table 16(b): Percentage change of intake			
Provinces	2019/20	2020/21	Percentage changes
Eastern Cape	646	730	13%
Free State	573	731	28%
Gauteng	993	1 082	9%
KwaZulu-Natal	729	763	5%
Limpopo	390	427	9%
Mpumalanga	483	510	6%
North West	321	339	6%
Northern Cape	287	309	8%
NSIT	21	7	-67%
Western Cape	1 197	1 224	2%
Total	5 640	6 122	9%

5. CASES ALLOCATED PER PROVINCE

The Department's target for registration and allocation of cases is 80 per cent within 72 hours of receipt. This is measured from the time a case is received by the Department until such time that it is allocated to an individual case worker for investigation. Table 17(a) shows the total number of cases that were allocated within 72 hours by the respective provinces. IPID reported a total of 6 122 cases during the period under review and managed to allocate 5 662 cases within 72 hours. An allocation rate of 93 per cent was therefore achieved.



Table 17(a): Allocated cases within 72 hours of receipt

Province	Deaths in police Custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Torture	Assault	Corruption	Other criminal matters/ misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	19	32	117	5	1	53	419	3	-	-	3	652
Free State	19	19	67	11	-	33	557	2	7	-	4	719
Gauteng	47	76	158	16	1	13	602	32	1	-	11	957
KwaZulu-Natal	28	98	105	9	-	72	359	12	6	-	2	691
Limpopo	22	20	67	4	9	5	283	2	3	-	2	417
Mpumalanga	18	24	101	8	-	27	296	4	6	-	18	502
North West	14	8	29	6	-	10	212	-	-	-	-	279
Northern Cape	2	5	24	2	-	1	254	2	1	-	1	292
NSIT	-	-	-	-	-	1	-	-	-	-	-	1
Western Cape	20	40	95	13	4	17	961	-	1	-	1	1 152
Total	189	322	763	74	15	232	3 943	57	25	-	42	5 662

Table 17(b) shows the total number of cases that were not allocated within 72 hours, by the respective provinces. A total of 460 cases reported were allocated after 72 hours of receipt.

Table 17(b): Allocated cases after 72 hours receipt

Province	Deaths in police Custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Torture	Assault	Corruption	Other criminal matters/ misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	6	4	8	3	-	8	49	-	-	-	-	78
Free State	-	1	2	1	-	-	7	1	-	-	-	12
Gauteng	10	13	18	-	-	1	77	4	-	-	2	125
KwaZulu-Natal	5	6	16	1	-	13	29	1	1	-	-	72
Limpopo	-	-	3	-	-	-	6	-	1	-	-	10
Mpumalanga	-	-	1	-	-	-	4	-	2	-	1	8
North West	4	1	9	1	-	1	44	-	-	-	-	60
Northern Cape	-	1	1	-	-	-	14	-	-	-	1	17
NSIT	-	1	-	-	-	1	-	3	-	-	1	6
Western Cape	3	4	9	-	-	-	55	-	1	-	-	72
Total	28	31	67	6	-	24	285	9	5	-	5	460

6. DECISION READY CASES

Table 18 (a) below shows the total number of received and the decision ready cases per province. It can be observed that Free State completed 74 per cent of their received cases, followed by Northern Cape with 63 per cent and North West with 41 per cent. The IPID nationally achieved an overall completion rate of 24 per cent in the period under review.



Table 18(a): Percentages of decision ready cases per Provinces

Provinces	Active workload	Decision ready cases (backlog & current)	Percentages
Eastern Cape	1 744	478	27%
Free State	960	705	73%
Gauteng	3 132	380	12%
KwaZulu-Natal	3 373	314	9%
Limpopo	785	297	38%
Mpumalanga	1 019	315	31%
North West	646	266	41%
Northern Cape	406	257	63%
NSIT	74	3	5%
Western Cape	3 175	664	21%
Total	15 317	3 684	24%

Table 18(b) below shows the number of decision ready cases per province, per category during the period under review. The highest category of decision ready cases was in respect of alleged assault (2 468) followed by discharge of an official firearm (413) and death as a result of police action (259).

Table 18 (b): Classification of total Decision ready cases

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Other criminal matters/misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	28	50	91	9	1	32	255	3	2	-	7	478
Free State	24	19	56	11	-	48	534	3	6	-	4	705
Gauteng	32	35	62	12	1	6	205	22	1	-	4	380
KwaZulu-Natal	28	71	36	15	1	23	118	17	2	-	3	314
Limpopo	23	15	28	3	9	3	211	1	2	-	2	297
Mpumalanga	19	11	55	6	-	14	194	3	4	-	9	315
North West	21	12	24	7	-	5	196	-	-	-	1	266
Northern Cape	-	5	21	3	-	-	221	2	2	-	3	257
NSIT	-	-	-	-	-	-	-	1	-	2	-	3
Western Cape	20	41	40	15	5	6	534	-	-	-	3	664
Total	195	259	413	81	17	137	2 468	52	19	2	36	3 679

Table 18(c) below shows the total active cases per province, per category during the period under review. The provinces with the highest workload were KwaZulu-Natal (3 373), followed by Western Cape (3 175) and Gauteng (3 132).



Table 18 (c): Classification of active workload

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	30	98	329	10	1	102	1144	12	7	1	10	1 744
Free State	26	35	115	12	-	54	698	6	8	1	5	960
Gauteng	124	278	452	35	1	41	1 970	118	92	1	20	3 132
KwaZulu-Natal	54	400	478	30	1	473	1 836	47	43	-	11	3 373
Limpopo	32	49	144	5	10	7	529	3	4	-	2	785
Mpumalanga	30	64	171	11	-	74	592	5	15	1	56	1 019
North West	22	22	72	9	-	19	494	4	2	-	2	646
Northern Cape	3	9	30	4	-	3	347	5	2	-	3	406
NSIT	-	3	-	-	-	2	2	34	27	4	2	74
Western Cape	31	97	267	22	5	27	2 714	1	7	-	4	3 175
Total	352	1 055	2 058	138	18	802	10 326	235	207	8	115	15 314

6.1 DECISION READY OF CASES ON SECTION 28(1)(a)-(b)

Table 20(a) below shows the received cases of death in police custody and the number of completed cases per province. During the period under review investigation, of 195 out of 352 active cases was completed.

Table 20(a): Deaths in police custody

Province	Active workload	Decision ready
Eastern Cape	30	28
Free State	26	24
Gauteng	124	32
KwaZulu-Natal	54	28
Limpopo	32	23
Mpumalanga	30	19
North West	22	21
Northern Cape	3	-
NSIT	-	-
Western Cape	31	20
Total	352	195



Table 20 (b) below shows the received cases of death as a result of police action and the number of completed cases per province. During the period under, investigation of 259 out of 1 055 active cases was completed.

Table 20(b): Deaths as a result of police action		
Province	Active workload	Decision ready
Eastern Cape	98	50
Free State	35	19
Gauteng	278	35
KwaZulu-Natal	400	71
Limpopo	49	15
Mpumalanga	64	11
North West	22	12
Northern Cape	9	5
NSIT	3	-
Western Cape	97	41
Total	1 055	259

6.2 DECISION READY CASES SECTION 28(1) (c) - (g) OF IPID ACT

Table 21(a) below shows the received cases of complaints of discharge of an official firearm(s) and the number of completed cases per province. During the period under review, investigation of 413 out of 2 058 active cases was completed.

Table 21(a): Complaints of discharge of an official firearm(s)		
Province	Active workload	Decision ready
Eastern Cape	329	91
Free State	115	56
Gauteng	452	62
KwaZulu-Natal	478	36
Limpopo	144	28
Mpumalanga	171	55
North West	72	24
Northern Cape	30	21
NSIT	-	-
Western Cape	267	40
Total	2 058	413



Table 21(b) below shows the received cases of rape by police officer and the number of completed cases per province. During the period under review, investigation of 81 out of 138 active cases was completed.

Table 21(b): Rape by police officer		
Province	Active workload	Decision ready
Eastern Cape	10	9
Free State	12	11
Gauteng	35	12
KwaZulu-Natal	30	15
Limpopo	5	3
Mpumalanga	11	6
North West	9	7
Northern Cape	4	3
NSIT	-	-
Western Cape	22	15
Total	138	81

Table 21(c) below shows the received cases of rape in police custody and the number of completed cases per province. During the period under review, investigation of 17 out of 18 active cases was completed.

Table 21(c): Rape in police custody		
Province	Active workload	Decision ready
Eastern Cape	1	1
Free State	-	-
Gauteng	1	1
KwaZulu-Natal	1	1
Limpopo	10	9
Mpumalanga	-	-
North West	-	-
Northern Cape	-	-
NSIT	-	-
Western Cape	5	5
Total	18	17

Table 21(d) below shows the received cases of torture and the number of completed cases per province. During the period under review, investigation of 137 out of 802 active cases was completed.

Table 21(d): Torture		
Province	Active workload	Decision ready
Eastern Cape	102	32
Free State	54	48
Gauteng	41	6
KwaZulu-Natal	473	23
Limpopo	7	3
Mpumalanga	74	14
North West	19	5



Table 21(d): Torture

Province	Active workload	Decision ready
Northern Cape	3	-
NSIT	2	-
Western Cape	27	6
Total	802	137

Table 21(e) below shows the received cases of assault and the number of completed cases per province. During the period under review, investigation of 2 468 out of 10 326 active cases was completed.

Table 21(e): Assault

Province	Active workload	Decision ready
Eastern Cape	1 144	255
Free State	698	534
Gauteng	1 970	205
KwaZulu-Natal	1 836	118
Limpopo	529	211
Mpumalanga	592	194
North West	494	196
Northern Cape	347	221
NSIT	2	-
Western Cape	2 714	534
Total	10 326	2 468

Table 21(f) below shows the received cases of corruption and the number of completed cases per province. During the period under review, investigation of 52 out of 235 active cases was completed.

Table 21(f): Corruption

Province	Active workload	Decision ready
Eastern Cape	12	3
Free State	6	3
Gauteng	118	22
KwaZulu-Natal	47	17
Limpopo	3	1
Mpumalanga	5	3
North West	4	-
Northern Cape	5	2
NSIT	34	1
Western Cape	1	-
Total	235	52



Table 21(g) below shows the received cases of other criminal matter and misconduct and the number of completed cases per province. During the period under review, investigation of 23 out of 207 active cases was completed.

Table 21(g): Any other matters referred and misconduct (Section 28(1)(h))		
Province	Active workload	Decision ready
Eastern Cape	7	2
Free State	8	6
Gauteng	92	1
KwaZulu-Natal	43	2
Limpopo	4	2
Mpumalanga	15	4
North West	2	-
Northern Cape	2	2
NSIT	27	-
Western Cape	7	-
Total	207	19

Table 21(h) below shows the received cases of Systemic corruption with the IPID Act and the number of completed cases per province. The IPID completed a total of 3 out of 8 received cases during the period under review.

Table 21(h): Systemic Corruption		
Province	Active workload	Decision ready
Eastern Cape	1	-
Free State	1	-
Gauteng	1	-
KwaZulu-Natal	-	-
Limpopo	-	-
Mpumalanga	1	-
North West	-	-
Northern Cape	-	-
NSIT	4	2
Western Cape	-	-
Total	8	2

Table 21(i) below shows the received cases of Non-compliance with the IPID Act and the number of completed cases per province. The IPID completed a total of 36 out of 115 received cases during the period under review.

Table 21(i): Non-compliance with the IPID Act (Section 33)		
Province	Active workload	Decision ready
Eastern Cape	10	7
Free State	5	4
Gauteng	20	4
KwaZulu-Natal	11	3
Limpopo	2	2
Mpumalanga	56	9
North West	2	1



Table 21 (i): Non-compliance with the IPID Act (Section 33)

Province	Active workload	Decision ready
Northern Cape	3	3
NSIT	2	-
Western Cape	4	3
Total	115	36

6.3 POST DECISION READY CASES (PDR)

Table 22 below shows the number of post decision ready cases per provinces, per category during the period under review. The provinces with the highest cases were Gauteng with 5 970, followed by Western Cape with 4 727 and Free State with 2 607.

Table 22: Classification of post decision ready cases

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint Discharge of official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	182	319	418	71	3	63	1272	79	65	2	26	2 500
Free State	55	97	288	77	1	106	1794	37	126	4	22	2 607
Gauteng	456	660	871	151	10	62	2607	338	770	1	44	5 970
KwaZulu-Natal	187	511	272	93	6	69	799	110	129	-	7	2 183
Limpopo	83	130	179	14	9	6	589	49	54	-	10	1 123
Mpumalanga	113	169	241	24	6	74	861	45	46	1	54	1 634
North West	96	105	166	48	1	21	1021	95	185	-	16	1 754
Northern Cape	29	30	50	28	-	3	451	28	59	2	9	689
NSIT	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	177	292	366	125	12	19	3626	17	56	-	37	4 727
Total	1 378	2 313	2 851	631	48	423	13 020	798	1 490	10	225	23 187

6.4 TOTAL WORKLOAD (ACTIVE AND PDM)

Table 23 below shows the total workload cases which includes post decision ready cases and active workload per provinces per category during the period under review. The highest workload were assault cases (23 346), followed by complain of discharge of official firearm (4 909) and death as a result of police action (3 368).



Table 23: Total workload

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint Discharge of official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	212	417	747	81	4	165	2 416	91	72	3	36	4 244
Free State	81	132	403	89	1	160	2 492	43	134	5	27	3 567
Gauteng	580	938	13 23	186	11	103	4 577	456	862	2	64	9 102
KwaZulu-Natal	241	911	750	123	7	542	2 635	157	172	-	18	5 556
Limpopo	115	179	323	19	19	13	1 118	52	58	-	12	1 908
Mpumalanga	143	233	412	35	6	148	1 453	50	61	2	110	2 653
North West	118	127	238	57	1	40	1 515	99	187	-	18	2 400
Northern Cape	32	39	80	32	-	6	798	33	61	2	12	1 095
NSIT	-	3	-	-	-	2	2	34	27	4	2	74
Western Cape	208	389	633	147	17	46	6 340	18	63	-	41	7 902
Total	1 730	3 368	4 909	769	66	1 225	23 346	1 033	1 697	18	340	38 501

7. ATTENDANCE OF CRIME SCENES AND POST-MORTEMS

Table 24 shows both the total number of crime scenes attended and not attended within 24 hours per province. Nationally, IPID attended 59 per cent of the crime scenes within 24 hours of those being reported. The IPID has a responsibility to attend crime scenes (where possible or practical) as well as post-mortems. These form part of the investigation process and play a pivotal role in the completion of case investigation. During the period under review the IPID was unable to attend 146 crime scenes. It should be noted that in some instances victims passed away while in hospital, as some result crime scenes could not be attended.

Table 24: Death scenes attended and not attended

Provinces	Number of scenes attended		Number of scenes not attended		Totals			% of scenes attended
	Deaths in custody	Deaths as a result of police action	Deaths in custody	Deaths as a result of police action	Scenes attended	Scenes not attended	Grand Total	
Eastern Cape	9	25	16	11	34	27	61	56%
Free State	19	20	-	-	39	-	39	100%
Gauteng	31	55	26	34	86	60	146	59%
KwaZulu-Natal	6	49	27	55	55	82	137	40%
Limpopo	21	18	1	2	39	3	42	93%
Mpumalanga	7	14	11	10	21	21	42	50%
North West	8	4	10	5	12	15	27	44%
Northern Cape	-	4	2	2	4	4	8	50%
Western Cape	23	32	-	12	55	12	67	82%
Total	124	221	93	131	345	224	569	61%



Table 25 shows the total number of post-mortems attended and the total number of post-mortems not attended per province. Nationally the IPID attended 54% of the post mortems. In some instances, there were multiple deaths linked to one incident.

Table 25: Post mortems attended and not attended								
Provinces	Number of post-mortems attended		Number of post-mortems not attended		Totals			Percentages of post mortems attended
	Deaths in custody	Deaths as a result	Deaths in custody	Deaths as a result	Total attended	Total not attended	Grand total	
Eastern Cape	16	30	11	7	46	18	64	72%
Free State	16	14	3	7	30	10	40	75%
Gauteng	23	53	34	54	76	88	164	46%
KwaZulu-Natal	9	52	24	62	61	86	147	42%
Limpopo	19	23	3	-	42	3	45	93%
Mpumalanga	14	24	4	1	38	5	43	88%
North West	10	6	8	2	16	10	26	62%
Northern Cape	-	3	2	3	3	5	8	38%
Western Cape	7	10	16	35	17	51	68	25%
Total	114	215	105	171	329	276	605	54%

8. CRIMINAL REFERRALS TO NPA AND THE OUTCOME

After the completion of an investigation, dockets are referred to the NPA which, based on the evidence at hand, will make a decision whether or not to institute criminal proceedings against the suspect(s).

Table 26(a) shows the total number of recommendations referred to the NPA by the respective provinces for the period under review. A total of 1 887 criminal recommendations were made to the NPA for decision.



Table 26(a): Criminal Recommendations referred to NPA-2020/2021

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	-	33	26	9	-	6	197	3	1	-	1	276
Free State	1	12	30	5	-	19	275	2	1	-	5	350
Gauteng	5	9	20	5	-	-	100	8	-	-	3	150
KwaZulu-Natal	-	18	6	3	1	1	24	2	-	-	-	55
Limpopo	2	4	12	3	-	-	121	1	-	-	-	143
Mpumalanga	1	8	4	5	-	7	116	1	1	-	7	150
North West	-	1	1	2	-	2	76	-	-	-	-	82
Northern Cape	-	4	10	3	-	-	144	-	1	-	3	165
NSIT	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	1	44	3	12	1	1	452	-	-	-	2	516
Total	10	133	112	47	2	36	1 505	17	4	-	21	1 887

Recommendations forwarded to the NPA are evaluated and based on the evidence presented a decision is made. Table 26(b) shows the details on the outcomes of the recommendations referred to the NPA. It can be observed that 1 478 of referrals to NPA the IPID is still waiting for response, 369 declined to prosecute, thirty-four (34) NPA prosecuted and six (6) withdrawn

Table 26(b): Outcome of Criminal Recommendations made to the NPA

Province	Awaiting for response	Declined to prosecute	NPA Query	Inquest	Prosecute	Withdrawn	Total
Eastern Cape	215	56	-	-	4	1	276
Free State	246	101	-	-	2	-	350
Gauteng	148	2	-	-	-	-	150
KwaZulu-Natal	41	14	-	-	-	-	55
Limpopo	98	35	-	-	10	-	143
Mpumalanga	93	57	-	-	1	-	150
North West	69	13	-	-	-	-	82
Northern Cape	145	19	-	-	1	-	165
NSIT	-	-	-	-	-	-	-
Western Cape	423	72	-	-	16	5	516
Total	1 478	369	-	-	34	6	1 887



9. DISCIPLINARY RECOMMENDATIONS MADE TO SAPS AND THE FEEDBACK RECEIVED

Part of the mandate of the IPID is to make disciplinary recommendations to the SAPS after a complaint was investigated. Table 27(a) shows the types of recommendation referred by the respective provinces to SAPS. A total number of 2 173 recommendations were referred to SAPS during the period under review, which comprises of 686 recommendations where the IPID recommend to SAPS to discipline its member(s) and 1 487 recommendations where the IPID recommend no disciplinary to SAPS member(s).

Table 27(a): Types of Recommendations referred to SAPS – 2020/2021			
Provinces	Positive Recommendations	Negative Recommendations	Total
Eastern Cape	140	151	291
Free State	316	101	417
Gauteng	123	91	214
KwaZulu-Natal	17	34	51
Limpopo	139	17	156
Mpumalanga	109	51	160
North West	64	41	105
Northern Cape	168	35	203
NSIT	3	2	5
Western Cape	408	163	571
Total	1 487	686	2 173

Figure 5 below is a diagrammatic representation of types of recommendations referred to SAPS.

Figure 5

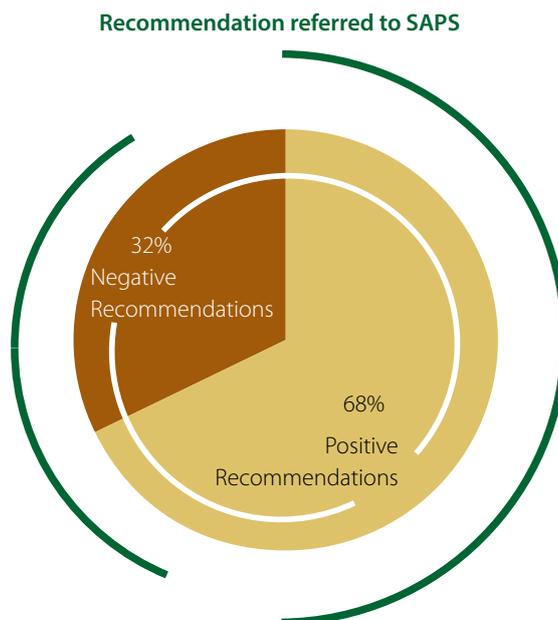




Table 27(b) shows the recommendation referred per IPID sections by the respective provinces to SAPS. Most of the cases referred were in respect with assault with 1 657 recommendations, followed by complaint of discharge of an official firearm with 178 cases and death as a result of police action with 146 cases.

Table 27(b): Recommendations referred to SAPS-2020/2021												
Province	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Other criminal offence	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	3	37	36	6	1	3	201	1	2	-	2	291
Free State	1	15	39	9	-	22	319	2	6	-	4	417
Gauteng	19	17	35	7	-	-	117	13	1	-	4	214
KwaZulu-Natal	-	14	6	5	-	2	20	3	-	-	1	51
Limpopo	3	6	13	2	-	-	129	2	1	-	-	156
Mpumalanga	3	8	6	5	-	7	121	1	2	-	7	160
North West	-	3	4	2	-	2	92	1	-	-	1	105
Northern Cape	1	5	16	2	-	-	175	1	1	-	2	203
NSIT	-	1	-	-	-	-	-	3	1	-	-	5
Western Cape	4	40	23	16	1	1	483	-	-	-	3	571
Total	34	146	178	54	2	37	1 657	27	14	-	24	2 173

*A total of 1 487 are positive recommendations; therefore, no feedback is expected

Table 27(c) shows the types of recommendation referred by the respective provinces to MPS. A total number of 108 recommendations were referred to MPS during the period under review, which comprises of 16 recommendations where the IPID recommend to MPS to discipline its member(s) and 31 recommendations where the IPID recommend no disciplinary to MPS member(s).

Table 27(c): Types of Recommendations referred to MPS – 2020/2021			
Provinces	Positive Recommendations	Negative Recommendations	Total
City of Cape Town Metro Police Department (CTMPD)	2	1	3
Durban Metro Police Department (DMPD)	-	-	-
Ekurhuleni Metro Police Department (EMPD)	5	5	10
Johannesburg Metro Police Department (JMPD)	7	1	8
Nelson Mandela Bay Metro Police Department (NMBMPD)	2	1	3
Tshwane Metro Police Department (TMPD)	15	8	23
Total	31	16	47

Table 27(d) shows the recommendation referred per IPID sections by the respective provinces to MPS. Most of the cases referred were in respect with assault (24 cases), followed by death as a result of police action (10 cases) and by complaint of discharge of an official firearm (8 cases).



Table 27(d): Disciplinary Recommendations referred to MPS-2020/2021

Province	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Other criminal offence	Systemic corruption	Non-compliance with IPID Act	Total
CTMPD	-	-	1	-	-	-	2	-	-	-	-	3
DMPD	-	-	-	-	-	-	-	-	-	-	-	-
EMPD	-	6	2	-	-	-	2	-	-	-	-	10
JMPD	1	3	-	-	-	-	4	-	-	-	-	8
NMBMPD	-	-	1	-	-	-	1	1	-	-	-	3
TMPD	-	1	4	1	-	-	15	2	-	-	-	23
Total	1	10	8	1	-	-	24	3	-	-	-	47

*A total of 31 are positive recommendations; therefore, no feedback is expected

In terms of Section 30 of the IPID Act, IPID refers the disciplinary recommendation to SAPS on which SAPS has 30 days to respond on the disciplinary process implemented. Table 25(b) indicates the status of the disciplinary recommendations referred to SAPS for the period under review.

Table 27(e): Feedback on disciplinary matters SAPS

Province	Disciplinary initiated	IPID Awaits Feedback - Section 30(a) of the IPID Act	Disciplinary finalised					Total
			Guilty	Not Guilty	No Steps	Withdrawn	Resigned from service	
Eastern Cape	35	42	18	15	39	2	-	151
Free State	32	45	7	6	9	2	-	101
Gauteng	29	57	2		2	1	-	91
KwaZulu-Natal	4	27	-	1	2	-	-	34
Limpopo		13	-	1	3	-	-	17
Mpumalanga	16	7	17	6	5	-	-	51
North West	11	21	2	1	5	1	-	41
Northern Cape	1	16	8	2	6	2	-	35
NSIT		1			1		-	2
Western Cape	11	112	17	3	17	3	-	163
Total	139	34¹	71	35	89	11	-	686

10. CONVICTIONS AND ACQUITTALS

10.1 DISCIPLINARY CONVICTIONS

Table 28(a) shows the total number of disciplinary convictions that were reported by the respective provinces during the period under review, this includes the conviction of the recommendations that were referred to SAPS/ MPS in previous financial years. A total number of 106 disciplinary convictions were reported.

¹ By end of the reporting report IPID had not received progress reports on initiation of disciplinary steps for 341 recommendations. However, during engagements after year-end SAPS provided evidence which confirmed 100% initiation of IPID's negative recommendations.



Table 28(a): Disciplinary convictions

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systematic Corruption	Non-compliance with IPID Act	Total
Eastern Cape	1	4	1	3	-	1	15	-	1	-	1	27
Free State	-	-	4	-	-	-	13	1	-	-	-	18
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-
KwaZulu-Natal	-	-	-	-	-	-	-	2	2	-	-	4
Limpopo	-	-	-	-	-	-	-	-	-	-	-	-
Mpumalanga	1	4	2	-	1	1	11	1	-	-	8	29
North West	-	1	3	1	-	-	7	-	-	-	-	12
Northern Cape	-	-	-	-	-	-	-	-	-	-	-	-
NSIT	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	1	-	1	-	-	-	14	-	-	-	-	16
Total	3	9	11	4	1	2	60	4	3	-	9	106

Table 28(b) lists details of disciplinary convictions that were secured.

Table 28(b): Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sanction
1	2020010544 EC	Sulenkama	Assault GBH	1	Written Warning
2	2019020350 EC	Queenstown	Assault-Common	2	Suspended without salary for two (2) months
3	2019090075 EC	Willowvale	Assault-GBH	4	Written Warning
4	2019090503 EC	Mdantsane	Death as a result of police action	1	Suspended without salary for two (2) months
5	2019100278 EC	Willowvale	Assault-GBH	3	Suspended without salary for two (2) months
6	2018110201 EC	Alice	Death as a result of police action	1	Suspended without salary for two (2) months
7	2017060585 EC	Mzamba	Assault-Common	1	Verbal warning and referred for counselling
8	2019010546 EC	Vulindlela	Rape by a police officer on/off duty	1	Dismissed from service
9	2019100360 EC	Middledrift	Complaints of discharge of an official firearm	1	Written Warning
10	2018090466 EC	Elliot	Assault-Common	1	Suspended without salary for two (2) months
11	2017070659 FS	Meloding	Assault-Common	1	Written warning
12	2018120433 FS	Viljoenskroon	Assault-Common	1	Final written warning
13	2019090192 FS	Bronville	Assault-Common	2	Written warning
14	2016060336 FS	Kopanong	Assault-Common	1	Written warning
15	2020050077 FS	Kagisanong	Assault-Common	1	Written warning



Table 28(b): Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sanction
16	2019050460 MP	Piet Retief	Non-Compliance with section 29 of IPID Act of 2011	4	Written warning
17	2019120005 MP	Mayflower	Assault-Common	1	Written warning
18	2019100221 MP	Daggakraal	Assault-Common	2	Written warning
19	2019030262 MP	Kwamhlanga	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
20	2020020256 MP	Lothair	Assault-Common	1	Written warning
21	2019040356 MP	Middleburg	Non-Compliance with section 29 of IPID Act of 2011	4	Written warning
22	2019110512 MP	Chrissiesmeer	Assault-Common	1	Written warning
23	2019060151 MP	Nelspruit	Death as a result of police action	1	Suspended without salary for two (2) months
24	2019080272 MP	Dientjie	Death as a result of police action	1	Final written warning
25	2019090276 MP	Leslie	Corruption	1	Final written warning
26	2019080374 MP	Piet Retief	Assault-Common	1	Written warning
27	2019080480 MP	Vaalbank	Death as a result of police action	1	Final written warning, Suspended without salary for two (2) months
28	2019030263 MP	Kwamhlanga	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
29	2020020219 MP	Calcutta	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
30	2019110268 MP	Embalenhle	Assault-Common	2	Written warning
31	2019040197 NW	Ikageng	Death as a result of police action	1	Dismissed from service
32	2019080503 NW	Koster	Assault-Common	2	Written warning
33	2017080315 NW	Makapanstad	Rape by a police officer on/off duty	1	Dismissed from service
34	2020010480 NW	Klerksdorp	Assault-Common	1	Written warning
35	2020060096 EC	Rietbron	Attempted murder	1	Dismissed from service
36	2017100654 EC	Vulindlela	Assault-Common	2	Referred for counselling
37	2020040774 EC	Ezibeleni	Assault-Common	3	Referred for counselling
38	2019110190 EC	Kwadwesi	Assault-Common	1	Written Warning
39	2020050066 EC	Maclear	Assault-Common	1	Final Written Warning
40	2019050580 EC	Mlungisi	Torture	3	Suspended without salary for two (2) months
41	2019110069 EC	Algoa Park	Assault-Common	1	Written Warning
42	2020080432 EC	Maluti	Rape by a police officer on/off duty	1	Dismissed from service
43	2018120084 EC	Bizana	Death as a result of police action	1	Suspended without salary for one (1) month
44	2020030327 EC	Mlungisi	Assault-Common	3	Written Warning
45	2020040245 EC	Cala	Assault-Common	1	Written Warning
46	2020080518 EC	Kamesh	Rape by a police officer on/off duty	1	Dismissed from service
47	2017040103 EC	Lusikisiki	Assault-GBH	2	Final Written Warning
48	2020020232 EC	Mdantsane	Death in police custody	1	Final Written Warning



Table 28(b): Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sanction
49	2017050475 EC	Vulindlela	Assault-Common	3	Written Warning
50	2020090592 EC	Madeira	Non-Compliance with Section 29 of the IPID Act	1	Final Written Warning
51	2019120338 FS	Mangaung	Complaints of discharge of an official firearm	1	Final written warning
52	2020030103 FS	Kagisanong	Assault-Common	2	Written warning
53	2018100012 FS	Phuthaditjaba	Complaints of discharge of an official firearm	1	Final written warning
54	2020080098 FS	Selosesha	Assault-Common	1	Written warning
55	2020050165 FS	Boshof	Assault-Common	1	Written warning
56	2020010549 FS	Odendaalsrus	Assault-Common	3	Written warning
57	2020010218 FS	Zamdela	Assault-Common	2	Written warning
58	2019080493 FS	Tweeling	Corruption	1	Dismissed from service
59	2017100440 FS	Ventersburg	Complaints of discharge of an official firearm	3	Written warning
60	2020080568 FS	Brandfort	Assault-Common	2	Written warning
61	2020100657 FS	Hoopstad	Assault-Common	2	Written warning
62	2020100732 FS	Wesselsbron	Assault-Common	1	Written warning
63	2019070054 FS	Heidedal	Complaints of discharge of an official firearm	1	Written warning
64	2018100569 KZN	Durban Harbour	Corruption	1	Dismissed from service
65	2018080286 KZN	Durban Central	Corruption	2	Dismissed from service
66	2019100344 KZN	Plessislaer	Defeating the ends of justice	1	Suspended without salary for one (1) month
67	2018100537 KZN	Ezakheni	Attempted murder	1	Final written warning
68	2019030455 MP	Kwaggafontein	Assault-Common	1	Suspended without salary for one (1) month
69	2019090349 MP	Kwaggafontein	Non-Compliance with section 29 of IPID Act of 2011	3	Written warning
70	2019060409 MP	Siyabuswa	Non-Compliance with section 29 of IPID Act of 2011	4	Written warning
71	2020060453 MP	Mhala	Assault-Common	1	Written warning
72	2017060038 MP	Mhala	Non-Compliance with section 29 of IPID Act of 2011	4	Written warning
73	2017080249 MP	Acornhoek	Rape in police custody	2	Verbal warning
74	2019060275 MP	Elukwatini	Complaints of discharge of an official firearm	1	Suspended without pay for two (2) months
75	2020040185 MP	Breyton	Assault-Common	3	Written warning
76	2020030403 MP	Carolina	Assault Common	1	Written warning
77	2017110527 MP	Kabokweni	Death as a result of police action	1	Final written warning
78	2018120359 MP	Schoemansdal	Assault-Common	1	Final written warning
79	2020070394 MP	Volkstrust	Death in police custody	1	Written warning
80	2019090073 MP	Kabokweni	Torture	2	Written warning
81	2019050338 WC	Gordons Bay	Assault-Common	1	Written warning
82	2019070138 WC	Tulbagh	Assault- (GBH	1	Written warning



Table 28(b): Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sanction
83	2019100418 WC	Strand	Assault-GBH	2	Verbal Warning, Verbal Warning
84	2019090112 WC	Montagu	Assault-Common	2	Verbal Warning, Verbal Warning
85	2019050564 WC	Harare	Complaints of discharge of an official firearm	1	Verbal Warning
86	2019020168 WC	Kleinvele	Assault-Common	1	Verbal Warning
87	2019080168 WC	Gansbaai	Assault-GBH	1	Written warning
88	2019010641 WC	Delft	Assault-Common	1	Corrective counselling
89	2019090518 WC	Knysna	Assault-Common	1	Verbal Warning
90	2019070321 WC	Knysna	Assault-Common	1	Written warning
91	2019070319 WC	Knysna	Assault-Common	1	Written warning
92	2019070125 WC	Ocean View	Assault-Common	4	Verbal warning
93	2020020128 WC	Grabouw	Assault-GBH	1	Verbal warning
94	2020030558 WC	Ravensmead	Assault-GBH	4	Corrective counselling, Corrective counselling, Corrective counselling
95	2020040218 WC	Kleinvele	Assault-Common	1	Corrective counselling
96	2020050152 WC	Delft	Death in police custody	1	Written warning
97	2016080525 EC	Mount Ayliff	Death as a result of police action	3	Final written warnings
98	2018030218 MP	Middleburg	Complaints of discharge of an official firearm	1	Final written warning
99	2019070318 NW	Wolmaransstad	Assault-Common	1	Dismissed from service
100	2019050551 NW	Hebron	Complaints of discharge of an official firearm	1	Written warning
101	2016120402 NW	Boitekong	Complaints of discharge of an official firearm	1	Written warning
102	2019050510 NW	Sun city	Complaints of discharge of an official firearm	2	Verbal warning
103	2020020357 NW	Brits	Assault-Common	1	Final written warning
104	2019120230 NW	Klipgat	Assault-Common	5	Verbal warning
105	2020040163 NW	Setlagole	Assault-Common	2	Written warning
106	2020050298 NW	Christiana	Assault-Common	2	Written warning
106	2020050298 NW	Christiana	Assault-Common	2	Written warning
Total				169	



10.2 DISCIPLINARY ACQUITTALS

Table 29(a) shows the total number of disciplinary acquittals that were reported by the respective provinces during the period under review. A total of 141 disciplinary acquittals were reported.

Table 29(a): Disciplinary acquittals												
Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systematic Corruption	Non-compliance with IPID Act	Total
Eastern Cape	-	2	1	2	-	-	19	-	1	-	1	26
Free State	-	2	4	2	-	3	40	-	1	1	-	53
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-
KwaZulu-Natal	-	3	-	2	-	-	3	1	2	-	-	11
Limpopo	-	-	1	-	-	-	-	-	-	-	-	1
Mpumalanga	2	7	-	-	-	-	10	-	-	-	1	20
North West	-	1	1	1	-	-	2	-	-	-	-	5
Northern Cape	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	-	2	1	1	-	1	20	-	-	-	-	25
Total	2	17	8	8	-	4	94	1	4	1	2	141

Table 29(b) lists details of disciplinary acquittals

Table 29(b): Details of disciplinary acquittals					
No.	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
1	2019070346 EC	Maluti	Complaint of discharge of an official firearm	1	Acquitted
2	2019120176 EC	Zwelitsha	Rape by a police officer on/off duty	1	Acquitted
3	2019010551 EC	Humansdorp	Assault-Common	1	Acquitted
4	2019090556 EC	Lady Frere	Assault-Common	3	Acquitted
5	2019080455 EC	Mzamba	Death as a result of police action	4	Acquitted
6	2017070167 EC	Mthatha	Death as a result of police action	4	Acquitted
7	2017120203 EC	Keiskamahoe	Assault-GBH	2	Acquitted
8	2019040418 FS	Meloding	Assault-Common	1	Acquitted
9	2019110449 FS	Bultfontein	Assault-Common	1	Acquitted
10	2019110054 FS	Parkroad	Assault-Common	1	Acquitted
11	2018100244 FS	Henneman	Assault-Common	1	Acquitted
12	2019110379 FS	Kestel	Assault-Common	1	Acquitted
13	2019020441 FS	Parkroad	Assault-Common	2	Acquitted
14	2016010376 FS	Phuthaditjhaba	Systemic Corruption	1	Acquitted
15	2020050073 FS	Bultfontein	Assault-Common	1	Acquitted
16	2020050537 FS	Deneysville	Assault-Common	1	Acquitted
17	2019060381 FS	Phuthaditjhaba	Assault-Common	1	Acquitted



Table 29(b): Details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
18	2018100011 LP	Gilead	Complaint of discharge of an official firearm	2	Acquitted
19	2019020431 MP	Kinross	Assault-Common	2	Acquitted
20	2019070580 MP	Embalenhle	Assault-Common	3	Acquitted
21	2019120018 MP	Kwamhlanga	Assault-Common	4	Acquitted
22	2019060346 MP	Kriel	Death in police custody	2	Acquitted
23	2019120061 MP	Lydenburg	Assault-Common	1	Acquitted
24	2020040426 MP	Hendrina	Assault-Common	1	Acquitted
25	2019110268 MP	Embalenhle	Assault-Common	1	Acquitted
26	2015010132 NW	Wolmanstad	Assault-Common	2	Acquitted
27	2019100250 EC	Indwe	Assault-Common	2	Acquitted
28	2019010518 EC	Butterworth	Rape by a police officer on/off duty	1	Acquitted
29	2019100185 EC	Kei Mouth	Assault-Common	5	Acquitted
30	2020050621 EC	Hankey	Assault-Common	1	Acquitted
31	2019030110 EC	Ngqeleni	Assault-GBH	2	Acquitted
32	2018100336 EC	King Williams Town	Sexual assault	1	Acquitted
33	2019100579 EC	Sulenkama	Assault-Common	1	Acquitted
34	2018090438 EC	Port St Johns	Non-Compliance with Section 29 of the IPID Act	2	Acquitted
35	2020040393 EC	KwaNobuhle	Assault-Common	1	Acquitted
36	2017010304 EC	Indwe	Assault-Common	2	Acquitted
37	2020030432 EC	Lady Frere	Assault-Common	2	Acquitted
38	2019080278 EC	Mount Frere	Assault-Common	3	Acquitted
39	2019030415 EC	Kwadwesi	Assault-Common	1	Acquitted
40	2020060267 EC	Qumbu	Assault-GBH	3	Acquitted
41	2019100277 EC	Tina Falls	Assault-GBH	5	Acquitted
42	2019100247 EC	East London	Assault-GBH	1	Acquitted
43	2016110680 EC	Mount Frere	Attempted murder	1	Acquitted
44	2020090584 EC	Graaf-Reinet	Assault-Common	2	Acquitted
45	2019060473 EC	Aliwal North	Assault-Common	2	Acquitted
46	2019110079 FS	Clarens	Assault-Common	2	Acquitted
47	2016090499 FS	Odendaalsrus	Assault-Common	3	Acquitted
48	2020040378 FS	Virginia	Assault-Common	1	Acquitted
49	2018110028 FS	Odendaalsrus	Complaint of discharge of an official firearm	1	Acquitted
50	2020030420 FS	Viljoenskroon	Assault-GBH	5	Acquitted
51	2020010296 FS	Edenville	Assault-Common	1	Acquitted
52	2019050107 FS	Bultfontein	Torture	3	Acquitted
53	2019090509 FS	Parkroad	Assault-Common	3	Acquitted
54	2020030243 FS	Edenburg	Assault-Common	1	Acquitted
55	2019120046 FS	Parkroad	Assault-Common	1	Acquitted
56	2020030357 FS	Theunessin	Assault-Common	2	Acquitted
57	2019080392 FS	Parkroad	Assault-Common	1	Acquitted
58	2020090242 FS	Virginia	Assault-Common	1	Acquitted



Table 29(b): Details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
59	2019020368 FS	Botshabelo	Complaint of discharge of an official firearm	1	Acquitted
60	2019100274 FS	Brandfort	Assault-GBH	1	Acquitted
61	2019120077 FS	Heidedal	Assault-Common	1	Acquitted
62	2020040629 FS	Welkom	Assault-Common	1	Acquitted
63	2020060178 FS	Botshabelo	Assault-Common	1	Acquitted
64	2020060180 FS	Botshabelo	Assault-Common	1	Acquitted
65	2020090256 FS	Kroonstad	Assault-Common	1	Acquitted
66	2018110108 FS	Thabong	Assault-Common	1	Acquitted
67	2019070504 FS	Rouxville	Assault-Common	3	Acquitted
68	2019090377 FS	Phuthaditjhaba	Defeating the ends of justice	1	Acquitted
69	2020040189 FS	Namahadi	Torture	3	Acquitted
70	2019120052 FS	Clocolan	Torture	3	Acquitted
71	2020050409 FS	Reitz	Assault-GBH	1	Acquitted
72	2020110305 FS	Bronville	Assault-Common	1	Acquitted
73	2020100604 FS	Hoopstad	Assault-Common	3	Acquitted
74	2020100111 FS	Hoopstad	Complaint of discharge of an official firearm	1	Acquitted
75	2020080643 FS	Odendaalsrus	Assault-Common	1	Acquitted
76	2020050481 FS	Virginia	Assault-Common	1	Acquitted
77	2019120434 FS	Welkom	Assault-Common	3	Acquitted
78	2020100733 FS	Viljoenskroon	Assault-Common	3	Acquitted
79	2019090467 FS	Batho	Death as a result of police action	1	Acquitted
80	2018050161 FS	Ladybrand	Complaint of discharge of an official firearm	2	Acquitted
81	2019050439 FS	Thaba Nchu	Assault-Common	2	Acquitted
82	2019050481 FS	Phuthaditjhaba	Assault-Common	1	Acquitted
83	2019070078 FS	Ventersburg	Assault-Common	2	Acquitted
84	2017110604 FS	Verkeerdevlei	Rape by police officer on/off duty	1	Acquitted
85	2017110593 FS	Verkeerdevlei	Rape by police officer on/off duty	1	Acquitted
86	2019070141 FS	Henneman	Assault-Common	1	Acquitted
87	2017070687 FS	Welkom	Assault-Common	2	Acquitted
88	2018050373 FS	Koffiefontein	Death as a result of police action	1	Acquitted
89	2018030175 KZN	Umlazi	Attempted murder	1	Acquitted
90	2019070472 KZN	KwaDangezi	Attempted murder	1	Acquitted
91	2018120097 KZN	Westville	Corruption	1	Acquitted
92	2018080482 KZN	Matimatolo	Death as a result of police action	1	Acquitted
93	2018050197 KZN	Emanguzi	Rape by police officer on/off duty	1	Acquitted
94	2017090463 KZN	Richards Bay	Assault-Common	1	Acquitted
95	2018010185 KZN	Umlazi	Assault-Common	1	Acquitted
96	2017120039 KZN	Ingogo	Death as a result of police action	1	Acquitted
97	2016120325 KZN	Verulam	Death as a result of police action	1	Acquitted
98	2019070254 KZN	Nkandla	Rape by police officer on/off duty	1	Acquitted
99	2017120331 MP	Pienaar	Death as a result of police action	1	Acquitted



Table 29(b): Details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
100	2019010352 MP	Witbank	Assault-Common	2	Acquitted
101	2019090396 MP	Calcutta	Assault-Common	1	Acquitted
102	2017050115 MP	Nelspruit	Death as a result of police action	1	Acquitted
103	2018060338 MP	Masoyi	Death as a result of police action	1	Acquitted
104	2020060412 MP	Emzinoni	Assault-Common	2	Acquitted
105	2020070256 MP	Kanyamazane	Assault-Common	2	Acquitted
106	2017030257 MP	Kwamhlanga	Death as a result of police action	1	Acquitted
107	2017100383 MP	Bushbuckridge	Death as a result of police action	1	Acquitted
108	2018020402 MP	Piet Retief	Non Compliance with section 29 of IPID Act of 2011	1	Acquitted
109	2016020463 MP	Pienaar	Death as a result of police action	1	Acquitted
110	2020020471 MP	Tonga	Death as a result of police action	1	Acquitted
111	2020090623 MP	Amsterdam	Death in police custody	1	Acquitted
112	2017100674 WC	Strandfontein	Assault-Common	2	Acquitted
113	2018080604 WC	Kleinvele	Assault-Common	8	Acquitted
114	2018080605 WC	Kleinvele	Assault-Common	8	Acquitted
115	2018110197 WC	Milnerton	Death as a result of police action	1	Acquitted
116	2019020348 WC	Fish Hoek	Assault-Common	2	Acquitted
117	2019050498 WC	Ceres	Rape by police officer on/off duty	1	Acquitted
118	2019060070 WC	Harare	Assault-Common	1	Acquitted
119	2019080349 WC	Kuils River	Torture	3	Acquitted
120	2019080505 WC	Wolseley	Assault-GBH	1	Acquitted
121	2019090334 WC	Dysselsdorp	Assault-Common	1	Acquitted
122	2019100113 WC	Ceres	Assault-Common	1	Acquitted
123	2019100402 WC	Ceres	Assault-Common	1	Acquitted
124	2018060021 WC	Ceres	Assault-Common	1	Acquitted
125	2018120101 WC	Prince Albert	Assault-Common	1	Acquitted
126	2019050574 WC	Prince Albert	Assault-Common	1	Acquitted
127	2018060283 WC	Atlantis	Assault-Common	1	Acquitted
128	2019080190 WC	Robertson	Assault-Common	2	Acquitted
129	2019030312 WC	Table View	Assault-GBH	1	Acquitted
130	2019040144 WC	Mfuleni	Death as a result of police action	1	Acquitted
131	2019070571 WC	Table View	Assault-GBH	1	Acquitted
132	2019070189 WC	Oudtshoorn	Assault-Common	1	Acquitted
133	2019090063 WC	Mfuleni	Assault-Common	2	Acquitted
134	2020020553 WC	Oudtshoorn	Complaint of discharge of an official firearm	1	Acquitted
135	2020030558 WC	Ravensmead	Assault-GBH	1	Acquitted
136	2020040202 WC	Riviersonderend	Assault-GBH	1	Acquitted
137	2018030009 KZN	Umlazi	Assault-Common	4	Acquitted
138	2019030215 NW	Mmabatho	Rape by a police official on/off duty	1	Acquitted
139	2018110469 NW	Huhudi	Complaint of discharge of an official firearm	4	Acquitted
140	2020040600 NW	Lichtenburg	Death as a result of police action	1	Acquitted



Table 29(b): Details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
141	2020030193 NW	Stella	Assault-GBH	3	Acquitted
Total				54	

10.3 CRIMINAL CONVICTIONS

The IPID is dedicated to investigating acts of criminality allegedly perpetrated by members of the SAPS/MPS and bring these members to justice. Once the investigation is completed and recommendations are forwarded to the NPA, the court procedure takes over where the role of IPID is to provide the court with enough evidence to make an appropriate ruling on the case at hand. Sometimes the case does result in a conviction. Table 30(a) shows the total number of criminal convictions that have been reported by the respective provinces during the period under review. A total of twenty-four (24) criminal convictions were reported.

Table 30(a): Criminal convictions

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systematic Corruption	Non-compliance with IPID Act	Total
Eastern Cape	-	3	-	1	-	-	1	-	1	-	-	6
Free State	-	1	-	-	-	-	1	-	-	-	-	2
Gauteng	-	1	-	1	-	-	1	-	-	-	-	3
KwaZulu-Natal	-	2	-	-	-	-	-	1	-	-	-	3
Limpopo	-	-	-	-	-	-	-	-	-	-	-	-
Mpumalanga	-	-	-	-	-	-	2	-	-	-	-	2
North West	-	-	-	-	-	-	-	-	-	-	-	-
Northern Cape	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	-	4	2	-	-	-	-	-	-	-	-	6
Total	-	11	2	2	-	-	5	1	1	-	-	22

Table 30(b) lists details of criminal convictions

Table 30(b): Details of criminal convictions

No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sanction
1	Eastern Cape	2016030178	KwaZakele	Death as a result of police action	1	Sentenced to 3 years cCorrectional sSupervision, 12 months' imprisonment; suspended for 5 years and declared unfit to possess a firearm.
2	Eastern Cape	2017020348	Ngqeleni	Death as a result of police action	1	Sentenced to 10 years imprisonment.



Table 30(b): Details of criminal convictions

No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sanction
3	Eastern Cape	2009110596	Mthatha	Rape by a police officer on/off duty	1	Sentence to 18 years imprisonment.
4	Free State	2018100192	Harrismith	Assault-Common	1	Fined R5000.
5	Free State	2017120045	Lindley	Death as a result of Police action	1	Sentenced to 36 months correctional supervision.
6	Gauteng	2018010517	Protea Glen	Death as a result of police action	1	Sentenced to 20 years for murder and 10 years for attempted murder both sentences run concurrently.
7	Gauteng	2017020106	Ekangala	Rape by a police officer on/off duty	1	Sentenced to 10 years wholly, suspended for 5years.
8	Gauteng	2013040032	Tembisa	Assault (GBH)	2	Sentenced to 3 months imprisonment or fined R6 000
9	KwaZulu-Natal	2017050204	KwaDabeka	Corruption	1	Sentenced to 10 years imprisonment for Assault GBH, 12 years imprisonment for corruption, 8 years for defeating the ends of justice, 10 years for intimidations. All sentences run concurrently then accused will serve years imprisonment.
10	KwaZulu-Natal	2019010019	Hluhluwe	Death as a result of police action	1	Sentenced to 25 years imprisonment.
11	Eastern Cape	2016070232	Msobomvu	Death as a result of police action	1	Sentenced to 10 years imprisonment.
12	Eastern Cape	2018020115	Jeffrey Bay	Assault-Common	1	Sentenced to 6 months imprisonment or fine R3 000.
13	Eastern Cape	2014010229	Maluti	Attempted murder	1	Sentenced to 8 years imprisonment and out of the 8 years 3 years wholly suspended for 5 years on condition.
14	KwaZulu-Natal	2018120124	Pongola	Death as a result of Police action	1	Sentenced to 15 years imprisonment.
15	Mpumalanga	2012030472	Matsulu	Assault-Common	1	Sentenced to 3 years imprisonment, suspended for 5 years on and ordeal to pay 3 victims R5000 each medical compensation (via court) R15000.
16	Mpumalanga	2019060018	Kwamhlanga	Assault-Common	1	Sentenced to 8 months imprisonment or fined R4000
17	Western Cape	2016080161	Delft	Death as a result of police action	1	Sentenced to 10 years imprisonment, wholly suspended for 5 years.
18	Western Cape	2018020174	Stanford	Death as a result of police action	1	Sentenced to 8 years imprisonment.
19	Western Cape	2012010390	Dysselsdorp	Death as a result of police action	1	Sentenced to 6 years imprisonment suspended for 5 years on condition, 36 months correctional supervision and declared unfit to possesses firearm.
20	Western Cape	2019050477	Stellenbosch	Death as a result of police action	1	Sentenced to 3 years imprisonment, suspended for 5 years.
21	Western Cape	2016070273	Mitchels plain	Complaint of discharge of an official firearm	1	Sentenced to 3 years imprisonment, suspended for 5 years.



Table 30(b): Details of criminal convictions

No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sanction
22	Western Cape	2016030471	Thembaletu	Complaint of discharge of an official firearm	1	Sentenced to 18 months imprisonment, suspended for 5 years.
23	Limpopo	2011120144	Polokwane	Assault-Common	3	Sentenced to 10 months imprisonment or fined R3 000.
24	Limpopo	2015080023	Mokopane	Assault-Common	1	Sentenced to 3 months or fined R3000.
Total					27	

10.4 CRIMINAL ACQUITTALS

Table 31(a) indicates the total number of criminal acquittals that have been reported by the respective provinces during the period under review. A total twenty-two (22) criminal acquittals were reported for period under review.

Table 31(a): Criminal acquittals

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other matters referred and misconduct	Systematic Corruption	Non-compliance with IPID Act	Total
Eastern Cape	-	-	-	-	-	-	-	-	-	-	-	-
Free State	-	-	-	-	-	-	3	-	-	-	-	3
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-
KwaZulu-Natal	-	-	1	-	-	-	-	-	-	-	-	1
Limpopo	-	-	-	-	-	-	-	-	-	-	-	-
Mpumalanga	-	1	-	-	-	-	1	-	-	-	2	4
North West	-	-	-	-	-	-	-	-	-	-	-	-
Northern Cape	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	-	2	-	2	-	-	10	-	-	-	-	14
Total	-	3	1	2	-	-	14	-	-	-	2	22

Table 31(b) lists details of criminal acquittals

Table 31(b): Details of criminal acquittals

No.	Province	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
1	Free State	2018100307	Oranjeville	Assault-Common	1	Acquitted
2	Free State	2018090557	Bethlehem	Assault-Common	1	Acquitted
3	Free State	2016090179	Phuthaditjhaba	Assault-Common	1	Acquitted
4	KwaZulu-Natal	2015100336	Gamalakhe	Complaint of discharge of an official firearm	1	Acquitted
5	Mpumalanga	2017030499	Skukuza	Death as a result of police action	1	Acquitted



Table 31(b): Details of criminal acquittals

No.	Province	CCN	Station	Nature of complaint	Number of members acquitted	Outcome
6	Mpumalanga	2014020313	Acornhoek	Non-compliance with section 29 of IPID Act	6	Acquitted
7	Mpumalanga	2014120089	Tonga	Assault-Common	2	Acquitted
8	Mpumalanga	2019090349	Kwaggafontein	Non-Compliance with section 29 of IPID Act of 2011	3	Acquitted
9	Western Cape	2018040109	Porterville	Assault-Common	1	Acquitted
10	Western Cape	2018030490	Caledon	Assault-Common	1	Acquitted
11	Western Cape	2018050266	Porterville	Assault-Common	1	Acquitted
12	Western Cape	2018080603	Porterville	Assault-Common	2	Acquitted
13	Western Cape	2018090234	Porterville	Assault-Common	1	Acquitted
14	Western Cape	2015100300	Wellington	Death as a result of police action	1	Acquitted
15	Western Cape	2017020055	Khayelitsha	Assault-Common	1	Acquitted
16	Western Cape	2017030753	Lingeletu West	Assault-Common	1	Acquitted
17	Western Cape	2018070170	Malmesbury	Assault-Common	3	Acquitted
18	Western Cape	2015050007	Villiersdorp	Assault-GBH	3	Acquitted
19	Western Cape	2017080291	Nyanga	Death as a result of police action	2	Acquitted
20	Western Cape	2017050630	Mitchels Plain	Rape by police officer on/off duty	3	Acquitted
21	Western Cape	2017080089	Napier	Assault-Common	1	Acquitted
22	Western Cape	2019030039	Bellville	Rape by police officer on/off duty	1	Acquitted
Total					38	

11. THE ARREST OF SAPS/MPS MEMBERS

In terms of Section 24(2) of the IPID Act, the IPID investigators are entitled to perform duties of peace officers as per the Criminal Procedure Act. The investigator can execute warrants and arrest suspects as per Section 24 (2)(e) and (f) of the IPID Act, 1 of 2011. The IPID had conducted a total of sixty-four (64) arrests which resulted to eighty-seven (87) SAPS members being arrested for various crimes. Some of the arrests were made by SAPS before IPID investigators arrived at the crime scene or took over the investigation.

Table 32: Details of arrest per province and charge

No.	Province	Month	CCN	Station	Charges	Number of arrest(s)
1	Gauteng	April	2020040504	Primrose	Corruption	2
2	Gauteng	April	2020040290	Alexandra	Death as a result of police action	1
3	Gauteng	April	2019100396	Honeydew	Death as a result of police action	2
4	Gauteng	April	2020040167	Pretoria Moot	Death as a result of police action	1
5	Gauteng	May	2018050544	Fochville	Attempted Murder	2
6	Gauteng	May	2020040361	Akasia	Corruption	1
7	KwaZulu Natal	June	2020060142	Ekuvukeni	Death as a result of police action	1
8	Mpumalanga	April	2017040444	Masoyi	Assault Common	1
9	Mpumalanga	May	2019110512	Chrissiesmeer	Assault Common	1
10	Gauteng	July	2020070584	Springs	Corruption	2
11	Eastern Cape	July	2019050518	Jeffreys Bay	Assault Common	2



Table 32: Details of arrest per province and charge

No.	Province	Month	CCN	Station	Charges	Number of arrest(s)
12	Gauteng	August	2020080732	Eldorado Park	Death as a result of police action	2
13	Eastern Cape	August	2020080518	Kamesh	Rape by police officer on/off duty	1
14	Limpopo	May	2018090134	Northam	Complaint of discharge of an official firearm	4
15	Limpopo	May	2019100328	Thabazimbi	Assault Common	1
16	Limpopo	May	2018090133	Northam	Assault GBH	4
17	Limpopo	May	2018090134	Northam	Assault GBH	4
18	Limpopo	May	2017030532 & 2017030534	Dwaalboom	Assault Common	1
19	Limpopo	May	2019040570	Gilead	Complaint of discharge of an official firearm	1
20	Eastern Cape	September	2019050450	Algoa Park	Assault Common	1
21	Eastern Cape	September	2019050349	Qumbu	Attempted murder	1
22	Eastern Cape	September	2019100461	Mdantsane	Assault Common	1
23	KwaZulu Natal	July	2019070470	Harding	Attempted murder	1
24	KwaZulu Natal	July	2020070344	Maphumulo	Attempted murder	1
25	KwaZulu Natal	August	2019040598	Tongaat	Death as a result of police action	1
26	KwaZulu Natal	August	2018080482	Matimatolo	Death as a result of police action	4
27	Gauteng	September	2020080732	Eldorado Park	Death as a result of police action	1
28	Gauteng	September	2017110299	Norwood	Corruption	1
29	Free State	July	2020070372	Dewetsdorp	Attempted murder	1
30	Limpopo	May	2019050546	Burgersfort	Rape by police officer on/off duty	1
31	Limpopo	April	2019040570	Gilead	Complaint of discharge of an official firearm	1
32	Limpopo	September	2019100199	Mankweng	Death as a result of police action	1
33	Limpopo	May	2019050286	Giyani	Death as a result of police action	1
34	Western Cape	April	2019050477	Stellenbosch	Death as a result of police action	1
35	Western Cape	August	2020080159	Delft	Rape by police officer on/off duty	1
36	Western Cape	September	2020080530	Moorreesburg	Death as a result of police action	2
37	Eastern Cape	October	2019100135	Idutywa	Assault Common	1
38	Eastern Cape	October	2016120503	King Williams Town	Attempted Murder	1
39	Eastern Cape	November	2015120412	Tsomo	Death as a result of police action	1
40	Eastern Cape	November	2016110165	Aliwal North	Assault Common	1
41	Eastern Cape	November	2019100360	Middledrift	Attempted murder	1
42	Eastern Cape	November	2019020088	Paterson	Assault Common	1
43	KwaZulu Natal	October	20200100202	Umbumbulu	Rape by police officer on/off duty	1
44	KwaZulu Natal	October	2020090184	Msinga	Death as a result of police action	1
45	KwaZulu Natal	October	2020100240	Plessislaer	Death as a result of police action	1
46	Gauteng	October	2020100631	Protea Glen	Attempted murder	1
47	Gauteng	October	2020100445	Reigerpark	Assault Common	1
48	Gauteng	October	2018060266	Tsakane	Attempted murder	1
49	Gauteng	November	2020110497	Sinoville	Complaint of discharge of an official firearm	1



Table 32: Details of arrest per province and charge

No.	Province	Month	CCN	Station	Charges	Number of arrest(s)
50	Gauteng	November	2020110287	Ivory Park	Complaint of discharge of an official firearm	1
51	Eastern Cape	December	2020120414	Mthatha	Rape by police officer on/off duty	1
52	Mpumalanga	March	2020010563	Nelspruit	Assault GBH	2
53	Western Cape	November	2020110236	Vredenburg	Death as a result of police action	5
54	Western Cape	December	2020120669	Clanwilliam	Rape by police officer on/off duty	1
55	Western Cape	February	2016020620	Milnerton	Murder, Attempted murder, Defeating the ends of justice	3
56	Eastern Cape	March	2019110069	Algoa Park	Assault Common	1
57	KwaZulu Natal	February	2021020099	Chatsworth	Attempted murder	1
58	Free State	March	2020050472	Ficksburg	Assault Common	1
59	Gauteng	December	2020120234	Kliptown	Rape by police officer on/off duty	1
60	Gauteng	December	2019050070	Langlaagte	Complaint of discharge of an official firearm	1
61	Gauteng	December	2020120335	Eden Park	Assault Common	1
62	Gauteng	March	2021020493	Moroka	Death in police custody	8
63	Gauteng	March	2021030133	Carletonville	Death as a result of police action	4
64	Gauteng	march	2021030212	Hillbrow	Death as a result of police action	4
Total						87

12. THE CASES ON COURT ROLLS

The number of cases that are on the court roll reflects cases where the investigation was of such a nature that the NPA accepted the IPID's recommendation pertaining to the institution of criminal prosecution. Table 33 shows the total number of cases that each province has on the court roll. A total of 803 cases were on the court roll.

Table 33: Cases on Court Roll

Charges	Eastern Cape	Free State	Gauteng	KwaZulu-Natal	Limpopo	Mpumalanga	North West	Northern Cape	Western Cape	Total
Any police officer who fails to comply with sec 29	1	-	-	-	-	1	-	-	-	2
Assault Common	45	-	25	5	11	-	35	12	87	220
Assault GBH	-	29	8	5	18	15	23	-	27	125
Assault GBH + Corruption	-	-	-	-	-	-	-	-	2	2
Assault GBH + Crimen Injuria	-	-	-	-	-	-	-	-	1	1
Assault GBH + Kidnapping	-	-	-	-	-	-	-	-	1	1
Attempted Murder	17	15	30	16	11	7	18	3	7	124
Corruption	1	2	10	3	1	3	-	2	-	22
Corruption, Perjury, Aiding to escape, Malicious damage to property	-	-	-	-	-	-	1	-	-	1
Culpable Homicide	-	-	-	1	-	-	-	-	7	8
Defeating the end of Justice	-	-	1	-	-	-	-	-	-	1



Table 33: Cases on Court Roll

Charges	Eastern Cape	Free State	Gauteng	KwaZulu-Natal	Limpopo	Mpumalanga	North West	Northern Cape	Western Cape	Total
Fraud & Corruption	-	-	-	-	-	-	1	-	-	1
Murder	31	14	43	41	15	10	6	1	28	189
Murder and Attempted Murder	-	-	-	-	-	-	1	-	-	1
Rape	19	9	15	5	2	2	6	9	26	93
Systemic corruption involving the police	-	1	-	-	-	1	-	-	-	2
Torture	-	1	3	-	1	1	-	-	2	2
Total	114	71	135	77	59	40	91	27	189	803

13. MANNER OF CLOSURE

The manner of closure can be influenced by the type and complexity of the case under investigation. A total of 2 346 cases were closed during the period under review. The manner of closure of cases per category in the period under review is detailed in table 34(a) below.

Table 34(a): Manner of disposal, per category

Manner of disposal	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other criminal offence and misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Alternative Dispute Resolution	-	-	1	-	-	-	-	-	1	-	-	2
Convicted	1	7	5	1	-	-	9	3	3	-	-	29
Corrective Counselling	-	-	-	-	-	-	-	-	-	-	-	-
Declined	8	15	85	34	2	22	1 050	13	6	3	14	1 252
Dismissed	-	-	-	-	-	-	1	-	-	-	-	1
Diversions	1	-	1	-	-	-	7	-	-	-	-	9
Duplicate	1	-	1	-	-	-	-	-	-	-	-	2
Inquest	5	9	-	-	-	-	-	-	-	-	-	14
Policy referred	-	-	-	-	-	-	-	-	-	-	-	-
Preliminary	-	1	29	1	-	-	1	3	1	-	-	36
Referred	82	33	21	2	2	-	52	5	3	-	-	200
Undetected	28	4	135	5	3	34	443	8	3	-	7	670
Unfounded	-	-	-	-	-	-	-	-	-	-	-	-
Unsubstantiated	4	-	7	-	1	-	10	1	-	-	-	23
Withdrawn	-	2	7	1	-	1	57	1	-	-	-	69
Total	133	76	297	46	8	60	1 648	35	18	4	21	2 346

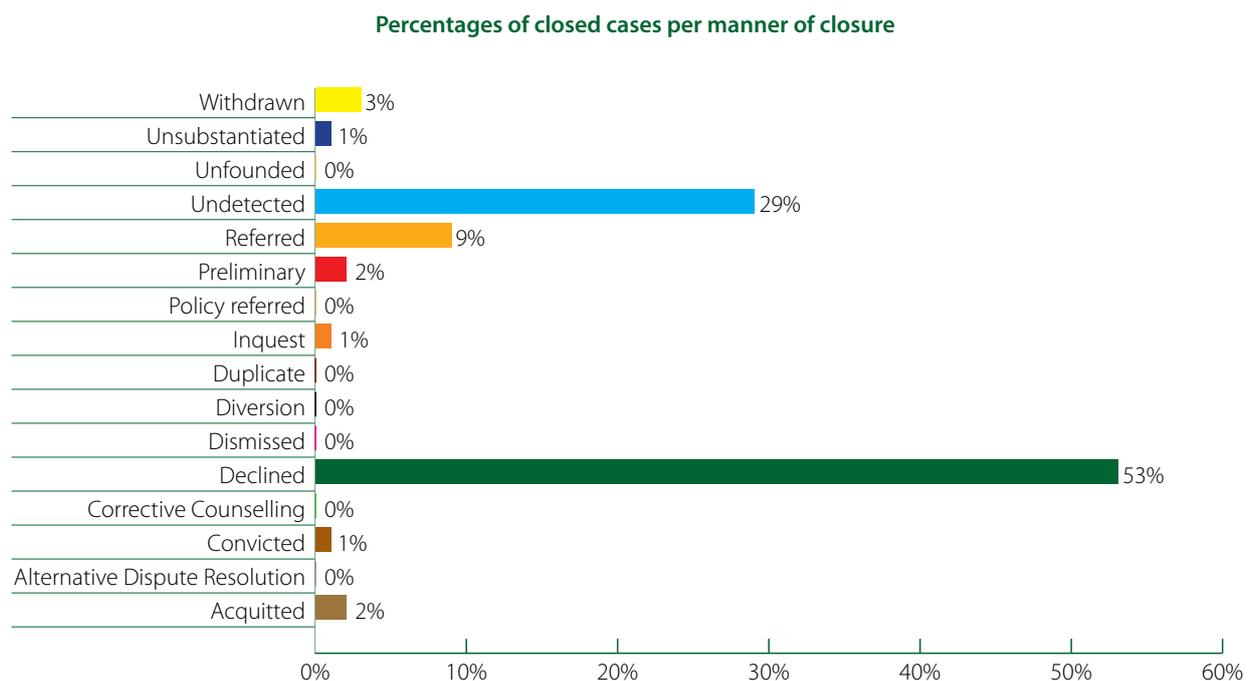


Table 34(b) provides details of the cases closed per manner of closure and per province. The most cases closed as decline, a total of 1 252 where noted, mostly cases were closed in Western Cape with 603 cases, followed by Eastern Cape with 178 cases and Gauteng with 96 cases. The second most closed cases closed as undetected with a total of 670 cases and such cases were closed in the Western Cape with 146 cases, followed by Eastern Cape with 122 cases and Free State with 77 cases.

Table 34(b): Manner of case-closure per province																	
Provinces	Acquitted	Alternative Dispute Resolution	Convicted	Corrective Counselling	Declined	Dismissed	Diversion	Duplicate	Inquest	Policy Referred	Preliminary	Referred	Undetected	Unfounded	Unsubstantiated	Withdrawn	Total
Eastern Cape	5	1	6	-	178	-	1	1	1	-	5	37	122	-	4	8	369
Free State	4	-	2	-	56	-	-	-	-	-	-	18	77	-	-	4	161
Gauteng	8	-	5	-	96	-	-	-	1	-	8	22	39	-	3	3	185
KwaZulu-Natal	6	1	1	-	75	-	1	-	5	-	6	63	70	-	4	6	238
Limpopo	7	-	-	-	71	-	-	1	-	-	-	14	62	-	-	1	156
Mpumalanga	2	-	3	-	70	-	3	-	1	-	2	14	74	-	1	2	172
North West	1	-	-	-	8	-	-	-	-	-	-	9	44	-	-	-	62
Northern Cape	-	-	1	-	91	-	1	-	-	-	-	6	36	-	-	1	136
NSIT	-	-	-	-	4	-	-	-	-	-	-	-	-	-	-	-	4
Western Cape	6	-	11	-	603	1	3	-	6	-	15	17	146	-	11	44	863
Total	39	2	29	-	1 252	1	9	2	14	-	36	200	670	-	23	69	2 346

Figure 5 below shows the percentages of the closed cases per manner of closure. The largest share of the closed cases was closed as declined (53 per cent), followed by closed as undetected (29 per cent) and closed as referred (9 per cent).

Figure 5





1. INTRODUCTION

The IPID is committed to ensuring that principles of good corporate governance are practiced and upheld, as supported by the Public Finance Management Act, 1999 (Act 1 of 1999) and as amended by King Code on Corporate Governance (King IV) and other related leading practices.

Risk, Compliance and Ethics Management forms an integral part of the IPID's corporate governance and strategic management processes; through which the IPID is able to identify weaknesses in controls and manage potential risks that may have negative impact on its operating environment.

2. RISK MANAGEMENT

The Risk Management function becomes a critical role to ensure that the Department is managing their risk. Risk assessments were conducted at a strategic and operational level during the year under review, to identify applicable risks that may be material and have an impact in achieving IPID's objectives. Provincial risk assessments for the 2020/2021 was not covered. Provinces continued to implement and report on the registers for 2019/2020.

A total of eight (8) risks were identified at a strategic level for 2020/2021. The Department was able to mitigate and address most of the strategic risks with mitigation strategies that were fully implemented. All Programmes were involved in the risk assessment process. Progress reports on the implementation of mitigation strategies at operational and strategic level were presented to the Compliance, Risk and Ethics Management Committee before being presented to the Audit Committee for the Quarter 4 and Quarter 1 reporting during 2020/2021.

The IPID compliance management function was implemented by conducting compliance monitoring on a quarterly basis, on identified relevant prescripts. This guided the Department on how to operate within a regulatory environment and contributes towards enhancing internal control systems.

Ethics management contributed to the strengthening and promotion of organisations. Ethics and Integrity practices in the Department through the implementation of the provisions of Whistle Blowing Policy and the process to be followed.

Compliance, Ethics and Risk Management Committee

The IPID has a fully functional Compliance, Ethics and Risk Management (CERM) Committee in place, appointed by the Executive Director. The committee was chaired by an external Chairperson; the committee members are all Programme Heads in the department including the Chief Financial Officer. The purpose of the committee is to advise management on matters related to risk management, compliance and ethics. During the reporting period the committee held two meetings to discuss quarterly reports for Quarter 3 and Quarter 4 of (2019/2020) and Quarter 1 of 2020/2021.

The Chairperson compiled reports based on the deliberations at the Committee meetings, those reports were then presented at the Audit Committee. In Addition, the Audit Committee guides management on risk management functions and provides oversight assurance on risk matters.



3. FRAUD AND CORRUPTION

The IPID's Fraud Prevention Policy and Fraud Prevention Plan are proactive systems in place to manage IPID's vulnerability to fraud and corruption. Cases of alleged fraud and corruption are forwarded to Corporate Governance component through the Ethics office. During the year under review there were no incidents or allegations reported to Corporate Governance component.

The Fraud Response Plan

The Corporate Governance Unit facilitates the implementation of the fraud response plan on any allegation of fraud or corruption and unethical conduct reported. A preliminary analysis is conducted to determine whether the reported matter warrants an investigation. Depending on the outcome, the preliminary analysis report is forwarded to the Executive Director who decides whether the allegations are to:

- a) Be investigated internally;
- b) Co/outsourced to forensic investigative firms;
- c) Referred to the SAPS, or any applicable law enforcement agency

Corporate Governance monitors progress on action taken related to the reported fraud allegation cases and provides feedback to the Compliance, Ethic and Risk Management Committee quarterly. All information related to fraud and corruption is treated with confidentiality and only discussed with person(s) who have legitimate right to such information.

4. MINIMISING CONFLICT OF INTEREST

The Corporate Governance component coordinated the submission of financial disclosures by Senior Management Services (SMS), Middle Management Service (MMS), Finance and SCM members. The submitted financial disclosures were analysed to identify areas of conflict by affected officials, if no conflict has been identified, the Department is considered to be fully compliant with the process.

5. CODE OF CONDUCT

The IPID endeavours to adhere to highest standards of ethical and moral behaviour. The IPID's values are the core from which it operates and respond on daily activities and interaction with both internal and external stakeholders. These values as listed in the IPID's strategic plan subscribe to the Code of Conduct for the Public Service contained in the Public Service Regulations, 2016.

Adherence to the Code of Conduct enhances professionalism and ensures confidence in the Directorate. Non-compliance with the provisions of the Code of Conduct or approved polices constitutes misconduct and may lead to disciplinary action.

6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES

Security Management ensured that the Department complies with the provisions of Occupational Health and Safety Act no 85 of 1993 by ensuring that statutory appointments are in place. The component facilitated appointments of Section 17 (Health and Safety Representatives) in provinces and at national office. However, the Safety, Health Environment (SHE) representatives have not assumed responsibilities to date, they will do once



training has commenced. Health and Safety inspections could not be conducted and quarterly meetings could not take place.

During the period under review, the focus was on the management of COVID-19 in the workplace to ensure that the work environment was deemed healthy and safe and to ensure that the Department complies with COVID-19 Regulations. The Department established a COVID-19 Steering Committee, which convened weekly meetings to discuss health and safety matters related to COVID-19.

7. PORTFOLIO COMMITTEES

Date of the meeting	Parliamentary Committees	Purpose	Matters raised by Committees	Department Response
8 May 2020	Select Committee on Security and Justice & PCP (joint meeting)	Briefing by IPID on police misconduct during national state disaster lockdown. (virtual meeting)	The committees were concerned about the perceived police brutality during this period and sought IPID response.	IPID explained that its figures reflect the cases as reported by the members of the public or complainant during the period of the lockdown regulations which requires compliance from SAPS, IPID, MPS and the communities.
6 May 2020	Western Cape Standing Committee on Community Safety	Briefing by IPID on police brutality during national lockdown period.	The Standing committee was interested in the numbers and status of cases received by IPID from the local police stations.	IPID responded that there were no specific cases involving police brutality and committed to continue to monitor the situation within the lockdown regulations.
12 May 2020	Portfolio Committee on Police (PCP) (virtual meeting)	Budget hearings on IPID.	There was a concern on the decreased budget allocation, coupled with the decreases in performance targets.	IPID said that the budget was reprioritised to ensure that funds were available for relocation expenditure. And internal controls and plans are set up to improve performance.
22 May 2020	Select Committee on Security and Justice & PCP (joint virtual meeting)	Briefing by IPID on its budget	The Select Committee was more concerned about lack of details on provincial interests. The committees acknowledged financial challenges faced by IPID, however members recommended that despite these challenges, IPID should find pro-active mechanisms to ensure that all cases are investigated effectively.	IPID committed that it will continue to prioritise high impact cases to ensure efficiency within the available budget.
14 October 2020	PCP (virtual meeting)	Briefing by IPID on Quarterly reports Q4 (2019/20) & Q1 (2020/21)	The Committee raised a concern & recommended that the IPID provides a plan for the re-prioritisation of the budget to deal with areas where there are shortfalls and provide a report to Parliament.	IPID undertook to develop a plan with specific time frame and submitted a report during the next quarterly appearance before the committee.



Date of the meeting	Parliamentary Committees	Purpose	Matters raised by Committees	Department Response
24 February 2021	PCP (virtual meeting)	Follow up on BRRR recommendations	The Committee raised concern about the IPID low APP targets.	IPID responded that there is annual Strategic Review Plan which looks at the annual performance targets amongst others in order to improve its performance.
05 March 2021	Virtual	Briefing by IPID on progress of s100 intervention in North West	Sub Select Committee	

8. SCOPA RESOLUTIONS

There was no interaction between SCOPA and IPID during the period under review.

9. PRIOR MODIFICATIONS TO AUDIT REPORTS

Matters of non-compliance	Financial year in which it first arose	Progress made in clearing / resolving the matter
Irregular Expenditure	2017/2018	<ul style="list-style-type: none"> • Presentation with supporting documentation has been made to National Treasury, the department was still awaiting their response by the end of reporting period. • Irregular expenditure on COE, National Treasury has been engaged to assist in condonation. • The irregular expenditure relating to office lease has since been cleared.
30-days Payment	2012/2013	<ul style="list-style-type: none"> • Regular budget monitoring through BCC to ensure sufficient funds to meet our contractual obligations. • Centralisation of Procurement to closely monitor the receipts, verification and processing of supplier invoices.

10. INTERNAL AUDIT AND AUDIT COMMITTEES

- Key activities and objectives of the internal audit

The purpose of Internal Audit within the Department is to provide independent, objective assurance and consulting services designed to add value to and improve operations. This is achieved by conducting risk-based audit reviews that contribute to the improvement of governance, risk management and control processes. Internal Audit also assists the Audit Committee in the effective discharge of its responsibilities.

In discharging its assurance responsibilities to the Department, Internal Audit follows a systematic and disciplined approach to evaluate and make appropriate recommendations regarding the following, in line with the International Standards for the Professional Practice of Internal Auditing:

- Achievement of IPID's objectives and plans;
- Reliability and integrity of information;
- Effectiveness and efficiency of operations and programs;
- Safeguarding of assets; and



- Compliance with laws, regulations, policies, procedures and contracts.
- Summary of audit work done

The table below list internal audit reviews that were completed during the 2020/2021 financial year:

National Office Reviews	Provincial Reviews
1. HRM Irregular Appointments – Forensic review	11. KZN Review (Investigation Management)
2. COVID-19 Review	12. Gauteng Review (Investigation Management and Asset Management).
3. Business Continuity Plan (BCP) Review	
4. Supply Chain Management Review	
5. PPE Procurement Review	
6. Quarterly Validation of 2020/2021 Reported Performance Information	
7. Review of 2019/2020 Financial Statements and 2020/2021 Financial Statements (Interim and 3rd Quarter)	
8. Follow-Up on 2019/2020 AGSA Findings (Quarterly)	
9. Quarterly Monitoring of Internal Audit Action Plans (IA Tracking Register)	
10. Review of 2020/2021 Section 9(n) Report	

- Key activities and objectives of the Audit Committee

In line with its mandate as recorded in its Charter, the Audit Committee is established to carry out its oversight responsibilities over the Department’s audit and financial reporting process; as well as systems of risk management and internal control. Audit Committee activities endeavours to fulfil the following objectives:

- Effective oversight over management’s role in governance, risk management and control systems.
- Enhancing business ethics and trust in the Department;
- Ensuring and enhancing the independence of the Internal Audit Activity;
- Ensuring that risks facing the Department are identified and appropriate mitigation strategies developed and implemented.
- Ensuring proper functioning of the Internal Audit Activity through review and approval of audit scope of work; including monitoring of its performance.

The Audit Committee fulfilled its objectives as stated above through the interrogation of various reports, including performance, risk management, compliance financial and audit; and robust discussions during Audit Committee meetings that took place in the first and second quarters of 2020/2021 financial year.

- Attendance of Audit Committee meetings by Audit Committee Members

A total of four (4) Audit committee meetings were convened in the 2020/2021 financial year, with the last meeting taking place on 29 September, a day before the end of the Committees’ years of service with the IPID.



The table below discloses relevant information on the audit committee members:

Name	Qualifications	Internal or external	If internal, position in the department	Date appointed	Date Resigned	No. of Meetings attended
Mr IM Motala – Chairperson	B. PROC; Admitted Attorney	External		October 2015		04
Ms LR Mvuyana	LLB; Certificate in Legislative Drafting; Admitted Attorney	External		October 2015		04
Ms AM Badimo	B.Sc. Computer Science; B.Sc. Hons Computer Science; Master’s in Business Administration (MBA); Master of Science (M.Sc.); Certified Information Security Manager (CISM); Certified in the Governance of Enterprise IT (CGEIT); Certified in Risk and Information Systems Control (CRISC); Cobol Programme Diploma; Project Management Professional (PMP); Certificate IT Auditing, COBIT 5, ITIL Foundation; Certified ISO 22301 Lead Implementer Certified Blockchain Expert (CBE); F.Inst D (IoDSA)	External		October 2015		04
Mr. NS Nkonzo	BCompt Honours; BCom Accounting; Post Graduate Diploma in Investigative and Forensic Accounting	External		October 2015		03
Ms DJ Ntlatseng	B. Proc	Internal	Executive Director	August 2020		02
Mr P Setshedi	Bachelor of Commerce Honours; Bachelor of Commerce	Internal	Acting Executive Director	March 2020		02
Mr. VO Senna	Masters of Public Administration and Management; Bachelor of Commerce; Post Graduate Certificate in Financial management; National Higher Certificate in Marketing	Internal	Chief Financial Officer	February 2018		04



11. AUDIT COMMITTEE REPORT

The Audit Committee is pleased to present the IPID Audit Committee report for the financial year ended 31 March 2021.

1. AUDIT COMMITTEE MEMBERS, MEETINGS AND ATTENDANCE

Name of Members	Meeting Attendance
Mr. M.I.Motala (Chairperson) (External)	04
Ms. A.Badimo (External)	04
Ms. L.Mvuyana (External)	04
Mr. N.S.Nkonzo (External)	03

The term of the IPID Audit Committee ended during the 2020/2021 financial year on 30 September 2020. A total of four (04) meetings were convened during the 2020/2021 financial year, with the last meeting held on 29 September 2020. There were no other meetings held for the second half of the financial year.

The following members were appointed by the Minister of Police to serve as the new IPID Audit Committee:

- Ms Margaret Phiri CA(SA) – Member and interim chairperson
- Ms Anna Badimo – Member
- Advocate Thato Moeeng – Member

Standing invitations for these meetings were extended to Senior Management and the Auditor General. The Chief Financial Officer and Accounting Officer attended the meetings as ex-officio members of the Audit Committee.

2. AUDIT COMMITTEE RESPONSIBILITY

The Audit Committee would like to report that for the duration of its term, it has complied with its responsibilities enshrined in section 38 (1) (a) (ii) of the Public Finance Management Act and Treasury Regulation 3.1.13. The Audit Committee also reports that it has adopted its Charter, has regulated its affairs in compliance with the Charter and has discharged all its responsibilities as contained therein.

3. THE EFFECTIVENESS OF INTERNAL CONTROL

The Audit Committee's review of the findings of the Internal Audit work, which was based on the risk assessments conducted in the department revealed certain weaknesses, which were then raised with the Department.

The control weaknesses identified ranged from data integrity issues on investigations conducted as part of IPID mandate, non-compliance with established recruitment and selection procedures, weaknesses in the management of covid-19 as well as inadequate business continuity practices.

The following internal audit work was completed during the year under review:

National Office

- HRM Irregular Appointments – Forensic review
- COVID-19 Review
- Business Continuity Plan (BCP) Review
- Supply Chain Management Review



- PPE Procurement Review
- Quarterly Validation of 2020/2021 Reported Performance Information
- Review of 2019/2020 Financial Statements and 2020/2021 Financial Statements (Interim and 3rd Quarter)
- Follow-Up on 2019/2020 AGSA Findings (Quarterly)
- Quarterly Monitoring of Internal Audit Action Plans (IA Tracking Register)
- Review of 2020/2021 Section 9(n) Report

IPID Provincial Audit Reviews

- Kwazulu Natal - Investigation Management
- Gauteng – Investigation and Asset Management

Based on the approved 2020/2021 annual internal audit plan setting out the scope, control objectives and risks, for the period covered, various reports were submitted by the internal auditors. The management report of the Auditor General (SA) on the annual financial statements were also submitted to and discussed by the Committee.

The Committee would like to record the following observations:

- Internal Audit did not manage to fully implement the IA Plan for the year under review, with two (02) planned projects listed below not carried out / completed as at 31 March 2021:
 - ICT Audit Review– IPID management made a decision to defer the assurance for the next financial year owing to budgetary constraints; and
 - Investigation Management Provincial Review (Western Cape) – The audit review was at the reporting phase by 31 March 2021.
- The Committee has considered management’s responses to address the matters raised by Internal Audit and Auditor General (SA) and to facilitate corrective actions, improvements and monitoring the controls and procedures. The Committee, however, notes that not all the findings and recommendations of Internal Audit were implemented.
- The Committee also notes that audit action plan was not fully implemented. The Committee recommends that additional effort should be made to implement these recommendations which will contribute significantly to an improved audit outcome.

3.1 SPECIFIC FOCUS AREAS GOING FORWARD

Identified specific focus areas to monitor, support and advise management on:

- Continuous monitoring of the ICT strategic plan and funding towards maintaining a secure and robust ICT environment;
- Implementation of the internal audit and AGSA action plans;
- Coordination and cooperation on matters related to financial management and audit; and
- improving the control environment.





4. IN-YEAR MANAGEMENT AND MONTHLY / QUARTERLY REPORT

The Committee was supplied with the 2019/2020 4th quarter and the 2020/2021 1st quarter financial reports, prepared and issued by the Department during the year under review in compliance with the statutory reporting framework. Save for certain nominal deficiencies the Committee is satisfied with the quality of these reports

A total of four (04) Audit Committee meetings were convened in the 2020/2021 financial year, with the following Committee responsibilities, amongst others, fulfilled:

- Review of 2019/2020 4th quarter financial information, including the 2019/2020 Annual Financial statements;
- Review of IPID's 2019/2020 4th quarter and 2020/2021 1st quarter performance information and overall performance as reported in the 2019/2020 IPID Annual Performance Report;
- Review of 2019/2020 4th quarter Internal Audit Reports;
- Approval of the 2020/2021 Internal Audit Charter and Plan, including the monitoring of 1st quarter performance as reported;
- Review of the AGSA 2019/2020 Final Management Letter and Audit Report;
- Review of ICT Governance matters; and
- Review of Risk Management, Ethics and Compliance matters.

5. EVALUATION OF THE ANNUAL FINANCIAL STATEMENTS

The Committee has:

- Reviewed and discussed the audited Annual Financial Statements to be included in the annual report, with the Auditor-General (SA) and the Accounting Officer;
- Reviewed the Auditor-General's management letter and management's response thereto;
- Reviewed and discussed the Performance Information with management;
- Reviewed changes in Accounting Policies and Practices; and
- Reviewed the entity's compliance with Legal and Regulatory provisions.

6. RISK MANAGEMENT

The Audit Committee continues to fulfil its risk management oversight responsibilities through review of reports of the Ethics, Risk and Compliance Committee. For the 2020/2021 financial year, the Committee noted, amongst other things, the following –

- For the 1st half of the financial year, IPID had a fully functional Compliance, Ethics and Risk Management (CERM) Committee in place, appointed by the Executive Director. The committee was chaired by an external chairperson whose term ended on 30 September 2020;
- Risk assessments were conducted at a strategic and operational level during the year under review, to identify applicable risks that may be material and have an impact in achieving IPID's objectives;
- IPID had not yet filled the position of Ethics Manager, a position which had been vacant since 2017/2018 financial year. The Audit Committee recommends that the position be filled as a matter of urgency to ensure that ethics' functions are not neglected, resulting in adverse control environment issues;



Constant capacity constraints prevented IPID from quarterly performing some important tasks such as ethics awareness sessions, fraud and corruption prevention training sessions, training workshops on Code of Conduct, monitoring of compliance with the DPSA Minimum Anti-Corruption Capacity requirements, and offering MISS awareness training sessions to all offices. The Audit Committee has reviewed the department's implementation plan for audit issues raised in the previous years and are satisfied that these matters are being attended to by management.

The Committee notes the improvement in the audit outcomes. In our view the improved opinion can be ascribed to Management's commitment to addressing the root cause of the matters identified by the Auditor General in the previous cycle. There is however room for improvement.

The Committee concurs with and accepts the conclusions of the Auditor-General on the annual financial statements and is of the opinion that the audited financial statements be accepted and read together with the report of the Auditor-General.

The Committee would like to appreciate the support and cooperation of IPID management and the internal audit team as well as the professionalism and value add from the Office of the Auditor General.

Ms Margaret Phiri CA (SA)

Chairperson of the Audit Committee

INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

20 August 2021



12. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

The following table has been completed in accordance with the compliance to the BBBEE requirements of the BBBEE Act of 2013 and as determined by the Department of Trade and Industry.

Has the Department / Public Entity applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:		
Criteria	Response Yes / No	Discussion <i>(include a discussion on your response and indicate what measures have been taken to comply)</i>
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	No	The Department does not issue any licences, concessions or grant any authorisation in respect of economic activity.
Developing and implementing a preferential procurement policy?	Yes	The Department Supply Management Policy has incorporated the PPPFA requirements in terms of BBBEE and the policy is currently in place for implementation.
Determining qualification criteria for the sale of state-owned enterprises?	No	The Department does not have any state-owned enterprise.
Developing criteria for entering into partnerships with the private sector?	No	The Department did not enter into any partnership with the private Sector.
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	No	The Department does not award any incentives, grants and investment schemes.



PART D:

Human Resource Management



1. INTRODUCTION

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

2. OVERVIEW OF HUMAN RESOURCES

The Department had a total of three hundred and forty-six (346) filled positions in its employ as at 31 March 2021. There are one hundred and seventy-nine (179) males and one hundred and sixty-seven (167) females' employees at all levels. In addition six (6) learners were also employed.

The Department took a strategic decision to prioritise the full implementation of Section 23 including the exited members using identified current year COE's savings as well as the budget for the twenty-four (24) posts that were prioritised for filling during this period.

The organisational structure was reviewed to ensure optimal utilisation of resources. The Department's baseline allocation has been reduced over the 2021 MTEF period. The budget cut was mainly in the COE which had an impact on the ability and capacity of the Department to carry out its constitutional mandate. The filling of these posts was negatively affected by the budget cuts. All vacant posts in the establishment were reprioritised to accommodate the reduction of the budget baseline, which resulted in the process of filling vacant posts being put on hold.

The Department through the National Training Committee (NTC) identified training interventions for implementation during 2020-21 financial year. These interventions were informed by the compulsory capacity development for newly appointed members of the Senior Management Services (SMS) and the Compulsory training programmes for newly appointed employees.

The transversal, compulsory training and priority investigation related training programmes such as Firearm Training and the Gender-Based Violence Investigation Programme were conducted for the development of staff. A total number of one hundred and sixty-three (163) employees were trained in the administration and core function in the Department.

During the level 5 and Level 4 national lockdown, inter-provincial travelling was not permitted due to the COVID-19 restrictions which also affected the traveling by employees in provinces. Employees who provided indirect services, and not mandatory services, began working remotely in line with DPSA Circular 15 of 2020 which had an impact on the implementation of the re-prioritised training needs including compulsory training to be attended by employee's plan.

The compulsory training programmes, coordinated by the National School of Government (NSG) requires an attendance of 20 participants per class. As per the DPSA Circular 15 of 2020 which restricted movement, the training was placed on hold since it was not listed as a critical service

The Employee Health and Wellness sub-directorate arranged a Mental Health workshops for all staff members, and Health Screenings were conducted in all provinces, of which eighty-four (84) employees were tested for lifestyle and existing conditions. There were also two (2) blood donor events in response to the national outcry on shortage of blood as a result of the pandemic. A World AIDS Day event was also commemorated on 1 December 2020, however other events could not take place due to COVID -19 regulations and Departmental protocols.



A total number of three hundred and fifty-two (352) employees qualified to be evaluated and their performance was both reviewed and moderated. The Department effected qualifying employees pay progression and performance bonuses on the system and employees were informed of the assessment outcome in writing.

3. HUMAN RESOURCES OVERSIGHT STATISTICS:

3.1 Personnel related expenditure

Table 3.1.1 Personnel expenditure by programme for the period 1 April 2020 and 31 March 2021

Programme	Total expenditure (R'000)	Personnel expenditure (R'000)	Training expenditure (R'000)	Professional and special services expenditure (R'000)	Personnel expenditure as a % of total expenditure	Average personnel cost per employee (R'000)
Programme 1: Administration	90 354	49 927	102	628	55.26%	515
Programme 2: Investigation and Information Management	232 263	184 494	585	8	79.43%	785
Programme 3: Legal & Investigation Advisory Services	5 635	5 553	0	0	98.54%	993
Programme 4: Compliance Monitoring and Stakeholder Management	12 687	10 445	46	8	82.33%	803
Total	340 939	250 420	732	644	73.45%	711

Table 3.1.2 Personnel costs by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Personnel expenditure (R'000)	% of total personnel cost	No. of employees	Average personnel cost per employee (R'000)
Skilled (level 3-5)	17 314	6.91%	65	266
Highly skilled production (levels 6-8)	73 943	29.53%	135	548
Highly skilled supervision (levels 9-12)	114 339	45.66%	115	994
Senior and Top management (levels 13-16)	44 373	17.72%	31	1 431
Abnormal appointments (learners)	451	0.26%	6	75
Total	250 420	100%	352	711



Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2020 and 31 March 2021

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Programme 1: Administration	35 053	70.21%	131	0.26%	1 383	2.77%	2 466	4.94%
Programme 2: Investigation and Information Management	125 910	68.25%	1 208	0.65%	3 305	1.79%	6 168	3.34%
Programme 3: Legal & Investigation Advisory Services	3 761	67.73%	0	0.00%	101	1.82%	120	2.16%
Programme 4: Compliance Monitoring & Stakeholder Management	7 611	72.87%	0	0.00%	255	2.44%	182	1.74%
Total	172 335	68.82%	1 339	0.53%	5 044	2.01%	8 936	3.57%

Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Skilled (level 3-5)	11 926	4.76%	30	0.01%	1 022	0.41%	1 719	0.69%
Highly skilled production (levels 6-8)	49 403	19.73%	939	0.37%	2 155	0.86%	3 769	1.51%
Highly skilled supervision (levels 9-12)	83 702	33.42%	370	0.15%	1 507	0.60%	3 273	1.31%
Senior management (level 13-16)	26 854	10.72%	0	0.00%	360	0.14%	175	0.07%
Abnormal Appointments	450	0.18%	0	0.00%	0	0.00%	0	0.00%
Total	172 335	68.82%	1 339	0.53%	5 044	2.01%	8 936	3.57%

3.2 Employment and Vacancies

The tables in this section summarise the position with regard to employment and vacancies.

The following tables summarise the number of posts on the establishment, the number of employees, the vacancy rate, and whether there are any staff that are additional to the establishment. This information is presented in terms of three key variables:



- programme
- salary band
- critical occupations

Department have identified critical occupations that need to be monitored. In terms of current regulations, it is possible to create a post on the establishment that can be occupied by more than one employee. Therefore, the vacancy rate reflects the percentage of posts that are not filled.

Table 3.2.1 Employment and vacancies by programme as on 31 March 2021

Programme	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Programme 1: Administration	107	92	14.01%	0
Programme 2: Investigation and Information Management	263	235	10.64%	0
Programme 3: Legal & Investigation Advisory Services	6	6	0.00%	0
Programme 4: Compliance Monitoring and Stakeholder Management	15	13	13.33%	0
Total	391	346	11.50%	0

Table 3.2.2 Employment and vacancies by salary band as on 31 March 2021

Salary band	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Skilled(Levels 3-5)	71	65	8.45%	0
Highly skilled production (Levels 6-8)	152	135	11.18%	0
Highly skilled supervision (Levels 9-12)	131	115	12.21%	0
Senior management (Levels 13-16)	37	31	16.21%	0
Total	391	346	11.50%	0

Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2021

Critical Occupation	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
None	None	None	None	None
Total	0	0	0	0

3.3 Filling of SMS Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.



Table 3.3.1 SMS post information as on 31 March 2021

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	1	100.00%	0	0.00%
Salary Level 14	14	11	78.57%	3	21.43%
Salary Level 13	22	19	86.36%	3	13.64%
Total	37	31	83.78%	6	16.22%

Table 3.3.2 SMS post information as on 30 September 2020

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	1	100.00%	0	0.00%
Salary Level 14	14	12	85.71%	2	14.28%
Salary Level 13	22	20	90.90%	2	9.09%
Total	37	33	89.18%	4	89.18%

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2020 and 31 March 2021

SMS Level	Adverting	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 6 months but filled in 12 months
Salary Level 15	0	0	0
Salary Level 14	0	0	0
Salary Level 13	0	0	0
Total	0	0	0

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2020 and 31 March 2021

Reasons for vacancies not advertised within six months
The Director: Information Technology Communication post was placed on hold to allow for the secondment of the appointed official from SITA to assist the Department with the analysis and assessment of the challenges identified in the ICT environment.
The functions of the Chief Financial Officer post is currently under review with Department of Public Service and Administration to address the comments made by DPSA on the letter dated 23 June 2020.

Notes

- In terms of the Public Service Regulations Chapter 1, Part VII C.1A.3, departments must indicate good cause or reason for not having complied with the filling of SMS posts within the prescribed timeframes.



Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2020 and 31 March 2021

Reasons for vacancies not advertised within six months
None

3.4 Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2020 and 31 March 2021

Salary Band	Number of posts on approved establishment	Number of Jobs Evaluation	% of posts evaluated by salary bands	Posts Upgraded		Post Downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
Lower skilled (Llevels 1-2)	0	0	0	0	0	0	0
Skilled (levels 3-5)	0	0	0	0	0	0	0
Highly skilled production (levels 6-8)	0	0	0	0	0	0	0
Highly skilled supervision (levels 9-12)	0	0	0	0	0	0	0
Senior Management Services Band A	0	0	0	0	0	0	0
Senior Management Services Band B	0	0	0	0	0	0	0
Senior Management Services Band C	0	0	0	0	0	0	0
Senior Management Services Band D	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2020 and 31 March 2021

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0

Employees with a disability	0
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The following table summarises the number of cases where remuneration bands exceeded the grade determined by job evaluation. Reasons for the deviation are provided in each case.

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2020 and 31 March 2021

Occupation	Number of Employees	Job Evaluation Level	Remuneration Level	Reason for Deviation
Assistant Director: Compliance Monitoring	1	9	10	Grade progression
Deputy Director: Corporate Services	1	11	12	Grade progression
Total number of employees whose salaries exceeded the level determined by job evaluation				2
Percentage of total employed				0.57

The following table summarises the beneficiaries of the above in terms of race, gender and disability

Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2020 and 31 March 2021

Gender	African	Asian	Coloured	White	Total
Female	1	0	0	1	2
Male	0	0	0	0	0
Total	1	0	0	1	2

Employee with disability	0	0	0	1	0
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3.5 Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the department. The following tables provide a summary of turnover rates by salary band and critical occupations (see definition in notes below).

Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Number of employees at beginning of period 01 April 2020	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
Skilled (Levels 3-5)	65	1	1	1.53%
Highly skilled production (Levels 6-8)	139	2	5	3.59%
Highly skilled supervision (Levels 9-12)	117	1	4	3.41%
Senior Management Service Bands A	30	3	2	6.66%
Senior Management Service Bands B	0	0	0	0
Senior Management Service Bands C	0	0	0	0
Senior Management Service Bands D	0	0	0	0
Contracts	0	0	0	0
Total	351	7	12	3.41



Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2020 and 31 March 2021

Critical Occupation	Number of employees at beginning of period 01 April 2020	Appointments and transfers into the Ddepartment	Terminations and transfers out of the department	Turnover rate
0	0	0	0	0
Total	0	0	0	0

The table below identifies the major reasons why staff left the Department.

Table 3.5.3 Reasons why staff left the department for the period 1 April 2020 and 31 March 2021

Termination Type	Number	% of Total Resignations
Death	1	8.33%
Resignation	6	50.00%
Expiry of contract	0	0.00%
Dismissal – operational changes	0	0.00%
Dismissal – misconduct	0	0.00%
Dismissal – inefficiency	0	0.00%
Discharged due to ill health	0	0.00%
Retirement	0	0.00%
Transfer to other Public Service Departments	2	16.66%
Other	3	25.00%
Total	12	3.47%
Total number of employees who left as a % of total employment	12	3.47%

Table 3.5.4 Promotions by critical occupation for the period 1 April 2020 and 31 March 2021

Occupation	Employees 1 April 2020	Promotions to another salary level	Salary level promotions as a % of employees by occupation	Progressions to another notch within a salary level	Notch progression as a % of employees by occupation
0	0	0	0	0	0
Total	0	0	0	0	0

Table 3.5.5 Promotions by salary band for the period 1 April 2020 and 31 March 2021

Salary Band	Employees 1 April 2020	Promotions to another salary level	Salary bands promotions as a % of employees by salary level	Progressions to another notch within a salary level	Notch progression as a % of employees by salary bands
Skilled (Levels 3-5)	65	0	0	1	1.53%
Highly skilled production (Levels 6-8)	139	2	0	0	0.00%
Highly skilled supervision (Levels 9-12)	117	3	0	0	0.00%
Senior Management (Level 13-16)	30	0	0	0	0.00%
Total	351	5	0	1	0.28%



3.6 Employment Equity

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2021

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	10	2	1	1	14	0	1	2	31
Professionals	20	3	1	3	16	2	0	0	45
Technicians and associate professionals	94	9	4	4	85	4	3	2	205
Clerks	27	0	0	0	34	3	1	0	65
Service and sales workers	0	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	0	0	0	0	0
Total	151	14	6	8	149	9	5	4	346
Employees with disabilities	2	0	0	0	5	0	0	1	8

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	1	0	0	0	1
Senior Management	10	2	1	1	13	0	1	2	30
Professionally qualified and experienced specialists and middle management	20	3	1	3	16	2	0	0	45
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	94	9	4	4	85	4	3	2	205
Semi-skilled and discretionary decision making	27	0	0	0	34	3	1	0	65
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	151	14	6	8	149	9	5	4	346



Table 3.6.3 Recruitment for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	1	0	0	0	1
Senior Management	1	0	0	0	1	0	0	0	1
Professionally qualified and experienced specialists and middle management	0	0	0	0	0	0	0	0	0
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	0	0	0	0	1	0	0	0	1
Semi-skilled and discretionary decision making (Levels 6-8)	2	0	0	0	0	0	0	0	2
Unskilled and defined decision making	1	0	0	0	0	0	0	0	1
Total	4	0	0	0	3	0	0	0	7
Employees with disabilities	0								

Table 3.6.4 Promotions for the period 1 April 2020 to 31 March 2021.

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	0	0	0	0	0	0	0	0	0
Professionally qualified and experienced specialists and middle management	1	0	0	0	0	0	0	0	1
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	4	0	0	0	0	0	0	0	4
Semi-skilled and discretionary decision making	0	0	0	0	0	0	0	0	0
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	5	0	5						
Employees with disabilities	0								



Table 3.6.5 Terminations for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	1	0	0	0	0	0	0	0	1
Senior Management	1	0	0	0	0	0	0	0	1
Professionally qualified and experienced specialists and mid management	1	0	0	0	0	0	0	1	2
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	3	0	0	0	1	1	0	0	5
Semi-skilled and discretionary decision making	1	0	0	0	0	0	0	0	1
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	7	0	0	0	1	1	0	1	10
Employees with Disabilities	0								

Table 3.6.6 Disciplinary action for the period 1 April 2020 to 31 March 2021

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
0	0	0	0	0	0	0	0	0	0
Total	0								

*In terms of employment equity there were no disciplinary cases for the period under review.

Table 3.6.7 Skills development for the period 1 April 2020 to 31 March 2021

Occupational Category	Male				Female			
	African	Coloured	Indian	White	African	Coloured	Indian	White
Legislators, senior officials and managers	0	0	0	0	0	0	0	0
Professionals	0	0	0	0	0	0	0	0
Technicians and associate professionals	0	0	0	0	0	0	0	0
Clerks	0	0	0	0	0	0	0	0
Service and sales workers	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	0	0	0	0
Total	0							
Employees with disabilities	0							

*Note: No training interventions were implemented to specifically address employment equity matters.



3.7 Signing of Performance Agreements by SMS Members

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2020

SMS Level	Total number of funded SMS posts	Total number of SM members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General/ Head of Department	1	1	1	100.0%
Salary Level 14	14	12	8	66.66%
Salary Level 13	22	20	18	95.00%
Total	37	33	27	81.81%

Table 3.7.2 Reasons for not having concluded performance agreements for all SMS members as on 31 May 2020

Reasons
<ul style="list-style-type: none"> • The Deputy Provincial Head: Eastern Cape did not enter into a performance agreement with the supervisor. • A total of four Chief Directors did not sign the performance agreements within the prescribed period as they were on suspension. • The Director: Information Communication Technology was appointed after the prescribed submission date. • The Executive Director was appointed with effect from 01 August 2021 and hence fell outside of the reporting period.

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded performance agreements as on 31 August 2020

Reasons
None.

3.8 Performance Rewards

To encourage good performance, the department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations (see definition in notes below).



Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2020 to 31 March 2021

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost (R'000)	Average cost per employee
African					
Male	0	0	0.00%	0.00	0.00
Female	0	0	0.00%	0.00	0.00
Asian					
Male	0	0	0.00%	0.00	0.00
Female	0	0	0.00%	0.00	0.00
Coloured					
Male	0	0	0.00%	0.00	0.00
Female	0	0	0.00%	0.00	0.00
White					
Male	0	0	0.00%	0.00	0.00
Female	0	0	0.00%	0.00	0.00
Total	0	0	0.00%	0.00	0.00
	0	0	0.00%	0.00	0.00

*Payment for performance rewards is deferred to the next financial year

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2020 to 31 March 2021

Salary band	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee
Skilled (level 3-5)	0	0	0.00%	0.00	0
Highly skilled production (level 6-8)	0	0	0.00%	0.00	0
Highly skilled supervision (level 9-12)	0	0	0.00%	0.00	0
Total	0	0	0.00%	0.00	0

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2020 to 31 March 2021

Critical Occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee
0	0	0	0	0	0
Total	0	0	0	0	0



Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2020 to 31 March 2021

Salary Band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee	
Band A	19	19	100.00%	22 579.57	1 188.39	50.88%
Band B	11	11	100.00%	15 800.12	1 436.37	35.60%
Band C	1	1	100.00%	1 093.30	1 093.30	2.44%
Total	31	31	100.00%	39442.99	1 272.35	88.82%

3.9 Foreign Workers

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.

Table 3.9.1 Foreign workers by salary band for the period 1 April 2020 and 31 March 2021

- The Department did not employ any foreign workers.

Table 3.9.2 Foreign workers by major occupation for the period 1 April 2020 and 31 March 2021

- The Department did not employ any foreign workers.

3.10 Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

Table 3.10.1 Sick leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost (R'000)
Skilled (Levels 3-5)	347	79.50%	59	83.09%	5.8	336.00
Highly skilled production (Levels 6-8)	626	90.60%	93	61.18%	6.7	1078.00
Highly skilled supervision (Levels 9-12)	547	84.60%	82	71.30%	6.6	1394.00
Top and Senior management (Levels 13-16)	187	92.00%	22	70.96%	8.5	823.00
Total	1 707	86.67%	256	71.63%	6.6	3631.00



Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2020 to 31 December 2020

Salary band	Total days	% Days with Medical certification	Number of Employees using disability leave	% of total employees using disability leave	Average days per employee	Estimated Cost (R'000)
Skilled (levels 3-5)	0	0	0	0	0	0
Highly skilled production (levels 6-8)	7	100.00%	1	0.28%	7	14.00
Highly skilled supervision (levels 9-12)	0	0	0	0	0	0
Senior management (levels 13-16)	0	0	0	0	0	0
Total	7	100.00%	1	0.28%	7	14.00

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

Table 3.10.3 Annual Leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Skilled (levels 3-5)	1 163	18	65
Highly skilled production (levels 6-8)	2 852	20	141
Highly skilled supervision (levels 9-12)	2 722	22	121
Senior management (levels 13-16)	684	21	32
Total	7 421	81	359

Table 3.10.4 Capped leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2021
Skilled (levels 3-5)	0	0	0	0
Highly skilled production (levels 6-8)	4	1	4	34
Highly skilled supervision (levels 9-12)	0	0	0	52
Senior management (levels 13-16)	4	1	4	29
Total	8	2	4	44

The following table summarise payments made to employees as a result of leave that was not taken.

Table 3.10.5 Leave pay-outs for the period 1 April 2020 and 31 March 2021

Reason	Total amount (R'000)	Number of employees	Average per employee (R'000)
Leave pay-out for 2019/2020 due to non-utilisation of leave for the previous cycle	0	0	0
Capped leave pay-outs on termination of service for 2019/2020	185.00	4	46.00
Current leave pay-out on termination of service for 2019/2020	0	0	0
Total	185.00	4	46.00



3.11 HIV/AIDS & Health Promotion Programmes

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
Investigators	Protective equipment HCT sessions

Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

Question	Yes	No	Details, if yes
1. Has the department designated a member of the SMS to implement the provisions contained in the Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	Yes		Ms S Phalatsi; Director: Human Resource Management and Development Services
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and wellbeing of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	Yes		Two employees are responsible for Employee Wellness in the Division: Employee Health and Wellness. The Division shares its budget with Human Resource Management.
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	Yes		<ul style="list-style-type: none"> • The appointed service provider for EAP is ICAS. • Resilience programme for Investigators. • Crisis and Trauma Management. • Health and life management services. • Face-to-face counselling. • Psychological and Psychosocial services. • Fitness and nutrition management. • Management of HIV/AIDS, TB and other illnesses.
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	Yes		<p>The Department established the OHS Committee which also deals with issues related to health. The committee members are listed below:</p> <p>Ms K Rathokolo Ms L Saohatse Ms I Lentswane</p>
5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	Yes		The HIV/AIDS Policy was reviewed and approved.



Question	Yes	No	Details, if yes
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	Yes		When keeping record, no flags or symbols are used on personnel files or other records to indicate HIV/AIDS status. No employee services are terminated on the grounds of HIV/AIDS status. The services on grounds of ill health applies to all employees and the provisions of the Directive on Leave, including the Policies and Procedures on incapacity leave and ill health retirement applies to all staff.
7. Does the department encourage its employees to undergo HIV Counselling and Testing (HCT)? If so, list the results that you have achieved.	Yes		In line with the HIV/AIDS & TB management policy, all staff members are encouraged to participate during the quarterly HCT campaigns.
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	Yes		The behavioural outcome usually is determined during workshops held after HCT campaigns which measures the impact of the HCT campaign.

3.12 Labour Relations

Table 3.12.1 Collective agreements for the period 1 April 2020 and 31 March 2021

Total number of Collective agreements	None
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The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2020 and 31 March 2021:

Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	0	0
Verbal warning	0	0
Written warning	0	0
Final written warning	3	60%
Suspended without pay	1	20%
Fine	0	0
Demotion	0	0
Dismissal	0	0
Not guilty	1	20%
Case withdrawn	0	0
Total	5	100%



Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2020 and 31 March 2021

Type of misconduct	Number	% of total
Contravention of the PSR, 2016 and PFMA	5	50%
Gross dishonesty	1	10%
Contravention of the Transport Policy	2	20%
Harassment and Victimization	1	10%
Negligence	1	10%
Total	10	100%

Table 3.12.4 Grievances logged for the period 1 April 2020 and 31 March 2021

Grievances	Number	% of Total
Number of grievances resolved	29	88%
Number of grievances not resolved	4	12%
Total number of grievances lodged	33	100%

Table 3.12.5 Disputes logged with Councils for the period 1 April 2019 and 31 March 2020

Disputes	Number	% of Total
Number of disputes upheld	2	14%
Number of disputes dismissed	4	28%
Total number of disputes lodged	14	42%

Table 3.12.6 Strike actions for the period 1 April 2019 and 31 March 2020

Total number of persons working days lost	0
Total costs working day lost	0
Amount recovered as a result of no work no pay (R'000)	0.00

Table 3.12.7 Precautionary suspensions for the period 1 April 2020 and 31 March 2021

Number of people suspended	5
Number of people whose suspension exceeded 30 days	5
Average number of days suspended	239 days
Cost of suspension(R'000)	R 4 182 110.01



3.13 Skills development

This section highlights the efforts of the department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2020 and 31 March 2021

Occupational category	Gender	Number of employees as at 1 April 2020	Training needs identified at start of the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	17	-	20	-	20
	Male	14	-	24	-	24
Professionals	Female	18	-	49	-	49
	Male	27	-	28	-	28
Technicians and associate professionals	Female	94	-	92	-	92
	Male	111	-	119	-	119
Clerks	Female	27	-	17	4	21
	Male	38	-	21	4	25
Service and sales workers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Skilled agriculture and fishery workers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Craft and related trades workers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Plant and machine operators and assemblers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Elementary occupations	Female	0	-	0	-	0
	Male	0	-	0	-	0
Sub Total	Female	0	-	178	4	182
	Male	0	-	194	4	198
Total		346	-	372	8	380

Table 3.13.2 Training provided for the period 1 April 2020 and 31 March 2021

Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	17	-	1	-	1
	Male	14	-	0	-	0
Professionals	Female	18	-	5	-	5
	Male	27	-	5	-	5
Technicians and associate professionals	Female	94	-	26	-	26
	Male	111	-	29	-	29
Clerks	Female	38	-	0	4	4
	Male	27	-	0	4	4
Service and sales workers	Female	0	-	0	-	0
	Male	0	-	0	-	0



Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Skilled agriculture and fishery workers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Craft and related trades workers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Plant and machine operators and assemblers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Elementary occupations	Female	0	-	0	-	0
	Male	0	-	0	-	0
Sub Total	Female	167	-	32	4	36
	Male	179	-	30	4	34
Total		346	-	62	8	62

3.14 Injury on duty

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2020 and 31 March 2021

Nature of injury on duty	Number	% of total
Required basic medical attention only	0	0.00
Temporary Total Disablement	0	0.00
Permanent Disablement	0	0.00
Fatal	0	0.00
Total	0	0.00

3.15 Utilisation of Consultants

The following table relates information on the utilisation of consultants in the Department. In terms of the Public Service Regulations “consultant” means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a Department.



Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2020 and 31 March 2021

Project title	Total number of consultants that worked on project	Duration(work days)	Contract value in Rand
EAP Services	1	365	260 722.00
PILLIR	1	365	51 400.00

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
-	-	-	-

Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
-	-	-	-

Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2020 and 31 March 2021

Project title	Total Number of consultants that worked on project	Duration (Work days)	Donor and contract value in Rand

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand

Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
-	-	-	-

3.16 Severance Packages

Table 3.16.1 Granting of employee initiated severance packages for the period 1 April 2020 and 31 March 2021

Salary Band	Number of applications received	Number of applications referred to the MPSA	Number of applications supported by MPSA	Number of packages approved by department
Skilled (levels 3-5)	0	0	0	0
Highly skilled production (levels 6-8)	0	0	0	0
Highly skilled supervision (levels 9-12)	0	0	0	0
Senior management (levels 3-16)	0	0	0	0
Total	0	0	0	0



PART E:

**Financial
Information**



REPORT OF THE AUDITOR-GENERAL TO PARLIAMENT ON VOTE NO. 24: INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

Report on the audit of the financial statements

Opinion

1. I have audited the financial statements of the Independent Police Investigative Directorate set out on pages 128 to 191, which comprise the appropriation statement, statement of financial position as at 31 March 2021, the statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, the financial statements present fairly, in all material respects, the financial position of the Independent Police Investigative Directorate as at 31 March 2021, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standard (MCS) and the requirements of the Public Finance Management Act of South Africa 1999 (Act No.1 of 1999) (PFMA).

Basis for opinion

3. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of my report.
4. I am independent of the department in accordance with the International Ethics Standards Board for Accountants' *International code of ethics for professional accountants (including International Independence Standards)* (IESBA code) as well as other ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.
5. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Emphasis of matter

6. I draw attention to these matters below. My opinion is not modified in respect of this matter.

Payables not recognised

7. As disclosed in note 20.2 to the financial statements, payables not recognised of R 2 514 000, exceeded the payment terms of 30 days as required in Treasury Regulation 8.2.3. This amount in turn exceeded the R 37 000 of voted funds to be surrendered as per the statement of financial performance. The amount of R 2 477 000 would therefore have constituted unauthorised expenditure had the amounts due been paid on time.

Other matter

8. I draw attention to the matter below. My opinion is not modified in respect of this matter:



Unaudited supplementary schedules

9. The supplementary information set out on pages 192 to 202 does not form part of part of the financial statements and is presented as additional information. I have not audited these schedules and accordingly, I do not express an opinion on it.

Responsibilities of the accounting officer for the financial statements

10. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the Modified Cash Standard (MCS) and the requirements of the Public Finance Management Act of South Africa 1999 (Act No.1 of 1999 (PFMA), and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
11. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the department or to cease operations, or has no realistic alternative but to do so.

Auditor-general's responsibilities for the audit of the financial statements

12. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
13. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

Report on the audit of the annual performance report

Introduction and scope

14. In accordance with the Public Audit Act 25 of 2004 (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programme presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
15. My procedures address the usefulness and reliability of the reported performance information, which must be based on the department's approved performance planning documents. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures do not extend to any disclosures or assertions relating to the extent of achievements in the current year or planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.



16. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the department's annual performance report for the year ended 31 March 2021:

Programme	Pages in the annual performance report
Programme 2 – Investigation and Information Management	28 – 31

17. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
18. The material findings on the usefulness and reliability of the performance information of the selected programme are as follows:

Programme 2 – Investigation and Information Management

Various performance indicators

19. I was unable to obtain sufficient appropriate audit evidence for the reported achievements of 3 of the 13 indicators relating to this programme. This was due to the lack of accurate and complete records. I was unable to confirm the reported achievements by alternative means. Consequently, I was unable to determine whether any adjustments were required to the reported achievements in the annual performance report for the indicators listed below:

Performance indicator description	Reported achievement
Number of investigations of discharge of an official firearm by a police officer that are decision ready.	413
Number of investigations of rape by a police officer that are decision ready per year	81
Number of investigations of corruption that are decision ready per year	52

Number of investigations of deaths as a result of police action cases that are decision ready per year

20. The achievement of 259 was reported against target of 280 in the annual performance report. However, some supporting evidence provided materially differed from the reported achievement, while in other instances I was unable to obtain sufficient appropriate audit evidence. This was due to the lack of accurate and complete records. I was unable to further confirm the reported achievement by alternative means. Consequently, I was unable to determine whether any further adjustments were required to the reported achievement.

Other matter

21. I draw attention to the matter below.

Achievement of planned targets

22. Refer to the annual performance report on pages 28 to 31 for information on the achievement of planned targets for the year and explanations provided for the under-or overachievement of a significant number of targets. This information should be considered in the context of the material findings on the usefulness and reliability of the reported performance information in paragraphs 19 and 20 of this report.



Adjustment of material misstatements

23. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were in the reported performance information of Programme 2: Investigation and Information Management. As management subsequently corrected only some of the misstatements, I raised material findings on the usefulness and reliability of the reported performance information. Those that were not corrected are reported above.

REPORT ON THE AUDIT OF COMPLIANCE WITH LEGISLATION

Introduction and scope

24. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.
25. I did not identify any material findings on compliance with the specific matters in key legislation set out in the general notice issued in terms of the PAA.

Other information

26. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report and the selected programme presented in the annual performance report that have been specifically reported in this auditor's report.
27. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion on it.
28. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programme presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.
29. If, based on the work I have performed, I conclude that there is a material misstatement in this other information, I am required to report that fact. I have nothing to report in this regard.

Internal control deficiencies

30. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. The matters reported below are limited to the significant internal control deficiencies that resulted in the findings on the annual performance report included in this report
31. Management did not implement proper record keeping in a timely manner to ensure that complete, relevant and accurate information is accessible and available to support performance reporting.



Other reports

32. I draw attention to the following engagements conducted by various parties which had, or could have, an impact on the matters reported in the department's financial statements, reported performance information, compliance with applicable legislation and other related matters. These reports did not form part of my opinion on the financial statements or my findings on the reported performance information or compliance with legislation.
33. External forensic auditors conducted forensic investigations on allegations on irregular appointments, as well as allegations on special closure of investigation cases. Both investigations were completed towards year end, and the reports were also presented to management for consideration.

Auditor-General

Pretoria

31 July 2021



AUDITOR - GENERAL
SOUTH AFRICA

Auditing to build public confidence



ANNEXURE – AUDITOR-GENERAL'S RESPONSIBILITY FOR THE AUDIT

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements and the procedures performed on reported performance information for selected programmes and on the department's compliance with respect to the selected subject matters.

Financial statements

2. In addition to my responsibility for the audit of the financial statements as described in this auditor's report, I also:
 - identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error; design and perform audit procedures responsive to those risks; and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control
 - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the department's internal control
 - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer
 - conclude on the appropriateness of the accounting officer's use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty exists relating to events or conditions that may cast significant doubt on the ability of the Independent Police Investigative Directorate to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor's report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify my opinion on the financial statements. My conclusions are based on the information available to me at the date of this auditor's report. However, future events or conditions may cause a department to cease operating as a going concern
 - evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and determine whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation

Communication with those charged with governance

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also provide the accounting officer with a statement that I have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on my independence and, where applicable, actions taken to eliminate threats or safeguards applied.



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APPROPRIATION STATEMENT

for the year ended 31 March 2021

Appropriation per programme									
2020/21									
Programme	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Administration	89 941	1 227	(814)	90 354	90 354	-	100.0%	87 086	87 076
2. Investigation Information Management	232 450	1 050	(1 236)	232 264	232 263	1	100.0%	233 383	233 382
3. Legal and investigation advisory services	6 020	-	(349)	5 671	5 635	36	99.4%	5 348	5 320
4. Compliance Monitoring and Stakeholder Management	12 565	1	121	12 687	12 687	-	100.0%	10 836	10 832
TOTAL	340 976	2 278	(2 278)	340 976	340 939	37	100.0%	336 653	336 610

2020/21				2019/20	
	Final Appropriation	Actual Expenditure		Final Appropriation	Actual Expenditure
TOTAL (brought forward)					
Reconciliation with statement of financial performance					
ADD					
Departmental receipts	271			313	
Actual amounts per statement of financial performance (total revenue)	341 247			336 966	
Prior year unauthorised expenditure approved without funding	-			-	
Actual amounts per statement of financial performance (total expenditure)		340 939			336 610

APPROPRIATION STATEMENT

for the year ended 31 March 2021

		Appropriation per programme									
		2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	
Economic classification											
Current payments	334 662	1 486	(2 278)	333 870	333 833	37	100.0%	327 965	332 584		
Compensation of employees	243 136	1 975	5 345	250 456	250 420	36	100.0%	228 759	248 123		
Salaries and wages	198 032	2 042	3 874	203 948	203 913	35	100.0%	195 695	210 745		
Social contributions	45 104	(67)	1 471	46 508	46 507	1	100.0%	33 065	37 378		
Goods and services	91 526	(489)	(7 623)	83 414	83 413	1	100.0%	99 206	84 461		
Administrative fees	1 331	(312)	(398)	621	621	-	100.0%	814	709		
Advertising	231	(97)	-	134	134	-	100.0%	169	159		
Minor assets	1 266	(1 032)	(74)	160	160	-	100.0%	7 443	96		
Audit costs: External	3 492	1 811	485	5 788	5 788	-	100.0%	3 083	3 083		
Bursaries: Employees	249	(123)	(88)	38	38	-	100.0%	203	68		
Catering: Departmental activities	173	(108)	(26)	39	39	-	100.0%	65	57		
Communication	3 938	579	(456)	4 061	4 061	-	100.0%	4 066	3 962		
Computer services	8 194	2 401	(314)	10 281	10 281	-	100.0%	8 385	8 366		
Consultants: Business and advisory services	529	362	(247)	644	644	-	100.0%	873	807		
Legal services	3 090	(27)	(861)	2 202	2 202	-	100.0%	4 226	4 226		
Contractors	299	102	-	401	401	-	100.0%	2 791	341		
Agency and support / outsourced services	2 050	(1 010)	(1 040)	-	-	-	-	29	28		
Fleet services	5 302	(10)	(551)	4 741	4 741	-	100.0%	6 636	5 483		
Inventory: Clothing material and accessories	-	194	-	194	194	-	100.0%	-	-		
Consumable supplies	1 091	815	(159)	1 747	1 747	-	100.0%	364	232		

APPROPRIATION STATEMENT

for the year ended 31 March 2021

		Appropriation per programme							2019/20	
		2020/21								
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Consumable: Stationery, printing and office supplies	2 062	(747)	(819)	496	496	-	100.0%	1 736	1 429	
Operating leases	20 455	(789)	(625)	19 041	19 041	-	100.0%	23 581	23 933	
Property payments	21 801	(796)	(23)	20 982	20 981	1	100.0%	16 017	14 713	
Travel and subsistence	12 398	(550)	(1 896)	9 952	9 952	-	100.0%	15 301	13 953	
Training and development	1 547	(646)	(169)	732	732	-	100.0%	2 128	1 680	
Operating payments	2 028	(513)	(362)	1 153	1 153	-	100.0%	1 243	1 084	
Venues and facilities	-	7	-	7	7	-	100.0%	52	52	
Transfers and subsidies	820	697	-	1 517	1 517	-	100.0%	1 775	1 670	
Provinces and municipalities	-	42	-	42	42	-	100.0%	101	98	
Municipalities	-	42	-	42	42	-	100.0%	101	98	
Municipal bank accounts	-	42	-	42	42	-	100.0%	101	98	
Departmental agencies and accounts	820	(89)	-	731	731	-	100.0%	776	690	
Departmental agencies and accounts	820	(89)	-	731	731	-	100.0%	776	690	
Households	-	744	-	744	744	-	100.0%	898	882	
Social benefits	-	642	-	642	642	-	100.0%	485	469	
Other transfers to households	-	102	-	102	102	-	100.0%	413	413	
Payments for capital assets	5 494	94	-	5 588	5 588	-	100.0%	6 913	2 356	
Machinery and equipment	5 494	94	-	5 588	5 588	-	100.0%	6 913	2 356	
Transport equipment	2 250	123	-	2 373	2 373	-	100.0%	2 200	-	
Other machinery and equipment	3 244	(29)	-	3 215	3 215	-	100.0%	4 713	2 356	

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Appropriation per programme									
2020/21					2019/20				
Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	
R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
-	1	-	1	1	-	100.0%	-	-	-
340 976	2 278	(2 278)	340 976	340 939	37	100.0%	336 653	336 610	
Payments for financial assets									
TOTAL									



APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 1: Administration										
2020/21										
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	2019/20
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Sub programme										
1. Department Management	15 892	(1 447)	(59)	14 386	14 386	-	100.0%	13 637	13 636	
2. Corporate Services	34 701	2 965	-	37 666	37 666	-	100.0%	34 307	34 302	
3. Office Accommodation	13 870	-	-	13 870	13 870	-	100.0%	13 147	13 147	
4. Internal Audit	4 930	358	(212)	5 076	5 076	-	100.0%	5 399	5 398	
5. Finance Services	20 548	(649)	(543)	19 356	19 356	-	100.0%	20 596	20 593	
Total for sub programmes	89 941	1 227	(814)	90 354	90 354	-	100.0%	87 086	87 076	
Economic classification										
Current payments	86 017	903	(814)	86 106	86 106	-	100.0%	83 842	83 838	
Compensation of employees	50 181	560	(814)	49 927	49 927	-	100.0%	50 290	50 288	
Salaries and wages	43 389	382	(815)	42 956	42 956	-	100.0%	43 489	43 488	
Social contributions	6 792	178	1	6 971	6 971	-	100.0%	6 801	6 800	
Goods and services	35 836	343	-	36 179	36 179	-	100.0%	33 552	33 550	
Administrative fees	144	(36)	-	108	108	-	100.0%	206	189	
Advertising	110	16	-	126	126	-	100.0%	169	159	
Minor assets	1 116	(1 111)	-	5	5	-	100.0%	91	83	
Audit costs: External	3 492	(454)	-	3 038	3 038	-	100.0%	3 083	3 083	
Bursaries: Employees	112	(107)	-	5	5	-	100.0%	26	5	
Catering: Departmental activities	73	(62)	-	11	11	-	100.0%	32	31	
Communication	871	248	-	1 119	1 119	-	100.0%	939	844	
Computer services	5 213	2 370	-	7 583	7 583	-	100.0%	5 228	5 210	

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 1: Administration										
2020/21										
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	2019/20
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Consultants: Business and advisory services	249	378	-	627	627	-	100.0%	765	703	
Contractors	279	(18)	-	261	261	-	100.0%	269	259	
Fleet services	369	(142)	-	227	227	-	100.0%	406	325	
Consumable supplies	173	(54)	-	119	119	-	100.0%	190	111	
Consumable: Stationery, printing and office supplies	463	(387)	-	76	76	-	100.0%	375	352	
Operating leases	16 791	(406)	-	16 385	16 385	-	100.0%	15 089	15 667	
Property payments	4 416	661	-	5 077	5 077	-	100.0%	3 561	3 546	
Travel and subsistence	1 162	(175)	-	987	987	-	100.0%	1 978	1 942	
Training and development	495	(393)	-	102	102	-	100.0%	818	735	
Operating payments	308	8	-	316	316	-	100.0%	275	254	
Venues and facilities	-	7	-	7	7	-	100.0%	52	52	
Transfers and subsidies	730	319	-	1 049	1 049	-	100.0%	918	912	
Provinces and municipalities	-	-	-	-	-	-	-	50	47	
Provinces	-	-	-	-	-	-	-	50	47	
Municipalities	-	-	-	-	-	-	-	50	47	
Departmental agencies and accounts	730	-	-	730	730	-	100.0%	688	687	
Departmental agencies	730	-	-	730	730	-	100.0%	688	687	
Households	-	319	-	319	319	-	100.0%	180	178	
Social benefits	-	227	-	227	227	-	100.0%	180	178	
Other transfers to households	-	92	-	92	92	-	100.0%	-	-	

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 1: Administration									
2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Payments for capital assets	3 194	5	-	3 199	3 199	-	100.0%	2 326	2 326
Machinery and equipment	-	-	-	-	-	-	-	-	-
Transport equipment	-	-	-	-	-	-	-	-	-
Other machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	89 941	1 227	(814)	90 354	90 354	-	100.0%	87 086	87 076



APPROPRIATION STATEMENT

for the year ended 31 March 2021

1.1 Subprogramme: Department Management									
2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	15 892	(1 531)	(59)	14 302	14 302	-	100.0%	13 513	13 514
Compensation of employees	13 485	-	(59)	13 426	13 426	-	100.0%	11 770	11 770
Goods and services	2 407	(1 531)	-	876	876	-	100.0%	1 743	1 744
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	84	82
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	84	82
Payments for capital assets	-	-	-	84	84	-	100.0%	40	40
Machinery and equipment	-	84	-	84	84	-	100.0%	40	40
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	15 892	(1 447)	(59)	14 386	14 386	-	100.0%	13 637	13 636

APPROPRIATION STATEMENT

for the year ended 31 March 2021

1.2 Subprogramme : Corporate Services										
2020/21						2019/20				
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Current payments	30 777	2 960	-	33 737	33 737	-	100.0%	31 187	31 186	
Compensation of employees	15 841	812	-	16 653	16 653	-	100.0%	17 202	17 201	
Goods and services	14 936	2 148	-	17 084	17 084	-	100.0%	13 985	13 985	
Interest and rent on land	-	-	-	-	-	-	-	-	-	
Transfers and subsidies	730	92	-	822	822	-	100.0%	834	830	
Provinces and municipalities								50	47	
Departmental agencies and accounts	730		-	730	730	-	100.0%	688	687	
Households	-		-	92	92	-	100.0%	96	96	
Payments for capital assets	3 194	(87)	-	3 107	3 107	-	100.0%	2 286	2 286	
Machinery and equipment	3 194	(87)	-	3 107	3 107	-	100.0%	2 286	2 286	
Payments for financial assets	-	-	--	-	-	-	-	-	-	
Total	34 701	2 965	-	37 666	37 666	-	100.0%	34 307	34 302	



APPROPRIATION STATEMENT

for the year ended 31 March 2021

1.3 Subprogramme: Office Accommodation									
2020/21					2019/20				
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	13 870	-	-	13 870	13 870	-	100.0%	13 147	13 147
Compensation of employees	-	-	-	-	-	-	-	-	-
Goods and services	13 870	-	-	13 870	13 870	-	100.0%	13 147	13 147
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
Total	13 870	-	-	13 870	13 870	-	100.0%	13 147	13 147

APPROPRIATION STATEMENT

for the year ended 31 March 2021

1.4 Subprogramme: Internal Audit										
2020/21										
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	2019/20
Economic classification	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Current payments	4 930	323	(212)	5 041	5 041	-	100.0%	5 399	5 398	
Compensation of employees	4 525	-	(212)	4 313	4 313	-	100.0%	4 500	4 499	
Goods and services	405	323	-	728	728	-	100.0%	899	899	
Interest and rent on land	-	-	-	-	-	-	-	-	-	
Transfers and subsidies	-	27	-	27	27	-	100.0%	-	-	
Provinces and municipalities	-	-	-	-	-	-	-	-	-	
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-	
Households	-	27	-	27	27	-	100.0%	-	-	
Payments for capital assets	-	8	-	8	8	-	100.0%	-	-	
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-	
Machinery and equipment	-	8	-	8	8	-	100.0%	-	-	
Payments for financial assets	-	-	-	-	-	-	-	-	-	
Total	4 930	358	(212)	5 076	5 076	-	100.0%	5 399	5 398	



APPROPRIATION STATEMENT

for the year ended 31 March 2021

		1.5 Subprogramme: Finance Services						2019/20	
		2020/21							
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	20 548	(849)	(543)	19 156	19 156	-	100.0%	20 596	20 593
Compensation of employees	16 330	(252)	(543)	15 535	15 535	-	100.0%	16 818	16 818
Goods and services	4 218	(597)	-	3 621	3 621	-	100.0%	3 778	3 775
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	200	-	200	200	-	100.0%	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	200	-	200	200	-	100.0%	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
Total	34 701	2 965	-	19 356	19 356	-	100.0%	34 307	34 302

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 2: Investigation and Information Management									
2020/21									
2019/20									
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. Investigation management	18 817	(238)	(2 761)	15 818	15 818	-	100.0%	16 802	16 801
2. Investigation Services	208 499	2 084	1 568	212 151	212 150	1	100.0%	212 429	212 429
3. Information Management	5 134	(796)	(43)	4 295	4 295	-	100.0%	4 152	4 152
Total for sub programmes	232 450	1 050	(1 236)	232 264	232 263	1	100.0%	233 383	233 382
Economic classification									
Current payments	230 060	582	(1 236)	229 406	229 405	1	100.0%	228 072	232 727
Compensation of employees	176 597	1 095	6 803	184 495	184 495	-	100.0%	164 004	183 371
Salaries and wages	140 423	1 282	5 073	146 778	146 778	-	100.0%	139 358	154 410
Social contributions	36 174	(187)	1 730	37 717	37 717	-	100.0%	24 647	28 961
Goods and services	53 463	(513)	(8 039)	44 911	44 910	1	100.0%	64 068	49 356
Administrative fees	909	(148)	(395)	366	366	-	100.0%	496	411
Minor assets	120	109	(74)	155	155	-	100.0%	7 342	3
Audit costs: External	-	1 245	-	1 245	1 245	-	100.0%	-	-
Bursaries: Employees	129	(8)	(88)	33	33	-	100.0%	177	63
Catering: Departmental activities	37	(4)	(26)	7	7	-	100.0%	25	18
Communication	2 807	450	(453)	2 804	2 804	-	100.0%	2 965	2 961
Computer services	2 890	-	(314)	2 576	2 576	-	100.0%	2 969	2 968
Consultants: Business and advisory services	250	6	(247)	9	9	-	100.0%	92	88
Legal services	3 090	(27)	(861)	2 202	2 202	-	100.0%	4 226	4 226



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for the year ended 31 March 2021

Programme 2: Investigation and Information Management										
2020/21										
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	2019/20
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Contractors	20	120	-	140	140	-	100.0%	2 521	81	2 521
Agency and support / outsourced services	2 050	(1 010)	(1 040)	-	-	-	-	29	28	29
Fleet services	4 933	132	(551)	4 514	4 514	-	100.0%	6 230	5 158	6 230
Inventory: Clothing material and supplies	-	194	-	194	194	-	100.0%	-	-	-
Consumable supplies	900	882	(158)	1 624	1 624	-	100.0%	163	110	163
Consumable: Stationery, printing and office supplies	1 418	(207)	(798)	413	413	-	100.0%	1 210	928	1 210
Operating leases	3 616	(372)	(625)	2 619	2 619	-	100.0%	8 464	8 238	8 464
Property payments	17 385	(1 457)	(23)	15 905	15 904	1	100.0%	12 456	11 167	12 456
Travel and subsistence	10 296	246	(1 855)	8 687	8 687	-	100.0%	12 602	11 307	12 602
Training and development	917	(164)	(169)	584	584	-	100.0%	1 162	797	1 162
Operating payments	1 696	(500)	(362)	834	834	-	100.0%	938	804	938
Venues and facilities	-	-	-	-	-	-	-	-	-	-
Transfers and subsidies	90	378	-	468	468	-	100.0%	724	625	724
Provinces and municipalities	-	42	-	42	42	-	100.0%	51	51	51
Municipalities	-	42	-	42	42	-	100.0%	51	51	51
Municipal bank accounts	-	42	-	42	42	-	100.0%	51	51	51
Departmental agencies and accounts	90	(89)	-	1	1	-	100.0%	88	3	88
Departmental agencies	90	(89)	-	1	1	-	100.0%	88	3	88
Households	-	425	-	425	425	-	100.0%	585	571	585
Social benefits	-	415	-	415	415	-	100.0%	172	158	172

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 2: Investigation and Information Management									
2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Other transfers to households	-	10	-	10	10	-	100.0%	413	413
Payments for capital assets	2 300	89	-	2 389	2 389	-	100.0%	4 587	30
Machinery and equipment	2 300	89	-	2 389	2 389	-	100.0%	4 587	30
Transport equipment	2 250	123	-	2 373	2 373	-	100.0%	2 200	-
Other machinery and equipment	50	(34)	-	16	16	-	100.0%	2 387	30
Payments for financial assets	-	1	-	1	1	-	100.0%	-	-
Total	232 450	1 050	(1 236)	232 264	232 263	1	100.0%	233 383	233 382



APPROPRIATION STATEMENT

for the year ended 31 March 2021

		2.1 Subprogramme : Investigation Management							
		2020/21					2019/20		
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	18 817	(291)	(2 761)	15 765	15 765	-	100.0%	16 792	16 791
Compensation of employees	9 219	(201)	-	9 018	9 018	-	100.0%	6 204	6 204
Goods and services	9 598	(90)	(2 761)	6 747	6 747	-	100.0%	10 588	10 587
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	53	-	53	53	-	100.0%	10	10
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	53	-	53	53	-	100%	10	10
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	18 817	(238)	(2 761)	15 818	15 818	-	100.0%	16 802	16 801

APPROPRIATION STATEMENT

for the year ended 31 March 2021

2.2 Subprogramme: Investigation Services										
2020/21										2019/20
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Current payments	206 109	1 706	1 568	209 383	209 382	1	100.0%	207 128	211 784	
Compensation of employees	162 504	2 092	6 803	171 399	171 399	-	100.0%	153 848	173 215	
Goods and services	43 605	(386)	(5 235)	37 984	37 983	1	100.0%	53 280	38 569	
Interest and rent on land	-	-	-	-	-	-	-	-	-	
Transfers and subsidies	90	288	-	378	378	-	100.0%	714	615	
Provinces and municipalities	-	42	-	42	42	-	100.0%	51	51	
Departmental agencies and accounts	90	(89)	-	1	1	-	100.0%	88	3	
Households	-	335	-	335	335	-	100.0%	575	561	
Payments for capital assets	2 300	89	-	2 389	2 389	-	100.0%	4 587	30	
Machinery and equipment	2 300	89	-	2 389	2 389	-	100.0%	4 587	30	
Payments for financial assets	-	1	-	1	1	-	100.0%	-	-	
TOTAL	208 499	2 084	1 568	212 151	212 150	1	100.0%	212 429	212 429	



APPROPRIATION STATEMENT

for the year ended 31 March 2021

		2.3 Subprogramme: Information Management						2019/20	
		2020/21							
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	5 134	(833)	(43)	4 258	4 258	-	100.0%	4 152	4 152
Compensation of employees	4 874	(796)	-	4 078	4 078	-	100.0%	3 952	3 952
Goods and services	260	(37)	(43)	180	180	-	100.0%	200	200
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	37	-	37	37	-	100.0%	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	37	-	37	37	-	100.0%	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	5 134	(796)	(43)	4 295	4 295	-	100.0%	4 152	4 152

APPROPRIATION STATEMENT

for the year ended 31 March 2021

		Programme 3: Legal and Investigation Advisory Services						2019/20	
		2020/21							
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. Legal Support and Administration	1 798	54	(143)	1 709	1 704	5	99.7%	1 918	1 918
2. Litigation Advisory Services	1 853	57	(78)	1 832	1 831	1	99.9%	1 280	1 280
3. Investigation Advisory Services	2 369	(111)	(128)	2 130	2 100	30	98.6%	2 150	2 122
Total for sub programmes	6 020	-	(349)	5 671	5 635	36	99.4%	5 348	5 320
Economic classification									
Current payments	6 020	-	(349)	5 671	5 635	36	99.4%	5 306	5 278
Compensation of employees	5 549	320	(280)	5 589	5 553	36	99.4%	4 877	4 877
Salaries and wages	4 801	378	(193)	4 986	4 951	35	99.3%	4 340	4 340
Social contributions	748	(58)	(87)	603	602	1	99.8%	537	537
Goods and services	471	(320)	(69)	82	82	-	100.0%	429	401
Administrative fees	9	(5)	(3)	1	1	-	100.0%	10	7
Communication	57	(3)	(3)	51	51	-	100.0%	52	47
Computer services	-	-	-	-	-	-	-	56	56
Consultants: Business and advisory services	-	-	-	-	-	-	-	8	8
Consumable supplies	7	(2)	(1)	4	4	-	100.0%	4	4
Consumable: Stationery, printing and office supplies	53	(31)	(21)	1	1	-	100.0%	61	59
Operating leases	-	7	-	7	7	-	100.0%	-	-
Travel and subsistence	298	(240)	(41)	17	17	-	100.0%	200	186

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 3: Legal and Investigation Advisory Services									
2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Training and development	44	(44)	-	-	-	-	-	34	34
Operating payments	3	(2)	-	1	1	-	100.0%	4	-
Transfers and subsidies	-	-	-	-	-	-	-	42	42
Households	-	-	-	-	-	-	-	42	42
Social benefits	-	-	-	-	-	-	-	42	42
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Transport equipment	-	-	-	-	-	-	-	-	-
Other machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
Total	6 020	-	(349)	5 671	5 635	36	99.4%	5 348	5 320

APPROPRIATION STATEMENT

for the year ended 31 March 2021

3.1 Subprogramme: Legal Support and Administration										
2020/21										
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Economic classification										
Current payments	1 798	54	(143)	1 709	1 704	5	99.7%	1 918	1 918	1 918
Compensation of employees	1 592	225	(143)	1 674	1 669	5	99.7%	1 699	1 699	1 699
Goods and services	206	(171)	-	35	35	-	100.0%	219	219	219
Interest and rent on land	-	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-	-
TOTAL	1 798	54	(143)	1 709	1 704	5	99.7%	1 918	1 918	1 918



APPROPRIATION STATEMENT

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		2020/21						2019/20		
3.2 Subprogramme: 3.2: Litigation Advisory Services		Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
Economic classification		R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments		1 853	57	(78)	1 832	1 831	1	99.9%	1 238	1 238
Compensation of employees		1 697	123	(9)	1 811	1 810	1	99.9%	1 144	1 144
Goods and services		156	(66)	(69)	21	21	-	100.0%	94	94
Interest and rent on land		-	-	-	-	-	-	-	-	-
Transfers and subsidies		-	-	-	-	-	-	-	42	42
Provinces and municipalities		-	-	-	-	-	-	-	-	-
Departmental agencies and accounts		-	-	-	-	-	-	-	-	-
Households		-	-	-	-	-	-	-	42	42
Payments for capital assets		-	-	-	-	-	-	-	-	-
Machinery and equipment		-	-	-	-	-	-	-	-	-
Payments for financial assets		-	-	-	-	-	-	-	-	-
TOTAL		1 853	57	(78)	1 832	1 831	1	99.9%	1 280	1 280

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for the year ended 31 March 2021

3.3 Subprogramme: 3-3: Investigation Advisory Services									
2020/21					2019/20				
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	2 369	(111)	(128)	2 130	2 100	30	98.6%	2 150	2 122
Compensation of employees	2 260	(28)	(128)	2 104	2 074	30	98.6%	2 034	2 034
Goods and services	109	(83)	-	26	26	-	100.0%	116	88
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	2 369	(111)	(128)	2 130	2 100	30	98.6%	2 150	2 122



APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 4: Compliance Monitoring and Stakeholder Management									
2020/21									
2019/20									
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. COMPLIANCE MONITORING	8 202	95	121	8 418	8 418	-	100.0%	6 561	6 561
2. STAKEHOLDERS MANAGEMENT	4 363	(94)	-	4 269	4 269	-	100.0%	4 275	4 271
Total for sub programmes	12 565	1	121	12 687	12 687	-	100.0%	10 836	10 832
Economic classification									
Current payments	12 565	1	121	12 687	12 687	-	100.0%	10 745	10 741
Compensation of employees	10 809	-	(364)	10 445	10 445	-	100.0%	9 588	9 587
Salaries and wages	9 419	-	(191)	9 228	9 228	-	100.0%	8 508	8 507
Social contributions	1 390	-	(173)	1 217	1 217	-	100.0%	1 080	1 080
Goods and services	1 756	1	485	2 242	2 242	-	100.0%	1 157	1 154
Administrative fees	269	(123)	-	146	146	-	100.0%	102	102
Advertising	121	(113)	-	8	8	-	100.0%	-	-
Minor assets	30	(30)	-	-	-	-	-	10	10
Audit costs: External	-	1 020	485	1 505	1 505	-	100.0%	-	-
Bursaries: Employees	8	(8)	-	-	-	-	-	-	-
Catering: Departmental activities	63	(42)	-	21	21	-	100.0%	8	8
Communication	203	(116)	-	87	87	-	100.0%	110	110
Computer services	91	31	-	122	122	-	100.0%	132	132
Consultants: Business and advisory services	30	(22)	-	8	8	-	100.0%	8	8
Contractors	-	-	-	-	-	-	-	1	1

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 4: Compliance Monitoring and Stakeholder Management									
2020/21							2019/20		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consumable supplies	11	(11)	-	-	-	-	-	7	7
Consumable: Stationery, printing and office supplies	128	(122)	-	6	6	-	100.0%	90	90
Operating leases	48	(18)	-	30	30	-	100.0%	28	28
Travel and subsistence	642	(381)	-	261	261	-	100.0%	521	518
Training and development	91	(45)	-	46	46	-	100.0%	114	114
Operating payments	21	(19)	-	2	2	-	100.0%	26	26
Transfers and subsidies	-	-	-	-	-	-	-	91	91
Households	-	-	-	-	-	-	-	91	91
Social benefits	-	-	-	-	-	-	-	91	91
Payments for capital assets	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
Total	12 565	1	121	12 687	12 687	-	100.0%	10 836	10 832



APPROPRIATION STATEMENT

for the year ended 31 March 2021

4.1 Subprogramme: Compliance Monitoring									
2020/21					2019/20				
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	8 202	95	121	8 418	8 418	-	100.0%	6 561	6 561
Compensation of employees	7 111	(229)	(364)	6 518	6 518	-	100.0%	5 807	5 807
Goods and services	1 091	324	485	1 900	1 900	-	100.0%	754	754
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	8 202	95	121	8 418	8 418	-	100.0%	6 561	6 561

APPROPRIATION STATEMENT

for the year ended 31 March 2021

		4.2 Subprogramme: Stakeholder Management						2019/20	
		2020/21						Final Appropriation	Actual Expenditure
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final Appropriation	R'000	R'000
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	4 363	(94)	-	4 269	4 269	-	100.0%	4 184	4 180
Compensation of employees	3 698	229	-	3 927	3 927	-	100.0%	3 781	3 780
Goods and services	665	(323)	-	342	342	-	100.0%	403	400
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	91	91
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	91	- 91
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	4 363	(94)	-	4 269	4 269	-	100.0%	4 275	4 271



NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2021

1. Detail of transfers and subsidies as per Appropriation Act (after Virement):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-H) to the Annual Financial Statements.

2. Detail of specifically and exclusively appropriated amounts voted (after Virement):

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

3. Detail on payments for financial assets

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. Explanations of material variances from Amounts Voted (after Virement):

4.1 Per programme

	Final Appropriation	Actual Expenditure	Variance R'000	Variance as a % of Final Appropriation
Administration	90 354	90 354	-	0.00%
The Spending for the Programme as at 31 March 2021 was satisfactory within the allocated budget.				
Investigation and information Management	232 264	232 263	1	00%
The Spending for the Programme as at 31 March 2021 was satisfactory within the allocated budget.				
Legal and Investigation Services	5 671	5 635	36	0.63%
The Spending for the Programme as at 31 March 2021 was satisfactory within the allocated budget with an insignificant variance due to delays in finalisation of an official' previous financial year pay progression.				
Compliance Monitoring and Stakeholder Management	12 687	12 687	-	0.00%
The Spending for the Programme as at 31 March 2021 was satisfactory within the allocated budget.				



NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2021

4.2 Per economic classification

	Final Appropriation	Actual Expenditure	Variance	Variance as a % of Final Appropriation
	R'000	R'000	R'000	R'000
Current payments				
Compensation of employees	250 456	250 420	36	0.01%
Goods and services	83 414	83 413	1	0.00%
Interest and rent on land	-	-	-	0.00%
Transfers and subsidies				
Provinces and municipalities	42	42	-	0.00%
Departmental agencies and accounts	731	731	-	0.00%
Households	744	744	-	0.00%
Payments for capital assets				
Machinery and equipment	5 588	5 588	-	0.00%
Payments for financial assets				
	1	1	-	0.00%

The overall spending in Economic Classification as at 31 March 2021 was satisfactory within the allocated budget with an insignificant variance in Compensation of Employees due to delays in finalisation of an official` previous financial year pay progression.

STATEMENT OF FINANCIAL PERFORMANCE

for the year ended 31 March 2021

	Note	2020/21	2019/20
		R'000	R'000
REVENUE			
Annual appropriation	1	340 976	336 653
Departmental revenue	2	271	313
TOTAL REVENUE		341 247	336 966
EXPENDITURE			
Current expenditure			
Compensation of employees	3	250 420	248 123
Goods and services	4	83 413	84 461
Total current expenditure		333 833	332 584
Transfers and subsidies			
Transfers and subsidies	6	1 517	1 670
Total transfers and subsidies		1 517	1 670
Expenditure for capital assets			
Tangible assets	7	5 588	2 356
Total expenditure for capital assets		5 588	2 356
Payments for financial assets	5	1	-
TOTAL EXPENDITURE		340 939	336 610
SURPLUS/(DEFICIT) FOR THE YEAR		308	356
Reconciliation of Net Surplus/(Deficit) for the year			
Voted funds		37	43
Annual appropriation		-	-
Conditional grants		-	-
Departmental revenue and NRF Receipts	13	271	313
SURPLUS/(DEFICIT) FOR THE YEAR		308	356



STATEMENT OF FINANCIAL POSITION

as at 31 March 2021

	Note	2020/21	2019/20
		R'000	R'000
ASSETS			
Current assets		1 370	962
Unauthorised expenditure	8	891	891
Cash and cash equivalents	9	1	1
Prepayments and advances	10	15	15
Receivables	11	463	55
Non-current assets		31	36
Receivables	11	31	36
TOTAL ASSETS		1 401	998
LIABILITIES			
Current liabilities		1 364	913
Voted funds to be surrendered to the Revenue Fund	12	37	43
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	13	16	13
Bank overdraft	14	636	768
Payables	15	675	89
Non-current liabilities			
Payables	15	-	-
TOTAL LIABILITIES		1 364	913
NET ASSETS		37	85
Represented by:			
Recoverable revenue		37	85
TOTAL		37	85

STATEMENT OF CHANGES IN NET ASSETS

for the year ended 31 March 2021

	Note	2020/21	2019/20
		R'000	R'000
Recoverable revenue			
Opening balance		85	114
Transfers:		(48)	(29)
Irrecoverable amounts written off	5.1	1	-
Debts revised		(52)	(49)
Debts recovered (included in departmental receipts)		-	-
Debts raised		3	20
Closing balance		37	85
TOTAL		37	85



CASH FLOW STATEMENT

for the year ended 31 March 2021

	Note	2020/21 R'000	2019/20 R'000
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts		341 247	336 905
Annual appropriated funds received	1.1	340 976	336 653
Departmental revenue received	2	259	240
Interest received	2.1	12	12
Net (increase)/decrease in working capital		178	512
Surrendered to Revenue Fund		(311)	(599)
Current payments		(333 833)	(332 584)
Payments for financial assets		(1)	-
Transfers and subsidies paid		(1 517)	(1 670)
Net cash flow available from operating activities	16	5 763	2 564
CASH FLOWS FROM INVESTING ACTIVITIES			
Payments for capital assets	5	(5 588)	(2 356)
Proceeds from sale of capital assets	2.3	-	61
(Increase)/decrease in loans		-	-
(Increase)/decrease in investments		-	-
(Increase)/decrease in other financial assets		-	-
(Increase)/decrease in non-current receivables	11	5	(18)
Net cash flows from investing activities		(5 583)	2 313
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase/(decrease) in net assets		(48)	(29)
Increase/(decrease) in non-current payables		-	-
Net cash flows from financing activities		(48)	(29)
Net increase/(decrease) in cash and cash equivalents		132	222
Cash and cash equivalents at beginning of period		(767)	(989)
Unrealised gains and losses within cash and cash equivalents		-	-
Cash and cash equivalents at end of period	17	(635)	(767)

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

PART A: ACCOUNTING POLICIES

SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES	
<p>The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.</p> <p>The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.</p> <p>Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.</p>	
1	Basis of preparation
	The financial statements have been prepared in accordance with the Modified Cash Standard.
2	Going concern
	The financial statements have been prepared on a going concern basis.
3	Presentation currency
	Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.
4	Rounding
	Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).
5	Foreign currency translation
	Cash flows arising from foreign currency transactions are translated into South African Rands using the spot exchange rates prevailing at the date of payment / receipt.
6	Comparative information
6.1	Prior period comparative information
	Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

6.2	Current year comparison with budget
	A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.
7	Revenue
7.1	Appropriated funds
	Appropriated funds comprise of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation).
	Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective. The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.
7.2	Departmental revenue
	Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise.
	Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.
8	Expenditure
8.1	Compensation of employees
8.1.1	Salaries and wages
	Salaries and wages are recognised in the statement of financial performance on the date of payment.
8.1.2	Social contributions
	Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment.
	Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.
8.2	Other expenditure
	Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.
8.3	Accruals and payables not recognised
	Accruals and payables not recognised are recorded in the notes to the financial statements at cost at the reporting date.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

8.4	Leases
8.4.1	Operating leases
	<p>Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment. Operating lease payments received are recognised as departmental revenue.</p> <p>The operating lease commitments are recorded in the notes to the financial statements.</p> <p>Operating lease payments received are recognised as departmental revenue.</p>
8.4.2	Finance leases
	<p>Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment. Finance lease payments received are recognised as departmental revenue.</p> <p>The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions.</p> <p>Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of:</p> <ul style="list-style-type: none"> • cost, being the fair value of the asset; or • the sum of the minimum lease payments made, including any payments made to acquire ownership at the end of the lease term, excluding interest. <p>Finance lease payments received are recognised as departmental revenue.</p>
9	Cash and cash equivalents
	<p>Cash and cash equivalents are stated at cost in the statement of financial position.</p> <p>Bank overdrafts are shown separately on the face of the statement of financial position as a current liability.</p> <p>For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.</p>
10	Prepayments and advances
	<p>Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash.</p> <p>Prepayments and advances are initially and subsequently measured at cost.</p>
11	Payables
	<p>Payables recognised in the statement of financial position are recognised at cost.</p>



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

12	Capital Assets
12.1	Movable capital assets
	<p>Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.</p> <p>Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.</p> <p>Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure that is of a capital nature forms part of the cost of the existing asset when ready for use.</p>
13	Provisions and Contingents
13.1	Provisions
	<p>Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.</p>
13.2	Contingent liabilities
	<p>Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.</p>
13.3	Contingent assets
	<p>Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events, and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department.</p>
13.4	Capital commitments
	<p>Capital commitments are recorded at cost in the notes to the financial statements.</p>

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

14	<p>Unauthorised expenditure</p> <p>Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:</p> <ul style="list-style-type: none"> • approved by Parliament or the Provincial Legislature with funding and the related funds are received; or • approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or • transferred to receivables for recovery. <p>Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.</p>
15	<p>Fruitless and wasteful expenditure</p> <p>Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.</p> <p>Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables or written off.</p> <p>Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
16	<p>Irregular expenditure</p> <p>Irregular expenditure is recorded in the notes to the financial statements when confirmed after its assessment. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefor are provided in the note.</p> <p>Irregular expenditure is reduced from the note when it is either condoned by the relevant authority, transferred to receivables for recovery, not condoned and removed or written-off.</p> <p>Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
17	<p>Changes in accounting estimates and errors</p> <p>Changes in accounting estimates are applied prospectively in accordance with MCS requirements.</p> <p>Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.</p>



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

18	Events after the reporting date
	Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.
19	Recoverable revenue
	Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National/Provincial Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.
20	Related party transactions
	Related party transactions within the Minister/MEC's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length. The number of individuals and the full compensation of key management personnel is recorded in the notes to the financial statements.
21	Inventories
	At the date of acquisition, inventories are recognised at cost in the statement of financial performance. Where inventories are acquired as part of a non-exchange transaction, the inventories are measured at fair value as at the date of acquisition. Inventories are subsequently measured at the lower of cost and net realisable value or where intended for distribution (or consumed in the production of goods for distribution) at no or a nominal charge, the lower of cost and current replacement value. The cost of inventories is assigned by using the weighted average cost basis.
22	Employee benefits
	The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

1. Annual Appropriation

1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds) and Provincial Departments:

	2020/21			2019/20		
	Final Appropriation	Actual Funds Received	Funds not requested/ not received	Final Appropriation	Appropriation received	Funds not requested / not received
	R'000	R'000	R'000	R'000	R'000	
Administration	89 941	89 941	-	106 392	106 392	-
Investigation and Information Management	232 450	232 450	-	208 910	208 910	-
Legal and Investigation Advisory Services	6 020	6 020	-	6 783	6 783	-
Compliance Monitoring and Stakeholder	12 565	12 565	-	14 568	14 568	-
Total	340 976	340 976	-	336 653	336 653	-

All funds were requested and received for the 2020/21 Financial year.

In compliance with the Court order, the Department implemented Section 23 of the IPID Act which included payment of backdated salary arrears and adjusted notches to investigators.

2. Departmental revenue

	Note	2020/21	2019/20
		R'000	R'000
Sales of goods and services other than capital assets	2.1	119	117
Interest, dividends and rent on land	2.2	12	12
Sales of capital assets	2.3	-	61
Transactions in financial assets and liabilities	2.4	140	123
Total revenue collected		271	313
Departmental revenue collected		271	313



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

2.1 Sales of goods and services other than capital assets

	Note	2020/21	2019/20
	2	R'000	R'000
Sales of goods and services produced by the department		119	116
Sales by market establishment		-	2
Other sales		119	114
Sales of scrap, waste and other used current goods		-	1
Total		119	117

2.2 Interest, dividends and rent on land

	Note	2020/21	2019/20
	2	R'000	R'000
Interest		12	12
Total		12	12

2.3 Sale of capital assets

	Note	2020/21	2019/20
	2	R'000	R'000
Tangible assets			
Machinery and equipment	28	-	61
Total		-	61

2.4 Transactions in financial assets and liabilities

	Note	2020/21	2019/20
	2	R'000	R'000
Other Receipts including Recoverable Revenue		140	123
Total		140	123

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

3. Compensation of employees

3.1 Salaries and Wages

	Note	2020/21	2019/20
		R'000	R'000
Basic salary		172 335	177 287
Performance award		21	1 027
Service Based		268	171
Compensative/circumstantial		2 501	4 626
Other non-pensionable allowances		28 788	27 633
Total		203 913	210 744

3.2 Social contributions

	Note	2020/21	2019/20
		R'000	R'000
Pension		37 529	28 946
Medical		8 936	8 398
Bargaining council		38	35
Insurance		4	-
Total		46 507	37 379
Total compensation of employees		250 420	248 123
Average number of employees		346	352

4. Goods and services

	Note	2020/21	2019/20
		R'000	R'000
Administrative fees		621	709
Advertising		134	159
Minor assets	4.1	180	96
Bursaries (employees)		37	68
Catering		39	57
Communication		4 061	3 962
Computer services	4.2	10 281	8 365
Consultants: Business and advisory services		644	807
Legal services		2 202	4 226



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

	Note	2020/21	2019/20
		R'000	R'000
Contractors		400	341
Agency and support / outsourced services		-	28
Audit cost – external	4.3	5 788	3 083
Fleet services		4 741	5 483
Inventory	4.4	194	-
Consumables	4.5	2 223	1 661
Operating leases		19 041	23 933
Property payments	4.6	20 981	14 713
Travel and subsistence	4.7	9 953	13 954
Venues and facilities		7	52
Training and development		733	1 680
Other operating expenditure	4.8	1 153	1 084
Total		83 413	84 461

Other operating Expenditure includes among others payments for the printing of annual report, professional membership, courier services and running costs for subsidised vehicles.

4.1 Minor assets

	Note	2020/21	2019/20
	4	R'000	R'000
Tangible assets			
Machinery and equipment		180	96
Intangible assets		-	-
Total		180	96

Include discussion here where deemed relevant

4.2 Computer services

	Note	2020/21	2019/20
	4	R'000	R'000
SITA computer services		1 445	1 382
External computer service providers		8 836	6 983
Total		10 281	8 365

Include discussion here where deemed relevant

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

4.3 Audit cost – External

	Note	2020/21	2019/20
	4	R'000	R'000
Regularity audits		2 631	3 035
Investigations		2 750	-
Computer audits		407	48
Total		5 788	3 083

Include discussion here where deemed relevant

4.4 Inventory

	Note	2020/21	2019/20
	4	R'000	R'000
Clothing material and accessories		194	-
Total		194	-

Include discussion here where deemed relevant

4.5 Consumables

	Note	2020/21	2019/20
	4	R'000	R'000
Consumable supplies		1 727	232
Uniform and clothing		102	-
Household supplies		487	148
IT consumables		98	75
Other consumables		1 040	9
Stationery, printing and office supplies		496	1 429
Total		2 223	1 661

Other consumables include Covid-19 expenditure for personal protective equipment (PPE) and related items that includes sanitizing and office decontamination.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

4.6 Property payments

	Note	2020/21	2019/20
	4	R'000	R'000
Municipal services		4 863	2 809
Property management fees		569	491
Property maintenance and repairs		66	13
Other		15 483	11 400
Total		20 981	14 713

Other payments includes security services R12 044 776, cleaning services R 3 021 255, commission municipal services R30 726 and fumigation R386 494.

4.7 Travel and subsistence

	Note	2020/21	2019/20
	4	R'000	R'000
Local		9 953	13 876
Foreign		-	78
Total		9 953	13 954

The decline in Travel and Subsistence in the year under review was limited due to Covid-19 movement restrictions.

4.8 Other operating expenditure

	Note	2020/21	2019/20
	4	R'000	R'000
Professional bodies, membership and subscription fees		23	22
Resettlement costs		10	17
Other		1 120	1 045
Total		1 153	1 084

Other Operating Expenditure includes among others payments for the printing of annual report R 225 439 , professional membership R22 540, courier services R165 541 and running costs for subsidised vehicles R597 987.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

5. Payments for financial assets

	Note	2020/21	2019/20
		R'000	R'000
Debts written off	5.1	1	-
Total		1	-

The debts written off was due to correction and reversal of interest charged on the two capital debts that were settled.

5.1 Debts written off

	Note	2020/21	2019/20
	5	R'000	R'000
Debt irrecoverable written off.		1	-
Total			
Total debt written off		1	-

6. Transfers and subsidies

	Note	2020/21	2019/20
		R'000	R'000
Provinces and municipalities	48, 49	42	98
Departmental agencies and accounts	Annexure 1B	730	690
Households	Annexure 1G	745	882
Total		1 517	1 670

7. Expenditure for capital assets

	Note	2020/21	2019/20
		R'000	R'000
Tangible assets			
Machinery and equipment	28	5 588	2 356
Total		5 588	2 356

The expenditure for capital assets of R 2 855 000 relates to assets (Vehicles) that were received last financial year 2019-20 but paid in current financial year 2020-21.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

7.1 Analysis of funds utilised to acquire capital assets – 2020/21

	Voted funds	Aid assistance	Total
	R'000	R'000	R'000
Tangible assets			
Machinery and equipment	5 588	-	5 588
Total	5 588	-	5 588

The expenditure for capital assets of R 2 855 000 relates to assets that were received last financial year 2019-20 but paid in current financial year 2020-21.

7.2 Analysis of funds utilised to acquire capital assets – 2019/20

	Voted funds	Aid assistance	Total
	R'000	R'000	R'000
Tangible assets			
Machinery and equipment	2 356	-	2 356
Total	2 356	-	2 356

8. Unauthorised expenditure

8.1 Reconciliation of unauthorised expenditure

	Note	2020/21	2019/20
		R'000	R'000
Opening balance		891	891
Prior period error			
As restated		891	891
Unauthorised expenditure – discovered in current year (as restated)		-	-
Less: Amounts approved by Parliament/Legislature with funding		-	-
Less: Amounts approved by Parliament/Legislature without funding and derecognised		-	-
Capital		-	-
Current		-	-
Transfers and subsidies		-	-
Less: Amounts recoverable	11		-
Less: Amounts written off			-
Closing balance		891	891
Analysis of closing balance			
Unauthorised expenditure awaiting authorisation		891	891
Unauthorised expenditure approved without funding and not derecognised		-	-
Total		891	891

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

Follow - ups were made with National Treasury on the application made to SCOPA.

8.2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

	Note	2020/21	2019/20
		R'000	R'000
Capital		891	891
Current			
Transfers and subsidies			
Total		891	891

Include discussion here where deemed relevant

8.3 Analysis of unauthorised expenditure awaiting authorisation per type

	Note	2020/21	2019/20
		R'000	R'000
Unauthorised expenditure relating to overspending of the vote or a main division within a vote		891	891
Unauthorised expenditure incurred not in accordance with the purpose of the vote or main division		-	-
Total		891	891

9. Cash and cash equivalents

	Note	2020/21	2019/20
		R'000	R'000
Cash on hand		1	1
Total		1	1

10. Prepayments and advances

	Note	2020/21	2019/20
		R'000	R'000
Staff advances		15	15
Total		15	15

The disclosed amount was issued to the investigators as entrapment fees during the investigation of the corruption cases.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

11. Receivables

	Note	2020/21			2019/20		
		Current	Non-current	Total	Current	Non-current	Total
		R'000	R'000	R'000	R'000	R'000	R'000
Claims recoverable	11.1	457	-	457	-	-	-
Staff debt	11.2	6	31	37	55	36	91
Total		463	31	494	55	36	91

11.1 Claims recoverable

	Note	2020/21	2019/20
	11 and Annex 4	R'000	R'000
National departments	11.1	457	-
Total		457	-

The claims receivables relate to claim for recovery of debt which was recovered through State attorney for an employee that owed the Department and recovery of debt for employees that were seconded to NPA

11.2 Staff debt

	Note	2020/21	2019/20
	11	R'000	R'000
(Group major categories, but list material items)			
Sal: Tax Debt		-	7
Staff Debt		37	84
		-	-
Total		37	91

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

12. Voted funds to be surrendered to the Revenue Fund

	Note	2020/21	2019/20
		R'000	R'000
Opening balance		43	282
Prior period error			
As restated		43	282
Transfer from statement of financial performance (as restated)		37	43
Add: Unauthorised expenditure for current year	8	-	-
Voted funds not requested/not received	1.1	-	-
Transferred to retained revenue to defray excess expenditure (PARLIAMENT/ LEGISLATURES ONLY)		-	-
Paid during the year		(43)	(282)
Closing balance		37	43

13. Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund

	Note	2020/21	2019/20
		R'000	R'000
Opening balance		13	
Prior period error			17
As restated		13	17
Transfer from Statement of Financial Performance (as restated)		271	313
Paid during the year		(268)	(317)
Closing balance		16	13

14. Bank Overdraft

	Note	2020/21	2019/20
		R'000	R'000
Consolidated Paymaster General Account		636	768
Fund requisition account		-	-
Overdraft with commercial banks (Local)		-	-
Overdraft with commercial banks (Foreign)		-	-
Total		636	768



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

15. Payables – current

	Note	2020/21	2019/20
		R'000	R'000
Amounts owing to other entities		-	-
Clearing accounts	15.1	675	89
Total		675	89

15.1 Clearing accounts

	Note	2020/21	2019/20
Description	15	R'000	R'000
Sal : Income Tax		456	89
Sal : Pension Fund		217	-
Sal: Medical Aid		2	-
Total		675	89

16. Net cash flow available from operating activities

	Note	2020/21	2019/20
		R'000	R'000
Net surplus/(deficit) as per Statement of Financial Performance		308	356
Add back non cash/cash movements not deemed operating activities		5 455	2 208
(Increase)/decrease in receivables		(408)	453
(Increase)/decrease in prepayments and advances		-	-
(Increase)/decrease in other current assets		-	-
Increase/(decrease) in payables – current		586	59
Proceeds from sale of capital assets		-	(61)
Proceeds from sale of investments		-	-
(Increase)/decrease in other financial assets		-	-
Expenditure on capital assets		5 588	2 356
Surrenders to Revenue Fund		(311)	(599)
Surrenders to RDP Fund/Donor		-	-
Voted funds not requested/not received		-	-
Own revenue included in appropriation		-	-
Other non-cash items		-	-
Net cash flow generated by operating activities		5 763	2 564

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

17. Reconciliation of cash and cash equivalents for cash flow purposes

	Note	2020/21	2019/20
		R'000	R'000
Consolidated Paymaster General account		(636)	(768)
Cash on hand		1	1
Total		(635)	(767)

18. Contingent liabilities and contingent assets

18.1 Contingent liabilities

	Note	2020/21	2019/20
		R'000	R'000
Liable to Nature			
Claims against the department	Annex 3B	84 966	49 853
Intergovernmental payables (unconfirmed balances)	Annex 5		-
Total		84 966	49 853

1. Contingent liability disclosed relates to litigation against the Department through summons. It is uncertain when the amounts will be settled due to the fact that cases are still at various stages in courts.
2. The Labour Appeal Court (LAC) declared the salary increases for the 2020/2021 financial year unlawful and invalid. The LAC ruling has been appealed and referred to the Constitutional Court. The ruling by the Constitutional Court will confirm if the department will be obligated to pay the salary increases in dispute.

All cases disclosed above are claims against the Department, therefore reimbursement is not expected.

Due to the nature of IPID business, the Department experienced a high number of litigation cases which comes with summons. Full description of each case is provided in the annexure 3B of the Annual Financial Statements.

However, it is impractical to provide an indication of the uncertainties relating to the amount or timing of any outflow in all disclosed cases.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

19. Capital commitments

	Note	2020/21	2019/20
		R'000	R'000
Specify class of asset			
Machinery and equipment		400	-
Total		400	-

20. Accruals and payables not recognised

20.1 Accruals

	2020/21			2019/20
	R'000			R'000
Listed by economic classification				
	30 Days	30+ Days	Total	Total
Goods and services	2 589	5 845	8 434	7 677
Interest and rent on land	-	-	-	-
Transfers and subsidies	-	-	-	-
Capital assets	-	-	-	7
Other	-	-	-	-
Total	2 589	5 845	8 434	7 684

	2020/21	2019/20
	R'000	R'000
Listed by programme level		
Administration	3 598	4 013
Investigation and Information Management	2 847	2 324
Legal and Investigation Advisory Services	1 984	1 331
Compliance Monitoring and Stakeholder Management	5	16
Total	8 434	7 684



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

21. Employee benefits

	Note	2020/21	2019/20
		R'000	R'000
Leave entitlement		12 465	8 415
Service bonus		5 978	5 727
Performance awards		407	-
Capped leave		4 203	4 748
Other		6 488	43 264
Total		29 541	62 154

At this stage the department is not able to reliably measure the long term portion of the long service awards. Included in "other" is the accrual amount R4 329 488.85 for the implementation of section 23.

The Leave entitlement is inclusive of negative leave days amounting to R87 955,45 for 34,31 negative leave for 8 employees.

22. Lease commitments

22.1 Operating leases

2020/21	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	955	955
Later than 1 year and not later than 5 years	-	-	-	1 382	1 382
Later than five years	-	-	-	-	-
Total lease commitments	-	-	-	2 337	2 337

2019/20	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	41	41
Later than 1 year and not later than 5 years	-	-	-	141	141
Later than five years	-	-	-	-	-
Total lease commitments	-	-	-	182	182

The lease on photocopy machine with Konica Minolta has a renewal option of 24 months with the same terms and condition of the original lease agreement except for rental which shall be reduced by 75% of the rental

The Department does not have any lease that is subleased.

The Department did not grant any reduction in the lease payments due to the sale of the asset in a sale and leaseback arrangement.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

23. Irregular expenditure

23.1 Reconciliation of irregular expenditure

	Note	2020/21	2019/20
		R'000	R'000
Opening balance		98 830	30 026
Prior period error			-
As restated		98 830	30 026
Add: Irregular expenditure – relating to prior year		-	35 983
Add: Irregular expenditure – relating to current year		712	32 821
Less: Prior year amounts condoned		-	-
Less: Current year amounts condoned		-	-
Less: Prior year amounts not condoned and removed		(32 821)	-
Less: Current year amounts not condoned and removed		-	-
Less: Amounts recoverable (current and prior year)	15	-	-
Less: Amounts written off		-	-
Closing balance		66 721	98 830

Analysis of closing balance

Current year	712	32 821
Prior years	66 009	66 009
Total	66 721	98 830

Details of current and prior year irregular expenditure – added current year (under determination and investigation)

Incident	Disciplinary steps taken/criminal proceedings	2020/21 R'000
Danger allowance paid not in Compliant to PSBC resolution 1 of 2015	Matter was forwarded to Financial Misconduct Committee for consideration	75
Appointment of official without following DPSA Processes	Matter was forwarded to Financial Misconduct Committee for consideration	637
Total		712

The matter for appointment without following DPSA processes was disclosed as an irregular expenditure in the previous financial year and additional payments for salaries has been added in the year under review.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

Details of irregular expenditure removed - (not condoned)

Incident	Not condoned by (relevant authority)	2020/21 R'000
The lease contract for the Head Office Building, namely City Forum	The amount was revised, as it is reported by DPW	32 821
Total		32 821

24. Fruitless and wasteful expenditure

24.1 Reconciliation of fruitless and wasteful expenditure

	Note	2020/21 R'000	2019/20 R'000
Opening balance		125	3 237
Prior period error			
As restated		125	3 237
Fruitless and wasteful expenditure – relating to prior year		-	90
Fruitless and wasteful expenditure – relating to current year		5	3
Less: Amounts recoverable	11	(1)	(3)
Less: Amounts written off		-	(3 202)
Closing balance		129	125

24.2 Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)

Incident	Disciplinary steps taken/criminal proceedings	2020/21 R'000
Interest on overdue Telkom Accounts	Matter referred to Financial Misconduct Conduct Committee For Consideration	5
Total		5

24.3 Details of fruitless and wasteful expenditure recoverable

Incident	2020/21 R'000
Interest on overdue Telkom Accounts recovered from affected officials	1
Total	1

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

25. Related party transactions

List related party relationships and the nature thereof

1. South African Police Services (SAPS) - Reporting to the same Minister
2. Private Security Industry Regulation Authoring (PSIRA) - Reporting to the same Minister
3. Civilian Secretariat of Police - Reporting to the same Minister

26. Key management personnel

	No. of Individuals	2020/21	2019/20
		R'000	R'000
Political office bearers (provide detail below)			
Officials:			
Executive Director	1	1 671	1 586
Chief Directors including CFO	14	16 067	19 070
		-	-
Total		17 738	20 656

27. Provisions

	Note	2020/21	2019/20
		R'000	R'000
			-
Total			-

27.1 Reconciliation of movement in provisions – 2020/21

	Provision 1	Provision 2	Provision 3	Total provisions
	R'000	R'000	R'000	R'000
Opening balance	-	-	-	-
Increase in provision	-	-	-	-
Settlement of provision	-	-	-	-
Unused amount reversed	-	-	-	-
Reimbursement expected from third party	-	-	-	-
Change in provision due to change in estimation of inputs	-	-	-	-
Closing balance	-	-	-	-



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

27.2 Reconciliation of movement in provisions – 2019/20

	Claims against the State	Provision 2	Provision 3	Total provisions
	R'000	R'000	R'000	R'000
Opening balance	370	-	-	370
Increase in provision	-	-	-	-
Settlement of provision	-	-	-	-
Unused amount reversed	(370)	-	-	(370)
Reimbursement expected from third party	-	-	-	-
Change in provision due to change in estimation of inputs	-	-	-	-
Closing balance	-	-	-	-

Anticipated reimbursement for two officials who are claiming legal costs against the Department.

Reimbursement for two officials who are claiming legal costs against the Department for legal services that were rendered by their private appointed Attorneys. The expected timing resulting to the outflow of economic benefits could not be measured with reliability by the reporting date as it depends on their payment to the attorneys and the proof thereof to the Department for resettlement.

The provision was estimated based on the claim made to the department.

28. Movable Tangible Capital Assets

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	65 170	-	2 732	29	67 873
Transport assets	24 547	-	-	-	24 547
Computer equipment	25 631	-	2 710	20	28 321
Furniture and office equipment	9 895	-	-	-	9 895
Other machinery and equipment	5 097	-	22	9	5 110
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	65 170	-	2 732	29	67 873

The additions only represents the assets that were received and paid for in the year under review.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

Movable Tangible Capital Assets under investigation

	Number	Value
		R'000

Included in the above total of the movable tangible capital assets per the asset register are assets that are under investigation:

Machinery and equipment	47	1 492
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The amount disclosed includes assets identified for disposal and are placed on suspense until the investigation is finalised.

28.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Cash	Non-cash	(Capital Work in Progress current costs and finance lease payments)	Received current, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	2 732	-	-	-	2 732
Transport assets	-	-	-	-	-
Computer equipment	2 710	-	-	-	2 710
Furniture and office equipment	-	-	-	-	-
Other machinery and equipment	22	-	-	-	22
TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS	2 732	-	-	-	2 732

The cash additions exclude assets that were paid in the current year but received last financial year 19-20 amounting to R2 855 000.

28.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	-	29	29	-
Transport assets	-	-	-	-
Computer equipment	-	20	20	-
Furniture and office equipment	-	-	-	-
Other machinery and equipment	-	9	9	-
TOTAL DISPOSAL OF MOVABLE TANGIBLE CAPITAL ASSETS	-	29	29	-

Non-cash disposal consists of redundant assets that were donated to various recommended schools.



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

28.3 Movement for 2019/20

MOVEMENT IN TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	Opening balance	Prior period error	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	62 421	-	5 187	2 438	65 170
Transport assets	22 487	-	2 373	313	24 547
Computer equipment	24 971	-	2 743	2 083	25 631
Furniture and office equipment	9 902	-	35	42	9 895
Other machinery and equipment	5 061	-	36	-	5 097
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	62 421	-	5 187	2 438	65 170

28.4 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2021						
	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	-	-	12 101	-	12 101
Value adjustments	-	-	-	-	-	-
Additions	-	-	-	180	-	180
Disposals	-	-	-	-	-	-
TOTAL MINOR ASSETS	-	-	-	12 281	-	12 281

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of R1 minor assets	-	-	-	-	-	-
Number of minor assets at cost	-	-	-	7 401	-	7 401
TOTAL NUMBER OF MINOR ASSETS	-	-	-	7 401	-	7 401

Minor Capital Assets under investigation		
	Number	Value
		R'000

Included in the above total of the minor capital assets per the asset register are assets that are under investigation:

Machinery and equipment	206	366
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The amount disclosed includes assets identified for disposal and are placed on suspense until the investigation is finalised.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	-	-	12 477	-	12 477
Prior period error	-	-	-	-	-	-
Additions	-	-	-	96	-	96
Disposals	-	-	-	472	-	472
TOTAL MINOR ASSETS	-	-	-	12 101	-	12 101

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of R1 minor assets	-	-	-	-	-	-
Number of minor assets at cost	-	-	-	7 291	-	7 291
TOTAL NUMBER OF MINOR ASSETS	-	-	-	7 291	-	7 291

29. Prior period errors

29.1 Correction of prior period errors

	Note	Amount bef error correction	Prior period error	Restated Amount
		2019/20	2019/20	2019/20
		R'000	R'000	R'000
Expenditure:				
Inventory	30	553	100	653
Net effect		553	100	653

During stock count of inventory, it was discovered that there was inventory previously procured for use by the Provinces, however it was erroneously excluded in disclosure hence the correction was done in the year under review.

30. Inventories

30.1 Inventories for the year ended 31 March 2021

	Clothing material and accessories	Other Supplies	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	470	183	-	-	653
Add/(Less): Adjustments to prior year balances	-	-	-	-	-



NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

	Clothing material and accessories	Other Supplies	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000
Add: Additions/Purchases – Cash	194	-	-	-	194
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	-	(3)	-	-	(3)
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	664	180	-	-	844

During stock count of inventory, it was discovered that there was inventory previously procured for use by the Provinces, however it was erroneously excluded in disclosure hence the correction was done in the year under review.

Inventories for the year ended 31 March 2020	Clothing material and accessories	Other Supplies	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	448	105	-	-	553
Add/(Less): Adjustments to prior year balances	22	83	-	-	105
Add: Additions/Purchases – Cash	-	-	-	-	-
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	-	(5)	-	-	(5)
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	470	183	-	-	653

During stock count of inventory it was discovered that there was inventory previously procured for use by the Provinces, however it was erroneously excluded in disclosure hence the correction was done in the year under review

31. COVID 19 Response Expenditure

	Note	2020/21	2019/20
	Annexure 11	R'000	R'000
Goods and services		2 075	-
Total		2 075	-

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 1B

STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENTAL AGENCY/ ACCOUNT	TRANSFER ALLOCATION			TRANSFER		2019/20 Final Appropriation R'000
	Adjusted Appropriation R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	
SASSETA	728	-	-	728	728	100%
Communication :Licences (radio and TV)	2	-	-	2	2	100%
TOTAL	730	-	-	730	730	690

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 1G

STATEMENT OF TRANSFERS TO HOUSEHOLDS

	TRANSFER ALLOCATION				EXPENDITURE			2019/20 R'000
	Adjusted Appropriation Act	Roll Overs	Adjust-ments	Total Available	Actual Transfer	% of Available funds Transferred	Final Appropriation	
	R'000	R'000	R'000	R'000	R'000	%	R'000	
HOUSEHOLDS								
Transfers								
Leave Gratuity	642	-	-	642	642	100%	469	
Claims against the State	93	-	-	93	93	100%	393	
Act of Grace	10	-	-	10	10	100%	20	
	745	-	-	745	745		882	
TOTAL	745	-	-	745	745		882	



ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 1H**STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED**

NAME OF ORGANISATION	NATURE OF GIFT, DONATION OR SPONSORSHIP	2020/21	2019/20
		R'000	R'000
Received in cash		-	-
Subtotal		-	-
Received in kind			
Volkswagen South Africa	Donation for an Advance Driving – High performance driving course for nominated IPID Investigators	-	14
EAPA SA Board	Sponsorship to attend 21st Annual EAPA SA Edu-week (including Training workshop fee, traveling and accommodation)	-	19
African Policing Civilian Oversight Forum	Sponsorship for the Acting Director travel arrangements to attend a Round table discussion in Nigeria during December 2020.	-	18
Nigerian Embassy – Coordinator representative for KZN	Nigerian Traditional Outfit- for Investigator	1	-
Subtotal		1	51
TOTAL		1	51

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 3B

STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2021

Nature of Liability	Opening Balance	Liabilities incurred	Liabilities paid/ cancelled/reduced	Liabilities	Closing Balance
	1 April 2020	during the year	during the year	recoverable (Provide details hereunder)	31 March 2021
	R'000	R'000	R'000	R'000	R'000
Claims against the department					
General Mpembe vs Minister of Police	750	-	-	-	750
Richard Nziyane vs Minister of Police	480	-	-	-	480
Molloy & Others vs Minister of Police	1 920	-	-	-	1 920
Mlangeni vs Minister of Police and Others	1 000	-	-	-	1 000
Booyesen Johan Wessel	10 500	-	-	-	10 500
Netshapala Mukondoleli Lesley vs Minister of Police	1 700	-	-	-	1 700
Munsami Yogandran vs Minister of Police	600	-	-	-	600
Manyamalala and 9 Others v Minister of Police	8 000	-	-	-	8 000
Mokoena v Minister of Police and Another	250	-	-	-	250
Senyatse Abraham Rasekhula v IPID and Others	660	-	-	-	660
Masopha Sefatsa and 15 Others v IPID	14 050	-	-	-	14 050
Inbanathan Kistiah and 2 others v IPID	7 500	-	-	-	7 500
Matsumela JM VS IPID	180	-	-	-	180
Moabelo KM	200	-	-	-	200
Machaba MS	200	-	-	-	200
Mochitele ME	200	-	-	-	200
Mokomane NS	546	-	-	-	546
Thaba LI	529	-	-	-	529



ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

Nature of Liability	Opening Balance	Liabilities incurred during the year	Liabilities paid/cancelled/reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing Balance
	1 April 2020				31 March 2021
	R'000	R'000	R'000	R'000	R'000
POPCRU OBO Maphosho T	388	-	-	-	388
Chauke NF	200	-	-	-	200
Rethakane Technology	-	193	-	-	193
Mabena MR	-	500	-	-	500
Shoba M I	-	1 900	-	-	1 900
Machete P P	-	1 900	-	-	1 900
Mailula T G	-	1 900	-	-	1 900
Moloi TV	-	1 900	-	-	1 900
Mkhabele L	-	1 900	-	-	1 900
Nkqonkqoza Z	-	1 900	-	-	1 900
Mofokeng T D	-	2 500	-	-	2 500
Dlodlo M F	-	2 500	-	-	2 500
Mzamani E	-	2 500	-	-	2 500
Rilityana V	-	700	-	-	700
Tracker Connect	-	312	312	-	-
Muloyi L	-	5 000	-	-	5 000
Vilakazi J	-	5 000	-	-	5 000
Mhlanga T	-	5 000	-	-	5 000
Subtotal	49 853	35 605	492	-	84 966
Total	49 853	35 605	492	-	84 966

The Labour Appeal Court (LAC) declared the salary increases for the 2020/2021 financial year unlawful and invalid. The LAC ruling has been appealed and referred to the Constitutional Court. The ruling by the Constitutional Court will confirm if the department will be obligated to pay the salary increases in dispute.

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 4

CLAIMS RECOVERABLE

Government Entity	Confirmed balance outstanding		Unconfirmed balance outstanding		Total		Cash in transit at year end 2020/21	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	Receipt date up to six (6) working days after year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
Department								
Department of Justice and Constitutional Development	8	-	-	-	8	-	-	-
National Prosecution Authority	449	-	-	-	449	-	2021/04/06	449
	457	-	-	-	457	-		449
TOTAL	457	-	-	-	457	-		449



ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 5

INTER-GOVERNMENT PAYABLES

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL		Cash in transit at year end 2020/21 *	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	Payment date up to six (6) working days before year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENTS								
Current								
Department of Justice and Constitutional Development	1 964	1 577	-	-	1 964	1 577	-	-
Department of Civilian Secretariat for Police Service	-	-	262	-	262	-	-	-
Subtotal	1 964	1 577	262	-	2 226	1 577	-	-
Non-current								
Subtotal	-	-	-	-	-	-	-	-
TOTAL	1 964	1 577	262	-	2 226	1 577	-	-

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL		Cash in transit at year end 2020/21 *	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	Payment date up to six (6) working days before year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
OTHER GOVERNMENT ENTITY								
Current								
Government Fleet (G-fleet)	20	26	-	-	20	26	-	-
Property Management Trading Entity (DPW)	4 533	2 087	-	-	4 533	2 087	-	-
Subtotal	4 553	2 113	-	-	4 553	2 113	-	-
Non-current								
Subtotal	4 553	2 113	-	-	4 553	2 113	-	-
TOTAL INTERGOVERNMENT PAYABLES	6 517	3 690	262	-	6 779	3 690	-	-



ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 6

INVENTORIES

Inventories for the year ended 31 March 2021	Clothing Material and Accessories R'000	Other Supplies R'000	Insert major category of inventory R'000	Insert major category of inventory R'000	TOTAL R'000
Opening balance	470	183	-	-	653
Add/(Less): Adjustments to prior year balances	-	-	-	-	-
Add: Additions/Purchases – Cash	194	-	-	-	194
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	-	(3)	-	-	(3)
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	664	180	-	-	844

During stock count of inventory, it was discovered that there was inventory previously procured for use by the Provinces, however it was erroneously excluded in disclosure hence the correction was done in the year under review.



ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

Inventories for the year ended 31 March 2020	Clothing Material and Accessories	Other Supplies	Insert major category of inventory	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	448	105	-	-	-	553
Add/(Less): Adjustments to prior year balances	22	83	-	-	-	105
Add: Additions/Purchases – Cash	-	-	-	-	-	-
Add: Additions - Non-cash	-	-	-	-	-	-
(Less): Disposals	-	-	-	-	-	-
(Less): Issues	-	(5)	-	-	-	(5)
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-	-
Closing balance	470	183	-	-	-	653

During stock count of inventory it was discovered that there was inventory previously procured for use by the Provinces, however it was erroneously excluded in disclosure hence the correction was done in the year under review.



ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

ANNEXURE 11**COVID 19 RESPONSE EXPENDITURE**

Per quarter and in total

Expenditure per economic classification	2020/21				2019/20 Total R'000
	Q1 R'000	Q2 R'000	Q3 R'000	Q4 R'000	
Compensation of employees	925	455	53	642	2 075
Goods and services					
List all applicable SCOA level 4 items					
Inventory: Clothing material and accessories	118	75	-	-	193
Consumable supplies	520	267	22	617	1 426
Property payments	287	63	31	7	388
Minor assets	-	50	-	18	68
Transfers and subsidies					
List all applicable SCOA level 4 items	-	-	-	-	-
Expenditure for capital assets					
List all applicable SCOA level 4 items	-	-	-	-	-
Other expenditure not listed above					
List all applicable SCOA level 4 items	-	-	-	-	-
TOTAL COVID 19 RESPONSE EXPENDITURE	925	455	53	642	2 075



NOTES

A series of horizontal dotted lines for taking notes.



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RP275/2021
ISBN: 978-0-621-49740-3