

**BOARD NOTICE 89 OF 2018****FINANCIAL SECTOR CONDUCT AUTHORITY****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)****FORM OF LICENCE**

I, Caroline Dey da Silva, hereby determine under section 8(5)(a)(i) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that a licence authorising an applicant to act as a financial services provider must be in the form set out in the Schedule.

**Repeal**

Board Notice 9 of 2004 published in Government *Gazette* 25942 on 23 January 2004, is hereby repealed.

**Short title and Commencement**

This Notice is called the Notice on the Form of Licence, 2018, and comes into effect on date of publication in the Government *Gazette*.



**CD DA SILVA**  
**FOR FINANCIAL SECTOR CONDUCT AUTHORITY**

## SCHEDULE

<!--\$MG\_Refno-->  
<!--\$MG\_Date-->

## FINANCIAL SECTOR CONDUCT AUTHORITY

LICENCE No. &lt;!--\$MG\_FSPno--&gt;

It is hereby certified that with effect from &lt;!--\$MG\_approvaldate--&gt;

&lt;!--\$MG\_Name--&gt;

is licensed as a financial services provider  
in terms of section 8 of the Financial Advisory and Intermediary Services  
Act, 2002 (Act No. 37 of 2002),  
subject to the conditions and restrictions set out in the Annexure

.....  
For Financial Sector Conduct Authority

DATE and Stamp





<!\$MG\_Refno>  
<!\$MG\_Date>

## ANNEXURE

FSP NAME: <!\$MG\_Name>

LICENCE No. <!\$MG\_FSPno>

### CONDITIONS AND RESTRICTIONS

- The licensee is only authorised to provide the following financial services in respect of the corresponding category of financial product:

Category I Financial Services [Category I FSP]		
Financial Product	Advice	Intermediary Services

Category II Financial Services [Discretionary FSP]		
Financial Product		

Category IIA Financial Services [Hedge Fund FSP]		
Financial Product		

Category III Financial Services [Administrative FSP]		
Financial Product		

Category IV Financial Services [Assistance Business FSP]		
Financial Product		

- Further restrictions on and conditions of the license: