

## DEPARTMENT OF AGRICULTURE, FORESTRY AND FISHERIES

NO. 1141

16 NOVEMBER 2015



agriculture,  
forestry & fisheries

Department:  
Agriculture, Forestry and Fisheries  
REPUBLIC OF SOUTH AFRICA

## BRANCH: FISHERIES MANAGEMENT

SECTOR NAME	APPLICATION NUMBER	S	E	C	T	0	0	0	0	1
	REGISTRATION NUMBER									

## Application form

## for Entity applicants

## Fishing Rights Allocation Process 2015/2016

## FRAP 2015/2016

APPLICATION NUMBER	S	E	C	T	0	0	0	0	1
--------------------	---	---	---	---	---	---	---	---	---

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## IMPORTANT INFORMATION INSTRUCTIONS

1. The applicant or its duly authorised representative shall read and understand the general instructions and application requirement sections before completing this application form. All the information provided by an applicant must be true, correct, and verifiable. The applicant must complete all the sections of the form that are relevant to the applicant's application.
2. Only South African citizens, companies, close corporations or trusts as defined in the Marine Living Resources Act 1998 (Act No. 18 of 1998), may apply for a commercial fishing right. A South African company, close corporation or trust must be registered in South Africa, and the majority of its respective shareholders, members must be South African citizens.
3. An applicant completing this application form must read the General Policy on the Allocation and Management of Fishing Rights: 2013 and the Sector Specific Fishery Policy: 2015. These policies will guide the Minister or his/her delegate's in the assessment of this application and the decision to allocate the fishing right applied for. Copies of these policies are accessible on the Department's website at <http://www.daff.gov.za>, or are obtainable at the Distribution Centre where this form was collected.
4. Whilst you may ask an official at any application centre to explain the contents of the form to you and/or to assist with any query related to completing this form or related to the FRAP 2015/16 process and queries may also be directed telephonically during the week (Monday to Friday) between 08h00 and 16h00 to the **FRAP Call Centre Helpline at (021) 402 FRAP (3727)**, you remain responsible for ensuring that the form is correctly completed and that all the documentation and information is provided.
5. Please do not communicate with the Minister, the delegated authority, or officials in the Department about your application during the application and allocation process, other than at the application centre or **FRAP Call Centre Helpline at (021) 402 FRAP (3727)**. It is the applicant's responsibility to ensure that all the applicable sections of the form are completed and that all the documentation and information requested as indicated in the form, or from the General or Sector Specific Policies are submitted with the form.
6. When completing this form, if any section does not apply to the applicant, then mark the section "N/A" – "not applicable" and the information requested in that section does not have to be supplied. No section should remain blank. The applicant must as far as possible confine their responses to the spaces provided in the application form. If this is not possible then the information may be submitted as attachments to the form referencing the question number to which the attachment relates.
7. All documents attached must be true and certified copies of the originals, unless the original document is specifically requested. Copies of originals that have not been certified as true copies of the original will not be accepted.
8. Only applications submitted on the official departmental FRAP 2015/16 Form will be accepted. If an application is submitted on any other form or in any other manner, it will not be considered. Please fill out the form with a pen unless completing the form electronically. Do not use a pencil.
9. The application form and the applicant's declaration in section 8 of this application form must be signed by the applicant or its authorised representative and attested to before a Commissioner of Oaths. Please ensure that each page of the application form and attachments (supporting documents) are initialed by the applicant or its authorised representative and the Commissioner of Oaths. If the application and declaration is not signed before a Commissioner of Oaths, the application will not be considered. The application will consist of the original application form with supporting documentation. Please submit the original application together with one (1) certified true copy of the original application. The application and the copy must be punched, divided and submitted in a file.
10. The application and the copy **must** reach one of the Receipting Centre's before the stipulated closing date and time listed in the Government Gazette Notice dated [date]. The application must be physically (hand) delivered to one of the Receipting Centre's listed in Government Gazette Notice dated [date]. Copies hereof are accessible on the Department's website at <http://www.daff.gov.za>, or are obtainable at the Distribution Centre where this form was collected. Late applications will not be accepted and will not be considered.
11. Do not submit an application by mail (post), fax or email.
12. The application fee must be deposited into [name of account holder], [insert name of bank] with account number [number] before the application is submitted. Please use the application form number as the reference number on the bank deposit slip or the printed "electronic funds transfer" page. Your proof of payment must be attached to the application. Your application will not be considered if the proof of payment is not attached to the application. No application fees will be refunded.
13. If you furnish any information that is not true or complete, you will be disqualified from applying or your application may be refused or if granted, it may be revoked or suspended.
14. An application will be declined if the applicant :-
  - a. provides false information and/or documentation under oath; or
  - b. falsifies documents; or
  - c. makes misleading false statements; or
  - d. fails to disclose material information or documentation which may materially affect the assessment of this application.;
15. If you fail to comply with the requirements of the General Policy on the Allocation and Management of Fishing Rights: 2013, the Sector Specific Fishery Policy: 2015 and/or the instructions and requirements of the application, your application will be declined. The delegated authority has no discretion to condone non-compliance with lodgment requirements, materially defective applications and/or an essential requirement for participating in a sector/fishery.
16. The Minister or his/her delegated authority reserves the right to call upon you to make further representations, provide additional information and documentation, or to verify any aspect of the application.
17. Applicants may call the **FRAP Call Centre Helpline (021 402 FRAP (3727))**, during the week (Monday to Friday) between 08h00 and 16h00. Relevant information about FRAP 2015/16 is also accessible on the Department's website at <http://www.daff.gov.za>.

### APPLICATION NUMBER

S	E	C	T	0	0	0	0	1
---	---	---	---	---	---	---	---	---

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

IMPORTANT INFORMATION					
APPLICATION REQUIREMENTS					
IF AN APPLICANT CANNOT PROVIDE THE DOCUMENTS OR INFORMATION AS REQUIRED, THEN THE APPLICANT MUST SUBMIT A WRITTEN EXPLANATION FOR ITS ABSENCE IN THE PLACE OF THAT SPECIFIC ANNEXURE.					Mark the box with an "X" if the required documents are attached
No.	Sub Section	Documents or Information Required	Annexure	Applicant	Official
1	1.1 - 1.4	Provide a certified true copy of the company or close corporation registration documents and in the case of a trust a certified true copy of the trust deed together with any amendments and letters of authority	1A-1		
2	1.5	If turnover is greater than R50 million, provide BBBEE certificate. If turnover is less than R50 million, provide confirmation of black ownership from registered auditors, and or accounting officers and attach sworn affidavits as per the Department of Trade and Industry (DTI) latest legislation and/or standards.	1A-2		
3	1.6	Original valid South African Revenue Services (SARS) Tax Clearance Certificate.	1A-3		
4	1.11 - 1.12	Provide a letter on the letterhead of the applicant confirming the appointment of the person as the duly authorised contact person for the applicant including the certified true copy of the authorised person's Identity document.	1C- 1		
5	1.18 - 1.21	Provide a copy of one of the following: (a) a utility bill (water, electricity, telephone), or (b) a lease agreement, or (c) a bond agreement with a bank, or (d) bank statements, or (e) an affidavit from a third party, confirming the applicant's registered address or principal place of business address of the applicant.	1D- 1		
6	2.1	If "Yes", provide a copy of either any commercial catch permit or grant of right letter or a right transfer approval letter issued to the applicant in terms of the Marine Living Resources Act 18 of 1998 (MLRA).	2A-1		
7	2.5	If "Yes", provide the information of each individual shareholders/members/trustees and beneficiaries interests represented as a percentage as follows: Full name; Identity number/registration number; Proof of address and contact details; Racial classification; Number of shares, interest as a member and beneficial interest as a trustee or beneficiary; Rand value of the share, members or beneficial interest in the entity; Percentage interest; and Number of years in the fishing industry and in what capacity; including specifying the precise extent of foreign shareholders/members/trustees and beneficiaries interests in the applicant.	2A-2		
8	2.6 - 2.7	If "Yes", provide proof of shareholding/members interest in other entities that applied for a fishing right in this sector and in any other sector during FRAP2015/16.	2A-3		
9	2.8 -2.9	If "Yes", provide proof of audited/verified/certified financial statement from all sources of income in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015.	2A-4		
10	3.1	If "Yes", provide details and any documentation regarding the offence including information regarding the conduct giving rise to the charge and the outcome of the criminal proceedings.	3A-1		
11	3.2	If "Yes", provide details and any documentation regarding the plea bargain arrangement entered into, including the conduct giving rise to the plea bargain, the dates and specifics of the plea bargain.	3A-2		
12	3.3	If "Yes," provide details and documentation regarding the conduct of the applicant, the date(s) of conviction, and the penalties imposed.	3A-3		
13	3.4	If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct giving rise to the fine, the date of the offence and rand amount paid.	3A-4		
14	3.5	If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct giving rise to the proceedings, and whether a final confiscation or forfeiture order was granted under the Prevention of Organised Crime Act 121 of 1998 (or its predecessor) or the MLRA.	3A-5		
15	3.6	If "Yes", provide details and any documentation including the conduct of the individuals giving rise to the Section 28 proceedings.	3A-6		
16	4.1 - 4.3	If "Yes", provide proof of vessel ownership(s) detailing shareholding in the vessel(s) and the cost of that shareholding alternatively how the shareholding was acquired, with proof. If more than one vessel, attach annexures and label in sequential order e.g. 4A-1a, 4A-1b etc.	4A-1		
17	4.4	If "Yes", provide copy of vessel access agreement (i.e. catch agreement, charter agreement, or bank guarantee and/or offer to purchase agreement). If the applicant is considering building a new vessel, then the vessel plans and related costs from the building company are required.	4A-2		
18	4.5	Provide the South African Maritime Safety Authority (SAMSA) certificate and vessel specification documents, except in cases where a vessel is still to be built or purchased. If more than one vessel, attach annexures and label in sequential order e.g. 4A-3a, 4A- 3b etc.  If yes, please indicate the name of the Regional Fishery Management Organisation and detail the reasons for the negative listing;	4A-3		
19	6.1 - 6.2	No documents required, same documents as submitted as annexure 1A- 2 Provide proof of dividends paid to black shareholders in 2005, 2009 and 2014 financial years	6A-1		
20	6.3	Attach the most recent employment equity profile provided to the Department of Labour and if none provided to the Department of Labour, provide the employment equity profile as at the date of application.	6A-2		
21	6.4	Provide proof in writing confirming board of directors from registered auditors and the relevant documentation from the <b>Companies and Intellectual Property Commission</b> .	6A-3		
22	6.8	If "Yes", provide proof of donations of the annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962.	6B-1		
23	6.6	If "Yes", provide net percentage of the total procurement from black owned company(ies) for the financial year which ends on any date between 1 July 2014 and 30 June 2015.	6C-1		
24	6.8	Please provide full details of the various corporate social investment projects that were invested in by the applicant. Proof hereof should also be provided.	6C-2		

APPLICATION NUMBER

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials)

Commissioner of Oaths (initials)

		<b>IMPORTANT INFORMATION</b>	
		<b>APPLICATION REQUIREMENTS</b>	
IF AN APPLICANT CANNOT PROVIDE THE DOCUMENTS OR INFORMATION AS REQUIRED, THEN THE APPLICANT MUST SUBMIT A WRITTEN EXPLANATION FOR IT IN THE PLACE OF THAT SPECIFIC ANNEXURE.			Mark the box with an "X" if the required documents are attached
25	6.13	If "Yes", provide a copy of the reports on the development and consultation of an employment equity plan and the progress made to implement the employment equity plan to the Department of Labour in terms of Section 21 of the Employment Equity Act 55 of 1998.	6E-1
26	6.13	If "Yes", provide a copy of the analysis required by Section 19 of the Employment Equity Act 55 of 1998, which must include a profile of the applicant's workforce within each occupational category and level in order to determine the degree of under representation of people from designated groups in the various occupational categories and levels in the employer's workforce.	6E-2
27	6.13	If "Yes", provide a copy of the reports submitted to the Department of Labour in terms of Section 27 of the Employment Equity Act 55 of 1998, indicating the remuneration and benefits received in each occupational category and level of that employer's workforce.	6E-3
28	6.13	If "Yes", provide a copy of written acknowledgment or other communication regarding the applicant's reports from the Department of Labour?	6E-4
29	6.14	If "Yes", provide a copy of the employment equity plan.	6E-5
30	6.16	If "Yes", provide proof indicating which Sector Education Training Authority ("SETA") the applicant is registered with.	6F-1
31	6.16	If "No", provide a statement as to why the applicant has not complied	6F-2
32	6.18	If "Yes", provide proof of the levies paid for the period 2006 to 2014 and at the time of application.	6F-3
33	6.18	If "No", provide a statement with reasons why the applicant has not paid the levies.	6F-4
34	6.20	If "Yes", provide proof of the appointee's appointment confirmation.	6F-5
35	6.20	If "No", state why not	6F-6
36	6.21	If "Yes", provide a copy of the workplace skills plan.	6F-7
37	6.21	If no, state the reasons why the workplace skills plan has not been developed.	6F-8
38	6.22	If "Yes", provide details, including the number of historically disadvantaged persons who participate in these programmes;	6F-9
39	6.25	If "Yes" provide full details of the initiatives that the applicant has embarked upon to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance.	6G-1
40	6.26	If "Yes" provide a list of the value adding activities that the applicant has initiated, particularly those activities that stimulate the creation of jobs and wealth within the specific sector(s) the applicant operates within.	6G-2
41	7.1 - 7.3	If "Yes", provide applicant's employees Payroll for the financial year which ends on any date between 1 July 2014 and 30 June 2015.	7A-1
42	7.5	If "Yes", provide full details of these benefits with proof.	7A-2
<b>Disclaimer: A mark with an "X" by the receiving official does not confirm the authenticity of the information submitted with the application.</b>			

APPLICATION NUMBER

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

**Section 1****Applicants details**

1A. Identification Details									
1.1	Registered Name								
1.2	Trading Name								
1.3	Type of South African entity (mark with an "X")	Company		Close corporation					
1.4	Registration Number:								
1.5	Percentage Black(combination of Africans, Coloureds and Indians) ownership				%				
1.6	Income Tax number								

1B. Contact Details									
1.7	Telephone number								
1.8	Cellphone number								
1.9	Fax number								
1.10	Email address								

1C. Details of Authorised contact person									
1.11	Contact Name								
1.12	Identity number								
1.13	Work telephone number								
1.14	Cellphone number								
1.15	Fax number								
1.16	Email address								
1.17	Position held in the legal entity or relation to applicant								

1D. Physical Address										
1.18	Street number									
1.19	Street name									
1.20	Suburb									
1.21	Town/City						Postal Code			
1.22	How many years have the entity operated in the area?									

**APPLICATION NUMBER**

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## Applicants details

1.23	PO Box									
1.24	Suburb									
1.25	Town/City						Postal Code			

1.26	How many years has the applicant been involved in the fishing industry?	
1.27	What other business operations is the applicant currently involved in? (enter details below).	

[illegible]

S	E	C	T	0	0	0	0	1
---	---	---	---	---	---	---	---	---

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

**Section 2****Form of applicant**

2A. Right allocation records			
2.1	Was the applicant awarded a fishing right since 2003?		Y/N
2.2	If "Yes," in "2.1" complete the table below for all fishing rights allocated to the applicant.		
	Name of Right Holder	Sector	Right Holder number
			% ownership of the right
2.3	Has the applicant applied for any other fishing rights during the Fishing Rights Allocation Process: 2015/16 (FRAP 2015/16)?		Y/N
2.4	If "Yes," in "2.3" complete the table below for all fishing rights applied for (including this application) in order of preference (excluding Fish Processing Establishment: (FPE)).		Y/N
	Name of Applicant for other right	Fishery	Application Number
01			
02			
03			
04			
05			
06			
07			
08			
09			
10			

APPLICATION NUMBER	S	E	C	T	0	0	0	0	1
--------------------	---	---	---	---	---	---	---	---	---

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## Form of applicant

[illegible]

**APPLICATION NUMBER**    S   E   C   T   O   O   O   O   1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_



### Section 3

#### Compliance

3.1	Has the applicant or any of its members and share-holder or directors been charged with an offence under the MLRA, or the regulations or permit conditions during the long-term right period?	Y/N	
3.2	Has the applicant or any of its members and share-holder or directors entered into a plea bargain under the Criminal Procedure Act 51 of 1977, for a contravention of the MLRA, or the regulations, or permit conditions since 2003?	Y/N	
3.3	Has the applicant or any of its members and share-holder or directors been convicted of a contravention of the MLRA, or the regulations, or permit conditions during the long-term right period?	Y/N	
3.4	Has the applicant or any of its members and share-holder or directors paid an admission of guilt fine for a contravention of the MLRA, the regulations, or the permit conditions during the long-term right period?	Y/N	
3.5	Has the applicant or any of its members and share-holder or directors fishing vessel, motor vehicle, premises or any of the applicants assets been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the long-term right period?	Y/N	
3.6	Was the applicant or any of its members and share-holder or directors right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the long-term right period?	Y/N	
Has the applicant complied fully with the legislation listed below?			
3.7	Compensation for Occupational Injuries & Diseases Act 130 of 1993	Y/N	
3.8	Occupational Health & Safety Act 85 of 1993	Y/N	
3.9	Basic Conditions of Employment Act 75 of 1997	Y/N	
3.10	Marine Living Resources Act 18 of 1998	Y/N	
3.11	Customs & Excise Act 91 of 1964	Y/N	

APPLICATION NUMBER

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

**Section 4****Access to a suitable vessel**

4.1	Does the applicant own a suitable vessel (>50% ownership)?				Y/N	
4.2	Does the applicant have a 50% ownership in a suitable vessel?				Y/N	
4.3	Does the applicant have part-ownership in a suitable vessel (<50%)?				Y/N	
4.4	Does the applicant have an access agreement in a suitable vessel (e.g. catch agreement, charter agreement, or bank guarantee)?				Y/N	
4.5	Does the applicant consider building a vessel?				Y/N	
4.6	Vessel Details (complete vessel details below).					
Vessel name				Gross tonnage (gt)		Vessel length (m)
Vessel type				Vessel horse power (kw)		Number of crew
Flag state (where applicable)				Year built		Is the vessel IUU listed?
						Y/N

If more than one vessel, the applicant shall create and complete a similar table and attach as per requirement 4.1-4.5. Complete the table below in respect of the other vessel(s) information details.

Vessel name		Gross tonnage (gt)		Vessel length (m)		Number of crew		% ownership of the vessel	
Vessel name		Gross tonnage (gt)		Vessel length (m)		Number of crew		% ownership of the vessel	
Vessel name		Gross tonnage (gt)		Vessel length (m)		Number of crew		% ownership of the vessel	
Vessel name		Gross tonnage (gt)		Vessel length (m)		Number of crew		% ownership of the vessel	
Vessel name		Gross tonnage (gt)		Vessel length (m)		Number of crew		% ownership of the vessel	

4.7 Complete the table below in respect of the total value of the vessel(s) including all fishing equipment.

Total book value (Rand)		Total insured value (Rand)	
-------------------------	--	----------------------------	--

**Section 5****Fishing Performance**

5.1	Did the applicant utilise its fishing right for at least five years during the period 2007 to 2014(2005 to 2013 for abalone)?						Y/N	
5.2	If "No" in 5.1 did the applicant provide reasons to the Department why did the applicant not utilise the right?						Y/N	
5.3	Complete the table below in relation to the applicant's catch records in the sector applying for.							
Year	Catch permit applied for? (Y/N)	Right Number (e.g. HIT)	Name(s) of vessel(s)	Number of crew allocated	Individual TAC (Right holder quantum)	Did the applicant land any fish? (Y/N)	Actual amount landed in kilograms	
2005								
2006								
2007								
2008								

**APPLICATION NUMBER**

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

2009							
2010							
2011							
2012							
2013							
2014							

## Section 6

### Transformation

#### 6A. Management and Employment Equity

6.1 Complete the table below in respect of shareholding/membership interest held by black persons.

Year	Percentage shareholding / Membership interest held by black persons	Percentage Voting Rights Held by Black Persons	Percentage of Dividends actually paid over to Black Shareholders or to which they are entitled to
2005 (as in 2005 application form)			
2009			
2015 (at time of application)			

6.2 Complete the table below in respect of the applicant's transformation profile.

%Black shareholding in 2005	%Black shareholding in 2014	%Black shareholding at application date

APPLICATION NUMBER

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## Section 6

### Transformation

6.3	Complete the table below in respect of the applicant's shareholding and membership interest. A=African C=Coloured, I=Indian, W=White and F=Female															
	Shareholders' name/ Member Name (Surname & Initial(s))	Years of Service	Race (A/C/I/ W)	Gender (M/F)	Age	Disability (Y/N)	Identity Number									
1																
2																
3																
4																
5																
6																
7																
8																
9																
10																

6.4	Complete the following table in relation to the applicants employees as at 28 February 2015															
	Gross monthly income (total cost to company)	Average Monthly Income	Number of employees	Percentage of total employees (%)	Specify the number of HDI employees						Specify the percentage of HDI employees					
					A	C	I	F	Y	D	A	C	I	F	Y	D
1	>R75 000															
2	Between R75 000 and R50 000															
3	Between R50 000 and R25 000															
4	Between R25 000 and R20 000															
5	Between R20 000 and R15 000															
6	Between R15 000 and R10 000															
7	Between R10 000 and R5 000															
8	Between R5 000 and R2 500															
9	Below R2 500															
	Total (in all unshaded spaces/blocks/cells)			100%												

APPLICATION NUMBER

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## Section 6

### Transformation

6.5	Complete the following table in relation to the applicant's most recent employment equity profile as provided to the Department of Labour in October 2014. If the applicant is not designated or did not voluntarily comply, then the table must be completed as at 28 February 2015.											
A=African C=Coloured, I=Indian, W=White and F=Female											Total	
Occupational Levels		Gender	A No.	A%	C No.	C%	I No.	I%	W No.	W%	ACI %	F%
Top management		Male										
		Female										
Senior management		Male										
		Female										
Professionally qualified and experienced specialists and mid-management		Male										
		Female										
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents		Male										
		Female										
Semi-skilled and discretionary decision making		Male										
		Female										
Unskilled and defined decision making		Male										
		Female										
TOTAL EMPLOYEES (add females and males)		Permanent										
		Contract										
		Seasonal										

  

6.6	Complete the table below in respect of the applicant's board of director's (if a company) or members (if a close corporation) as at date of application.											
	Director / Member Name (Surname & Initial(s))	Years of Service	Black (Y/N)	Gender (M/F)	Age	Disability (Y/N)	Identity Number					
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												

#### 6B. Corporate social investment

6.7	Does the applicant make donations of the annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962?										Y/N	
6.8	What percentage of the applicant's net profit is spent on corporate social investment?										%	

**APPLICATION NUMBER** S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## Section 6

### Transformation

#### 6C. Affirmative procurement

6.9	Does the applicant procure goods / services from majority black owned company(ies)?	Y/N	
-----	---	-----	--

#### 6D. Local Economic Development

6.10	Complete the table below in respect to harbours where catch has been landed and processed.			
Year	Harbour name	Quantity landed (kilograms)	Factory name	Quantity processed (kilograms)
2010				
2011				
2012				
2013				
2014				

  

6.11	Complete the table below in respect to harbours where catch is to be landed and processed. <b>Rank by order of usage from 1 – 3 (3 being the highest)</b>			
Harbour Name	Frequency of usage	Factory name	Frequency of usage	

#### 6E. Employment Equity

6.12	Is the applicant a designated employer as defined in Section 1 of the Employment Equity Act 55 of 1998?	Y/N	
6.13	Has the applicant complied with the Employment Equity Act 55 of 1998?	Y/N	
6.14	Has the applicant developed an employment equity plan?	Y/N	

#### 6F. Skills Development

6.15	Has the applicant complied with the Skills Development Act 97 of 1998?		Y/N	
6.16	If "Yes", indicate which Sector Education Training Authority ("SETA") the applicant is registered with.			
6.17	Has the applicant paid levies in terms of the Skills Development Levies Act 9 of 1999?		Y/N	
6.18	If "Yes," state the amount of the levies paid in rand value.	R		
6.19	Has the applicant appointed a skills development facilitator?		Y/N	

#### APPLICATION NUMBER

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

6.20	If "Yes", state the name of the appointee		ID No															
6.21	Has the applicant developed a workplace skills plan?																Y/N	
6.22	Does the applicant participate in learnership programmes?																Y/N	
6.23	What percentage of the applicant's training budget is spent on the training of historically disadvantaged persons?																	%
6.24	State the rand value of the training budget and the equivalent spent on historically disadvantaged persons.																R	

**6G. Enterprise Development and Value Adding**

6.25	Has the applicant embarked upon <i>enterprise development projects</i> to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance? Full details of these initiatives are requested																Y/N	
6.26	Has the applicant initiated <i>value adding activities</i> in the specific sector / fishery that it operates within																Y/N	

**Section 7****Job creation**

7.1	Does the applicant provide permanent employment? If yes complete the table below in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015.																Y/N	
Total number of employees		% of employees involved in fishing operations (including admin staff)		% of employees involved in the sector being applied for														
Total wages paid to all employees		% wages paid to employees involved in fishing		% wage paid to employees involved in the sector being applied for														
7.2	Does the applicant contribute towards medical aid or any kind of medical support arrangement for more than 50% of its employees?																Y/N	
7.3	Does the applicant contribute towards pension/provident fund for more than 50% of its employees?																Y/N	
7.4	Does the applicant provide all its employees with safe working conditions at sea?																Y/N	
7.5	Does the applicant provide any other kinds of benefits for its employees (e.g. employee share scheme)?																Y/N	

**APPLICATION NUMBER**

S E C T O R 0 0 0 0 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

**Section 8****Applicant Declaration**

I, the undersigned, do hereby make oath and declare that:

- (a) I have read the instructions set out in this application form and the General Policy on the Allocation and Management of Fishing Rights: 2013 and the Sector Specific Fishery Policy: 2015.
- (b) The information submitted with and in this Application is true and correct and complete.
- (c) I accept that if any information in and with this Application is not true or complete, or if false information is provided, or material information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998.
- (d) In order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his/her delegate or an official of the Department of Agriculture, Forestry and Fisheries or the Fishing Rights Verification Team.
- (e) I undertake to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. I accept that failure to co-operate in this regard will constitute an independent ground for refusing an application.
- (f) I accept that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the relevant Sector Specific Policy or in this application form, will result in the application being declined.

**Signed at :** \_\_\_\_\_

**This** \_\_\_\_\_ **day of** \_\_\_\_\_ **20**\_\_

**Signature of applicant:** \_\_\_\_\_

**Applicant's full name** \_\_\_\_\_

The deponent declares that he/she knows and understands the contents and implications of the above declaration.

Commissioner of Oaths

Full name

Designation

Physical Address

If the application is prepared or compiled by, or in consultation with or on the advice of any person or entity the following information must be provided:

Why was the application prepared by a person or legal entity other than the Applicant or why was someone consulted for advice? What fee or other remuneration was paid, or was promised for the assistance?

If assisted, please provide full details of the consultant / advisor that prepared this application

**Details of the person who completed the form.**

Contact Name

Identity number

Work telephone number

Cellphone number

Fax number

Email address

Position held/relation to applicant

**APPLICATION NUMBER**

S E C T O O O O 1

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_