

## DEPARTMENT OF AGRICULTURE, FORESTRY AND FISHERIES

NO. 1139

16 NOVEMBER 2015



agriculture,  
forestry & fisheries

Department:  
Agriculture, Forestry and Fisheries  
REPUBLIC OF SOUTH AFRICA

## BRANCH: FISHERIES MANAGEMENT

FISH PROCESSING  
ESTABLISHMENT

APPLICATION NUMBER

 IDENTIFICATION  
NUMBER  
OR  
REGISTRATION NUMBER

## Application form

## Fishing Rights Allocation Process 2015/2016

## FRAP 2015/2016

SECTIONS OF THE APPLICATION FORM "MARKED WITH  
YES" TO BE COMPLETED BY APPLICANT

		INDIVIDUAL	ENTITY
SECTION 1	Applicant's details (individual)	YES	NO
SECTION 2	Rights and/or exemptions held by applicant (individual)	YES	NO
SECTION 3	Applicant's details (entity)	NO	YES
SECTION 4	Rights and/or exemptions held by applicant (entity)	NO	YES
SECTION 5	Compliance	YES	YES
SECTION 6	Access to a suitable vessel/premises	YES	YES
SECTION 7	Fishing Processing Performance	YES	YES
SECTION 8	Transformation	YES	YES
SECTION 9	Job Creation	YES	YES
SECTION 10	Application declaration	YES	YES

APPLICATION NUMBER

Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

## IMPORTANT INFORMATION INSTRUCTIONS

1. The applicant or its duly authorised representative shall read and understand the instructions and application requirement sections before completing this application form. All the information provided by an applicant must be true, correct, and verifiable.
2. Only South African citizens, companies, close corporations or trusts as defined in the Marine Living Resources Act 1998 (Act No. 18 of 1998) (MLRA), may apply for a commercial fishing right. A South African company, close corporation or trust must be registered in South Africa, and the majority of its respective shareholders, members, trustees and beneficiaries must be South African citizens.
3. An applicant completing this application form must read the General Policy on the Allocation and Management of Fishing Rights: 2013 (General policy) and the Fish Processing Establishment Policy (FPE): 2015 (FPE policy). These policies will guide the Minister or his/her delegate's assessment of this application and the decision to allocate the fishing right applied for. Copies of these policies are accessible on the Department's website at <http://www.daff.gov.za>, or are obtainable at the Distribution Centre where this form was collected.
4. Whilst you may ask a departmental official at any application centre to explain the application form to him/her or it and/or to assist with any query related to completing this form or related to the FRAP 2015/16 process and queries may also be addressed during office hours, Monday to Friday between 08h00 to 16h00, to the **FRAP Call Centre Helpline** at **021 402 3727**, you remain responsible for ensuring that the form is correctly completed and that all the documentation and information is provided.
5. Please do not communicate with the Minister, the delegated authority, or officials in the Department about your application during the application and allocation process other than at the application centre or **FRAP Call Centre Helpline** at **021 402 FRAP (3727)**. It is the applicant's responsibility to ensure that all the applicable sections of the form are completed and that all the documentation and information requested as indicated in the form, or from the General or FPE policies are submitted with the form.
6. The applicant must complete all the sections of the form that are relevant to the applicant's application. When completing this form, if any section does not apply to the applicant, then mark the section "N/A" – "not applicable" and the information requested in that section does not have to be supplied. No section should remain blank. The applicant must as far as possible confine their responses to the spaces provided in the application form. If this is not possible then the information may be submitted as attachments to the form referencing the question number to which the attachment relates.
7. All documents attached must be true and certified copies of the originals, unless the original document is specifically requested. Copies of originals that have not been certified as true copies of the original will not be accepted.
8. Only applications submitted on the official departmental FRAP 2015/16 Form will be accepted. If an application is submitted on any other form or in any other manner, it will not be considered. Please fill out the form with a pen unless completing the form electronically. Do not use a pencil.
9. The application form and the applicant's declaration in section 10 must be signed by the applicant or its authorised representative and attested to before a Commissioner of Oaths. Please ensure that each page of the application form and attachments (supporting documents) are initialled by the applicant or its authorised representative and the Commissioner of Oaths. If the application and declaration is not signed before a Commissioner of Oaths, the application will not be considered. The application will consist of the original application form with supporting documentation. Please submit the original application together with one (1) certified true copy of the original application. The application and the copy must be punched, divided and submitted in a file.
10. The application and the copy **must** reach one of the Receipting Centre's before the stipulated closing date and time listed in the Government Gazette Notice dated **[insert date]**. The application must be physically (hand) delivered to one of the Receipting Centre's listed in Government Gazette Notice dated **[insert date]**. Copies hereof are accessible on the Department's website at <http://www.daff.gov.za>, or are obtainable at the Distribution Centre where this form was collected. Late applications will not be accepted and will not be considered.
11. Do not submit an application by mail (post), by fax or email.

APPLICATION NUMBER

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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

12. The application fee must be deposited into **[insert name of account holder]**, **[insert name of bank]** with account number **[insert number]** before the application is submitted. Please use the application form number as the reference number on the bank deposit slip or the printed "electronic funds transfer" page. Your proof of payment must be attached to the application. . Your application will not be considered if the proof of payment is not attached to the application. No application fees will be refunded.
13. If you furnish any information that is not true or complete, you will be disqualified from applying or the application may be refused or if granted, it may be revoked or suspended.
14. An application will be refused if the applicant-
  - a. provides false information and/or documentation under oath; or
  - b. falsifies documents; or
  - c. makes misleading false statements; or
  - d. fails to disclose material information or documentation which may materially affect the assessment of this application.
15. If you fail to comply with the lodgement requirements of the General Policy on the Allocation and Management of Fishing Rights: 2013, the Fish Processing Establishment Policy: 2015 and/or the instructions and requirements of the application, the application will be refused. The delegated authority has no discretion to condone non-compliance with lodgement requirements, materially defective applications and/or an essential requirement for participating in the FPE sector.
16. The Minister or his/her delegated authority reserves the right to call upon the applicant to make further representations, provide additional information and documentation, or to verify any aspect of the application.
17. Applicants may call the **FRAP Call Centre Helpline (021 402 3727)**, during the week (Monday to Friday) between 08h00 and 16h00. All relevant information about FRAP 2015/16 is also accessible on the Department's website at <http://www.daff.gov.za>.

APPLICATION NUMBER									
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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

IMPORTANT INFORMATION				
APPLICATION REQUIREMENTS				
IF AN APPLICANT CANNOT PROVIDE THE DOCUMENTS OR INFORMATION AS REQUIRED, THEN THE APPLICANT MUST SUBMIT A WRITTEN EXPLANATION FOR IT IN THE PLACE OF THAT SPECIFIC ANNEXURE.				Mark the box with an "X" if the required documents are attached
Sub-section	Documents or information required	Annexure	Applicant	Official
1.1 - 1.5	Provide a certified true copy of the applicant's identity document.	1A-1		
1.6	Provide an original valid South African Revenue Services (SARS) tax clearance certificate.	1A-2		
1.11 - 1.14	Provide a copy of one of the following documents: (a) a utility bill (water, electricity, telephone), or (b) a rent agreement for a house or flat, or (c) a bond agreement with a bank or (d) bank statements, or (e) an original affidavit from a third party, confirming the current residential address of the applicant.	1C		
1.19 - 1.20	Provide a description detailing all the fish processing activities of the applicant, including his or her involvement in other sectors and experience in the fishing industry. A new entrant must demonstrate that he or she has the necessary skill, knowledge and ability to participate in the fish processing sector.	1E		
2.1	If "Yes", provide a copy of any commercial catch permit or a grant of right letter or a right transfer approval letter or an exemption issued to the applicant in terms of the Marine Living Resources Act 18 of 1998 (MLRA).	2A-1		
2.5	If "Yes," provide proof of the applicant's shareholding/membership interest in the other entities that applied for fishing right during FRAP 2015/16.	2A-2		
2.6	If "Yes", provide proof of audited/verified/certified financial statement from all source of income in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015.	2A-3		
3.1 - 3.5	Provide a certified true copy of the company or close corporation registration documents and in the case of a trust a certified true copy of the trust deed together with any amendments and letters of authority.	3A-1		
3.6	Provide an original valid South African Revenue Services (SARS) tax clearance certificate.	3A-2		
3.10 - 3.16	Provide a letter on the letterhead of the applicant confirming the appointment of the person as the duly authorised contact person for the applicant including the certified true copy of the authorised person's Identity document..	3C		
3.17 - 3.21	Provide a copy of one of the following: (a) a utility bill (water, electricity, telephone), or (b) a lease agreement, or (c) a bond agreement with a bank or (d) bank statements, confirming the current registered address of the applicant.	3D		
4.1	If "Yes", provide a copy of any commercial catch permit or the grant of right letter or an exemption letter or right transfer approval letter issued to the applicant in terms of the Marine Living Resources Act 18 of 1998 (MLRA).	4A-1		
4.4	If "Yes", provide the information of each individual shareholders/members/trustees and beneficiaries interests represented as a percentage as follows: Full name; Identity number/registration number; Proof of address and contact details; Racial classification; Number of shares, interest as a member and beneficial interest as a trustee or beneficiary; Rand value of the share, members or beneficial interest in the entity; Percentage interest; and Number of years in the fishing industry and in what capacity; including specifying the precise extent of foreign shareholders/members/trustees and beneficiaries interests in the applicant	4A-2		

APPLICATION NUMBER

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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

4.5 - 4.6	If "Yes", provide proof of shareholding/members interest in other entities that applied for a FPE right and for a fishing right in any other fishery/sector during FRAP2015/16.	4A-3		
4.7 - 4.8	If "Yes" provide proof of audited/verified/certified financial statement from all source of income in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015.	4A-4		
5.1	If "Yes", provide details and any documentation regarding the offence including information regarding the conduct giving rise to the charge and the outcome of the criminal proceedings.	5A-1		
5.2	If "Yes", provide details and any documentation regarding the plea bargain arrangement entered into, including the conduct giving rise to the plea bargain, the dates and specifics of the plea bargain.	5A-2		
5.3	If "Yes," provide details and documentation regarding the conduct of the applicant, the date(s) of conviction, and the penalties imposed.	5A-3		
5.4	If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct giving rise to the fine, the date of the offence and rand amount paid.	5A-4		
5.5	If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct giving rise to the proceedings, and whether a final confiscation or forfeiture order was granted under the Prevention of Organised Crime Act 121 of 1998 (or its predecessor) or the MLRA.	5A-5		
5.6	If "Yes", provide details and any documentation including the conduct of the individuals giving rise to the Section 28 proceedings.	5A-6		
6.1 - 6.3	If "Yes", provide proof of vessel ownership(s) detailing shareholding in the vessel(s) and the cost of that shareholding, alternatively how the shareholding was acquired, with proof. If more than one vessel, attach annexures and label it in sequential order e.g. A-1a, 6A-1b, etc.	6A-1		
6.4	If "Yes", provide copy of vessel access agreement (i.e. catch agreement, charter agreement, or bank guarantee and/or offer to purchase agreement). If the applicant is considering building a new vessel, then the vessel plans and related costs from the building company are required.	6A-2		
6.5 - 6.8	Provide the South African Maritime Safety Authority (SAMSA) certificate and vessel specification documents, except in cases where a vessel is still to be built or purchased. If more than one vessel, attach annexures and label in sequential order e.g. 6A-3a, 6A-3b, etc.  If yes, please indicate the name of the Regional Fishery Management Organisation and detail the reasons for the negative listing.	6A-3		
6.10 - 6.12	If "Yes", provide a copy /copies of the title deed(s) confirming the ownership of the premises (site(s)).	6A-4		
6.13	If "Yes", provide a copy /copies of the lease agreement(s) in respect of the premises (site(s)).	6A-5		
8.1 - 8.2	Provide proof of dividends paid to black shareholders/members in 2001, 2005, 2009, 2013 and 2014 financial years.	8A-1		
8.3	Provide proof in writing from the applicant's registered auditors/bookkeepers confirming the ownership profile of the applicant.	8A-2		
8.5	Attach the most recent employment equity profile provided to the Department of Labour and if none provided to the Department of Labour, provide the employment equity profile as at the date of application.	8A-3		
8.6	Provide proof in writing confirming board of directors from registered auditors and the relevant documentation from the Companies and Intellectual Property Commission.	8A-4		
8.7	If the applicant's turnover is greater than R50 million, provide a BBBEE certificate. If the turnover is less than R50 million, provide confirmation of BEE status from the applicant's registered auditors and/or accounting officers and attach sworn affidavits as per the latest Department of Trade and Industry (DTI) legislation and directives/standards in respect of B-BBEE exempted micro and	8A-5		

APPLICATION NUMBER

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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

	qualifying small enterprises.			
8.9	If "Yes", provide proof of donations of the annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962.	8B-1		
8.10	Please provide full details of the various corporate social investment projects that were invested in by the applicant. Proof thereof should also be provided.	8B-2		
8.11	If "Yes", provide net percentage of the total procurement from black owned company (ies) for the financial year which ends on any date between 1 July 2014 and 30 June 2015.	8C-1		
8.14 -15	If "Yes", provide a copy of the report submitted to the Department of Labour on the development and consultation of an employment equity plan and the progress made to implement the employment equity plan in terms of section 21 of the Employment Equity Act 55 of 1998.	8E-1(a)		
	If "Yes", provide a copy of the analysis require by section 19 of the Employment Equity Act, 55 of 1998 which must include a profile of the applicant's workforce within each occupational category and level in order to determine the degree of under-representation of people from designated groups in the various occupational categories and levels in the employer's workforce.	8E-1(a)		
	If "Yes", provide a copy of the reports submitted to the Department of Labour in terms of section 27 of the Employment Equity Act, 55 of 1998 indicating the remuneration and benefits received in each occupational category and level of that employer's workforce.	8E-1(a)		
	If "Yes", provide a copy of the written acknowledgment or other communication received from the Department of Labour regarding the applicant's reports.	8E-1(a)		
8.16	If "Yes", provide a copy of the employment equity plan.	8E-2		
8.18	If "Yes", provide proof indicating which Sector Education Training Authority ("SETA") the applicant is registered with.	8F-1		
8.18	If "No", provide a statement as to why the applicant has not complied.	8F-2		
8.19	If "Yes", provide proof of the levies paid for the period 2006 to 2014 as well as at the time of application.	8F-3		
8.19	If "No", provide a statement with reasons why the applicant has not paid the levies.	8F-4		
8.21	If "Yes", provide proof confirming the appointee's appointment.	8F-5		
8.21	If "No", provide a statement as to why the applicant has not complied.	8F-6		
8.23	If "Yes", provide a copy of the workplace skills plan.	8F-7		
8.23	If no, provide a statement as to why the workplace skills plan has not been developed.	8F-8		
8.24	If "Yes", provide details, including the number of historically disadvantaged persons who participate in these programmes;	8F-9		
8.27	If "Yes", provide full details of the initiatives that the applicant has embarked upon to increase black ownership, management and skills in new business enterprises, and which includes investment programmes and access to finance.	8G-1		
8.28	If "Yes", provide a list of the value adding activities that the applicant has initiated, particularly those activities that stimulate the creation of jobs and wealth within the specific sector(s) the applicant operates within.	8G-2		
9.1 - 9.3	If "Yes", provide a copy of the applicant's most recent employee's payroll in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015. If "No" provide reasons.	9A-1		
9.5	If "Yes", provide full details of these benefits with proof.	9A-2		

APPLICATION NUMBER

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**Disclaimer:** A mark with an "X" by the receiving official does not confirm the authenticity of the information submitted with the application.

APPLICATION NUMBER									
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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

**Section 1****Applicant's details (Individual)**

1A. Identification details																
1.1	Surname															
1.2	First name(s)															
1.3	Are you a South African citizen?											Y/N				
1.4	Identity number											Age			Gender (M/F)	
1.5	Mark with "X" in respect of your race		African			Coloured			Indian			White				
1.6	Income tax number															

1B. Contact details													
1.7	Telephone number												
1.8	Cellphone number												
1.9	Fax number												
1.10	Email address												

1C. Residential address														
1.11	Street number													
1.12	Street name													
1.13	Suburb													
1.14	Town/City									Postal Code				
1.15	How many years have you lived and / or worked in the area?													

1D. Postal address														
1.16	Street number/PO Box													
1.17	Suburb													
1.18	Town/City									Postal Code				

APPLICATION NUMBER									
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1E. Fish processing experience										
1.19	How many years have you been involved in the fishing processing sector?									
1.20	In what capacity have you been involved in the fish processing sector? Tick the relevant box below.									
Transferring the catch from the fishing gear		Canning		Cleaning or washing the catch		Chilling and storing the chilled fish		Unloading or landing the fish		
Filleting		Smoking		Lining and preserving		Cutting up		Marketing		
Sorting and grading		Drying		Dismembering		Icing		Other (specify)		
Bleeding & gutting		Salting		Separating parts of		Freezing				

1F. Payment of levies		
1.21	Is the applicant an existing fishing right holder or exemption holder? If "Yes", is the applicant up to date with the payment of his/her levies for fish landed at the date of submitting his/her application?	

APPLICATION NUMBER									
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**Section 2****Rights or exemptions held by applicant (Individual)**

2A. Rights and/or fish processing exemptions				
2.1	Was the applicant awarded a fish processing right during the Long-Term Rights Allocation and Management Process: 2001 (2001 LTRAMP) or granted an exemption to operate a fish processing establishment in the period 2002 to 2014?	Y/N		
2.2	Was the applicant awarded any other fishing right since 2003?	Y/N		
2.3	If "Yes," in "2.2" complete the table below for all fishing rights awarded.			
	Name of Right Holder	Sector	Right Holder number	% ownership of the right
2.4	Are you a member, director, shareholder or beneficiary of any legal entity: company, close corporation, trust or co-operative that applied for a fishing right in any other fishing sector during FRAP 2015/16?	Y/N		
2.5	If "Yes" in "2.4", complete the table below for all fishing rights applied for (including this application) in order of preference.			
	Name of entity	Sector	Application number	Applicant's percentage shareholding or membership interest
01				
02				
03				
04				
05				
06				
07				
08				
09				
10				

APPLICATION NUMBER									
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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

2.6	Did the applicant derive income from any other source other than fisheries in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015? If "Yes," complete the table below.	Y/N	
Annual turnover in Rands (most recently audited, verified or certified figures)		% income derived from fisheries	% income derived from other sources
2.7	Does the applicant derive income from other sources of income in the current financial year or tax year? If "Yes", indicate the other sources of income in the space provided below.	Y/N	

**Section 3****Applicant's details (entity)**

3A. Identification details													
3.1	Registered name												
3.2	Trading name												
3.3	Type of South African entity (mark with an "X")	Company		Close corporation		Co-operative		Trust					
3.4	Registration number												
3.5	Income tax number												
3.6	Percentage black (combination of Africans (A), Coloureds (C) and Indians) (I) ownership					%							
3B. Contact details													
3.7	Telephone number												
3.8	Fax number												
3.9	Email address												
3C. Details of authorised contact person													
3.10	Contact name												
3.11	Identity number												
3.12	Work telephone number												
3.13	Cellphone number												
3.14	Fax number												
3.15	Email address												
3.16	Position held/relation to applicant												
3D. Physical address													

APPLICATION NUMBER									
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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

3.17	Street number						
3.18	Street name						
3.19	Suburb						
3.20	Town/City					Postal Code	
3.21	How many years have the applicant operated in the area?						
<b>3E. Postal address</b>							
3.22	Street number/PO Box						
3.23	Suburb						
3.24	Town/City					Postal Code	
<b>3F. Fish processing experience</b>							
3.25	How many years has the applicant been involved in the fish processing sector?						
3.26	What other business operations is the applicant currently involved in? (enter the details below).						

<b>3G. Payment of levies</b>							
3.27	Is the applicant an existing fishing right holder or exemption holder? If "Yes", is the applicant up to date with the payment of his/her or its levies for fish landed at the date of submitting his/her or its application?						

**Section 4****Rights or exemptions held by applicant (entity)**

<b>4A. Rights allocation/exemption records</b>			
4.1	Was the applicant awarded a fish processing right during the Long-Term Rights Allocation and Management Process: 2001 (2001 LTRAMP) or granted an exemption to operate a fish processing establishment in the period 2002 to 2014?	Y/N	
4.2	Was the applicant awarded any other fishing right since 2003?	Y/N	
4.3	If "Yes," in "4.2" complete the table below for all fishing rights allocated to the applicant.	Y/N	
	Name of Right Holder	Sector	Right Holder number

<b>APPLICATION NUMBER</b>							
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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

4.4	Is the applicant more than 50% South African owned?	Y/N	
4.5	Does the applicant or any of its members, directors or shareholders hold share(s) /membership interest in any other legal entity that is applying for a fish processing right during FRAP 2015/16? If "Yes", complete the table below.	Y/N	
	Name of entity	FPE sector	FPE application number
			Applicant's percentage shareholding or membership interest

**Section 4****Rights or exemptions held by applicant (entity)**

4.6	Does the applicant or any of its members, directors or shareholders hold any share(s)/ membership interest in any legal entity that is applying for a fishing right in any other fishery / sector during FRAP 2015/16? If "Yes", complete the table below.	Y/N	
	Name of entity	Sector	Application number
			Applicants percentage shareholding or membership interest
01			
02			
03			
04			
05			
06			
07			
08			
09			
10			
4.7	Did the applicant derive income from any other sources other than fisheries in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015? If "Yes", complete the table below.	Y/N	
	Annual turnover in Rands (most recently audited, verified or certified figures)	% income derived from fisheries	% income derived from other sources
4.8	Does the applicant derive income from other sources of income in the current financial year or tax year? If "Yes", indicate the other sources of income in the space provided below.	Y/N	

**APPLICATION NUMBER**

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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_


## Section 5

### Compliance

5.1	Has the applicant (or any of its members, shareholders or directors in the case of an entity) been charged with an offence under the MLRA, or the regulations or permit conditions during the 2001 long-term right period (2002-2014)?	Y/N	
5.2	Has the applicant (or any of its members, shareholders or directors in the case of an entity) entered into a plea bargain under the Criminal Procedure Act 51 of 1977, for a contravention of the MLRA, or the regulations, or permit conditions during 2001 LTRAMP period (2002-2014)?	Y/N	
5.3	Has the applicant (or any of its members, shareholders or directors in the case of an entity) been convicted of a contravention of the MLRA, or the regulations, or permit conditions during the 2001 long-term right period (2002-2014)?	Y/N	
5.4	Has the applicant (or any of its members, shareholders or directors in the case of an entity) paid an admission of guilt fine for a contravention of the MLRA, the regulations, or the permit conditions during the 2001 long-term right period (2002-2014)?	Y/N	
5.5	Has applicant's fishing vessel, motor vehicle, premises or any of his/her or its assets been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the 2001 long-term right period (2002-2014)?	Y/N	
5.6	Was the applicant or any of its members or shareholders or directors' right or permit suspended, revoked, cancelled, reduced or altered in terms of section 28 of the MLRA during the long-term right period?	Y/N	
5.7	Has the applicant complied fully with the Compensation for Occupational Injuries & Diseases Act, 130 of 1993?	Y/N	
5.8	Has the applicant complied fully with the Occupational Health & Safety Act, 85 of 1993?	Y/N	
5.9	Has the applicant complied fully with the Basic Conditions of Employment Act, 75 of 1997?	Y/N	
5.10	Has the applicant complied fully with the Marine Living Resources Act, 18 of 1998?	Y/N	
5.11	Has the applicant complied fully with the Customs & Excise Act, 91 of 1964?	Y/N	

## Section 6

### Access to a suitable vessel

6.1	Does the applicant own a suitable vessel (>50% ownership)?	Y/N	
6.2	Does the applicant have a 50% ownership in a suitable vessel?	Y/N	
6.3	Does the applicant have part-ownership in a suitable vessel (<50%)?	Y/N	
6.4	Does the applicant have an access agreement in a suitable vessel (e.g. ownership, part-ownership, catch agreement, charter agreement or bank guarantee)?	Y/N	
6.5	Does the applicant consider building a new vessel?	Y/N	
6.6	Please tick the category of fish currently being processed or intended for processing on board the vessel.	<b>Indicate with a ✓</b>	Please specify the species and common name (s) of the fish currently being processed or intended for processing on board the vessel.
	Shellfish		
	Finfish		
	Crustaceans		

APPLICATION NUMBER									
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Seaweeds					
Molluscs					
Other					
6.7	Please stipulate the number of years that the applicant is operating a vessel-based fish processing establishment(s)				
6.8	<b>NOTE: Full details regarding the vessel(s) to be used by the applicant to operate a vessel-based fish processing establishment(s) must be submitted. Where more than one vessel is to be used the required details must be provided separately in respect of each vessel. Section 6 must be answered / completed even where the vessel is not owned by the applicant.</b>				
Name of vessel:		Area number:			
Official number (SAMSA)		Year of build:			
Registered owner:		ID/registration number of vessel owner:			
Registered length as per current safety certificate:		Registered tonnage as per safety certificate if >25 tons			
Number of crew as per safety certificate:		Shaft power (KW):			
Hold capacity tons:		Radio call sign:			
NCRS establishment number		Is the vessel processing facility HACCP approved?			
Gross tonnage (gt)		Flag state (where applicable)	Is the vessel IUU listed?	Y/N	
6.9	Complete the table below in respect of the total book and insured value of the fixed assets (vessels and processing equipment).				
Total book value of fixed assets (vessels)(Rand)		Total insured value of fixed assets (vessels)(Rand)			
Total book value of fixed assets (processing equipment)(Rand)		Total insured value of fixed assets (processing equipment)(Rand)			

## Section 6

### Access to a suitable land-based premises

6.10	Does the applicant own a suitable premises (>50% ownership)?			Y/N	
6.11	Does the applicant have a 50% ownership in a suitable premises?			Y/N	
6.12	Does the applicant have part-ownership in a suitable premises (<50%)?			Y/N	
6.13	Does the applicant have a lease agreement in a suitable premises?			Y/N	
6.14	NCRS establishment number		Is the premises HACCP approved?	Y/N	
Please tick the category of fish currently being processed or intended for processing on the premises.		Indicate with a ✓	Please specify the species and common name (s) of the fish currently being processed or intended for processing on the premises.		
Shellfish					
Finfish					
Crustaceans					
Seaweeds					
Molluscs					

APPLICATION NUMBER

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Other			
6.15	Complete the table below in respect of the total book and insured value of the fixed assets (premises and processing equipment).		
Total book value of fixed assets (premises)(Rands)		Total insured value of fixed assets (premises)(Rands)	
Total book value of fixed assets (equipment)(Rands)		Total insured value of fixed assets (equipment)(Rands)	
6.16	Please state the number of years that the applicant is operating a land-based fish processing establishment(s).		

**Section 7****Fish processing performance**

7.1	Did the applicant utilise his/her or its fish processing right for at least five years during the period 2002 to 2014?		Y/N	
7.2	If "No" in 7.1 did the applicant provide reasons to the Department as to why the applicant did not utilise the right?		Y/N	
7.3	Complete the table below in relation to the applicant's fish processing records.			
Year	Fish processing permit applied for? (Y/N)	TAE (effort) / TAC (quantum) allocated (where applicable)	Did the applicant process any fish? (Y/N)	Actual mass of fish processed in kilograms
2002				
2003				
2004				
2005				
2006				
2007				
2008				
2009				
2010				
2011				
2012				
2013				
2014				

**Section 8****Transformation****8A. Management and employment equity**

8.1	Complete the table below in respect of the applicant's shareholding or membership interest held by black persons (A=Africans, C=Coloureds, I=Indians).		
Year	Percentage shareholding/ membership interest held by black persons	Percentage voting rights held by black persons	Percentage of dividends actually paid over to black shareholders / members or to which they are entitled to

APPLICATION NUMBER									
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2001 (as in 2001 application form)			
2005 (as in 2005)			
2009 (as in 2009)			
2013 (as in 2013)			
2015 (at date of application)			
8.2	Complete the table below in respect of the applicant's transformation profile.		
% Black shareholding in 2001	% Black shareholding in 2005	% Black shareholding in 2013	% Black shareholding at date of application

8.3	Complete the table below in respect of the applicant's shareholding and membership interest (A=African C=Coloured, I=Indian, W=White and F=Females).						
	Shareholders name/ Member Name (Surname & Initial(s))	Years of Service	Race (A/C/I/ W)	Gender (M/F)	Age	Disability (Y/N)	Identity Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

APPLICATION NUMBER									
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8.4	Complete the following table in relation to the income levels of the applicant's employees as at 28 February 2015															
	Gross monthly income (total Monthly cost to company)	Average Monthly Income	Number of employees	Percentage of total employees (%)	Specify the number of HDI employees						Specify the percentage of HDI employees					
					A	C	I	F	Y	D	A	C	I	F	Y	D
1	>R75 000															
2	Between R75 000 and R50 000															
3	Between R50 000 and R25 000															
4	Between R25 000 and R20 000															
5	Between R20 000 and R15 000															
6	Between R15 000 and R10 000															
7	Between R10 000 and R5 000															
8	Between R5 000 and R2 500															
9	Below R2 500															
	Total			100%												

8.5	Complete the following table in relation to the applicant's most recent employment equity profile as provided to the Department of Labour in October 2014. If the applicant is not designated or did not voluntarily comply, then the table must be completed as at 28 February 2015.										
A=African, C=Coloured, I=Indian, W=White and F=Females										Total	
Occupational Levels	Gender	A No.	A%	C No.	C%	I No.	I%	W No.	W%	ACI%	F%
Top management	Male										
	Female										
Senior management	Male										
	Female										
Professionally qualified and experienced specialists and mid-management	Male										
	Female										
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents	Male										
	Female										
Semi-skilled and discretionary decision making	Male										
	Female										
Unskilled and defined decision making	Male										
	Female										

APPLICATION NUMBER

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8.9	Does the applicant make donations of his/her or its annual taxable income which qualify for a deduction in terms of section 18A of the Income Tax Act 58 of 1962?	Y/N	
8.10	What percentage of the applicant's net profit is spent on corporate social investment?		

**8C. Affirmative procurement**

8.11	Does the applicant procure goods / services from majority black-owned companies?	Y/N	
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**8D. Local economic development**

8.12	Complete the table below in respect of areas or harbours where the applicant processes his/her or its fish.		
Year	Area or harbour name	Factory (FPE) name	Quantity fish processed (kilograms)
2010			
2011			
2012			
2013			
2014			
8.13	Complete the table below in respect of the areas or harbours where the applicant intends to process his/her or its fish. <b>Rank by order of usage from 1 – 3 (3 being the highest).</b>		
Area or harbour name	Frequency of usage	Name of factory (FPE)	Frequency of usage

**8E. Employment equity**

8.14	Is the applicant a designated employer as defined in Section 1 of the Employment Equity Act, 55 of 1998?	Y/N	
8.15	If the applicant is designated, has the applicant complied with the Employment Equity Act, 55 of 1998		
8.16	Has the applicant developed an employment equity plan? If yes, attach a copy of the plan;		

**8F. Skills Development**

8.17	Has the applicant complied with the Skills Development Act, 97 of 1998?	Y/N	
8.18	If "Yes", indicate which Sector Education Training Authority ("SETA") the applicant is registered with.		
8.19	Has the applicant paid levies in terms of the Skills Development Levies Act, 9 of 1999?	Y/N	
8.20	If "Yes", state the amount of the levies paid in rand value.	R	
8.21	Has the applicant appointed a skills development facilitator?	Y/N	

**APPLICATION NUMBER**

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8.22	If "Yes", state the name of the appointee		ID No												
8.23	Has the applicant developed a workplace skills plan?											Y/N			
8.24	Does the applicant participate in learnership programmes?											Y/N			
8.25	What percentage of the applicant's training budget is spent on the training of historically disadvantaged persons?												%		
8.26	State the rand value of the training budget and the equivalent spent on historically disadvantaged persons.											R			

**8G. Enterprise development and value adding**

8.27	Has the applicant embarked upon <i>enterprise development projects</i> to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance? Full details of these initiatives are requested	Y/N	
8.28	Has the applicant initiated <i>value adding activities</i> in the FPE sector.	Y/N	

**Section 9****Job creation**

9.1	Does the applicant provide permanent employment? If "Yes", complete the table below in respect of the financial year which ends on any date between 1 July 2014 and 30 June 2015.		Y/N	
Total number of employees		% of employees involved in fish processing		
Total wages paid to all employees		% wages paid to employees involved in fish processing		
9.2	Does the applicant contribute towards medical aid or any kind of medical support arrangement for more than 50% of his/her or its employees?		Y/N	
9.3	Does the applicant contribute towards pension/provident fund for more than 50% of his/her or its employees?		Y/N	
9.4	Does the applicant provide all his/her or its employees with safe working conditions at his/her or its sea-based and/or land-based fish processing establishment(s)?		Y/N	
9.5	Does the applicant provide any other kind of benefits to his/her or its employees (e.g. employee share scheme)?		Y/N	

APPLICATION NUMBER

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Applicant's or duly authorised representative of the applicant's (initials) \_\_\_\_\_ Commissioner of Oaths (initials) \_\_\_\_\_

**Section 10****Applicant Declaration**

I, the undersigned, do hereby make oath and declare that:

- (a) I have read the instructions set out in this Application form and the General Policy on the Allocation and Management of Fishing Rights: 2013 and the Fish Processing Establishment Policy: 2015.
- (b) The information submitted with and in this Application is true, correct and complete.
- (c) I accept that if any information in and with this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998.
- (d) In order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his/her delegate or an official of the Department of Agriculture, Forestry and Fisheries or the Fishing Rights Verification Team.
- (e) I undertake to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. I accept that failure to co-operate in this regard will constitute an independent ground for refusing an application.
- (f) I accept that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the relevant General and FPE policies or in this application form, will result in the application being refused.

**Signed at :** \_\_\_\_\_

**This** \_\_\_\_\_ **day of** \_\_\_\_\_ **20** \_\_\_\_.

**Signature of applicant or duly authorised representative:** \_\_\_\_\_

**Applicant's full name or registered name** \_\_\_\_\_

The deponent declares that he/she knows and understands the contents and implications of the above declaration.

Commissioner of Oaths

Full name

Designation

Physical Address

**APPLICATION NUMBER**

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If the application is prepared or compiled by, or in consultation with or on the advice of any person or entity the following information must be provided:	
Why was the application prepared by a person or entity other than the applicant?	
Who was consulted and why was the person consulted for advice?	
What fee or other remuneration was paid or was promised to the person for the assistance rendered?	
If assisted, please provide full details of the consultant / advisor that prepared this application	

<b>Details of the person who completed the form.</b>	
Contact name	
Identity number	
Work telephone number	
Cellphone number	
Fax number	
Email address	
Position held / relation to the applicant	

<b>APPLICATION NUMBER</b>									
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