



**ipid**

INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

# ANNUAL REPORT 2013-2014



**ipid**

Department:  
Independent Police Investigative Directorate  
REPUBLIC OF SOUTH AFRICA





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## **PART A:**

# **GENERAL INFORMATION**

#### DEPARTMENT GENERAL INFORMATION

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## LIST OF ABBREVIATIONS/ACRONYMS

AGSA	Auditor General of South Africa
<b>AO</b>	<b>Accounting Officer</b>
BBBEE	Broad Based Black Economic Empowerment
<b>CFO</b>	<b>Chief Financial Officer</b>
MEC	Member of Executive Council
<b>HOD</b>	<b>Head of Department</b>
PFMA	Public Finance Management Act
<b>TR</b>	<b>Treasury Regulations</b>
MTEF	Medium Term Expenditure Framework
<b>SMME</b>	<b>Small Medium and Micro Enterprises</b>
SCM	Supply Chain Management
<b>EU</b>	<b>European Union</b>
SITA	State Information Technology Agency
<b>SDIP</b>	<b>Service Delivery Improvement Plan</b>
APP	Annual Performance Plan
<b>Assault GBH</b>	<b>Assault with intent to do Grievous Bodily Harm</b>
DPP	Director of Public Prosecutions
<b>ICD</b>	<b>Independent Complaints Directorate</b>
IPID	Independent Police Investigative Directorate
<b>NPA</b>	<b>National Prosecuting Authority of South Africa</b>
MPS	Municipal Police Services
<b>SAPS</b>	<b>South African Police Service</b>
SPP	Senior Public Prosecutor
<b>SOP</b>	<b>Standard Operating Procedure</b>

## FOREWORD BY THE MINISTER



**Mr Nkosinathi Nhleko**  
**Minister of Police**

Policing in South Africa is underpinned by what has been hailed as the most progressive Constitution in the world. The Constitution is a living document that contains the values that as a society we strive for. A lot is expected from all of us as South Africans, more so the men and women in blue. Against this background, police officers must be seen, and should see themselves, as the guardians of human rights generally and the Constitution, in particular.

Most people's interaction with government is through the police. If the interaction is a pleasant one, then society's perception of government will also be positive. The work of the Independent Police Investigative Directorate (IPID) determines the credibility of the police service and in turn the perception and credibility of government. If the IPID carries out its mandate in an effective and efficient manner, the perceptions of impunity about police criminality will diminish and government's fight against crime will be strengthened.

As a new administration, our approach to crime fighting is anchored solidly on the manifesto of the ruling party, the medium term strategic framework and the National Development Plan (NDP) – all of which form the policy framework for our government for the next foreseeable future. It is our considered view that the IPID can contribute to strengthening the Criminal Justice System by conducting independent and impartial investigations.

This report contains the relevant details of such investigations. It is clear that the IPID is struggling under the burden of work due to amongst others, the vacant leadership positions and capacity challenges. Nonetheless, the report also demonstrates that investigations did take place and matters were taken to the courts. Hence the eighty four (84) criminal convictions, one hundred and thirty five (135) disciplinary convictions and eighty nine (89) arrests. The report also indicates that the IPID had nine hundred and fifty (950) matters in the various courts around the country.

The NDP spells out a role for the IPID in the professionalisation and demilitarisation of the police service. IPID management would do well to start engaging and exploring what this could mean for the IPID. Furthermore, there is a need for the IPID to align its policies and processes with the policy frameworks already mentioned.

Working with all stakeholders is critical in taking the IPID to the people, who should be informed about the matters that fall within the investigative mandate of the IPID, and have confidence that when such matters are reported, swift action will be taken against the perpetrators.

As the Ministry of Police, we are available to lend support to the management and staff of the IPID.

A handwritten signature in black ink, appearing to be 'N. Nhleko', written over a horizontal line.

**Mr Nkosinathi Nhleko, MP**  
**Minister of Police**  
**31 July 2014**



## DEPUTY MINISTER STATEMENT



**Ms Makhotso M Sotyu**  
**Deputy Minister of Police**

This is the second report of the Independent Police Investigative Directorate (IPID) since it was given additional powers and a changed mandate. The changes came about in the 2012/13 financial year.

This annual report reflects the work done by the IPID in the 2013/14 financial year.

As government we believe that policing must be oriented towards a respect for human rights, be community-centred, be biased in favour of the law-abiding weak and fulfill the safety needs of society. The IPID has played its role in ensuring that our people are and feel safe. It is imperative that the IPID contribute towards the professionalisation of the South African Police Service and Municipal Police Services in line with the National Development Plan, and the priority of the Minister of Police.

As the Ministry of Police, we are aware that, for the entire reporting period, the IPID had no permanent head and the nine provincial head posts were vacant, manned only by people who were appointed to act. As a result, performance was inconsistent, with some provinces being able to meet their performance targets, and others not being able to do so. We have also noted that the 90-day targets for completing investigations were particularly problematic for the IPID, whereas performance was better beyond the 90-day targets.

Notwithstanding, we have confidence that the IPID will overcome this challenge, by considering whether or not the targets that it sets for itself are realistic, given the resources it has. As the ministry, we expect IPID management to put greater focus on improving the performance of the institution going forward.

Furthermore, we are aware that the IPID needs more resources but we have noted under-expenditure of the allocated budget. This situation, if not rectified, will hamper the IPID's plans to expand its services to more people around the country, as it is unlikely to receive more funds if it fails to spend its budget fully. We will be monitoring future expenditure trends to check for improvement.

We are pleased that the Executive Director has been appointed and that he has set in motion the process of filling the vacant posts that arose after the departure of the former head.

Yet again, the IPID received an unqualified audit report from the Auditor-General. We congratulate the management and staff of the IPID for working hard under trying circumstances and wish to encourage them to strive for a clean audit in future, without any emphasis of matter.

We wish express our appreciation to the Portfolio Committee on Police, SAPS management, the National Prosecuting Authority and all IPID's stakeholders, for their continuous contribution and effort to improve oversight over the police.



**Ms Makhotso M. Sotyu**  
**Deputy Minister of Police**  
**31 July 2014**



## REPORT OF THE ACCOUNTING OFFICER



**Mr Robert J. McBride**  
Executive Director

### OVERVIEW OF THE OPERATIONS OF THE DEPARTMENT

The Independent Police Investigative Directorate (IPID) was left without a permanent head after the departure of the previous head in September 2012. I was appointed as from 1 March 2014. Furthermore, ten Chief Director positions were vacant from 1 April 2012, that is since the beginning of the IPID. These positions were created by the IPID Act. The positions comprised of 9 Provincial Heads and one Programme Manager: Investigations and Information Management. The absence of Executive Director made it impossible to fill the ten Chief Director positions due to the fact that the IPID Act puts the prerogative of making such appointments on the shoulders of the Accounting Officer. In my first week as Executive Director of the IPID, I worked with the management of the IPID to start the process of filling all vacant positions.

Inevitably, the lack of leadership had a negative impact on the performance of the Directorate and the expenditure of the allocated budget. Other factors also played a role – the biggest one being inadequate capacity. The IPID was preceded by the Independent Complaints Directorate (ICD) which was established on 1st April 1997, with a DPSA approved structure of 35 personnel. A work study was done by the DPSA with a recommended structure of 535 positions at that stage. At the end of its tenure on 31st March 2012, the ICD had a staff complement of 303.

The IPID came into effect on 1st April 2012 with a staff complement of 303 personnel. The mandate of the IPID was expanded to focus only on serious criminal investigations as opposed to mostly service related matters investigated by the ICD.

The IPID Act prescribes specific processes which require the establishment of key units to implement them. These units are in the process of being established.

The IPID had 9 provincial offices and 9 satellite offices. Investigations are done at provincial level. The IPID continues to face various challenges, including:

- Vast distances which hamper swift responses to matters that must be investigated;
- Complex criminal investigations, some involving syndicates; and
- Workload which is too much to contend with.

The long-term solution to the IPID's challenges is the expansion of the coverage and the establishment of regional and district offices. In line with the NDP, MTSF and the Minister of Police's strategic focus, the Directorate intends to achieve the following:

- Take IPID services closer to the people;
- To enhance integrity and quality of investigations; and
- Re-prioritise the fight against corruption and systemic corruption.

In respect of IPID operations, the IPID had a workload of 9 055 cases, of which 3 310 were carried over from the previous financial year and 5 743 were reported or received during the 2013/14 reporting period. Of these, 56% (5 045) were completed. The completion rate increased by 2% compared to the previous reporting period. Although, some targets were not met within 90 days, performance over the year was overall higher than in the previous financial year. In cases where 90 day targets were not met, regulations allow for reasons to be given. The Directorate's inability to achieve its targets is directly linked to its capacity challenges. The capacity challenges are expected to ease in 2014/15 due to the filling of crucial management positions. However, until the Directorate has full capacity status, performance challenges will remain.

## OVERVIEW OF THE ACCOUNTING OFFICER

One thousand four hundred and seventy (1 470) criminal recommendations were made to the National Prosecuting Authority, as well as 884 disciplinary recommendations to the SAPS. For the first time in the IPID's history, criminal recommendations exceed disciplinary recommendations. As our criminal recommendations increase, it is expected that our investigators will spend more time in court, and this will have an impact on our capacity.

There were 84 convictions in criminal matters and 185 disciplinary convictions. In one case, a sentence of 2 life terms and 284 years imprisonment was handed down by the Johannesburg High Court for a series of rapes perpetrated by a Randburg Constable. During the period under review, the IPID had 950 cases on court rolls around the country; this is a sharp increase of 77% from 538 in the previous reporting period.

A serious concern for the Directorate is that the effectiveness of its investigations are diluted and undermined by the inconsequential disciplinary sanctions meted out by SAPS management for very serious offences. Some of these sanctions border on the absurd - for example, offences like corruption or defeating the ends of justice are sanctioned with a slap on the wrist like a "written warning" or "suspended dismissal". This undermines the fight against corruption, unprofessionalism the weeding out of bad apples from the police service.

This is the second year of the IPID's operation under the new legislative dispensation. The transformation of the ICD into the IPID is not an event but is a process that is on-going. The work of IPID takes place within an environment of increased violent incidents of public protests. These incidents lead to an increase in the SAPS/MPS involvement in monitoring order. Given the recent events of public protests, it is clear that these actions significantly influence the overall picture of deaths as a result of police actions, complaints relating to the discharge of official firearms by police officers and . These developments are likely to increase workload of the IPID.

Notwithstanding the aforesaid, the Directorate faces challenges which could impact on its performance due to vacancies at key senior management level. The position of the Executive Director has been vacant since September 2012. Ten Chief Director positions have been vacant since 01 April 2012. This state of affairs has affected the performance of the Directorate. The Executive Director has been appointed with effect from the 1st of March 2014 whilst the adverts for nine Provincial Heads and two Chief Directors have gone out and the recruitment is in progress.

The organisation has made great strides in transforming from a complaints driven organisation to an investigation driven organisation. This process included:

- Alignment of the Directorate's functions and priorities in line with the principles enshrined in the Constitution and the IPID Act;
- Internalising the values and ethos contained in the IPID Act;
- Engaging in a process of organisational renewal or reengineering and re-organisation;
- Integrating the Directorate's systems, programmes and structures to enhance service delivery;
- Alignment of the Directorate's planning, budgeting and reporting processes;
- Development of policies to influence smooth transition from Independent Complaints Directorate (ICD) to IPID;
- Influence/feed into policy development within SAPS/MPS through recommendations; and
- Identification and investigation of systemic corruption cases.

The IPID Act places obligations on the SAPS and the Municipal Police Services (MPS). These obligations relate to the reporting of matters that must be investigated by the IPID and the implementation of disciplinary recommendations. This in essence means that the capacity of the provincial offices should therefore be increased to perform this duty and administer the new reporting processes.

The activities of the IPID were organised into the following four (4) functional programmes:

- Programme 1: Governance and Stakeholder Management
- Programme 2: Corporate Services
- Programme 3: Investigation and Information Management
- Programme 4: Legal Services

The Investigation and Information Management programme continued to be strengthened in order to ensure compliance with the IPID Act. This includes training, development of guidelines, systems and procedures for investigation and reporting. An information management system that has been fully developed and optimally used to ensure that accurate statistical information is available for monthly, quarterly, bi-annual and annual reporting.

## OVERVIEW OF THE FINANCIAL RESULTS OF THE DEPARTMENT:

### Departmental receipts

Departmental receipts	2013/2014			2012/2013		
	Estimate	Actual Amount Collected	(Over)/Under Collection	Estimate	Actual Amount Collected	(Over)/Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	111	150	39	138	138	-
Sales of scrap, waste, arms and other used current goods	1	-	1	-	-	2
Interest, dividends and rent on land	44	11	33	2	-	
Financial transactions in assets and liabilities	97	160	(63)	44	41	3
<b>Total</b>	<b>253</b>	<b>321</b>	<b>(68)</b>	<b>184</b>	<b>179</b>	<b>5</b>

Independent Police Investigative Directorate (IPID) is not a revenue focus organisation. Its policy mandate is purely on investigation which is a free service. The insignificant reported amount of revenue is mainly on refunds to the Directorate than sales of goods and services. The main sources of revenue are sales of tender documents and monthly parking fee which collection is based on recovery of the costs related to the used stationery and parking costs which the Directorate pay to the landlord.

### Programme Expenditure

Programme Name	2013/2014			2012/2013		
	Final Appropriation	Actual Expenditure	(Over) / Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	89 050	85 592	3 458	86 526	70 258	16 268
Investigation and information services	123 491	104 243	19 248	105 967	97 009	8 958
Legal Services	4 450	3 306	1 144	5 405	4 182	1 223
<b>Total</b>	<b>216 991</b>	<b>193 141</b>	<b>23 850</b>	<b>197 898</b>	<b>171 449</b>	<b>26 449</b>

## PROGRAMME 1: ADMINISTRATION

Spending in programme 1: Administration has increased significantly between 2012/13 and 2013/14, due to the allocation of additional funding to capacitate the Directorate's administrative support services and enhancing its internal control environment to ensure good governance. Accordingly, the bulk of the expenditure in 2013/14 as compared to 2012/13 addressed to shortage of support staff, their working resources including IT equipment and office furniture. The growth of this programme is depending on the increase of personnel in the core function programme due to support service activities.

The position of Executive Director was only filled in March 2014, and other positions in the same Programme which mainly are SMS positions such as Director: Executive Support, Director Internal audit and Director Corporate Governance are amongst vacant positions that have not been filled hence the Compensation of Employees and the administrative costs related to those vacancies were affected and impacted the overall spending of the Programme. The Skills Development transfer which is classified under Transfer and Subsidies was also not transferred to SASSETA following the National Treasury's instruction to hold back on the transfer until there is a resolution on the matter.

## PROGRAMME 2: INVESTIGATION AND INFORMATION MANAGEMENT

Spending in the programme increased significantly between 2012/13 and 2013/14, due to the increased number of mandatory investigations conducted under the Independent Police Investigative Directorate Act (2011) and the building of investigative support capacity required to manage the increase. This also accounts for the increase in expenditure on travel and subsistence and the training of investigators, which grew at average annual rates of 19.2 per cent and 48.5 per cent between 2010/11 and 2013/14. The significant increase in expenditure on compensation of employees between 2012/13 and 2013/14 is due to enhanced investigative support capacity.

The programme's compensation of employees was affected by the delay in filling ten (10) SMS positions including nine (9) Provincial Heads and one (1) programme Manager vacant positions, however the recruitment process has since started in March 2014. The continues delays in implementation of the planned Satellite Offices due to slow procurement process done in conjunction with DPW has also affected the financial performance of this Programme.

## PROGRAMME 3: LEGAL SERVICES

The increase in programme spending between 2012/13 and 2013/14 is mainly due to an additional allocation in the year under review to increase in capacity. The additional allocation accounts for the increase in spending on compensation of employees to build internal legal service capacity. The increase in expenditure on goods and services, specifically on travel and subsistence, was due to consultations with various stakeholders, including the state attorney and state law advisors, on legal service matters relating to the investigation cases.

The reported actual expenditure is less than the projected expenditure mainly due to the reported staff turnover in this programme. Amongst the existing vacancies there are three (3) SMS positions; Chief Director: Legal Services, Director: Litigation Advisory Services and Director: Investigation Advisory Services, however the positions have since been advertised in March 2014 with an intention to fill them before the end of the first quarter in the 2014/15 financial year. The vacancies have affected the spending pattern of the programme including the administrative costs related to all reported vacant positions.

### Roll overs

The Directorate (IPID) did not apply for roll over in the financial year under review.

### Virements

The Directorate in the year under review applied and was granted an approval by National Treasury to shift an amount of R200 000 from Programme 2: Investigation and Information Management to Programme 3: Legal Services to address the projected shortfall as a result of increased number of consultations with various stakeholders on legal services matters related to investigation.

In order to defray the projected overspending on goods and services, the approval was granted shifting for R630 517 from machinery and equipment in Programme 2: Investigation and Information Management to goods and services in Programme 1: Administration.

### Unauthorised Expenditure

The Directorate did not incur unauthorised expenditure in the year under audit. The reported amount in our books is made of the prior's over expenditure by programme 2 and 3 in the financial year 2008/09 and 2005/06 respectively. At the reporting date the approval for authorisation was not yet granted.

### Irregular Expenditure

Due to the implementation of National Treasury directive note 1 of 2013/14 in January 2014, the directorate has identified various transactions with insignificant amounts which were reported as irregular and also disclosed as such in the disclosure notes. All reported cases in the annual financial statements require various actions which the Directorate has already instituted to establish the action to be taken.

### Fruitless and wasteful expenditure

An amount of R314 136.83 in three various transactions was identified and reported in the directorate's financial books as fruitless and wasteful expenditure due to the payment that was done for implementation of the Team Mate audit system which did not take place as planned. The reported expenditure is still under investigation to establish the required action.



## **Future plans of the department**

The IPID has identified the following Strategic Priorities as the areas of focus for 2014/15 MTEF period.

- Filling of all senior management positions;
- Establishment of a consultative forum to conduct public education;
- Establishment of the integrity management and protection unit;
- Continue to refocus the Directorate from a complaints driven institution to an investigation driven institution;
- Training and capacity building of investigators;
- Development of personnel performance management systems;
- Review and implementation of both finance and human resource delegations.

## **Public Private Partnerships**

The Independent Police Investigative Directorate (IPID) did not enter into any Public Private Partnership (PPP) transaction before and in the period under review.

## **Discontinued activities / activities to be discontinued**

The Directorate (IPID) operated within its IPID Act mandate and did not discontinue any of its functions during the year under audit.

## **New or proposed activities**

The Directorate has introduced the Compliance Monitoring and Stakeholder Management unit, in order to safeguard the principles of cooperative governance and stakeholder management through on-going monitoring and evaluation of the quality of recommendations made to the South African Police Service and Municipal Police Services and also reporting on the police service's compliance with reporting obligations in terms of the Independent Police Investigative Directorate Act (2011).

The Directorate has also introduced the Integrity Management and Protection Services. This will provide a specialised function regulated by Section 26 of the IPID Act and it is aimed at protecting the integrity and credibility of the IPID investigators and the reliability and quality of our investigations. It is responsible for integrity testing of IPID officials as provided for in Section 22 (3), (4) and 26 of the IPID Act. It will also provide for the safe guarding of investigations and the security of investigators. These new activities will be funded internally through budget reprioritisation to accommodate core functions.

## **Supply chain management**

### **List all unsolicited bid proposals concluded for the year under review**

During the period under review, the Directorate did not receive any unsolicited bid proposal.

### **SCM processes and systems are in place to prevent irregular expenditure**

The Directorate has developed an irregular expenditure register and conducted a workshop to the Administration officers and Management team on prevention and reporting process of the irregular expenditure. Suspected cases are also reported to the Finance Unit on a monthly basis to establish whether they are irregular expenditure or not. In Year Monitoring report (IYM) which also reflects irregular expenditure if incurred is on a monthly basis completed and submitted to National Treasury. In addition both finance and human resource management delegations have been reviewed to clearly stipulate the threshold per level of accountability.

### **Challenges experienced in SCM and how they were resolved**

The SCM Unit has in the year under audit experienced a high staff turnover as a result of key officials, including the head of the unit leaving the Directorate to other Institutions. That has created instability in the Unit which resulted into lack of support, delays in procurement of goods and services, identification and disposal of the redundant assets.

The Directorate has commenced late in the year under audit with the filling of the reported vacancies in the Unit and various bid committees as well as the disposal committee were also reconstituted in order to provide the necessary procurement of goods and services support.

### **Gifts and Donations received in kind from non-related parties**

During the period under review, the Directorate received a donation of 541 A5 2014 diaries for an amount of R22 000.00 to distribute to staff members from the Workers for Life. The same was also disclosed in annexure 11 of the Annual Financial Statements.

### **Exemptions and deviations received from the National Treasury**

For the financial year under review and the prior years, the Directorate did not request or receive from National Treasury an exemption or deviation from the PFMA or TR or deviation from the financial reporting requirements.

### Events after the reporting date

The following non-adjusting subsequent events occurred after the reporting date:

- During May 2014, the President appointed a new Executive Authority. Honourable Mr. N Nhleko was appointed as Minister of Police.
- Subsequent to the appointment of the Executive Director in March 2014, the Fraud Prevention Plan Policy approved, however the approval for implementation was granted after the reporting date.
- The Executive Director approved the appointment of the Chief Director Investigation and Information Management with effect from 1 August 2014.
- The post of Director Executive Support was filled from 1 July 2014.
- Subsequent to year end, yet before the issuing of the audit report, assets were approved for disposal during the 2014/15 financial year, in July 2014.

### Other

The Directorate is not aware of any material fact or circumstances not addressed, which may have an effect on the understanding of the financial state of affairs.

### Acknowledgement/s or Appreciation

My appreciation towards the loyal support and valuable contribution of the various stakeholders is hereby acknowledged.

### Conclusion

I am optimistic that all the internal control systems that have been introduced following the implementation of the IPID Act, will proactively address the reported challenges and will also improve good governance in the Directorate

### Approval and sign off

The Annual Financial Statements set out on pages 92 to 128 have been approved by the Accounting Officer.



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**Mr RJ McBride**  
**Accounting Officer**  
**Independent Police Investigative Directorate**  
**31 July 2014**

## STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The annual report is complete, accurate and is free from any omissions.

The annual report has been prepared in accordance with the guidelines on the annual report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the annual financial statements.

The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the department for the financial year ended 31 March 2014.

Yours faithfully



**Accounting Officer**  
**Mr RJ McBRIDE**  
**31 July 2014**

## STRATEGIC OVERVIEW

### 7.1. Vision

To promote proper police conduct in accordance with the principles of the Constitution.

### 7.2. Mission

An effective, independent and impartial investigating and oversight body that is committed to justice and acting in the public interest while maintaining the highest standards of integrity and excellence.

### 7.3. Values

The IPID aspires to adhere to the highest standards of ethical behaviour, integrity and the continuous application of our values. The following values are the core from which we operate and respond:

*Independence and impartiality*  
*Mutual respect and trust*  
*Integrity and honesty*  
*Transparency and openness*  
*Equity and fairness*  
*Courtesy and commitment*

## LEGISLATIVE AND OTHER MANDATES

The IPID is guided by the principles set out in chapter 11 of the Constitution that govern national security in the Republic. Section 198(a) provides that:

“National security must reflect the resolve of South Africans, as individuals and as a nation, to live as equals, to live in peace and harmony, to be free from fear and want to seek a better life.”

In line with this principle, Section 206(6) of the Constitution of the Republic of South Africa makes provision for the establishment of an independent police complaints body and stipulates that:

“On receipt of a complaint lodged by a provincial executive, an independent police complaints body established by national legislation must investigate any alleged misconduct of, or offence committed by, a member of the police services in the province.”

The IPID Act, No 1 of 2011 was signed into law on 12 May 2011 and IPID which replaces its predecessor the ICD, came into operation on 1 April 2012.

The Act empowers the IPID to play an essential role in promoting a transparent and accountable police in South Africa by investigating serious criminal offences by SAPS and MPS members.

In terms of section 28 of the Act, the IPID is obliged to investigate:

- (a) Any death in police custody;
- (b) Death as result of police action;
- (c) Any complaint relating to a discharge of an official firearm by a police officer;
- (d) Rape by a police officer, whether the police officer is on or off duty;
- (e) Rape of any person in police custody;

- (f) Any complaint of torture or assault against a police officer in the execution of his or her duties;
- (g) Corruption matters within the police initiated by the executive director, or after receiving a complaint from a member of the public or referred to the directorate by the minister, a member of an executive council (MEC) or the secretary for the police service;
- (h) Any other matter referred to the IPID as a result of a decision by the executive director, or, if so requested, by the minister, an MEC or the secretary for the police service;

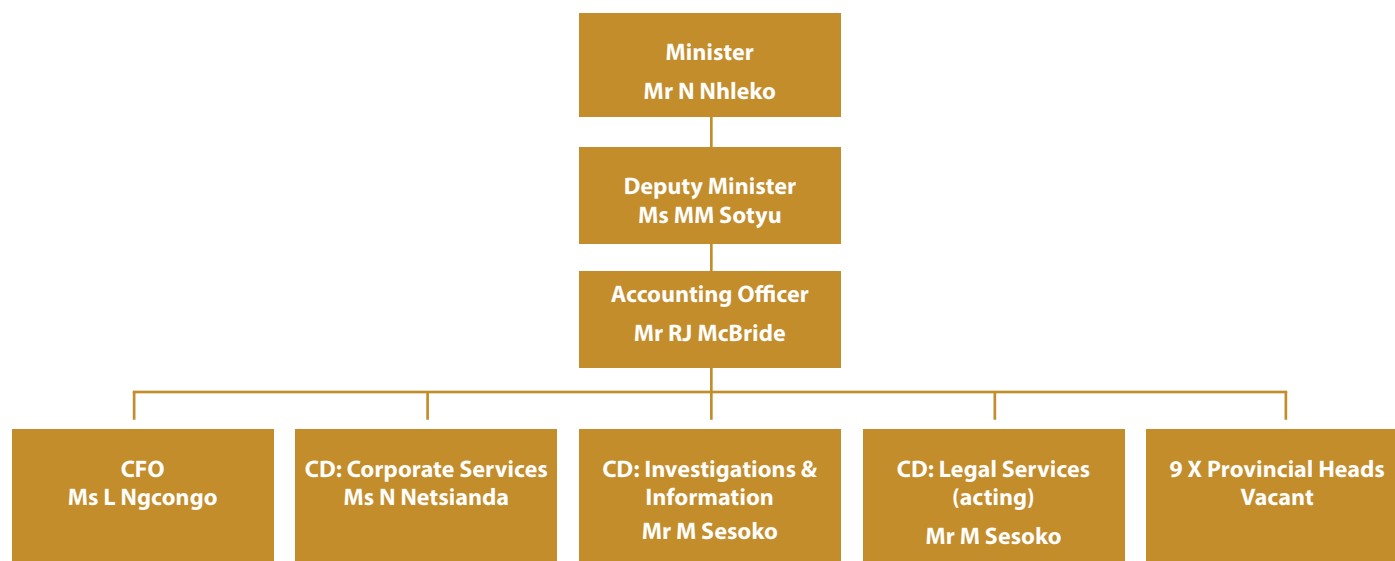
In addition the IPID may investigate matters relating to systemic corruption involving the police.

The IPID's powers in terms of the Act are not only limited to investigation but include the power to subpoena witnesses and recommend cases for prosecution. In addition, the Act places reporting and co-operation obligations on the SAPS/MPS and provides for disciplinary recommendations to be made to the SAPS/MPS and the enforcement of non-compliance with the Act.

The Act also provides for a mechanism of formal relationship with both the Civilian Secretariat of Police and the SAPS. Through this mechanism, the IPID also has the opportunity to provide input into the development of policing policy.



## ORGANISATIONAL STRUCTURE



## ENTITIES REPORTING TO THE MINISTER

The information furnished in this section should correlate with information provided in the related party transactions disclosure note to the financial statements and the information on the entities.

The table below indicates the entities that report to the Minister/MEC.

Name of Entity	Legislative Mandate	Financial Relationship	Nature of Operations
Independent Police Investigative Directorate	Independent Police Investigative Directorate Act, 2011 (Act No. 1 of 2011)		To investigate identified offences allegedly committed by members of the SAPS and MPS
South African Police Service	South African Police Service Act, 1995 (Act No. 68 of 1995)		To create a safe and secure environment for all people in South Africa
Civilian Secretariat for Police	Civilian Secretariat for Police Act, 2011 (Act No. 2 of 2011)		To provide support to the Minister in performing his duties
Private Security Industry Regulatory Authority	Private Security Industry Regulation Act, 2001 (Act No. 56 of 2001)		To provide for the regulation of the Private Security Industry



## **PART B:**

# **PERFORMANCE INFORMATION**

## 1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to page 92 of the Report of the Auditor General, published as Part E: Financial Information.

## 2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

### 2.1 Service Delivery Environment

To assist users of the annual report to gain an understanding of the challenges, successes and other factors that might impact on a department's performance, it is necessary to provide the user with an overview of the context and environment within which the department operated to implement its strategic plan and annual performance plan.

The overview should include:

- A balanced overview of the service delivery environment in which it operated during the reporting period under review and not merely focus on factors that might be offered as 'mitigating circumstance's to support the department's delivery record.
- Comment on services delivered directly to the public, problems encountered by the department when providing the relevant services, and what corrective steps were/are to be taken in dealing with such problems.
- A description of any significant developments, external to the department, that may have impacted either on the demand for the department's services or on the department's ability to deliver those services.

### 2.2 Service Delivery Improvement Plan

The IPID is currently drafting a new Service Delivery Improvement Plan (SDIP) to ensure a geographical focus for the Directorate. The current IPID satellite offices will be transformed into regional and district offices to address the Directorate's strategic outcomes. The emphasis of this strategic re-direction is to enhance reach, coverage and public access permanently.

It is envisaged that the project will be rolled-out over a period of five (5) fiscal years ending in 2019.

We will also establish the minimum standards for each of these offices to enhance public friendliness to promote the Batho Pele principles.

### 2.3 Organisational environment

The activities of the IPID are currently organised into the following programmes:

- Administration (programme 1)
- Investigation and information management (programme 2)
- Legal services (programme 3)

However, the IPID is currently involved in a process of organizational review to ensure the alignment of its functions and/or activities, priorities and programmes to the provisions of the IPID Act. The re-engineering and re-organization of the Directorate will mean organizing its activities into the following four (4) functional programmes:

- Programme 1: Governance and Stakeholder Management
- Programme 2: Corporate Services
- Programme 3: Investigation and Information Management
- Programme 4: Legal Services

The Investigation and Information management programme will be strengthened to ensure compliance with the IPID Act. This includes training, development of guidelines, systems and procedures for investigation and reporting. The information management system will be fully developed and optimally used to ensure that accurate statistical information is available for monthly, quarterly, bi-annual and annual reporting.

The new legislation places stringent obligations on the SAPS and the MPS. These obligations relate to the reporting of matters that must be investigated by the IPID and the implementation of disciplinary recommendations. This in essence means that the capacity of the provincial offices should therefore be increased to perform this duty and administer the new reporting processes.

In addition, the capacity of the directorate as a whole will be subjected to constant development in consequence of the growth of the IPID. To this end, the organization has made great strides in transforming ICD to IPID. This process included:

- Aligning its priorities in line with the spirit of the Constitution and the IPID Act;
- Adopting a more pro-active style of work;
- Internalizing the values and ethos contained in the IPID Act;
- Engaging in a process of organizational renewal (renaming and reorganization); and
- Development of Policies to influence a smooth transition from ICD to IPID;

The IPID has identified these Strategic Priorities as the area of focus for 2013/14. Although some of the strategic priorities may not be achieved in the financial year 2013/14, they will remain priorities in the MTEF period.

- Alignment of planning, budgeting and reporting frameworks;
- Re-engineering and re-organization;
- Integration and alignment of systems, programmes and structures to enhance the capacity of IPID to deliver on its mandate;
- Continue to refocus the Directorate from a complaints driven to investigation driven institution;
- Training and capacity building;
- Development of performance management systems;
- Development of Monitoring and Evaluation tools;
- Obtain Clean Audit;
- Stakeholder management;
- Review of delegation of functions in terms of human resources and finance;
- Influence/feed into policy development within SAPS/MPS; and
- Pilot investigation work on systemic corruption;

As the IPID calibrates its business model and practices to meet the challenge of its new mandate, priority will continue to be placed on change in the organizational culture. In order to achieve this, the directorate will ensure that the following core values continue to inform its work:



- Innovation (proactive, flexible, cost effective and efficient style of work);
- Commitment to Public Service ethos e.g. service excellence, accountability, discipline and adherence to Batho-Pele principles;
- Learning organization (consistent interaction with stakeholders, continuously embrace best practices within the public sector, on-going professional growth and development; and.
- Monitoring high standards of integrity, professionalism and excellence.

#### **2.4 Key policy developments and legislative changes**

There were no changes to policy or legislation affecting the operations of the IPID.

### **3. STRATEGIC OUTCOME ORIENTED GOALS**

The outputs (strategic objectives) of the IPID are directly linked to the following Strategic outcome-oriented goals (outcomes), as reflected in the IPID Strategic Plan for 2013-2016.

**Outcome 3.1:**

**THE IPID IS ACCESSIBLE TO THE PUBLIC**

**Outcome 3.2:**

**THE PERFORMANCE MANAGEMENT SYSTEM OPERATES OPTIMALLY**

**Outcome 3.3:**

**RE-ENGINEERING AND RE-ORGANIZATION OF THE IPID**

**Outcome 3.4:**

**THE IPID PROCESSES CASES EFFICIENTLY**



## 4. PERFORMANCE INFORMATION BY PROGRAMME

### 4.1 PROGRAMME 1: GOVERNANCE AND STAKEHOLDER MANAGEMENT

Purpose: To provide overall management support. It provides policy and strategic leadership to the Directorate. It also enhances IPID's ability to deliver on government priorities and objectives. With the implementation of the new functional structure of the IPID, programme 1 will gradually be expanded to include strategic support to the Directorate.

The programme consists of the following activities and/or components:

#### **Executive support**

This component provides strategic and administrative support to the Executive Director of the IPID. It also coordinates liaison with the minister's office, parliamentary committees and key departmental stakeholders.

Under the new IPID structure, this component has also been expanded to focus on the establishment and maintenance of sectorial and inter-governmental relations and to meet the demands of the additional reporting obligations in terms of the IPID Act.

#### **Corporate governance**

This component focuses on the monitoring and evaluating of performance, and reporting on the attainment of strategic objectives. It also provides risk-management services and ensures compliance with laws, regulations and other prescripts.

#### **Internal audit**

This component provides internal audit services by conducting compliance and performance audits and facilitating internal forensic investigations.

#### **Financial management**

This component provides effective and efficient financial management and supply-chain and asset-management services to the directorate.

#### **Security Management Services**

This component provides security management services by developing and supporting the implementation of security policy, systems and procedures. It provides access, information and physical security and monitors the implementation of information technology policies based on MISS. It also facilitates integrity testing, vetting and security clearance of IPID personnel.

#### **Strategic objectives (outputs)**

The outputs (strategic objectives) of Programme 1 are directly linked to the following three Strategic outcome-oriented goals (outcomes), as reflected in the IPID Strategic Plan for 2013-2018:

#### **Outcome 3.1:**

THE IPID IS ACCESSIBLE TO THE PUBLIC

#### **Outcome 3.2:**

THE PERFORMANCE MANAGEMENT SYSTEM OPERATES OPTIMALLY

#### **Outcome 3.3:**

RE-ENGINEERING AND RE-ORGANIZATION OF THE IPID

In order to contribute to the achievement of these outcomes, Programme 1 focussed on delivering the following three outputs in 2013/14:

Output 3.1.1	STAKEHOLDER MANAGEMENT
Output statement	Regular engagements with and reports to key stakeholders, including the SAPS, MPS, Civilian Secretariat for Police, and other relevant Government and Civil Society Organisations.
Baseline	Stakeholder engagements currently in accordance with framework and operational plan.

Output 3.2.1	UP TO DATE POLICIES AND GUIDELINES
Output statement	Policies and guidelines that – <ul style="list-style-type: none"> <li>(a) inform the standard operating procedures in support of the IPID mandate; and</li> <li>(b) ensure compliance with regulatory and legislative prescripts.</li> </ul>
Baseline	Existing Standard Operating Procedures, Executive Director Guidelines and Human Resource and Finance policies.

Output 3.2.2	PERFORMANCE MANAGEMENT SYSTEM
Output statement	A performance measurement and reporting system that supports management decision making and that enables the IPID to comply with internal and external accountability reporting in line with legislative requirements.
Baseline	Basic performance reporting system in existence and under review.

#### Strategic objectives, performance indicators, planned targets and actual achievements

During the period under review, the Department focussed on communicating with communities through the media by issuing media statements and responses to enquiries. The target of 60 statements and responses was exceeded by 799 statements and responses released in 2013/14. The IPID also ran a radio campaign to inform the public about its mandate. The campaign was broadcast on all South African Broadcasting Corporation (SABC) radio stations in all 11 official languages.

The Department took the matter of stakeholder management seriously such that some offices actually had more engagements, resulting in the target for provincial stakeholder engagement being exceeded.

Although the target for the submission of financial and strategic reports was not met only in regard to the time frames, all the reports were submitted within a day of two of the due dates.

The target in regard to the review of policies was not met due to some of the policies having to be negotiated at the Bargaining Chamber.

#### Performance indicators

Programme / Sub-programme:					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Number of media statements and responses released annually	1016	60 media statements and responses released annually	59 media statements and 57 telephonic responses and 743 written media responses released annually. The total was 859	799	Target met. The performance was higher due to more enquiries as a result high profile cases investigated by the Department.
Number of formal engagements with key stakeholders held annually	5	12	12	-	Target met
Number of formal engagements at Provincial level with key stakeholders held annually	New indicator	108	118	10	Target met. Some provincial offices reported more engagements with stakeholders

Programme / Sub-programme:					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Percentage of identified policies reviewed by department per annum	31%	70%	0% No policies were reviewed	-70%	Target not met.  Most policies are still being negotiated at the Bargaining Chamber
Number of performance monitoring and evaluation reports submitted to ensure attainment of strategic objectives	Established Corporate Governance Component	13	13	-	Target met
Number of financial and strategic reports submitted within the prescribed dates for reporting	16	22	15	-7	Target not met.  22 reports were submitted, with 7 reports submitted outside the targeted time frame

#### Strategy to overcome areas of under performance

Systems have been put in place to track the due dates for the submission of reports within the time frames. Furthermore, the provincial offices are now required to keep records of stakeholder engagements and formalise them by ensuring that minutes are kept.

#### Changes to planned targets

There were no changes to planned targets.

#### Linking performance with budgets

The position of Executive Director was only filled in March 2014, and other positions in the same Programme such as Director: Executive Support, Director Internal audit and Director Corporate Governance are amongst vacant positions that have not been filled hence the Compensation of Employees and the administrative costs related to those vacancies were affected and impacted the overall spending of the Programme. The Skills Development transfer which is classified under Transfer and Subsidies was also not transferred following the National Treasury's instruction to hold it and that has result in under-spending the allocated transfer budget.

#### Sub-programme expenditure

Sub- Programme Name	2013/2014			2012/2013		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Programme 1: Administration</b>						
Department Management	7 056	6 365	691	8 991	8 991	-
Corporate Services	54 828	53 441	1 387	48 507	35 628	12 879
Office Accommodation	9 592	9 592	-	9 092	9 092	-
Internal Audit	2 963	2 391	572	2 816	2 794	22
Finance Administration	14 611	13 803	808	17 120	13 753	3 367
<b>Total Programme 1</b>	<b>89 050</b>	<b>85 592</b>	<b>3 458</b>	<b>86 526</b>	<b>70 258</b>	<b>16 268</b>

NB: For the purpose of our budget as contained in the current ENE and reporting on same, Programme 1 and 2 will be presented as one programme under the Budget Programme: Administration.

## 4.2 PROGRAMME 2: CORPORATE SERVICES

Purpose: To provide corporate management services, information communication technology, communication and marketing, auxiliary services, human resource management and development services to the Directorate.

The programme consists of the following activities and/or components:

### Information Communication Technology

This component provides communication services by developing, implementing and maintaining Information Communication Technology strategy and advisory services. It is responsible for development and implementation of a master system plan and strategy for Information System Security. It develops, manages and co-ordinates website, intranet and integrated ICT infrastructure. It also provides business continuity services.

### Communication and Marketing

This component provides communication and marketing services by developing, implementing and maintaining policy framework for knowledge management and communications. It provides learning and knowledge management services, co-ordinates and manages the distribution of information to stakeholders, provides internal and external communications, library services and promotes public awareness on the IPID.

### Auxiliary Services

This component provides auxiliary services by managing service level agreement with Department of Public Works regarding the renting

of new property and maintenance of existing property. Oversee the rendering of cleaning services, render messenger services, provides record management services, manages fleet services and render switchboard services.

### Human Resource Management and Development Services

This component provides human resource management and development services through development of human resource policies and strategies. It ensures alignment of organizational structures to the strategic plan. It is responsible for the rendering of efficient and effective human resource administration services. It promotes the optimal development and utilization of human resources and manages labour relations and co-ordinates employee health wellness programmes.

### Strategic objectives (outputs)

The outputs (strategic objectives) of Programme 2 are directly linked to the following three Strategic outcome-oriented goals (outcomes), as reflected in the IPID Strategic Plan for 2013-2018:

#### Outcome 3.1:

THE IPID IS ACCESSIBLE TO THE PUBLIC

#### Outcome 3.2:

THE PERFORMANCE MANAGEMENT SYSTEM OPERATES OPTIMALLY

#### Outcome 3.3:

RE-ENGINEERING AND RE-ORGANIZATION OF THE IPID

In order to contribute to the achievement of these outcomes, Programme 2 focussed on delivering the following six outputs in 2013/14:

Output 3.1.2	PUBLIC AWARENESS CAMPAIGNS
Output statement	Campaigns that increase public awareness of the provisions of the IPID Act, the Directorate's functions and the utilisation of its services.
Baseline	Regular public awareness events and media campaigns in all provinces.
Output 3.2.1	UP TO DATE POLICIES AND GUIDELINES
Output statement	Policies and guidelines that – (a) inform the standard operating procedures in support of the IPID mandate; and (b) ensure compliance with regulatory and legislative prescripts.
Baseline	Existing Standard Operating Procedures, Executive Director Guidelines and Human Resource and Finance policies.
Output 3.2.2	PERFORMANCE MANAGEMENT SYSTEM
Output statement	A performance measurement and reporting system that supports management decision making and that enables the IPID to comply with internal and external accountability reporting in line with legislative requirements.
Baseline	Basic performance reporting system in existence and under review.
Output 3.2.3	CAPACITY BUILDING
Output statement	The IPID is sufficiently capacitated and has effective skills development plans and functional retention strategy to enable the execution of its mandate.
Baseline	Development and/or review of existing Human Resource Development and Skills Development Plan and retention strategy.



Output 3.2.4	ICT PLAN AND GOVERNANCE FRAMEWORK
Output statement	The IPID uses technology effectively to support its business processes to improve service delivery.
Baseline	The development of an ICT plan which is aligned to the government wide ICT governance framework.

Output 3.3.1	REALIGNMENT OF THE ORGANISATIONAL FUNCTIONS AND STRUCTURE
Output statement	Realignment of organizational functions and structure to meet the strategic imperatives of the organisation.
Baseline	Realigned Programmes and functions in line with the IPID Act, PFMA and Regulations.

**Strategic objectives, performance indicators, planned targets and actual achievements**

The IPID exceeded its target for conducting community outreach events and the HR Plan was approved. The organisational structure was reviewed and sent to the Minister of Police for approval. Even though ICT Plan and governance framework were developed – they were not signed due to the departure of the previous Executive Director.

**Performance indicators**

CORPORATE SERVICES					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Number of community outreach events conducted annually	306	306	337	31	Target met.  Some provinces did more than their planned outreach events. More communities were reached
% vacancy rate	12.9%	Below 10%	12%	2%	Target not met.  Currently the Department has 42 vacant posts as at 31 March 2014 of which 11 Chief Director posts have been vacant from 01 April 2012, the process of filling them is underway. There are 31 other posts at various levels that became vacant due to staff leaving the employ of IPID in the form of transfers to other Departments or resignations
% females at senior management level	40%	50%	36%	-14%	Target not met.  Currently the Department has 42 vacant posts as at 31 March 2014 of which 11 Chief Director posts have been vacant from 01 April 2012, the process of filling them is underway. Priority will be given to females at senior management to address the required target
% of staff complement consisting of people with disabilities	1%	2%	0.86%	-1.14%	Target not met.  Currently the Department has 42 vacant posts as at 31 March 2014 of which 11 Chief Director posts have been vacant from 01 April 2012, the process of filling them is underway. Priority will be given to people with disabilities
Human Resource Management Plan updated and implemented annually	New indicator	Updated and implemented Human Resource Plan	Approved HR Plan	-	Target met

CORPORATE SERVICES					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
ICT plan and governance framework approved	New indicator	March 2014	Plan developed but not yet approved	-	Draft ICT Governance framework under final review. Document to be submitted to ED for approval
Number of reviews of Organisational Structure	New indicator	1	1	-	Target met

#### Strategy to overcome areas of under performance

The 11 vacant Chief Director posts and other Senior Management Service (SMS) posts were advertised at the beginning of March 2014. The process of filling them is currently underway. Most of the failure to meet targets is directly related to the vacant management posts which have since been advertised. The Employment Equity Plan will be developed in order to enable the IPID to designate posts to be filled by women and people with disabilities.

The ICT Plan and Governance Framework will be further consulted on and recommended for approval by the Executive Director. The organisational structure has been reviewed in order to ensure alignment with all strategic documents, such as budget structure, APP and Strategic Plan.

#### Linking performance with budgets

The position of Executive Director was only filled in March 2014, and other positions in the same Programme such as Director: Executive Support, Director Internal audit and Director Corporate Governance are amongst vacant positions that have not been filled hence the Compensation of Employees and the administrative costs related to those vacancies were affected and impacted the overall spending of the Programme. The Skills Development transfer which is classified under Transfer and Subsidies was also not transferred following the National Treasury's instruction to hold it and that has result in under-spending the allocated transfer budget.

#### Changes to planned targets

There were no changes to planned targets.

#### Sub-programme expenditure

Sub- Programme Name	2013/2014			2012/2013		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Programme 1: Administration						
Department Management	7 056	6 365	691	8 991	8 991	-
Corporate Services	54 828	53 441	1 387	48 507	35 628	12 879
Office Accommodation	9 592	9 592	-	9 092	9 092	-
Internal Audit	2 963	2 391	572	2 816	2 794	22
Finance Administration	14 611	13 803	808	17 120	13 753	3 367
<b>Total Programme 1</b>	<b>89 050</b>	<b>85 592</b>	<b>3 458</b>	<b>86 526</b>	<b>70 258</b>	<b>16 268</b>

NB: For the purpose of our budget as contained in the current ENE and reporting on same, Programme 1 and 2 will be presented as one programme under the Budget Programme: Administration.

### 4.3 PROGRAMME 3: INVESTIGATION AND INFORMATION MANAGEMENT

Purpose: To conduct investigations and coordinate the investigation process through the development of investigative policy and strategic frameworks. It also manages reporting on investigative performance and statistical information.

The programme consists of the following components:

#### Investigation management

This component manages and conducts investigations in line with provisions of the IPID Act, Regulations, Executive Director Guidelines and standard operating procedures.

#### Information management

This component manages information and knowledge-management services through the development and maintenance of a case flow management system, a database and the analysis and compilation of statistical information.

#### Provincial coordination

This component develops investigation frameworks, norms and standards, and coordinates implementation thereof.

#### Strategic objectives (outputs)

The outputs (strategic objectives) of Programme 3 are directly linked to the following Strategic outcome-oriented goal (outcome), as reflected in the IPID Strategic Plan for 2013-2018:

#### Outcome 3.4:

THE IPID PROCESSES CASES EFFICIENTLY

Output 3.4.1	CASE MANAGEMENT SYSTEM
Output statement	A system for the registration, allocation, tracking, management and reporting of investigations, the generation of reliable statistical information and the provision of general business intelligence.
Baseline	Flow-centric case management system and IPID database.

Output 3.4.1	COMPLETED INVESTIGATIONS
Output statement	Completed investigations of cases in line with the provisions of the IPID Act, Regulations and Executive Director Guidelines.
Baseline	Investigations completed within 90 days, except in terms of Systemic Corruption cases which is 12 months.

Output 3.3.3	RECOMMENDATION REPORTS :
Output statement	3.1 Recommendation reports to the SAPS/MPS regarding possible disciplinary steps; and  3.2 Recommendation reports to the National Prosecuting Authority regarding possible criminal prosecution.
Baseline	Recommendation reports provided within 30 days of completion of all investigations.

Output 3.3.4	FEEDBACK REPORTS ON INVESTIGATIONS
Output statement	Feedback letters to complainants, victims and referral authorities regarding the outcome of investigations.
Baseline	Feedback reports provided within 30 days of closure of all investigations.

#### Strategic objectives, performance indicators, planned targets and actual achievements

Completion investigation refers to a situation where the IPID completed all the investigative work to obtain evidence in order to make recommendations (criminal and disciplinary) on the case. The Free State completed 96% of its workload, followed by North West with 90% and Northern Cape (87%). The IPID nationally achieved an overall completion rate of 56% in the period under review – which is 2% higher than the previous corresponding period.

Even though some of the targets that are measured in days were not met, when considering the totality of work completed, the performance was higher than the targets.

Compliance with some of the targets was made difficult by having to trace witnesses and complainants – a situation which is outside the control of the IPID. In most instances, the IPID had to rely on external experts for technical reports such as toxicology, DNA, post mortems. The delays in obtaining these reports affected the IPID's ability to comply with the 90 day turn-around time. Complainants sometimes change addresses without informing the IPID, which made it difficult to provide feedback within 30 days.

INVESTIGATION AND INFORMATION MANAGEMENT					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Percentage of cases allocated within 72 hours of receipt	86%	98% of cases received	87% 4 972 cases registered and allocated within 72 hours out of 5 745 reported	11%	Target not met.  Due to increased workload.
Number of statistical reports generated on the number and type of cases investigated, recommendations made and the outcomes thereof	18	18	18	-	Target met
Percentage of investigations of deaths in custody completed within 90 days	New target	65% of all registered cases	50%  A total of 168 cases were completed within 90 days out of a total workload of 339 cases.	15%	Target not met.  An overall total of 235 (69%) cases out of 339 cases were completed of which 67 were completed after 90 days, this was due to unavailability or tracing of witnesses
Percentage of investigations of deaths as result of police action completed within 90 days	New target	65% of all registered cases	31%  A total of 181 cases were completed within 90 days. A total workload was 593 cases	34%	Target not met.  An overall total of 379 (64%) out of 593 cases were completed of which 198 were completed after 90 days, this was due to unavailability or tracing of witnesses
Percentage of investigations of discharge of an official firearm by a police officer completed within 90 days	New target	55% of all registered cases	24%  A total of 186 cases were completed within 90 days. A total workload was 769 cases	31%	Target not met.  An overall total of 422 (55%) out of 769 cases were completed of which 236 were completed after 90 days, this was due to unavailability or tracing of witnesses
Percentage of investigations of rape by a police officer completed within 90 days	New target	55% of all registered cases	47%  A total of 77 cases were completed within 90 days. A total workload was 163 cases	8%	Target not met.  An overall total of 128 (79%) cases out of 163 cases were completed of which 51 were completed after 90 days, this was due to unavailability or tracing of witnesses
Percentage of investigations of rape whilst in police custody completed within 90 days	New target	55% of all registered cases	33%  A total of 9 cases were completed within 90 days. A total workload was 27 cases	22%	Target not met.  An overall total of 12 (44%) out of 27 cases were completed of which 3 were completed after 90 days, this was due to unavailability or tracing of witnesses. The Directorate also had capacity constraints

INVESTIGATION AND INFORMATION MANAGEMENT					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Percentage of investigations of torture completed within 90 days	New target	50% of all registered cases	8%  A total of 8 cases were completed within 90 days. A total workload was 106 cases	42%	Target not met.  An overall total of 30 (28%) cases out of 106 cases were completed of which 22 were completed after 90 days, this was due to unavailability or tracing of witnesses and delays of technical reports which were outside the control of IPID. The Directorate also had capacity constraints
Percentage of investigations of assault completed within 90 days	New target	50% of all registered cases	26%  A total of 1 551 cases were completed within 90 days. A total workload was 5 959 cases	24%	Target not met.  An overall total of 3 322 (56%) cases were completed of which 1 771 were completed after 90 days; this was due to unavailability or tracing of witnesses. The Directorate also had capacity constraints
Percentage of investigations of corruption completed within 90 days	New target	55% of all registered cases	19%  30 cases were completed within 90 days. A total workload was 161 cases	36%	Target not met.  An overall total of 84 (52%) cases out of 161 cases were completed, of which 54 were completed after 90 days, this was due to unavailability or tracing of witnesses. The Directorate also had capacity constraints
Number of cases of systemic corruption identified for possible investigation approval, within a financial year	New target	18	12	6	Target not met.  In some provinces no systemic corruption cases were identified. The Directorate also had capacity constraints
Percentage of approved systemic corruption investigations completed within 12 months	New target	20% of approved cases	8%  1 out of 12 approved cases completed	12%	Target not met.  The approval of systemic corruption was done on the third and the fourth quarter and investigations are on-going. The Directorate also had capacity constraints
Percentage reduction of annual brought forward investigations (excluding cases of systemic corruption)	New target	50% of investigations brought forward from the previous financial year	52% decrease of the annual brought forward from the previous financial 2012/2013, brought forward was 3078 and at the end of the financial year 2013/2014 was at 1475	2%	Target met.  There was a concerted effort to improve the completion of annual brought forward cases which resulted in the target being exceeded



INVESTIGATION AND INFORMATION MANAGEMENT					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Percentage by which backlog investigations are reduced annually (excluding cases of systemic corruption)	New target	50% reduction of the backlog cases brought forward from the previous financial year	13% decrease of the backlog cases from the previous financial year 2012/2013 was 236 and at the end of the financial year 2013/2014 was at 206	37%	Target not met.  Due to unavailability or tracing of witnesses, and increased workload. The Directorate also had capacity constraints
Disciplinary recommendation reports referred to SAPS/MPS within 30 days of completion of relevant investigations	New target	100% of relevant completed cases	88%  776 out of 884 completed cases referred within 30 days	12%	Target not met.  All 884 recommendations reports were forwarded to the SAPS, 108 were referred after 30 days. The Directorate also had capacity constraints
Criminal recommendation reports referred to the NPA within 30 days of completion of relevant investigations	New target	100% of relevant completed cases	86%  1264 out of 1470 completed cases	14%	Target not met.  All 1 470 recommendations reports were forwarded to the NPA, 206 were referred after 30 days. The Directorate also had capacity constraints
Feedback reports regarding the outcome of investigations provided within 30 days of completion thereof	New target	All completed cases	99.5%  5 019 reports out of 5 045 completed cases	0.5%	Target not met.  Due to unavailability and tracing of the complainants. The Directorate also had capacity constraints
Feedback reports regarding the outcome of investigations provided within 30 days of closure thereof	100%	All closed cases	92.8%  2 105 reports out of 2 269 closed cases	7.2%	Target not met.  Due to unavailability and tracing of the complainants. The Directorate also had capacity constraints

### Strategy to overcome areas of under performance

Executive Director Directives will be issued to set individual targets for investigators to improve performance. Training and mentoring of investigators will continue to receive priority

The performance of this programme is directly related to the workload that it has in comparison to the resources at the disposal of the IPID. The Department has drafted an Expansion Strategy to deal with capacity problems over the next Medium Term Expenditure Framework (MTEF). The IPID will approach the National Treasury to fund it for rollout to begin in the 2015/16 financial year. If funded, this strategy will also address the accessibility and presence of the IPID closer to the communities it serves, particularly rural areas.

### Changes to planned targets

There were no changes to planned targets.

### Linking performance with budgets

Ten (10) SMS positions including nine (9) Provincial Heads and Programme Manager have been advertised in March 2014; however were budgeted for in the year under review, hence under-spending in Compensation of Employees. The recruitment has been started with the hope of filling the vacancies in the second quarter of the financial year 2014/15. The continued delay in implementation of planned satellite offices due to the slow procurement process that is done in conjunction with DPW has also affected the financial performance of this Programme.

### Sub-programme expenditure

Sub- Programme Name	2013/2014			2012/2013		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Programme 2: Investigation & Information Management						
Investigation Management	117 129	98 207	18 922	96 640	92 880	3 760
Information Management	4 345	4 212	133	6 640	2 283	4 357
Policy Development & Provincial Coordination	2 017	1 824	193	2 687	1 846	841
<b>Total Programme 2</b>	<b>123 491</b>	<b>104 243</b>	<b>19 248</b>	<b>105 967</b>	<b>97 009</b>	<b>8 958</b>

## 4.4 PROGRAMME 4: LEGAL SERVICES

Purpose: This programme manages and facilitates the provision of investigation advisory services and provides legal and litigation support.

The programme consists of the following activities and/or components:

### Legal and litigation advisory services

This component manages the directorate's legal obligations, coordinating civil and labour litigation matters and coordinates the granting of policing powers to investigators.

### Investigation advisory services

The purpose of this component is to provide support during and after the completion of investigations. It provides legal advice and guidance to investigators, and ensures that all cases that are forwarded for prosecution comply with the requirements of the prosecution process.

### Strategic objectives (outputs)

Although Programme 4 was established as a support function, specific strategic objectives were set for this programme and they became its focus in 2013/14:

Output 1	LEGAL AND LITIGATION ADVISORY SERVICES
Output statement	Management of the Directorate's legal obligations, civil and labour litigation matters and the granting of policing powers to investigators.
Baseline	Processing applications of policing powers within 5 working days.
Output 2	INVESTIGATION ADVISORY SERVICES
Output statement	Legal advice and guidance to investigators during and after the completion of investigations.
Baseline	Providing advice to investigators within 24 hours followed by written advice within 48 hours of request.

### Strategic objectives, performance indicators, planned targets and actual achievements

This programme became under-resourced due the redeployment of key staff to the core function in acting capacities. The head of Legal Services was appointed to act as the Executive Director and two Directors were appointed to act as provincial heads in Gauteng and Eastern Cape in order to fill the vacuum caused by vacancies in the core function in the provinces. One Senior Legal Admin Officer was transferred to another department. This left the programme severely under staffed with only one permanent member of staff.

The programme was able to deal with a total of five (5) litigation matters against the Department. These were matters relating to unlawful arrest. There was extensive interaction with the Office of the State Attorney. The matters are still sub *judice*.

Additionally, twenty (20) legal opinions were provided to the Department in relation to Promotion of Access to Information Act No 2 of 2000 requests from the media and other parties.

### Performance indicators

LEGAL SERVICES					
Performance Indicator	Actual Achievement 2012/2013	Planned Target 2013/2014	Actual Achievement 2013/2014	Deviation from planned target to Actual Achievement for 2013/2014	Comment on deviations
Percentage of contracts and service level agreements finalized within 30 working days of request	44%	90% of contracts and SLA's finalised	53% completed (total of 43 were received. 23 completed within 30 days	37%	Target not met.  Overall 32 completed (74%) – nine (9) completed after 30 days due to capacity constraints. Some positions were vacant during the period under review and some officials were acting in other positions within the core business
Percentage of legal opinions provided to the directorate within 21 working days of request	60%	90% of legal advice provided	66.6% completed (total of 24 were received. 16 completed within 21 working days	23%	Target not met.  Overall 20 completed (83%) – four (4) completed after 21 days due to capacity constraints. Some positions were vacant during the period under review and some officials were acting in other positions within the core business
Percentage of policies reviewed for legal compliance within 21 working days of request	New	90% of policies reviewed	0%	90%	Target not met.  No requests were received for review
Number of practice notes and directives (bulletin) produced and issued	New	4	No practice notes or bulletins were produced for the period under review	-4	Target not met.  Due to due to capacity constraints. Some positions were vacant during the period under review and some officials were acting in other positions within the core business
Percentage of legal advice provided to investigators within 24 hours followed by written advice within 48 hours of request	100%	90% of legal advice provided	30% completed (total of 10 were received. 3 completed within 48 hours	-60%	Target not met.  Overall 9 completed (90%) – six (6) completed after 48 hours due to capacity constraints. Some positions were vacant during the period under review and some officials were acting in other positions within the core business
Percentage of applications for policing powers processed within 5 working days of request	New	100% of applications processed	100% of applications for policing powers were processed	-	Target met

### Strategy to overcome areas of under performance

The filling of vacant posts which started at the beginning of March 2014, will address the capacity constraints experienced by this programme. The filling the posts is expected to be completed by the end of August 2014.

### Changes to planned targets

There were no changes to planned targets.

### Linking performance with budgets

Amongst the vacancies in the Programme, two (2) SMS positions, Directors Legal Services have been advertised in March 2014 with an intention to fill them before end of the first quarter in the 2014/15 financial year. These vacancies have affected the spending pattern of the Programme including the administrative costs to all reported vacant positions.

### **Sub-programme expenditure**

Sub- Programme Name	2013/2014			2012/2013		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Programme 3: Legal Services						
Legal Support	2 488	2 182	306	1 332	1 332	-
Investigation Advisory Services	1 962	1 124	838	4 073	2 850	1 223
Total Programme 3	4 450	3 306	1 144	5 405	4 182	1 223
<b>TOTAL IPID</b>	<b>216 991</b>	<b>193 141</b>	<b>23 850</b>	<b>197 898</b>	<b>171 449</b>	<b>26 449</b>

## **5. TRANSFER PAYMENTS**

### **5.1. Transfer payments to public entities**

Transfer payments were budgeted for by the Directorate; however, no payments were made due to the National Treasury instruction.

The table below reflects the transfer payments which were budgeted for in the period 1 April 2013 to 31 March 2014, but no transfer payments were made.

Name of transferee	Purpose for which the funds were to be used	Amount budgeted for (R'000)	Amount transferred (R'000)	Reasons why funds were not transferred
<b>SASSETA</b>	<b>Skills Development Levy</b>	<b>136</b>	<b>-</b>	<b>As per National Treasury instruction all payments were withheld</b>

## **6. CONDITIONAL GRANTS**

### **6.1. Conditional grants and earmarked funds paid**

The table below describes each of the earmarked funds paid by the department.

#### **Earmarked funds:**

Department to whom the earmarked funds have been transferred	National Department of Public Works
Purpose of the funds	Paid for office accommodation and municipal services





# **STATISTICAL REPORT**



## 1. INTRODUCTION

The purpose of this report is to outline the investigative activities of the Independent Police Investigative Directorate for the 2013/2014 financial year. The report details the number and type of cases investigated; the recommendations made as well as the outcome of those recommendations, in accordance with Section 9(n) of the IPID Act. This report seeks to present a factual analysis of cases reported in terms of Section 28 of the IPID Act and the recommendations made to address them.

## 2. THE MANDATE OF THE IPID IN RESPECT OF INVESTIGATIONS AND RECOMMENDATIONS

In terms of Section 28(1) of the IPID Act, Act 1 of 2011, the IPID is obligated to investigate the following matters:

- (a) any deaths in police custody;
- (b) deaths as a result of police actions;
- (c) any complaint relating to the discharge of an official firearm by any police officer;
- (d) rape by a police officer, whether the police officer is on or off duty;
- (e) rape of any person while that person is in police custody;
- (f) any complaint of torture or assault against a police officer in the execution of his or her duties;
- (g) corruption matters within the police initiated by the Executive Director on his or her own, or after the receipt of a complaint from a member of the public, or referred to the Directorate by the Minister, an MEC or the Secretary, as the case may be; and
- (h) any other matter referred to it as a result of a decision by the Executive Director, or if so requested by the Minister, an MEC or the Secretary as the case may be, in the prescribed manner.

In terms of Section 28(2) of the IPID Act 1 of 2011, the IPID may investigate matters relating to systemic corruption involving the police.

In addition to the above provision of the IPID Act 1 of 2011, Section 29 of the Act places an obligation on members of the South African Police Service (SAPS) and Municipal Police Service (MPS), to report all matters referred to in Section 28(1) (a) to (g) to the IPID immediately upon becoming aware of such a matter and within 24 hours, forward the said report in writing to the IPID. After investigation the IPID makes appropriate recommendations to the SAPS/MPS which in turn must initiate disciplinary proceedings in compliance with Section 30 of the Act. The SAPS is required in terms of Section 30 to report to the Minister of Police on the recommendations forwarded to it by the IPID. The IPID is further required in terms of Section 7 of the Act to refer recommendations to the Director of Public Prosecutions (DPP) and report to the Minister of Police on such recommendations and the outcome thereof.

Section 33 of the IPID Act provides for penalties for failure to comply with provisions of the Act, for hindering and interfering with the work of the IPID.

## 3. SECTION A: CASE INTAKE IN TERMS OF THE IPID MANDATE

The following is a statistical breakdown of the cases reported to the Directorate, the recommendations made and the manner in which the Directorate disposed of the cases it investigated during the period 01 April 2013 to 31 March 2014.

A total of 5 745 cases were reported to the IPID during the reporting period. The majority of the cases fall within Section 28(1)(a) to (h). Of these, 3 916 were assault cases, 429 cases were complaints of the discharge of official firearm(s), 390 were incidents of deaths as a result of police action, 374 were cases of other criminal matters, and 234 incidents of deaths in police custody.

Table 1(a) below depicts the total number of the cases reported in the period under review.

Table 1(a): Intake for the period under review	Incident(s)
Section 28(1)(a)-deaths in police custody	234
Section 28(1)(b)-deaths as a result of police action	390
Section 28(1)(c)-complaint of the discharge of official firearm(s)	429
Section 28(1)(d)-rape by police officer	121
Section 28(1)(e)-rape in police custody	19
Section 28(1)(f)-torture	78
Section 28(1)(f)-assault	3916
Section 28(1)(g)-corruption	84
Section 28(1)(h)-other criminal matter(s)	374
Section 28(1)(h)-misconduct	23
Section 28(2)-systemic corruption	12
Non-compliance with Section 29 of IPID Act	65
<b>Total</b>	<b>5745*</b>

\*These numbers refer to cases involving both the SAPS and MPS

Table 1(b) shows that there was a decrease in the number of cases reported when compared to the previous reporting period (2012/13). There was a decrease in most categories except in cases of torture. Twelve cases of systemic corruption were identified and registered during the reporting period. A substantial decrease was noted in misconduct cases (51%), non-compliance with IPID Act (49%), and complaints of the discharge of official firearms (36) and corruption (30%).

<b>Table 1(b): Intake comparisons</b>	<b>2012-2013</b>	<b>2013-2014</b>	<b>Percentage changes</b>
Section 28(1)(a)-deaths in police custody	275	234	-15%
Section 28(1)(b)-deaths as a result of police action	431	390	-10%
Section 28(1)(c)-complaint of the discharge of official firearm(s)	670	429	-36%
Section 28(1)(d)-rape by police officer	146	121	-17%
Section 28(1)(e)-rape in police custody	22	19	-14%
Section 28(1)(f)-torture	50	78	56%
Section 28(1)(f)-assault	4131	3916	-5%
Section 28(1)(g)-corruption	120	84	-30%
Section 28(1)(h)-other criminal matter	703	374	-47%
Section 28(1)(h)-misconduct	47	23	-51%
Section 28(2)-systemic corruption	6	12	100%
Non-compliance with Section 29 of IPID Act	127	65	-49%
<b>Total</b>	<b>6728</b>	<b>5745</b>	<b>-15%</b>

The IPID investigated 84 cases in which MPS were involved. In 5 661 cases the SAPS were allegedly involved in various criminal offences and misconduct. Of the 84 cases that involved the MPS, there were 47 allegations of assault, 13 other criminal matters, 11 incidents of deaths as a result of police (MPS) action and 10 complaints of the discharge of firearms.

<b>Table 1(c): Intake for the period under review</b>	<b>SAPS</b>	<b>MPS</b>
Section 28(1)(a)-deaths in police custody	233	1
Section 28(1)(b)-deaths as a result of police action	379	11
Section 28(1)(c)-complaint of the discharge of official firearm(s)	419	10
Section 28(1)(d)-rape by police officer	120	1
Section 28(1)(e)-rape in police custody	19	-
Section 28(1)(f)-torture	77	1
Section 28(1)(f)-assault	3869	47
Section 28(1)(g)-corruption	84	-
Section 28(1)(h)-other criminal matter	361	13
Section 28(1)(h)-misconduct	23	-
Section 28(2)-systemic corruption	12	-
Non-compliance with Section 29 of IPID Act	65	-
<b>Total</b>	<b>5661</b>	<b>84</b>

It is evident that 99% of the cases reported to the IPID involved SAPS members and 1% involved MPS members. Figure 1 shows the comparison of the cases reported against the SAPS and MPS.

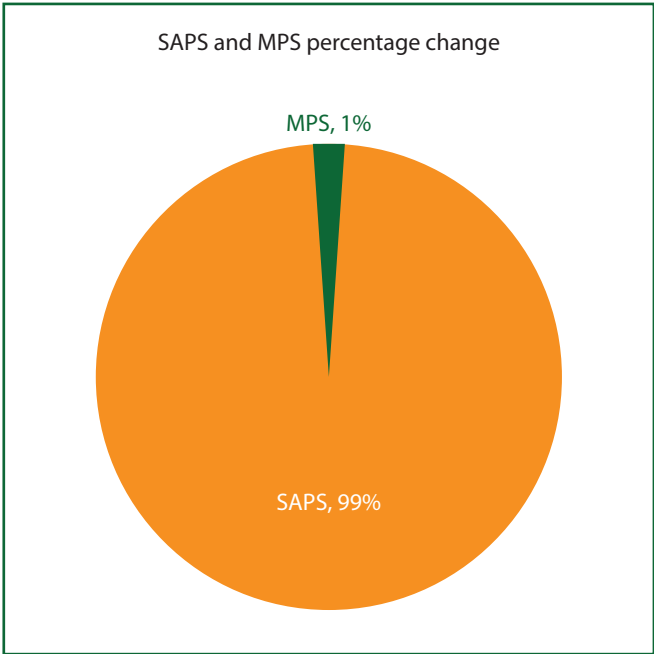


FIGURE 1

Most of the cases reported are allegations of assault, followed by other criminal matters, complaints of the discharge of official firearms and deaths in police custody. Common assault accounted for most of the assault allegations reported.

Figure 2 below shows the percentage of cases reported as per section 28 and 33 of the IPID Act, 68% of the reported cases were assault cases, followed by cases of the discharge of official firearms (8%) and both other criminal matters and death in police custody have 7%.

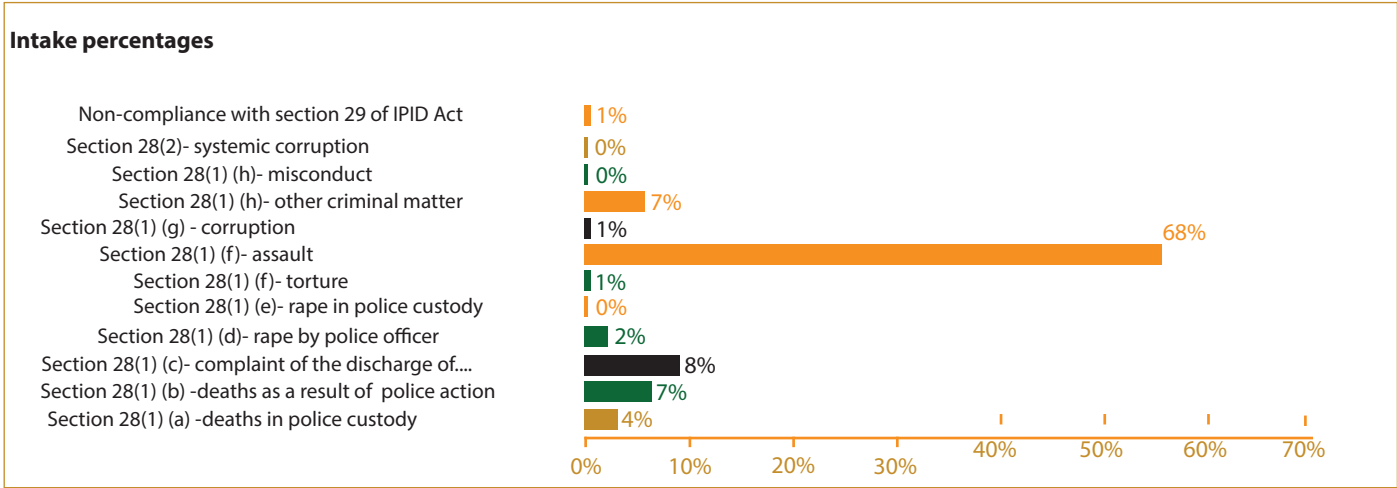


FIGURE 2

The majority of the intake were assault cases (3 916) in total and were mostly reported in the Western Cape (1 046), Free State (705) and Gauteng (531).

The second most prevalent types of cases reported complaints of the discharge of official firearms (429) and these were reported mostly in the Eastern Cape (169), KwaZulu-Natal (85) and Limpopo (54).

The third largest category of reported cases were other criminal matters/misconduct (397), which include arson, fraud, defeating the ends of justice and the majority of the incidents were reported in the Gauteng Province (149), Free State (71) and Western Cape (63).

The fourth most prevalent reported matters are incidents of deaths as a result of police action (390). Most incident(s) happened in KwaZulu-Natal (106) and Gauteng (105).

Table 2 shows the total number of cases reported per province and per category for the period under review. The Western Cape reported the highest number of cases (1 254), followed by Gauteng (908) and Free State (861).

Province	Deaths in police custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Assault	Torture	Corruption	Other criminal matters/ misconduct	Systemic corruption	Compliance with IPID Act no 1 of	Total
Eastern Cape	33	59	169	12	2	434	30	4	23	1	5	<b>772</b>
Free State	12	22	20	10	-	705	-	16	71	2	3	<b>861</b>
Gauteng	47	105	15	22	2	531	10	12	149	-	15	<b>908</b>
KwaZulu-Natal	53	106	85	29	3	368	19	30	11	1	5	<b>710</b>
Limpopo	26	21	54	9	-	206	-	5	4	2	1	<b>328</b>
Mpumalanga	23	17	16	4	6	202	13	2	40	2	26	<b>351</b>
North West	8	18	15	5	-	230	2	6	14	-	1	<b>299</b>
Northern Cape	5	8	9	5	1	194	-	7	22	4	7	<b>262</b>
Western Cape	27	34	46	25	5	1046	4	2	63	-	2	<b>1254</b>
<b>Total</b>	<b>234</b>	<b>390</b>	<b>429</b>	<b>121</b>	<b>19</b>	<b>3916</b>	<b>78</b>	<b>84</b>	<b>397</b>	<b>12</b>	<b>65</b>	<b>5745</b>

### 3.1. INTAKE AS PER SECTION 28(1)(a) AND (b) – DEATHS IN POLICE CUSTODY AND DEATHS AS A RESULT OF POLICE ACTION

It is evident that deaths as a result of police action were higher compared to deaths in police custody. KwaZulu-Natal is the largest recipient with 106 incidents, followed by Gauteng with 105 incidents and Eastern Cape with 59 incidents. Table 3(a) below shows the number of deaths in police custody and deaths as a result of police action reported per province for the period under review as well as percentages.

Province	Deaths in police custody		Deaths as a result of police action		Total incidents	
Eastern Cape	33	14%	59	15%	92	15%
Free State	12	5%	22	6%	34	5%
Gauteng	47	20%	105	27%	152	24%
KwaZulu-Natal	53	23%	106	27%	159	26%
Limpopo	26	11%	21	5%	47	8%
Mpumalanga	23	10%	17	4%	40	6%
North West	8	3%	18	5%	26	4%
Northern Cape	5	2%	8	2%	13	2%
Western Cape	27	12%	34	9%	61	10%
<b>Total</b>	<b>234</b>	<b>100%</b>	<b>390</b>	<b>100%</b>	<b>624</b>	<b>100%</b>

In most provinces there was a decrease in deaths in police custody with the exception of the KwaZulu-Natal. Nationally there was an overall decrease of 15% in incidents of deaths in police custody and deaths as a result of police action. Table 3(b) below compares incidents of deaths in police custody reported during the reporting period to the previous financial year of 2012/13.

<b>Table 3(b): Deaths in police custody</b>			
<b>Province</b>	<b>2012/2013</b>	<b>2013/2014</b>	<b>Percentage changes</b>
Eastern Cape	37	33	-11%
Free State	23	12	-48%
Gauteng	52	47	-10%
KwaZulu-Natal	44	53	20%
Limpopo	34	26	-24%
Mpumalanga	24	23	-4%
North West	23	8	-65%
Northern Cape	9	5	-44%
Western Cape	29	27	-7%
<b>Total</b>	<b>275</b>	<b>234</b>	<b>-15%</b>

There were decreases in deaths as a result of police action in six provinces namely, Mpumalanga, Free State, Limpopo, Gauteng, North West and Western Cape, while there were increases in the Eastern Cape and Kwazulu-Natal. There was an overall decrease of 10% nationally. Table 3(c) below compares the number of incidents of deaths as a result of police action reported during the period under review to the financial previous reporting period of 2012/13.

<b>Table 3(c): Deaths as a result of police action</b>			
<b>Province</b>	<b>2012/2013</b>	<b>2013/2014</b>	<b>Percentage changes</b>
Eastern Cape	47	59	26%
Free State	31	22	-29%
Gauteng	118	105	-11%
KwaZulu-Natal	102	106	4%
Limpopo	28	21	-25%
Mpumalanga	37	17	-54%
North West	23	18	-22%
Northern Cape	8	8	0%
Western Cape	37	34	-8%
<b>Total</b>	<b>431</b>	<b>390</b>	<b>-10%</b>

#### **Reported deaths falling outside the mandate of the IPID**

Of the 624 deaths reported by members of the SAPS, it was established that 31 cases should not have been reported as they fell outside the mandate of the IPID. These cases should therefore be excluded from the total of 624 incidents reported. The fact that these case falls outside the mandate of the IPID was only established after investigations were conducted. This indicates a need for training of SAPS members on the mandate of the IPID to ensure proper reporting.

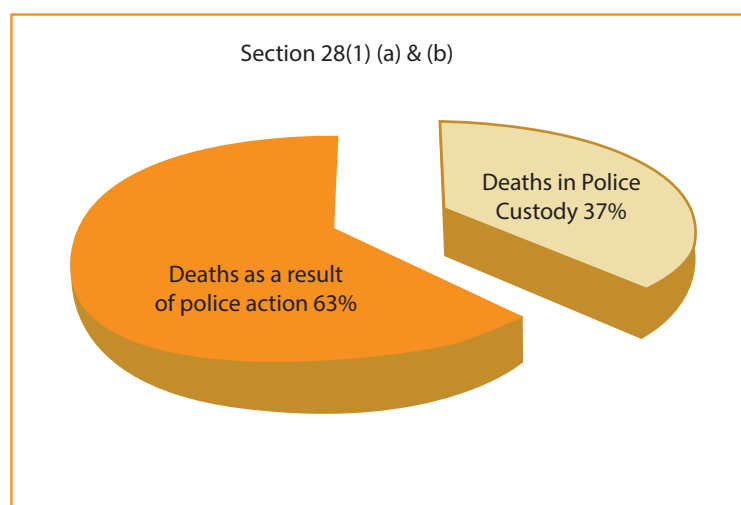
In some cases there were allegations that the suspects were SAPS members, however upon investigation it was established that no SAPS members were involved. In one investigation SAPS member committed suicide in his home in the morning while preparing to go to work. The wife of the deceased who is also a police officer found her husband in a pool of blood in the bedroom.

<b>Table 3(d): Reported cases outside mandate of IPID</b>	<b>Incident(s)</b>
SAPS members not involved	22
Matter still under investigation	5
Assault by police officer – off duty	3
Member(s) committed suicide	1
<b>Total</b>	<b>31</b>



For the period under review there was a 12% overall decrease of all deaths. The decrease could be attributed to the overall decrease in crime such as ATM bombings, cash in transit heists and business robberies.

Figure 3 below shows that deaths in police custody amounted to 37% while deaths as a result of police action amounted 63% of all reported deaths.



**FIGURE 3**

In some incidents of deaths as a result of police action there may be more than one victim. Table 4 compares the changes in the number of incidents of deaths as a result of police action to the number of deceased, per province over two financial years. The number of deceased decreased by 16%, down from 485 to 409.

<b>Table 4: Comparison of deaths as a result of police action - Incidents and the number of deceased</b>				
<b>Province</b>	<b>Incidents of death</b>		<b>Number of deceased</b>	
	<b>2012/2013</b>	<b>2013/2014</b>	<b>2012/2013</b>	<b>2013/2014</b>
Eastern Cape	47	59	49	65
Free State	31	22	31	22
Gauteng	118	105	126	107
KwaZulu-Natal	102	106	107	117
Limpopo	28	21	28	21
Mpumalanga	37	17	37	17
North West	23	18	60	18
Northern Cape	8	8	9	8
Western Cape	37	34	38	34
<b>Total</b>	<b>431</b>	<b>390</b>	<b>485</b>	<b>409</b>

Out of 234 deaths in police custody, most can be attributed to deaths due to injuries sustained in custody with 96 cases, followed by injuries sustained prior to custody with 81 cases and deaths from natural causes (57 cases). In one incident the deceased was reported to have consumed poison and was taken to the hospital. While in hospital the deceased escaped and was found dead a few kilometres away from the hospital. Table 5(a) details the circumstances in which deaths in police custody occurred during the 2013/14 reporting period.

<b>Table 5(a): Intake per circumstances - Deaths in custody</b>	<b>Incident(s)</b>
<b>Injuries sustained in custody</b>	<b>96</b>
Assaulted	7
Suffocation	-
Suicide (Hanging)	80
Suicide (Other)	3
Suicide (Shooting)	3
Torture	-
Vehicle collision while in Police operated vehicle	3
<b>Injuries sustained prior to custody</b>	<b>81</b>
Assaulted (Vigilantism)	55
Shot with service firearm	10
Shot with private firearm	6
Suffocation	-
Suicide (Other)	1
Suicide (Shooting)	5
Suspects in vehicle collision/being pursued by police	1
Tortured	3
<b>Natural Causes</b>	<b>57</b>
<b>Total</b>	<b>234</b>

An analysis of suicide by hanging was done and most incidents were reported in Gauteng (22%) and KwaZulu-Natal and Western Cape (17% each), Limpopo Province was the only province which did not have incidents of this nature. Table 5(b) below shows the extent of incidents of suicide in police custody per province.

<b>Table 5(b): Injuries sustained in custody - Suicide</b>		
<b>Province</b>	<b>Suicide (Hanging)</b>	<b>Percentages</b>
Eastern Cape	10	12%
Free State	8	10%
Gauteng	18	22%
KwaZulu-Natal	14	17%
Limpopo	-	-
Mpumalanga	9	13%
North West	3	4%
Northern Cape	4	5%
Western Cape	14	17%
<b>Total</b>	<b>80</b>	<b>100%</b>

A comparison of suicide by hanging was done over two reporting periods. There was a decrease in suicides in police custody in six provinces namely Limpopo, Northern Cape, Free State, Mpumalanga, Western Cape and Eastern Cape. However, there were increases of these types of cases in KwaZulu-Natal, North West Provinces and Gauteng.

<b>Table 5(c): Injuries sustained in custody – Suicide (Hanging)</b>			
<b>Province</b>	<b>2012/2013</b>	<b>2013/2014</b>	<b>Percentage change</b>
Eastern Cape	12	10	-17%
Free State	14	8	-43%
Gauteng	17	18	6%
KwaZulu-Natal	6	14	133%
Limpopo	6	-	-100%
Mpumalanga	12	9	-25%
North West	2	3	50%
Northern Cape	8	4	-50%
Western Cape	17	14	-18%
<b>Total</b>	<b>94</b>	<b>80</b>	<b>-15%</b>

The victims were detained for armed robbery, assault, DVA related matters, drunkenness, disorderly behaviour, house breaking, theft, murder, rape, rebellious behaviour and others.

<b>Table 5(d): Instrument used to commit suicide</b>	
<b>Instrument(s)</b>	<b>Incident(s)</b>
Bandage	2
Belt(s)	9
Blanket string	3
Blanket(s)	15
Cloth(s)	24
Firearm	8
Jacket	2
Jeans pens	1
Mattress piece	1
Jumped from window	1
Jumped out of motor vehicle	3
Rope	5
Shoelace(s)	6
Socks	2
String from tights	1
String from track top	1
Strip of a duvet	1
Tracksuit	2
Trouser	2
T-shirt	3
<b>Total</b>	<b>92</b>

The analysis of circumstances surrounding deaths as a result of police action reveals that most deaths occurred during police operations which include arrest, response to a crime and during investigation. Other deaths occurred during different circumstances such as negligent handling of official vehicle and deaths associated with domestic violence.

Table 6(a) below shows the circumstances in which deaths as a result of police action occurred during the 2013/14 reporting period.

<b>Table 6 (a): Intake per circumstances-deaths as a result of police action</b>	<b>Incident(s)</b>
<b>A suspect died during the course of a crime</b>	<b>81</b>
Assaulted	3
Shot with private firearm	1
Shot with service firearm	76
Suffocation	1
<b>A suspect died during the course of an escape</b>	<b>5</b>
Shot with service firearm	5
<b>A suspect died during the course of an investigation</b>	<b>23</b>
Assaulted	6
Shot with service firearm	14
Suspects in vehicle collision, while being pursued by police	2
Torture	1
<b>A suspect died during the course of arrest</b>	<b>150</b>
Assaulted	7
Shot with private firearm	1
Shot with service firearm	133
Suffocation	1
Suicide (Other)	1
Suicide (Shooting)	5
Suspects in vehicle collision, while being pursued by police	1
Torture	1

Table 6(a) below shows the circumstances in which deaths as a result of police action occurred during the 2013/14 reporting period.

<b>An innocent bystander died during commission of a crime</b>	<b>12</b>
Shot with service firearm	9
Struck by police vehicle (pedestrian accident)	1
Struck by police vehicle (vehicle accident)	1
Suffocation	1
<b>Crowd Management related incidents</b>	<b>11</b>
Shot with service firearm	11
<b>Domestic Violence related deaths</b>	<b>32</b>
Assaulted	1
Shot with service firearm	27
Struck by police vehicle (pedestrian accident)	1
Suicide (Shooting)	2
Torture	1
<b>Negligent handling of a firearm leading to death(s)</b>	<b>18</b>
<b>Negligent handling of an official vehicle leading to death(s)</b>	<b>32</b>
Struck by police official (pedestrian accident)	21
Struck by police official (vehicle accident)	6
Vehicle collision while in police operated vehicle	5
<b>Private capacity related death</b>	<b>26</b>
Assault	4
Shot with private firearm	1
Shot with service firearm	21
<b>Total</b>	<b>390</b>

A further analysis of circumstances surrounding deaths as a result of police action reveals that most deceased have die during the course of arrest (158 deceased), during the course of crime (84 deceased) and domestic violence related deaths (39 deceased). A total of 333 deceased were shot with service firearm.

Table 6(b) below shows the circumstances in which deaths as a result of police action occurred and the number of the deceased during the 2013/14 reporting period.

<b>Table 6(b): Intake per circumstances-deaths as a result of police action</b>	<b>Deceased(s)</b>
<b>A suspect died during the course of a crime</b>	<b>84</b>
Assaulted	3
Shot with private firearm	1
Shot with service firearm	79
Suffocation	1
<b>A suspect died during the course of an escape</b>	<b>5</b>
Shot with service firearm	5
<b>A suspect died during the course of an investigation</b>	<b>23</b>
Assaulted	6
Shot with service firearm	14
Suspects in vehicle collision, while being pursued by police	2
Torture	1
<b>A suspect died during the course of arrest</b>	<b>158</b>
Assaulted	7
Shot with private firearm	1
Shot with service firearm	141
Suffocation	1
Suicide (Other)	1
Suicide (Shooting)	5
Suspects in vehicle collision, while being pursued by police	1
Torture	1

Table 6(b) below shows the circumstances in which deaths as a result of police action occurred and the number of the deceased during the 2013/14 reporting period.

<b>An innocent bystander died during commission of a crime</b>	<b>12</b>
Shot with service firearm	9
Struck by police vehicle (pedestrian accident)	1
Struck by police vehicle (vehicle accident)	1
Suffocation	1
<b>Crowd Management related incidents</b>	<b>11</b>
Shot with service firearm	11
<b>Domestic Violence related deaths</b>	<b>39</b>
Assaulted	1
Shot with service firearm	34
Struck by police vehicle (pedestrian accident)	1
Suicide (Shooting)	2
Torture	1
<b>Negligent handling of a firearm leading to death(s)</b>	<b>19</b>
<b>Negligent handling of an official vehicle leading to death(s)</b>	<b>32</b>
Struck by police official (pedestrian accident)	21
Struck by police official (vehicle accident)	6
Vehicle collision while in police operated vehicle	5
<b>Private capacity related death</b>	<b>26</b>
Assault	4
Shot with private firearm	1
Shot with service firearm	21
<b>Total</b>	<b>409</b>

Most deaths occurred on the crime scene (418), of those deaths most were shooting incidents and vigilante related deaths. Ninety-nine (99) deaths occurred in hospital/clinic and 88 deaths occurred in police cells. Table 7 below shows places where deaths occurred.

<b>Table 7: Places where deaths occurred</b>	
<b>Places</b>	<b>Incident(s)</b>
Ambulance	4
Hospital/Clinic	99
Police Cells	88
Police Vehicle	12
Court Cell	3
Crime Scene	418
<b>Total</b>	<b>624</b>

### 3.2. INTAKE AS PER SECTION 28(1)(c) – COMPLAINT OF THE DISCHARGE OF OFFICIAL FIREARM(S)

The total number and the percentage of complaints of discharge of official firearms reported per province are depicted in Table 8 below. Most incidents were reported in Eastern Cape (39%) followed by KwaZulu-Natal (20%) and Limpopo (13%).

<b>Table 8 (a): Complaint of the discharge of an official firearm(s)</b>		
<b>Province</b>	<b>Incident(s)</b>	<b>Percentages</b>
Eastern Cape	169	39%
Free State	20	5%
Gauteng	15	3%
KwaZulu-Natal	85	20%
Limpopo	54	13%
Mpumalanga	16	4%
North West	15	3%
Northern Cape	9	2%
Western Cape	46	11%
<b>Total</b>	<b>429</b>	<b>100%</b>



<b>Table 8 (b): Complaint of the discharge of an official firearm(s)</b>			
<b>Province</b>	<b>2012/2013</b>	<b>2013/2014</b>	<b>Percentages</b>
Eastern Cape	108	169	56%
Free State	81	20	-75%
Gauteng	96	15	-84%
KwaZulu-Natal	4	85	2025%
Limpopo	68	54	-21%
Mpumalanga	1	16	1500%
North West	26	15	-42%
Northern Cape	9	9	0%
Western Cape	277	46	-83%
<b>Total</b>	<b>670</b>	<b>429</b>	<b>-36%</b>

### 3.3. INTAKE AS PER SECTION 28(1)(d) – RAPE BY POLICE OFFICER(S)

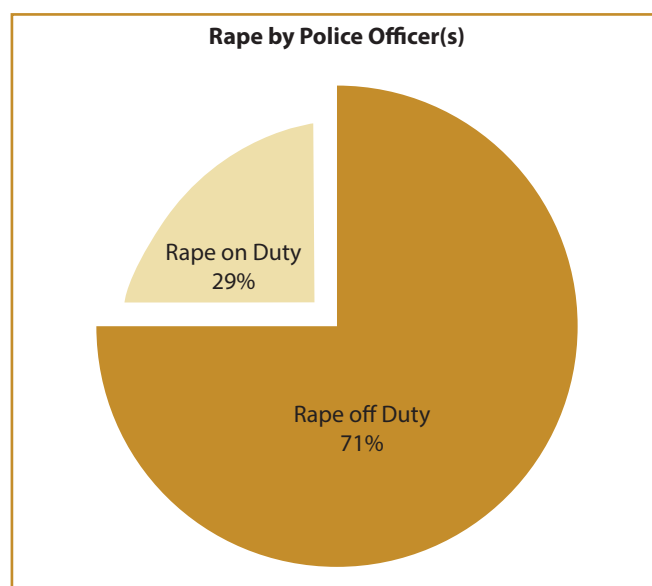
Table 9 below shows the incidents of rape by the police officer(s), whilst on or off duty, per province. KwaZulu-Natal (19) reported most incidents of rape while members were off duty, followed by the Western Cape and Gauteng (16) and Free State (10).

Thirty five incidents occurred while police officers were on duty. In one incident of rape where a member was on duty, he allegedly raped a mentally-ill person in the bushes. In another incident an on duty member allegedly raped a minor by taking her from her parent under the false pretence of interrogating her at the police station and in another incident a member raped a person in a police vehicle.

One incident of rape involved metro police officer while off duty. The member involved was reported to be under the influence of drugs.

<b>Table 9: Rape by police officer(s)</b>			
<b>Province</b>	<b>On duty</b>	<b>Off duty</b>	<b>Incident(s)</b>
Eastern Cape	3	9	12
Free State	-	10	10
Gauteng	6	16	22
KwaZulu-Natal	10	19	29
Limpopo	6	3	9
Mpumalanga	-	4	4
North West	-	5	5
Northern Cape	1	4	5
Western Cape	9	16	25
<b>Total</b>	<b>35</b>	<b>86</b>	<b>121</b>

It is evident that most incidents of rape occurred while police officer(s) were off duty (71%). This state of affairs depicts a picture that raises issues of discipline which is still lacking when members are off duty. This is an area which SAPS management need to address. Figure 4 below depicts rape by police officer(s) whilst on or off duty.



**FIGURE 4**

### 3.4. INTAKE AS PER SECTION 28(1)(e) – RAPE IN POLICE CUSTODY

Table 10(a) below shows the incidents of rape in police custody per province. Most incident(s) were reported against the civilians and most were reported both in Mpumalanga and Western Cape (5). In total, 6 incidents of rape were allegedly committed by police officers while the victims were in custody.

Province	Civilian(s)	Police Officer(s)	Incident(s)
Eastern Cape	1	1	2
Free State	-	-	-
Gauteng	1	1	2
KwaZulu-Natal	1	2	3
Limpopo	-	-	-
Mpumalanga	5	1	6
North West	-	-	-
Northern Cape	-	1	1
Western Cape	5	-	5
<b>Total</b>	<b>13</b>	<b>6</b>	<b>19</b>

### 3.5. INTAKE AS PER SECTION 28(1)(f) – TORTURE / ASSAULT

Table 11(a) below shows the total number and the percentage of cases of torture and assault cases reported per province. The largest number of such cases were reported in the Western Cape (1 050) followed by the Free State (705) and Gauteng (541). Of the 78 cases of torture, 30 were reported in the Eastern Cape, 19 in KwaZulu-Natal and 13 in Mpumalanga.

Province	Torture	Assault	Total	Percentages
Eastern Cape	30	434	464	12%
Free State	-	705	705	18%
Gauteng	10	531	541	13%
KwaZulu-Natal	19	368	387	10%
Limpopo	-	206	206	5%
Mpumalanga	13	202	215	5%
North West	2	230	232	6%
Northern Cape	-	194	194	5%
Western Cape	4	1046	1050	26%
<b>Total</b>	<b>78</b>	<b>3916</b>	<b>3994</b>	<b>100%</b>

<b>Table 11(b): Torture and Assault</b>		
<b>Description</b>	<b>Incident(s)</b>	<b>Percentages</b>
Assault - common	3101	78%
Assault - crowd management	2	0*%
Assault - dog attack	29	1%
Assault - indecent	8	0*%
Assault - sexual	4	0*%
Assault - torture	78	2%
Assault - with intent to do grievous bodily harm (GBH)	772	19%
<b>Total</b>	<b>3994</b>	<b>100%</b>

\*Percentages rounded off to nearest decimal

### 3.6. INTAKE AS PER SECTION 28(1)(g) – CORRUPTION

During the period under review, the Directorate received a number of cases alleging corruption by members of SAPS and MPS. Majority of cases were reported in KwaZulu-Natal which accounts for 36% of all cases reported. These cases range from extortion, bribes, theft and sales of exhibits. See Table 12(a) below. The fight against corruption remains a challenge, it is important that current controls within SAPS and MPS be reviewed in order to eliminate opportunities for corrupt behaviour. The IPID has set itself targets for identification and investigation of systemic corruption within SAPS/MPS to proactively try to deal with corrupt practices in these institutions.

<b>Table 12(a): Corruption</b>		
<b>Province</b>	<b>Incident(s)</b>	<b>Percentages</b>
Eastern Cape	4	5%
Free State	16	19%
Gauteng	12	14%
KwaZulu-Natal	30	36%
Limpopo	5	6%
Mpumalanga	2	2%
North West	6	7%
Northern Cape	7	9%
Western Cape	2	2%
<b>Total</b>	<b>84</b>	<b>100%</b>

The analysis of corruption cases reported indicates that the majority were cases of extortion or solicitation of bribes (73%). Table 12(b) breaks down the number and percentage of reported cases of corruption per type investigated by the IPID.

<b>Table 12(b): Corruption</b>		
<b>Description</b>	<b>Incident(s)</b>	<b>Percentages</b>
Corruption - Abuse of informers' fees	4	5%
Corruption - Aiding escape from custody	4	5%
Corruption - Extortion or soliciting a bribe	61	73%
Corruption - Issuing of fraudulent documents	2	2%
Corruption - Sale, theft and/or destruction of police docket	6	7%
Corruption - Sale, theft of exhibits	7	8%
<b>Total</b>	<b>84</b>	<b>100%</b>

### 3.7. INTAKE AS PER SECTION 28(1)(h) – OTHER CRIMINAL MATTERS AND MISCONDUCT

Other criminal matters and misconduct are matters that were referred to the IPID by the Minister, MEC or Civilian Secretary for Police. They include matters where the Executive Director decides to investigate by exercising his/her decision to investigate after being requested to do so by the SAPS or any other person having regard to the seriousness of the offence or misconduct.

Misconduct cases were investigated after IPID was satisfied that SAPS intervention at Provincial or National level did not satisfy the complainant and there were grounds to intervene in the interest of justice.

It is important to note that while Section 206(6) of the Constitution enjoins the IPID to investigate cases of misconduct and criminal offences. Section 28(1)(h) of the IPID Act enables the IPID to investigate all criminal matters and misconduct cases not provided for in Section 28(1)(a)-(g).

The IPID recorded a total of 374 other criminal matters, ranging from attempted murder, to pointing of firearm and fraud, to mention only a few. Table 13(a) shows the total number and percentage of other criminal matters per province. Most were reported in Gauteng (40%), followed by Free State (18%) and Western Cape (16%).

<b>Table 13(a): Other criminal matters</b>		
<b>Province</b>	<b>Incident(s)</b>	<b>Percentages</b>
Eastern Cape	22	6%
Free State	68	18%
Gauteng	148	40%
KwaZulu-Natal	6	1%
Limpopo	3	1%
Mpumalanga	31	8%
North West	14	4%
Northern Cape	22	6%
Western Cape	60	16%
<b>Total</b>	<b>374</b>	<b>100%</b>

The breakdown of other criminal matters per type of offence indicates that the majority of the cases were attempted murder (81%), followed by defeating the ends of justice (6%) and fraud (4%). Table 13(b) below indicates the total number and the percentage of other criminal matters by type.

<b>Table 13(b): Other criminal matters</b>		
<b>Description</b>	<b>Incident(s)</b>	<b>Percentages</b>
Arson	4	1%
Attempted murder	304	81%
Defeating the ends of justice	21	6%
Fraud	17	4%
Harassment	3	1%
Intimidation	3	1%
Malicious damage to property	7	2%
Pointing of firearm	7	2%
Perjury	1	0%
Robbery	1	0%
Theft	6	2%
<b>Total</b>	<b>374</b>	<b>100%</b>

Mpumalanga (40%) recorded the highest number of misconduct cases followed by KwaZulu-Natal (22%). Table 13(c) below, indicates the total number and percentages of misconduct cases per province. No such cases were reported in The North West and Northern Cape.

<b>Table 13(c): Misconduct</b>		
<b>Province</b>	<b>Incident(s)</b>	<b>Percentages</b>
Eastern Cape	1	4%
Free State	3	13%
Gauteng	1	4%
KwaZulu-Natal	5	22%
Limpopo	1	4%
Mpumalanga	9	40%
North West	-	-
Northern Cape	-	-
Western Cape	3	13%
<b>Total</b>	<b>23</b>	<b>100%</b>

It is also important to present misconduct complaints reported by nature of the contravention. It is evident that the majority of the recorded misconduct cases related to the improper performance of functions (65%), neglect of duties by SAPS members (26%) and service delivery complaints (9%). In such cases, where warranted, the IPID recommended that the concerned official be charged according to SAPS Regulation 20(a) – fails to comply with, or contravenes an Act, regulation or legal obligation. Table 13(d) indicates the types of misconduct complaints reported.

<b>Table 13(d): Misconduct</b>		
<b>Description</b>	<b>Incident(s)</b>	<b>Percentages</b>
Neglects his or her duty	6	26%
Performs his or her function in an improper manner	15	65%
Service delivery complaint	2	9%
<b>Total</b>	<b>23</b>	<b>100%</b>

### 3.8. INTAKE AS PER SECTION 28(2) – SYSTEMIC CORRUPTION

Section 28(2) of the IPID Act stipulates that the Directorate may investigate matters relating to systemic corruption involving the SAPS/MPS. A total of 12 matters were reported under the period of review.

<b>Table 14: Systemic corruption</b>		
<b>Province</b>	<b>Incident(s)</b>	<b>Percentages</b>
Eastern Cape	1	8%
Free State	2	17%
Gauteng	-	-
KwaZulu-Natal	1	8%
Limpopo	2	17%
Mpumalanga	2	17%
North West	-	-
Northern Cape	4	33%
Western Cape	-	-
<b>Total</b>	<b>12</b>	<b>100%</b>

### 3.9. INTAKE AS PER SECTION 33 - NON-COMPLIANCE WITH IPID ACT

In terms of Section 29 of the Act, members of the SAPS and MPS should immediately notify the Directorate of any matters referred to in Section 28 (1)(a)-(f). They should within 24 hours submit a written report to the Directorate and must provide their full cooperation in terms of the investigation, which includes, but is not limited to, arrangement of identification parades, availing members for affidavits and any other information required.

The total number and percentage of cases opened by the IPID for non-compliance with the IPID Act per province is depicted in Table 15 below. The largest number of non-compliance cases was recorded in Mpumalanga (40%), Gauteng (23%) and the Northern Cape (11%).

<b>Table 15: Non-compliance with Section 29 of the IPID Act</b>		
<b>Province</b>	<b>Incident(s)</b>	<b>Percentages</b>
Eastern Cape	5	8%
Free State	3	5%
Gauteng	15	23%
KwaZulu-Natal	5	8%
Limpopo	1	1%
Mpumalanga	26	40%
North West	1	1%
Northern Cape	7	11%
Western Cape	2	3%
<b>Total</b>	<b>65</b>	<b>100%</b>



## 4. NUMBER OF CASES REPORTED

A total of 5 745 cases were reported to the IPID during period under review. Table 16(a) shows the total number and the percentage of cases reported in the respective provinces. It can be observed that the majority of cases were reported in Western Cape (1 254), followed by Gauteng (908) and Free State (861).

<b>Table 16(a): Total and percentages reported</b>		
<b>Provinces</b>	<b>Total</b>	<b>Percentage reported</b>
Eastern Cape	772	13%
Free State	861	15%
Gauteng	908	16%
KwaZulu-Natal	710	12%
Limpopo	328	6%
Mpumalanga	351	6%
North West	299	5%
Northern Cape	262	5%
Western Cape	1254	22%
<b>Total</b>	<b>5745</b>	<b>100%</b>

Overall, there was a decrease of 15% in the intake compared to the corresponding reporting period in the previous financial year. Comparatively, there was a decrease in the intake of most provinces, except in the Eastern Cape (9%) where there was an increase. Table 16(b) reflects the percentage changes in intake for the period under review compared to the previous financial year.

<b>Table 16(b): Percentage changes of intake on the period of review</b>			
<b>Provinces</b>	<b>Intake for 2012/13</b>	<b>Intake for 2013/14</b>	<b>Percentage changes</b>
Eastern Cape	708	772	9%
Free State	1011	861	-15%
Gauteng	1139	908	-20%
KwaZulu-Natal	772	710	-8%
Limpopo	416	328	-21%
Mpumalanga	360	351	-3%
North West	377	299	-21%
Northern Cape	306	262	-14%
Western Cape	1640	1254	-24%
<b>Total</b>	<b>6728</b>	<b>5745</b>	<b>-15%</b>

Of the 5 745 cases reported during the period under review, the following classifications could be distinguished in terms of Section 28 of the IPID Act 1 of 2011. Nationally, a total of 234 incidents of deaths in police custody, 390 incidents of deaths as a result of police action, 429 cases of a complaint of a discharge of an official firearm, 121 cases of rape by a police officer, 19 cases of rape in police custody, 78 cases of torture, 3 916 cases of assault, 84 cases of corruption, 12 cases of systemic corruption, 65 cases of non-compliance with the IPID Act 1 of 2011, 374 cases of other criminal offences and 23 cases of misconduct. Table 17 shows the number of cases reported per category in the period under review.

<b>Table 17: Cases reported per classification for the period under review</b>													
<b>Provinces</b>	<b>Deaths in police custody</b>	<b>Deaths as a result of police action</b>	<b>Discharge of an official firearm(s)</b>	<b>Rape by a police officer</b>	<b>Rape in police custody</b>	<b>Torture</b>	<b>Assault</b>	<b>Corruption</b>	<b>Systemic Corruption</b>	<b>Non-compliance with IPID Act</b>	<b>Other criminal offence</b>	<b>Misconduct</b>	<b>Total</b>
Eastern Cape	33	59	169	12	2	30	434	4	1	5	22	1	<b>772</b>
Free State	12	22	20	10	-	-	705	16	2	3	68	3	<b>861</b>
Gauteng	47	105	15	22	2	10	531	12	-	15	148	1	<b>908</b>
KwaZulu-Natal	53	106	85	29	3	19	368	30	1	5	6	5	<b>710</b>
Limpopo	26	21	54	9	-	-	206	5	2	1	3	1	<b>328</b>
Mpumalanga	23	17	16	4	6	13	202	2	2	26	31	9	<b>351</b>
North West	8	18	15	5	-	2	230	6	-	1	14	-	<b>299</b>
Northern Cape	5	8	9	5	1	-	194	7	4	7	22	-	<b>262</b>
Western Cape	27	34	46	25	5	4	1046	2	-	2	60	3	<b>1254</b>
<b>Total</b>	<b>234</b>	<b>390</b>	<b>429</b>	<b>121</b>	<b>19</b>	<b>78</b>	<b>3916</b>	<b>84</b>	<b>12</b>	<b>65</b>	<b>374</b>	<b>23</b>	<b>5745</b>

## 5. ALLOCATED CASES PER PROVINCE

The Directorate's standard for allocating cases is 98% within 72 hours. This standard measures the time from which a case is registered by the Directorate until such time that it is allocated to an individual case worker for investigation. Table 18 shows the total number of cases that were allocated within 72 hours, by the respective provinces. IPID received a total of 5 745 cases during the period under review and managed to allocate 4 972 cases within 72 hours. An allocation rate of 87% was therefore achieved.

**Table 18: Allocated cases within 72 hours**

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systemic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	20	45	115	8	1	23	313	3	1	3	14	1	547
Free State	12	22	20	10	-	-	677	15	2	3	64	2	827
Gauteng	42	95	13	20	2	9	482	6	-	14	138	1	822
KwaZulu-Natal	52	100	80	28	3	15	342	28	1	5	6	5	665
Limpopo	26	21	53	9	-	-	205	5	2	1	3	1	326
Mpumalanga	21	13	16	4	6	12	191	1	2	25	29	9	329
North West	7	16	14	4	-	2	219	6	-	1	14	-	283
Northern Cape	5	7	9	5	1	-	179	7	-	7	21	-	241
Western Cape	20	29	33	19	3	4	775	1	-	1	44	3	932
<b>Total</b>	<b>205</b>	<b>348</b>	<b>353</b>	<b>107</b>	<b>16</b>	<b>65</b>	<b>3383</b>	<b>72</b>	<b>8</b>	<b>60</b>	<b>333</b>	<b>22</b>	<b>4972</b>

All cases were allocated to respective case workers, of these 773 cases were allocated after 72 hours. Table 19 shows the total number of cases after 72 hours per province.

**Table 19: Allocated cases after 72 hours**

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systemic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	13	14	54	4	1	7	121	1	-	2	8	-	225
Free State	-	-	-	-	-	-	28	1	-	-	4	1	34
Gauteng	5	10	2	2	-	1	49	6	-	1	10	-	86
KwaZulu-Natal	1	6	5	1	-	4	26	2	-	-	-	-	45
Limpopo	-	-	1	-	-	-	1	-	-	-	-	-	2
Mpumalanga	2	4	-	-	-	1	11	1	-	1	2	-	22
North West	1	2	1	1	-	-	11	-	-	-	-	-	16
Northern Cape	-	1	-	-	-	-	15	-	4	-	1	-	21
Western Cape	7	5	13	6	2	-	271	1	-	1	16	-	322
<b>Total</b>	<b>29</b>	<b>42</b>	<b>76</b>	<b>14</b>	<b>3</b>	<b>13</b>	<b>533</b>	<b>12</b>	<b>4</b>	<b>5</b>	<b>41</b>	<b>1</b>	<b>773</b>

## 6. WORKLOAD FOR 2013/14

The active workload comprises of cases carried over from the previous financial year and the cases reported during the period under review. It can be observed that the total active workload that the Directorate had to investigate during this period was (9 055) cases as indicated in Table 20 below.

<b>Table 20: Active workload per province</b>			
<b>Provinces</b>	<b>Carried over from previous financial year</b>	<b>Cases reported during the year</b>	<b>Total workload</b>
Eastern Cape	380	772	<b>1152</b>
Free State	108	861	<b>969</b>
Gauteng	817	908	<b>1725</b>
KwaZulu-Natal	553	710	<b>1263</b>
Limpopo	111	328	<b>439</b>
Mpumalanga	123	351	<b>474</b>
North West	56	299	<b>355</b>
Northern Cape	59	262	<b>321</b>
Western Cape	1103	1254	<b>2357</b>
<b>Total</b>	<b>3310</b>	<b>5743</b>	<b>9055</b>

The highest number of cases in terms of the active workload was classified as assault (5 959), followed by cases of other criminal offences (786), complaint of discharge of an official firearm (769) and cases of deaths as a result of police action (593). Table 21 below shows the total number (9 055) of active workload, per category, during the financial year 2013/14.

<b>Table 21: Active workload accumulated during the period under review, per classification</b>													
<b>Provinces</b>	<b>Deaths in police custody</b>	<b>Deaths as a result of police action</b>	<b>Discharge of an official firearm(s)</b>	<b>Rape by a police officer</b>	<b>Rape in police custody</b>	<b>Torture</b>	<b>Assault</b>	<b>Corruption</b>	<b>Systematic Corruption</b>	<b>Non-compliance with IPID Act</b>	<b>Other criminal offence</b>	<b>Misconduct</b>	<b>Total</b>
Eastern Cape	53	85	234	16	2	55	627	8	4	6	60	2	<b>1152</b>
Free State	12	25	28	12	-	-	788	17	2	3	79	3	<b>969</b>
Gauteng	103	185	106	34	10	12	848	25	1	18	375	8	<b>1725</b>
KwaZulu-Natal	67	175	147	40	3	19	699	74	1	13	15	10	<b>1263</b>
Limpopo	31	24	74	10	-	-	278	9	2	2	8	1	<b>439</b>
Mpumalanga	24	25	16	4	6	13	277	3	2	36	56	12	<b>474</b>
North West	9	23	18	7	-	3	261	8	-	1	25	-	<b>355</b>
Northern Cape	7	10	14	6	1	-	232	8	4	7	32	-	<b>321</b>
Western Cape	33	41	132	34	5	4	1949	9	-	9	136	5	<b>2357</b>
<b>Total</b>	<b>339</b>	<b>593</b>	<b>769</b>	<b>163</b>	<b>27</b>	<b>106</b>	<b>5959</b>	<b>161</b>	<b>16</b>	<b>95</b>	<b>786</b>	<b>41</b>	<b>9055</b>

## 7. COMPLETION OF CASES

Completion of cases refers to an investigation where the IPID has done everything within its ability to obtain evidence in order to make recommendations (criminal and disciplinary) on the case. Table 22 below shows the total number and percentage of completed cases by respective provinces. It can be observed that Free State has completed 96% of its active workload, followed by North West with 90% and Northern Cape (87%). IPID nationally achieved an overall completion rate of 56% in the period under review.

NB: The completion rate of cases is measured against the workload as opposed to the intake.

Provinces	Total workload	Total cases completed	Percentages of completed cases
Eastern Cape	1152	541	47%
Free State	969	930	96%
Gauteng	1725	659	38%
KwaZulu-Natal	1263	671	53%
Limpopo	439	342	78%
Mpumalanga	474	310	65%
North West	355	319	90%
Northern Cape	321	279	87%
Western Cape	2357	994	42%
<b>Total</b>	<b>9055</b>	<b>5045</b>	<b>56%</b>

The number and types of criminal cases reported and investigated in terms of Section 28 of the IPID Act 1 of 2011 differs from province to province. Table 23 below shows the number of completed cases by the respective provinces per category during the period under review. The highest category of cases completed was in respect of alleged assault (3 322) followed by discharge of official firearms (422), deaths as a result of police action (379) and other criminal offences (367).

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	42	57	115	10	-	21	260	2	-	3	29	2	<b>541</b>
Free State	12	24	27	11	-	-	761	16	-	2	74	3	<b>930</b>
Gauteng	40	99	37	26	-	1	332	7	-	7	105	5	<b>659</b>
KwaZulu-Natal	50	103	65	26	1	2	368	36	-	6	11	3	<b>671</b>
Limpopo	26	24	51	8	-	-	225	7	-	-	1	-	<b>342</b>
Mpumalanga	23	14	13	3	5	5	174	3	1	20	47	2	<b>310</b>
North West	8	16	16	7	-	1	245	5	-	1	20	-	<b>319</b>
Northern Cape	6	9	7	6	1	-	218	4	-	6	22	-	<b>279</b>
Western Cape	28	33	91	31	5	-	739	4	-	3	58	2	<b>994</b>
<b>Total</b>	<b>235</b>	<b>379</b>	<b>422</b>	<b>128</b>	<b>12</b>	<b>30</b>	<b>3322</b>	<b>84</b>	<b>1</b>	<b>48</b>	<b>367</b>	<b>17</b>	<b>5045</b>

### 7.1. COMPLETION OF DEATHS IN POLICE CUSTODY AND AS A RESULT OF POLICE ACTION (COMBINED)

The IPID is mandated to investigate all deaths in police custody and as a result of police action in terms of Section 28 (1)(a) and (b) and Table 24 below shows the workload versus completion of these cases per province. During the period under review, the IPID nationally achieved a 66% completion rate on death related matters.

Provinces	Workload		Completed cases		Total workload	Total completed	Percentages
	Deaths in custody	Deaths as a result of police action	Deaths in custody	Deaths as a result of police action			
Eastern Cape	53	85	42	57	138	99	72%
Free State	12	25	12	24	37	36	97%
Gauteng	103	185	40	99	288	139	48%
KwaZulu-Natal	67	175	50	103	242	153	63%
Limpopo	31	24	26	24	55	50	91%
Mpumalanga	24	25	23	14	49	37	76%
North West	9	23	8	16	32	24	75%
Northern Cape	7	10	6	9	17	15	88%
Western Cape	33	41	28	33	74	61	82%
<b>Total</b>	<b>339</b>	<b>593</b>	<b>235</b>	<b>379</b>	<b>932</b>	<b>614</b>	<b>66%</b>

### 7.2. COMPLETION OF CRIMINAL OFFENCES

The IPID is mandated to investigate matters that fall within the scope of Section 28(1)(c)-(h) of the IPID Act 1 of 2011.

Table 25(a) below shows the workload for cases of discharge of an official firearm versus the number of completed matters in that category. The IPID completed a total of 422 cases during the period under review, which equates to a 55% of completion rate.

Provinces	Total workload	Total completed	Percentages
Eastern Cape	234	115	49%
Free State	28	27	96%
Gauteng	106	37	35%
KwaZulu-Natal	147	65	44%
Limpopo	74	51	69%
Mpumalanga	16	13	81%
North West	18	16	89%
Northern Cape	14	7	50%
Western Cape	132	91	69%
<b>Total</b>	<b>769</b>	<b>422</b>	<b>55%</b>

Table 25(b) below shows the workload for cases of rape by police officer versus the number of completed matters. IPID completed a total of 128 cases during the period under review, which equates to a 79% completion rate.

Provinces	Total workload	Total completed	Percentages
Eastern Cape	16	10	63%
Free State	12	11	92%
Gauteng	34	26	76%
KwaZulu-Natal	40	26	65%
Limpopo	10	8	80%
Mpumalanga	4	3	75%
North West	7	7	100%
Northern Cape	6	6	100%
Western Cape	34	31	91%
<b>Total</b>	<b>163</b>	<b>128</b>	<b>79%</b>

Table 25(c) below shows the workload for cases of rape in police custody versus the number of completed matters. IPID completed a total of 12 cases during the period under review, which equates to a 44% completion rate.

<b>Table 25(c): Rape in police custody</b>			
<b>Provinces</b>	<b>Total workload</b>	<b>Total completed</b>	<b>Percentages</b>
Eastern Cape	2	-	<b>0%</b>
Free State	-	-	-
Gauteng	10	-	<b>0%</b>
KwaZulu-Natal	3	1	<b>33%</b>
Limpopo	-	-	-
Mpumalanga	6	5	<b>83%</b>
North West	-	-	-
Northern Cape	1	1	<b>100%</b>
Western Cape	5	5	<b>100%</b>
<b>Total</b>	<b>27</b>	<b>12</b>	<b>44%</b>

Table 25(d) below shows the workload for torture cases versus the number of completed cases. IPID completed a total of 30 cases during the period under review, which equates to a 28% completion rate.

<b>Table 25(d): Torture</b>			
<b>Provinces</b>	<b>Total workload</b>	<b>Total completed</b>	<b>Percentages</b>
Eastern Cape	55	21	<b>38%</b>
Free State	-	-	-
Gauteng	12	1	<b>8%</b>
KwaZulu-Natal	19	2	<b>11%</b>
Limpopo	-	-	-
Mpumalanga	13	5	<b>38%</b>
North West	3	1	<b>33%</b>
Northern Cape	-	-	-
Western Cape	4	-	<b>0%</b>
<b>Total</b>	<b>106</b>	<b>30</b>	<b>28%</b>

Table 25(e) below shows the workload for assault cases versus the number of completed matters. IPID completed a total of 3 322 cases during the period under review, which equates to a 56% completion rate.

<b>Table 25(e): Assault</b>			
<b>Provinces</b>	<b>Total workload</b>	<b>Total completed</b>	<b>Percentages</b>
Eastern Cape	627	260	<b>41%</b>
Free State	788	761	<b>97%</b>
Gauteng	848	332	<b>39%</b>
KwaZulu-Natal	699	368	<b>53%</b>
Limpopo	278	225	<b>81%</b>
Mpumalanga	277	174	<b>63%</b>
North West	261	245	<b>94%</b>
Northern Cape	232	218	<b>94%</b>
Western Cape	1949	739	<b>38%</b>
<b>Total</b>	<b>5959</b>	<b>3322</b>	<b>56%</b>



Table 25(f) below shows the workload for corruption cases versus the number of completed matters. IPID completed a total of 84 cases during the period under review, which equates to a 52% completion rate.

<b>Table 25(f): Corruption</b>			
<b>Provinces</b>	<b>Total workload</b>	<b>Total completed</b>	<b>Percentages</b>
Eastern Cape	8	2	<b>25%</b>
Free State	17	16	<b>94%</b>
Gauteng	25	7	<b>28%</b>
KwaZulu-Natal	74	36	<b>49%</b>
Limpopo	9	7	<b>78%</b>
Mpumalanga	3	3	<b>100%</b>
North West	8	5	<b>63%</b>
Northern Cape	8	4	<b>50%</b>
Western Cape	9	4	<b>44%</b>
<b>Total</b>	<b>161</b>	<b>84</b>	<b>52%</b>

Table 25(g) below shows the workload for other criminal matters versus the number of completed matters. IPID completed a total of 367 cases during the period under review, which equates to a 47% completion rate.

<b>Table 25(g): Other criminal matter</b>			
<b>Provinces</b>	<b>Total workload</b>	<b>Total completed</b>	<b>Percentages</b>
Eastern Cape	60	29	<b>48%</b>
Free State	79	74	<b>94%</b>
Gauteng	375	105	<b>28%</b>
KwaZulu-Natal	15	11	<b>73%</b>
Limpopo	8	1	<b>13%</b>
Mpumalanga	56	47	<b>84%</b>
North West	25	20	<b>80%</b>
Northern Cape	32	22	<b>69%</b>
Western Cape	136	58	<b>43%</b>
<b>Total</b>	<b>786</b>	<b>367</b>	<b>47%</b>

Table 25(h) below shows the workload for non-compliance with the IPID Act versus the number of completed matters. IPID completed a total of 48 cases during the period under review, which equates to a 51% completion rate.

<b>Table 25(h): Non-compliance with the IPID Act</b>			
<b>Provinces</b>	<b>Total workload</b>	<b>Total completed</b>	<b>Percentages</b>
Eastern Cape	6	3	<b>50%</b>
Free State	3	2	<b>67%</b>
Gauteng	18	7	<b>39%</b>
KwaZulu-Natal	13	6	<b>46%</b>
Limpopo	2	-	<b>0%</b>
Mpumalanga	36	20	<b>56%</b>
North West	1	1	<b>100%</b>
Northern Cape	7	6	<b>86%</b>
Western Cape	9	3	<b>33%</b>
<b>Total</b>	<b>95</b>	<b>48</b>	<b>51%</b>

### 7.3. COMPLETION OF MISCONDUCT CASES

The IPID is mandated to investigate acts of criminality by SAPS/MPS members; it can also investigate misconduct cases as indicated in the Department's SOP. The SOP indicates that all internal remedies up to the level of Provincial Commissioner should have been exhausted by the complainant. Table 26 below shows the workload versus the completion of misconduct cases per province. Nationally, the IPID achieved a 41% completion rate in the period under review.

Provinces	Misconduct workload	Misconduct completed cases	Percentages
Eastern Cape	2	2	100%
Free State	3	3	100%
Gauteng	8	5	63%
KwaZulu-Natal	10	3	30%
Limpopo	1	-	0%
Mpumalanga	12	2	17%
North West	-	-	-
Northern Cape	-	-	-
Western Cape	5	2	40%
<b>Total</b>	<b>41</b>	<b>17</b>	<b>41%</b>

### 8. ATTENDANCE OF CRIME SCENES AND POST MORTEMES

The IPID has a responsibility to attend scenes of crime (where possible or practical) as well as post mortems. These form part of the investigation process and play a pivotal role in the completion of investigations, especially in respect of deaths in police custody and deaths as a result of police action. Table 27 shows both the total number of crime scenes attended and not attended within 24 hours per province. Nationally, the IPID attended 70% of the crime scenes within 24 hours of those being reported. During the period under review IPID was unable to attend 190 crime scenes. It should be noted that in some instances victims pass away in hospital, as a result crime scenes could not be attended, and the same applies to cases of discharge of official firearms.

Provinces	Number of scenes attended		Number of scenes not attended		Totals			Percentages
	Deaths in custody	Deaths as a result of police action	Deaths in custody	Deaths as a result of police action	Scenes attended	Scenes not attended	Grand total	
Eastern Cape	24	42	9	17	66	26	92	72%
Free State	6	13	6	9	19	15	34	56%
Gauteng	33	79	14	26	112	40	152	74%
KwaZulu-Natal	27	84	26	22	111	48	159	70%
Limpopo	13	15	13	6	28	19	47	60%
Mpumalanga	13	8	10	9	21	19	40	53%
North West	5	9	3	9	14	12	26	54%
Northern Cape	5	8	-	-	13	-	13	100%
Western Cape	26	24	1	10	50	11	61	82%
<b>Total</b>	<b>152</b>	<b>282</b>	<b>82</b>	<b>108</b>	<b>434</b>	<b>190</b>	<b>624</b>	<b>70%</b>

Where a person passes away a post mortem is held. Table 28 shows the total number of post mortems attended and the total number of post mortems not attended per province. Nationally IPID attended 77% post mortems.

**Table 28: Post mortems attended and not attended**

Provinces	Number of post-mortems attended		Number of post-mortems not attended		Totals			Percentages
	Deaths in custody	Deaths as a result of police action	Deaths in custody	Deaths as a result of police action	Total attended	Total not attended	Grand total	
Eastern Cape	21	50	12	15	71	27	98	72%
Free State	9	16	3	6	25	9	34	74%
Gauteng	36	95	11	12	131	23	154	85%
KwaZulu-Natal	37	92	16	25	129	41	170	76%
Limpopo	12	15	14	6	27	20	47	57%
Mpumalanga	18	12	5	4	30	9	39	77%
North West	5	10	3	7	15	10	25	60%
Northern Cape	5	8	-	-	13	-	13	100%
Western Cape	26	25	1	9	51	10	61	84%
<b>Total</b>	<b>169</b>	<b>323</b>	<b>65</b>	<b>84</b>	<b>492</b>	<b>149</b>	<b>641</b>	<b>77%</b>

The IPID provincial and satellite offices attended a number of crime scenes on criminal cases not related to death cases. Table 29 shows the total number of crime scenes attended. A total of 35 crime scenes were attended, which includes 9 scenes of a complaint of a discharge of an official firearm, 7 scenes of rape by police officer, 1 scene of rape in police custody, 1 scene of assault and 17 scenes relating to other criminal offences.

**Table 29: Crime scenes attended (excluding deaths)**

Provinces	Complaint of a discharge of an official fire-arm(s)	Rape by police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Other criminal offence	Total
Eastern Cape	4	1	-	-	-	-	-	-	<b>5</b>
Free State	-	-	-	-	-	-	-	-	-
Gauteng	-	2	-	-	-	-	-	-	<b>2</b>
KwaZulu-Natal	1	2	-	-	-	-	-	2	<b>5</b>
Limpopo	1	-	-	-	-	-	-	-	<b>1</b>
Mpumalanga	1	1	1	-	-	-	-	13	<b>16</b>
North West	1	-	-	-	-	-	-	1	<b>2</b>
Northern Cape	1	-	-	-	1	-	-	1	<b>3</b>
Western Cape	-	1	-	-	-	-	-	-	<b>1</b>
<b>Total</b>	<b>9</b>	<b>7</b>	<b>1</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>17</b>	<b>35</b>

## 9. CRIMINAL RECOMMENDATIONS TO NPA AND COURT ATTENDANCE

After the completion of every investigation where there is evidence of wrong doing, recommendations are forwarded to the NPA who, based on the evidence at hand, will make a decision whether or not to institute criminal proceedings against the suspect(s).

Table 30(a) shows the total number of recommendations referred to the NPA by the respective provinces for the period under review. A total of 1470 criminal recommendations were made to the NPA for decision, comprising of 91 cases of deaths, 33 cases of complaints of the discharge of official firearm(s), 34 cases of rape by a police officer, 1 200 cases of assault, 18 cases of corruption, 13 cases of non-compliance with IPID Act and 81 cases related to other criminal offences. No recommendations in respect of rape in police custody, torture or systemic corruption were forwarded to the NPA.

**Table 30(a): Criminal Recommendations referred to NPA-2013/2014**

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Total
Eastern Cape	-	9	3	-	-	-	57	2	-	2	4	<b>77</b>
Free State	-	4	8	4	-	-	194	6	-	2	23	<b>241</b>
Gauteng	-	4	-	1	-	-	23	1	-	-	-	<b>29</b>
KwaZulu-Natal	1	19	-	8	-	-	27	3	-	1	6	<b>65</b>
Limpopo	1	4	-	1	-	-	88	1	-	-	6	<b>101</b>
Mpumalanga	1	4	1	-	-	-	53	-	-	1	11	<b>71</b>
North West	-	6	1	-	-	-	122	-	-	-	7	<b>136</b>
Northern Cape	1	1	6	6	-	-	111	4	-	5	5	<b>139</b>
Western Cape	15	21	14	14	-	-	525	1	-	2	19	<b>611</b>
<b>Total</b>	<b>19</b>	<b>72</b>	<b>33</b>	<b>34</b>	<b>-</b>	<b>-</b>	<b>1200</b>	<b>18</b>	<b>-</b>	<b>13</b>	<b>81</b>	<b>1470</b>

Every recommendation forwarded to the NPA will be evaluated based on the evidence presented; a decision will be made on the case. Once a decision is made, the IPID will be duly informed. Table 30(b) shows the details on the outcomes of the recommendations made to the NPA.

**Table 30(b): Outcome of Criminal recommendations made to the NPA**

Provinces	Declined to prosecute	Prosecute	NPA requested more information	NPA response awaited	Total
Eastern Cape	6	1	-	70	<b>77</b>
Free State	8	9	-	224	<b>241</b>
Gauteng	1	-	-	28	<b>29</b>
KwaZulu-Natal	6	-	1	58	<b>65</b>
Limpopo	4	-	-	97	<b>101</b>
Mpumalanga	4	-	-	67	<b>71</b>
North West	1	-	-	135	<b>136</b>
Northern Cape	-	-	-	139	<b>139</b>
Western Cape	11	6	-	594	<b>611</b>
<b>Total</b>	<b>41</b>	<b>16</b>	<b>1</b>	<b>1412</b>	<b>1470</b>

The number of days cases spent on the court roll forms part of the process between completion and closure of the case. Where the criminal recommendations were accepted by the NPA, the case goes to court and the IPID investigating officer has the responsibility to attend every court date. Table 31 shows the total number of days cases spent in court per province in the period under review. A total number of 1 251 days was recorded on different matters.

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	-	-	-	-	-	-	-	-	-	-	-	-	-
Free State	-	32	-	24	-	-	128	4	-	-	27	-	<b>215</b>
Gauteng	1	8	-	1	-	-	16	-	-	-	2	-	<b>28</b>
KwaZulu-Natal	-	108	2	13	3	-	9	36	-	-	11	-	<b>182</b>
Limpopo	1	68	56	8	-	-	80	17	-	-	4	-	<b>234</b>
Mpumalanga	4	42	2	-	-	1	68	1	-	3	101	-	<b>222</b>
North West	1	17	9	15	2	1	103	1	-	1	87	-	<b>237</b>
Northern Cape	-	-	-	1	-	-	3	1	-	-	1	-	<b>6</b>
Western Cape	-	54	-	28	-	-	38	-	-	-	7	-	<b>127</b>
<b>Total</b>	<b>7</b>	<b>329</b>	<b>69</b>	<b>90</b>	<b>5</b>	<b>2</b>	<b>445</b>	<b>60</b>	<b>-</b>	<b>4</b>	<b>240</b>	<b>-</b>	<b>1251</b>

## 10. DISCIPLINARY RECOMMENDATIONS

Part of the mandate of the IPID is to make disciplinary recommendations to the SAPS after investigations have been completed. Table 32(a) shows the total number of relevant disciplinary recommendations referred by the respective provinces to the SAPS. A total number of 884 disciplinary recommendations were referred to SAPS during the period under review.

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	6	23	15	4	-	-	154	-	-	2	14	-	<b>218</b>
Free State	1	1	2	1	-	-	52	5	-	2	5	-	<b>69</b>
Gauteng	3	8	1	4	-	-	32	1	-	2	11	-	<b>62</b>
KwaZulu-Natal	1	12	6	3	-	-	31	4	-	3	9	-	<b>69</b>
Limpopo	-	4	2	1	-	-	15	-	-	-	4	-	<b>26</b>
Mpumalanga	3	2	6	1	1	-	45	1	-	7	8	-	<b>74</b>
North West	2	2	2	1	-	-	76	2	-	1	12	-	<b>98</b>
Northern Cape	-	2	2	-	-	-	39	2	-	1	2	-	<b>48</b>
Western Cape	5	9	10	13	-	-	166	-	-	8	9	-	<b>220</b>
<b>Total</b>	<b>21</b>	<b>63</b>	<b>46</b>	<b>28</b>	<b>1</b>	<b>-</b>	<b>610</b>	<b>15</b>	<b>-</b>	<b>26</b>	<b>74</b>	<b>-</b>	<b>884</b>

The status of the disciplinary recommendations referred to the SAPS is recorded in Table 32(b) below. There has been an improvement in the general responsiveness of the SAPS in terms of the recommendations referred to it. The SAPS initiated disciplinary investigations in all (100%) of the recommendations and provided progress and outcomes thereon, in compliance with section 30(a), (b) and (c) of the IPID Act.

However, the IPID requires a full report on the outcomes of the recommendations that were made to the SAPS. Therefore compliance from the SAPS in terms of providing the IPID with a comprehensive report on the implementation of the Department's recommendations remains a challenge. At the time of compiling this report, compliance was at 4.3% as only 38 full reports were received out of the 884 recommendations referred to the SAPS. Cases that remain unconfirmed are therefore 846.

With regard to the previous financial year (2012/2013), full responses were received in 15% of the 788 recommendations referred to the SAPS. The SAPS responded to 615 recommendations (78%) but still needs to respond in respect of 173 recommendations.

**Table 32(b): Status of disciplinary recommendations referred to SAPS-2013/2014**

Province	Outcomes of disciplinary matters					Unresolved disciplinary matters					Grand Total
	Corrective counselling	Not guilty	Suspension	Guilty	Dismissal from service	Withdrawn by complainant	Voluntary resignation	Disciplinary hearing in process	No disciplinary steps taken	Disciplinary investigation initiated	
Eastern Cape	-	7	1	14	-	6	4	17	46	123	218
Free State	1	6	-	8	-	3	-	22	4	25	69
Gauteng	-	-	-	10	-	2	-	3	1	46	62
KwaZulu-Natal	1	4	-	5	-	-	1	13	9	36	69
Limpopo	-	1	-	1	-	1	-	3	3	17	26
Mpumalanga	1	4	-	15	-	4	-	10	4	36	74
North West	-	4	1	24	-	1	-	1	15	52	98
Northern Cape	-	-	2	-	-	-	-	38	-	8	48
Western Cape	2	7	-	21	-	8	1	1	51	129	220
<b>Grand Total</b>	<b>5</b>	<b>33</b>	<b>4</b>	<b>98</b>	<b>-</b>	<b>25</b>	<b>6</b>	<b>108</b>	<b>133</b>	<b>472</b>	<b>884</b>



## 11. CONVICTIONS AND ACQUITTALS

### 11.1. DISCIPLINARY CONVICTIONS

Not only does the IPID make criminal recommendations for the prosecution of suspects but it also makes recommendations to the SAPS/MPs for disciplinary steps to be taken against the suspects. Table 33 shows the total number of disciplinary convictions that were reported by the respective provinces during the period under review. A total number of 135 disciplinary convictions were reported.

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	-	4	-	3	-	-	9	1	-	1	2	-	20
Free State	-	1	1	4	-	-	11	1	-	3	7	6	34
Gauteng	-	2	-	-	-	-	2	-	-	-	1	-	5
KwaZulu-Natal	-	-	-	-	-	-	-	-	-	-	-	-	-
Limpopo	-	1	1	-	-	-	-	-	-	-	-	-	2
Mpumalanga	1	1	-	-	-	-	8	-	-	3	3	-	16
North West	-	3	2	1	-	-	19	-	-	-	5	3	33
Northern Cape	-	1	1	-	-	-	12	-	-	-	2	-	16
Western Cape	1	-	-	-	-	-	7	-	-	-	1	-	9
<b>Total</b>	<b>2</b>	<b>13</b>	<b>5</b>	<b>8</b>	<b>-</b>	<b>-</b>	<b>68</b>	<b>2</b>	<b>-</b>	<b>7</b>	<b>21</b>	<b>9</b>	<b>135</b>

The details of disciplinary convictions against members of the SAPS are shown in Table 34. One of the areas of concern for the IPID is the trivial disciplinary sanctions meted out by the SAPS to members who are convicted of serious offences. These range from "written warnings" to "suspended dismissals". The effect of such inappropriate sanctions is to trivialise the seriousness of the offences and the makes a mockery of the disciplinary processes.

No.	CCN	Station	Nature of complaint	Sentence / Sanction
1	2012090632 (FS)	Lindley	Assault-common	Written warning
2	2013010069 (FS)	Ladybrand	Assault-common	Written warning
3	2012080501 (FS)	Parkroad	Assault-common	Dismissal suspended for 6 months
4	2012050517 (FS)	Verkeerdevelei	Discharge of official firearm	Final written warning
5	2012100027 (FS)	Theunessin	Assault-common	Written warning
6	2012070450 (FS)	Tweespruit	Non-compliance with Section 29 of IPID Act	Written warning
7	2010040318 (FS)	Bothaville	Negligence of duty	Verbal warnings
8	2010070615 (FS)	Boshoff	Assault-GBH	Written warning
9	2011010414 (FS)	Wepener	Pointing of firearm	Reprimanded
10	2011100045 (FS)	Batho	Attempted murder	Written warning
11	2011100064 (FS)	Parkroad	Attempted murder	Fined R200
12	2012060159 (FS)	Seloshesha	Non-compliance with Section 29 of IPID Act	Written warning
13	2012060310 (FS)	Bultfontein	Non-compliance with Section 29 of IPID Act	Verbal warning
14	2012110761 (FS)	Thaba Nchu	Rape	Dismissal from service
15	2013010127 (FS)	Boithuso	Assault-common	Written warning
16	2011110038 (FS)	Ladybrand	Misconduct	Dismissal suspended for 6 months

17	2011080293 (FS)	Makwane	Corruption	Dismissal suspended for 6 months and R500 fined.
18	2012060649 (FS)	Boithuso	Malicious damage to property	Dismissal from the service
19	2010050296 (FS)	Hoopstad	Rape	Dismissal from the service
20	2010100469 (FS)	Meloding	Attempted murder	Dismissal suspended for 6 months
21	2010110107 (FS)	Meloding	Misconduct	Dismissal suspended for 6 months
22	2011070353 (FS)	Van Stadensrus	Rape	Dismissal suspended for 6 months
23	2011080065 (FS)	Ficksburg	Attempted murder	Dismissal suspended for 6 months
24	2011120060 (FS)	Theunissen	Assault-common	Dismissal suspended for 6 months
25	2013020344 (FS)	Thabong	Attempted murder	Dismissal suspended for 6 months
26	2011020610 (FS)	Bloemspruit	Murder*	Dismissal suspended for 6 months and fined R500
27	2010070534 (FS)	Excelsior	Rape	Final written warning
28	2011120037 (FS)	Winburg	Assault-common	Verbal warning
29	2009100165 (FS)	Bloemspruit	Misconduct	Verbal warnings
30	2011120037 (FS)	Parkroad	Assault-common	Written warning
31	2010050403 (FS)	Kopanong	Misconduct	Written Warning
32	2012090285 (FS)	Parkweg	Assault-common	Written Warning
33	2011110235 (FS)	Paul Roux	Assault-common	Written warnings
34	2012100739 (GP)	Temba	Assault-GBH	Written warning
35	2012020315 (GP)	Protea Glen	Attempted murder	Dismissed from service
36	2012120047 (GP)	Dobsonville	Murder*	Dismissal from service
37	2013050037 (GP)	Gauteng Provincial Support Command	Assault-common	Suspended dismissal for five months. Suspension without remuneration for one month. Final written warning
38	2012010079 (NC)	Paballelo	Assault-common	Written warning
39	2012090186 (NW)	Bloemhof	Assault-common	Written warning
40	2012060366 (NW)	Sannieshof	Assault-common	Written warning
41	2011080264 (NW)	Piet Plessis	Attempted murder	Suspended dismissal for a period of 6 months and fined R 500
42	2012030139 (NW)	Huhudi	Assault-common	Written warning
43	2012100020 (NW)	Khuma	Assault-GBH	Written warning
44	2012050034 (NW)	Hebron	Assault-common	Verbal warning
45	2013020498 (NW)	Stilfontein	Assault-common	Written warning
46	2011050434 (NW)	Lichtenburg	Murder*	Dismissed from service
47	2011010200 (NW)	Mmabatho	Improper performance of duty	Verbal warning
48	2012040269 (NW)	Khuma	Assault-GBH	Written warning
49	2010070100 (NW)	Mafikeng	Assault-common	Verbal warning
50	2011050028 (NW)	Zeerust	Assault-GBH	Written warning
51	2012050548 (WC)	Beaufort West	Robbery	Dismissed from service
52	2012060047 (WC)	Mfuleni	Assault-GBH	Suspended dismissal for 6 months
53	2012040173 (WC)	Delft	Assault-common	Corrective counselling
54	2012070415 (EC)	Buffalo Flats	Assault-GBH	Fined R500 and dismissal suspended for six (6) months
55	2013030660 (EC)	Peddie	Rape	Dismissed from service
56	2010120043(FS)	Viljoenskroon	Misconduct	Written warning
57	2013090001(GP)	Westonaria	Murder*	Suspended
58	2013010163 (LP)	Seshego	Discharge of an official firearm	Suspended for two months without salary
59	2012050130 (LP)	Thohoyandou	Murder*	Discharged from the SAPS
60	2013020542 (MP)	Nelspruit	Assault-common	Written warning
61	2013010444 (MP)	Pienaar	Murder*	Dismissed from service
62	2012090581 (MP)	Barberton	Non-compliance with section 29 of IPID Act	Written warning

63	2013010112 (MP)	Barberton	Assault-common	Written warning
64	2012060505 (MP)	Nelspruit	Non-compliance with section 29 of IPID Act	Written warning
65	2012040148 (MP)	Matsulu	Assault-common	Verbal warning
66	2012040333 (MP)	Kanyamazane	Non-compliance with section 29 of IPID Act	Written warning
67	2013070037 (MP)	Ngodwana	Assault-common	Verbal warning
68	2012030472 (MP)	Kaapmuiden	Assault-common	Verbal warning
69	2013070152 (MP)	Kabokweni	Attempted murder	Final written warning and fined R500
70	2013040307 (MP)	Pienaar	Attempted murder	Written warning
71	2013030044 (MP)	Schoemansdaal	Assault-common	Written warning
72	2012070334 (MP)	Matsulu	Attempted murder	Fined R300
73	2013080250 (MP)	Malelane	Assault-GBH	Verbal warning
74	2012120040 (MP)	Blinkpan	Murder**	Fined R300
75	2012120096 (MP)	Bethal	Assault-common	Fined R400
76	2010100601 (NW)	Lehurutshe	Misconduct	Written warning
77	2009090260 (NW)	Lehurutshe	Murder*	Dismissed from service
78	2012120514 (NW)	Kanana	Murder*	Dismissed from service
79	2012040247 (NW)	Biesiesvlei	Discharge of an official firearm	Verbal warning
80	2013010179 (NW)	Ikageng	Discharge of an official firearm	Final written warning
81	2012050438 (NW)	Ganyesa	Assault-common	Written warning
82	2012050139 (NW)	Madikwe	Assault-common	Written warning
83	2012060509 (NW)	Swartruggens	Assault-GBH	Written warning
84	2011090125 (NW)	Makwassie	Arson	Written warning
85	2008090237 (NW)	Mmabatho	Attempted murder	Fined R500
86	2012060064 (NW)	Delareyville	Attempted murder	Final written warning
87	2010070492 (NW)	Mafikeng	Attempted murder	Dismissed from service
88	2013030210 (NW)	Ventersdorp	Assault-common	Verbal warning
89	2013070018 (NW)	Bloemhof	Assault-common	Verbal warning
90	2013040402 (NW)	Madikwe	Assault-common	Written warning
91	2013070293 (NW)	Itsoseng	Assault-common	Written warning
92	2009050108 (NW)	Mmabatho	Misconduct	Written warning
93	2013110466 (NW)	Bloemhof	Assault-GBH	Written warning
94	2013090080 (NW)	Rustenburg	Assault-common	Verbal warning
95	2013070042 (NW)	Mogwase	Assault-common	Written warning
96	2013100401 (NW)	Ventersdorp	Rape	Dismissed from service
97	2013030172 (WC)	Worcester	Assault-common	Written warning
98	2014020074 (WC)	Mcgregor	Assault-common	Corrective counselling
99	2013080122 (WC)	Rawsonville	Murder**	Written warning
100	2013030391 (WC)	Graafwater	Assault-GBH	Written warning
101	2012110208 (WC)	Malmesbury	Assault-common	Verbal warning
102	2013020366 (WC)	George	Assault-common	Corrective Counselling
103	2013020013 (EC)	Willowmore	Non-compliance with section 29 of IPID Act	Verbal warnings given
104	2013030660 (EC)	Moyeni	Rape	Dismissed from service
105	2013030109 (EC)	Humewood	Assault-GBH	Dismissed from service
106	2013040165 (EC)	Kwanobuhle	Rape	Dismissal suspended for 6 months and fined R500
107	2013040167 (EC)	Kwanobuhle	Attempted murder	Dismissal suspended for 6 months
108	2013030232 (EC)	Barkly east	Attempted murder	Written warning
109	2013050188 (EC)	Port St. Johns	Murder*	Dismissal suspended for 6 months and fined R500
110	2013050172 (EC)	Tsomo	Murder*	Dismissed from service

111	2012030032 (EC)	Ntabankulu	Murder*	Suspension for three (3) months without remuneration, suspended for 6 months and fined of R500
112	2013080190 (EC)	Alice	Murder*	Dismissed from service
113	2013030647 (EC)	Balfour	Assault-GBH	Written warning
114	2013070315 (EC)	Cookhouse	Assault-common	Verbal warning
115	2013070444 (EC)	Sterkspruit	Assault-GBH	Suspension for two (2) months without remuneration
116	2013090147 (EC)	Cookhouse	Assault-common	Verbal warning
117	2013090585 (EC)	Mount fletcher	Assault-GBH	Final written warning
118	2013040058 (EC)	Moyeni	Corruption	Dismissal suspended for 6 months and fined R500
119	2013110413 (EC)	Queenstown	Assault-GBH	Written warning
120	2013020051 (EC)	Mount road	Assault -common	Verbal warning
121	2012100001 (NC)	Keimoes	Discharge of an official firearm	Written warning
122	2012010079 (NC)	Paballelo	Assault-common	Written warning and fined R150
123	2012090644 (NC)	Witdraai	Fraud	Written warning
124	2012050198 (NC)	Kagisho	Assault-GBH	Fined R500
125	2013010712 (NC)	Warrenton	Assault-common	Written warning
126	2012070529 (NC)	Roodepan	Assault-common	Written warning
127	2012030063 (NC)	Olifantshoek	Assault-common	Written warning
128	2012040293 (NC)	Upington	Assault-sexual	Dismissed from service
129	2012070286 (NC)	Pella	Assault-common	Fined R500
130	2012110697 (NC)	Springbok	Assault-GBH	Dismissal suspended for six months
131	2012110762 (NC)	Upington	Assault-GBH	Dismissal suspended for six months and a fined R500
132	2012080594 (NC)	Roodepan	Culpable homicide*	Dismissal suspended for six months
133	2013010184 (NC)	Petrusburg	Assault-common	Fined R250
134	2012040036 (NC)	Keimoes	Assault-common	Fined R200
135	2011110027 (NC)	Warrenton	Defeating the ends of justice	Dismissal suspended for six months

## 11.2. DISCIPLINARY ACQUITTALS

Not only does the IPID make criminal recommendations to prosecute suspects but it also makes recommendations to the SAPS/MPS relating to disciplinary steps to be taken against the suspects. Table 35 shows the total number of disciplinary acquittals that were reported by the respective provinces during the period under review. A total of 96 disciplinary acquittals were reported.

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	-	2	-	1	-	-	4	-	-	-	-	-	7
Free State	2	-	1	2	-	-	3	-	-	1	1	2	12
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-	-
KwaZulu-Natal	-	-	-	-	-	-	-	-	-	-	-	-	-
Limpopo	-	-	1	-	-	-	-	-	-	-	-	-	1
Mpumalanga	-	-	-	-	-	-	7	1	-	2	5	-	15
North West	2	1	-	-	-	-	4	1	-	-	2	-	10
Northern Cape	2	1	-	1	-	-	36	2	-	-	4	-	46
Western Cape	-	-	-	-	-	-	5	-	-	-	-	-	5
<b>Total</b>	<b>6</b>	<b>4</b>	<b>2</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>59</b>	<b>4</b>	<b>-</b>	<b>3</b>	<b>12</b>	<b>2</b>	<b>96</b>

Table 36 sets out the details of disciplinary acquittals in which SAPS members were found not guilty.

<b>Table 36: Details of disciplinary acquittals</b>				
<b>No.</b>	<b>CCN</b>	<b>Station</b>	<b>Nature of complaint</b>	<b>Sentence / sanction</b>
1	2012040190 (FS)	Sasolburg	Assault-common	Acquitted
2	2012060650 (FS)	Kroonstad	Non-compliance with section 29 of IPID Act	Acquitted
3	2012080837 (FS)	Bethlehem	Assault-common	Acquitted
4	2012040216 (FS)	Dealsville	Assault-common	Acquitted
5	2012040002 (FS)	Maokeng	Murder**	Acquitted
6	2012060341 (FS)	Thabong	Rape	Acquitted
7	2012050344 (FS)	Heilbron	Rape	Acquitted
8	2012050008 (FS)	Jacobsdal	Discharge of official firearm	Acquitted
9	2011050374 (NW)	Orkney	Murder*	Acquitted
10	2012050303 (NW)	Ikageng	Assault-GBH	Acquitted
11	2009120013 (NW)	Mafikeng	Corruption	Acquitted
12	2011060089 (NW)	Vryburg	Murder**	Acquitted
13	2012050122 (WC)	Ceres	Assault-common	Acquitted
14	2012110131 (EC)	Humansdorp	Assault-common	Acquitted
15	2010110085 (FS)	Mangaung	Misconduct	Acquitted
16	2010040102 (FS)	Kestel	Pointing of firearm	Acquitted
17	2010090331 (FS)	Bethlehem	Misconduct	Acquitted
18	2011010567 (FS)	Bothaville	Murder**	Acquitted
19	2012120502 (LP)	Seshego	Discharge of an official firearm	Acquitted
20	2013030431 (MP)	Bushbuckridge	Corruption	Acquitted
21	2011090053 (MP)	Nelspruit	Assault-common	Acquitted
22	2013070187 (MP)	Graskop	Non-compliance with section 29 of IPID Act	Acquitted
23	2013040129 (MP)	Pienaar	Assault-common	Acquitted
24	2012050324 (MP)	Carolina	Attempted murder	Acquitted
25	2013020463 (MP)	Kanyamazane	Attempted murder	Acquitted
26	2012050353 (MP)	Hazyview	Attempted murder	Acquitted
27	2011110019 (MP)	Tonga	Assault-common	Acquitted
28	2012100544 (MP)	Masoyi	Assault-common	Acquitted
29	2011060206 (MP)	Elukwatini	Attempted murder	Acquitted
30	2013110024 (MP)	Lydenburg	Non-compliance with section 29 of IPID Act	Acquitted
31	2013060292 (MP)	Masoyi	Assault-GBH	Acquitted
32	2012090573 (MP)	Barberton	Assault-GBH	Acquitted
33	2012050275 (MP)	Emzinoni	Assault-common	Acquitted
34	2011090243 (MP)	Badplaas	Attempted murder	Acquitted
35	2009100509 (NW)	Lomanyaneng	Arson	Acquitted
36	2012070549 (NW)	Rustenburg	Assault-GBH	Acquitted
37	2012090450 (NW)	Wolmaransstad	Assault-common	Acquitted
38	2012100714 (NW)	Jourberton	Attempted murder	Acquitted
39	2012060380 (NW)	Ikageng	Assault-GBH	Acquitted
40	2012010016 (NW)	Coligny	Murder**	Acquitted
41	2012080147 (WC)	Worcester	Assault-common	Acquitted
42	2012080450 (WC)	Strand	Assault-common	Acquitted
43	2012080451 (WC)	Strand	Assault-common	Acquitted
44	2012080445 (WC)	Strand	Assault-common	Acquitted
45	2011090381 (EC)	Tylden	Murder*	Acquitted
46	2013030128 (EC)	Bizana	Assault-GBH	Acquitted
47	2013040202 (EC)	Humansdorp	Assault- common	Acquitted

48	2013070035 (EC)	Willowmore	Rape	Acquitted
49	2013090071 (EC)	Nemato	Sexual assault	Acquitted
50	2013120297 (EC)	Zwide	Murder*	Acquitted
51	2012020182 (NC)	Paballelo	Assault-common	Acquitted
52	2012120149 (NC)	Warrenton	Assault-common	Acquitted
53	2012030369 (NC)	Marydale	Theft	Acquitted
54	2011070207 (NC)	Port Nolloth	Assault-GBH	Acquitted
55	2012030138 (NC)	Noupoort	Attempted murder	Acquitted
56	2012060175 (NC)	Jan Kempdorp	Assault-common	Acquitted
57	2012060534 (NC)	Colesberg	Assault-common	Acquitted
58	2012080428 (NC)	Roodepan	Assault-common	Acquitted
59	2012090134 (NC)	Galeshewe	Assault-common	Acquitted
60	2012100199 (NC)	Rosedale	Murder**	Acquitted
61	2012060583 (NC)	Rosedale	Assault-common	Acquitted
62	2012080364 (NC)	Kimberly	Assault-common	Acquitted
63	2012090638 (NC)	Rietfontein	Assault-common	Acquitted
64	2012080322 (NC)	Galeshewe	Murder*	Acquitted
65	2013060348 (NC)	Nababeep	Rape	Acquitted
66	2013080383 (NC)	Springbok	Assault-GBH	Acquitted
67	2013090120 (NC)	Jan Kempdorp	Assault-common	Acquitted
68	2013080399 (NC)	Jan Kempdorp	Assault-common	Acquitted
69	2013070321 (NC)	Strydenburg	Assault-common	Acquitted
70	2012080413 (NC)	Kimberly	Assault-common	Acquitted
71	2013020193 (NC)	Kagisho	Assault-common	Acquitted
72	2013070044 (NC)	Douglas	Assault-common	Acquitted
73	2013040138 (NC)	Keimoes	Attempted murder	Acquitted
74	2013090197 (NC)	Williston	Assault common	Acquitted
75	2013090515 (NC)	Roodepan	Corruption	Acquitted
76	2013070435 (NC)	Galeshewe	Assault-GBH	Acquitted
77	2013090255 (NC)	Warrenton	Assault-common	Acquitted
78	2013050183 (NC)	De Aar	Murder**	Acquitted
79	2012120289 (NC)	Kimberly	Assault-common	Acquitted
80	2013070046 (NC)	Roodepan	Assault-common	Acquitted
81	2013070317 (NC)	Kuyasa	Assault-common	Acquitted
82	2013010447 (NC)	Strydenburg	Assault-common	Acquitted
83	2013050326 (NC)	De Aar	Defeating the ends of justice	Acquitted
84	2013050339 (NC)	De Aar	Assault-common	Acquitted
85	2013090252 (NC)	Jan Kempdorp	Assault-GBH	Acquitted
86	2013070380 (NC)	Barkly West	Assault-common	Acquitted
87	2013100105 (NC)	Jan Kempdorp	Assault-GBH	Acquitted
88	2013050521 (NC)	Kimberly	Assault-common	Acquitted
89	2013100119 (NC)	Barkly West	Assault-common	Acquitted
90	2013090485 (NC)	Upington	Assault-common	Acquitted
91	2013090197(NC)	Williston	Assault-common	Acquitted
92	2013090517 (NC)	Roodepan	Corruption	Acquitted
93	2013090225 (NC)	Warrenton	Assault-common	Acquitted
94	2013050516 (NC)	Olifantshoek	Assault-GBH	Acquitted
95	2013090054 (NC)	Jan Kempdorp	Assault-GBH	Acquitted
96	2012080414 (NC)	Warrenton	Assault-common	Acquitted



### 11.3. CRIMINAL CONVICTIONS

The IPID is dedicated to investigating acts of criminality allegedly perpetrated by members of the SAPS/MPS and bring these members to justice. Once the investigation is completed and recommendations are forwarded to the NPA, the court procedure takes over where the only role the IPID plays is to provide the court with enough evidence to make an appropriate ruling on the case at hand. Sometimes the case does result in a conviction. Table 37 shows the total number of criminal convictions that have been reported by the respective provinces during the period under review. A total of 84 criminal convictions were reported, which comprised of six (6) cases of deaths in police custody, twenty-seven (27) of deaths as a result of police action, nine (9) cases of complaints of the discharge of official firearms, five (5) cases of rape by a police officer, twenty-two (22) cases of assault, three (3) cases of corruption and eleven (11) cases relating to other criminal matters.

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	2	2	2	-	-	-	2	-	-	-	2	-	10
Free State	-	2	1	1	-	-	5	-	-	-	1	-	10
Gauteng	-	6	-	1	-	-	1	2	-	-	1	-	11
KwaZulu-Natal	4	6	-	2	-	-	-	-	-	-	3	-	15
Limpopo	-	5	6	1	-	-	6	1	-	-	1	-	20
Mpumalanga	-	1	-	-	-	-	1	-	-	-	-	-	2
North West	-	1	-	-	-	-	1	-	-	-	1	-	3
Northern Cape	-	1	-	-	-	-	5	-	-	-	2	-	8
Western Cape	-	3	-	-	-	-	1	-	-	-	-	-	4
<b>Total</b>	<b>6</b>	<b>27</b>	<b>9</b>	<b>5</b>	<b>-</b>	<b>-</b>	<b>22</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>11</b>	<b>-</b>	<b>83</b>

During the period under review, the IPID brought suspects before courts and presented the courts with evidence of alleged criminal offences investigated by it. Table 38 sets out the details of convictions for criminal offences in which SAPS members were found guilty.

No.	CCN	Station	Nature of complaint	Sentence / Sanction
1	2012100193 (EC)	Humansdorp	Assault-common	Fined R1 200
2	2009030119 (EC)	Jeffreys Bay	Murder**	Sentenced to 18 months imprisonment suspended for 5 years and 3 years correctional supervision
3	2009010594 (EC)	Tarkastad	Discharge of official firearm	Sentenced to 6 months imprisonment or R 3 000 fine
4	2007100501 (EC)	Maclear	Malicious damage to property	Fined R1 400
5	2007080440 (EC)	Queenstown	Murder**	Sentenced to 2 years imprisonment alternatively a R20 000 fine
6	2012110111 (FS)	Welkom	Attempted murder	Sentenced to 18 months or R 5 000 fine suspended for 3 years conditionally
7	2010020303 (FS)	Deneysville	Rape	Sentenced to 18 years imprisonment
8	2011080261 (FS)	Viljoenskroon	Culpable homicide*	Sentenced to 8 years imprisonment suspended in full for 5 years
9	2012110111 (FS)	Welkom	Discharge of official firearm	Sentenced to 3 months imprisonment or R5 000 fine
10	2010020287 (FS)	Kopanong	Culpable homicide*	Sentenced to 2 years imprisonment suspended for 5 years conditionally or R4 000
11	2012120193 (FS)	Boithuso	Assault-common	Fined R500
12	2012070208 (FS)	Wesselsbron	Assault-common	Fined R4 000 or 12 months imprisonment suspended for 3 years
13	2010020141 (GP)	KwaThema	Corruption	Fined R5 000 or 2 years imprison and further 3 years imprisonment and suspended for 3 years
14	2012050013 (GP)	Kathlehong	Murder*	Sentenced to 15 years imprisonment

No.	CCN	Station	Nature of complaint	Sentence / Sanction
15	2012080075 (LP)	Mokopane	Assault-GBH	Sentenced to 2 months imprisonment or R20 000 fine
16	2012060068 (LP)	Pienaarsrivier	Assault-GBH	Fined R2 000 or 6 months imprisonment 3 years for both counts
17	2012060473 (LP)	Pienaarsrivier	Assault-GBH	Fined R2 000 or 6 months imprisonment 3 years for both counts
18	2012090427 (LP)	Thohoyandou	Discharge of official firearm	Fined R10 000 or 12 months imprisonment-wholly suspended for 3 years
19	2012100717 (LP)	Malamulele	Discharge of official firearm	Fined R1 000 or 6 months imprisonment
20	2010100406 (LP)	Tubatse	Assault-GBH	Sentenced to 3 years imprisonment or fine of R6 000
21	2010110094 (LP)	Mankweng	Corruption	Fined R10 000 or 4 years imprisonment
22	2012020297 (LP)	Sekhukhune	Assault-GBH	Sentenced to 18 months imprisonment or fine of R6 000
23	2010080438 (LP)	Phalaborwa	Murder*	Sentenced to 7 years imprisonment
24	2011110253 (LP)	Litsitele	Murder*	Sentenced to 15 years imprisonment
25	2011100332 (LP)	Lephalale	Murder*	Sentenced to 19 years imprisonment
26	2012060170 (NC)	Norvalspont	Assault-common	Fined R1 000 or 2 months imprisonment suspended for 3 years on conditions of SAPS 69
27	2012040059 (NC)	Keimoes	Operating a motor vehicle under the influence of intoxicating alcohol or drugs	Fined R12 000 or 12 months imprisonment, half suspended for 5 years
28	2012040106 (NC)	Windsorton	Crimen Injuria	Sentenced to 3 months imprisonment, suspended for 3 years
29	2009070501 (NC)	Upington	Assault-GBH	Fined R200
30	2012090350 (NC)	Kagisho	Assault-GBH	Sentenced to 2 years imprisonment of which 1 years imprisonment is suspended for 3 years
31	2013030002 (NC)	Galeshewe	Assault-common	Sentenced to 6 months or compensation order of R10 000
32	2012030423 (NC)	Prieska	Assault-common	Fined R200
33	2009120159 (NW)	Taung	Assault-common	Fined R500
34	2005030294 (WC)	Langa	Culpable homicide*	Sentenced to 1 440 hours direct imprisonment
35	2007030321(LP)	Lebowakgomo	Defeating the ends of justice	Fined R3000 or 12 months imprisonment and suspended for 3 years
36	2012070649(LP)	Makhado	Culpable homicide*	Fined R10000 or 12 months imprisonment and R5000 suspension
37	2013010163(LP)	Seshego	Discharge of an official firearm	Fined R2000 or 1 year imprisonment suspended for 5 years
38	2012120514(NW)	Kanana	Culpable homicide*	Sentenced for 8 years imprisonment suspended for 5 years.
39	2007080143 (WC)	Harare	Murder*	Sentenced for 10 years imprisonment
40	2010070282 (EC)	Matatiele	Culpable homicide*	Sentenced for 5 years wholly suspended for 5 years
41	2012090523(FS)	Zamdela	Assault-common	Fined R1000 or 4 months imprisonment half suspended for 5 years conditionally
42	2012060211(LP)	Mankweng	Rape	Sentenced for 10 years imprisonment

No.	CCN	Station	Nature of complaint	Sentence / Sanction
43	2012100631(LP)	Naboomspruit	Discharge of official firearm	Sentenced for 5 years and declared unfit to possess firearm.
44	2010100067(LP)	Lebowakgomo	Discharge of official firearm	Sentenced for 18 months in prison wholly suspended for 3 year. Declared unfit to possess firearm
45	2011100447 (MP)	Siyabuswa	Murder*	Sentenced for 5 year imprisonment
46	2013070403 (MP)	Whiteriver	Assault-common	Fined R500
47	2010070276/ 2010070273 (WC)	Durbanville	Assault-common	Fined R6000 or 12 months imprisonment
48	2008040332 (EC)	Mzamba	Attempted murder	Sentenced for 15 years imprisonment of which 5 years were suspended for 5 years
49	2010080214 (EC)	Cedeville	Discharge of official firearm	Sentenced for 5 years imprisonment wholly suspended for 5 years
50	2010030489 (WC)	Sea Point	Culpable homicide*	Sentenced for 5 years imprisonment
51	2012100193 (EC)	Humansdorp	Assault-GBH	Fined R1200
52	2013060135 (EC)	Mthatha	Murder*	Sentenced for 15 years imprisonment
53	2012050126 (FS)	Parkroad	Assault-GBH	Sentenced for 6 months imprisonment
54	2012080598 (GP)	Katlehong	Murder*	Sentenced for 18 years imprisonment and 6 years for attempted murder
55	2012050013 (GP)	Alberton	Murder*	Sentenced for 15 years imprisonment.
56	2013080084 (LP)	Mankweng	Discharge of official firearm	Fined R1000 or two months imprisonment wholly suspended for 3 years
57	2012120432 (NW)	Ipelegeng	Attempted murder	Sentenced for 18 years imprisonment
58	2012070435 (GP)	Hammaskraal	Rape	Sentenced for 15 years imprisonment
59	2013090618 (GP)	Loate	Murder*	Sentenced for 25 years imprisonment
60	2013090619 (GP)	Loate	Assault-common	Sentenced for 6 months imprisonment
61	2012110192 (GP)	Sunnyside	Attempted murder	Sentenced for 8 years imprisonment
62	2013080256 (GP)	Tubatse	Assault-common	Fined R6000 and R3000 suspended from work for 12 months
63	2012080377 (KZN)	Harding	Murder*	Sentenced to 12 years imprisonment of which 5 years was suspended for 15 years
64	2009090390 (KZN)	Hluhluwe	Murder**	Sentenced to 15 years imprisonment
65	2012100202 (KZN)	Cato Manor	Culpable homicide*	Sentenced to 5 years imprisonment which is suspended for 8 years on condition that the accused is not convicted of the same offence during period of suspension and to pay a fine R30000 - declared unfit to possess a firearm.
66	2010120372 (KZN)	KwaMashu	Murder**	Sentenced to 15 years imprisonment of which 5 years was suspended for 5 years
67	2008050147 (KZN)	Inanda	Attempted murder	Sentenced to 5 years imprisonment
68	2008060598 (KZN)	Inanda	Arson	Sentenced to 3 years imprisonment
69	2013040002 (KZN)	Bishopstowe	Culpable homicide*	Fined R10 000 or 18 months imprisonment suspended for 3 years

No.	CCN	Station	Nature of complaint	Sentence / Sanction
70	2008110471 (KZN)	Amanzimtoti	Defeating the ends of justice	Sentenced to 3 years and 6 months imprisonment
71	2011010003 (KZN)	Umlazi	Murder**	Sentenced to 20 years imprisonment
72	2011010005 (KZN)	Bayview	Murder*	Sentenced to 18 years imprisonment
73	2009100519 (KZN)	Umsinga	Murder**	Sentenced to 10 years imprisonment
74	2012080594 (NC)	Roodepan	Culpable homicide*	Fined R20 000 or 12 months imprisonment and a further 2 years correctional service
75	2012020352 (GP)	Palm Ridge	Culpable homicide*	Sentenced to 2 years imprisonment
76	2012070256 (KZN)	Taylor`s Halt	Rape	Sentenced to 10 years imprisonment
77	2009090390 (KZN)	Richards Bay	Murder**	Sentenced to 15 years imprisonment
78	2013040259 (FS)	Smithfield	Assault-GBH	Fined R10 000 or 6 months imprisonment
79	2012070435 (GP)	Randburg	Rape, robbery and kid-napping	Sentenced to 5 life terms and 284 years imprisonment
80	2011040363 (GP)	Kempton Park	Culpable homicide*	Sentenced to 5 years imprisonment
81	2011060324 (KZN)	Greenwood Park	Corruption	Sentenced to 8 years imprisonment

#### 11.4. CRIMINAL ACQUITTALS

The IPID is dedicated to investigating acts of alleged criminality perpetrated by members of the SAPS/MPS and bring these members to justice. Once the investigation is completed and recommendations are forwarded to the NPA, the court procedures take over where the only role the IPID plays is to provide enough evidence so that the court can make an appropriate ruling on the case at hand. Sometimes the cases do not result in a conviction. Table 39 indicates the total number of criminal acquittals that have been reported by per province during the period under review. A total of forty-two (42) criminal acquittals were reported, which comprised of six (6) cases of deaths in police custody, twelve (12) cases of deaths as a result of police action, one (1) complaint of a discharge of an official firearm(s), nine (9) cases of assault, and two (2) cases relating to other criminal offences.

Table 39: Criminal acquittals													
Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Eastern Cape	3	3	-	-	-	-	1	-	-	-	-	-	7
Free State	-	3	-	-	-	-	3	-	-	-	-	-	6
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-	-
KwaZulu-Natal	1	-	-	-	-	-	-	-	-	-	-	-	1
Limpopo	1	2	1	-	-	-	4	-	-	-	-	-	8
Mpumalanga	-	-	-	-	-	-	1	-	-	-	1	-	2
North West	-	1	-	-	-	-	-	-	-	-	-	-	1
Northern Cape	-	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	1	3	-	-	-	-	-	-	-	-	1	-	5
<b>Total</b>	<b>6</b>	<b>12</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>9</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>30</b>

During the period under review, the IPID brought suspects before courts and presented the courts with evidence of the alleged criminal offences. Table 40 below sets out the details of acquittals for criminal offences in which SAPS members were found not guilty.

<b>Table 40: Details of criminal acquittals</b>				
<b>No.</b>	<b>CCN</b>	<b>Station</b>	<b>Nature of complaint</b>	<b>Sentence / sanction</b>
1	2009020452 (EC)	KwaDwesi	Murder*	Acquitted
2	2010060296 (EC)	KaMesh	Murder*	Acquitted
3	2012100130 (FS)	Kroonstad	Assault-common	Acquitted
4	2011040291 (FS)	Ficksburg	Murder*	Acquitted
5	2012060500 (LP)	Ritavi	Assault-GBH	Acquitted
6	2011010118 (LP)	Maake	Discharge of official firearm	Acquitted
7	2012070531 (MP)	Acornhoek	Malicious damage to property	Acquitted
8	2012070353 (MP)	Belfast	Assault-GBH	Acquitted
9	2008020198 (EC)	Somerset East	Murder**	Acquitted
10	2011020564 (EC)	KwaZakhele	Murder**	Acquitted
11	2011020191 (EC)	Alice	Assault-GBH	Acquitted
12	2011050364 (EC)	King Williams Town	Murder*	Acquitted
13	2009100425 (EC)	Glen Grey	Murder**	Acquitted
14	2012120381 (FS)	Welkom	Assault-common	Acquitted
15	2011010072 (FS)	Odendaalsrus	Murder*	Acquitted
16	2010090353 (FS)	Allanridge	Murder*	Acquitted
17	2013020043 (FS)	Bultfontein	Assault-common	Acquitted
18	2013040253 (LP)	Seshego	Assault-common	Acquitted
19	2012080739 (NW)	Potchefstroom	Murder*	Acquitted
20	2010050192 (WC)	Mitchells Plain	Murder*	Acquitted
21	2010090289 (KZN)	Kokstad	Murder**	Acquitted
22	2010070078 (LP)	Elandskraal	Murder**	Acquitted
23	2009020280 (WC)	Nyanga	Murder**	Acquitted
24	2009020301 (WC)	Nyanga	Attempted murder	Acquitted
25	2011100001 (WC)	Athlone	Murder*	Acquitted
26	2011110192 (WC)	George	Murder*	Acquitted
27	2013030108 (LP)	Sekgosese	Assault common	Acquitted
28	2007030321 (LP)	Dennilton	Murder*	Acquitted
29	2007020176 (LP)	Dennilton	Murder**	Acquitted
30	2011020390 (LP)	Seshego	Assault-GBH	Acquitted

Murder\* - Death as a result of police action

Murder\*\* - Death in police custody

## 12. THE ARREST OF SAPS/MPS MEMBERS

In terms of Section 24 of the IPID Act, the IPID investigators are entitled to perform the duties of peace officers as per the Criminal Procedure Act and are expected to execute warrants and to arrest suspects as per Section 24 (2)(f) of the IPID Act, 1 of 2011. Table 41 below sets out the details of the arrest of SAPS/MPS members made during the period under review. A total of 89 SAPS/MPS members were arrested for various crimes. Some of the arrests were made by SAPS before IPID investigators arrived at the crime scene or took over the investigation.

No.	Month	CCN	Station	Charges	Number of arrest
1	September 2013	2009030515	Aliwal North	Inquest	1
2	August 2013	2013080269	Ficksburg	Assault-GBH	4
3	August 2013	2013080199	Ficksburg	Assault-GBH	1
4	August 2013	2013080272	Ficksburg	Assault-GBH	4
5	August 2013	2013080273	Ficksburg	Assault-GBH	4
6	August 2013	2013080271	Ficksburg	Assault-GBH	4
7	September 2013	2013090556	Vredefort	Attempted murder	1
8	September 2013	2013090634	Philippolis	Attempted murder	1
9	September 2013	2013090498	Trompsburg	Attempted murder	1
10	April 2013	2012010598	Dobsonville	Murder	1
11	April 2013	2012120047	Moffat View	Attempted murder	1
12	June 2013	2013060468	Vosloorus	Murder	1
13	June 2013	2013060395	Tembisa	Assault-GBH and attempted rape	1
14	August 2013	2013080063	Kagiso	Attempted murder	1
15	August 2013	2013080459	Booysens	Rape	1
16	September 2013	2013040381	Tembisa	Attempted murder	4
17	September 2013	2013090001	Bekkersdal	Murder	1
18	September 2013	2013090625	Temba	Attempted murder	1
19	September 2013	2013090003	Brooklyn	Attempted murder	1
20	September 2013	2013010466	Ivory Park	Assault-GBH	2
21	September 2013	2012040300	Tembisa	Assault common	1
22	September 2013	2012090213	Jabulani	Assault-GBH	2
23	April 2013	2013020126	Esikhawini	Corruption	1
24	April 2013	2009080295	Phoenix	Murder	1
25	May 2013	2013050388	Polokwane	Murder	1
26	August 2013	2013060294	Ekulindeni	Murder	3
27	September 2013	2013090577	Modderivier	Attempted murder	1
28	April 2013	2013040066	Langa	Rape	1
29	July 2013	2013070195	Paarl East	Rape	1
30	July 2013	2013070361	Worcester	Rape	1
31	July 2013	2013070374	Touws River	Rape	1
32	July 2013	2013070502	Paarl	Rape	1
33	August 2013	2013080070	Lansdowne	Rape	1
34	October 2013	2013050339	Theunissen	Corruption	1
35	October 2013	2013090133	Hilton	Rape	1
36	October 2013	2013100112	Sannieshof	Rape	1
37	October 2013	2013100438	Cape Town Central	Rape	1
38	October 2013	2013110003	Somerset West	Rape	1
39	November 2013	2013110314	Sebokeng	Attempted Murder	1
40	November 2013	2013100150	Rossenekal	Assault-GBH	1
41	December 2013	2013120443	KwaNdengezi	Murder	1
42	January 2014	2014010269	Dobsonville	Murder	1
43	January 2014	2014010440	Benoni	Kidnapping	2



**TABLE 41 DETAILS OF ARRESTED MEMBERS**

No.	Month	CCN	Station	Charges	Number of arrest
44	January 2014	2014010489	Protea	Rape	1
45	January 2014	2014010494	Katlehong	Murder	1
46	January 2014	2014010384	Duduza	Murder	1
47	January 2014	2013030509	Berea	Corruption	4
48	February 2014	2014020444	Sebokeng	Murder	2
49	February 2014	2013010300	Hillbrow	Murder	4
50	February 2014	2014020276	Northam	Murder	1
51	February 2014	2014020363	Seshego	Rape	1
52	February 2014	2010010471	Tonga	Warrant of arrest	2
53	March 2014	2014030015	Joubertina	Murder	1
54	March 2014	2014030147	KwaNonqaba	Attempted Murder	6
55	March 2014	2014030158	Cape Town	Assault	2
	<b>Total</b>				<b>89</b>

## 14. THE CASES ON COURT ROLLS

The amount of cases that are on the court roll reflects cases where the NPA accepted the IPID's recommendation to institute criminal prosecution. Table 42 shows the total number of cases that each province has on the court rolls of the various court in the province. A total of 950 cases are on the court rolls, which comprises of 331 cases of deaths or murder, 72 cases of rape, 324 of assault, 138 cases of attempted murder, 36 cases of corruption, 10 cases of pointing of an official firearm(s), 6 cases of arson, 1 case of theft, 3 cases of defeating the ends of justice, 6 inquests, 19 cases relating to the discharge of official firearms.

**Table 42: Court roll cases**

Province	Deaths	Rape	Assault	Attempted murder	Corruption	Pointing of firearm	Arson	Theft	Torture	Defeating the ends of justice	Inquest	Non-compliance with IPID Act	Discharge of an official firearm	Number of court roll cases
Eastern Cape	72	20	72	7	5	-	6	-	2	-	1	-	6	191
Free State	23	12	88	19	2	2	-	1	-	2	-	-	1	150
Gauteng	66	6	26	20	2	-	-	-	-	-	-	-	5	125
KwaZulu-Natal	48	8	4	11	5	1	-	-	-	-	1	-	1	79
Limpopo	64	5	24	21	6	1	-	-	9	1	4	-	6	141
Mpumalanga	37	-	42	45	-	2	-	-	-	-	-	3	-	129
North West	-	2	23	6	3	-	-	-	-	-	-	-	-	34
Northern Cape	3	4	11	1	3	2	-	-	-	-	-	-	-	24
Western Cape	18	15	34	8	-	2	-	-	-	-	-	-	-	77
<b>Total</b>	<b>331</b>	<b>72</b>	<b>324</b>	<b>138</b>	<b>36</b>	<b>10</b>	<b>6</b>	<b>1</b>	<b>11</b>	<b>3</b>	<b>6</b>	<b>3</b>	<b>19</b>	<b>950</b>

## 15. MANNER OF CLOSURE

The manner of closure can be influenced by the type of case being investigated as well as the complexity of the said case. The manner of closure of cases per category in the period under review is detailed in Table 43(a) below. The cases closed during the period under review comprises of assault (1 196), followed by other criminal offence (403) which is not covered by Section 28 (1) (a)-(g), complaints of discharge of an official firearm (151) and death in police custody (145). On cases of assault, 26 cases were closed as referred due to the fact that the incidents happened while SAPS members were off duty.

**Table 43(a): Manner of disposal, per category**

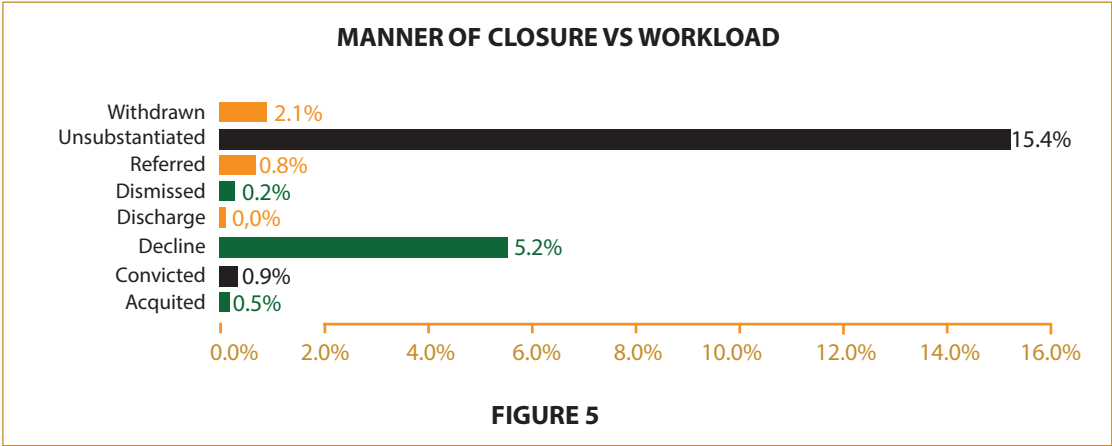
Manner of disposal	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Misconduct	Total
Acquitted	4	16	1	3	-	-	7	-	-	1	12	1	<b>45</b>
Convicted	5	11	6	1	-	-	18	1	-	4	24	10	<b>79</b>
Declined	9	19	23	9	-	1	251	3	-	8	133	13	<b>469</b>
Discharge	-	-	-	-	-	-	-	-	-	-	-	-	-
Dismissed	1	2	1	-	-	-	6	-	-	-	7	1	<b>18</b>
Referred	3	5	6	1	-	-	26	-	-	1	25	3	<b>70</b>
Unsubstantiated	119	82	111	12	-	1	745	38	-	3	179	107	<b>1397</b>
Withdrawn	4	1	3	10	1	1	143	2	-	-	23	2	<b>191</b>
<b>Total</b>	<b>145</b>	<b>136</b>	<b>151</b>	<b>36</b>	<b>1</b>	<b>3</b>	<b>1196</b>	<b>44</b>	<b>-</b>	<b>17</b>	<b>403</b>	<b>137</b>	<b>2269</b>

One thousand three hundred and ninety-seven (1 397) cases were closed as unsubstantiated, 469 cases closed as declined, 191 cases closed as withdrawn, 79 cases closed with convictions, 70 cases were closed as referred to SAPS for further investigation and 45 cases closed with acquittals. Table 43(b) provides details of the manner of closure per province and it indicates that 2 269 cases were closed in the period under review.

**Table 43(b): Manner of closed case per province**

Provinces	Acquitted	Convicted	Declined	Discharge	Dismissed	Referred	Unsubstantiated	Withdrawn	Total
Eastern Cape	25	23	166	-	8	28	222	22	<b>494</b>
Free State	15	35	41	-	-	14	559	116	<b>780</b>
Gauteng	-	2	6	-	3	14	57	18	<b>100</b>
KwaZulu-Natal	-	1	3	-	1	-	237	6	<b>248</b>
Limpopo	2	8	77	-	1	-	30	7	<b>125</b>
Mpumalanga	-	-	6	-	-	2	149	1	<b>158</b>
North West	1	-	25	-	5	1	4	7	<b>43</b>
Northern Cape	2	9	142	-	-	7	130	13	<b>303</b>
Western Cape	-	1	3	-	-	4	9	1	<b>18</b>
<b>Total</b>	<b>45</b>	<b>79</b>	<b>469</b>	<b>-</b>	<b>18</b>	<b>70</b>	<b>1397</b>	<b>191</b>	<b>2269</b>

Provinces have different compositions of workload and the manner in which they closed cases can be seen in Figure 5, which shows the percentages of the closed cases by manner of closure versus the total workload. It is in this light that the Directorate managed to close the cases as indicated in the Figure 5. It is then evident that the Directorate managed to close 25.1% of the workload during the period under review. When one looks at the percentage of the manner of closure of cases, one should see it in terms of the workload. Therefore it is evident that for the period under review the directorate closed 15.4% of the workload as unsubstantiated, 5.2% as declined and 2.1% as withdrawn.





## **PART C: GOVERNANCE**



## 1. INTRODUCTION

The IPID is committed to good governance and it has policies in place to ensure that the highest standards of governance are maintained.

## 2. RISK MANAGEMENT

The Directorate is committed to a process of risk management that is aligned with the principles of good corporate governance, as supported by the Public Finance Management Act, 1999 (Act 1 of 1999), as amended. Risk management is a central part of the Directorate's strategic management process; through which the Directorate is able to identify, potential risks to minimise negative impacts that emanate from its operating environment.

The risk management policy and risk management strategy are under review to ensure that they are relevant to the Directorate's operating environment. On annual basis the Directorate conducts risk assessment sessions to identify new and emerging risks that may be material to the operations of the Directorate. Management from all programmes including national and provincial offices are involved in this process. All inputs are consolidated into one document, the Risk Assessment Report.

The Risk Management Committee is in place as appointed by the Executive Director. The name of the Committee is Compliance Ethics and Risk Management Committee on the basis that they advise management on matters related to risk management, compliance and ethics. Progress on the implementation of mitigation strategies is discussed at the committee meetings.

Risk Management matters are also discussed at Audit Committee meetings which are held quarterly. The Audit Committee advise management on risk matters and monitors progress on the implementation of mitigating strategies. Management considers advises from the Audit Committee to improve the effectiveness of the risk management system.

## 3. FRAUD AND CORRUPTION

Fraud prevention is a proactive process that is adopted by management in putting mechanisms in place to manage IPID's vulnerability to fraud. These mechanisms are designed to prevent, detect and deter fraud.

Fraud and corruption incidents/allegations are reported to Corporate Governance component through IPID Ethics Helpline via a telephone, fax or email. A preliminary investigation is conducted to determine the nature and possible extent of the crime. The preliminary finding will guide whether to conduct a full scale investigation using internal capacity or to outsource it to other agencies. After each investigation the findings and recommendations are submitted to management for consideration. The recommendation is then processed further to Labour Relations for disciplinary actions and/or SAPS/NPA for criminal prosecution and/or Legal Services component for civil action. Corporate Governance component monitors progress on actions taken and provide feedback to the Executive Director and the Compliance Ethics and Risk Management Committee.

All information related to fraud and corruption is treated with confidentiality and only discussed with person(s) who have legitimate right to such information. This is done to avoid harming the reputations of suspected persons who are subsequently found innocent. Furthermore, the whistle blowers shall not suffer any penalty or retribution for good faith as provided by the Protected Disclosures Act, they are also protected from any occupational detriment in terms of the Protected Disclosures Act.

## 4. MINIMISING CONFLICT OF INTEREST

The Supply Chain Management sub-component is responsible for administering the procurement process. Two committees were established and appointed by the Executive Director to oversee the procurement process; the Bid Committee and the Procurement Control Committee. The committee members must always sign conflict of interest forms prior to participation in committee activities and submit the signed forms to the respective chairperson. On annual basis management also sign declaration forms regarding their independence from service providers and/or conflict of interest.

## 5. CODE OF CONDUCT

The Directorate endeavours to adhere to highest standards of ethical and moral behaviour. The Directorate's values are the core from which it operates and respond on daily activities and interaction with both internal and external stakeholders. These values as listed in the Directorate's strategic plan subscribe to the Code of Conduct for the Public Service contained in the Public Service Regulations, 2001.

Adherence to the Code of Conduct enhances professionalism and ensures confidence in the Directorate. Non-compliance with the provisions of the Code of Conduct or approved policies constitutes misconduct and may lead to disciplinary action.

## 6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES

In order to ensure a healthy working environment for IPID employees, Occupational Health Representatives were appointed in all provinces. The OHS Committee was also established and members were formally appointed. Due to financial constraints, there is no dedicated Health and Safety Officer as per the requirement of the relevant legislation.

## 7. PORTFOLIO COMMITTEES

The IPID appeared before the Portfolio Committee on Police on the following dates:

- 23 April 2013
- 10 October 2013
- 16 October 2013
- The following matters were raised by the Portfolio Committee on Police:

Matter	Status
<b>Vacancies at senior management level</b>	Process of filling them currently underway
<b>Under spending of IPID budget</b>	Under-spending linked to vacancies at senior management level. The filling of these posts will address the under-spending
<b>Head office accommodation</b>	SIU investigation yet to be completed. DPW inform the Directorate of the outcome

## 8. SCOPA RESOLUTIONS

None.

## 9. PRIOR MODIFICATIONS TO AUDIT REPORTS

The Directorate obtained an unqualified audit report from the Auditor-General.

## 10. INTERNAL AUDIT AND AUDIT COMMITTEES

### • Key activities and objectives of Internal Audit

The Internal Audit activities may extend to all areas of the Directorate and include finance accounting administration, computing and other operational activities.

The extent and frequency of Internal Audit will depend upon verifying circumstances such as results of previous audits, relative risks associated with activities, materiality, and the adequacy of the systems of internal control and resources available.

The primary objective of the Internal Audit is to assist the Accounting Officer and the Audit Committee in effective discharge of their responsibility.

Internal Audit promotes effective control at reasonable cost and provides independent analysis, appraisals, recommendations, counsel and information concerning activities reviewed, with a view to improving accountability and performance

### • Summary of audit work done

For the period under review Internal Audit has conducted 30 audits with 6 Provincial visits. In these visits the component was scheduled to perform 5 audits (Investigations, Leave Management/ Performance Agreements, and Asset Management, Transport Management and Cash and cash equivalents) per province. Three of the Provinces (Free State, Western Cape, and Limpopo) were visited with Auditor General and a combined assurance audit was conducted.

Internal Audit conducted audits in the Eastern Cape, Northern Cape and Gauteng Provincial offices. The following 13 audits were conducted at National Office:

- Contract Management
- Expenditure Management
- Asset Management
- Occupational Health and Safety
- Follow up Security Management
- Follow up Recruitment and Selection
- Bursary Management
- IPID Financial Statement
- IPID Annual Report (2012/13)
- First Quarter Performance Information
- Second Quarter Performance Information
- Third Quarter Performance Information
- Half Yearly Report (April – September 2013) - ad-hoc request



- **Key activities of the audit committee;**

The activities of the Audit Committee are to assist the management in carrying out its responsibilities as they relate to the Directorate in the following fields:

- Financial management and other reporting practices;
- Internal controls and management of risk;
- Compliance with laws, regulations and ethics; and
- Responsibilities to the Internal Audit and Responsibilities related to the external audit (Auditor –General)

The objectives of the Audit Committee are:

- To support management in respect of performance reporting and a system of internal control;
- To enhance business ethics and trust in the Directorate;
- To ensure and enhance the independence of the Internal Audit Activity;
- To ensure that risks facing the Directorate are identified and that appropriate procedures are implemented to manage and minimise risks; and
- To ensure the proper functioning of the Internal Audit Activity through reviewing the scope, performance and results of audits undertaken.

#### **Attendance of audit committee meetings by audit committee members**

The table below discloses relevant information on the audit committee members:

Name	Qualifications	Internal or external	If internal, position in the department	Date appointed	Date Resigned	No.of Meetings attended
Mr S Motuba	BCompt Degree BA	External	N/A	February 2011	NA	3
Mr V Motholo	BCom Accounting Sciences BCom Honours Accounting Certificate in the theory of accountancy Qualifying Examination of the South Africa Institute of Chartered Accountants. Public Practice Examination of Independent Regulatory Board for Auditor	External	N/A	February 2011	September 2013	1
Prof D Garach	BCom Qualified Chartered Accountant Bcom (Hons) degree M.Com degree Qualified Certified Financial Planner Diploma in Advanced Property Practice	External	N/A	February 2011	September 2013	1
Mr P Phukubje	BCom (Accounting) Diploma in Accounting Hons/Bcom Acco/CTA	External	N/A	October 2013	N/A	1
Ms M Malakalaka	BCompt: Accounting Science Postgraduate Diploma in Financial Accounting	External	N/A	October 2013	N/A	1

## 11. AUDIT COMMITTEE REPORT

### REPORT OF THE AUDIT COMMITTEE

We are pleased to present our report for the financial year ended 31 March 2014

#### 1. AUDIT COMMITTEE MEMBERS, MEETINGS AND ATTENDANCE

The Audit Committee consists of the members listed hereunder and should meet three times per annum as per its approved terms of reference. During the current year four meetings were held. Meetings were held on the following dates 27 May 2013, 29 July 2013 and 20 March 2014.

Name of Members	Number of Meetings Attended
Mr. S Motuba (Chairperson re-appointed October 2013)	3
Mr. V Motholo (Member's contract expired September 2013)	1
Prof D Garach (Member's contract expired September 2013)	1
Mr. P Phukubje (Member appointed October 2013)	1
Ms. M Malakalaka (Member appointed October 2013)	1
Ms. K Mbeki (Ex-Officio resigned 31 January 2014)	2
Ms. L Ngcogo (Ex-Officio)	2

At these meetings the Executive / Acting Executive Director, Senior Management, The Auditor General South Africa (AGSA) and Internal Audit were invited. The Chief Financial Officer (CFO) and the Accounting Officer attended the audit committee meetings as ex-officio members. The meetings were held collectively and individually on matters related to governance, internal control and risk in the Department through the reporting period.

The audit committee wanted to meet with the executive Authority, Hon Minister N Mthethwa, to report on governance, internal controls, risk, performance and financial information and other relevant matters concerning the Department, but this was not possible due to his unavailability.

#### 2. AUDIT COMMITTEE RESPONSIBILITY

The Audit Committee reports that it has complied with its responsibilities arising from Section 38 (1) (a) (ii) of the Public Finance Management Act and Treasury Regulation 3.1.13. The Audit Committee also reports that it has adopted appropriate formal terms of reference as its Audit Committee Charter, has regulated its affairs in compliance with this charter and has discharged all its responsibilities as contained therein, except that we have not reviewed changes in accounting policies and practices.

#### 3. THE EFFECTIVENESS OF INTERNAL CONTROL

Our review of the findings of the Internal Audit work, which was based on the risk assessments conducted in the department revealed certain weaknesses, which were then raised with the Department.

The following internal audit work was completed during the year under review: Combine assurance audits with Auditor General conducted at the following Provincial offices:

##### (Free State, Western Cape and Limpopo)

- Investigations;
- Leave Management / Employee Cost
- Asset Management;
- Transport Management; and
- Cash and Cash Equivalent

##### Audits conducted by Internal Audit at the following Provincial Offices: (Eastern Cape, Northern Cape and Gauteng)

- Investigations;
- Leave Management / Employee Cost
- Asset Management;
- Transport Management; and
- Cash and Cash Equivalent

##### Audits conducted at National Office:

- Contract Management;
- Expenditure Management;
- Asset Management
- Occupational Health and Safety;
- Follow up Security Management;

- Follow up Recruitment and Selection; and
- Bursary Management

**The following verification of information conducted:**

- IPID Annual Report (2012/13);
- First Quarter Performance Information;
- Second Quarter Performance Information;
- Third Quarter Performance Information; and

**The following ADHOC was conducted:**

- Half Yearly Report (April – September 2013)

**The following were areas of concern:**

Based on the approved annual internal audit plan setting out the scope, control objectives and risks, for the period covered, various reports were submitted by the internal auditors. The management report of the AGSA (Auditor General South Africa) on the annual financial statements was also submitted to and discussed by the Committee.

- The results of the internal and external audits indicated that controls have generally not been operating as intended and attention should be given to strengthen, improve and monitor controls.
- The Committee has considered management's responses to address the matters and to facilitate corrective actions, improvements and monitoring the controls and procedures, and acknowledges management's efforts to strengthen internal controls. However, when seen in the context of the reports issued by the Auditor-General and Internal Audit, it is clear that management's efforts have not yielded the required benefits to date. There is a need for increased technical support, monitoring and evaluation to unlock the full potential of these efforts, especially in financial management.
- The Committee is especially concerned with the high rate of non-adherence to development, review and approval of policies and procedures and the lack of subsequent punitive measures against the responsible officials, when required. The Committee believes there is a need for tighter controls around work ethic, responsibility and accountability, and that non-adherence to such, should be addressed through a fair and rigorous application of the performance management system.
- Vacancies undermine the effective functioning of the system of internal control and it is imperative that the Department reviews its recruitment procedures and processes to ensure that vacancies are filled expeditiously with properly qualified, skilled and experienced personnel. In some instances Chief Directors have been in acting position for more than two years resulting in poor leadership.
- Financial Management is another case in point. The Committee is not satisfied with the regularity, content and transparency of the reports it receives on this important matter and these concerns have been raised with management.
- Owing to the strategic importance and massive investment in reporting, information and communication technology (ICT) in the Department, the Committee has asked for the detailed ICT risk register and progress report on the respective action plans to be presented to the Committee for monitoring purposes.

### **3.1. SPECIFIC FOCUS AREAS GOING FORWARD**

Identified specific focus areas to monitor, support and advise management on:

- Enhancement of reporting on performance information;
- Enhancements on Flow centric reporting system of the Department's information technology;
- Effectiveness of the Internal Audit Function;
- Coordination and cooperation on matters related to financial management and audit;
- improving the control environment;
- Cohesive risk management framework;
- Policies and Procedures and;
- Compliance with Legal and Regulatory provisions

### **4. IN-YEAR MANAGEMENT AND MONTHLY / QUARTERLY REPORT**

The Committee was not satisfied with the content and quality of quarterly financial reports prepared and issued by the Department during the year under review, in compliance with the statutory reporting framework. The Committee has requested and received the monthly management accounts and Interim (quarterly) financial statements for deliberations at the Audit Committee meetings. However, the Committee has suggested improvements to reports especially relating to performance information. The Committee has recommended that the Department move towards preparing interim financial statements, which would assist the Department in attending to reconciliations timeously as well as to eliminate year-end adjustments. Quarterly reports were not submitted to the Committee.

## 5. EVALUATION OF THE ANNUAL FINANCIAL STATEMENTS

The Committee has:

- reviewed and discussed the audited Annual Financial Statements to be included in the annual report, with the Auditor-General and the Accounting Officer;
- reviewed the Auditor-General's management letter and management's response thereto;
- reviewed and discussed the Performance Information with management;
- reviewed changes in Accounting Policies and Practices; and
- reviewed the entity's compliance with Legal and Regulatory provisions.

## 6. AUDITOR- GENERAL'S REPORT

We have reviewed the department's implementation plan for audit issues raised in the previous year and are concerned that matters raised in previous years have not been adequately resolved by management.

### The following matters still need to be resolved

- Strengthening of internal control within the Department;
- Capacity building in the Internal Audit Department;
- Challenges due to vacancies at key management level; and
- Matters reported in prior years have not been fully and satisfactorily addressed.

The Audit Committee has met with the Auditor- General to ensure there are no unresolved issues. The Committee is aware that some of the reported matters are attributable to the fact that the position of Executive Director was vacant for more than 2 years as were other key management positions which are still vacant to date. The Committee has already put in place certain fundamental processes to assist management and also to monitor their progress in addressing the audit report matters as well as internal control deficiencies in general.

The Committee concurs with and accepts the conclusions of the Auditor-General on the annual financial statements and is of the opinion that the audited financial statements be accepted and read together with the report of the Auditor-General.

The Committee appreciates the diligence and cooperation of the IPID Management, Staff and Auditor-General's team.



**Mr. Stephen Motuba**  
**Chairperson of the Audit Committee**  
**(Independent Police Investigative Directorate)**  
**(31 July 2014)**





## **PART D: HUMAN RESOURCE MANAGEMENT**

## 1. INTRODUCTION

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

## 2. OVERVIEW OF HUMAN RESOURCES

The Department has three hundred and eight employees (308) in its employ as at 31 March 2014. There are one hundred and sixty one (161) males and one hundred and forty seven (147) females' employees at all levels. There are only six (6) females employed at senior management level in the Department versus eleven (11) males. The Department has targeted the filling of women at senior management level posts in order to bridge the gap between males and females and to address representation of females at senior management level.

Out of the three hundred and eight employees (308) in the Department, there are three (3) employees with disabilities - one (1) male and two (2) females. This number is equivalent to 0.8 % of the total establishment. The Department has also targeted the employment of people with disabilities in the newly created posts to meet the set target of 2%.

The human resource priorities for the year under review focussed primarily on the following:

- Development of staff and career pathing
- Re-alignment of organisational functions and structure to ensure that is aligned with the strategic plan and service delivery requirements
- 50% of females at senior and top management
- Voluntary Counselling and Testing sessions for all employees
- Enhance organisational awareness to ensure improved work performance
- Employment of people with disabilities

Forty four (44) new posts were introduced for the 2013/2014 financial year. The posts were distributed within the Department proportionally to its number of weighted services to give effect to legislative frameworks, segregation of duties and good governance. The increase in capacity is also in line with the new mandate as informed by the provisions of the IPID Act 1 of 2011. Most importantly the Integrity Management Unit that will protect the integrity of the investigators.

During the period under review, the IPID employed the following strategies to enhance its human resource practices and retain staff:

- Selection of employees based on merit;
- Recruitment to be underpinned by the principle of employment equity;
- Employee wellness and Quality of Work Life;
- The Department to be an employer of choice;
- Training and development programmes; and
- Policy on retention of staff.

The Department conducted annual performance assessment on all employees during the period under review. The Review Committee was established during this period to compare and validate all employees' assessment scores against the performance of the Department. A Moderating Committee ensured that the performance management process, including the setting of performance standards was valid, fair and objective. That Committee then advised the Department on financial and non-financial rewards to be allocated to employees.

To encourage good performance, the department granted one hundred and ten (110) employees performance rewards during the year under review.

### Employee wellness programme

Employees are encouraged to attend voluntary counselling sessions to enhance a healthy workforce and improved work performance in the Department. Four (4) voluntary counselling sessions were conducted by the Department during the period under review. Basic counselling is also conducted and referrals made to appropriate specialists and they provide a 24hrs dedicated line for employees with a toll-free line, face-face counselling and life management to all employees.

The current lack in human resource capacity and the high staff turnover pose a significant threat in the fulfilment of the Directorate's legislative mandate and consequently the achievement of its strategic outcomes and goals.

### Training and development

Training and development of Investigators to fast track employees' acquisition of formal qualifications and skills development in the core function. The following qualifications were obtained by employees:

- National Diploma Policing
- National Certificate on Resolving of Crime.

Other key areas of focus for HRM and Development in the Department included:

- Standardization of performance agreements for investigators;
- Reviewed policies (Retention, Resettlement, Danger allowance, Working Hours, Bereavement, Overtime, Recruitment and Selection and the Performance Management and Development System PMDS);
- Development of the Impact Assessment Tool to measure the impact of training;
- Disability sensitisation workshops conducted to all employees;
- Organizational structure that is aligned to the IPID Act; and
- Regular health screening sessions done to all employees.
- To achieve representativeness of historically disadvantaged people at all levels in the Department.
- To increase the effectiveness of the appraisal process and to strengthen the development aspect of performance management.
- Implementation of the retention policy
- Implementation of a learnership and mentorship programme



### 3. HUMAN RESOURCES OVERSIGHT STATISTICS

#### 3.1. Personnel related expenditure

The following tables summarises the final audited personnel related expenditure by programme and by salary bands. In particular, it provides an indication of the following:

- amount spent on personnel
- amount spent on salaries, overtime, homeowner's allowances and medical aid.

Table 3.1.1 Personnel expenditure by programme for the period 1 April 2013 to 31 March 2014

Programme	Total expenditure (R'000)	Personnel expenditure (R'000)	Training expenditure (R'000)	Professional and special services expenditure (R'000)	Personnel expenditure as a % of total expenditure	Average personnel cost per employee (R'000)
Programme 1: Administration	89 050	36 665	314	123	41.17	96
Programme 2: Investigation and Information Management	123 491	65 650	924	-	53.16	173
Programme 3: Legal Services	4 450	2 723	10	-	61.19	8
<b>Total</b>	<b>216 991</b>	<b>105 038</b>	<b>1 248</b>	<b>123</b>	<b>48.41</b>	<b>277</b>

Table 3.1.2 Personnel costs by salary band for the period 1 April 2013 to 31 March 2014

Salary band	Personnel expenditure (R'000)	% of total personnel cost	No. of employees	Average personnel cost per employee (R'000)
Lower skilled (Levels 1-2)	-	-	-	-
Skilled (level 3-5)	12 388	11.8	73	169
Highly skilled production (levels 6-8)	37 924	36.1	133	285
Highly skilled supervision (levels 9-12)	38 323	36.5	85	450
Senior and Top management (levels 13-16)	16 403	15.6	18	911
<b>Total</b>	<b>105 038</b>	<b>100</b>	<b>309</b>	<b>339</b>

Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2013 to 31 March 2014

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Programme 1: Administration	26 939	73	333	0.9	1 216	3.3	1 794	4.8
Programme 2: Investigation and Information Management	46 713	71.1	915	1.3	1 921	2.9	3 109	4.7
Programme 3: Legal Services	1 805	66.2	-	-	39	1.4	42	1.5
<b>Total</b>	<b>75 457</b>	<b>71.8</b>	<b>1 248</b>	<b>1.1</b>	<b>3 176</b>	<b>3.0</b>	<b>4 945</b>	<b>4.7</b>

Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2013 to 31 March 2014

Salary band	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Skilled (level 1-2)	-	-	-	-	-	-	-	-
Skilled (level 3-5)	8 598	8.1	90	0.08	810	0.7	1 060	1.0
Highly skilled production (levels 6-8)	26 651	25.3	820	0.78	1 327	1.2	2 321	2.2
Highly skilled supervision (levels 9-12)	27 285	25.9	338	0.32	851	0.8	1 415	1.3
Senior management (level 13-16)	12 923	12.3	-	-	188	0.17	149	0.14
<b>Total</b>	<b>75 457</b>	<b>71.8</b>	<b>1 248</b>	<b>1.1</b>	<b>3 176</b>	<b>3.0</b>	<b>4 945</b>	<b>4.7</b>

### 3.2. Employment and Vacancies

The tables in this section summarise the position with regard to employment and vacancies.

The following tables summarise the number of posts on the establishment, the number of employees, the vacancy rate, and whether there are any staff that are additional to the establishment.

This information is presented in terms of three key variables:

- programme
- salary band
- critical occupations (see definition in notes below).

Departments have identified critical occupations that need to be monitored. In terms of current regulations, it is possible to create a post on the establishment that can be occupied by more than one employee. Therefore, the vacancy rate reflects the percentage of posts that are not filled.

Table 3.2.1 Employment and vacancies by programme as at 31 March 2014

Programme	Number of posts on approved establishment	Number of posts filled	Vacancy Rate (%)
Programme 1: Administration	130	120	7.6
Programme 2: Investigation and Information Management	211	185	12.3
Programme 3: Legal Services	8	3	62.5
<b>Total</b>	<b>349</b>	<b>308</b>	<b>11.7</b>

Table 3.2.2 Employment and vacancies by salary band as at 31 March 2014

Salary band	Number of posts on approved establishment	Number of posts filled	Vacancy Rate (%)
Lower skilled ( 1-2)	-	-	-
Skilled(3-5)	81	75	7.4
Highly skilled production (6-8)	188	178	5.3
Highly skilled supervision (9-12)	47	38	19
Senior management (13-16)	33	17	48
<b>Total</b>	<b>349</b>	<b>308</b>	<b>11.7</b>

Table 3.2.3 Employment and vacancies by critical occupations as at 31 March 2014

There were no critical occupations in the Directorate.

### 3.3. Filling of Senior Management Service (SMS) Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.

Table 3.3.1

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	1	100	-	-
Salary Level 14	13	2	15.4	11	84.6
Salary Level 13	19	14	73.7	5	26.3
<b>Total</b>	<b>33</b>	<b>17</b>	<b>51.5</b>	<b>16</b>	<b>48.5</b>

Table 3.3.2 SMS post information as at 30 September 2013

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	-	-	1	100
Salary Level 14	13	3	23	10	77
Salary Level 13	19	15	79	4	21
<b>Total</b>	<b>33</b>	<b>18</b>	<b>55</b>	<b>15</b>	<b>45</b>

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2013 to 31 March 2014

SMS Level	Advertising	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming vacant	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 6 months but filled in 12 months
Salary Level 15	1	-	-
Salary Level 14	10	-	-
<b>Total</b>	<b>11</b>	<b>-</b>	<b>-</b>

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS - for the period 1 April 2013 to 31 March 2014

Reasons for vacancies not advertised within six months
Posts were only advertised after the appointment of the Executive Director
Reasons for vacancies not filled within twelve months
The posts of Chief Directors were advertised; the recruitment and selection process was conducted and finalized. However the process was put on hold . (The post of the Executive Director), the Department was waiting for Parliament to endorse the final approval of the post. Posts at level 13 were only advertised after the appointment of the Executive Director

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2013 and 31 March 2014

Not applicable.

### 3.4. Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2013 to 31 March 2014

Salary band	Number of posts on approved establishment	Number of Jobs Evaluated	% of posts evaluated by salary bands	Posts Upgraded		Posts downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
Lower Skilled (Levels 1-2)	-	-	-	-	-	-	-
Skilled (Levels 3-5)	81	57	70	57	70	-	-
Highly skilled production (Levels 6-8)	188	-	-	-	-	-	-
Highly skilled supervision (Levels 9-12)	47	-	-	-	-	-	-
Senior Management Service Band A	19	-	-	-	-	-	-
Senior Management Service Band B	13	-	-	-	-	-	-
Senior Management Service Band C	1	-	-	-	-	-	-
<b>Total</b>	<b>349</b>	<b>57</b>	<b>16</b>	<b>57</b>	<b>16</b>	<b>-</b>	<b>-</b>

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2013 to 31 March 2014

Gender	African	Asian	Coloured	White	Total
Female	37	-	3	-	<b>40</b>
Male	17	-	-	-	<b>17</b>
<b>Total</b>	<b>54</b>	-	<b>3</b>	-	<b>57</b>
Employees with a disability					3

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2013 to 31 March 2014

There were no employees with salary levels higher than those determined by job evaluation.

Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2013 to 31 March 2014

There were no employees with salary levels higher than those determined by job evaluation.

Total number of Employees whose salaries exceeded the grades determine by job evaluation	None
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### 3.5. Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the department. The following tables provide a summary of turnover rates by salary band and critical occupations (see definition in notes below).

Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2013 to 31 March 2014

Salary band	Number of employees at beginning of period-1 April 2013	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
Lower skilled ( Levels 1-2)	-	-	-	-
Skilled (Levels 3-5)	76	20	15	19.7
Highly skilled production (Levels 6-8)	126	13	14	11.1
Highly skilled supervision (Levels 9-12)	83	5	9	10.8
Senior Management Service Bands A	16	1	4	25
Senior Management Service Bands B	3	-	1	33.3
Senior Management Service Bands C	-	1	-	-
Senior Management Service Bands D	-	-	-	-
<b>Total</b>	<b>304</b>	<b>40</b>	<b>43</b>	<b>11.5</b>

Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2013 to 31 March 2014

There were no critical occupations in the Directorate.

The table below identifies the major reasons why staff left the department.

Table 3.5.3 Reasons why staff left the department for the period 1 April 2013 to 31 March 2014

Termination Type	Number	% of Total Resignations
Death	3	7
Resignation	12	28
Dismissal – operational changes	-	-
Dismissal – misconduct	3	7
Transfer to other Public Service Departments	25	58
<b>Total</b>	<b>43</b>	<b>100</b>
<b>Total number of employees who left as a % of total employment</b>		<b>14.1</b>



Table 3.5.4 Promotions by critical occupation for the period 1 April 2013 to 31 March 2014

There were no critical occupations in the Directorate.

Table 3.5.5 Promotions by salary band for the period 1 April 2013 to 31 March 2014

Salary Band	Employees 1 April 2013	Promotions to another salary level	Salary bands promotions as a % of employees by salary level	Progressions to another notch within a salary level	Notch progression as a % of employees by salary bands
Skilled (Levels 3-5)	76	1	1.3	12	16
Highly skilled production (Levels 6-8)	126	6	4.8	61	48.8
Highly skilled supervision (Levels 9-12)	85	7	8.3	40	47.6
Senior Management (Level 13-16)	19	1	5.5	6	33.3
<b>Total</b>	<b>304</b>	<b>15</b>	<b>4.9</b>	<b>119</b>	<b>39.4</b>

### 3.6. Employment Equity

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as at 31 March 2014

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	9	1	-	1	5	-	-	1	<b>17</b>
Professionals	19	3	2	3	10	-	-	1	<b>38</b>
Technicians and associate professionals	89	5	3	4	66	5	5	1	<b>178</b>
Clerks	22	-	-	-	48	5	-	-	<b>75</b>
<b>Total</b>	<b>139</b>	<b>9</b>	<b>5</b>	<b>8</b>	<b>129</b>	<b>10</b>	<b>5</b>	<b>3</b>	<b>308</b>
Employees with disabilities	1								

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as at 31 March 2014

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	-	1	-	-	-	-	-	-	<b>1</b>
Senior Management	9	-	-	1	5	-	-	1	<b>16</b>
Professionally qualified and experienced specialists and mid-management	19	3	2	3	10	-	-	1	<b>38</b>
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	89	5	3	4	66	5	5	1	<b>178</b>
Semi-skilled and discretionary decision making	22	-	-	-	48	5	-	-	<b>75</b>
<b>Total</b>	<b>139</b>	<b>9</b>	<b>5</b>	<b>8</b>	<b>129</b>	<b>10</b>	<b>5</b>	<b>3</b>	<b>308</b>

Table 3.6.3 Recruitment for the period 1 April 2013 to 31 March 2014

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	-	1	-	-	-	-	-	-	1
Senior Management	1	-	-	-	-	-	-	-	1
Professionally qualified and experienced specialists and mid-management	4	-	-	-	1	-	-	-	5
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	5	3	-	-	4	1	-	-	13
Semi-skilled and discretionary decision making	7	-	-	-	13	-	-	-	20
<b>Total</b>	<b>17</b>	<b>4</b>	<b>-</b>	<b>-</b>	<b>18</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>40</b>
Employees with disabilities	-	-	-	-	-	-	-	-	-

Table 3.6.4 Promotions for the period 1 April 2013 to 31 March 2014

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Senior Management	-	-	-	1	-	-	-	-	1
Professionally qualified and experienced specialists and mid-management	6	-	-	-	1	-	-	-	7
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	2	-	-	1	3	-	-	-	6
Semi-skilled and discretionary decision making	-	-	-	-	1	-	-	-	1
<b>Total</b>	<b>8</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>15</b>

Table 3.6.5 Terminations for the period 1 April 2013 to 31 March 2014

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Senior Management	-	-	-	1	-	-	-	-	1
Professionally qualified and experienced specialists and mid-management	6	-	-	-	1	-	-	-	7
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	2	-	-	1	3	-	-	-	6
Semi-skilled and discretionary decision making	-	-	-	-	1	-	-	-	1
<b>Total</b>	<b>8</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>15</b>

Table 3.6.6 Disciplinary action for the period 1 April 2013 to 31 March 2014

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
<b>3</b>	-	-	1		2	-	-	-	3

Table 3.6.7 Skills development for the period 1 April 2013 at 31 March 2014

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	8	-	-	-	7	-	-	4	<b>19</b>
Professionals	34	5	6	10	36	2	1	2	<b>96</b>
Technicians and associate professionals	100	5	2	-	58	7	5	1	<b>178</b>
Clerks	34	-	-	-	62	14	-	-	<b>110</b>
<b>Total</b>	<b>176</b>	<b>10</b>	<b>8</b>	<b>10</b>	<b>163</b>	<b>23</b>	<b>6</b>	<b>7</b>	<b>403</b>
<b>Employees with disabilities</b>	-	-	-	-	-	<b>1</b>	-	-	1

### 3.7. Signing of Performance Agreements by SMS Members

Table 3.7.1 Signing of Performance Agreements by SMS members as at 31 May 2014

SMS Level	Total number of funded SMS posts	Total number of SMS members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General/ Head of Department	-	-	-	-
Salary Level 16	-	-	-	-
Salary Level 15	1	1	-	-
Salary Level 14	13	2	2	100
Salary Level 13	19	14	14	100
<b>Total</b>	<b>33</b>	<b>17</b>	<b>16</b>	<b>94</b>

Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as at 31 March 2014

Reasons
The only Performance Agreement that has not been signed is that of the Head of Department, as he was appointed on 1 March 2014.

#### Notes

- The reporting date in the heading of this table should be aligned with that of Table 3.7.1.

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as at 31 March 2014

Notes • No disciplinary action was taken against SMS members for not having concluded performance agreements.

### 3.8. Performance Rewards

To encourage good performance, the Department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations.

Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2013 at 31 March 2014

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost (R'000)	Average cost per employee
African	103	268	38.5	1 371	13.3
Male	48	139	34.5	752	15.67
Female	55	129	42.6	619	11.25
Asian	-	10	-	-	
Male	-	5	-	-	
Female	-	5	-	-	
Coloured	4	19	21	46	11.5
Male	2	9	22.2	33	16.5
Female	2	10	20	13	6.5
White	3	11	27.3	59	19.7
Male	2	8	25	30	15
Female	1	3	33.3	29	29
<b>Total</b>	<b>110</b>	<b>308</b>	<b>35.7</b>	<b>1 476</b>	<b>13.4</b>

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2013 to 31 March 2014

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Lower Skilled (Levels 1-2)	-	-	-	-	-	-
Skilled (level 3-5)	25	75	33.3	163	6.52	0.1
Highly skilled production (level 6-8)	51	178	28.7	602	11.8	0.5
Highly skilled supervision (level 9-12)	28	38	73.7	560	20	0.5
<b>Total</b>	<b>104</b>	<b>291</b>	<b>35.7</b>	<b>1 325</b>	<b>12.7</b>	<b>1.2</b>

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2013 to 31 March 2014

There were no critical occupations in the Directorate.

Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2013 to 31 March 2014

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Band A	5	14	35.7	121	24.2	0.1
Band B	1	2	50	30	30	0.02
Band C	-	1	-	-	-	-
<b>Total</b>	<b>6</b>	<b>17</b>	<b>35.3</b>	<b>151</b>	<b>25.2</b>	<b>0.02</b>

### 3.9. Foreign Workers

There were no foreign workers in the Directorate.

### 3.10. Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

Table 3.10.1 Sick leave for the period 1 January 2013 to 31 December 2013

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost (R'000)
Skilled (levels 3-5)	530	83.4	70	27.6	8	208
Highly skilled production (levels 6-8)	780	89.1	102	40.2	8	546
Highly skilled supervision (levels 9 -12)	548	86.9	70	27.6	8	695
Top and Senior management (levels 13-16)	73.5	81.6	12	4.6	6	223
<b>Total</b>	<b>1 931.5</b>	<b>86.6</b>	<b>254</b>	<b>100</b>	<b>8</b>	<b>1 672</b>

Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2013 to 31 December 2013

Salary band	Total days	% Days with Medical certification	Number of Employees using disability leave	% of total employees using disability leave	Average days per employee	Estimated Cost (R'000)
Skilled (Levels 3-5)	114	100	1	25	114	44
Highly skilled production (Levels 6-8)	18	100	2	50	9	14
Highly skilled supervision (Levels 9-12)	23	100	1	25	23	25
Senior management (Levels 13-16)	-	-	-	-	-	-
<b>Total</b>	<b>155</b>	<b>100</b>	<b>4</b>	<b>100</b>	<b>39</b>	<b>83</b>

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

Table 3.10.3 Annual Leave for the period 1 January 2013 to 31 December 2013

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Skilled (Levels 3-5)	1 340	85	16
Highly skilled production (Levels 6-8)	2 592.34	130	20
Highly skilled supervision (Levels 9-12)	1 870	94	20
Senior management (Levels 13-16)	480.25	21	23
<b>Total</b>	<b>6 282.59</b>	<b>330</b>	<b>19</b>

Table 3.10.4 Capped leave for the period 1 January 2013 to 31 December 2013

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2014
Highly skilled supervision(Levels 9-12)	1	1	1	53
Senior management (Levels 13-16)	2	1	2	19
<b>Total</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>45</b>

The following table summarises payments made to employees as a result of leave that was not taken.

Table 3.10.5 Leave payouts for the period 1 April 2013 to 31 March 2014

Reason	Total amount (R'000)	Number of employees	Average per employee (R'000)
Leave payout for 2013/14 due to non-utilisation of leave for the previous cycle	181	9	20.11
Capped leave payouts on termination of service for 2013/14	234	11	21.27
Current leave payout on termination of service for 2013/14	33	3	11
<b>Total</b>	<b>448</b>	<b>23</b>	<b>19.48</b>

### 3.11. HIV/AIDS & Health Promotion Programmes

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
Investigators as they have to attend crime scenes and post-mortems	Providing all investigators with protective gear.



Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes.

Question	Yes	No	Details, if yes
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	X		Ms. S Phalatsi: Director HRM & EH&W
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	X		The Department has a dedicated unit and appointed three employees for this purpose. The unit now falls directly under LR and had a total budget of R1 163 037 on compensation of employees and a further R132 000 specifically dedicated for EAP programs and R250000 which was dedicated for Teambuilding exercises
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	X		Counselling sessions, GEMS Health screenings and other screenings
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.		X	The Department did not have committees for the 2013/14 financial year.
5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	X		The review process is under way for the Draft HIV & AIDS and TB Management
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	X		Allegations of misconduct relating to unfair discrimination on the basis of HIV/Aids are dealt with in terms of the Disciplinary Code and the grievance procedure. The Department has also approved a policy on HIV/AIDS which is also in process of review and to be table for discussion at the Departmental Bargaining Chamber.
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have achieved.	X		The Department works hand in hand with GEMS and on regular basis they provide free counselling and screening for employees.
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	X		Resilience programme, Financial management workshops GEMS Health screenings statistics

### 3.12. Labour Relations

Table 3.12.1 Collective agreements for the period 1 April 2013 to 31 March 2014

There were no collective agreements during the year under review.

The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2013 to 31 March 2014

Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	0	0
Verbal warning	0	0
Written warning	0	0
Final written warning	0	0
Suspended without pay	0	0
Fine	0	0
Demotion	0	0
Dismissal	3	100
Not guilty	0	0
Case withdrawn	0	0
<b>Total</b>	<b>3</b>	<b>100</b>

Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2013 to 31 March 2014

Type of misconduct	Number	% of total
<b>Dishonesty</b>	2	66.7
<b>Contravention of Policy</b>	1	33.3
<b>Fraud</b>	-	-
<b>Total</b>	<b>3</b>	<b>100</b>

Table 3.12.4 Grievances logged for the period 1 April 2013 to 31 March 2014

Grievances	Number	% of Total
Number of grievances resolved	7	43.8
Number of grievances not resolved	9	56.2
<b>Total number of grievances lodged</b>	<b>16</b>	<b>100</b>

Table 3.12.5 Disputes logged with Councils for the period 1 April 2013 to 31 March 2014

Disputes	Number	% of Total
Number of disputes upheld	0	0
Number of disputes dismissed	1	16.66
Pending	5	83.33
<b>Total number of disputes lodged</b>	<b>6</b>	<b>100</b>

Table 3.12.6 Strike actions for the period 1 April 2013 to 31 March 2014

There were no strikes during the period under review.

Table 3.12.7 Precautionary suspensions for the period 1 April 2013 to 31 March 2014

<b>Number of people suspended</b>	<b>1</b>
<b>Number of people who's suspension exceeded 30 days</b>	<b>1</b>
<b>Average number of days suspended</b>	<b>67</b>
<b>Cost of suspension(R'000)</b>	<b>R128 551</b>

### 3.13. Skills development

This section highlights the efforts of the department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2013 to 31 March 2014

Occupational category	Gender	Number of employees as at 1 April 2013	Training needs identified at start of the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	<b>8</b>	-	<b>5</b>	-	<b>5</b>
	Male	<b>11</b>	-	<b>7</b>	<b>1</b>	<b>8</b>
Professionals	Female	<b>11</b>	-	<b>40</b>	<b>2</b>	<b>42</b>
	Male	<b>27</b>	-	<b>51</b>	<b>1</b>	<b>52</b>
Technicians and associate professionals	Female	<b>78</b>	-	<b>66</b>	-	<b>66</b>
	Male	<b>92</b>	-	<b>70</b>	<b>1</b>	<b>71</b>
Clerks	Female	<b>52</b>	-	<b>67</b>	-	<b>67</b>
	Male	<b>25</b>	-	<b>33</b>	<b>3</b>	<b>36</b>
Sub Total	Female	-	-	<b>178</b>	<b>2</b>	<b>180</b>
	Male	-	-	<b>161</b>	<b>6</b>	<b>167</b>
<b>Total</b>		<b>304</b>	-	<b>339</b>	<b>8</b>	<b>347</b>

Table 3.13.2 Training provided for the period 1 April 2013 and 31 March 2014

Occupational category	Gender	Number of employees as at 1 April 2013	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	8	-	6	5	11
	Male	11		5	3	8
Professionals	Female	11		27	13	40
	Male	27		50	16	66
Technicians and associate professionals	Female	78		49	23	72
	Male	92		78	18	96
Clerks	Female	52		54	22	76
	Male	25		24	10	34
Sub Total	Female	149		136	63	199
	Male	155		157	47	204
<b>Total</b>		<b>304</b>		<b>293</b>	<b>110</b>	<b>403</b>

### 3.14 Injury on duty

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2013 and 31 March 2014

Nature of injury on duty	Number	% of total
Required basic medical attention only	<b>1</b>	<b>100</b>
Temporary Total Disablement	-	-
Permanent Disablement	-	-
Fatal	-	-
<b>Total</b>	<b>1</b>	<b>100</b>

### 3.15 Utilisation of Consultants

The following tables relate information on the utilisation of consultants in the department. In terms of the Public Service Regulations "consultant" means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a department.

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2013 and 31 March 2014

Project Title	Total Number Of Consultants	Durations (Work Days)	Contract Value In Rand
Independent Counseling and Advisory Services for Employee Assistance Programme. (ICAS)	01	As and when required	R185 000
Independent verification of qualifications. (South African Qualifications Authority) SAQA	01	As and when required	R5 490
Independent Credit record verification. (Experian SA)	01	As and when required	R23 447

NB: The contract value represents the actual expenditure incurred based on the work performed as and when required.





## **PART E: FINANCIAL INFORMATION**

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**REPORT OF THE AUDITOR-GENERAL  
for the year ended 31 March 2014**

**REPORT OF THE AUDITOR-GENERAL TO PARLIAMENT ON VOTE NO. 23:  
INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE (IPID)  
REPORT ON THE FINANCIAL STATEMENTS**

**Introduction**

1. I have audited the financial statements of the Independent Police Investigative Directorate (IPID) set out on pages 92 to 128, which comprise the appropriation statement, the statement of financial position as at 31 March 2014, the statement of financial performance, statement of changes in net assets and cash flow statement, as well as the notes, comprising a summary of significant accounting policies and other explanatory information.

**Accounting officer's responsibility for the financial statements**

2. The accounting officer is responsible for the preparation and fair presentation of these financial statements in accordance with Modified Cash Standard prescribed by National Treasury and the requirements of the Public Finance Management Act, (Act No.1 of 1999) (PFMA), and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

**Auditor-general's responsibility**

3. My responsibility is to express an opinion on these financial statements based on my audit. I conducted my audit in accordance with the Public Audit Act of South Africa, 2004 (Act No. 25 of 2004) (PAA), the general notice issued in terms thereof and International Standards on Auditing. Those standards require that I comply with ethical requirements, and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

4. An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

5. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

**Opinion**

6. In my opinion, the financial statements present fairly, in all material respects, the financial position of the Independent Police Investigative Directorate as at 31 March 2014, and its financial performance and cash flows for the year then ended in accordance with Modified Cash Standard prescribed by National Treasury and the requirements of the PFMA.

**Emphasis of matter**

I draw attention to the matter below. My opinion is not modified in respect of this matter:

**Material under spending of the budget**

7. For the financial year 2013/14, the department had a material under spending amounting to R23 850 000.00 of the vote as disclosed in the appropriation statement. This was due to the vacancies within the department.

**Additional matter**

I draw attention to the matter below. My opinion is not modified in respect of this matter:

**Unaudited supplementary schedules**

8. The supplementary information set out on pages 129 to 136 does not form part of the financial statements and is presented as additional information. I have not audited this schedule and, accordingly, I do not express an opinion thereon.

**REPORT ON OTHER LEGAL AND REGULATORY REQUIREMENTS**

9. In accordance with the PAA and the general notice issued in terms thereof, I report the following findings on the reported performance information against predetermined objectives for selected programmes presented in the annual performance report, non-compliance with



## INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

### REPORT OF THE AUDITOR-GENERAL for the year ended 31 March 2014

legislation as well as internal control. The objective of my tests was to identify reportable findings as described under each subheading but not to gather evidence to express assurance on these matters. Accordingly, I do not express an opinion or conclusion on these matters.

10. I performed procedures to obtain evidence about the usefulness and reliability of the reported performance information for the following selected programmes presented in the annual performance report of the Independent Police Investigative Directorate (IPID) for the year ended 31 March 2014:

- Programme 1: Governance and Stakeholder Management on pages 14 to 16
- Programme 3: Investigation and Information Management on pages 20 to 23

11. I evaluated the reported performance information against the overall criteria of usefulness and reliability.

12. I evaluated the usefulness of the reported performance information to determine whether it was presented in accordance with the National Treasury's annual reporting principles and whether the reported performance was consistent with the planned programmes. I further performed tests to determine whether indicators and targets were well defined, verifiable, specific, measurable, time bound and relevant, as required by the National Treasury's *Framework for managing programme performance information (FMPPI)*.

13. I assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.

14. I did not raise any material findings on usefulness or reliability of the reported performance information for all programs selected.

#### Additional matters

15. I draw attention to the matters below.

#### Achievement of planned targets

16. Refer to the annual performance report on pages 12 to 26 for information on the achievement of planned objectives for the year.

#### Adjustment of material misstatements

17. I identified material misstatements in the annual performance report submitted for auditing of the reported performance information for Programme 1: Governance and Stakeholder Management and Programme 3: Investigation and Information Management. As management subsequently corrected all misstatements, I did not raise material findings on the usefulness and reliability of the reported performance information.

#### Unaudited supplementary schedules

18. The supplementary information set out on pages 27 to 68 does not form part of the annual performance report and is presented as additional information. I have not audited these schedules and, accordingly, I do not report thereon.

#### Compliance with legislation

19. I performed procedures to obtain evidence that the department had complied with applicable legislation regarding financial matters, financial management and other related matters. My findings on material non-compliance with specific matters in key legislation, as set out in the general notice issued in terms of the PAA, are as follows:

#### Annual financial statements and annual reports

20. The financial statements submitted for auditing were not initially prepared in accordance with the prescribed financial reporting framework and supported by full and proper records as required by section 40(1) (a) and (b) of the Public Finance Management Act. Material misstatements of disclosure items identified by the auditors in the submitted financial statement were subsequently corrected and the supporting records were provided subsequently, resulting in the financial statements receiving an unqualified audit opinion.

#### Internal audit

21. The internal audit function did not submit reports, detailing the performance against the annual internal audit plan, to the audit committee every quarter, as required by Treasury Regulation 3.2.7(d).

### **Procurement and contract management**

22. Sufficient appropriate audit evidence could not be obtained that contracts were awarded only to bidders who submitted a declaration on whether they are employed by the state or connected to any person employed by the state, which is prescribed in order to comply with Treasury regulation 16A8.3.

### **Human resource management and compensation**

23. Funded vacant positions were not filled within 12 months as required by Public Service Regulations 1/VII/C1A2.

### **Expenditure management**

24. Effective steps were not taken to prevent irregular expenditure, as required by section 38(1)(c)(ii) of the Public Finance Management Act and Treasury Regulation 9.1.1.

25. Contractual obligations and money owed by the Independent Police Investigative Directorate (IPID) were not settled within 30 days or an agreed period, as required by section 38(1)(f) of the Public Finance Management Act and Treasury Regulation 8.2.3.

### **Internal control**

26. I considered internal control relevant to my audit of the financial statements, performance report and compliance with laws and regulations. The matters reported below under the fundamentals of internal control are limited to the significant deficiencies that resulted in the findings on the performance report and the findings on compliance with laws and regulations included in this report.

### **Leadership**

27. Instability in management due to vacant positions resulted in a lack of monitoring of activities within the department to ensure compliance with laws and regulations.

### **Financial and performance management**

28. Management did not always have or implement proper approved policies and procedures.

### **Governance**

29. Lack of adequate oversight by internal audit due to the incapacity within the unit.

### **OTHER REPORTS**

#### **Investigations**

30. The matter regarding the lease contract of the City Forum Building is still under investigation by the Special Investigative Unit (SIU).

*Auditor-General*

Auditor-General  
Pretoria  
31 July 2014



*Auditing to build public confidence*

# INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

## APPROPRIATION STATEMENT for the year ended 31 March 2014

Appropriation per programme									
2013/14								2012/13	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>1. ADMINISTRATION</b>									
Current payment	87 029	(1 233)	1 083	86 879	83 706	3 173	96.3	83 086	67 008
Transfers and subsidies	137	-	-	137	1	136	0.7	2 026	2 026
Payment for capital assets	1 280	1 210	(479)	2 011	1 862	149	92.6	1 412	1 222
Payment for financial assets	-	23	-	23	23	-	100.0	2	2
	<b>88 446</b>	-	<b>604</b>	<b>89 050</b>	<b>85 592</b>	<b>3 458</b>	<b>96.1</b>	<b>86 526</b>	<b>70 258</b>
<b>2. INVESTIGATION &amp; INFORMATION MANAGEMENT</b>									
Current payment	122 222	(1 162)	-	121 060	102 074	18 986	84.3	101 208	94 850
Transfers and subsidies	103	183	-	286	286	-	100.0	116	116
Payment for capital assets	1 770	973	(604)	2 139	1 877	262	87.8	4 638	2 038
Payment for financial assets	-	6	-	6	6	-	100.0	5	5
	<b>124 095</b>	-	<b>(604)</b>	<b>123 491</b>	<b>104 243</b>	<b>19 248</b>	<b>84.4</b>	<b>105 967</b>	<b>97 009</b>
<b>3. LEGAL SERVICES</b>									
Current payment	4 422	(158)	-	4 264	3 120	1 144	73.2	5 320	4 097
Payment for capital assets	28	158	-	186	186	-	100.0	85	85
	<b>4 450</b>	-	-	<b>4 450</b>	<b>3 306</b>	<b>1 144</b>	<b>74.3</b>	<b>5 405</b>	<b>4 182</b>
<b>Subtotal</b>	<b>216 991</b>	-	-	<b>216 991</b>	<b>193 141</b>	<b>23 850</b>	<b>89.0</b>	<b>197 898</b>	<b>171 449</b>
<b>TOTAL</b>	<b>216 991</b>	-	-	<b>216 991</b>	<b>193 141</b>	<b>23 850</b>	<b>89.0</b>	<b>197 898</b>	<b>171 449</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE**  
**VOTE NO. 23:**

**APPROPRIATION STATEMENT**  
for the year ended 31 March 2014

	2014		2013
	Final Appropriation	Actual Expenditure	Final Appropriation
<b>TOTAL (brought forward)</b>	216 991	193 141	197 898
<b>Reconciliation with Statement of Financial Performance</b>			
<b>ADD:</b>			
Departmental receipts	321		179
<b>Actual amounts per Statement of Financial Performance (Total Revenue)</b>	217 312		198 077
<b>Actual Amounts per Statement of Financial Performance (Total Expenditure)</b>		193 141	
			171 449

# INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

## APPROPRIATION STATEMENT for the year ended 31 March 2014

Appropriation per economic classification									
2013/14								2012/13	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	131 860	(4 787)	-	127 073	105 038	22 035	82.7	104 286	95 993
Goods and services	81 813	2 234	1 083	85 130	83 861	1 269	98.5	85 328	69 962
<b>Transfers and subsidies</b>									
Departmental agencies and accounts	140	2	-	142	6	136	4.2	106	106
Households	100	181	-	281	281	-	100.0	2 036	2 036
<b>Payments for capital assets</b>									
Machinery and equipment	3 078	2 341	(1 083)	4 336	3 926	410	90.5	6 135	3 345
<b>Payments for financial assets</b>									
		29	-	29	29	-	100.0	7	7
<b>Total</b>	<b>216 991</b>	<b>-</b>	<b>-</b>	<b>216 991</b>	<b>193 141</b>	<b>23 850</b>	<b>89.0</b>	<b>197 898</b>	<b>171 449</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**APPROPRIATION STATEMENT  
for the year ended 31 March 2014**

Detail per Sub-Programme 1 ADMINISTRATION	2013/14						2012/13		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>1.1 DEPARTMENT MANAGEMENT</b>									
Current payment	7 553	(754)	-	6 799	6 108	691	89.8	7 009	7 009
Transfers and subsidies	-	-	-	-	-	-	-	1 729	1 729
Payment for capital assets	117	140	-	257	257	-	100.0	253	253
<b>1. CORPORATE SERVICES</b>									
Current payment	51 625	668	1 083	53 376	52 125	1 251	97.7	47 642	34 764
Transfers and subsidies	137	-	-	137	1	136	0.7	290	290
Payment for capital assets	855	917	(479)	1 293	1 293	-	100.0	574	573
Payment for financial assets	-	22	-	22	22	-	100.0	1	1
<b>1.3 OFFICE ACCOMODATION</b>									
Current payment	9 592	-	-	9 592	9 592	-	100.0	9 092	9 092
<b>1.4 INTERNAL AUDIT</b>									
Current payment	3 182	(344)	-	2 838	2 340	498	82.5	2 716	2 716
Payment for capital assets	38	87	-	125	51	74	40.8	100	78
<b>1.5 FINANCE ADMINISTRATION</b>									
Current payment	15 077	(803)	-	14 274	13 541	733	94.9	16 627	13 427
Transfers and subsidies	-	-	-	-	-	-	-	7	7
Payment for capital assets	270	66	-	336	261	75	77.7	485	318
Payment for financial assets	-	1	-	1	1	-	100.0	1	1
<b>Total</b>	<b>88 446</b>	<b>-</b>	<b>604</b>	<b>89 050</b>	<b>85 592</b>	<b>3 458</b>	<b>96.1</b>	<b>86 526</b>	<b>70 258</b>



# INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

## APPROPRIATION STATEMENT for the year ended 31 March 2014

Statutory Appropriation per economic classification									
Program 1 Per Economic classification	2013/14						2012/13		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	41 572	(2 823)	-	38 749	36 665	2 084	94.6	37 015	31 551
Goods and services	45 457	1 590	1 083	48 130	47 040	1 090	97.7	46 071	35 457
<b>Transfers and subsidies</b>									
Departmental agencies and accounts	137	-	-	137	1	136	0.7	100	100
Households	-	-	-	-	-	-	-	1 926	1 926
<b>Payments for capital assets</b>									
Machinery and equipment	1 280	1 210	(479)	2 011	1 863	148	92.6	1 412	1 222
<b>Payments for financial assets</b>									
	-	23	-	23	23	-	100.0	2	2
<b>Total</b>	<b>88 446</b>	<b>-</b>	<b>604</b>	<b>89 050</b>	<b>85 592</b>	<b>3 458</b>	<b>96.1</b>	<b>86 526</b>	<b>70 258</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**APPROPRIATION STATEMENT  
for the year ended 31 March 2014**

Details per Sub-Programme	2013/14						2012/13		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>2.1 INVESTIGATION MANAGEMENT</b>									
Current payment	116 513	(1 395)	-	115 118	96 199	18 919	83.6	92 109	90 860
Transfers and subsidies	103	183	-	286	286	-	100.0	116	116
Payment for capital assets	1 600	723	(604)	1 719	1 716	3	99.8	4 410	1 899
Payment for financial assets	-	6	-	6	6	-	100.0	5	5
<b>2.2 INFORMATION MANGEMENT</b>									
Current payment	4 474	(299)	-	4 175	4 175	-	100.0	6 547	2 251
Payment for capital assets	70	100	-	170	37	133	21.8	93	32
<b>2.3 POLICY DEV &amp; PROV COORDINATION</b>									
Current payment	1 235	532	-	1 767	1 700	67	96.2	2 552	1 739
Payment for capital assets	100	150	-	250	124	126	49.6	135	107
<b>Total</b>	<b>124 095</b>	<b>-</b>	<b>(604)</b>	<b>123 491</b>	<b>104 243</b>	<b>19 248</b>	<b>84.4</b>	<b>105 967</b>	<b>97 009</b>

# INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

## APPROPRIATION STATEMENT for the year ended 31 March 2014

Statutory Appropriation per economic classification									
2013/14								2012/13	
Programme 2 Per Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	86 526	(2 069)		84 457	65 650	18 807	77.7	62 645	61 037
Goods and services	35 696	907		36 603	36 424	179	99.5	38 563	33 812
<b>Transfers and subsidies</b>									
Departmental agencies and accounts	3	2	-	5	5	-	100.0	6	6
Households	100	181		281	281	-	100.0	110	110
<b>Payments for capital assets</b>									
Machinery and equipment	1 770	973	(604)	2 139	1 877	262	87.8	4 638	2 039
<b>Payments for financial assets</b>									
	-	6		6	6	-	100.0	5	5
<b>Total</b>	<b>124 095</b>	<b>-</b>	<b>(604)</b>	<b>123 491</b>	<b>104 243</b>	<b>19 248</b>	<b>84.4</b>	<b>105 967</b>	<b>97 009</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**APPROPRIATION STATEMENT  
for the year ended 31 March 2014**

2013/14										2012/13	
Details Per Sub-Programme LEGAL SERVICES											
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		R'000
<b>3.1 LEGAL SUPPORT</b>											
Current payment	1 396	955	-	2 351	2 045	306	87.0	1 258	1 258		1 258
Payment for capital assets	15	122	-	137	137	-	100.0	74	74		74
<b>3.2 INVESTIGATION ADVISORY SERVICES</b>											
Current payment	3 026	(1 113)	-	1 913	1 075	838	56.2	4 062	4 062		2 839
Payment for capital assets	13	36	-	49	49	-	100.0	11	11		11
<b>Total</b>	<b>4 450</b>	<b>-</b>	<b>-</b>	<b>4 450</b>	<b>3 306</b>	<b>1 144</b>	<b>74.3</b>	<b>5 405</b>	<b>5 405</b>		<b>4 182</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**APPROPRIATION STATEMENT  
for the year ended 31 March 2014**

Statutory Appropriation per economic classification									
Program 3 Per Economic classification	2013/14						2012/13		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	3 762	105	-	3 867	2 723	1 144	70.4	4 626	3 405
Goods and services	660	(263)	-	397	397	-	100.0	694	693
<b>Payments for capital assets</b>									
Machinery and equipment	28	158	-	186	186	-	100.0	85	84
<b>Payments for financial assets</b>									
<b>Total</b>	<b>4 450</b>	<b>-</b>	<b>-</b>	<b>4 450</b>	<b>3 306</b>	<b>1 144</b>	<b>74.3</b>	<b>5 405</b>	<b>4 182</b>

# INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

## NOTES TO THE APPROPRIATION STATEMENT for the year ended 31 March 2014

### 1. Detail of transfers and subsidies as per Appropriation Act (after Virement):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-H) to the Annual Financial Statements.

### 2. Detail of specifically and exclusively appropriated amounts voted (after Virement):

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

### 3. Detail on payments for financial assets

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

### 4. Explanations of material variances from Amounts Voted (after Virement):

4.1	PROGRAMMES	Final Appropriation	Actual Expenditure	Variance R'000	Variance as a % of Final Appropriation
	<b>Administration</b>	89 050	85 592	3 458	3.88

The position of Executive Director was only filled in March 2014, and other positions in the same Programme such as Director: Executive Support, Director Internal audit and Director Corporate Governance are amongst vacant positions that have not been filled hence the Compensation of Employees and the administrative costs related to those vacancies was affected and impacted the overall spending of the Programme. The Skills Development transfer which is classified under transfer and subsidies was also not transferred following the National Treasury's instruction to hold back on the transfer and that has resulted in under-spending of the allocated transfer budget in Administration.

	<b>Investigation &amp; Information Management</b>	123 491	104 243	19 248	15.59
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Ten (10) SMS positions including nine (9) Provincial Heads and Programme Manager have been advertised in March 2014; however these were budgeted for in the year under review, hence under-spending in Compensation of Employees. The recruitment has started with an intention of filling the vacancies in the first quarter of the financial year 2014/15. The continued delay in implementation of planned satellite offices due to the slow procurement process that is done in conjunction with DPW has also affected the financial performance of this Programme.

	<b>Legal Services</b>	4 450	3 306	1 144	25.71
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Amongst the vacancies in the Programme, two (2) SMS positions, Directors: Legal Services have been advertised in March 2014 with an intention to fill them before end of the first quarter in the 2014/15 financial year. These vacancies have affected the spending pattern of the Programme including the administrative costs to all reported vacant positions



**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE APPROPRIATION STATEMENT  
for the year ended 31 March 2014**

<b>4.2 Per economic classification</b>	<b>Final Appropriation R'000</b>	<b>Actual Expenditure R'000</b>	<b>Variance R'000</b>	<b>Variance as a % of Final Appropriation R'000</b>
<b>Current payments</b>				
Compensation of employees	127 103	105 038	22 035	17.34
Goods and services	85 130	83 861	1 269	1.49
<b>Transfers and subsidies</b>				
Departmental agencies and accounts	142	6	136	95.77
Households	281	281	-	-
<b>Payments for capital assets</b>				
Machinery and equipment	4 336	3 926	410	9.46
<b>Payments for financial assets</b>	29	29	-	-

The vacancies in various Programmes have contributed to the largest part of the under-spending of the Vote. The related administrative costs in terms of the vacant posts were also negatively impacted. In terms of the transfers, the payment of the Skills Development Levy was withheld as per instruction received from National Treasury. The capital assets were under-spent due to the delay in the procurement and delivery process.

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**STATEMENT OF FINANCIAL PERFORMANCE  
for the year ended 31 March 2014**

	<b>Note</b>	<b>2013/14 R'000</b>	<b>2012/13 R'000</b>
<b>REVENUE</b>			
Annual appropriation	1	216 991	197 898
Departmental revenue	2	321	179
<b>TOTAL REVENUE</b>		<b>217 312</b>	<b>198 077</b>
<b>EXPENDITURE</b>			
<b>Current expenditure</b>			
Compensation of employees	3	105 038	95 993
Goods and services	4	83 861	69 962
<b>Total current expenditure</b>		<b>188 899</b>	<b>165 955</b>
<b>Transfers and subsidies</b>			
Transfers and subsidies	6	287	2 142
<b>Total transfers and subsidies</b>		<b>287</b>	<b>2 142</b>
<b>Expenditure for capital assets</b>			
Tangible assets	7	3 926	3 345
<b>Total expenditure for capital assets</b>		<b>3 926</b>	<b>3 345</b>
<b>Payments for financial assets</b>	5	<b>29</b>	<b>7</b>
<b>TOTAL EXPENDITURE</b>		<b>193 141</b>	<b>171 449</b>
<b>SURPLUS/(DEFICIT) FOR THE YEAR</b>		<b>24 171</b>	<b>26 628</b>
<b>Reconciliation of Net Surplus (Deficit) for the year</b>			
Voted funds		23 850	26 449
Annual appropriation		<b>23 850</b>	<b>26 449</b>
Departmental revenue and NRF Receipts	19	321	179
<b>SURPLUS (DIFICIT) FOR THE YEAR</b>		<b>24 171</b>	<b>26 628</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**STATEMENT OF FINANCIAL PERFORMANCE  
for the year ended 31 March 2014**

	Note	2013/14 R'000	2012/13 R'000
<b>ASSETS</b>			
<b>Current assets</b>		24 069	26 547
Unauthorised expenditure	8	891	891
Cash and cash equivalents	9	22 894	25 475
Prepayments and advances	10	23	20
Receivables	11	261	161
<b>TOTAL ASSETS</b>		<b>24 069</b>	<b>26 547</b>
<b>LIABILITIES</b>			
<b>Current liabilities</b>		24 069	26 547
Voted funds to be surrendered to the Revenue Fund	12	23 850	26 449
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	13	11	(8)
Payables	14	208	106
<b>TOTAL LIABILITIES</b>		<b>24 069</b>	<b>26 547</b>
<b>NET ASSETS</b>		<b>-</b>	<b>-</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**CASH FLOW STATEMENT  
for the year ended 31 March 2014**

	<b>Note</b>	<b>2013/14 R'000</b>	<b>2012/13 R'000</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Receipts		<b>217 312</b>	<b>198 077</b>
Annual appropriated funds received	1.1	216 991	197 898
Departmental revenue received	2	310	179
Interest received	2.2	11	-
Net (increase)/decrease in working capital		(1)	(778)
Surrendered to Revenue Fund		(26 751)	301
Current payments		(188 899)	(165 955)
Payments for financial assets		(29)	(7)
Transfers and subsidies paid		(287)	(2 142)
<b>Net cash flow available from operating activities</b>	<b>15</b>	<b>1 345</b>	<b>29 496</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Payments for capital assets	7	(3 926)	(3 345)
<b>Net cash flows from investing activities</b>		<b>(3 926)</b>	<b>(3 345)</b>
Net increase/(decrease) in cash and cash equivalents		(2 581)	26 151
Cash and cash equivalents at beginning of period		25 475	(676)
<b>Cash and cash equivalents at end of period</b>	<b>16</b>	<b>22 894</b>	<b>25 475</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

<b>Summary of significant accounting policies</b>	
<p>The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated.</p> <p>The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.</p> <p>Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.</p>	
<b>1</b>	<b>Basis of preparation</b> The financial statements have been prepared in accordance with the Modified Cash Standard.
<b>2</b>	<b>Going concern</b> The financial statements have been prepared on a going concern basis.
<b>3</b>	<b>Presentation currency</b> Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.
<b>4</b>	<b>Rounding</b> Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).
<b>5</b>	<b>Foreign currency translation</b> Cash flows arising from foreign currency transactions are translated into South African Rands using the exchange rates prevailing at the date of payment / receipt.
<b>6</b>	<b>Current year comparison with budget</b> A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.
<b>7</b>	<b>Revenue</b>
<b>7.1</b>	<b>Appropriated funds</b> Appropriated funds comprises of departmental allocations. Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective. The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.
<b>7.2</b>	<b>Departmental revenue</b> Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise. Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.
<b>8</b>	<b>Expenditure</b>
<b>8.1</b>	<b>Compensation of employees</b>
<b>8.1.1</b>	<b>Salaries and wages</b> Salaries and wages are recognised in the statement of financial performance on the date of payment.
<b>8.1.2</b>	<b>Social contributions</b> Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment. Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.
<b>8.2</b>	<b>Other expenditure</b> Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

<b>8.3</b>	<p><b>Accrued expenditure payable</b></p> <p>Accrued expenditure payable is recorded in the notes to the financial statements when the goods are received or, in the case of services, when they are rendered to the department.</p> <p>Accrued expenditure payable is measured at cost.</p>
<b>8.4</b>	<p><b>Leases</b></p>
<b>8.4.1</b>	<p><b>Operating leases</b></p> <p>Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment.</p> <p>The operating lease commitments are recorded in the notes to the financial statements.</p>
<b>9</b>	<p><b>Cash and cash equivalents</b></p> <p>Cash and cash equivalents are stated at cost in the statement of financial position.</p> <p>Bank overdrafts are shown separately on the face of the statement of financial position.</p> <p>For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.</p>
<b>10</b>	<p><b>Prepayments and advances</b></p> <p>Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash.</p> <p>Prepayments and advances are initially and subsequently measured at cost.</p>
<b>11</b>	<p><b>Payables</b></p> <p>Payables and receivables are recognised in the statement of financial position at cost.</p>
<b>12</b>	<p><b>Capital Assets</b></p>
<b>12.1</b>	<p><b>Movable capital assets</b></p> <p>Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.</p> <p>Where the cost of movable capital assets cannot be determined accurately, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) are recorded at R1.</p> <p>Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the movable asset is recorded by another department/entity in which case the completed project costs are transferred to that department.</p>
<b>13</b>	<p><b>Provisions and Contingents</b></p>
<b>13.1</b>	<p><b>Contingent liabilities</b></p> <p>Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.</p>
<b>13.2</b>	<p><b>Commitments</b></p> <p>Commitments are recorded at cost in the notes to the financial statements when there is a contractual arrangement or an approval by management in a manner that raises a valid expectation that the department will discharge its responsibilities thereby incurring future expenditure that will result in the outflow of cash.</p>
<b>14</b>	<p><b>Unauthorised expenditure</b></p> <p>Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:</p> <ul style="list-style-type: none"> <li>• approved by Parliament or the Provincial Legislature with funding and the related funds are received; or</li> <li>• approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or</li> <li>• transferred to receivables for recovery.</li> </ul> <p>Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.</p>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

<b>15</b>	<b>Fruitless and wasteful expenditure</b> <p>Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.</p> <p>Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables for recovery.</p> <p>Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
<b>16</b>	<b>Irregular expenditure</b> <p>Irregular expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefore are provided in the note.</p> <p>Irregular expenditure is removed from the note when it is either condoned by the relevant authority, transferred to receivables for recovery or not condoned and is not recoverable.</p> <p>Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
<b>17</b>	<b>Prior period errors</b> <p>Are omissions from, and misstatements in the Department's Financial Statements for one or more prior periods arising from a failure to use, or misuse of, reliable information that:</p> <ul style="list-style-type: none"><li>• Was available when financial statements for those periods were authorised for issue; and</li><li>• Could reasonably be expected to have been obtained and taken into account in the preparation and presentation of those financial statements.</li></ul>
<b>18</b>	<b>Non-adjusting events after the reporting date</b> <p>Non-adjusting events after the reporting date are those events that are indicative of conditions that arose after the reporting date.</p>



# INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE VOTE NO. 23:

## NOTES TO THE ANNUAL FINANCIAL STATEMENTS for the year ended 31 March 2014

### 1. Annual Appropriation

#### 1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds) and Provincial Departments:

	Final Appropriation R'000	Actual Funds Received R'000	2013/14 Funds not requested/ not received R'000	2012/13 Appropriation received R'000
Administration	89 050	89 050	-	86 526
Investigation & Information Management	123 491	123 491	-	105 967
Legal Services	4 450	4 450	-	5 405
<b>Total</b>	<b>216 991</b>	<b>216 991</b>	<b>-</b>	<b>197 898</b>

### 2. Departmental revenue

	Note	2013/14 R'000	2012/13 R'000
Sales of goods and services other than capital assets	2.1	150	138
Interest, dividends and rent on land	2.2	11	-
Transactions in financial assets and liabilities	2.3	160	41
<b>Total revenue collected</b>		<b>321</b>	<b>179</b>
<b>Departmental revenue collected</b>		<b>321</b>	<b>179</b>

R321 000 reflects revenue collected and payable to National Treasury. As at 31 March 2014, R310 000 was paid to the National Revenue fund. The difference of R11 000 which represent the revenue accrual will be accounted for in the financial year 2014/15.

#### 2.1 Sales of goods and services other than capital assets

	Note	2013/14 R'000	2012/13 R'000
Sales of goods and services produced by the department		148	136
Sales by market establishment		77	75
Other sales		71	61
Sales of scrap, waste and other used current goods		2	2
<b>Total</b>	<b>2</b>	<b>150</b>	<b>138</b>

#### 2.2 Interest, dividends and rent on land

Interest		11	-
<b>Total</b>	<b>2</b>	<b>11</b>	<b>-</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

**2.3 Transactions in financial assets and liabilities**

	Note	2013/14 R'000	2012/13 R'000
Other Receipts including Recoverable Revenue		160	41
<b>Total</b>	2	<b>160</b>	<b>41</b>

**3. Compensation of employees**

**3.1 Salaries and Wages**

Basic salary	69 780	61 853
Performance award	1 540	1 319
Service Based	279	337
Compensative/circumstantial	5 531	6 354
Other non-pensionable allowances	14 207	13 918
<b>Total</b>	<b>91 337</b>	<b>83 781</b>

**3.2 Social contributions**

**Employer contributions**

Pension	9 077	8 042
Medical	4 600	4 149
Bargaining council	24	21
<b>Total</b>	<b>13 701</b>	<b>12 212</b>

**Total compensation of employees**

	<b>105 038</b>	<b>95 993</b>
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Average number of employees	310	304
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**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

## 4. Goods and services

	Note	2013/14 R'000	2012/13 R'000
Administrative fees		924	897
Advertising		3 568	1 626
Minor assets	4.1	1 779	591
Bursaries (employees)		167	176
Catering		528	385
Communication		3 093	2 649
Computer services	4.2	9 317	7 358
Consultants, contractors and agency/outsourced services	4.3	945	1 836
Audit cost – external	4.4	2 910	3 186
Fleet services		8 133	7 203
Consumables	4.5	2 413	2 751
Operating leases		18 195	13 175
Property payments	4.6	6 424	5 096
Travel and subsistence	4.7	22 436	20 619
Venues and facilities		322	371
Training and development		1 248	844
Other operating expenditure	4.8	1 459	1 199
<b>Total</b>		<b>83 861</b>	<b>69 962</b>

The reclassification of Inventory to Consumables is in compliance with the Modified Cash Standard (MCS).

### 4.1 Minor assets

#### Tangible assets

Machinery and equipment		1 779	591
<b>Total</b>	4	<b>1 779</b>	<b>591</b>

### 4.2 Computer services

SITA computer services		2 652	1 361
External computer service providers		6 665	5 997
<b>Total</b>	4	<b>9 317</b>	<b>7 358</b>

### 4.3 Consultants, contractors and agency/outsourced services

Business and advisory services		122	342
Legal costs		183	715
Contractors		431	578
Agency and support/outsourced services		209	201
<b>Total</b>	4	<b>945</b>	<b>1 836</b>

### 4.4 Audit cost – External

Regularity audits		2 695	3 106
Computer audits		215	80
<b>Total</b>	4	<b>2 910</b>	<b>3 186</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
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**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

**4.5 Consumables**

	Note	2013/14 R'000	2012/13 R'000
Consumable supplies		201	150
Household supplies		86	-
Building material and supplies		72	51
IT consumables		26	-
Other consumables		17	99
Stationery, printing and office supplies		2 212	2 601
<b>Total</b>	4	<b>2 413</b>	<b>2 751</b>

**4.6 Property payments**

Municipal services		2 708	2 054
Property management fees		135	103
Other		3 581	2 939
<b>Total</b>	4	<b>6 242</b>	<b>5 096</b>

**4.7 Travel and subsistence**

Local		22 341	20 619
Foreign		95	-
<b>Total</b>	4	<b>22 436</b>	<b>20 619</b>

**4.8 Other operating expenditure**

Professional bodies, membership and subscription fees		9	-
Resettlement costs		220	350
Other		1 230	849
<b>Total</b>	4	<b>1 459</b>	<b>1 199</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

## 5. Payments for financial assets

	Note	2013/14 R'000	2012/13 R'000
Debts written off	5.1	29	7
<b>Total</b>		<b>29</b>	<b>7</b>

### 5.1 Debts written off

**Nature of debts written off**

Recoverable Revenue Written Off

Salary Debt	9	-
State Guarantee	7	-
Tax Debt	13	-
<b>Total</b>	<b>29</b>	<b>-</b>

Other Debt Written Off

Salary overpayment and claims written off	-	5
Bursary	-	2
<b>Total</b>	<b>29</b>	<b>7</b>

<b>Total debt written off</b>	<b>5</b>	<b>29</b>	<b>7</b>
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## 6. Transfers and Subsidies

Departmental agencies and accounts	Annex 1C	6	106
Households	Annex 1H	281	2 036
<b>Total</b>		<b>287</b>	<b>2 142</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

## 7. Expenditure for capital assets

	Note	2013/14 R'000	2012/13 R'000
<b>Tangible assets</b>		<b>3 926</b>	<b>3 345</b>
Machinery and equipment	27	3 926	3 345
<b>Total</b>		<b>3 926</b>	<b>3 345</b>

### 7.1 Analysis of funds utilised to acquire capital assets – 2013/14

	Voted funds R'000	Aid assistance R'000	Total R'000
<b>Tangible assets</b>	<b>3 926</b>	<b>-</b>	<b>3 926</b>
Machinery and equipment	3 926	-	3 926
<b>Total</b>	<b>3 926</b>	<b>-</b>	<b>3 926</b>

### 7.2 Analysis of funds utilised to acquire capital assets – 2012/13

	Voted funds R'000	Aid assistance R'000	Total R'000
<b>Tangible assets</b>	<b>3 345</b>	<b>-</b>	<b>3 345</b>
Machinery and equipment	3 345	-	3 345
<b>Total</b>	<b>3 345</b>	<b>-</b>	<b>3 345</b>

## 8. Unauthorised expenditure

### 8.1 Reconciliation of unauthorised expenditure

Opening balance	891	891
Prior year error	-	-
As restated	891	891
<b>Unauthorised expenditure awaiting authorisation / written off</b>	<b>891</b>	<b>891</b>

### 8.2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

Current	891	891
<b>Total</b>	<b>891</b>	<b>891</b>

### 8.3 Analysis of unauthorised expenditure awaiting authorisation per type unauthorised expenditure awaiting authorisation per type

Unauthorised expenditure relating to	891	891
Overspending of the vote or a main division within a vote		
<b>Total</b>	<b>891</b>	<b>891</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
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**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

## 9. Cash and cash equivalents

	Note	2013/14 R'000	2012/13 R'000
Consolidated Paymaster General Account		26 665	30 370
Cash receipts		8	-
Disbursements		(3 783)	(4 922)
Cash on hand		4	27
<b>Total</b>		<b>22 894</b>	<b>25 475</b>

## 10. Prepayments and advances

Travel and subsistence		20	20
Advances paid	10.1	3	-
<b>Total</b>		<b>23</b>	<b>20</b>

### 10.1 Advances paid

National departments		3	-
<b>Total</b>	10	<b>3</b>	<b>-</b>

## 11. Receivables

	Note	R'000 Less than one year	R'000 One to three years	2013/14 R'000 Older than three years	R'000 Total	2012/13 R'000 Total
Claims recoverable	11.1	65	-	4	69	37
	<i>Annex 4</i>					
Recoverable expenditure	11.2	35	-	72	107	90
Staff debt	11.3	74	8	3	85	34
<b>Total</b>		<b>174</b>	<b>8</b>	<b>79</b>	<b>261</b>	<b>161</b>

### 11.1 Claims recoverable

		2013/14 R'000	2012/13 R'000
National departments	57		37
Provincial departments	12		-
<b>Total</b>	11	<b>69</b>	<b>37</b>

### 11.2 Recoverable expenditure (disallowance accounts)

Disallowance Miscellaneous: CA	1		-
Debt Account: CA	162		129
Debt Receivable interest: CA	(4)		(8)
Debt Receivable income: CA	(52)		(31)
<b>Total</b>	11	<b>107</b>	<b>90</b>



**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
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**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

**11.3 Staff debt**

	Note	2013/14 R'000	2012/13 R'000
Tax Debt		11	21
Other		-	-
Sal: ACB Recalls CA		-	(4)
Sal: Deduction Disallowance CA		12	3
Sal: Reversal Control CA		62	14
<b>Total</b>	15	<b>85</b>	<b>34</b>

**11.4 Impairment of receivables**

Estimate of impairment of receivables		41	32
<b>Total</b>	11	<b>41</b>	<b>32</b>

**12. Voted funds to be surrendered to the Revenue Fund**

Opening balance		26 449	(508)
As restated		26 449	(508)
Transfer from statement of financial performance (as restated)		23 850	26 449
Paid during the year		(26 449)	508
<b>Closing balance</b>		<b>23 850</b>	<b>26 449</b>

**13. Departmental Revenue and NRF Receipts to be Surrendered to the Revenue Fund**

Opening balance		(8)	20
As restated	19.1	(8)	20
Transfer from Statement of Financial Performance (as restated)		321	179
Paid during the year		(302)	(207)
<b>Closing balance</b>		<b>11</b>	<b>(8)</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

## 14. Payables - Current

	Note	2013/14 R'000	2012/13 R'000
Clearing Accounts	14.1	208	106
<b>Total</b>		<b>208</b>	<b>106</b>

### 14.1 Clearing accounts

Sal: Income Tax CL		205	106
Sal: Pension		(4)	-
Sal: Motor Finance		7	-
<b>Total</b>	14	<b>208</b>	<b>106</b>

## 15. Net cash flow available from operating activities

Net surplus/(deficit) as per Statement of Financial Performance	24 171	26 628
Add back non cash/cash movements not deemed operating activities	(22 826)	2 868
(Increase)/decrease in receivables – current	(100)	990
(Increase)/decrease in prepayments and advances	(3)	-
Increase/(decrease) in payables – current	102	(1 768)
Expenditure on capital assets	3 926	3 345
Surrenders to Revenue Fund	(26 751)	301
<b>Net cash flow generated by operating activities</b>	<b>1 345</b>	<b>29 496</b>

## 16. Reconciliation of cash and cash equivalents for cash flow purposes

Consolidated Paymaster General Account	26 665	30 370
Cash receipts	8	-
Disbursements	(3 783)	(4 922)
Cash on hand	4	27
<b>Total</b>	<b>22 894</b>	<b>25 475</b>

**INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
VOTE NO. 23:**

**DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
for the year ended 31 March 2014**

## 17. Contingent liabilities and contingent assets

### 17.1 Contingent liabilities

		<i>Note</i>	<b>2013/14 R'000</b>	<b>2012/13 R'000</b>
<b>Liable to</b>	<b>Nature</b>			
Claims against the Department			13 573	10 186
<b>Total</b>		Annex 3B	<b>13 573</b>	<b>10 186</b>

## 18. Commitments

### Current expenditure

Approved and contracted	266	1 160
Approved but not yet contracted	30	120
	<b>296</b>	<b>1 280</b>

### Capital expenditure

Approved and contracted	127	1 391
Approved but not yet contracted	15	114
	<b>142</b>	<b>1 505</b>
<b>Total Commitments</b>	<b>438</b>	<b>2 785</b>

No Commitments are for longer than a year.

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**DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS  
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## 19. Accruals

	Note	2013/14 R'000	2012/13 R'000
<b>Listed by economic classification</b>			
	<b>30 Days</b>	<b>30+ Days</b>	<b>Total</b>
Goods and services	2 485	969	3 454
Capital assets	81	-	81
<b>Total</b>	<b>2566</b>	<b>969</b>	<b>3 535</b>
<b>Listed by programme level</b>			
Administration			1 929
Investigation and Information Management			1 606
Legal Services			-
			<b>3 535</b>
Confirmed balances with other departments	Annex 5	-	38
Confirmed balances with other government entities	Annex 5	139	106
<b>Total</b>		<b>139</b>	<b>144</b>

## 20. Employee benefits

Leave entitlement	5 275	4 396
Service bonus (Thirteenth cheque)	2 979	2 665
Performance awards	2 435	1 986
Capped leave commitments	3 276	3 560
<b>Total</b>	<b>13 965</b>	<b>12 607</b>

- a. Included in the Leave entitlement is an amount of R25 033.86 which represent 29.44 negative leave balances for a total of 13 Officials as at reporting date. Leave Entitlement also includes current and prior year cycle liability.
- b. Service Bonus liability is a systematically PERSAL calculation which reconcile with the formula according to the DPSA Financial Manual

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## 21. Lease commitments

### 21.1 Operating leases expenditure

2013/14	Buildings and other fixed structures R'000	Machinery and equipment R'000	Total R'000
Not later than 1 year	2 060	112	2 172
Later than 1 year and not Later than five years	32 647	4 861	37 508
	5 112	-	5 112
<b>Total lease commitments</b>	<b>39 819</b>	<b>4 973</b>	<b>44 792</b>

2012/13	Buildings and other fixed structures R'000	Machinery and equipment R'000	Total R'000
Not later than 1 year	1 515	46	1 561
Later than 1 year and not later than 5 years	32 627	7 772	40 399
Later than five years	5 780	-	5 780
<b>Total lease commitments</b>	<b>39 922</b>	<b>7 818</b>	<b>47 740</b>

## 22. Irregular expenditure

### 22.1 Reconciliation of irregular expenditure

Note	2013/14 R'000	2012/13 R'000
Opening balance	923	247
Add: Irregular expenditure – relating to current year	957	676
<b>Irregular expenditure awaiting condonation</b>	<b>1 880</b>	<b>923</b>

#### Analysis of awaiting condonation per age classification

Current year	957	676
Prior years	923	247
<b>Total</b>	<b>1 880</b>	<b>923</b>

### 22.2 Details of irregular expenditure current year

Incident	Disciplinary steps taken/criminal proceedings	2013/14 R'000
Contravention of NT Note 1 of 2013-14	Under Investigation	2
Non-submission of SBD4	Matter being investigated	
		955
		<b>957</b>

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**22.3 Details of irregular expenditures under investigation**

Incident	2013/14
	R'000
Contravention of NT Note 1 of 2013-14 that was implemented with effect on 01 January 2014	2
Non-submission of SBD4	955
<b>Total</b>	<b>957</b>

**23. Fruitless and wasteful expenditure**

**23.1 Reconciliation of fruitless and wasteful expenditure**

	2013/14	2012/13
	R'000	R'000
Opening balance	3	1
Fruitless and wasteful expenditure – relating to prior year	206	-
Fruitless and wasteful expenditure – relating to current year	108	2
Less: Amounts resolved	(2)	-
<b>Fruitless and wasteful expenditure awaiting resolution</b>	<b>315</b>	<b>3</b>

**23.2 Analysis of awaiting resolution per economic classification**

Current	315	3
Capital	-	-
<b>Total</b>	<b>315</b>	<b>3</b>

**23.3 Analysis of Current year's fruitless and wasteful expenditure**

Incident	Disciplinary steps taken /criminal proceedings	2012/13
		R'000
Payment of Team Mate Audit system that was not used due to the delay in signing off SLA	Under investigation	314
<b>Total</b>		<b>314</b>

**24. RELATED PARTY TRANSACTIONS**

Related party relationships  
1. South African Police Services (SAPS) – Reporting to same Minister.

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## 25. Key management personnel

	No. of Individuals	2013/14 R'000	2012/13 R'000
Political office bearers (provide detail below)			
Officials:			
Level 15 to 16	1	900	2 696
Level 14 (incl. CFO if at a lower level)	12	10 733	9 317
Family Members of Key Management Per.	1	354	0
<b>Total</b>		<b>11 785</b>	<b>12 013</b>

## 26. Movable Tangible Capital Assets

**MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2014**

	Opening balance R'000	Curr Year Adjust-ments to prior year balances R'000	Additions R'000	Disposals R'000	Closing Balance R'000
<b>MACHINERY AND EQUIPMENT</b>	<b>21 312</b>	<b>18</b>	<b>4 007</b>	<b>-</b>	<b>25 337</b>
Transport assets	2 466	-	-	-	2 466
Computer equipment	13 056	916	1 691	-	15 663
Furniture and office equipment	4 624	(785)	1 965	-	5 804
Other machinery and equip- ment	1 166	(113)	351	-	1 404
<b>TOTAL MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>21 312</b>	<b>18</b>	<b>4 007</b>	<b>-</b>	<b>25 337</b>

### 27.1 Additions

**ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2014**

	Cash R'000	(Received current, not paid Paid current year, received prior year) R'000	Total R'000
<b>MACHINERY AND EQUIPMENT</b>	<b>3 926</b>	<b>81</b>	<b>4 007</b>
Computer equipment	1 691	-	1 691
Furniture and office equipment	1 884	81	1 965
Other machinery and equipment	351	-	351
<b>TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>3 926</b>	<b>81</b>	<b>4 007</b>

The difference between the R 4 007 000 disclosed here and the R 3 926 000 disclosed in note 7 is due to R 81 000 not yet paid at year end, in accordance with the Modified Cash Standard prescribed by National Treasury.



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**27.2 Movement for 2012/13**

	Opening balance	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000
<b>MACHINERY AND EQUIPMENT</b>	<b>18 634</b>	<b>3 345</b>	<b>(667)</b>	<b>21 312</b>
Transport assets	2 887	-	(421)	2 466
Computer equipment	10 844	2 446	(234)	13 056
Furniture and office equipment	3 779	857	(12)	4 624
Other machinery and equipment	1 124	42	-	1 166
<b>TOTAL MOVABLE TANGIBLE CAPITAL ASSETS</b>	<b>18 634</b>	<b>3 345</b>	<b>(667)</b>	<b>21 312</b>

**27.3 Minor Assets**

**MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2014**

	Heritage assets	Machinery and equipment	Total
	R'000	R'000	R'000
Opening	12	9 216	9 228
Bal Cur Year Adjustment to Prior Year Balances	-	6	6
Additions	-	1 747	1 747
Disposals	-	(11)	(11)
<b>TOTAL MINOR ASSETS</b>	<b>12</b>	<b>10 958</b>	<b>10 970</b>

	Heritage assets	Machinery and equipment	Total
Number of R1 minor assets	-	-	-
Number of minor assets at cost	13	6 680	6 693
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>13</b>	<b>6 680</b>	<b>6 693</b>

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**MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2013**

	<b>Heritage assets</b>	<b>Machinery and equipment</b>	<b>Total</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
Opening balance	12	8 922	8 934
Additions	-	397	397
Disposals	-	(103)	(103)
<b>TOTAL MINOR ASSETS</b>	<b>12</b>	<b>9 216</b>	<b>9 228</b>

	<b>Her- itage assets</b>	<b>Machinery and equipment</b>	<b>Total</b>
Number of R1 minor assets	-	-	-
Number of minor assets at cost	15	5 817	5 832
<b>TOTAL NUMBER OF MINOR ASSETS</b>	<b>15</b>	<b>5 817</b>	<b>5 832</b>

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**ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS  
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**ANNEXURE 1C  
STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS**

DEPARTMENT/ AGENCY/ ACCOUNT	TRANSFER ALLOCATION			TRANSFER		2012/13
	Adjusted Appropriation	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds Transferred
	R'000	R'000	R'000	R'000	R'000	%
SASSETA	132	-	-	132	-	95
COMMUNICATION: LICENCES (Radio & TV)	6	-	-	6	6	100%
	<b>138</b>	<b>-</b>	<b>-</b>	<b>138</b>	<b>6</b>	<b>106</b>

As per National Treasury instruction to hold on transfer of skills development levy to SASSETA, an amount of R132 000 was not transferred in the financial year under review, 2013/14  
An amount of R6 000 for TV licences was in the financial year 2013/14 transferred to the SABC.

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ANNEXURE 1H  
STATEMENT OF TRANSFERS TO HOUSEHOLD

HOUSEHOLDS	TRANSFER ALLOCATION			EXPENDITURE		2012/13
	Adjusted Appropriation Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds Transferred
	R'000	R'000	R'000	R'000	R'000	%
<b>Transfers</b>						
Leave Gratuity	-	-	203	203	203	100
Claims Against State	-	-	78	78	78	100
	-	-	281	281	281	2 036
	-	-	281	281	281	2 036

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**ANNEXURE 11**  
**STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED**

NAME OF ORGANISATION	NATURE OF GIFT, DONATION OR SPONSORSHIP	2013/14	2012/13
		R'000	R'000
<b>Received in kind</b>			
Travel Adventure	Diaries	-	2
Travel With Flair	4 Boxes of Chocolate and Desk Calendars	-	4
Business IT	2 x T-Shirts	-	-
Nozithemba	Lunch	-	-
Lesotho Ministry of Police	Decorative Milk Jar and Plate	-	-
Workers For Life	541 x A5 2014 Diaries	22	-
<b>Subtotal</b>		<b>22</b>	<b>6</b>
<b>TOTAL</b>		<b>22</b>	<b>6</b>

The above gifts and donations without amounts are those that were received from various mentioned service providers with immaterial amounts of less than R300.00

INDEPENDENT POLICE INVESTIGATIVE POLICE DIRECTORATE  
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for the year ended 31 March 2014ANNEXURE 3B  
STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2014

Nature of Liability	Opening Balance 1 April 2013 R'000	Liabilities incurred during the year R'000	Liabilities paid/cancelled/ reduced during the year R'000	Liabilities recoverable (Provide details hereunder) R'000	Closing Balance 31 March 2014 R'000
<b>Claims against the Department</b>					
Court settlement: SA Underwriter o.b.o. DL Salla	18	-	-	18	-
North West Mafikeng – SM Matshe vs Minister of Police	300	-	-	-	300
LK Ntuli vs M Ntsheno (GP)	61	-	-	61	-
General Mpembe vs Minister of Police	-	1 000	-	-	1 000
Richard Nziyane vs Minister of Police	-	480	-	-	480
<b>Possible Claims</b>					
KE Sons Investments CC					
EThekwin Municipality	9 806	1 471	-	-	11 277
Santam Insurance on behalf of MKB Tactical (Pty) LTD	1	-	1	-	-
Karelse vs Minister of Police	-	13	-	-	13
Netshapala Mukondoleli Lesley vs Minister of Police	-	3	-	-	3
	-	500	-	-	500
<b>TOTAL</b>	<b>10 186</b>	<b>3 467</b>	<b>80</b>	<b>-</b>	<b>13 573</b>

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**ANNEXURE 4  
CLAIMS RECOVERABLE**

Government Entity	Confirmed balance outstanding		Unconfirmed balance outstanding		Total	
	31/03/2014	31/03/2013	31/03/2014	31/03/2013	31/03/2014	31/03/2013
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Department</b>						
Department of Health	-	11	-	-	-	11
SAPS	-	3	-	2	-	5
Statistics	6	-	3	3	9	-
Department of Water Affairs	-	15	-	-	-	3
Department of Economic Development	-	-	-	3	-	15
Public Service & Administration	48	-	-	-	48	3
North West Provincial Dept of Health	12	-	-	-	12	-
<b>TOTAL</b>	<b>66</b>	<b>29</b>	<b>3</b>	<b>8</b>	<b>69</b>	<b>37</b>



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**ANNEXURE 5  
INTER-GOVERNMENT PAYABLES**

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL	
	31/03/2014	31/03/2013	31/03/2014	31/03/2013	31/03/2014	31/03/2013
	R'000	R'000	R'000	R'000	R'000	R'000

**DEPARTMENTS**

**Current**

KZN Provincial Treasury	-	16	-	-	-	16
Department of Justice	-	22	-	-	-	22
<b>Subtotal</b>	-	<b>38</b>	-	-	-	<b>38</b>
<b>Total</b>	-	<b>38</b>	-	-	-	<b>38</b>

**OTHER GOVERNMENT  
ENTITY**

**Current**

SARS	139	106	-	-	139	106
<b>Subtotal</b>	<b>139</b>	<b>106</b>			<b>139</b>	<b>106</b>
<b>Total</b>	<b>139</b>	<b>144</b>	-	-	<b>139</b>	<b>144</b>

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**ANNEXURE 6  
INVENTORY**

Inventory	Quantity	2013/14 R'000	Quantity	2012/13 R'000
Opening balance	7 740	394	6 385	204
Add/(Less): Adjustments to prior year balance	(7 740)	(394)	263	40
Add: Additions/Purchases – Cash			37 944	2 533
Add: Additions - Non-cash		-	34	1
(Less): Issues			(36 886)	(2 384)
<b>Closing balance</b>	-	-	<b>7 740</b>	<b>394</b>

The reclassification of Inventory to Consumables is in compliance with the Modified Cash Standard (MCS).

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**ANNEXURE 8A  
INTER-ENTITY ADVANCES PAID (note 14)**

ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL	
	31/03/2014	31/03/2013	31/03/2014	31/03/2013	31/03/2014	31/03/2013
	R'000	R'000	R'000	R'000	R'000	R'000

**NATIONAL**

**DEPARTMENTS**

GCIS	3	-	-	-	-	3
<b>Subtotal</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3</b>
<b>Total</b>	<b>3</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3</b>

Government Communication and Information System (GCIS)

<b>3</b>

$$\begin{array}{r} 3 \\ \hline \mathbf{3} \\ \hline \\ \hline 3 \\ \hline \end{array}$$



#### DEPARTMENT GENERAL INFORMATION

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