### **BOARD NOTICE 77 OF 2015**

#### FINANCIAL SERVICES BOARD

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

# AMENDMENT OF THE NOTICE ON QUALIFICATIONS, EXPERIENCE AND CRITERIA FOR APPROVAL AS COMPLIANCE OFFICER

I, Caroline Dey da Silva, the Deputy Registrar of Financial Services Providers, hereby, under section under section 17(1)(b) and (2)(a) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), amend the Notice on Qualifications, Experience and Criteria for the Approval as a Compliance Officer, 2010, as set out in the Schedule to this Notice.

This Notice is called the Amendment on Qualifications, Experience and Criteria for the Approval as a Compliance Officer, 2015.

CD da Silva,

Deputy Registrar of Financial Services Providers

## **SCHEDULE**

# AMENDMENT OF THE NOTICE ON QUALIFICATIONS, EXPERIENCE AND CRITERIA FOR APPROVAL AS COMPLIANCE OFFICER

### Definition

1. In this Schedule "the Notice" means the Notice on Qualifications, Experience and Criteria for the Approval as a Compliance Officer, 2010, as published by Board Notice 127 of 2010 in Government *Gazette* No. 33537 of 9 September 2010.

### Amendment of the Notice

2. The Schedule to the Notice is hereby amended by the substitution of Annexure 2 of the following Annexure:

	Anne	exure 2: (	Qualifications recognised for Compliance Offi	cers			
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
345	DIPLOMA: MARKETING MANAGEMENT	101	IMM GRADUATE SCHOOL OF MARKETING	79546	6	360	G
343	DII EOMA. MARKETING MANAGEMENT	101	IIVIIVI GRADOATE SCHOOL OF WARRETHING	75540	0	300	
879	ADVANCED CERTIFICATE: BANKING SERVICES	4	MILPARK BUSINESS SCHOOL	90842	6	120	G
880	ADVANCED CERTIFICATE: MANAGEMENT	4	MILPARK BUSINESS SCHOOL	90665	6	120	G
	ADVANCED CERTIFICATE:FINANACIAL	400					_
944	PLANNING ADVANCED DIPLOMA IN BUSINESS	198	PSG KONSULT LIMITED SOUTH AFRICAN INSTITUTE OF	83347/66612	125	6	G
620	MANAGEMENT	165	MANAGEMENT	67689	6	400	G
604	ASSOCIATE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	128	THE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	FSB071	0	0	G
	BACCALAUREUS ARTIUM	5	UNIVERSITY OF PRETORIA	23375	7	360	G
510	BACCALAUREUS COMMERCII: MARKETING		onvener or meronin	12375	ľ	300	
834	MANAGEMENT	194	GRADUATE ACADEMY OF SOUTH AFRICA	FSB0203	6	360	G
-		256	UNIVERSITY OF TRANSKEI	FSB0292	0	0	G
560	BACCALAUREUS PROCURATIONIS	13	RAU	FSB0288	0	0	G
520	BACCALAUREUS TECHNOLOGIE: BUSINESS ADMINISTRATION	26	j PORT ELIZABETH TECHNIKON	FSB0282	0	0	G
	BACHELOR OF COMMERCE: BANKING	172	UNIVERSITY OF ZULULAND	FSB0294	0	0	G
	BACHELOR OF ACCOUNTING SCIENCE	199	WALTER SISULU UNIVERSITY	80190	7	360	G
	BACHELOR OF ARTS: APPLIED ECONOMICS			<b>†</b>			
918	WITH FRENCH STUDIES	243	KINGSTON UNIVERSITY	FSB0270	0	0	G
279	BACHELOR OF ARTS: ECONOMICS	253	UNIVERSITY OF LEEDS	FSB0286	0	0	G
0.57	DAGUELOD OF BUILDINGS ADAMANGED ATION	454	USDIGT WAS TO LINE STORY				
867	BACHELOR OF BUSINESS ADMINISTRATION	154	HERIOT-WATT UNIVERSITY	FSB0284	0	0	G
	BACHELOR OF BUSINESS ADMINISTRATION:						
914	MARKETING MANAGEMENT	101	IMM GRADUATE SCHOOL OF MARKETING	80967	7	400	G
	BACHELOR OF BUSINESS MANAGEMENT	28	UNIVERSITY OF HAMPSHIRE	FSB0187	0	0	G
878	BACHELOR OF BUSINESS SCIENCE	92	MONASH SOUTH AFRICA	88603	7	374	G
872	BACHELOR OF BUSINESS STUDIES	221	DUBLIN CITY UNIVERSITY	FSB0227	0	0	G
905	BACHELOR OF BUSINESS STUDIES HONOURS	22	UNIVERSITY OF ZIMBABWE	FSB0261	0	0	G
			NELSON MANDELA METROPOLITAN				
518	BACHELOR OF COMMERCE	25	UNIVERSITY	87057	7	360	G
-	BACHELOR OF COMMERCE	20	UNIVERSITY OF LIMPOPO	FSB0285	0	0	G
-	BACHELOR OF COMMERCE	9	UNIVERSITY OF CAPE TOWN	FSB0207	7	432	G
_	BACHELOR OF COMMERCE	186	RHODES UNIVERSITY	15147 94058	7	360	G
	BACHELOR OF COMMERCE BACHELOR OF COMMERCE	172 18	UNIVERSITY OF ZULULAND UNIVERSITY OF POTCHEFSTROOM	13758	7	360 384	G G
	BACHELOR OF COMMERCE	7	UNIVERSITY OF THE WITWATERSRAND	9843	6	360	G
	BACHELOR OF COMMERCE	4	MILPARK BUSINESS SCHOOL	90509	7	370	G
	BACHELOR OF COMMERCE	1	UNISA	5563		384	G
738	BACHELOR OF COMMERCE : ECONOMICS	11	UNIVERSITY OF FREE STATE	16920	6	504	G
	BACHELOR OF COMMERCE : MANAGEMENT	10	LININ/EDGITY OF STELLENBOSCH	10507		270	
	SCIENCES  PACHELOR OF COMMERCE HONOLIRS	10	UNIVERSITY OF STELLENBOSCH	19587	8	370	G
298	BACHELOR OF COMMERCE HONOURS BACHELOR OF COMMERCE HONOURS	1	UNISA	5687	lo .	120	U
593	INSURANCE AND RISK MANAGEMENT	29	MIDLANDS STATE UNIVERSITY	FSB0132	o	0	G
	BACHELOR OF COMMERCE HONOURS:		NATIONAL UNIVERSITY OF SCIENCE AND	T	İ		<u> </u>
874	ACTUARIAL SCIENCE	30	TECHNOLOGY	FSB0229	0	0	G
	BACHELOR OF COMMERCE HONOURS:						
733	BUSINESS FINANCE, ECONOMICS	12	UNIVERSITY OF KWAZULU-NATAL	79007	8	128	G
570	BACHELOR OF COMMERCE HONOURS:	Q	I INIIVEDSITY OF IOUANINESDUDG	2172		160	6
15/6	ECONOMETRICS BACHELOR OF COMMERCE HONOURS:	8	UNIVERSITY OF JOHANNESBURG	3173	8	160	G
930	ECONOMICS	1	UNISA	FSB0276	0	0	G
	BACHELOR OF COMMERCE HONOURS: RISK						
923	MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0274	0	0	G
	BACHELOR OF COMMERCE IN COST AND						
711	MANAGEMENT ACCOUNTING	21	UNIVERSITY OF VENDA	9175	6	424	G
2	BACHELOR OF COMMERCE IN INTERNAL AUDITING	5	UNIVERSITY OF PRETORIA	16390	7	464	G
	BACHELOR OF COMMERCE: ACCOUNTANCY						
1514	AND LAW	17	UNIVERSITY OF NORTH WEST	FSB0298	О	0	G

	Ann	.w.w. 2. f	Qualifications recognised for Compliance Offic	nee.			
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
	Qualification title	11130 140	mistication	SAQAID	Level	Cicuits	Recognition
803	BACHELOR OF COMMERCE: ACCOUNTING	12	UNIVERSITY OF KWAZULU-NATAL	14780/79009	6	416	G
51	BACHELOR OF COMMERCE: ACCOUNTING	199	WALTER SISULU UNIVERSITY	80806	7	360	G
51	BACHELOR OF COMMERCE: ACCOUNTING	15	UNIVERSITY OF WESTERN CAPE	7259	6	360	G
1.00	DACHELOD OF COMMEDCE ACCOUNTING		LINUVERSITY OF IGHANNESSUIRS	72770	_	422	
	BACHELOR OF COMMERCE: ACCOUNTING BACHELOR OF COMMERCE: ACTUARIAL	8	UNIVERSITY OF JOHANNESBURG	73772	6	432	G
	SCIENCE	10	UNIVERSITY OF STELLENBOSCH	FSB0250	0	o	G
/34	BACHELOR OF COMMERCE: AGRICULTURAL	10	ONIVERSITI OF STEELENBOSCH	1380230			0
575	ECONOMICS	5	UNIVERSITY OF PRETORIA	FSB0281	0	o	G
	BACHELOR OF COMMERCE: AUDITING,						
837	MANAGERIAL ACCOUNTING, FINANCE	12	UNIVERSITY OF KWAZULU-NATAL	79006	7	384	G
259	BACHELOR OF COMMERCE: BANKING	1	UNISA	84327	7	360	G
	BACHELOR OF COMMERCE: BUSINESS						
724	FINANCE, ECONOMICS	12	UNIVERSITY OF KWAZULU-NATAL	79006	0	0	G
	BACHELOR OF COMMERCE: BUSINESS				_		
152	MANAGEMENT PAGE OF COMMERCE	113	DA VINCI INSTITUTE	84767	7	360	G
076	BACHELOR OF COMMERCE:	12	RAU	ECBUSSO	0	0	G
10/6	COMMUNICATION	13	INAU	FSB0230	1	0	0
853	BACHELOR OF COMMERCE: ECONOMETRICS	13	RAU	FSB0216	o	0	G
-	BACHELOR OF COMMERCE: ECONOMIC AND				<del></del>	<u> </u>	t ·
917	MANAGEMENT SCIENCE	10	UNIVERSITY OF STELLENBOSCH	19585	7	386	G
913	BACHELOR OF COMMERCE: ECONOMICS	20	UNIVERSITY OF LIMPOPO	19680	6	360	G
153	BACHELOR OF COMMERCE: ECONOMICS	21	UNIVERSITY OF VENDA	9209	7	476	G
	BACHELOR OF COMMERCE: ECONOMICS						
946	AND ECONOMETRICS	8	UNIVERSITY OF JOHANNESBURG	FSB0300	0	0	G
	BACHELOR OF COMMERCE: ECONOMICS				_		
780	AND INTERNATIONAL TRADE	17	UNIVERSITY OF NORTH WEST	72773	7	360	G
E 0 E	BACHELOR OF COMMERCE: ENTREPRENEURSHIP	5	UNIVERSITY OF PRETORIA	16687	7	448	G
363	BACHELOR OF COMMERCE: FINANCIAL		UNIVERSITI OF FRETORIA	10087	<del> </del>	440	
766	JOURNALISM	11	UNIVERSITY OF FREE STATE	16923	6	536	G
	BACHELOR OF COMMERCE: FINANCIAL				<u> </u>		
691	MANAGEMENT	21	UNIVERSITY OF VENDA	22854	6	424	G
	BACHELOR OF COMMERCE: FINANCIAL						
761	MANAGEMENT SCIENCE	5	UNIVERSITY OF PRETORIA	7063	7	471	G
	BACHELOR OF COMMERCE: FINANCIAL						
	PLANNING	14	UNIVERSITY OF PORT ELIZABETH	66093	6	366	G
511	BACHELOR OF COMMERCE: GENERAL BACHELOR OF COMMERCE: HUMAN	15	UNIVERSITY OF WESTERN CAPE	19408	7	360	G
111	RESOURCE MANAGEMENT	1	UNISA	5563	6	360	G
414	NESCORCE WANAGEWENT	1	UNISA	3303	-	300	0
	BACHELOR OF COMMERCE: INTERNATIONAL						
726	TRADE AND MARKETING	17	UNIVERSITY OF NORTH WEST	FSB0291	0	0	G
	BACHELOR OF COMMERCE: INVESTMENT						
35	MANAGEMENT	13	RAU	73777	7	432	G
	BACHELOR OF COMMERCE: INVESTMENT						
	MANAGEMENT	5	UNIVERSITY OF PRETORIA	16386	6	473	G
264	BACHELOR OF COMMERCE: LAW	10	UNIVERSITY OF STELLENBOSCH	15594	6	369	G
900	BACHELOR OF COMMERCE: MANAGEMENT	238	UNIVERSITY OF DUBLIN	FSB0254	lo	0	G
038	BACHELOR OF COMMERCE: MANAGEMENT,	230	ON VENTILL OF DOBLIN	300234	1	<del>                                     </del>	<del>ľ</del>
915	MARKETING	12	UNIVERSITY OF KWAZULU-NATAL	FSB0266	lo	lo	G
	BACHELOR OF COMMERCE: MARKETING				<b>†</b>	<b> </b>	
912	MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	73780	7	432	G
	BACHELOR OF COMMERCE: RETAIL						
890	MANAGEMENT	1	UNISA	FSB0246	0	0	G
	BACHELOR OF COMMERCE: RISK	l.	l		_		
493	MANAGEMENT	1	UNISA	5563	7	384	G
EC 1	BACHELOR OF COMMERCE: SPORTS AND RECREATION MANAGEMENT	17	UNIVERSITY OF NORTH WEST	FSB0278	0	0	G
	BACHELOR OF COMMERCE: STATISTICS	5	UNIVERSITY OF PRETORIA	7109	7	410	G
	BACHELOR OF COMMERCE: TOURISM	<del>-</del>		1.20	i –		Ī
619	MANAGEMENT	5	UNIVERSITY OF PRETORIA	7113	6	426	G
	BACHELOR OF ECONOMICS	186	RHODES UNIVERSITY	7999	7	360	G

	Anne	viire 2· C	Qualifications recognised for Compliance Offic	ers			
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition
_		242	LA TROBE UNIVERSITY	FSB0267	0	0	G
-		17	UNIVERSITY OF NORTH WEST	73057	7	480	G
	2.10,122011 07 2 111 (222)			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	i -	1.00	<del>-</del>
	BACHELOR OF LAW: B.JURIS WITH						
911		11	UNIVERSITY OF FREE STATE	8877	7	480	G
871	BACHELOR OF LAWS	220	THE NATIONAL UNIVERSITY OF LESOTHO	FSB0226	0	lo	G
		193	SGB LEGAL EDUCATION AND TRAINING	22993	7	480	G
		10	UNIVERSITY OF STELLENBOSCH	7355	7	480	G
<u> </u>	BACHELOR OF SCIENCE: APPLIED				i	1.00	
768	ECONOMICS	226	HOFSTRA UNIVERSITY (NEW YORK)	FSB0242	0	lo	G
700	BACHELOR OF SCIENCE: BUSINESS		(12.00)		<del>-</del> -		
	ADMINISTRATION: HUMAN RESOURCE						
910		241	CALIFORNIA STATE UNIVERSITY, HAYWARD	FSB0264	0	lo	G
-					l		
	BACHELOR OF SCIENCE: INFORMATION						
	TECHNOLOGY AND COMPUTER SCIENCE:						
512	INFORMATION TECHNOLOGY MANAGEMENT	1	UNISA	FSB0290	lo	lo	G
312	IN ONLY TECHNOLOGIC IN WHOLE WENT		C1113/1	1350230	-		
	BACHELOR OF SCIENCE: MATHEMATICAL						
	SCIENCE WITH ENDORSEMENT FINANCIAL						
010		8	UNIVERSITY OF JOHANNESBURG	80374	7	360	G
1	III THE INTERIOR		C L. SOI I ST JOIN WITE SBOTT		<del>′</del>	+	-
	BACHELOR OF SCIENCE: OPERATIONS						
785		1	UNISA	6059	7	360	G
783	BACHELOR OF TECHNOLGY: COST AND	-	ONISA	0033	ť	300	
900	MANAGEMENT ACCOUNTING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72382	7	480	G
833	BACHELOR OF TECHNOLOGY: ECONOMIC	34	TSTWARE GRIVERSITY OF TECHNOLOGY	72362	<del>ľ</del>	1400	-
015	MANAGEMENT ANALYSIS	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72387	7	480	G
043	BACHELOR OF TECHNOLOGY: INTERNAL	34	NELSON MANDELA METROPOLITAN	72367	<del> </del>	400	
142	AUDITING	25	UNIVERSITY	87093	7	480	G
142	AUDITING	23	ONIVERSITY	87093	l'	460	l l
622	BACHELOR OF TECHNOLOGY: MANAGEMENT	1	  UNISA	62335	7	480	G
023	BACHELOR PF COMMERCE HONUORS:	1	UNISA	02333	l'	460	0
040	FINANCIAL ANALYSIS	10	UNIVERSITY OF STELLENBOSCH	FSB0302	o	0	G
343	CERTIFICATE IN SECURITIES AND	10	CHARTERED INSTITUTE FOR SECURITIES &	17360302	10	-	G
614		85		ECDOZO	o	0	G
614	INVESTMENTS	85	INVESTMENT	FSB072	10	0	9
007	CERTIFICATE IN THEORY OF ACCOUNTACY	12	LINUX EDGITY OF KANAZULU NATAL	LCD03E3			G
897	CERTIFICATE OF REPUBLIE ACCOUNTACY	12	UNIVERSITY OF KWAZULU-NATAL ASSOCIATION OF CHARTERED CERTIFIED	FSB0253	0	0	G
000	CERTIFICATE: CERTIFIED ACCOUNTING	210		20207	-	200	l_
865	TECHNICIAN	218	ACCOUNTANTS	20397	5	390	G
000	CERTIFICATE: CHARTERED CERTIFIED	240	ASSOCIATION OF CHARTERED CERTIFIED	63550		015	
	ACCOUNTANT	218	ACCOUNTANTS	63550	8	915	G
	CERTIFICATE: FINANCIAL PLANNING	4	MILPARK BUSINESS SCHOOL	62810		120	G
5/3	CERTIFIED INTERNAL AUDITOR (CIA)	89	INSTITUTE OF INTERNAL AUDITORS	FSB 083	0	0	G
	CHARTERED FINANCIAL ANALYST	00	CEA INICTITUTE	repost.			l <sub>c</sub>
412	(CFA)(FOREIGN QUALIFICATION)	98	CFA INSTITUTE	FSB001	0	0	G
	COURSE IN COMPUTANCE MASS CONTENTS		LININ/EDGITY OF CARE TOWARD	LEBO3E3			
906	COURSE IN COMPLIANCE MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	FSB0262	0	0	G
	DEGREE OF CERTIFIED BANKING MANAGER		FRANKFURT SCHOOL OF				_
864	LEVEL 2	224	MANAGEMENT (GERMANY)	FSB0234	0	0	G
<b> </b>			MANAGEMENT COLLEGE OF SOUTH AFRICA		_		
	DIPLOMA IN MANAGEMENT STUDIES	76	(MANCOSA)	36084	7	240	G
	DIPLOMA: BANKING	1	UNISA	14373	6	360	G
	DIPLOMA: BANKING	4	MILPARK BUSINESS SCHOOL	FSB0233	0	0	G
939	DIPLOMA: FINANCIAL ACCOUNTING	47	DAMELIN	66992	6	360	G
			THE CHARTERED ASSOCIATION OF CERTIFIED				
615	DIPLOMA: FINANCIAL MANAGEMENT	138	ACCOUNTANTS	FSB073	0	0	G
1			CHARTERED INSTITUTE FOR SECURITIES &				
762	DIPLOMA: INVESTMENT ADVICE LEVEL 4	85	INVESTMENT	FSB0243	0	0	G
	DIPLOME DE LICENCIE EN ECONOMIE ET						
686	DEVELOPPEMENT	245	FACULTES CATHOLIQUES DE KINSHASA	FSB0271	0	0	G
	DIPLOME DE LICENCIE EN SCIENCES ET						
920	TECHNIQUES DE DEVELOPPMENT	245	FACULTES CATHOLIQUES DE KINSHASA	FSB0272	0	0	G
894	DIPLOMPRUFUNG FUR KAUFLEUTE	236	UNIVERSITY OF WURZBURG	FSB0251	0	0	G
896	DOCTOR OF JURISPRUDENCE	237	STANFORD UNIVERSITY	FSB0252	0	0	G
	FELLOW OF ACTUARIAL SOCIETY OF SOUTH						
469	AFRICA (FASSA)	118	ACTURIAL SOCIETY OF SOUTH AFRICA	FSB 062	8	0	G

	Annexure 2: Qualifications recognised for Compliance Officers							
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition	
1 1	FURTHER DIPLOMA IN EDUCATION:							
	COMMERCE	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	FSB0293	0	0	G	
	GRADUATE CERTIFICATE: BUSINESS		THE ACADEMY OF BUSINESS					
اممدا	MANAGEMENT "Betriebswirt VWA Dipl	100	ADMINISTRATION AND PUBLIC	FCBGGG				
836	HIGHER CERTIFICATE: BANKING	196 1	MANAGEMENT SAARLAND UNISA	FSB0206	5	120	G	
937	HIGHER CERTIFICATE: BANKING HIGHER CERTIFICATE: INVESTMENT	1	UNISA	84286	5	120	G	
925	ADMINISTRATION	1	MILPARK BUSINESS SCHOOL	93949	5	120	G	
323	HIGHER CERTIFICATE: WEALTH	4	WILL ANK BOSINESS SCHOOL	33343	-	120	0	
842	MANAGEMENT	198	PSG KONSULT LIMITED	83346	5	120	G	
	HIGHER DIPLOMA IN EDUCATION -		, so none in the second	033 10		120		
1 1	COMMERCE	10	UNIVERSITY OF STELLENBOSCH	FSB 082	6	480	G	
543	ILPA	163	ILPA	FSB0119	0	0	G	
	LICENTIATE IN BUSINESS ADMINISTRATION/							
888	ECONOMICS	225	UNIVERSITY OF ST. GALLEN	FSB0241	0	0	G	
	LICENTIATUS RERUM POLITICARUM							
756	BETRIEBSWIRTSCHAFT	247	UNIVERSITAT BERN	FSB0275	0	0	G	
931	MASTER OF BUSINESS ADMINISTRATION	23	UNIVERSITY OF LIVERPOOL	FSB0277	0	0	G	
	MARKED OF BUILDINGS AND WHITE AND		 		_			
504	MASTER OF BUSINESS ADMINISTRATION	201	HENLEY BUSINESS SCHOOL	65089	8	180	G	
	MACTED OF DUCINITIES ADMINISTRATION							
762	MASTER OF BUSINESS ADMINISTRATION	205	BOND HAILVERSITY	ECD0217		0	l <sub>c</sub>	
	(OXFORD BROOKES UNIVERSITY) MASTER OF BUSINESS LEADERSHIP	205 1	BOND UNIVERSITY UNISA	FSB0217 5789	8	360	G	
380	MASTER OF BUSINESS MANAGEMENT AND	1	UNISA	5789	18	360	G	
193	ADMINISTRATION	10	UNIVERSITY OF STELLENBOSCH	19608	8	120	G	
155	MASTER OF SCIENCE IN INVESTMENT	10	ONIVERSITY OF STEELENBOSCH	15008		120	-	
589	MANAGEMENT	87	CITY BUSINES SCHOOL LONDON	FSB0109	lo	lo	G	
-	MASTER OF SCIENCE: FINANCIAL	<u> </u>		7550105	<del> </del>			
802	MANAGEMENT	173	UNIVERSITY OF LONDON	FSB0126	lo	lo	G	
	MASTERS OF BUSINESS ADMINISTRATION (							
770	Heriot-Watt University)	154	HERIOT-WATT UNIVERSITY	FSB0093	0	0	G	
	NATIONAL CERTIFICATE: WEALTH							
22	MANAGEMENT	188	SGB INSURANCE AND INVESTMENT	57608/66611	5	120	G	
581	NATIONAL DIPLOMA CREDIT MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	74076	6	360	G	
676	NATIONAL DIPLOMA IN COST AND	400			_			
	MANAGEMENT ACCOUNTING	129	TECHNIKON SA	62379	6	360	G	
	NATIONAL DIPLOMA: ACCOUNTING NATIONAL DIPLOMA: ACCOUNTING	37	DURBAN UNIVERSITY OF TECHNOLOGY	72209	6	360	G	
	NATIONAL DIPLOMA: ACCOUNTING  NATIONAL DIPLOMA: ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG UNIVERSITY OF JOHANNESBURG	FSB0220	6	0	G	
633	NATIONAL DIPLOMA: ACCOUNTING  NATIONAL DIPLOMA: COST AND	U	ONIVERSELL OF JOHANNESBURG	FSB0205	10	360		
253	MANAGEMENT ACCOUNTING	86	VAAL UNIVERSITY OF TECHNOLOGY	72723	6	360	G	
	NATIONAL DIPLOMA: FINANCIAL		THE SHIP CONTROL OF TECHNOLOGY	, , , , , ,	٢			
	INFORMATION SYSTEMS	37	DURBAN UNIVERSITY OF TECHNOLOGY	72234	6	360	G	
	NATIONAL DIPLOMA: GOVERNMENT				i –	T	1	
938	FINANACE	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72593	6	360	G	
475	NATIONAL DIPLOMA: INTERNAL AUDITING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72600	6	360	G	
			NELSON MANDELA METROPOLITAN					
475	NATIONAL DIPLOMA: INTERNAL AUDITING	25	UNIVERSITY	78303	6	360	G	
947	NATIONAL DIPLOMA: LABOUR RELATIONS	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72604	6	360	G	
	NATIONAL BIRLEY		NELSON MANDELA METROPOLITAN					
924	NATIONAL DIPLOMA: MANAGEMENT	25	UNIVERSITY	87130	6	360	G	
725	NATIONAL DIDLOMA MARKETING	20	CARE REMINICINA MANAGERIA TERMINICI TE TO	70640	_	250	_	
/25	NATIONAL DIPLOMA: MARKETING	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78649	6	360	G	
E04	NATIONAL DIPLOMA: RETAIL BUSINESS	26	CADE DENINGLILA LINUVEDCITY TECHNICI COV	70666	<sub>c</sub>	260	ا ا	
_	MANAGEMENT	36 37	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78666	6	360	G G	
3/8	NATIONAL DIPLOMA: TAXATION POST GRADUATE DIPLOMA IN BUSINESS	37	DURBAN UNIVERSITY OF TECHNOLOGY	72265		360		
764	ADMINISTRATION	9	UNIVERSITY OF CAPE TOWN	66517	8	120	G	
, 04	POSTGRADUATE DIPLOMA IN FINANCIAL		OTTO CAFE TOWN	50517		120	ř –	
606	PLANNING	4	MILPARK BUSINESS SCHOOL	76943	8	160	G	
_	POSTGRADUATE DIPLOMA: BANKING	4	MILPARK BUSINESS SCHOOL	93608	8	120	G	
			1	1- 3000	<u> </u>	1	<u></u>	

	Annexure 2: Qualifications recognised for Compliance Officers							
No	Qualification title	Inst No	Institution	SAQA ID	Level	Credits	Recognition	
					1		1	
700	POSTGRADUATE DIPLOMA: COMPLIANCE	8	UNIVERSITY OF JOHANNESBURG	FSB0249	0	0	G	
	POSTGRADUATE DIPLOMA: FINANCIAL		NELSON MANDELA METROPOLITAN					
334	PLANNING	25	UNIVERSITY	66096	7	120	G	
	POSTGRADUATE DIPLOMA: FINANCIAL							
334	PLANNING	11	UNIVERSITY OF FREE STATE	48866	7	160	G	
	POSTGRADUATE DIPLOMA: RISK							
498	MANAGEMENT	1	UNISA	79926	8	120	G	
	PROGRAMME IN ADVANCED BANK							
813	MANAGEMENT	1	UNISA	FSB0181	7	96	G	
891	PROGRAMME IN FINANCIAL MANAGEMENT	1	UNISA	FSB0297	0	0	G	
93	PROGRAMME IN RISK MANAGEMENT	1	UNISA	75949	6	108	G	
	SOUTH AFRICAN INSTITUTE OF							
	STOCKBROKERS MEMBERSHIP		SOUTH AFRICAN INSTITUTE OF					
942	EXAMINATIONS	120	STOCKBROKERS	FSB0296	0	0	G	

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