Leon Malherbe t/a Leon Malherbe Brokers	3606	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Les Brokers CC	3027	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lesaka Financial Services CC	25196	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leseding Brokers CC	8440	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lesley Alison Govender t/a Prestige Insurance Brokers	7665	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lesley-Ann Bester t/a The Financial Solution	15790	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leswalaise Jeremia Bogatsu	29046	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lia Holdings (Pty) Ltd t/a Laher Insurance	17268	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lilac Moon Trade And Investment 34 CC t/a Inspectacar Witkoppen	36868	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Lincoln's Car N Bakkie CC	30818	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Linda Mabaso t/a Mbuduma Financial Services	5949	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Linda Maria Rheeder t/a Linrhe Financial Consultant	21230	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lindsay Louis Lofstedt	28691	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Linroy Terry Peters t/a Mzanzi Insurance Brokers	36037	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lionel Basson	18525	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b) (iii) of the FAIS Act
Lisette Rebelo	10578	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Litha Language Consultants CC t/a Litha Management Services	7369	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Livewell Financial Services (Pty) Ltd.	14229	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Livingston Leandy Incorporated	17675	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Liwendy Financial Services CC t/a Lindiwe Fiancial Brokers	37391	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Lluka Japie Ngati t/a Mohulatshi Brokers	26928	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LM Insurance Brokers CC t/a LMB Funeral Finance	11690	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

LM Zwane Brokerage CC	28819	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LMAC Consultants (Pty) Ltd	15793	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LMJ Funerals CC	28447	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LNM Insurance Brokers CC	24294	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LNR Commercial Insurance Brokers (Pty) Ltd	18748	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Long Island Trading CC t/a Ligwalauwala Financial Services	1032	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Long Life Financial Services CC	32588	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Lorraine Jacobs t/a Serala Undertakers	18793	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lou Barnard Makelaars CC t/a LBM Makelaars	18583	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louis Cornelius Van Rooyen t/a Amsure	12660	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louis Stephanus Kruger t/a Hazyview Makelaars	10830	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Louis Trichardt Versekeringsmakelaars Bk	26849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louisa Suzanne Jaftha t/a African Funerals	34138	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Loure Basson	16259	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lowveld Estate Solutions (Pty) Ltd	11666	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LR Sisinyi Financial Services CC	7738	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LTE Risk Managers CC	17320	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lubada Lwa Vhathu And Associates CC	24433	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucid Advisory Services (Pty) Ltd	36001	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucindi Annandale t/a Lucindi Annandale Financial Services	14018	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lukhope Chris Brokers CC	19784	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lushale Financial Solutions CC	30365	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

LVS Insurance Brokers t/a LVS Versekerings Makelaars	16108	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
M Ceko Brokerage CC	22248	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
M M Mmuoe Brokers CC	4864	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maarten Jacobus Petrus Coetzee	16926	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mabandla Benjamin Ndyolo t/a Mutual Broker	19397	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mabeba Funeral Parlour CC	20443	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Machele John Mosikatsana	29330	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madwe Business Enterprises CC t/a BMSN Flora Brokers	37394	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magaye Cardinal Wallace Cele t/a Mag & Nat	21370	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magdalena Susanna Van Rooyen t/a Uphongolo Funerals	19640	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magic Power Funeral Undertaker CC	23043	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Magrieta Isabella Jansen Van Vuuren t/a MIJ Van Vuuren Brokerage	18236	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mahlomaholo Bothman Moreko	19250	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mahlomola Jerry Mogotsi t/a MJ Broking Services	12473	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maila Funerals CC	9244	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maine Clive Patrick Molibeli t/a Mollys Funeral Parlour	18848	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makara Sam Keele	24343	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makhanta Jimmy Maswangaye t/a Horizons Brokers	4791	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makhunga Investment Holdings CC t/a Makhunga Financial Services	36151	13 December 2010	Contravention of section17(4) of the FAIS Act
Malangeni Avisory Services CC	16719	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Malcolm E Buxmann CC	30238	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Malcolm Lizwe Mac Mavuma	7066	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Malcolm Winston Hendricks t/a Malcolm Hendricks Brokers	19393	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS

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Malock CC	21034	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mamakazi Trading Enterprise CC t/a T and J Brokerage	37595	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Mamie Emma Mtupa	35552	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mamohola Financial Services CC	17714	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandhia Mtembu t/a MN Mthembu Brokers	10693	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla & Njabulo Consultancy & Projects CC	35148	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla Admire Khumalo	33745	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandlenkosi Godfrey Mkhize	35132	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mangwevana John Radebe	33048	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manthwadi Trading Enterprise CC	30000	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marc Christopher Snyman t/a MCS Financial Services	14119	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Maria Elizabeth Swart t/a Prosure Financial Services	16660	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maria Salome Snyders	30359	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maria Susanna Annandale t/a Annandale Makelaars	12247	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariaan Makelaars Bk	37038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariette Freeman t/a Freeman Brokers	15296	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariri Trading 98 CC t/a Pelser Brokers	18666	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marisa Dippenaar t/a Broker 4 U	34017	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Christo Strydom t/a Commercial Industrial Insurance Brokers	28140	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Wynand Rudolf De Klerk	9235	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marjohn Brokers CC	26200	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mark Payne Consulting CC	20086	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS

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Mark Walter Karsten t/a Mark Karsten and Associates	21886	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Market Demand Trading 216 (Pty) Ltd t/a Uthango Financial Services	35759	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Market Demand Trading 92 (Pty) Ltd t/a Aquilla Nsure	16807	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marshawes CC t/a MB Corporate Consultants	17439	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Jacqueline Jennepher Mokgalagadi t/a Help Mekaar Begrafnis Skema	18531	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Jacqueline Jennepher Mokgalagadi t/a Ikageng Begrafnis Ondernemers	24341	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Mokgalagadi t/a Help Mekaar Begrafnis Skema	26779	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Albertus Pool t/a Manyana Brokers	25968	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Beyers Brink t/a Brink Financial Services	33290	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Coenraad Viljoen t/a Ultimate Underwriter	3036	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Marthinus Johannes Zaayman t/a MJ Zaayman Makelaars	14275	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Petrus De Jager	27354	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Petrus Loubser t/a M.P. Loubser	10122	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martin Sidney Foster t/a Martin Foster Financial Planners	7938	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martinus Malan t/a Heimar Brokers	188	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martinus Petrus Bezuidenhout t/a Bezfin Financial Services	25248	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masakane Insurance Brokers CC	21076	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masello Business Enterprise CC	10413	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mashika Johannes Malahlela t/a MJ Malahlela Brokers	7771	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masibambaneni Funeral Undertaker CC	15690	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mathews Magubhana Ndubane	6041	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Matilda Van Burick t/a Matilda Van Burick Financial Advisor	20531	13 December 2010	Contravention of section 15A of the FSB Act, sections 17(4), 19(2)(b)(iii) of the FAIS Act
Matona Risk Solutions	8893	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Matsimbi Investments CC t/a Excel Healthcare Brokers	2821	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maudi Swinny Mogale t/a Maudi Mogale	21822	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mava George Mkukwana t/a Pro-Elite Financial Services Solutions	9515	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mawabo Onesmus Mahlaza t/a Mawabos Brokerage	31680	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mawonga Ayliff Hanxa	22746	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maxwell Eric Kohler t/a Max Kohler Financial Services	129	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mayfair Medical Solutions CC	34090	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbhobho Investments CC t/a First Class Funeral Services	9021	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mboyi Health Care And Financial Consultants CC	29765	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbulelo Thomas Thinta t/a Thinta Health Care Consultants	23098	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS

Merle Verna Hollenberg	26199	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meshack Tshidiso Duiker	27622	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Metro Financial Services CC	18605	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mhlangaveza Funeral Services CC	36121	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Brunette	24840	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Edward De Gouveia t/a Priceless Motors	20755	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Edwards Melville Matthews t/a Mikes Insurance Brokers	15222	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Ettienne Griessel	28394	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Frederick Sumption t/a D & L Insurance Brokers	2682	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Luden CC	20983	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Mduduzi Luthuli t/a Michael Luthuli & Associates	31832	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act

Michael Norman Carlisle Leach	3334	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Sindile Poni t/a Professional Advisory Services	18097	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michelle Gwyneth Booyens t/a Phoenix Risk Management Services	19253	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michiel Brits t/a Michiel Brits Financial Services	13519	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Micromath Trading 794 CC t/a Dynamic Brokers	10726	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Microzone Trading 857 CC t/a Subban Financial Brokers	33246	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Midland Brokers CC	14081	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mike Gale t/a Mike Gale Life Assurance & Investments	4172	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Milprops 349 CC t/a Norvalia Broking Services	4177	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Miroa Alpheus Malekana	34582	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mirror Short Term Solutions (Pty)Ltd	32447	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mitchell Crane Mccoll	39181	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
MK Mobile CC	31258	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mkhari Funeral Directors (Pty) Ltd	19731	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mlindi Samson t/a Samson Financial Services	18704	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mlungisi Keswa	32093	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mlungisi Mniya	22996	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mmanthopa Construction And Projects 6 CC t/a Mphephethe Brokers	39044	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
MMC Insurance Brokers CC	13871	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mnandi Insurance Brokers (Pty) Ltd	25018	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mncedisi Protas Dlepu t/a MPD Financial Services	18696	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MNE Solutions CC	34131	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mngambi & Sons Funeral Services CC	24345	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moche Financial Services	10044	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Modus Insurance Brokers CC	18383	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Moeketsi Joseph Ntsiea	15058	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moepagauta Trading Enterprise 10 CC	38363	13 December 2010	Contravention of section 15A of the FSB Act
Mogaetsho Isiah Nicholas Pooe	25515	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moholo Investments (Pty) Ltd	30142	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mohubuke Funeral Parlour CC	2774	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moila Funeral Directors CC	8736	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokase Health Care Plan CC t/a Mokase Health Care Plan	36328	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Momento Brokers CC	30323	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mondli Marion Dlamini t/a Mondi Brokerage	35121	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Monemo Consulting (Pty) Ltd	27723	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Money Box Investments 60 (Pty) Ltd t/a MBI	27310	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Money Mosaic (Pty) Ltd	28465	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Monica Barbara Syfert t/a Syfert Financial Services	11680	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Montaque Gardens Insurance Brokers CC t/a Provincial Insurance Brokers	1986	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Monye-Le-Shako Community Development CC	15280	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moolfin Management (Pty) Ltd	40	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moosa Ismail Ally t/a VIP Estate & Insurance	5574	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Morga Govender & Associates CC	15013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Morne Campher t/a Eclipse Factor	27974	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Morne Thomas Fourie	14647	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mortgage Group (Pty) Ltd	28746	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moses Mntungwa	35940	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moshiga Telecommunications CC	29849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mosira Shadrack Petrose Motsatse t/a MSP-Motsatse Financial Services	35131	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motaung Funeral Undertakers CC	17161	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mothusi Matthews Lenyatsa t/a Lenyatsa Financial Services	36579	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motiff Financial Services CC	14295	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motla Funeral Parlour CC	13201	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motor Mecca Brits (Pty) Ltd	20568	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Motshidisi Funeral Services CC	25880	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motsoaledi Howard Motau	18456	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Motubatse David Matlala t/a MD Matlala Brokers	17149	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mountbatten Insurance Consultants (Pty) Ltd	13593	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mpati Funeral Services CC	27990	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mpeta Insurance Brokers CC	26528	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mpolokeng Funeral Parlour CC	25900	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MRA Motors CC t/a Main Reef Auto	30135	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Msikho Property Investment CC t/a Msikho Financial Services	31204	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MT Funeral Parlour CC	13076	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MT Insurance Brokers CC	31349	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MTB Tourism Services CC	8547	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mteshana Trading Enterprise CC t/a MTE Financial Services	33333	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mthabine And Bahlabane Trading Enterprise CC t/a Bahlabine Brothers	26805	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mtoyindoda M Ngwexana t/a Ncedisizwe Funeral Undertakers	17554	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mufaro Brokers CC	6841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Multilayer Trading 419 CC t/a Zwelihle Funeral Home	11432	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Multiple Financial Services CC	23469	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Musa Doctor Ngubane t/a Masu Brokers	38356	13 December 2010	Contravention of section 15A of the FSB Act
Musitek (Pty) Ltd	16825	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Musundwa Samson Ratombo	22031	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mutual Ties Brokers CC	19032	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Muziwamandla Joel Musawenkosi Gumede t/a Zama Zama Financial Services	39320	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Muziwonke Trading Enterprise CC t/a Thabizolo Brokers	35038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mvuleni Phillip Mdayi t/a MSM Consultancy	21803	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mwelela Trading CC t/a Mwelela Funeral Services	24772	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
My Label Trading CC	37681	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Myalezi Maloti Brokers CC	39249	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Mzansi Insurance Brokers CC	37114	13 December 2010	Contravention of sections 17(4) of the FAIS Act
Mzanzi Risk Management CC	32947	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzao Financial Solutions (Pty) Ltd	20011	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzikayise Meagwick Gavu t/a MS Consultants	18279	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzileni & Associates CC	27222	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzizi Funeral Directors CC	13091	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzoleli Wiseman Joko t/a JK Insurance Brokers	17163	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzukiseni Investment Resources CC	5780	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mzwabantu Raymond Vandala t/a Vandala Brokers	26798	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzwandile Joseph Mpofu t/a Mazizi Healthcare Services	29717	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
N & E Emergency Assistance Centre CC t/a NEEAC	34724	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
N Botlhoko Business Consultant CC t/a Ntuma D Botlhoko	19432	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NA Mhlongo & Associates CC	13847	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nandisa Brokers CC t/a Nandisa Insurance Brokers	30086	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Naomi Esther De Beer t/a Deon De Beer Makelaars	15567	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Narisha Ramkelawan t/a Mutual Lite Brokers	25973	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nasara Insurance Brokers CC	20705	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Naseema Hassim Essa	26559	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nash Motors CC t/a Nash Nissan	9932	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Nasrulla Enus t/a Maywood Insurance Brokers	15454	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nassau Makelaars Bk	12747	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
National Pride 279 (Pty) Ltd t/a Eden Connect	28268	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
National Road Accident Cover (Pty) Ltd t/a NRAC	30882	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
National Teachers Union	19170	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Natrisk Brokers CC	9944	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Natures Health Products (Pty) Ltd	36433	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Naushaads Car Sales CC t/a Auto Belgravia	27995	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nazeem Adams	33006	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncabane Funeral Services CC	36122	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncanana Funeral Services CC t/a Zockey Business Enterprises	22927	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Ncongwane Funeral Services CC	22925	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ndabezinhle Tembane t/a SN Financial Brokers	20240	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ndizwa Thimothy Shobede t/a NT Shobede Brokers	39439	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ndlelanhle Funeral Services CC t/a Zweli Financial Services	11791	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neelandran Chetty t/a Universal Consultants	17387	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neil Howard Gellman	25383	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neil Kelly t/a Kelly Financial Services	6745	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nelson Lepulana Selwana t/a Nape Brokers	34271	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neo Quintin Shale t/a Rainbow Health Care Broker	34143	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neville Eksteen Brokers Trust t/a Neville Eksteen Brokers	17382	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Neville Hide & Associates Incorporated	16601	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Neville Trevor Tegg t/a Teggs Granite and Marble	8466	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
New Dimension Insurance Brokers CC	7376	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
New Heights 12 (Pty) Ltd t/a Eagle Financial Services	19661	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ngazimbi Michael Sithole	17950	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ngiphamandla Construction And Project CC t/a Ngiphamandla Brokers	38185	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ngoako Reginald Moagi t/a Banareng Financial Solutions	27053	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicklaus Modise Seerane	29819	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nico Lotz t/a Uni-Vest	27492	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nico Roy Human t/a Coastal Financial Services	20391	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Everhardus Louw t/a Euvard Louw Brokers	5936	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Johannes Solomon Kock	20931	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolette Erasmus t/a Erasmus Consulting	27083	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Nikingcebo Investments (Pty) Ltd	35453	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nikki Patience Yenge t/a Nikkie	26324	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nirmala Pather t/a Tyde Insurance Brokers	7614	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Njabuloyethu Financial Services CC	39315	13 December 2010	Contravention of section 15A of the FSB Act
Nkhangweni Netshisaulu t/a Horizon Insurance Brokers	12750	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkoana Assurance Brokers CC	6136	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkoana Matome Hendrick And Associates CC t/a MHN Legal Cost Assistants	4182	20 December 2010	Contravention of section 15A of the FSB Act
Nkoane Healthcare Consultant CC t/a Healthcare Consultant	20687	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkosinathi Nathi Sosibo	21182	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkoyono Peter Tema t/a Tema Financial Broker Services	12033	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NLA Life (Pty) Ltd	11220	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NMH Consultancy CC	5694	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Noluthando Khomo t/a Khomo Financial Services	9863	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomalungisa Qadi t/a Noma Qadi	37544	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomusa Shirley Molefe	21459	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomvula Risk Services (Pty) Ltd	38817	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nontaba Yvonne Mpofu	23679	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
North Ridge Alliance (Pty) Ltd	28917	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Northern Jungle Trading 123 (Pty) Ltd t/a Dlamini and Associates	25144	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Northern Jungle Trading 81 (Pty) Ltd t/a Gerrie Van Vuren Financial Services	24723	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Novazz Funeral Services CC	11425	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nqobile Victor Zungu t/a Nqobile Insurance Brokers	24346	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntamane Funeral Services	29535	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Nthabiseng Elizabeth Maklein t/a Peoples Choice Funeral Service	18108	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nthabiseng Henrietta Lekoro	20369	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nthentole Financials And Investments CC	30606	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntirocapital Investment CC	39009	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ntokozo Ndebele t/a Ntokozo Sibusiso Consultancy	32283	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nuqood Financial Services (Pty) Ltd	37914	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Nu-Vision Financial Consultants CC	12486	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nwabisa Abrahams	37607	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Nyaweni Jan Lebyana	35138	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nzaliseko Brokers (Pty) Ltd	35575	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Obakeng Jack Motsaathebe t/a Rethabile Burial Society	12335	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Ogwini Funeral Directors CC	15295	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Omar Farouk Mahomed Suleman t/a Dynamic Financial Services	10317	13 December 2010	Contravention of sections 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Oracle Risk Solutions CC	21548	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Orsum Cars Corporation CC	28781	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Osiris Communications (Pty) Ltd t/a Bounty Services	21321	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Otto La Grange	7154	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Outcomes Management Consultants (Pty) Ltd	24403	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
OV Makelaars (Edms) Bpk t/a Oranje Versekering Makelaars	16146	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Owen Victor Parvess	10517	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oxygen Healthcare (Pty) Ltd	24319	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pale Orange CC t/a President Outdoors	24069	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Palm Burial Services CC	31303	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pamela Ann Evans t/a Pamela Evans & Associates	28033	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paradigm Insurance Brokerage CC	18341	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patricia Nokulunga Makunga	27013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Donald Rossouw t/a PDR Insurance Brokers	24253	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Jeremy Eric Hill t/a Pat Hill Finance	12115	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Allan Kuhn	30087	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Andrew Winder	18839	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Paul Bezuidenhout t/a Bezuidenhout Makelaars	28397	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul George Skelton t/a Paul Skelton & Associates	21262	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Siphiri Maboya t/a PS Brokers	17842	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Solani Khosa t/a Rihanyo Marketing	31272	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS