

Elmarie Von Wielligh t/a Elmarie Financial Services	35761	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elmos Financials CC	28138	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elrina Ronell Van Wyk t/a Lormic Marketing	3822	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eltej Consultants CC t/a E Luiz & Associates	4327	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elvis Ntokozo Hlela t/a Hlela and Associates	25240	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emerald Marketing Services CC	8113	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emerge Brokers CC t/a Emerge Brokers	36156	13 December 2010	Contravention of section 15A of the FSB Act
EMJ Brokers CC	26557	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emmanuel Cilliers t/a Mannie Cilliers Brokers	12035	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Employee Benefit Solutions (Pty) Ltd Trust	2867	10 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Employee Benefit Solutions (Pty) Ltd Trust	2867	10 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Emzansi Business Enterprise CC t/a Emzansi Funeral Services	24507	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Enhanced Financial Investments (Pty) Ltd	9365	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ensindisweni Funeral Home CC	11795	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Equilateral Trading CC t/a East Coast Motorlink	26311	13 December 2010	Contravention of section 15A of the FSB Act, and sections 19(2)(b)(iii) of the FAIS Act
Equillore Lease Dispute Settlement (Pty) Ltd	16183	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Erasmus Johannes Smit t/a E.J. Smit Makelaars	9573	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ericka Geldenhuys t/a Compricare	31129	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ernest Bandlelihle Shandu t/a African Culture Funeral Service	19165	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ernest Robinson	29108	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ernst & Young Port Elizabeth	19889	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ernst Hendrik Eloff	9373	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Error Free Insurance Brokers	9982	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ESG Brokers CC	6651	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Esther Anisela Devar t/a Esther Devar Financial Planning Services	30270	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Etrisia Potgieter t/a E Potgieter Financial Services	13199	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eugene Mario Van Graan t/a Market Finance Insurance Brokers	14170	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Evan Van Wyk t/a Evan Van Wyk Broker Services	9983	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
EVB Underwriting Managers (Pty) Ltd	12579	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eveready Insurance Brokers CC	15838	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Evergreen Insurance Brokers CC	366	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Everlasting Harvest Brokers CC	22280	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ewald Oskar Jelinck	11932	20 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ewoud Ferdinand Malan t/a Ewoud Malan Insurance Brokers	10113	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Exalon CC t/a Equilibrium Financial Services	2624	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Exclusive Access Trading 35 (Pty) Ltd	16697	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Execu-Sure	7224	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Extra Dimension 1187 CC t/a Execufin	18541	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Extra Dimensions 1089 CC	23407	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eyethu Undertakers CC	30594	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
F1 Financial Consultants CC	26467	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fairbridge Arderne & Lawton (Pty) Ltd	18789	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Faleni Broker CC t/a Brokers and Financial Services	21051	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fanny Madoka	37462	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Fastrak Trading 409 CC t/a Fastsure Financial Services	23769	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fedon International CC	3225	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Felton Oebell And Associates (Pty) Ltd	15229	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ferdi Coetzer Finansiële Dienste BK	16452	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fhatuwani Tombstone & Funeral Directors CC	37218	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fikiswa Irene Mlandu	16262	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fimiliaris Financial Solutions CC	19252	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fin Psychy Advisors CC	5768	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Finansiele Adviseurs Noord-Oos Vrystaat Bk	13563	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fipa Trading CC	37294	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) of the FAIS Act
First Draw Trading 30 CC t/a GBD Personal Financial Services	26002	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Firstvest Financial Services CC	39414	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Fiscus Insurance Administrators CC t/a Fiscus Insurance Brokers	16865	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fisher Hoffman PKF (Newlands) Inc.	17475	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fixtrade 275 (Pty) Ltd t/a Engelbrecht & Associates	17359	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Floris Van Tromp t/a Atlas Insurance Brokers	7467	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
For U Financial And Funeral Services CC	19241	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Formosa Insurance Brokers (Plett) CC t/a Formosa - Bitou Insurance Brokers	12359	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Forty8 Finance (Pty) Ltd	8037	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fourcast Financial Services CC	35762	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frances Denton t/a Intex Brokers	12415	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Adriaan Kruger t/a Francois Kruger Tax Consultants and Accountant	8740	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Francois Cornelius Van Zyl	12061	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Du Toit t/a Du Toit Brokers	9830	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Emil Jacques Kleinhans t/a F Kleinhans Brokers	16693	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Johannes Labuschagne	15148	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Van Der Merwe	9506	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frank Andrew Allard t/a F.A. Allard & Sons Funeral Undertakers	36726	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frankie Henry Christian Phillips t/a Ivy Phillips Brokers	13387	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Franklin Dreyer And Associates CC	12076	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frans Hendrik Jansen Van Vuuren t/a Frans Van Vuuren Makelaars	14407	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frans Martins Van Wyk t/a F & S Makelaars Lewens	10543	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fraser John Alexander t/a Matrix Consultancy	15778	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Frederick Jacobus Espag	16641	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Freefall Trading 14 (Pty) Ltd t/a Future Life Insurance	18760	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fridah Makananisa Mashudu t/a Mashudu Insurance Brokers	29914	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Friedshelf 756 (Pty) Ltd	29679	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frikkie Filander t/a Filander Begrafnisdienste	25092	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
FRM And Partners (Pty) Ltd	29342	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Full Colour Financial Management CC	12785	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fulloutput 1153 CC t/a Mabcor Financial Services	28982	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Future Care Funeral Parlour CC	34247	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
G 2 Y Motors CC t/a African Auto Extreme	34411	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

G. Mawby Consulting Services CC t/a GP Consulting Services	6937	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
G1 Financial Planners CC	39499	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ganasen Naidoo	30819	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ganesh Sohan t/a Lifestyle Brokers	19164	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gaoswabisi Funeral Home CC	30956	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Garth William Peek	6973	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gary Brian Horne t/a GH Brokers	34016	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gate-Bih Enterprise CC	29224	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gather The Gold Trading 20 CC t/a Luxury Car Sales	32047	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gavin Blumenthal t/a Health and Wealth Insurance Brokers	12080	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gayi Sam Nkosi t/a Masisizane Brokers	38323	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Gcinumthetho Cyprian Justice Ndwandwa t/a Sentinel Brokers	23384	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GD Pillay & Associates	12710	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Geldmaak Motors Bk t/a M & J Motors	19949	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Geoffrey John Jantjies t/a John Perdo Funeral Consultants & Services	15394	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Diderik Van Wyk t/a George Van Wyk	15651	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Investments & Insurance Brokers CC	5351	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Visser t/a George Visser Brokers	4217	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ger Broking Services CC	3654	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerald Khoza t/a Essential Asset Managers	31338	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerhard De Kock t/a De Kock Fin	13650	13 December 2010	Contravention of section 15A of the FSB Act
Gerhard Sameul Van Wyk t/a Lom Burial Schemes	14684	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerhardus Jacobus Pretorius t/a Cars For Africa	25680	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Gert Johannes Petrus Nel	17925	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gert Lourens Stokes t/a Louis Stokes & Associates	17251	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerwyn Wilsnagh t/a Gerwyn Wilsnagh Brokers	14144	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gezana Solomon Zitha t/a Esseneffe Brokers	23069	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Jacobus Robbertse t/a Gideon Robbertse Financial Advisors	14290	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Jacobus Scheepers	14187	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Jacobus Van Zyl t/a Deon Van Zyl Finansiele Adviesdienste	13461	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Schalk Scholtz t/a Donnie Scholtz Makelaars	8011	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Steyn Van Rooyen	17365	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gift And Neo Funeral Home CC	22449	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gilbert Ernest Roth	14897	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Gillard Auto Investments (Pty) Ltd	28561	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
GJ Steyn t/a Pro Active Financial Services	13948	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Glass Tucker	12188	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GLB Motors CC	17640	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Globevest Brokers (Pty) Ltd	4045	13 December 2010	Contravention of section 15A of the FSB Act
GM Thomas & Associate CC t/a Bloodstock Insurance Brokers	1002	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Godfrey Mark Wilson	26144	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gold Reef Versekerings Makelaars Bk	30861	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Golden Rewards 875 CC	34600	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Golden Rewards CC t/a XJ Gciltshana Independent Insurance Brokers	27036	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Goldtail Auto Dealers CC	8385	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Goncalo Consulting CC	19222	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Good 2 Go Brokers CC	33986	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Goodman Mzupela Siyongwana t/a De-Luxe Insurance Brokers	34018	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gordon Russell Daniel t/a Gordon Daniel Financial Services	7943	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gordon's Bay Outdoor Centre CC	11705	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GPJ Labuschagne En Genote Bk	18846	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GP Assure Consultants CC	26518	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gracelen Investments 211 CC t/a LB Brokers	16712	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Graham Massey	8137	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Grand Bridge Trading 93 CC t/a Grand Bridge Motors	31720	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gray 4 Success CC	35384	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Great North Brokers CC	8603	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Great North Motors (Pty) Ltd	26110	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gregory Alwyn Welcome t/a Wellsure Financial Advisors	5980	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gregory Storm Vernon Margerman t/a Storm Financial Services	14925	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Greystone Trading 578 CC t/a Michael Drummond & Associates	18691	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GSK Credit Insurance Brokers CC	1730	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GSK Global Financial Services (Pty) Ltd	15149	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GTSE Health & Insurance Brokers CC	18522	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gugu Precious Zikhali t/a Gugu Financial Services	31764	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gunston Lindile Mtshotshisa t/a GL Mtshotshisa & Associates	31684	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

GWM Motor Vryburg CC	38381	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
H & E Versekeringsmakelaars Bk t/a Prestige Makelaars	8179	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harnack & Associates (Proprietary) Limited	14658	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harold Hilter Lehmann	20072	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harry Gobane Mokoena t/a Nohas Financial Broker	36250	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Hatlane Fourth Dimension Health (Pty) Ltd t/a Hatlane Corporate Healthcare	17814	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Havmar Entertainment CC t/a M & M Brokers	10307	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hazel Hilderbraly	28178	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Health Unlimited Medical CC t/a Century Med Health	11692	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Heinrich Vd Heever t/a Heinrich VD Heever Brokers	14384	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Heinrich Zerwich t/a Pepe Brokers	8968	13 December 2010	Contravention of section 15A of the FSB Act
Hendrik Austin	20360	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Frederik Janse Van Rensburg t/a Frikkie Van Rensburg Versekering Makelaars	5906	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Hendrik Johannes Louw t/a HJLConsult	12924	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Johannes Van Reenen t/a Hennie Van Reenen Financial Services	26030	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Juriaan Van Zuydam	11918	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Stephanus Pienaar t/a Hennie Pienaar Brokers	23988	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrina Magrieta Venter	12888	20 December 2010	Contravention of section 15A of the FSB Act
Henk en Marie Viljoen Makelaars Bk	10402	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hennie Oosthuizen	14182	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henque 1183 CC t/a AB Motors	9526	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henque 4393 CC t/a AB Motors Maitland	9521	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henry John Lesley Kingma t/a Les Kingma and Associates	14611	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Henzel Apollis t/a EL Shaddai Begrafdienste	15396	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henzel Apollis t/a EL Shaddai Makelaars	18701	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Herby Properties 13 CC t/a Philpro Financial Services	17605	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Herremias Cornelias Conradie t/a Harry's Motors	11488	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hester Ceicilia Jansen Van Rensburg t/a Hester Van Rensburg Finansiële Adviseer	19070	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
HHS Equality Financial Services (Pty) Ltd t/a Equality Financial Services	26719	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Hlanzulula Polution Solution CC t/a Patsan Financial Services	34907	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hlokohloko Financial Services CC	32623	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hobson Gcinumtheto Ngubelanga t/a H.G. Projects & Investments	11562	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Honor And Glory Funeral Palour CC	26287	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hugh Auckland & Associates CC	14325	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Human And Financial Management 1 CC t/a HFM	37067	13 December 2010	Contravention of sections 17(4) of the FAIS Act
I M C Investment Management And Consulting (Pty) Ltd	8717	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
I M Personal Financial Planners CC	17564	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Ian Andrew Chambers t/a Chambers Consulting	2861	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Robin Levy t/a Ian Levy and Associates	4281	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Roland Killian t/a Ian Killian Brokers	6563	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Van Niekerk (Pty) Ltd	6452	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Iani Mackenzie t/a Mackenzie Financial Planning	36534	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Identity Corporate Advisors (Pty) Ltd	25791	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ignatuis Michael Viljoen t/a IGMA Business Consultants	11435	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ilona Chanoch & Associates	3663	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imali Home Loans (Pty) Ltd	31025	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imali Trust 1 t/a Eehtam Trust	31256	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Impact Plus Trading CC t/a Impact Financial Services	13164	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Improlevel Investment CC t/a Amatola Insurance Brokers	17937	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Impunzi Construction Ventures CC	29093	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imre Insurance Advisors CC t/a Coastal Insurance Advisors	10310	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Increamental Growth Financial Services CC	19012	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indhren Andrew Morgan t/a Andrew Morgan Financial Services	7286	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indlela Yami Trading CC t/a Inspectacar Durban	19373	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indlemnyama Entrepreneurship CC t/a Prestige Funeral Scheme	28437	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ingelosi Trading 333 CC	32449	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ingelosi Trading 7 CC t/a Tata Durban	32167	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ingenuity Financial Brokers	35653	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insignia Insurance Brokers (Pty) Ltd	7464	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Insika Funeral Parlour CC	27908	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insikayesizwe Financial Services (Pty) Ltd	21549	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Insure @ E (Pty) Ltd	12965	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insurecor CC	18931	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insurewell Financial Advisors CC	21862	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Interactive Employee Benefits (Pty) Ltd	20222	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Inventive Ways 132 CC t/a G.V. Auto Sales	11490	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Investco Wealth Management (Pty) Ltd	857	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Invusa Trading 420 CC t/a Nkomazi Funeral Services	33057	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ishco Financial Brokers CC	10862	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Isithembiso Investments Solutions CC	37454	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Ismail Ayob Consulting (Pty) Ltd t/a ICONSA	9711	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Ebrahim Rawat t/a I Rawat & Associates	18955	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Mahomed t/a Imsure Financial Advisors	14423	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Shaik Hassan Parker	16502	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ithala Tax Consultants CC	33366	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ivo Sidonio Agrela Celestino t/a Tivoli Insurance Consultants	27789	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
IW Luzmore	1951	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
IWC Brokers CC	5362	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Izandla Ziyagezana Construction Projects CC	26038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J And L Brokerage And Services CC	30745	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J S Mkhize and Associates Insurance Brokers t/a J C Funerals	9894	15 December 2010	Contravention of section 8(1)(a) – (b) of the FAIS Act

J Teixeira And Associates CC	30013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J Van Rooyen	12600	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JA Kotze Brokers	12914	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jabulile France t/a Jabu Financial Services	20706	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacob Petrus Myburgh t/a Jaap Myburgh Insurance Brokers	10820	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacob Thankgole Motlhabane t/a Isis Brokers	18849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Christoffel Erasmus t/a J.C. Erasmus and Vennote	11205	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jacobus Johannes Van Zyl	14890	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Naude Stander t/a Naude Stander Makelaars	17866	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Nicolaas Van Der Westhuizen t/a Nico Van Der Westhuizen Financial Services	28347	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Petrus Smith	18371	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Jadwat Finance Company (Pty) Ltd t/a Motorcity Finance	29842	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jakobus Van Der Merwe t/a J Van Der Merwe Makelaars	11567	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
James Gary Thornhill-Fisher t/a James Thornhill-Fischer & Associates	13696	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Adriaan De Villiers t/a Jan De Villiers Makelaar	22206	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Erasmus Brokers (Pty) Ltd	27983	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Ferry Joseph Loots t/a Ferry Loots Makelaars	26017	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Hinrich Von Der Heyde t/a Independent Financial Brokers	1439	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Johannes Frantz t/a Jannie Frantz Brokers	2627	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Johannes George Van Wyngaardt t/a Van Wyngaardt Brokers	8818	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JD Financial Services Trust	25681	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jean Francois Arthur Joseph Jules De St Phillipe Desplaces t/a JD Brokers	899	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Jeffery N Swarts t/a Norencish Financial Services	11572	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jeffrey John Pulker t/a Jeff Pulker Chartered Accountant SA	5217	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jeffrey Lebogang Khumalo	35848	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jenny Litkie	10005	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jeremy Euan Mc Bryne t/a MC Brynes Insurance Brokers	15753	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JGKM Trading CC	30486	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jhansi Invesments CC t/a Hiralal Insurance Brokers	37692	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
JLE Brokers CC	30132	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JN Transport CC t/a Kwifix Motors	19953	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Adam Bosman t/a Bossie Bosman	20054	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Bastiaan Van Wijk	16084	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Johan Christiaan Kriek	25770	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Frederick Kirsten	11367	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Greyling t/a Greyling & Moore Makelaars	10011	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Hendrik Heyns	11568	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Johan Jordaan t/a Jorries Jordaan Makelaars	14339	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Pieter Black	21636	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Rautenbach t/a J.R Financial Services	26466	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johann Cornelissen Besigheids Trust	18534	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johann Hendrik Van Heerden	8827	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johanna Maria Louisa Aucamp	7644	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Carel Jordaan t/a A2Z Funeral Services	4726	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Johannes Gerhardus Smith t/a Gert Smith Brokers	15741	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Hendrik Vorster	22408	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Jakobus Kruger t/a Kruger Financial Services	19162	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Lawrence Mafethe t/a Maefethe Financial Services	19363	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Petrus Van Der Walt t/a Johan Van Der Walt Brokers	8944	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Petrus Van Niekerk t/a Hannes Van Niekerk Versekerings Makelaars	1930	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Senzo Kubheka t/a JS Brokers	36906	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Nhlapo	6146	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Nicholas Masengarb t/a Phoenix Group Advisors	12280	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Ogilve Douglas t/a John Douglas Brokers	21678	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Ray`S Auto CC t/a Auto Select	24080	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

John Rhodes Armour t/a Armour & Associates	13644	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Robert Horne t/a JR Horne	35488	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Sharon Consulting CC t/a JS Consulting	14025	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Talbot Insurance Consultants CC t/a John Talbot	6311	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Witbooi Makelaars Bk	22284	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jo-Mari Schulz	38100	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jor-Sure Bk t/a Jorfin	8900	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Joseph Wilhelm Boshoff	11023	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Josephine Cyndrella Munyai t/a Good Samaritan Brokers	24859	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Josephus Daniel Johannes Lombard t/a Lombard Financial Services	2137	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Josua Jacobus Viljoen	16106	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Julian Jeremiah Govender t/a Safe and Secure Insurance Brokers	17334	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Junior Mhlongo t/a Elangeni Investments	18432	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jurgen Breimaier t/a Volksie Service Centre	24090	13 December 2010	Contravention of section 17(4) of the FAIS Act
Jurgens Engelbrecht t/a Jurie Engelbrecht Investment & Assurance Broker	19268	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JW Minnie t/a Oryx Financial Services	1670	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
K And L House Of Investments (Pty) Ltd	33144	13 December 2010	Contravention of sections 19(2)(b)(iii) of the FAIS Act
K Evans & Associates CC	3504	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kae Kapa Kae Funerals CC t/a Northern Funerals	8738	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kagiso Funeral Parlour (Pty) Ltd	13078	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kaleidoscope Metals CC	27655	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karen Ingrid Du Plessis t/a Du Plessis & Associates	9520	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karl Heinz Christiaan Haussman t/a P and B International	7147	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karl Helmuth Heinrich Gribnitz	6990	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Karshan Financial Services CC	28193	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kasbo Brokers CC	4131	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kasteel Motors CC	25994	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kava Financial Solutions CC	4879	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
KDS Financial Consultants (Pty) Ltd	14110	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keatsheno Empowerment Financial Services CC	39662	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Kebone Brokers CC	26997	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keetsi And Associates CC	6091	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keith Michael Fester t/a KM Fester Consulting	29376	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kevan Roland Slade	32276	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Kevin Lance Nyland	28096	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Key Truck and Car (Airport) (Pty) Ltd	10323	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khanyokuhle Funeral Services CC t/a Redeemer Umbrella Scheme	36125	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khanzi's Funeral & Travel CC t/a Khanzi's Funeral & Insurance Services	18792	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kholeka Mnyaka t/a Isinamya Brokers	7525	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khonzani Irene Madlala t/a Irene Madlala Insurance Brokers	17851	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kiranco Investments CC	9697	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kisten Govender	6070	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Knezovich Broking Services CC	12368	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kolekile Colbey Mkumla t/a Bosako Insurance Brokers	33007	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Koos Du Plooy Consultancy CC	36435	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act

Kopanelo Financial Admin Services CC	6958	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kopano Square 2000 CC t/a Kopano Insurance Brokers	14929	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kovacs Investments 413 (Pty) Ltd t/a Accensure Financial Planning	21801	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kovacs Investments 491 (Pty) Ltd t/a AC Auto	17786	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kowie Gerrits	21998	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kresin Pillay	18642	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Krugercope Insurance Consultants CC	33303	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kwena Brokers CC	27495	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kwinana Bulelani	37311	13 December 2010	Contravention of section 17(4) of the FAIS Act
L Sethole Financial Planning CC t/a Altegang Financial Planning	11728	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lacey Meyer (Pty) Ltd t/a Meyers Bay Financial Services	11574	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Lambert Francois Gresse Van Wyk	16760	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lancaster Capital (Pty) Ltd	16794	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lance Michler And Associates CC t/a Non Stop Financial Services	17637	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lathitha Financial Services (Pty) Ltd	30217	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Laurel Ursula Brand t/a Contact Investment Services	31060	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lazarus Moya T/A Magama Brokers	36473	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Le Roux Brokers t/a Inprosure	7235	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Le Tigre Holdings (Pty) Ltd t/a The Le Tigre Group	8458	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lea Magdalena Venter t/a RGI Brokers	23915	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leading Edge 136 CC	35758	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Lebaleng Funeral Parlour CC	36650	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Leepile Insurance Brokers	8948	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LEF Trading Enterprise CC	18504	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Legalbiz (Pty) Ltd	22090	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lekete Elvis Mokoena t/a Mokoena Brokers	6968	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lekgadimane Diamond Mapokane	6097	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lekoa African Rainbow Funeral Homes (Pty) Ltd t/a Lekoa Cemeteries	18088	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lenka Financial Services CC	23859	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Bowles t/a Leon Bowles Brokers	19437	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Delagarda Van Wyk	20632	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Janse Van Vuuren t/a Van Vuuren Makelaars	8973	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Leon Leeuwner	9536	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act