

BOARD NOTICE 20 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
3rd Party Administration Services (Pty) Ltd	13023	20 December 2010	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
4-Life Direct (Pty) Ltd	21320	20 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
786 Cavendish CC	18646	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
98 Degrees Financial Services (Pty) Ltd	21932	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
A D Goodman & Associates	11542	13 December 2010	Contravention of section 15A of the FSB Act
A Re Kopaneng Funeral Society CC	26111	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
A4 Funeral Parlour KZN CC t/a A4 Funeral Services	8571	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Aaron Botolo t/a Botolo Insurance Brokers	12427	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aarti Deonarain Mahabeer t/a Multilife Insurance Brokers	36750	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abagibeli Insurance Administrators (Pty) Ltd	14670	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abdool Haq Goolam Mahomed	16500	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abednigo Bongani Sithole t/a AB Sithole Investment	27811	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abel Hermanus Johannes Venter t/a Arrie Venter Brokers	3913	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abel Kamela Mogalanyane t/a Abey K Investment Services	26797	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abinas July Mahlaule t/a Abby Brokers	18664	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ABL Fin 1 CC t/a Value Vehicles	31039	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abraham Johannes Du Plooy	21497	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abraham Johannes Latsky t/a Latsky Brokers	13744	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Abram Ramakgabane Mogotsi	24517	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Academy Of Achievers (Pty) Ltd t/a Finvision Financial Services	21810	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Accounting 4 Financial Services CC	13802	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ACFP Academy For Financial Planning t/a ACFP	32828	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ACS Funeral Brokers CC	24687	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ada Broker Services CC	8819	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adelle Robinson	36809	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adeo Schoeman t/a Adeo Schoeman Makelaarsdienste	7521	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adept Financial Services CC	37390	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Adriaan Gerhardus Botha t/a Vredenhof Brokers	10084	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Adriaan Gerhardus Deyzel	10839	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adriattie Smith t/a Healthcare Solutions	26034	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aecern Investment Services CC	1425	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Africhic 86 (Pty) Ltd	33904	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Afrinternational Risk Solutions (Pty) Ltd	22796	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AJK De Stadler & Associates CC t/a De Stadler & Associates	12398	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alan Hill	33823	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Albert Edward Elliott	18991	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Albert Mokoka t/a Mokoka Financial Services	4103	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Albertus Jacobus Saayman t/a AJ Saayman	27115	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alchrist Trust t/a BC Labuschagne	6423	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Alet Swartz t/a Alet Swartz Brokers	13958	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aletta Davie t/a LD Brokers	11052	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alexander Godwin & Associates CC	13826	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Alexander Robert Campbell t/a Campbell & Associates	31732	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alfred Jezews Van Der Merwe t/a Medical Aid Solutions	1415	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alfred Smith t/a Alfie Smith Brokers	3469	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alice De Wet	17932	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alison Ruth Smekal t/a Financial Hub	7899	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alistair Damon Makelaars BK	8288	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alkema Insurance Shop CC	12688	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Allplan Makelaars Bk	29001	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AL-Noor Risk Solutions South Africa (Pty) Ltd	30646	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Alpenos Joseph Edwards t/a AJE Brokers	28784	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Amatola Brokers CC	6399	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ambient Financial Services (Pty) Ltd	28420	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Andre Charl Koster t/a CKD & Associates	11872	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Coetzee t/a Highlands Brokers	35194	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Francois Bartleman t/a Bartleman Makelaars	6479	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Francois De Villiers	7753	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Jacobus Janse Van Rensburg t/a A. Van Rensburg Brokers	11996	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrea Cabula	17989	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andreas Chaong Mokoena t/a Andreas Mokoena Health and Life Brokers	18613	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrew Dondo Hlongwane	26769	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Andrew Isaks t/a Deo Gloria	21601	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrew Noel Gideon t/a Service Sure	24816	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrew Robert Mittendorf	15849	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Johannes Fourie	38660	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Andries Johannes Janse Van Rensburg t/a Andrew Van Rensburg Brokers / Makelaars	8198	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Sheleni Moya t/a A S Brokers	34928	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Stephanus Van Wyk t/a Thekwini Marketing	15889	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Angelica Jones Insight Financial Advisory Services CC t/a Angelica Jones Insight Financial Advice	6668	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Angeline Gertrude Nel t/a Nel Makelaarsdienste	6414	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ann Benedictor Redford	12176	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anna Christina Maria Van Aswegen t/a Christa Van Aswegen	18011	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Anna Sophia Robbertse t/a AS Robbertse Makelaars	17117	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anna Susanna Mullin t/a Sizani Financial Services	28264	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Annetta Christina Aletta Lombard t/a Coffin City Funerals	18179	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ANS Motors CC t/a Starlite Auto	26615	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anthony Carl Schorn t/a Tony Schorn Brokers	9067	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anthony Mark Green t/a Anthony Green Brokers	9582	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Antoinette Monica Chetty t/a Durban Burial Society	21629	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Harvey Pretorius	29041	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Johann Dreyer t/a Wyllie & Associates	16551	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Van Rooyen Assurance Brokers	7215	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Antonio Moi t/a PG Financial Advisory Services	7999	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Aon Lesotho (Pty) Ltd	17001	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AR Financial Services CC	31861	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Areaganeng Masakhane Funeral Home CC	13090	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Arebolokaneng Burial Society CC	38101	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Armachel Broker Services CC	38420	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Arnesen James Financial Services CC	36972	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Arnoldus Rudolph Uys	29841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Artpac Lending Services (Pty) Ltd	8221	20 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Artwell & Associates CC	17863	13 December 2010	Contravention of section 15A of the FSB Act
Arumugam Archary t/a Bala Archary Insurance Brokers	18940	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ash Brokers CC	9591	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ASN Car Corp CC	34150	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Attie Van Wyk Brokers CC	7966	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Aubrey Arendse & Sons Family Funerals Cc	14818	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auto Ecosse CC	18996	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auto Max	27617	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Autobest Motorden t/a AutoBest	11345	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Autorama CC	25437	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auxis (Pty) Limited	13908	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Avoir Consulting CC	35612	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Awesia Risk Managers (Pty) Ltd	28194	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B & G General Marketing And Insurance Brokers Cc	16155	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B1 Funeral Brokers CC	9693	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Babatane Zakhele Xande t/a Medic Alert Brokers	19398	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Bafrika Trading CC	38080	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Balakrishna Naidoo t/a B Naidoo and Associates	1355	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Balobedu Business Enterprises CC t/a Balobedu Funerals Directors	8689	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barden Investment Brokers CC	10816	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Daniel Louis De Bruin t/a De Bruin Makelaars	7651	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Hermanus Van Graan t/a BH Van Graan Makelaars	8837	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bareno Investments 1001 (Pty) Ltd	11637	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barnabas Sundrum Baliah t/a Everite Brokers	17537	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barry Keith Speed t/a BKS Insurance Brokers	14266	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Batsiela Obed Tsela t/a Eyethu Funeral Services	32764	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bazel Logistics Cc	35634	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BB Funerals CC t/a JM Funerals	8486	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BCKT Investments CC t/a Fairdeal Motor Co	19812	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bekky Solomon Shandu t/a Service For All	35692	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Belisa Brokers CC	34225	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ben Bojabotshega t/a Bojabotshegas Financial Services	37482	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ben Van Coller Beleggings En Versekerings Makelaars Bk	13432	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Benadie Snyman t/a BS Makelaarsdienste / Broker Services	17246	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Benjamin Arthur Saunders t/a Benab Funerals	14676	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Benjamin Tuelo Gabokhutle t/a B T Gabokhutle and Associates	23531	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Berea West Brokers CC	24206	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bernard Azwindini Ramushweu	21859	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bernhard Jacobus Bronn t/a Hexvallei Agentskappe	28301	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhekukwenza Patrick Majola t/a Uncedo Funeral Services	17384	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhekuyise Fortune Dlodla t/a Future Solutions	35146	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhele And Madlula Brokers CC	38313	13 December 2010	Contravention of section 15A of the FSB Act
Bhoyi Clifford Zondi t/a BC Brokers	39678	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Bhula Orlean Nhlumayo t/a Somadoda Brokers	32168	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Big Sky Funeral Directors CC	16411	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bike Choice CC t/a Power Sport and Auto	19773	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bikesure (Pty) Ltd	23436	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bipendra Amrat Prag t/a Prag Financial Services	18096	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Birjanand Sew Sewpaul t/a BS Sewpaul & Associates	26263	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bisoonal Sew Ramdeen t/a Surelife Insurance Brokers	3152	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bitline SA 697 CC t/a Habitat Financial Services	1824	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bitline Sa 811 CC	11672	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Black Eagle Financial Services CC	17391	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blade Financial Services (Pty) Ltd	16156	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blau Diamond Reserves (Pty) Ltd	30426	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blue Dot Properties 1255 CC t/a Blue Dot Cars	32268	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blue Nightingale Trading 248 (Pty) Ltd t/a GTM Bell Tax & Consulting Services	15665	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bmt Risk Management CC	16468	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bohlale Black Economic Empowerment Solutions (Pty) Ltd	19583	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Boikie Silence Mashego t/a Boikemo Brokers	23160	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Boitumelo Ernest Bezent t/a Bezent Financial Services	35353	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bondel Auto (Pty) Ltd	27377	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonginkosi Zwane t/a Zwane Financial Services	36092	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonke-Bonke Funeral Services & Investment CC	34695	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonngwe Relationship Solutions CC t/a Bonngwe	11694	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonny Charlene Pieters	34803	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bopanang Funeral Services CC	17148	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Botes Brokers CC	10841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Botha Makelaar Bk	31107	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bouvest 2091 CC	25054	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Boyana Samuel Shingange	21323	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bracey Consulting CC	11129	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Bradley David t/a David Bradley Insurance	20860	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Brandow Financial (Pty) Ltd	36732	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Brian Mduduzi Mbatsana t/a TSB Brokers	36864	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Brian Myburgh	12977	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Brian Peter Schoeman t/a BPS Insurance Brokers	18723	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Brokerhub CC	1846	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BS Beleggings CC t/a Medsure Brokers	20272	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bubele Baldwin Bababale Stofile t/a Zintathu Bees Brokers	19284	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Buks Van Zyl Motors Bk t/a Montagu Toyota	11480	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Busane Medserve CC	22720	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Busisiwe Mary Nkosi t/a BMN Brokers	18021	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

CAI Business Consultants CC	38098	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Calandria Thirty Three CC t/a Motor World	10170	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caledon Makelaars CC	8255	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Calicom Trading (182) (Pty) Ltd	9456	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Calvin Kunene t/a Kunene Brokers	28707	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Calwin Investments CC	17062	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Campbell Marketing Services (Pty) Ltd t/a Fritz Smith & CO.	7031	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Canaan Fana Mhlono t/a CFM Insurance Brokers	8641	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Candice Barton t/a Simon and Associates	14343	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cape Province Corporate Training CC	20091	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Capi Maxi Investments CC t/a AJ Forde Financial Planning	4682	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Caratfin SA (Pty) Ltd	26239	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cardinal Financial Services CC	25582	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carel Jacobus Sebastiaan Grove	11386	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carina Smit Brokers t/a Carina Smit Brokers	1112	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carl Louis Pieters	26583	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caroline Lindiwe Tshabalala t/a Impendulo Brokerage	24750	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caroline Margaret James t/a Provincial Broker Services	16863	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carty Van Niekerk & Company (Pty) Ltd	30275	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cats Consulting (Pty) Ltd	2776	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Catwalk Investment 133 (Pty) Ltd	18473	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cavell-Clarke CC	14235	13 December 2010	Contravention of section 15A of the FSB Act
Cebekhulu Financila Services CC	35151	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Cecil Michael Eugene James t/a Associates Medical Brokers	10483	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ceder Makelaars Bk	18298	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cedric Ishwarduthsingh Narrandes t/a CIN Financial Services	20748	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Celani Msane t/a Msane Brokers	33963	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Centurion Insurance Brokers CC	17935	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CES Advise Services Bk	28705	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chailot Investments CC t/a Africa Finance	22173	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charisse O Delia Chantel Lucas t/a Overberg Begrafnisdienste	17953	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charitoo Marketing CC	35133	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charles Garai t/a Charles Garai Brokers	11846	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charles Ravell t/a Inner Circle Brokers	9965	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Charlottenhaus CC t/a UIB Services	17156	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charmaine Fouche t/a Charmaine Fouche Insurance Brokers	25801	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charmaine Yvette Botes t/a SA Legal & Financial Services	17561	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cherry Blossom Trading 108 t/a Medical Aid Specialists	33684	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Choice Decisions 130 (Pty) Ltd t/a Amalgamated Insurance Management Services	14465	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chris Gordon And Associates CC	17052	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chris Theo Jansen Van Rensburg	11717	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chrisna Schoeman t/a Griffin International	35877	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chrissies Insurance Brokers (Pty) Ltd	22963	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christell Esterhuizen	16768	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christiaan Floris Malan t/a Malan and Associates	26728	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Christiaan Johann Swanepoel t/a CJS Offshore Financial Solutions	11829	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christiaan Johannes Burger t/a Chris Burger Finansiële Dienste	6658	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christian De Wet Knoetze	15638	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christina Ferreira	13772	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christine Hohls t/a BMTK Brokers	1343	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christo Petrus Kotze	13688	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christoffel Jacobus Beukes t/a Cobus Beukes Finansiële Bestuur	21431	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christopher Masimthembe Ngxola t/a Christopher Masimthembe Ngxola Financial Consultants	15114	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Class A Trading 790 (Pty) Ltd t/a Progressive Admin Solutions	12891	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Classic Trade 121 CC t/a N Sure One	8514	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Clear Creek Trading 34 (Pty) Ltd	34793	13 December 2010	Contravention of section 15A of the FSB Act, section 19(2)(b)(iii) of the FAIS Act

Clemens Padiachy	7096	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clifford Raymond Jones t/a CJI Consultancy	15786	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clive Preston Whyte Robertson	24204	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clive Raymond Carney t/a First Risk Insurance	36096	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clyde Moodley t/a Fawn Financial Services	6738	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CMR Insurance Brokers CC	14378	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Coenraad Frederick Maree t/a Maree & Rogers Investments	17202	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Compi Beleggings CC t/a CIT Sports Products	20139	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Compion Makelaars Bk	15225	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Comprehensive Truck Insurance Brokers CC	29720	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Concorde Cars Buitenkant Street CC t/a Concorde Cars	9268	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Constandinious Peter Brooks	17941	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Consulting & Interrelated Business Management CC	22201	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Coogule Finance (Pty) Ltd t/a Financial Solutions Group	27038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Copper Moon Trading 503 (Pty) Ltd	29938	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cordia Fourie Makelaars Bk	25012	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Corne Struwig t/a All Cover Insurance Brokers	14485	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornel Christiaan Bornman	21663	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornelia Gertruida Du Preez t/a Tazzmania	33776	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornelius Jansen Bornman Bezuidenhout	28043	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornelius Petrus Louwrens Von Rumstedt De Lange t/a NDL Group Insurance Broker	10805	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Courtier D Avenir Brokers CC	12812	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cradock Begrafnisdienste Bk	12013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Craig Douglas Goldstone t/a Goldstone Brokers	36965	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Crossroads Brokers (Pty) Ltd	31070	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CRU South Africa Investment Management CC	31683	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Crystal Sparkle Trading 57 CC t/a Duma Financial Services	36608	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
CT Volschenk Beleggings (Pty) Ltd t/a Weskaap Delta Weskaap Chevrolet	18782	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CWL Baard CC	16546	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cybed Trading 0059 CC	19510	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cyprus Insurance Consultants CC	15403	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
D.M.T Consultants CC t/a Kwashield Consultants	16870	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DA Brokers and Wine Labels CC	29747	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daconta Investments 1 (Pty) Ltd t/a Omni Insure (Pty) Ltd	36937	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dal Motor Investments CC t/a Taurus Motors	9567	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Dale St Clair Carstens t/a Global St Clair	9377	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dalinyedo Mzantsi	32585	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dammad Financial Enterprises CC	3891	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dangors Car Sales CC	22708	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danie Human t/a Danie Human Brokers	17808	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danie Van Der Westhuizen	28643	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Carlinsky	24172	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Dale Makena t/a Majada Corporate Affairs Health Care	13533	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Gerhardus Williams t/a Williams Funeral Undertakers	16206	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Jacobus Erasmus t/a Magna Brokers	22204	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Skei Twala t/a Midlands Funeral Home	18786	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Daniel Torinyana Ngutshane t/a Ngutyana Broker Service	18430	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danther Consulting CC	20666	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Darwo Admin House (Pty) Ltd	35091	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daryl Brandon Soobramoney t/a Jaho Fiancial Services	25732	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Datri 43 CC t/a Erik Kotze Financial Services	27497	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dave Alston Motors CC	25759	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Bota Makara t/a DB Brokers	35280	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Hermanus Maree t/a Harry Maree Makelaars	16879	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Jacobus Viljoen	14744	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DC Brokers CC	38282	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
DCA Insurance Brokers CC	4958	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Dealer Car-Nect CC	33495	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Debora Elizabeth Augustyn t/a Bo - Karoo Begrafnisdienste	18787	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Decent Peoples Funeral Parlour t/a D.P.F.P Funerals	39358	13 December 2010	Contravention of section 17(4) of the FAIS Act
Deco Trust	31054	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Demetrios Titan t/a Titan Investments	9135	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Denverdraft Administrators (Pty) Ltd	14186	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Denvor Booysen t/a Community Financial & Funeral Services	14682	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Deon Du Plessis De Beer t/a Deon De Beer Makelaars	15566	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Deon Latsky	12232	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Deon Roux t/a Deon Roux Makelaars	21026	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Derek Arrow & Associates Incorporated	16581	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Derrick Wademan t/a Derrick Wademan Associates	13279	13 December 2010	Contravention of section 15A of the FSB Act

Desire Samunda	38280	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Desmond Eldred Viggill t/a Des Wiggill Insurance Brokers	11903	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Desmond Neville Burger t/a D & D Financial Services	20986	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dewald Leon Nienaber t/a Amicos	7459	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dhanasagren Chetty	12099	20 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dhavarajaloo Pakiriy t/a TAC Financial Services	2939	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dial Dynamics CC	34259	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Diane Murial Holdsworth	9542	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dick And Phillips CC	19045	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Diederick Johannes Steyn	28040	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dignity Insurance Brokers CC	10991	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Dimension Insurance Centurion (Pty) Ltd	9677	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dimitrios Nicolakos t/a Dimitrios Nicolakos Financial Services	8618	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dinker Rama t/a Motorama	32423	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dipuo Ziphora Moyana t/a ZiphBurial	11306	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dirk Van Der Berg Brokers	7101	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dirk Van Schalkwyk t/a 3DC	34197	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ditau Insurance Brokers CC	34179	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ditshaba Human Capital (Pty) Ltd	24191	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DJM Insurance Brokers CC	7555	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DLMV Retail Enterprises CC t/a DLMV Financial Services	20648	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DM Monageng Brokers CC	5593	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Don Clark & Associates CC t/a Don Clark & Associates CC	2225	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Donald Peter Vermeulen t/a Vermeulen Group	16527	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Donnelly Financial Services CC	33190	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Don't Q Financial Solutions CC	19739	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dortyger Nr226 CC t/a Top Cars	31559	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dotcom Trading 352 (Pty) Ltd t/a Personal Wealth Solutions	4642	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Double M Financial Services CC t/a Double M Accountants	1520	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Double Ring Trading 187 (Pty) Ltd t/a Palisade Financial Planning	13401	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Douglas Gerald Beck t/a Investment Planning Services	12933	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dr Shuaib Moerat	5368	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dream Weaver Financial Services CC	28100	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Drikus Viljoen Makelaars CC	2493	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Duduzile Fikile Basi t/a D Basi Financial Services	37676	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dula O Lebelletse Funeral Purlour CC	14264	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisa Donald Ntintili t/a DD Ntintili & Associates	26049	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisani Joseph Sithole t/a Essential Financial Services	22416	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisani McBride Socikwa	35281	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumsani And Sibusiso Funerals CC	22296	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dyssel Laubscher CC t/a Dyssel Laubscher Financial Services	34356	13 December 2010	Contravention of section 17(4) of the FAIS Act
E & D Motors (Pty) Ltd t/a Ottery Toyota	20163	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
E And M Investment Brokers CC t/a E and M Brokers	1669	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
E And S Motors CC	25642	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

E M Mokole Insurance And Medical Aid Consultants CC	15084	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
E.M. Williamson t/a Marico Makelaars	8653	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
East Of Eden Trading 478 CC	23156	13 December 2010	Contravention of section 17(4) of the FAIS Act
East Rand Broking Services CC	16486	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eben Jacobs t/a Huguenot Finansiële Adviseurs	12005	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eben Van Der Merwe t/a Probess Life	37637	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ebsa Consulting (Pty) Ltd	17036	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
ECE Health (Pty) Ltd	33038	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Econocom 462 CC t/a Andele Makelaars	15593	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Edward Malherbe Cilliers t/a Prosperitas Makelaars	10451	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Edward Nathan (Pty) Ltd	11292	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Edwin Samuel Williamson t/a Williamson & Associates	15550	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Eerste Geluk Motors Bk	15817	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
EFCON Capital (Pty) Ltd	18324	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eight Miles 358 (Pty) Ltd t/a 8 Miles	35056	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ekuphumleni Funeral Services CC	10776	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
El' Anaric Makelaars Bk	12357	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Electracomp Cc	18205	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elegant Line Trading 25 (Pty) Ltd t/a TVR Brokerage	29191	13 December 2010	Contravention of section 17(4) of the FAIS Act
Elegant Square Trading 80 CC t/a Excelsus	17291	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elias Sodari Masemola t/a Masemola Insurance Brokers	3360	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elizabeth Francina Botha t/a Betsie Botha Insurance Brokers	21023	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ella Anna De Lima t/a 100% Financial Services and Life Assurance	17739	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ella Nancy Denalane	20315	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elmarie Antoinette Du Plessis t/a High Net Services	37278	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act