

**BOARD NOTICE 31 OF 2014**  
**FINANCIAL SERVICES BOARD**  
**FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,  
 NO. 1 OF 2014**

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



**CD Da Silva**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION  
 REQUIREMENTS, NO. 1 OF 2014**

**Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

**"Qualification requirements"** means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

**Extent and duration of Exemption**

2. (a) The applicants for exemption referred to in Table A hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2015**.

<b>TABLE A</b>	
<b>Applicant</b>	
Selisha Ramkelawan	Hoosen Takoor
Pieter Alfred Germishuys	Ilze Ackerman
Dino Alfred Miller	Jacomina Elizabeth Vorster

<b>TABLE A</b>	
<b>Applicant</b>	
Annette van Buren-Schele	Shakeel Hendricks
Melvin Mark Pedro	Cecil Jeffrey Damon
Charmain Lisinda Kok (van der Merwe)	Sifiso Phillip Ginindza
Vanessa Young	Martin Mashosho
Nassief Mohamed	Rosemary Zodwa Ndaba
Ray Nasson	Wisani Lawrence Baloyi
Dalton Kent Lakey	Audrey Visser
Sivandren Govender	Masuret van Heerden
Jonathan Andries Jansen Van Vuuren	Jefferey Webber
Gladman Obrey Vukile Nkosenye Mlambo	Sandile Leslie Gumede
Francis Boersma	Luthando Mfundo Qangule
Adam Smith	Melilizwe Zwelethemba Jwili
Martinus Cornelius Bosman	Mosima Desiree Seemola
Yashvir Singh	Byron Dittberner
Strinivasan Maistry	Amoret Vermaak
Thaba Ntokozi Louis Zwane	Veral Eva Petersen
James Tyler	Ndimphiwe Nqopha
Feizal Benny	Jerome Michael Witbooi
Darlington Moyo	Given Kabelo Masilo
Mohamed Kamil Kader	Dale Howard Johnson
Kiran Ramson	Nivern Suchipersadh
Samuel John Bevan	Rishal Seetaram
Viren Amrithlal Atwaru	Vignesperen Moodley
Frederik Maritz Booysen	Delane Pritiraj
Nkanyiso Lloyd Dumakude	Martin Swart
Shaun Christopher Clark	Kagiso Catherine Emily Tshabatau
Cornelius Peter Bester	Sydney Abiele Lekitlane
Barbara Nompumelelo Mkhonza	Leeshen Patel
Mbuso Dick Shange	Kelvin Peter Wetzl
Mashiteletse Kenneth Mokine	Tyrone Emmanuel Padayachee
Carelo Andreas Gagiano	Rachel Hariparsad
Tiisetso Khoali	Dylan Geraldo Kyle Brandt
Abraham Jacques de Villiers	Nildo Celestino Braganca
Pule Theophilus Maphango	Marc de Fondaumiere
Corleta Sethoga	Joseph Chitanda
Eric Sello Phateng	Tshepiso Selby Mofokeng
Theesan Naick	Leveshan Padiachey
Dwaine Ronald Graham	Soloman Bhekizizwe Banda
Bini Hendrick Tshepo Seripe	Nelson Motsoeneng
Zeenat Kajee	Gary John Geldenhuys
Dwayne Naicker	Catharina Elizabeth van der Merwe
Jarris Rajah	Lerato Mhlalife Ntisa
Puleng Maria Letebele	Kirsten Andrew Roux

- (b) The applicants for exemption referred to in Table B hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2016**.

<b>TABLE B</b>	
<b>Applicant</b>	
Nkosinathi Jeckonia Mthethwa	Lerato Fatola
Abraham Johann De Klerk	Mongi Siyabonga Ndawonde
Joanne Bormann	Loraine Annette Brown
Nomsaundu Benedictor Mancoba	Natasha van der Westhuizen (Moss)
Adil Kaldine	Stephanus Jacobus Venter
Lindokuhle Honerious Mngadi	Llewelyne Hector Louw
Shelly Ann Brooker	

- (c) The applicants for exemption referred to in Table C hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 July 2014**.

<b>TABLE C</b>	
<b>COLUMN ONE</b>	<b>COLUMN TWO</b>
<b>Applicant</b>	<b>Conditions</b>
Chantelle van Loggerenberg	Wynand Jacobus Combrink van Beek
Dolicah Pretty Khoza	Gert Jacobus Nel
Annette Kristiana Kuipers	Agatha Christina van der Schyff
Loretta Vagle	

### Conditions of Exemption

3. This Exemption is subject to the conditions that-
- 3.1. an applicant referred to in Table A above must:
- (i) on or before 31 March 2014 furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
  - (ii) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and
  - (iii) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.
- 3.2. an applicant referred to in Table B above must-
- (i) on or before 31 March 2014 furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
  - (ii) on or before 28 February 2015 furnish the Registrar with his/her statement of results;
  - (iii) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and
  - (iv) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

### Amendment and withdrawal of Exemption and Conditions

4. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### Short title and commencement

5. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No. 1 of 2014, and comes into operation on the date of publication in the *Gazette*.