

BOARD NOTICE 285 OF 2013

**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
NO. 7 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, NO. 7 OF 2013**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

"Qualification requirements" means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

Extent and duration of Exemption

2. The applicants for exemption referred to in the Table hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2015**.

APPLICANTS	
Maria Esther Maphosa	Sifiso Absalom Dladla
Letty Rivombo	Simphiwe Elvis Tsala
Moses Charo Maseko	Sindiswa Hazel Mpetsheni
Abednigo Ntate Moyo	Sithembile Nkume
Anastasia Bomkazi Dliwako	Sontaga Mary Ledwaba
Andiswa Hanjana	Stephenis Bhekifa David Mngomezulu

APPLICANTS	
Angel Carrie Mabuza	Thandazile Sithembile Gina
Ausi Belsi Mokati	Thembekile Mqgaha
Babalwa Yvonne Dlephu	Thembele Thelma Mashiq
Bandlakazi Kana	Thokozile Tshabalala
Banele Tutuka	Nombuyiselo Vinolia Boyana
Buntu Memani	Violet Matlakala Modisaesi
Daphney Bongumusa Mbongwa	Letlhogonolo Virginia Modise
Delisile Lubisi	Vumile Londiwe Zuma
Dorothy Keneuwe Makhoathi	Vuyokazi Sweetness Majali
Dudu Pretty Mqadi	Winfred Mapule Molokele
Ellen Maruping	Magoletsa Susan Mashiane
Gcobisa Hambaze	Zinziswa Buso
Tsakane Gladys Maluleke	Ziphezinhle Thandeka Sitole
Gobusamang Ricardo Sefora	Nthoto Confidence Mabina
Jan Titi Raseale	Thomas Japhta Ngonyama
Kedibone Suzan Moloto	Ntloheleng Aaron Xaba
Kelebogile Annah Mabalane	Bulelwa Cengani
Khanyisa Nqeno	Vuyokazi Veronica Diko
Khanyisa Ludaka	Lucky Marcus Dube
Khungeka Mcapukisi	Prince Nkosana Gungqa
Lathiswa Jeanette Sonjica	Thabisa Hlomendlini
Lebohang Letticia Mataboge	Nonhlanhla Thulisile Lembethe
Lebusa Christian Radebe	Pumeza Luzipho
Lenox Mnikeli Mbalane	Thandiswa Patience Mabokela
Lindiwe Ndlovu	Kefliwe Annah Molotsi
Mantshane Marcus Thosago	Wilfredrick Mitengo Maringa
Kehiloe Letshego Mokhotho	Buile Sarah Mashiane
Busisiwe Mkhlenkcele	Johanna Mpho Matabane
Mbuyiselo Zicina	Philani Sheperd Mbambo
Phumla Venus Maneli	Sibukangamehlo Mjabuliseni Mbatha
Mkhethi Bawinile Magwaza	Samkelo Mdingane
Matsiliso Ledimo	Annastacia Bonisile Mkhize
Mzukisi Wellington Ntsendwana	Tshipinkwe Phillimon Motlathledi
Mzwamandla Dadasi	Nomvula Msi
Nare Ramokone Moloto	Joyina Noah Mthethwa
Njabulo Radebe	Bekabantu Mzizi
Nolusindiso Samantha Boli	Thobeka Nduku
Nonzame Margaret Madikane	Angeline Ngema
Nosipho Nobongoza	Thabani Msawenkosi Ngema
Nosisa Beanca Mazaleni	Princess Mmathapelo Ntswe
Noxolo Thabisa Nell	Sinenhlanhla Revival Phakathi
Ntombikhona Gladys Gazu	Lerutle Annanias Peter Sepeng
Patricia Mpho Nte	Nonhlanhla Pearl Shange
Patricia Angela Kekana	David Daniel Shongwe
Patrick Philani Zikhali	Babalwa Tokwe
Petrus Johannes Coetzer	Zinhle Nompumelelo Vilakazi
Phenyo Pauline Rakomane	Simon Justice Xego
Phillis Nokuthula Mambane	Thembisizwe Efrem Nonjuzana
Phumudzo Victoria Mufamadi	Sithulile Simbalne Simelane (Sithole)
Pumza Edith Galoshe	Precious Kunene
Phumzile Promise Ndlazi	Zanele Mlotshwa

APPLICANTS	
Portia Pamela Mtokwana	Lungile Magwebu
Rachel Mathope	Babalwa Mani
Ramoepi Peter Makgopela	Sipho Mzaza
Sandiso Wilfred Ntshongo	Kelebogile Jane Seleke
Malibongwe Mazinyo	Sherpard Buyani Ngwane
John Siyambonga Mbewana	Ayub Khan
Johannes Laubscher Goosen	Deon Myburgh

Conditions of Exemption

3. This Exemption is subject to the conditions that an applicant must-
- (a) on or before **28 February 2014** furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
 - (b) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirements on expiry of the Exemption; and
 - (c) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

Amendment and withdrawal of Exemption and Conditions

4. This Exemption and Conditions are subject to-
- (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 7 of 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 286 OF 2013

FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, NO. 12 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva
Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, NO. 12 OF 2013**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in the Table hereunder are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the condition that it must be successfully completed by 31 July 2014:

APPLICANTS	
Palesa Antoinette Moleli	Prabhu Subramoney
Luthando Ntamo	Boitemelo Kgomoiso Salaminah Dywile
Edid Erica Lunnis	Mpjo Elias Mokoka
Sabiena Johanna Williams	Simynton Munyangu Phiri
Matlala David Mongatane	Gracious Hlengiwe Lekhuleni
Sehle Victor Makhanya Makhany	Ntomizodwa Sabeth MkhaliPhi

APPLICANTS	
Bernadette Sharon Horne	Nomthandazo Sarah Mkhwebane
Lynne Sbongile Buthelezi	Nonkululeko Maphanga
Bongiwe Prudence Mosamai	Bareng Solomon Phasha
Merriam Ramasela Shotholo	Mosebatho Elsie Mokoena
Wendreth Gava Sebola	Monica Khoza
Ndileka Queen Sampson	Willfred Sylvester Maxiya
Nadia Nathelie Bailey	Deborah Wilma Ryan
Fortunate Sibiya	Lungile Happiness Dube
Rika Patricia Singh	Nosicelo Beville
Nelisiwe Margaret Joy Lichaba	Elizabeth Mwaka Haaketa
Tsholkolo Geoffrey Jonas	Babalwa Sybill
Jabulani Mthethwa	Mokgadi Emelia Legodi
Moetsuo Cherytann Letsela	Shoba Sandra Molepo
Thando Goba	Shoba Sandra Nyakynga
Thandi Ngubeni	Edith Nompumelelo
Nandiswa Loraine Mboho	Lindiwe Elsie Shongwe
Elelwani Parausia Tambani	Kelly Cindy Minnaar
Virginia Mohale	Thuthukile Mnyandu
Mokgadi Emelia Seema	Skhumbuzo Sydney Mthetwa
Marriam Kediemetse Tshwane	Sylvester Lloyd Kilian
Mafulatsha Piet Ratheko	Masibulele Madikezela
Tsholofelo Maris Morebudi	Sedrick Celani Msomi
Siyanga Godlo	Sibusisiwe Siyoko
Anastasia Machuene Morakaladi	Mphoi Elias Mokoka
Phathutshedzo Constance Netshivhera	Herikus Johannes Fischer
Illon Shalati Khosa	Paballo Mapilo
Dipetogo letty Smous	Siksha Dhoorgapersadh
Moipone Mosoeu	Isabella Frederika Anker
Makgetwa Lekgala Mankge	Priscilla Govender
Kgomotso Lucia Ngesi	Mokgamoi Welheminsah Mashiloane
Phakamani Siphamandla Ndaba	Bafana Sizwe Zikalala
Stacy-lee Lucille Sago	Naia Nathelie Kortje
Magdeline Matlala Toto	Tshibiso Katusi Amos Marumo
Odwa Mata	Ntombi Maria Matlou
Vivian Chineye Ofili Atagana	Zinhle Shabalala
Ntombizodwa Sabeth Xakaliva	Mbalenhle Primrose Shabalala
Geraldine Nicolene Stols	Phinduwe Portia Msimanga
Masoja Madonsela	Ramasela Winnie Mashasha
Taole Enicca Hlakudi	Phumelele Archibald Msomi
Yvone Thokozile Mahlangu	Sedrick Celani Msomu
Kgomokeng Jane Seroka	Nelisiwe Ruby
Malefane Simon Seabola	Dumisile Witness Zondo
Mpeyane Francinah Ramolotja	Amanda Mjijima
Virginia Nchakga Malahlela	Ondela Budaza
Phemelo Kagisho Mogotsi	Retasha Dalipchand Silochan
Mahlako Kgaogelo Masoga	Phumlani Innocent Ncane
Theodora Nomumelelo Malema	Nicolette Moonsamy
Khanyisile Zwane	Vuyolwethu Nondabula
Khanyisile Zwane	Lebogang Aubrey Molotlhanyi
Jacob Rapule Leboe	Lucy Victoria Jacobs
Sylvester Lloyd Kilian	Thembalihle Wiseman Memela

APPLICANTS	
Zinhle Yovette Mbatha	Mpilo Handsome Ngubane
Mojalefa John Leope	Nkululeko Ngcobo
Lekgeto Phillemon Segola	Lwazi S'phiwe Ngcobo
Elvis Gabriel Khoza	Sandra Khosi Mabizela
Kgosi Colin Stona	Frank Mnisi
Tebogo Gregory Bonani	Jeanette Ntombekhaya Nteta
Rebecca Dithsego	Bradley David Forman
Dikeledi Portia Mohlala	Chihida Roshen Jevan
Shoba Sandra Molepo	Arthur Moratehi Mbele
Thabo Thomas Senye	Mandy Tshabalala
Amien Davids	Nokuthula Seritsane
Puleng Mary Galehole	Itumeleng Tshabalala
Itumeleng Kagiso Mmutlawane	Putsoe Vincent Caxton Moholobela
Mankone Linah Mathobela	Althea Elaine Moodley
Charne Vodia Sodom	Mantienyane Jane Mofokeng
Matitso Knaemang Mogoshadi	Emmanuel Johnny Louw
Ntsomo Debra Lebakeng	Malose Rodney Shikoane
Hilton Everet Abrahams	Anna Machoane Mphalele
Constance Lorato Tawane	Sibona-Kwenxeka-Menzi Ndlovu
Neo Innocentia Lepholletsa	Neo Carol Motsieloa
Avhashoni Tshilande	Shoba Sandra Nyakynga
Haillicia Ann Van Wyk	Bridget Nonkanyiso Ngcece
Bethvine Sandile Fundama	Kgomokeng Jane Serokoa
Mmamotse Evelyn Malao	Mokgadi Emelia legodi
Gallice Tsholofelo Mototo	Yunus,Ishaad
Kasthurie Sookoo	Morgan, Melissa
Sharmane Chetty	Mgwaba,Ayanda
Logandri Naidoo	Raidass,Meshica
Jamie Gangaparsad	Precious Nomzamo Langa
Preshen Rampersadh	Vhonani Sharllote Sigebe
Lutchmenan Narainan	Thabo Mathe
Nosabelo Langa	Nhlakanipho Pentecost Khumalo
Mfundiso Mlungwana	Khutso Clarence Rasebotsa
Julian Naidoo	Mxolisi Mlangeni
Francois Grobbelaar	Thandi Ntshingila
Warren Ebertsohn	Phakamani Sethole
Naidoo, Kathreen	Nthandoyenkosi Bophela
Khan, Yasmin	Lebohlang Mpotwane
Teladia, Ozyer	Samantha Arison
Joseph, Joleen	Rameez Jakoet
Dawood, Femida	Thulani Given Mcaba
Govenden, Lutchmee Melanie	Delwin Patrickj Danny Pieterse
Pillay, Sindy	Abongile Delo
Kalian, Varasha	Muchena Phillip
Chetty, Kershnee	Palesa Letshela
Sandile Molefe	Linah Mamabolo
Veraun Budhai	Sibongile Masanabo
Brenden Perumal	Phumela Matholengwe
Marcus Moodley	Paulos Mokhethi
Anthony, Odell Natlie	Chris Mabogo
Naicker, Navashni	Sthembiso Mseleku

APPLICANTS	
Nair, Thavaloshnan	Ntokozo Reginag Mkhize
Devnarain, Trisha	Simamgele Edith Ngcobo
Williams, Jody Ann	Anele Veronica Mijima
Venketswamy, Leonard	David Thulane Phiri
Wilson, Leah	Thato Mokoena
Kanni, Kassandra	Gloria Ramabele
Fiona Xulu	Thabang Nzama
Lebohang Masiza	Sinobuhle Mkaza
Rowan Pieters	Nhlanhla Lydia Tsolo
Zilungile Nxumalo	Lebogang Nathaniel
Ruwaida Hassan	Helen Mpho Mosehla
Adumodwa Roboji	Nthavhiseni Tshivule
Zandile Nhlabathi	Linda Ntshikaniso
Mhlawenkosi Kondleka	Atamba Boyana
Garth Roy Stapleton	Abel M Mbatha
Jonathan Young	Bophelo Mccamel
Marelyn Naicker	Martin Sabole
Jeffrey Narainsamy	Sikelela Hlofela
Jacqueline Dupraj	Bareng Ingrid Segale
Salome Govender	Banele Bruce Mafikeng
Dimple Rabbikissoon	Phumzile Vilakazi
Natasha Pillay	Sipho Strato
Virginia Shireen Govender	Lyle Snyders
Colin Ricardo Wood	Ntokozo Reginah Mkhize
Busisiwe Lizz Zondo	Simamgele Edith Ngcobo
Keneilwe Alfred Molefe	Anele Veronica Mgijima
Zinhle Nkosi	Hlengani Sandile Biyela
Ralvin Damentry Richards	Ntokozo Lindokuhle Sokhweba
Premraj Suerekha Ramesh	Kalumba Joseph Chiba
Gina Zakhona Mappha	Lunga Ncivitwa
Shabalala, Lebonhang Sylvia	Laila Mabuza
Ndlovu, Petronella Snenhlanhla	Thembisa Mdlovkova
Mogotsi, Lehlohonolo Senzeni Precious	Hlengani Sandile Biyela

Amendment and withdrawal of Exemption

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 12 of 2013, and comes into operation on publication in the *Government Gazette*.

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