

**BOARD NOTICE 282 OF 2013**

**FINANCIAL SERVICES BOARD**  
**FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,  
 NO. 6 OF 2013**

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



**CD Da Silva**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE**

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION  
 REQUIREMENTS, NO. 6 OF 2013**

**Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

**"Qualification requirements"** means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

**Extent and duration of Exemption**

2. (a) The applicants for exemption referred to in Table A hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2015**.

<b>TABLE A</b>	
<b>Applicant</b>	
Dean Mark Kantor	Lungephi David Rasmeni
Albertus Hendrik Adriaan du Plessis	Divan James Batt
John Siyambonga Mbewana	Thabo Stoffel Latakomo
Thulani Ricardo Ngubane	Sharon Dhanalutchmee Chetty

<b>TABLE A</b>	
<b>Applicant</b>	
Basil Zukile Joka	Ryan van der Merwe
Petro van Biljoen	Magda Prinsloo
Magda Prinsloo	Cindy Tessa Alfino
Sandra Louw	Santho Itekeng Mohapeloa
Antoinette Alkdien Catrina Conradie	Waseem Hoosen
Desiree Dawn Coetzee	Linesh Sookdhew
Denise Muller	Kriban Sadasivan Moonsamy
Ashley Bennett	Mandy Sechogela
Brendan Fillies	Harold Brain Hopking
Bjorn Johnson	Brent Murray Robinson
Lyle Williams	Barend James Meyer
Marco Mentor	Thereza De Wet
Wendell October	Ayanda Nomusa Uche
Given Samuel Montsioa	Felicia Elizabeth Gounder
Ashley Bennett	Rubin Louis Blom
Bjorn Johnson	Robert Linkmeyer
Lyle Williams	Jacques Van Vuuren
Marco Mentor	Allister Grant Montgomery
Wendell October	Conrad Cadle
Brendan Fillies	Eugene Gerrard Fick
Clifford Chetty	Carlo Cronje
Jean-Pierre Robertse	

- (b) The applicants for exemption referred to in Table B hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2016**.

<b>TABLE B</b>	
<b>Applicant</b>	
Sihle Wiseman Nzama	Jacques Visser
Ashley Singh	Nkosana Korie
Vanashree Munian	David Robert Schulman
Adrian Timothy Serfontein	Zodwa Jeannette Ndaba
Sulochana Saayman	Mohomed Waseem Ramjan
Elizabeth Maria Le Feure	Corneluis Wiese Barnard
Andries Sekgome Boshielo	Oageng Moeketsi
Colin Ricardo Wood	Donovan Leigh Scowby
Busisiwe Lizz Zondo	Noxolo Nkabinde
Keneilwe Alfred Molefe	Zinhle Nkosi

### Conditions of Exemption

3. (a) This Exemption is subject to the conditions that an applicant referred to in Table A above must-
- (i) on or before 28 February 2014 furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
  - (ii) on or before 28 February 2015 furnish the Registrar with his/her statement of results;
  - (iii) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and

- (iv) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

#### **Amendment and withdrawal of Exemption and Conditions**

- 4. This Exemption is subject to-
  - (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

#### **Short title and commencement**

- 4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 6 of 2013, and comes into operation on the date of publication in the *Gazette*.