

BOARD NOTICE 280 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 11 OF 2013**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 11 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

| TABLE A | |
|-------------------------------------|---|
| COLUMN ONE Applicant | COLUMN TWO Conditions |
| Albertus Hendrik Adriaan du Plessis | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Nkosana Korie | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| David Robert Schulman | Must successfully complete the relevant first level regulatory |

| TABLE A | |
|------------------------------------|--|
| COLUMN ONE Applicant | COLUMN TWO Conditions |
| | examination by 31 July 2014. |
| Zodwa Jeannette Ndaba | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Hendrik Lindenberg van Coppenhagen | Must successfully complete the relevant first level regulatory examination by 31 January 2016. |
| Hugo Adriaan Bornman | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Sihle Wiseman Nzama | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Phehlane Albert Mosoane | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Malose Stephen Mbewe | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Lelanie Hatting | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Mark Anthony Muttitt | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Motlhaodi William Malgas | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Benedict Hlophelo Mdlazi | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Nyameka Patience Nonxa | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Norah Thoko Mahlangu | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Motepele Boniface Malebana | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Conneluis Wiese Barnard | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Marie-Euphemie Murgatroyd | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Johannes Tromp Venter | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Hugo Adriaan Bornman | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Magadani Abel Mudzanai | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Sindile Kevin Khumalo | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Lily Anne Reddy | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Deon Abraham Richard | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Clint Donkin | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Elsie Susanna Da Silva | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Gary Colin Mostert | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Dirk Hercules Coetzee | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Melena Rampersad | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Errol Lai King | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Rosanne Smalberger | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Rashmi Mathuradas | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Elizabeth Catharina Pelser | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Colin John Martyn | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Mandla Hudson Mahlalela | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |

| TABLE A | |
|-------------------------------|---|
| COLUMN ONE Applicant | COLUMN TWO Conditions |
| Picol Mbango Thobela | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| David Mhlanhla Ngwenya | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| David Welcome Mhawule | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Bhekinkosi Sidney Shongwe | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Caswell Nhlanhla Shube | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Siswe Desmond Terrence Chonco | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Jean-Pierre Robertse | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Heinrich Helgaard Henning | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Andre Johannes Robberts | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Steve Jaun Pierre Bothma | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Corne Frederick Willmot | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Elizabeth Johanna Henning | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Wendy Kriel | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |
| Jonathan Humphery Levine | Must successfully complete the relevant first level regulatory examination by 31 July 2014. |

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 11 of 2013, and comes into operation on publication in the *Government Gazette*.