

**BOARD NOTICE 266 OF 2013****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****SUSPENSION OF AUTHORISATION**

I, Caroline Dey da Silva, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that the licences of the following licensees have been suspended with effect from the dates referred to hereunder:

<b>LICENSEE</b>	<b>FSP NO</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR SUSPENSION</b>
Blue Financial Services (South Africa) (Pty) Ltd	35973	31 October 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bongani Clifford Dladla	41183	25 October 2013	Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers, 2003 ("the Fit and Proper Requirements")
Certosa Trading 60 CC t/a Daan Wessels Makelaars	17662	28 October 2013	Contravention of section 10 of the Fit and Proper Requirements
Gogetters Brokerage CC	42266	18 October 2013	Contravention of section 10 of the Fit and Proper Requirements
Laidlaw Consultancy CC	15759	6 November 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Muluthanyi Kaizer Sinthumule t/a Ndiene Financial Service	31556	4 November 2013	Contravention of section 10 of the Fit and Proper Requirements
Muziwokuhle Trading Enterprise	38737	24 October 2013	Contravention of section 10 of the Fit and Proper Requirements
Nirendra Roopchund t/a Roopchund Financial Services	18046	24 October 2013	Contravention of section 10 of the Fit and Proper Requirements
Sene Patricia Manthata	28806	28 October 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sibett Marketing and Distribution Services CC t/a Phela	33863	18 October 2013	Contravention of section 10 of the Fit and Proper Requirements

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 10 of 2013.



**C D DA SILVA**  
*Deputy Registrar of Financial Services Providers*