

BOARD NOTICE 262 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 9 OF 2013**

I, Caroline Day Da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 9 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Abia Mapaletsebe	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Adriaan Hermanus Anderson	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Andre John de Goede	Must successfully complete the relevant first level regulatory

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
	examination by 31 March 2014.
Aris Arshavir Basmadjian	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Assoncia Nokuphila Mkhize	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Bhekumuzi Dube	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Bongani Brian Mkhize	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Bongani Clifford Dladla	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Bonginkosi Zwane	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Buselaphi Hazel Phakathi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Cithiwe Goodness Mbatha	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Coenraad Viljoen Schoeman	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Ebrahim Ismail	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Ethel Reneke	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Frederik Hendrik Swanepoel	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Gustav Ziehl	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Harold Alexander Silberman	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Helen Mchunu	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Hendrik Jacobus Eksteen	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Hendrik Kgomotso Mathekga	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Ikageng Nkosa Matlakale	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Jan Abraham Boshoff	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Jane Miranda Dalton	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Johan Maphumulo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Joseph Sello Nyalungu	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Kelebogile Felicia Mokwena	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Lomathie Sarivasa Naidoo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Lungile Mhlongo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Luthando Aubrey Gqibitole	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Lynet Tholly Mbatha	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mahesh Deva	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Makudumela Eremina Motloutsi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mandlenkosi Edwin Ndaba	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Marjorie Elizabeth Bent	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Martha Khanyi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Martha Mashaba	Must successfully complete the relevant first level regulatory examination by 31 March 2014.

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	examination by 31 March 2014.
Martha Mohlabatse Hlatshwayo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Martha Sophia Swanepoel	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mawdla Peaceman Ntingi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mduduzi Reginald Mbiko	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Merle Glyniss Mathobie	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mervin Andrew Clements Curnow	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Michael Sifiso Mncwabe	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mlungisi Jerome Hlophe	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mmapaulosi Evelyn Hlalele	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mmatshane Saboshego	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mmeli Peterson Ndlovu	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Moses Elvis Nkuna	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Moses Mzayifani Ndlovu	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mpho Ingrid Chauke	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mpumelelo Bekisisa Roland Nxasane	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Mthobisi Hercules Zulu	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Muziwo Kuthula Alfred Bhengu	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Nomatheku Eunice Buthelezi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Nombulelo Avis Mlombo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Patrick Mbulelo Nabileyo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Peter John Nock	Must successfully complete the relevant first level regulatory examination by 30 April 2014.
Petros Mvikeni Gumede	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Petrus Wouter Hugo	Must successfully complete the relevant first level regulatory examination by 31 December 2014.
Philisiwe Patience Dlamini	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Phindile Nelisiwe Chili	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Phumlani Vincent Kettledas	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Rowland Newton Thompson	Must successfully complete the relevant first level regulatory examination by 30 April 2014.
Runzani Cate Maraga	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Sbongiseni Gumbi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Sehlabane Lechious Mashao	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Shedrack Thulebona Nyathi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Sibongiseni Graduate Sithebe	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Sifiso Matheus Mngunyana	Must successfully complete the relevant first level regulatory

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	examination by 31 March 2014.
Sikhumbuzo Ricky Sithole	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Sipho Eric Thusi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Suleman Seedat	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Susan Fouche	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Tangwana Ralph Sibuyi	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Thembinkosi Godfrey Mlambo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Thobile Gcabashe	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Tlokweng Elizabeth Mamaregane	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Verand Nankoo	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Viwe Nelson Mtamalala	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Wilhelmina Magdalena Piater	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
William Jacobus Damons	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Wizzil Khutso Mashile	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Zanele Eudorah Gabela	Must successfully complete the relevant first level regulatory examination by 31 March 2014.
Zethu Gladness Ncalane	Must successfully complete the relevant first level regulatory examination by 31 March 2014.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 9 of 2013, and comes into operation on publication in the *Government Gazette*.