BOARD NOTICE 177 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
Access Wealth (Pty) Ltd	7058	1 July 2013	Contravention of section 17(4) of the of the FAIS Act
Amalgamated Broker Consultants CC	3131	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Andre Van Rooyen Brokers	12690	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Anglo Scottish Management CC t/a Cell Master International	1226	1 July 2013	Contravention of section 17(4) of the FAIS Act
B Kooverjee CC	1573	1 July 2013	Contravention of section 17(4) of the FAIS Act
Bafana Stephen Mthethwa t/a Health Care Broker	11339	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Black Pride Financial Services CC	11548	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bronkhorst Insurance Brokers CC t/a WFA Financial Services	11039	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Buffalo Brokers CC t/a Marius Smith Brokers	13656	1 July 2013	Contravention of section 17(4) of the FAIS Act
CDI Brokers CC	4084	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Cecil Parsons Versekeringsmakelaars BK	11852	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Colette Campher t/a Colette Campher Broker	12612	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Colin Malthoo And Associates CC	12131	1 July 2013	Contravention of section 17(4) of the FAIS Act
Craig Whitby	11913	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Curt Warrin t/a Curt Warrin Assurance	9970	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Danie G Rossouw t/a Danie Rossouw	6427	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Daniel John Williams t/a Butch Williams Assurance Broker	9972	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Daniel Modise Kolokoto t/a DK Brokers	4513	1 July 2013	Contravention of section 17(4) of the FAIS Act
Dealcor Thirteen CC t/a Car Deal	10171	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dealers Indemnity (Pty) Ltd	7317	18 June 2013	Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers and Representatives, 2003
Debora Krause t/a D Krause Makelaars	7856	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Derek Anthony Hodson t/a Derek Hodson Financial Consultant	8559	1 July 2013	Contravention of section 17(4) of the FAIS Act
Dewald Bosman Bemarking BK	4986	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dewdat Singh t/a Anu Singh And Associates	6035	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
DHL Global Forwarding SA (Pty) Ltd	8479	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Diversified Asset Management CC	875	1 July 2013	Contravention of section 17(4) of the FAIS Act
Eca Investments CC t/a Motor Den	10141	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Edwards Mey And Associates: Finance Brokers CC	8357	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Eric Van Zyl Makelaars BK	12439	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Eunallsingh Jadoo t/a Status Financial Brokers	4000	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Executive Brokers Andre Kruger CC	12101	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
FT And G Insurance Brokers CC	7494	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Financial And Corporate Service CC t/a Financial And Corporate Service CC	5481	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Financial Services Network CC	5218	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Finlaw Consulting Sa (Pty) Ltd	7259	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Firstglobal Estates And Strategies CC	754	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
FPVDM Investments CC	3670	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
FSG Consulting CC	5719	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Geoff Lake Finansiele Dienste CC	12360	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gert Hendrik Van Der Linde t/a Innovative Financial Consultants	13285	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gideon F Van Der Merwe Broker Services CC	10979	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Johannes Struwig	12169	1 July 2013	Contravention of section 17(4) of the FAIS Act
Gordon Guy Harmer	7944	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Grayston Financial Services (Pty) Ltd	9208	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Haasbroek And Associates CC	3892	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Halifax Insurance Brokers CC	9998	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Hanna Maria Marais t/a Marais Brokers Financial Services	11864	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Heinre De Bruin t/a Heinre De Bruin Insurance Brokers	8840	1 July 2013	Contravention of section 17(4) of the FAIS Act
Heinrich Johannes Lombard t/a Hein Lombard Brokers	10000	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Hendrik Jacobus Eksteen t/a Hein Eksteen Finansiele Makelaars	12362	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Henry John De La Rey	11294	1 July 2013	Contravention of section 17(4) of the FAIS Act
Hercules Johannes Du Preez t/a Herklaas Du Preez Brokers	11884	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Hermanus Albertus Pieterse t/a G5 International Brokerage	5483	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
I & I Motors CC t/a Daves Car Sales	10521	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Frank Mlindi t/a M & M Investments Consultants	2212	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Intembeko Investments CC t/a Benzwide Financial Services	5459	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Introvest 2000 CC	9564	1 July 2013	Contravention of section 17(4) of the FAIS Act
JC Buchan	1954	1 July 2013	Contravention of section 17(4) of the FAIS Act
JJ Kotze Makelaars BK	13469	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
JAB Financial Services CC	11982	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jaconia Mduduzi Sithole t/a JM Brokers	12815	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jan Van Dyk Makelaars CC t/a Optimum Financial Services Group	4481	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Janal Business Consultants CC	6073	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Janice Scholtz t/a Maxi Insurance Brokers	5817	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jaya Budhai Sookraj t/a JB Sookraj Insurance Brokers	271	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jodli CC t/a Medfin	4287	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Dudolph Kunneke t/a Johan Kunneke Makelaars	5211	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Goosen t/a Johan Goosen Associates	9660	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Pheiffer	11877	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Pheiffer Finansiele Adviseurs BK	12021	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johannes Jochemus Cilliers t/a Cilliers Brokers	11350	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John David Pretorius t/a Speedy	13180	1 July 2013	Contravention of section 17(4) of the FAIS Act
Jothea Makelaars BK t/a JTM	3757	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kaaplandse Makelaars BK	8247	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kholeka Mnyaka t/a Isinamya Brokers	7525	1 July 2013	Contravention of section 17(4) of the FAIS Act
Koraal Motors BK t/a Nelspruit Motors	10231	1 July 2013	Contravention of section 17(4) of the FAIS Act
Kotze Smit Makelaars BK	4876	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
LAL Insurance Brokers CC	13114	1 July 2013	Contravention of section 17(4) of the FAIS Act
Lakeside Brokers CC	12937	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Leon Wait Makelaars BK / Brokers CC	7686	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lithuba Insurance Consultants CC	13098	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lonwabo Armstrong Nginza	22748	18 June 2013	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act")
LTE Provider (Pty) Ltd	13697	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lwandle Wiseman Jikazi t/a LW Investment	3799	1 July 2013	Contravention of section 17(4) of the FAIS Act
Magapjane Educational & Professional Financial Services CC	5448	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Margaret Leask t/a Margaret Leask & Associates	5382	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Marietha Rheeder t/a Pinnacle Financial Services	12478	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Martin Biddulph	7737	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mary-Lynne Geyle	1022	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mercia Claudine Gouws t/a Omega Brokers	8281	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Michael Mndau t/a Mndau Brokers	5159	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Micsin Financial And Advisory Services CC	6667	1 July 2013	Contravention of section 17(4) of the FAIS Act
Middelburg Car Sales CC	11458	1 July 2013	Contravention of section 17(4) of the FAIS Act
Mokwari Jacob Dominic Thobei	11887	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Moore Stephens RB Inc t/a Moore Stephens RB Inc	9460	1 July 2013	Contravention of section 17(4) of the FAIS Act
MST Financial Services (Pty) Ltd	4667	11 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

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Mutual Interests Financial Services (Pty) Ltd	12746	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Natalie Wolfaardt	8494	1 July 2013	Contravention of section 17(4) of the FAIS Act
New Life Brokers	7209	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Norman Pringle Financial Planning CC	10051	1 July 2013	Contravention of section 17(4) of the FAIS Act
Ntandane Financial Advisors	11586	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Peter Budynkiewicz Insurance Agents CC t/a PB Insurance Agents	1853	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Petro Insurance Brokers CC	2694	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phiko Thomas Sithole t/a Simunye Brokerage	7029	10 July 2013	Contravention of section 15A of the FSB Act
Phoenix International Logistics (Pty) Ltd	11072	1 July 2013	Contravention of section 17(4) of the FAIS Act
Pro 2000 CC	5905	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Prostart Investment (Pty) Ltd t/a Corporate Solution	1900	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Protax Estate Planners (Pty) Ltd	236	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Protax Insurance Brokers (Pty) Ltd	288	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rajesh Nundhlall	9248	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ramlall Ramdial t/a Lifesure Insurance Brokers	10159	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Richard Makhaphela	6456	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rishiraj Rathilal	9249	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Robsmail Motors CC	6401	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rod Fouche Associates CC	8614	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rodney Stein Financial Services CC	12614	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Roelof Van Rooyen t/a RW Van Rooyen Brokers	7175	1 July 2013	Contravention of section 17(4) of the FAIS Act
Rolleston Hall Financial Services CC	13265	1 July 2013	Contravention of section 17(4) of the FAIS Act
Rospa Trading 31 CC t/a Rospa Financial Broker Services	13747	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ruby Rosenberg	7803	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SJ Koen t/a S Koen	8210	1 July 2013	Contravention of section 17(4) of the FAIS Act
Sakhumnotho Asset Managers (Pty) Ltd	870	1 July 2013	Contravention of section 17(4) of the FAIS Act
Santharasagarie Albert t/a SK Financial And Investment Consultants	10069	1 July 2013	Contravention of section 17(4) of the FAIS Act
Sarie Goosen Insurance Brokers CC t/a SGIB	6700	1 July 2013	Contravention of section 17(4) of the FAIS Act
Smutslo CC	2790	1 July 2013	Contravention of section 17(4) of the FAIS Act
Stephen John Hansen	7623	1 July 2013	Contravention of section 17(4) of the FAIS Act
Suleman Seedat t/a Alliance Brokers & General	2283	1 July 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Surecare Brokers CC	9612	1 July 2013	Contravention of section 17(4) of the FAIS Act
The CJB Investments Trust t/a Tharsei Brokers	921	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
The South African Bank Of Athens Ltd	5865	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Thembalethu Financial Solutions (Pty) Ltd	1682	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Theo Fourie t/a Theo Fourie	12764	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Thri Veeni Moodley t/a Renthri Brokers	1458	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Timintsu Consulting Agency CC t/a Medcon	7845	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Treacle Private Equity (Pty) Ltd	8434	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Two Mountains Financial Services (Pty) Ltd	8706	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ubuntu Bam Trading Enterprise CC	39549	21 June 2013	Contravention of section 15A of the FSB Act
Validtrade 2038 CC	4908	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Van Der Merwe & Reyneke Finansiele Dienste (Edms) Bpk t/a Multilink	2972	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
VNS Investments CC t/a KMS Insurance Brokers	1680	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Wisesure Financial Consulting CC	7342	1 July 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 7 of 2013.

Jun

G E ANDERSON Deputy Registrar of Financial Services Providers