

BOARD NOTICE 137 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 6 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


G E ANDERSON

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 6 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Tebogo Petrus Seate	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
George Dukai	Must successfully complete the relevant first level regulatory examination by 31 October 2013.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Raymond Sydney Flint	Must successfully complete the relevant first level regulatory examination by 28 February 2014.
Motswaledi Abram Magatle	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Ncumisa Mkololo	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Magugunu Paulus Zwane	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Monwabisi Columbus Gubesa	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Johannes Albert Barnard	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Thembinkosi Godfrey Nombela	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
S'Celo Hercules Mndebele	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Nombulelo Avis Mlombo	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Moses Elvis Nkuna	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
David Mhlanhla Ngwenya	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Picol Mbango Thobela	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Mandla Hudson Mahlalela	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Leonard Goldman	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Gladman Vuyani Rashe	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Stanley Johnson Ferguson	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Cecil Otto	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Theunis Erasmus Laubscher	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Hugo Adriaan Bornman	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Pieter Johannes Badenhorst	Must successfully complete the relevant first level regulatory examination by 31 December 2014.
Brett Clem McMaster	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Juan Wimpie Nel	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Abie Ntoahae	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Mduduzi Darius Wanda	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Desmond Sinclair Johnson-Robson	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Christoffel Jacobus Lodewikus Lindeque	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Rethabile Caroline Sabasaba	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Gregory John Bouwer	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Petrus Phillemon Lekgatle	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Seage Samuel Maimela	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Johanna Adriana Sophia Steenkamp	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
André John de Goede	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Cedric Ernst Muller	Must successfully complete the relevant first level regulatory examination by 31 October 2013.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Kgope Esrom Nchabeleng	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Jan-Hendrik Coulson	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Cetekile Ida Mashiya	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Paulus Kuger Saaiman	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Maria Mbatha	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Alpheus Nengwekhulu	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Premchand Rajkumar Bandhooa	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Adolf Jacobus van der Walt	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Lungile Mhlongo	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Bhekinkosi Sidney Shongwe	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Wizzil Khutso Mashile	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Bruce Harold Stewart	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Christiaan Burger Venter	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Peter John Nock	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Gideon Nico Cloete	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Thanaseelan Govender	Must successfully complete the relevant first level regulatory examination by 30 November 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 6 of 2013, and comes into operation on publication in the *Government Gazette*.