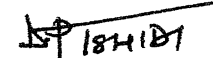


BOARD NOTICE 102 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL MARKETS ACT, 2012****REPORTING OF TRANSACTIONS IN LISTED SECURITIES**

Under section 25(2) of the Financial Markets Act, 2012 (Act No.19 of 2012), I, Dube Phineas Tshidi, Registrar of Securities Services hereby determine the requirements, as set out in the Schedule, for the reporting of transactions in listed securities concluded outside of an exchange.

**D P TSHIDI****REGISTRAR OF SECURITIES SERVICES****SCHEDULE****1. Definitions**

In this Schedule, “**Act**” means the Financial Markets Act, 2012 (Act No.19 of 2012), and any word or expression to which a meaning has been assigned in the Act bears the meaning so assigned to it.

2. Information required

A financial institution that concludes a transaction in listed securities outside of an exchange resulting in a change of beneficial ownership of those securities must submit in respect of such transaction the information required in terms of Form A to the registrar.

3. Manner in and time within which reports must be rendered

A financial institution must send, within one business day after a transaction referred to in paragraph 2 has been concluded, Form A, duly completed, dated and signed by the Chief Executive Officer of the financial institution or his or her delegated officer, to the Registrar of Securities Services at one of the following addresses:

P O Box 35655
Menlo Park
0102

41 Matroosberg Road
Riverwalk Office Park
Block B
Ashlea Gardens Ext 6
0081

Facsimile: (012) 346-6533

4. Commencement

This Notice comes into operation on the same date on which the Act comes into operation.

FORM A**Reporting of transactions in terms of section 25(2) of the Financial Markets Act, 2012 (Act No. 19 of 2012) in listed securities concluded outside of an exchange**

Reporting financial institution

Counterparty

From reporting party perspective Buy

Sell

Detail of reporting financial institution:

Name and title of dealer

Business address

Telephone number

Facsimile number

Electronic mail address

Detail of listed security traded

Name of the listed security traded

The security code

Name of the exchange on which
the security is listedThe price at which the transaction
was effected

The quantity traded

Date of transaction

Time of transaction

Signed:

Capacity:

Date: