

BOARD NOTICE 92 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 4 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


G E ANDERSON

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 4 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Willem Steenkamp	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Aris Arshavir Basmadjian	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Matsalaneng McDonald Baabua	Must successfully complete the relevant first level regulatory examination by 28 February 2014.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Carlyne Rene Richards	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Damian Michael Treadwell	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Rianna Jacqueline Winkworth	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
Zolanie Mnqandi	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Nechelle Erasmus	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
Kubashnee Naidoo	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Marie-Euphemie Murgatroyd	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Sibongile Sitsha	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Heinrich Carl Lesch	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Petrus Marthinus Voigt	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Jacobus De Wet Fourie	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Solomina Catharina Louw	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Peter Arnold Viljoen	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Alan Mc Neill Fisher	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Christoffel Hermanus Groenewald	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Lancelot Wilfred Henley Sterley	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Frederik Hendrik Swanepoel	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Deon Abraham Richard	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Matsoere Richard Moroe	Must successfully complete the relevant first level regulatory examination by 30 November 2013.
Elsibe Luitjie Kruger	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Gustav Ziehl	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Daniel George Badenhorst	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
Johanna Elizabeth Butler	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Shinise Christelle Allies	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Antionette Sharon Payle	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Beatrix Elizabeth Fouché	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Vikash Jugaroop	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Banyana Thalita Mokoena	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Maynard Henry Leibrandt van Niekerk	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Mashiteitse Kenneth Mokine	Must successfully complete the relevant first level regulatory examination by 31 October 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 4 of 2013, and comes into operation on publication in the Government *Gazette*.
