

**BOARD NOTICE 71 OF 2013****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 3 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 3 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

**'level 1 regulatory examination'** means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

**Extent, duration and conditions of Exemption**

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
Albertus Hendrik Adriaan du Plessis	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Bryant Henry Howarth	Must successfully complete the relevant first level regulatory examination by 31 December 2013.

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
Renata Novona Blom	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
Cornelius JC Botes	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
William Joseph Cupido	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Nicolaas Casparus Botha	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Hendrik Phillipus Coetzee	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Sicebisanamuhla Sydney Biyela	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Nikolaos Pappadopoulos	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Hiralall Kasiparsad	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
These Hozia Phooko	Must successfully complete the relevant first level regulatory examination by 30 September 2013.

### **Amendment and withdrawal of Exemption**

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### **Short title and commencement**

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 3 of 2013, and comes into operation on publication in the *Government Gazette*.