

**BOARD NOTICE 18 OF 2013**

**FINANCIAL SERVICES BOARD**  
**FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY  
EXAMINATION, 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



**G E ANDERSON**  
*Deputy Registrar of Financial Services Providers*

**SCHEDULE**

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY  
EXAMINATION, 2013**

**Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

**'level 1 regulatory examination'** means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008;

**Extent, duration and conditions of Exemption**

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
Elizabeth Catharina Pelser	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Litsoanelo Catherine Takane	Must successfully complete the relevant first level regulatory examination by 30 June 2013.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Johann Frederick van Zyl	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Khululiwe Greimma Glenda Zwane	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Eldred Valmin Stewart	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Stephanus Josephus Fourie	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Willem Adriaan Visser	Must successfully complete the relevant first level regulatory examination by 30 December 2013.

### **Amendment and withdrawal of Exemption**

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

### **Short title and commencement**

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 1 of 2013, and comes into operation on publication in the Government *Gazette*.