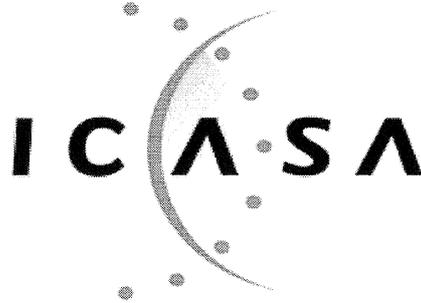


## **Appendix A Model Radio Frequency Spectrum Assignment Plan**

Note that the Radio Frequency Spectrum Assignment Plan is also deployed for the planning of radio frequency bands where no migration is contemplated.

The template attached here is to inform stakeholders of the probable process that will be deployed.



# Model Radio Frequency Spectrum Assignment Plan

Rules for XXXXXXXXXXXXXXXXXXXXXXXX  
operating in the Frequency Band  
XXXXz to XXXXz

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## 1 Glossary

In this Radio Frequency Spectrum Assignment Plan, terms used shall have the same meaning as in the Electronic Communications Act 2005 (no. 36 of 2005); unless the context indicates otherwise:

“**Act**” means the Electronic Communications Act, 2005 (Act No. 36 of 2005) as amended;

“**ITU**” means the International Telecommunication Union;

**Other abbreviations as required**

## 2 Purpose

*This purpose chapter explains what the FAP is for, details of the frequency band (or bands) involved, explains the type of system / service that is meant to be deployed.*

- 2.1. A Radio Frequency Spectrum Assignment Plan (FAP) provides information regarding the requirements in the use of a frequency band in line with the allocation and other information in the National Radio Frequency Plan. This information includes the technical characteristics of radio systems, frequency channelling, coordination and details on required migration of existing users of the band and the expected method of assignment.
- 2.2. This Frequency Assignment Plan states the requirements for the utilization of the frequency band between XXXXX XXz to XXX XXz for XXXXXXXXXXXXXXXX in South Africa.
- 2.3. Explain the system here.

*(e.g. 2.2 BWA systems are two way point-to-point, point-to-multipoint or mesh digital radio systems consisting of BWA distribution base stations and their associated subscriber stations (or BWA access devices).*

- 2.4. Explain the service here.

*(e.g. BWA services are intended for providing wireless broadband connectivity to subscribers and can include applications such as voice, video, images, interactive multimedia, high-speed data and mobile TV).*

### 3 General

*This general chapter gives general information of technical requirements.*

- 3.1. Technical characteristics of equipment used in XXXXXX systems shall conform to all applicable South African standards, international standards, International Telecommunications Union (ITU) and its radio regulations as agreed and adopted by South Africa
- 3.2. All installations must comply with safety rules as specified in applicable standards.
- 3.3. The equipment used shall be certified under South African law and regulations.
- 3.4. The allocation of this frequency band and the information in this Frequency Assignment Plan (FAP) are subject to review.
- 3.5. Frequency bands assigned for XXXXXXXXXXX include bands XXXXXXXX
- 3.6. Likely use of this band will be for XXXXXXXXXXX.
- 3.7. Here may be placed a list of the technologies that which are applicable for the provision of the system and service as and the typical technical and operational characteristics identified as appropriate by the ITU. The relevant ITU-R report may be specified.

### 4 Channelling Plan

*This channelling chapter will vary according to the technology deployed, the example given here is appropriate for Fixed Wireless access.*

- 4.1. The frequency band XXXXX XXz to XXXX XXz provides a total bandwidth of XXX XXz for the XXXXXX service.
- 4.2. The channel arrangements may be placed here in the text or in the Appendix – depending on the amount of information.
- 4.3. Here may be placed further information

## 5 Requirements for usage of radio frequency spectrum

- 5.1. This FAP covers the minimum key characteristics considered necessary in order to make the best use of the available frequencies.
- 5.2. Here may be stated that the use of the band is not limited
- 5.3. Only systems using digital technologies that promote spectral efficiency will be issued with an assignment. Capacity enhancing digital techniques is being rapidly developed and such techniques that promote efficient use of spectrum, without reducing quality of service are encouraged.
- 5.4. In some cases, a radio system conforming to the requirements of this FAP may require modifications if harmful interference is caused to other radio stations or systems.
- 5.5. The allocation of spectrum and shared services within these bands are found in the National Radio Frequency Plan (NRFP) and an extract of it is shown in in Appendix A
- 5.6. Maximum radiated power:
  - 5.6.1. Base Station transmissions should not exceed XXXXX dBm/5MHz EIRP
  - 5.6.2. On a case to case basis, higher EIRP may be permitted if acceptable technical justification is provided
  - 5.6.3. Where appropriate the following may be added. Subscriber terminal station should comply with the technical specification set under XXXXXXXX.
- 5.7. In some cases, a radio system conforming to the requirements of this FAP may require modifications if major interference is caused to other radio stations or systems
- 5.8. Here may be placed criteria for interference mitigation including guidelines.

## 6 Implementation

- 6.1. This FAP shall be effective on the date of issuance of this document
- 6.2. No new assignment for XXXXXXXX in the band XXXXXXXXXX shall be approved unless they comply with this FAP.

## **7 Co-ordination Requirements**

- 7.1. Use of these frequency bands shall require coordination with the neighbouring countries within the coordination zones of XX kilometres from the neighbouring country. The coordination distance is continuously being reviewed and may be updated from time to time.
- 7.2. Technical analysis is carried out by ICASA before an assignment is issued. Operator-to-operator coordination may be required to avoid interference.
- 7.3. Specific information regarding coordination may be inserted here.
- 7.4. In the event of any interference, ICASA will require affected parties to carry out coordination. In the event that the interference continues to be unresolved after 24 hours, the affected parties may refer the matter to ICASA for a resolution. ICASA will decide the necessary modifications and schedule of modifications to resolve the dispute. ICASA will be guided by the interference resolution process as shown in Appendix C.
- 7.5. Assignment holders shall take full advantage of interference mitigation techniques such as antenna discrimination, tilt, polarization, frequency discrimination, shielding/blocking (introduce diffraction loss), site selection, and/or power control to facilitate the coordination of systems.

## 8 Assignment

*This chapter will make appropriate comments concerning the assignment and issuance of a licence. In most cases this will refer to the Radio Frequency Spectrum Regulations*

### **Standard Approach**

The assignment of frequency will take place according to the Standard Application Procedures in the Radio Frequency Spectrum Regulations 2011.

### **Extended Approach**

The assignment of frequency will take place according to the Extended Application Procedures in the Radio Frequency Spectrum Regulations 2011.

### **Procedure in an invitation to Apply**

The assignment of frequency will take place according to the Procedures in respect of an Invitation to Apply in the Radio Frequency Spectrum Regulations 2011.

In the case of a major strategic spectrum award, i.e. for the 700MHz / 800 MHz / 2.6 GHz etc. – then the ITA may go into some detail regarding the assignment procedure, including the following Table of Contents.

#### **8.1 Assignment Method, Procedures and Timetable**

##### **8.1.1 Method**

##### **8.1.2 Procedures**

- Eligible Person
- Invitation

##### **8.1.3 Timetable**

#### **8.2 Pre-Conditions**

#### **8.3 Evaluation Criteria**

##### **8.3.1 Service rollout and coverage**

##### **8.3.2 Infrastructure Sharing**

##### **8.3.3 Financial**

##### **8.3.5 Management**

#### **8.6 Details and how spectrum is assigned**

#### **8.4 Auction (if Applicable)**

*Explaining how the Auction is intended to be carried out*

#### **8.7 Conditions of Assignment**

- Penalties etc.

#### **8.8 Instructions on Business Plan**

#### **8.9 Instructions on Application**

##### **8.9.1 Application / Auction Fees**

##### **8.9.2 Submission**

##### **8.9.3 Date and Time of submission**

*It is important to note that the definitive document for assignment will be an ITA in this case.*

## 9 Revocation

*This chapter will state whether existing licences will be revoked or not extended.*

## 10 Frequency Migration

*This chapter will make appropriate comments concerning Frequency Migration. There are two approaches here, either a simple statement that existing users need to move to a different frequency location or a more detailed approach specifying in-band migration and destination bands for affected services.*

### **Standard**

*Particularly applies to Point to Point links*

Current users of this radio frequency spectrum band will be required to cease transmitting in this frequency and, if applicable, obtain a new assignment in an alternative frequency location according to the procedures laid down in the Radio Frequency Spectrum Regulations.

### **Specific Procedure**

*This where the FAP specifies in more detail where the existing users of a radio frequency spectrum are likely to migrate to, especially where there is no obvious provision in the National Radio Frequency Plan. In some cases the FAP could also cover the destination frequency bands for users being migrated out; however it is recommended to develop a separate FAP for such destination bands.*

## 11 Other

### **Appendices to RFSAP**

#### **Appendix A - National Radio Frequency Plan**

Here shall be placed a copy of the relevant section of the National Radio Frequency Plan.

#### **Appendix B - Band Plan for current frequency bands**

#### **Appendix C - Interference Resolution Process**

## Appendix B Glossary

<b>Act</b>	means the Electronic Communications Act, 2005 (Act No. 36 of 2005);
<b>Authority</b>	means ICASA is the Independent Communications Authority of South Africa;
<b>3G</b>	means 3G or 3rd generation mobile telecommunications is a generation of standards for mobile phones and mobile telecommunication services fulfilling the International Mobile Telecommunications-2000 (IMT-2000) specifications by the ITU
<b>Amateur</b>	means a person who is interested in the radio technique solely for a private reason and not for financial gain and to whom the Authority has granted an amateur radio station licence and shall mean a natural person and shall not include a juristic person or an association: provided that an amateur radio station licence may be issued to a licensed radio amateur acting on behalf of a duly founded amateur radio association;
<b>Assignment</b>	means the authorization given by the authority to use a radio frequency or radio frequency channel under specified conditions;
<b>Base station</b>	means a land radio station in the land mobile service for a service with land mobile stations;
<b>BS</b>	means Broadcast Service
<b>BTX</b>	means Base Transceiver;
<b>Burglar alarm service</b>	means a land mobile service installed, maintained and operated to monitor burglar alarm signals of clients by means of a signal forwarded from a radio transmitter to a central position;
<b>Burglar alarm transmitter</b>	means a transmission radio station in the land mobile service that is intended to transmit automatic alarm signals to a central position;
<b>CDMA</b>	means Code Division Multiplex Access
<b>CEPT</b>	means Conference of European Posts and Telecommunications Authorities;
<b>Citizen-band radio service</b>	means a private, two-way, limited coverage speech communication service in the land mobile service to personal and business operations, which may also be used as a paging system;
<b>Communal radio repeater station service</b>	means a land mobile service installed, maintained and operated via repeater stations that are available for communal use;
<b>Cordless Phone</b>	means a portable telephone with a wireless handset that communicates via radio waves with a base station connected to a fixed telephone line, within a limited range of its base station;
<b>DAB</b>	means Digital Audio Broadcasting is a digital radio technology for broadcasting radio stations
<b>DECT</b>	means Digital Enhanced Cordless Telecommunications 1880 - 1900MHz which is a digital communication standard, which is primarily used for creating cordless phone systems
<b>DF</b>	means Dual Frequency
<b>DTT</b>	means Digital Terrestrial Television
<b>DTT Mobile</b>	means Digital Terrestrial Television for Mobile services
<b>e.i.r.p</b>	means effective isotropically radiated power;
<b>e.r.p</b>	means effective radiated power, is the product of the power supplied to an antenna and its gain relative to a half wave dipole in a given direction;

<b>EBU</b>	means European Broadcasting Union
<b>ECA</b>	means Electronic Communications ACT of South Africa
<b>ECNS</b>	means Electronic Communications Network Services;
<b>ECS</b>	means Electronic Communications Services;
<b>EDGE</b>	means Enhanced Data rates for GSM Evolution is a digital mobile phone technology that allows improved data transmission rates as a backward-compatible extension of GSM
<b>EMC</b>	means Electromagnetic Compatibility;
<b>ETSI</b>	means European Telecommunications Standards Institute
<b>FDMA</b>	means Frequency Division Multiplex Access
<b>FLEX</b>	means paging software originally developed for Motorola;
<b>FMP</b>	means Frequency Migration Plan
<b>FPLMTS</b>	means Future Public Land Mobile Telecommunications System also called IMT-2000
<b>FTBFP 2008</b>	means Final Terrestrial Broadcast Frequency Plan of 2008
<b>FWBA</b>	Fixed Wireless Broadband Access
<b>GHz</b>	means Gigahertz of Radio Frequency Spectrum;
<b>GE06</b>	means Digital Broadcast Conference held in Geneva, Switzerland in 2006.
<b>GMDSS</b>	means the Global Maritime Distress and Safety System is an internationally agreed-upon set of safety procedures, types of equipment, and communication protocols used to increase safety and make it easier to rescue distressed ships, boats and aircraft.
<b>GSM</b>	means Global System for Mobile Communications, (originally Groupe Spécial Mobile), is a standard set developed by the European Telecommunications Standards Institute (ETSI) to describe technologies for second generation (2G) digital cellular networks
<b>GSM-R</b>	means GSM for Railways
<b>HF</b>	means High Frequency;
<b>IMT</b>	means International Mobile Telecommunications
<b>Inductive Loop Systems</b>	means radio apparatus which operates by producing a controlled magnetic field within which a predetermined recognisable signal is formed;
<b>INMARSAT</b>	means International Maritime Satellite
<b>ISM</b>	means Industrial, Scientific and Medical;
<b>ITU</b>	means International Telecommunication Union
<b>ITU RR</b>	means International Telecommunication Union Radio Regulations
<b>KHz</b>	means Kilohertz of Radio Frequency Spectrum;
<b>Land mobile service</b>	means a mobile radio-communication service between fixed stations and mobile land stations, or between land mobile stations;
<b>LEO</b>	means Low Earth Orbit satellites
<b>LMR</b>	means Land Mobile Radio
<b>Low Power Radio</b>	means radio apparatus, normally hand-held radios used for short range two-way voice communications;
<b>LTE</b>	means Long Term Evolution is a standard for wireless communication of high-speed data for mobile phones and data terminals. It is based on the GSM/EDGE and UMTS/HSPA network technologies
<b>M2M</b>	means Machine to Machine
<b>MFN</b>	means Multiple Frequency Networks
<b>MHz</b>	means Megahertz of Radio Frequency Spectrum;
<b>MIMO</b>	means Multiple-Input and Multiple-Output is the use of multiple antennas at both the transmitter and receiver to improve

	communication performance
<b>Mobile station</b>	means a radio station that is intended to be operated while it is in motion or while it is stationary at an unspecified place;
<b>Model Control apparatus</b>	means radio apparatus used to control the movement of the model in the air, on land or over or under the water surface;
<b>MTX</b>	means Mobile Transceiver;
<b>Non-specific Short Range Devices</b>	means radio apparatus used for general telemetry, telecommand, alarms and data applications with a pre-set duty cycle (0.1%: S duty cycle < 100%);
<b>NRFP</b>	means the National Radio Frequency Plan 2010 for South Africa
<b>PAMR</b>	means Public Access Mobile Radio
<b>PMR</b>	means Public Mobile Radio is radio apparatus used for short range two-way voice communications;
<b>PPDR</b>	means Public Protection and Disaster Relief as defined in ITU-R Report M.2033.
<b>PTM</b>	means Point to Multipoint
<b>PTP</b>	means Point to Point
<b>Radio trunking</b>	means a technique by means of which free channels out of a group of radio frequency channels allocated to a base station are automatically made available for the establishment of a connection between the stations of a user;
<b>Radio-beacon station</b>	means a radio station whose radiation is intended to enable a mobile station to fix its position or obtain its bearing with regard to the radio beacon;
<b>Radio-communication</b>	means all electronic communication by means of radio waves;
<b>Relay or repeater station</b>	means a land station in the land mobile service;
<b>RFID</b>	means Radio Frequency identification is a wireless system that uses radio frequency communication to automatically identify, track and manage objects, people or animals. It consist of two main components viz, tag and a reader which are tuned to the same frequency;
<b>RLAN</b>	means Radio Local Access Network is the high data rate two way (duplex) wireless data communications network;
<b>SABRE</b>	means South African Band Re-planning Exercise
<b>SADC</b>	means Southern African Development Community
<b>SADC FAP</b>	means Southern African Development Community Frequency Allocation Plan 2010
<b>SAPS</b>	means South African Police Service
<b>SATFA</b>	means South African Table of Frequency Allocations 2004
<b>Self Helps</b>	means repeater stations rebroadcasting television channels to limited areas on a low power basis
<b>Service licence</b>	means a BS, ECS or ECNS licence;
<b>SF</b>	means Single Frequency
<b>SFN</b>	means Single Frequency Network
<b>Ship station</b>	means a mobile station in the maritime mobile service that has been erected
<b>SNG</b>	means Satellite News Gathering
<b>Spread spectrum</b>	means a form of wireless communications in which the frequency of the transmitted signal is deliberately varied, resulting in a much greater bandwidth than the signal would have if its frequency were not

	varied;
<b>SRD</b>	means Short Range Device is a piece of apparatus which includes a transmitter, and/or a receiver and or parts thereof, used in alarm, telecommand telemetry applications, etc., operating with analogue speech/music or data (analogue and/or digital) or with combined analogue speech/music and data, using any modulation type intended to operate over short distances;
<b>Studio Links</b>	means point to point links in the broadcasting frequency bands used to connect studios to transmitters
<b>STB</b>	means Set Top Box for DVB-T2 reception
<b>T-DAB</b>	means Terrestrial Digital Audio Broadcasting
<b>TDMA</b>	means Time Division Multiplex Access
<b>Telemetry</b>	means the transmission of remotely measured data;
<b>TETRA</b>	means Terrestrial Trunked Radio is a professional mobile radio [2] and two-way transceiver specification. TETRA was specifically designed for use by government agencies, emergency services, (police forces, fire departments, ambulance) for public safety networks, rail transportation staff for train radios, transport services and the military. TETRA is an ETSI standard.
<b>TPC</b>	means Transmitter Power Control is a technical mechanism used within some networking devices in order to prevent unwanted interference between wireless networks;
<b>UHF</b>	means Ultra High Frequency;
<b>UMTS</b>	means Universal Mobile Telecommunications System is a third generation mobile cellular technology for networks based on the GSM standard
<b>VHF</b>	means Very High Frequency;
<b>Video Surveillance Equipment</b>	means radio apparatus used for security camera purposes to replace the cable between a camera and a monitor;
<b>VSAT</b>	means Very Small Aperture Terminal is a two-way satellite ground station that is smaller than 3 meters diameter
<b>WAS</b>	means Wireless Access Systems is end-user radio connections to public or private core networks;
<b>Wideband Wireless Systems</b>	means radio apparatus that uses spread spectrum techniques and has high bit rate;
<b>WRC 2007</b>	means World Radio Conference 2007 held in Geneva
<b>WRC 2012</b>	means World Radio Conference 2012 held in Geneva