

BOARD NOTICE 156 OF 2012

FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



G E ANDERSON

Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, 2012**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008;

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Willem Frederick Nel	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Tyron Shaun Foreman	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Maria Simitopoulos	Must successfully complete the relevant first level regulatory

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
	examination by 31 December 2012.
Neale Pringle Anderson	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Elizabeth Jessica Marshall	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Natalie Mary Dearham	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Dianne Horwitz	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Isaac Selby Peete	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Leon Gouws	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Rosalind Naidoo	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Virginia Shireen Govender	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jeewan Harribanse	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jody Ann Williams	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Natasha Naidoo	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Rachel Hariparsad	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jamie Gangaparsad	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Thamaray Van Staden	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Noshile Buthelezi	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jogie Jayesh	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Robert Kay	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Marié Enslin	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Mark Peter Halamandres	Must successfully complete the relevant first level regulatory examination by 31 January 2013.
Lucas Segone	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Manuel Minnaar	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Piers John Michael Burton-Moore	Must successfully complete the relevant first level regulatory examination by 30 October 2014.
Brian John Preston	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Francis Joseph Manson-Kullin	Must successfully complete the relevant first level regulatory examination by 31 March 2013.
Petrus Stephanus Strydom	Must successfully complete the relevant first level regulatory examination by 28 February 2014.
Gareth Cecil Rees-Gibbs	Must successfully complete the relevant first level regulatory examination by 31 March 2013.
Ernst Johan Volker	Must successfully complete the relevant first level regulatory examination by 31 March 2013.
Terence Charles Waller	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Valerie Mariah	Must successfully complete the relevant first level regulatory

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
	examination by 31 December 2012.
Theo-Maree Loubser	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
William Moorcroft	Must successfully complete the relevant first level regulatory examination by 31 December 2012.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 2 of 2012, and comes into operation on publication in the *Government Gazette*.
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