

## BOARD NOTICE

**BOARD NOTICE 116 OF 2012**  
**FINANCIAL SERVICES BOARD**  
**FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



**G E ANDERSON**  
*Deputy Registrar of Financial Services Providers*

### SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, 2012**

#### Definitions

- In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"**Determination of Fit and Proper Requirements**" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

'**level 1 regulatory examination**' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008;

#### Extent, duration and conditions of Exemption

- The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Wayne John Denissen	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
Sewnarain Bhoora Maharaj	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Hugh Edward Webb	Must successfully complete the relevant first level regulatory

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
	examination by 31 July 2013.
Adriaana Cornelia Rogers	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
David Edmund Bryant	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
Welma Jardim	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
William Frederick Neumann	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Johann du Toit	Must successfully complete the relevant first level regulatory examination by 30 September 2012.
Winston Mc Gowan	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Malcolm Ormond	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Helena Davis	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Dhanasvaree Moodley	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Gideon Lourens Visser	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Cornelius Johannes Muller Woest	Must successfully complete the relevant first level regulatory examination by 31 July 2012.
Cornelius Botes	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Carl Andrew Groch	Must successfully complete the relevant first level regulatory examination by 30 June 2013.

### Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 1 of 2012, and comes into operation on 30 June 2012.

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