# BOARD NOTICES

#### **BOARD NOTICE 137 OF 2011**

## FINANCIAL SERVICES BOARD

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

### PROVISIONAL WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(3)(b) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have provisionally withdrawn under section 9(3)(a) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR PROVISIONAL WITHDRAWAL
Lionel Sean Pretorius t/a Leon Brokers	15656	21 July 2011	Contravention of section 2 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("the Code"), and section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 8(1), 13(2)(a), 14(1), 19(1) and 36(b) of the FAIS Act, and failure to comply with licensing condition 2.1 of the Licensing Conditions.
Silver Stars Trading 591 CC t/a LS Pretorius Brokers	29609	21 July 2011	Contravention of section 2 of the Code, and section 15A of the FSB Act, and sections 8(1),13(2)(a), 14(1),19(1) and 36(b) of the FAIS Act, and failure to comply with licensing condition 2.1 of the Licensing Conditions.

This Notice is called the Notice on Provisional Withdrawal of Authorisation, No 3 of 2011.

**G E ANDERSON** 

Deputy Registrar of Financial Services Providers