

Livio Antonio Lazzari t/a Livio Lazzari Consultant	12681	6 March 2011	Contravention of section 15A of the FSB Act
Lleader Underwriting Management (Pty) Ltd	4600	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lonwabo Armstrong Nginza	22748	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lorraine Jamison	19832	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louis Petrus Johannes Nienaber t/a Nienaber Makelaars	1502	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lourens C De Lange t/a Dimension Consultants	12794	6 March 2011	Contravention of section 15A of the FSB Act
Lucas Johannes Bartel Luus t/a Credfin Financial Solutions	28405	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucas Nkosinathi Zulu	35027	6 March 2011	Contravention of section 15A of the FSB Act
Lucas Sizani Mgidi t/a Executive Solutions	18855	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucky Unarine Muntswu t/a Lucky Muntswu Investments	39161	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lungisane Thamsanqa Msane t/a Jabez Financial Services	41861	6 March 2011	Contravention of section 15A of the FSB Act
Lwandle Wiseman Jikazi t/a L.W Investment	3799	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lynnell Financial Services CC t/a Lynnell Financial Services CC	6384	6 March 2011	Contravention of section 15A of the FSB Act
M T Lokovhela Funeral Parlour CC	41172	6 March 2011	Contravention of section 15A of the FSB Act
M.L Financial Services CC	38191	6 March 2011	Contravention of section 15A of the FSB Act

Mabona Consultants CC	36933	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Machupe Brokers CC	31748	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MacLennan And Associates CC	12981	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madimetja Rufus Ramotebele	6096	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madoda Mbulelo Samson	24676	24 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magatle Motswaledi Abram	6094	6 March 2011	Contravention of section 15A of the FSB Act
Mak Wealth Management Consultant CC	39898	6 March 2011	Contravention of section 17(4) of the FAIS Act
Makhosini Pascal Malinga t/a M & M Consulting	41408	6 March 2011	Contravention of section 15A of the FSB Act
Maluta Leonard Nekhwalivhe t/a Rwick Enterprises	36470	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mamokgadi Financial Services CC	7347	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandisiya Umnotho Consulting CC	24694	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla Peaceman Ntingi t/a Disc Insurance Brokers	24105	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandlakayise Alfred Masuku t/a Priority Life	21607	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandlenkosi Sipho Afrika Sikhakhana	18347	5 March 2011	Contravention of section 15A of the FSB Act

Manelly Risk Management CC	31849	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manoko Simon Mushwane t/a MS Mushwane Brokers	7816	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mantah Financial Services (Pty) Ltd	32051	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mantime Salamina Motau	25727	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marc Stuart Schnehage t/a Iudex Brokers	20944	5 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Maria Susanna Van As t/a MS Brokers	8543	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marie Elizabeth Humphries t/a Sapcor Fs	13614	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marietjie & Dirk Makelaars CC t/a M & D Makelaars	13655	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marinus Maartens t/a Sakkie Maartens Brokers	19259	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariri Trading 57 CC t/a Rimacore Insurance Brokers	31776	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Bezuidenhout t/a MB Financial Services	3420	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Marius Koegelenberg t/a Cradock Brokers & Executors	10900	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mark Dermot Henry Smeddle t/a Integrity Financial Services	41162	6 March 2011	Contravention of section 15A of the FSB Act
Market Trends CC	22028	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marlin Insurance Brokers CC	21093	5 March 2011	Contravention of section 17(4) of the FAIS Act
Martha Maria Theron t/a Martie Theron	24424	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Sophia Swanepoel t/a Welco Makelaars	39140	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Marthinus Johannes Van Der Mescht t/a FS Funeral Services	38373	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Marthinus Van Blerk	6685	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martin Lemmer and Associates CC t/a The Financial House	3092	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martin Mkhabela	40579	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mary-Lynne Geyle	1022	6 March 2011	Contravention of section 17(4) of the FAIS Act
Maryna Van Den Heever	20268	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mashudu Timothy Muthivhi	26383	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masibambisane Intermediating Services (Pty) Ltd	23303	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Matsimela Dennis Kekana	19011	5 March 2011	Contravention of section 15A of the FSB Act

Matthew Livingstone Botha t/a Matt Botha Financial Services	39115	6 March 2011	Contravention of section 15A of the FSB Act
Matthew Viljoen Brokers CC	7433	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mauritz Boshoff Brokers CC	10933	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mavava Trading 195 (Pty) Ltd t/a Pegasus Financial Services	23892	6 March 2011	Contravention of section 15A of the FSB Act
Mavis Fisani Zondi t/a Umhlahlandlela Burial Club	25361	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mavula Projects CC t/a Mavula Insurance Brokers	39226	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Maxvest (Pty) Ltd	24675	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mazaule Business And Trading Enterprise CC t/a Mazet Financial Services	31731	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Maziya Funeral CC	3676	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbheki Nelson Mthembu t/a Mbheki & Associates Financial Services	39067	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mboneni Daniel Mntuwenkosi Mdluli t/a MDM Financial Services	36944	6 March 2011	Contravention of section 15A of the FSB Act
MBS Insurance Brokers CC	25403	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbuyiseni Henry Masuku t/a Global Brokers	36438	6 March 2011	Contravention of section 15A of the FSB Act
Mck Insurance Brokers (Pty) Ltd	17621	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mdloana Trading And Project CC t/a Mdloana Brokerage	41590	6 March 2011	Contravention of section 15A of the FSB Act

Mdondi Trading And Projects CC	39196	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mduduzi Norman Mhlongo t/a Mgabhi Financial Services	40399	6 March 2011	Contravention of section 15A of the FSB Act
Medaphahlane Financial Advisors CC	26584	6 March 2011	Contravention of section 15A of the FSB Act
Median Asset Management CC	25837	6 March 2011	Contravention of section 17(4) of the FAIS Act
Meditand Versekeringsmakelaars (EDMS) BPK	17363	5 March 2011	Contravention of section 17(4) of the FAIS Act
Merero Consulting Services CC	38059	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mergefin Investments CC t/a IMAC	4341	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Merit Asset Managers (Pty) Ltd	33287	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meso Capital (Pty) Ltd	40598	6 March 2011	Contravention of section 15A of the FSB Act
Meyer Lange Insurance Brokers	2131	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mfundo Mnyango Brokers CC	40973	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mhlengi Wami Trading Enterprise CC t/a ETC Financial Services	38896	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Michael Billy Moswane	41586	6 March 2011	Contravention of section 15A of the FSB Act
Michael James Motors CC	19126	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Michael O Donovan Van Lelyveld t/a Mike Van Lelyveld Brokers	7819	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Michael William Molefe t/a Maitemogelo Consultancy	38150	6 March 2011	Contravention of section 15A of the FSB Act
Michelle Richards	40833	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Microflex Financial Services (Pty) Ltd	24700	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mike Fermor Brokers CC	2661	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mike Kiley & Associates CC	41602	6 March 2011	Contravention of section 15A of the FSB Act
Mindshift Wealth Creation CC t/a Mindshift	20699	5 March 2011	Contravention of section 17(4) of the FAIS Act
Miya Financial Services CC	16989	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MJ Marx Insurance Brokers (Pty) Ltd	26809	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MJR Insurance Brokers CC	14545	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MK And Associates CC t/a Mk And Associates CC	41064	6 March 2011	Contravention of section 15A of the FSB Act
Mkhululi Mlimbo Gwebityala Ngxumza t/a Ngxumza Financial Services	36826	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mkhuseli Luthuli t/a Luthuli & Sons Financial Providers	33985	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ML Reddy Financial Planning CC	40284	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mlungisi Jerome Hlophe t/a MJ Hlophe White Brokers	34593	6 March 2011	Contravention of section 15A of the FSB Act

Mmaneo Margaret Makama t/a Mmaneo Brokers	39942	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mmapaulsi Evelyn Hlalele t/a Me Brokers	12743	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mmope Alphons Motsoari	18040	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mofokeng Funeral Home CC	38177	6 March 2011	Contravention of section 15A of the FSB Act
Mogamat Jappie t/a Star Insurance Brokers	12763	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mogomotsi DM Advisory Services CC t/a MDM Advisory Services	36253	6 March 2011	Contravention of section 15A of the FSB Act
Mohamed Ally Joosab Noor Mahomed t/a Mahomed & Associates	2108	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokgadi Annah Caroline Ramokgopa t/a Maca	12408	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokwari Jacob Dominic Thobei	11887	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Molatedi Insurance Brokers CC	30770	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moneyline 991 (Pty) Ltd t/a Matcor Trust & Financial Services	15152	6 March 2011	Contravention of section 15A of the FSB Act
Monique Volschenk t/a Finrand Insurance Brokers	20046	5 March 2011	Contravention of section 15A of the FSB Act
Monnapule Lucas Seagisho t/a Lukes Insurance Brokers	18979	5 March 2011	Contravention of section 17(4) of the FAIS Act
Montsamaisa Bosigo Re Mo Leboga Bosele Brokers CC t/a Montsamaisa Bosigo Brokers	6293	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Moonlight Financial Services CC	28010	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mosaiek Brokers CC	7718	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Moses Mzayifani Ndlovu t/a Mzitoes Brokerage	22671	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mosese Elizabeth Mano t/a Lizzy Brokers Services	19248	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mosiko Isaac Mohlaping	20236	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motsamai Doctor Setae	26323	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Moving Forward Trading And Project 108 CC	41695	6 March 2011	Contravention of section 15A of the FSB Act
MP Kearns Eastwick And Associates CC	38688	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mpho Mavis Motlhoki t/a MM Motlhoki Brokers	36651	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mqabuki Dalasile t/a Dalasile Financial Services	40947	6 March 2011	Contravention of section 15A of the FSB Act
Msane Brokers CC	24021	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Muluthanyi Kaizer Sinthumule t/a Ndiene Financial Service	31556	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Murati Brokers CC	38881	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Musa Properties CC t/a Musa Consulting Services	5053	6 March 2011	Contravention of section 15A of the FSB Act

Mutable Financial Services CC	39803	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mutshidziwa Gloria Netshambidi t/a Muofhe Brokers	34495	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Muzi Nicholas Sikubudu	29633	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mwaskha Training Enterprise CC	41539	6 March 2011	Contravention of section 15A of the FSB Act
Mykatrade 87 CC t/a Inspecta Car Kempton Park	37297	6 March 2011	Contravention of section 15A of the FSB Act
Mzansi Blinds CC t/a Mzansi Solutions	37447	6 March 2011	Contravention of section 15A of the FSB Act
Mzanywa Bonginkosi Mkhize t/a ONS Brokers	26989	6 March 2011	Contravention of section 15A of the FSB Act
Mzingisi Brokers CC	19725	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzotsho Trading Enterprise CC t/a Mzotsho Financial Services	40529	6 March 2011	Contravention of section 15A of the FSB Act
NA Mhlongo & Associates CC	13847	29 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nandlal Ramdeo t/a Excelsior Insurance Brokers	20669	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Napra Investments CC t/a Prenro Insurance Brokers	6190	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Narendra Persadh t/a Naren Persadh & Associates	12231	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncumisa Mkololo t/a Gwebani Investments	42078	6 March 2011	Contravention of section 15A of the FSB Act
Ndileka Zibi	34987	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Ndlandlalati Financial Consulting CC	38974	6 March 2011	Contravention of section 15A of the FSB Act
Nelisa Mlisana	39361	6 March 2011	Contravention of section 15A of the FSB Act
Neluhenis Insurance Brokers	7201	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Neo Joyce Mohlamme	32380	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neofin Insurance Brokers (Pty) Ltd	4553	6 March 2011	Contravention of section 15A of the FSB Act
Neron Singh t/a R.L.S Insurance Brokers	21191	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nettworth Risk And Investment Solutions CC	41225	6 March 2011	Contravention of section 15A of the FSB Act
New Adventure Investments 38 (Pty) Ltd t/a Fedauto	20387	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
New Dawn Advisory Services CC	40525	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
New Horizons Financial CC	19904	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Newcastle Brokers Trust t/a Anchor Insurance Brokers	22854	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nganele Financial Services CC	39309	6 March 2011	Contravention of section 15A of the FSB Act
Nganiza Investments CC	39477	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ngcebiso Consulting CC	33201	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicol Buchner t/a Nicol Brokers	13660	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Njaka Manganyi Investments CC	35506	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkosenhle Wilberforce Mbokazi	22906	6 March 2011	Contravention of section 15A of the FSB Act
NLK Brokers CC	7987	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	6 March 2011	Contravention of section 15A of the FSB Act
Nolwana Traders CC	41146	6 March 2011	Contravention of section 15A of the FSB Act
Nomagqwangu Trading Enterprise CC	39650	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nomakhosi Praxidice Bhengu t/a Mylo Brokers	15962	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Norman Ephraim Vilakazi t/a Greenlight Financial Solution	38820	6 March 2011	Contravention of section 15A of the FSB Act
North Rock Trading 20 CC t/a North Rock Brokers	32474	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Northwood Financial Services CC	13296	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nozipho Evidence Gumede t/a Nozipho Brokers	39026	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nsima Business Enterprise CC t/a Nsima Healthcare Consulting	39609	6 March 2011	Contravention of section 15A of the FSB Act
Ntathu Consulting CC	40555	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ntokozo Collin Sibisi t/a Ntokozo C Sibisi Brokers	39579	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Ntombenkosi Bangeni t/a Bangeni Brokers	16629	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntombesizwe Blossom Xanga t/a Xanga Brokers	22109	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntombifuthi Sithokozile Phiwokuhle Masondo t/a Futhi Financial Services	21305	5 March 2011	Contravention of section 15A of the FSB Act
Nulane Trading (Pty) Ltd t/a FMS Insurance Brokers	30386	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nwali Insurance Brokers CC	11001	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nwaxitikixana Phineas Khosa t/a Phineas Brokers	23569	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oageng Trading Enterprise CC t/a Oageng Funerals	3136	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Olebile Martin Lucky Lorathu	11295	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Olivier Risk Consultants CC	39170	6 March 2011	Contravention of section 15A of the FSB Act
Omnilife CC	38517	6 March 2011	Contravention of section 15A of the FSB Act
Onetax Business Trust	40537	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
ORD & Times Risk And Investment Services CC	13868	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Origin Eight Financial Services (Pty) Ltd	33803	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Orthogonal Investments (Pty) Ltd	39384	6 March 2011	Contravention of section 15A of the FSB Act
Oryx Brokers CC	12218	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Out There Brokers CC	22993	6 March 2011	Contravention of section 15A of the FSB Act
Outreach Marketing Consultants CC t/a Outreach Brokering Consultants	39455	6 March 2011	Contravention of section 15A of the FSB Act
Owen Henry Smith t/a Owen Smith And Associates	5157	6 March 2011	Contravention of section 15A of the FSB Act
Pankhurst & Associates CC	38690	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Papinini Samuel Maja	28778	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Dondolo t/a Patrick Financial Provider	37194	6 March 2011	Contravention of section 15A of the FSB Act
Patrick Donovan George Venter t/a Kenan Investments	31844	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Mtolo (Pty) Ltd	29214	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Vulindlela Maju t/a Tax And Investments Solutions	39398	6 March 2011	Contravention of section 15A of the FSB Act
Paul Mare Uys t/a Pr Brokers	17979	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Matthew Jordaan t/a Crystal Healthcare Consultants CC	12755	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Paxinos Motor Link CC	39111	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

PCG Business Trust	10371	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PEG Risk Protection (Pty) Ltd	8690	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Penelope Lungile Msabala t/a Msabalafinancial Services	38486	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pension Fund Services (Pty) Ltd	13228	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Personal Wealth Management CC	3658	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Persoonlikke Finansiële Portefeulje's Bk	5196	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peter Stefan Ginsberg t/a Peter Ginsberg Financial Services	8232	6 March 2011	Contravention of section 15A of the FSB Act
Petrus Jacobus Lodewikus Viljoen t/a Piet Viljoen Makelaars	29232	6 March 2011	Contravention of section 15A of the FSB Act
Petrus Johannes Pieters	17129	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PFM Medical Scheme Marketing (Pty) Ltd	20745	5 March 2011	Contravention of section 15A of the FSB Act
Pheneas Bhekisisa Majola	17815	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phestine Magoai CC	21616	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phika Insurance Brokers (Pty) Ltd	39213	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Phiko Thomas Sithole t/a Simunye Brokerage	7029	6 March 2011	Contravention of section 15A of the FSB Act

Philisiwe Octavia Ndlovu	10192	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phillip Mahome	41367	6 March 2011	Contravention of section 15A of the FSB Act
Phillipus Paulus Uys t/a MDU Brokers	27273	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phindile Nkomozake	34698	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phola Marketing Services CC	20994	5 March 2011	Contravention of section 15A of the FSB Act
Pieter Andreas Van Der Merwe t/a Merwede Makelaars	11423	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Gysbertus Bekker t/a Bekker Financial Planners	39273	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pieter Johannes Kotze t/a P J Kotze Brokers	6920	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Snyman t/a Suider Strand Makelaars	11590	6 March 2011	Contravention of section 15A of the FSB Act
Pisteuo Confessions CC t/a Deltafin	7414	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Platinum Mile Investments 583 CC t/a Truck & Tractor Specialists	36126	6 March 2011	Contravention of section 15A of the FSB Act
Porstev Investments CC t/a MK Motors	25760	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Portia Queen Sechele t/a KPQ Moruo	36658	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PPL Healthcare CC	37876	6 March 2011	Contravention of section 15A of the FSB Act



Prassana Trading CC	39290	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Premier Attraction 1144 CC t/a Premier Risk Management Consultants	36530	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Premier Insurance Consultants CC	7524	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Prestige Makelaars	6312	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Prime Fund Managers (Pty)Ltd	16365	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Proactive Lifestyle Management CC	1050	6 March 2011	Contravention of section 15A of the FSB Act
Procty Advisory Services CC	3233	6 March 2011	Contravention of section 15A of the FSB Act
Profrisk CC	13796	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Prolife Administrators CC	41608	6 March 2011	Contravention of section 15A of the FSB Act
PST Brokers And Properties CC	38509	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pulane Emily Mnisi	12670	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Qmulate (Pty) Limited t/a Mianzo Asset Management	29712	6 March 2011	Contravention of section 15A of the FSB Act
QSAM Financial Services (Pty) Ltd	661	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Quadro Motors BK	22487	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quantum Financial Consulting CC	40672	6 March 2011	Contravention of section 15A of the FSB Act

Quyn Financial Services (Pty) Ltd	30481	6 March 2011	Contravention of section 15A of the FSB Act
Rachelle Visagie t/a B & R Brokers	21774	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rakgaowe Shadrack Monnakgotla	15482	6 March 2011	Contravention of section 15A of the FSB Act
Rapicorp 174 (Pty) Ltd t/a Bikesure Uma	40527	6 March 2011	Contravention of section 15A of the FSB Act
Ratshilumela Jonas Malovhele t/a First International Insurance Brokers	12474	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Record Breakers CC	41853	6 March 2011	Contravention of section 15A of the FSB Act
Red Sky Administrators (Pty) Ltd	28464	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Regiments Fund Managers (Pty) Ltd	22302	6 March 2011	Contravention of section 15A of the FSB Act
Reitz Mckenzie Global Financial Services (Pty) Ltd	24448	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rene Hester Kruger t/a Platinum Health And Wealth Financial Services	41649	6 March 2011	Contravention of section 15A of the FSB Act
Reyhana Mohamed Simjee	19758	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
RFS Makelaarsdienste Waterberg BK t/a Corporate Corner	41091	6 March 2011	Contravention of section 15A of the FSB Act
Rhoda Semaar t/a R&R Semaar	37703	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Rhulani Financial Services CC	38447	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Riant Investment 118 CC t/a Rothman Delta	19265	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rich Blue Consultancy CC	41287	6 March 2011	Contravention of section 15A of the FSB Act

Richard Makhaphela	6456	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rigo Leite t/a Roderigo Leandro Leite	7390	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rio Ridge 1035 CC t/a Vukani Consulting	15655	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
River End Trading 632 CC t/a Platinum Horizon Broker Consultants	35083	6 March 2011	Contravention of section 15A of the FSB Act
RNS Telemarketing Services CC	38192	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Robert Bowden King Semple-Fisher	15678	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robert James Balmain Mouton t/a Robfran Insurance Brokers	22685	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Robert Samuels t/a Uncle Sam's Funeral And Coffin Services	13704	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robin Mathew Greenan t/a Greenan Brokers	35484	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Robin Pegler	8039	6 March 2011	Contravention of section 17(4) of the FAIS Act
Rocher's Financial And Marketing Services CC t/a Rocher's Financial Advisory Services	42288	6 March 2011	Contravention of section 15A of the FSB Act
Rock Capital Management (Pty) Ltd	34754	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Roger Eaton t/a Roger Eaton Financial & Bookkeeping Services	7461	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Rolleston Hall Financial Services CC	13265	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ronel Viljoen Brokers CC	41222	6 March 2011	Contravention of section 15A of the FSB Act
Rongai Financial Services CC t/a Rongai Company	35008	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rosendal Insurance Brokers CC	14581	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rossouw Brokers	7156	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Roy Harrypersadh	12501	6 March 2011	Contravention of section 15A of the FSB Act
Rudi Schoeman t/a Rudi Schoeman Makelaars	8954	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rudolph Snyman	15154	6 March 2011	Contravention of section 17(4) of the FAIS Act
S L Brokers CC	4476	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sabi Makelaars CC t/a Sibie Makelaars CC	40710	6 March 2011	Contravention of section 15A of the FSB Act
Sajini Contractors CC	41141	6 March 2011	Contravention of section 15A of the FSB Act
Salim Bayat t/a Professional Financial Services	31355	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sams Insurance Brokers CC	19435	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sanana George Moshesha t/a Batubatsi Investment Brokers	20362	5 March 2011	Contravention of section 15A of the FSB Act

Sanda Business Enterprise CC	14390	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Santa Carolina Beleggings BK t/a Chadre Brokers	17346	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Satisfaction Guaranteed Insurance Brokers CC	3915	6 March 2011	Contravention of section 15A of the FSB Act
SATS Financial Services (Pty) Ltd t/a South African Transport Solution Financial Services	40603	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Savem Brokers CC	1309	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Savoy Investment Management Ltd	14650	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sawaklall Makardoj t/a Mack & Associates	12384	6 March 2011	Contravention of section 17(4) of the FAIS Act
Scenic Route Trading 499 CC t/a Legal Insurance Management (Eastern Cape)	37119	6 March 2011	Contravention of section 15A of the FSB Act
Schalk Willem Nel t/a Schalk Nel Makelaars	1963	6 March 2011	Contravention of section 17(4) of the FAIS Act
Schalk Willem Rossouw t/a Schalk W Rossouw Makelaars	11947	6 March 2011	Contravention of section 15A of the FSB Act
SCI Financial CC t/a Southern Cross International	34694	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sean Scott-Crossley t/a CSF Financial Services	9537	6 March 2011	Contravention of section 15A of the FSB Act
Sechaba Securities CC t/a Sechaba Brokers	37285	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sedia Abram Lekgoathi t/a Sedia Insurance Brokers	16137	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sefako David Moraka t/a David Moraka Brokers	19273	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sekwati Peter Mahlatji	22749	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Selby Shepherd Sandla Malusi t/a Triple S Brokers	18702	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Selwyn Cedric Maggott	23343	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sentrisure (Pty) Ltd	39856	6 March 2011	Contravention of section 15A of the FSB Act
Sesfikile Capital (Pty) Ltd	39946	6 March 2011	Contravention of section 15A of the FSB Act
Shaddy Fezile Mbuyiselo Soyamba t/a Future Finance Insurance Brokers	9804	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shadrack Simangaliso Mbonani t/a Mbonani Brokers	41079	6 March 2011	Contravention of section 15A of the FSB Act
Shaheed Gierdien t/a S G Insurance Brokers	14905	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Shananai Consultancy CC	39310	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sharl Barrie Badenhorst t/a Badenhorst Brokers / Makelaars	6504	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sherotha Financial Services CC	35542	6 March 2011	Contravention of section 15A of the FSB Act
Shimba Financial Consulting (Pty) Ltd	41551	6 March 2011	Contravention of section 15A of the FSB Act
Shine The Way 735 CC	25631	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shiva Shiva Trading CC	38595	6 March 2011	Contravention of section 15A of the FSB Act
Sibangani Phillip Zuma	23669	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibongile Prisca Mzimela	28105	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Silverline Direct CC	42331	6 March 2011	Contravention of section 15A of the FSB Act
Simon Christoffel Coetzee	16927	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Simon Mahlasela Koba t/a SMK Insurance Brokers	21352	5 March 2011	Contravention of section 15A of the FSB Act
Simon Malpage t/a Simon Malpage Financial Planning	10073	6 March 2011	Contravention of section 15A of the FSB Act
Simon Setsoe t/a Basebetsi Benefit Consultants	18389	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Simphiwe Golden Fields Financial Services CC	30174	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sindiswa Manyamalala	24100	6 March 2011	Contravention of section 15A of the FSB Act
Siphiwo Selwyn Nonyongo t/a Nonyongo Financial Services	35136	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sipho Hensfort Mthembu	18742	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sirius Used Vehicle Company (Pty) Ltd t/a Cardinals Motor Corporation	37106	6 March 2011	Contravention of section 15A of the FSB Act
Siwela Funeral Services CC	40432	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sixcor Brokers CC	37282	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Siyakha Steel CC t/a Professional Financial Solutions	37624	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siyaya Finance Brokers (Pty) Ltd	22587	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Sizakele Prudence Nokukhanya Dlamini t/a Siza Financial Solutions	31564	6 March 2011	Contravention of section 15A of the FSB Act
Sizani Antonia Lehapa t/a Lehapa Insurance Brokers	28489	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skippy Investments CC	13813	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skyrite Administrations CC	20838	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skyworx Financial Services CC	38920	6 March 2011	Contravention of section 15A of the FSB Act
SMG Auto (Pietermaritzburg) (Pty) Ltd	6983	6 March 2011	Contravention of section 15A of the FSB Act
Solomon VVXVIII Investments (Pty) Ltd	35582	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Solutrade 21 CC t/a Fritz Consulting	19900	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Southern Union Insurance Brokers (Pty) Ltd t/a Southern Union Insurance Brokers	3414	6 March 2011	Contravention of section 17(4) of the FAIS Act
Southern Ambition 1565 CC	37484	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Speedtrack Trading 3019 CC t/a Reagen Pillay Financial	41944	6 March 2011	Contravention of section 15A of the FSB Act
Stanford Investments CC t/a Akson Wheels	19325	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Star Agency CC	18545	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	6 March 2011	Contravention of section 15A of the FSB Act



Stater Trading And Project CC	41165	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Stephan Prinsloo	19790	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stephanie Du Plessis	20718	5 March 2011	Contravention of section 15A of the FSB Act
Stephanus Jordaan	14434	6 March 2011	Contravention of section 15A of the FSB Act
Steven Musa Dlakude t/a NPS Brokerage	41135	6 March 2011	Contravention of section 15A of the FSB Act
Stian De Witt t/a Sable Financial Services	38837	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Strike Gold Investments CC t/a Save-U Auto Sales	20153	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Strinivasa Jaggiah Naidoo t/a Strini Naidoo & Associates	25438	6 March 2011	Contravention of section 15A of the FSB Act
Success Road, Rail, Sea & Air Brokers (Pty) Limited	14402	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sureright Administrators CC	10607	6 March 2011	Contravention of section 15A of the FSB Act
Susarah Johanna Olivier	14107	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Swiftdeals 14 CC t/a Henk Mathee Versekerings Makelaars	13605	6 March 2011	Contravention of section 15A of the FSB Act
Swiss Re Life And Health Africa Limited	36311	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tabisa Sapula t/a TS Broker Services	37666	6 March 2011	Contravention of section 15A of the FSB Act
Taccsure Brokers CC	12181	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Tanagra Nombulelo Ntetha t/a Tanagra Brokers	28479	6 March 2011	Contravention of section 15A of the FSB Act

Tati Investments (Pty) Ltd	39373	6 March 2011	Contravention of section 15A of the FSB Act
Taxpros CC	18978	5 March 2011	Contravention of section 15A of the FSB Act
TB Brokers CC t/a Theo Blignaut Brokers	8149	6 March 2011	Contravention of section 15A of the FSB Act
Tebello Michael Tsolo t/a Sisco Insurance Brokers	16803	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Teboho Nelly Nketoane	21516	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tele Buzz CC	33331	6 March 2011	Contravention of section 15A of the FSB Act
Tembinkosi Elvis Majola t/a TE Financial Service Broker	25004	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Tembinkosi Terence Mketo t/a Zizamele Insurance Brokers	26901	6 March 2011	Contravention of section 15A of the FSB Act
Tendai Nyadombo	26918	6 March 2011	Contravention of section 15A of the FSB Act
Terrance Pilane Phyto	21349	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tespam Trading CC t/a MV Tapula Brokers	36874	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabiso Ramanamane t/a Excelsior Broker Marketing	30599	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabo Mathews Maungwa t/a Thabo Maungwa Ins.	6389	6 March 2011	Contravention of section 15A of the FSB Act
Thabo Sydwell Moremoholo	9206	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thanduxolo Theophilus Sotapu	20418	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

The Business Zone 44 CC t/a Magentheren Rajoo Naidoo	20854	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Ex-Stream Insurance Consultants CC	37479	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
The Frikkie Le Roux Business Trust	2238	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
The National Empowerment Fund Trust	10912	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Overcomer Financial Services CC	40336	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thelela Amos Mokwana t/a Dynamic Investment Brokers	18588	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thelma Tembakazi Nodlabi	41977	6 March 2011	Contravention of section 15A of the FSB Act
Themba Donatus Chiliza t/a TDC Brokers	40969	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thembaletu Financial Solutions (Pty) Ltd	1682	6 March 2011	Contravention of section 17(4) of the FAIS Act
Theuns Pretorius	11832	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thomas Kenneth Henry t/a Sun Financial Services	40022	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thornbush Financial Services CC	38406	6 March 2011	Contravention of section 17(4) of the FAIS Act
Thulani Frances Khumalo	39676	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Tiespro 177 (Pty) Ltd	41369	6 March 2011	Contravention of section 15A of the FSB Act
Tim Brokers (Pty) Ltd	16901	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Time Horizon Life Marketing Services (Pty) Ltd	32689	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tinanet Trading CC	38928	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
TJS Brokerage CC	36158	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
TLC Admin CC	40137	6 March 2011	Contravention of section 15A of the FSB Act
Tlholo- Victory Funerals CC	38546	6 March 2011	Contravention of section 15A of the FSB Act
TMG Brokers CC	39538	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
TNI Consulting (Pty) Ltd	37833	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Topeng Solutions 22 CC t/a Jeremiah 33 :3	39640	6 March 2011	Contravention of section 15A of the FSB Act
Torchway Investments (Pty) Ltd t/a Invest Online	40592	6 March 2011	Contravention of section 15A of the FSB Act
Tradeshack 57 CC t/a Lukanda Financial Services	40444	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Traluz Insurance Brokers CC	37567	6 March 2011	Contravention of section 15A of the FSB Act
Trilinear Capital (Pty) Ltd	634	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Trump Financial Advisors CC	29719	6 March 2011	Contravention of section 15A of the FSB Act
Trusted Financial Planners CC	37562	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tshepang Consultants CC	9568	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Tshikani Daniel Khosa	40892	6 March 2011	Contravention of section 15A of the FSB Act
Uggoko Trading CC t/a Uggoko Financial Brokers	31134	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Uku-Khanya Product And Distribution Centre (Pty) Ltd	25831	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ulwazi Consultants CC t/a Ulwazi Financial Services	38229	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Vanessa Van Eijk	41254	6 March 2011	Contravention of section 15A of the FSB Act
Vehicle Emporium CC	15841	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vernon Cloete Broker Services (Pty) Ltd	4507	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vhaghana Funeral Directors CC t/a Vhaghana Funeral Services	38631	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Vhaila Brokers CC	25920	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vhuthelani Marketing Services CC t/a VM Services	38480	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Vincent Mason Nair t/a Vincent And Associates	21646	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vishal Ishwarlall Gurcharan t/a Team Cars	34112	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vista Assurance Brokers CC	11624	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Viwe Mtamalala	36769	6 March 2011	Contravention of section 15A of the FSB Act
Vukani Mangwane Trading CC t/a Mangwane Financial Brokers	37895	6 March 2011	Contravention of section 15A of the FSB Act
Vuvuzela Financial Services CC	37386	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Vuyani Maxwell Zuzani Investments CC	20022	5 March 2011	Contravention of section 15A of the FSB Act
Wakalah Financial Services CC	41029	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Warbot Brokers CC	36244	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Warranty Solutions (Pty) Ltd	18998	5 March 2011	Contravention of section 15A of the FSB Act
Wayne Benjamin Stevens t/a Shoreline Financial Services	24246	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wealthvest CC t/a Wealthvest Financial Services	39890	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Webram Fifteen (Pty) Ltd t/a Mallac Hearle Financial Services	8960	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Welcome Anderson	23011	6 March 2011	Contravention of section 15A of the FSB Act
Wellman Financial Services CC	21070	5 March 2011	Contravention of section 15A of the FSB Act
Wendy Mortimer Investments CC	2378	22 March 2011	Contravention of section 17(1) of the FAIS Act
Wenwin Agency CC	10752	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wesley Kruger t/a Wesk Financial Planners	38805	6 March 2011	Contravention of section 15A of the FSB Act
Wilhelmina Johanna Dorothea Swanepoel t/a Sowsure	18318	5 March 2011	Contravention of section 15A of the FSB Act

Willem Hendrik Esterhuizen t/a BFC	4030	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Johannes Delport t/a Delphisure Worcester	5978	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Lodewikus Nel t/a Wikus Nel Broker	9915	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
William Lucky Morake t/a Forluck Insurance Brokers	38871	6 March 2011	Contravention of section 17(4) of the FAIS Act
Wingate Pearse Insurance Brokers CC	18987	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wiseman Sthembisio Mnyandu t/a Somtsewu Brokers	29281	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Wisesure Financial Consulting CC	7342	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Xcellent Financial Services CC	36825	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Xolani Richard Hlengwa t/a Global Life Solutions	17857	5 March 2011	Contravention of section 17(4) of the FAIS Act
Xolile Witness Siyo t/a Healthwise	14383	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Xoliswa Memani	24093	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Yen Administrators CC	36655	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
YPM Financial Solutions CC	40842	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Yunizam Motors CC t/a Aksons Wheels	19951	5 March 2011	Contravention of section 15A of the FSB Act

Zameka Nqeketho t/a Zams Enterprise	37619	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zamikhaya Zindlu t/a Zama Zindlu Financial Services	40747	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Zamokwakhe Collin Xoseka t/a Indaba Investments	35689	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zanele Eudorah Gabela t/a Lion And The Cub	28902	6 March 2011	Contravention of section 15A of the FSB Act
Zeloti Trading CC t/a Unique M Brokers	28817	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zen Insurance Brokers CC	3864	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zilungiselele Trading And Projects CC	34648	6 March 2011	Contravention of section 15A of the FSB Act
Zola Mangani t/a Mangani Financial Advisors	40854	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Zothani Arnolda Mkhize	22178	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 4 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*