

BOARD NOTICE 116 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****SUSPENSION OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

| LICENSEE | FSP NO. | EFFECTIVE DATE | REASONS FOR SUSPENSION |
|---|----------------|-----------------------|---|
| 12 Apostles Financial Administration CC | 41417 | 6 March 2011 | Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act") FSB Act |
| 1st Corporate Asset Management (Pty) Ltd t/a Sevafrica Insurance Services | 36049 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Insurance (Pty) Ltd | 41360 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| 4 Roses Funeral Parlour CC | 13532 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| 4 Wheel Drive Motor Distributors (Pty) Ltd t/a Honeydew Toyota | 19957 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| A Ramkisson & Associates CC t/a Insurance & Financial Services | 11226 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| A.J. Du Plessis Financial Services CC | 11880 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Aaron Mkoto t/a Mkoto Brokers | 34743 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Aaron Moreku t/a Moreku's Financial Advisors | 16387 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Abia Mapaletsebe t/a Abia Brokers | 42205 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Abrina 348 (Pty) Ltd t/a Hadebe Holdings | 22525 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Acacia Capital Markets (SA) (Proprietary) Limited | 24185 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Accelera (Pty) Ltd | 14754 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Adam Johannes Van Wyk | 36345 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Adistra 44 CC t/a Adistra Financial Services | 34721 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Admin Focus (Pty) Ltd | 10611 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Admin Focus Brokers CC | 24368 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Affinity Data Vault (Pty) Ltd t/a Affinity Wealth | 37213 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Affinity Underwriters (Pty) Ltd | 40832 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| African Christian Funeral Administrators CC | 38220 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| African Mutual Brokers CC | 39957 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Aft Insurance Brokers CC | 31562 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Alcari 936 CC t/a Ten C Brokers | 39252 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |

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| Aldrid Louw Consult CC | 41610 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| All General Insurance Brokers CC t/a All General Insurance Brokers CC | 6573 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Alpha And Omega Healthcare Consultants CC | 21577 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Alpine Commercial Vehicles CC | 26440 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Alten Wilberforce Nortje t/a A.W.Nortje Life Insurance | 14128 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Aluta Trading CC t/a Guru Brokers | 35128 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| ALZ Fanancial Solutions CC | 41793 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Amagutshwa Trading CC t/a Amagutshwa Financial Services | 40826 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Ambrosia J Davids CC t/a Evolution | 36760 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| AMML Financial Services CC | 26579 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ancro Financial Consultants CC | 28862 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Andre Bruyns t/a Anbruy Versekeringsmakelaars | 12010 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Andre Ferreira Versekeringsdienste BK | 3112 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Andre Marius Van Der Merwe t/a Agape Brokers (Insurance) | 3771 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Andrew Edward Smith | 12351 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Andries Bernardus Grundlingh t/a Grunfin Wealth Management | 28473 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Andries Jacobus Swart | 6637 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Angelfish Investments 294 CC t/a Insight Financial Advisors | 41211 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Angelique Kirk Consulting CC | 29254 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Anisha Brijlal | 37233 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Anlin Financial Consulting CC | 37127 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Anna Christina De Wet t/a Olive De Wet | 9889 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Anna Sophia Visser t/a Visser Group Broker Holdings | 20968 | 5 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Annelie Botha Finansiele Adviseurs Bk | 13431 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Anp Brokers CC | 13155 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Anthony Creswell Golding t/a F1 Financial Consultants | 15513 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Anthony De Kock t/a Tony De Kock Brokers | 10398 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Anton Becker | 14169 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Anton Van Der Merwe t/a Anton Van Der Merwe Brokers | 11143 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| Anwar Booley t/a Coessa Financial Solutions | 25502 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| ARC Admin Resource Centre CC t/a ARC | 41074 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Arnold Jeffrey Levy t/a Swift-Mart | 30188 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ashila Hanmonth t/a Sahara Insurance Brokers | 38639 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Asnath Khomotso Maphalle t/a A K Maphalle Brokers | 19461 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Assure Investment Services (Pty) Ltd | 8213 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Ateksana Limited t/a Dinamika Makelaars | 12693 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Atlantic Funeral Services CC | 40933 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Aubrey Kusner | 13922 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Aubrey Mandlakaise Motau t/a Umelusi Financial Brokers | 21170 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Auric Financial Services (Pty) Ltd | 22565 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Auto Clinic CC | 19417 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Autoplex Underwriters (Pty) Ltd t/a Protekta Financial Services | 8465 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Avhatakali Sarah Lidzhade | 26754 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Awen Financial Consulting CC | 41364 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| Azhumah Investments CC t/a Azhumah Financial Solutions | 38681 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Azwifaneli Princess Madzingo t/a Dambauhushai Business Support | 21541 | 23 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| AZX Shortterm CC | 25874 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| B T R T Financial Services CC t/a Batho Tlang Rethusang Financial Services | 37988 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| B4sure Brokers (Pty) Ltd | 24392 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Badisa Insurance Brokers CC | 40872 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Barbara Carolyn Venter | 29320 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Barend Johannes Venter | 9574 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Barend Mattheus Nel t/a Bethlehem Financial Services | 33926 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Barend Petrus Pretorius De Beer t/a Oryx Makelaars | 41232 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Barnes Makelaars CC | 17050 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Basil Zukile Joka | 11678 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Batho Financial Administrators (Pty) Ltd | 33135 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Belinda Looock CC | 39272 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |

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| Bene Vobis Health CC | 17942 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Bernal Brokers CC | 5677 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Bethuel Sibusiso Sikhakhane t/a BBNS Marketing Services | 23366 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Bharath Budree t/a Barry Budree | 10468 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Bhekani Samsom Mthembu | 21179 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Bhotani Assets, Risk & Wealth Management Trust | 39248 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Bill Kilbride t/a Bill Kilbride Financial Planning | 13756 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| BIO Fin Makelaars | 9959 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Bissett Insurance Consultants CC | 40865 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Bitline SA 516 CC t/a Fairway Consulting | 16832 | 5 March 2011 | Contravention of section 15A of the FSB Act |
| Black Ginger 67 (Pty) Ltd t/a Drive Vision | 27992 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Blue Crane Insurance Brokers CC | 39134 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Blue Dot Funeral Home CC | 42040 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Blue Jusoku Trading CC t/a Blue Jusoku Finance | 37677 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Blue Route Autos CC | 25698 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| BME Transportation CC t/a BME Brokers | 41583 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| BMM Insurance Brokers (SA) CC | 35868 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| BMMT General Trading CC | 39550 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Boipelo Trading CC | 25565 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Bongani Sibongo Khubisa t/a BS Khubisa Brokers | 31568 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Boundless Trade 172 (Pty) Ltd t/a Fintip Finansiële Dienste | 5409 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Boz Financial Services CC t/a Somadoda | 38762 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| BP Bernstein (Pty) Ltd | 40810 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Braemar Brokers (Pty) Ltd | 2680 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Brainwave Projects 1937 CC t/a Communications 101 | 36554 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Brainwave Projects 927 t/a Avantus | 17581 | 5 March 2011 | Contravention of section 15A of the FSB Act |
| Branch 14 Administrators (Pty) Ltd | 39790 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Breath Taking Investment 10 (Pty) Ltd t/a Collective Asset Management | 40707 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Brian Stanley John Don | 14850 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Bright Star Risk Cover CC | 37483 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Brinksure Financial Services CC t/a Brinksure Financial Services CC | 12999 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Brokers / Makelaars Trust | 11977 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| BRS - Business Risk Solutions Operations (Pty) Ltd | 36321 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Bruce Alexander Manson t/a Manson Financial Services | 41007 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| B-Sure Short Term & Medical Aid Brokers CC t/a B-Sure Brokers | 5948 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Bulelwa Patricia Mfazwe | 39470 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Buselaphi Hezel Phakathi t/a Buselaphi Hezel Phakathi Brokers | 1296 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Business Finance Promotion Agency | 23542 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Buti Lucas Masango | 7014 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| C Tossel Versekeringsmakelaar Bk | 12931 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| CAJ Financial Services CC t/a Shamrock Finance Group | 31058 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Camber Trading No. 53 CC t/a Camber Trading Risk Solutions | 40743 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Camlife (Pty) Ltd | 24150 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Cape Health Care Consultants CC | 4379 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Capio Capital (Pty) Ltd | 22603 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Capital International Limited | 9753 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |

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| Captivest Healthcare (Pty) Ltd t/a Captivest Healthcare | 6156 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Caris Matic Financial Services CC | 35937 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| CC Insurance Solutions CC | 29123 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Centro Wealth (Pty) Ltd | 37857 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Centwise 126 CC t/a Pragda Intermediary Services | 22139 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| CG Cloete Makelaars BK | 16344 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Charles Marais t/a Charlie Marais & Associates | 22032 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Christoffel Marthinus Petrus Le Roux t/a CLR Financial Services | 10494 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Christopher John Fenton t/a Chrisandra Insurance Brokers | 2160 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Christopher Thabani Zulu t/a Due Date Insurance Brokers | 40989 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Christopher Zamani Mthiyane t/a Siyakhula | 22988 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Cilliers Auditors Financial Services (Pty) Ltd | 22279 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Circle Seven Trading 14 CC t/a Circle Seven Brokers | 36695 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Claude Frank Serge Robyn t/a CFSR Investments Holdings | 40068 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Clover SA (Pty) Ltd | 19401 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| CMG Auto Pretoria (Pty) Ltd t/a Pretoria Nissan | 24074 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Coenrad Christoffel Wantzelberg Engelberecht | 7723 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Cojack Trading CC t/a CJ Brokers | 40423 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Colin Francois Du Sart | 26279 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Commercial Industrial Domestic Brokers (Proprietary) Limited | 13763 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Concilium Management (Pty) Ltd t/a Concilium Business Club | 34134 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Constance Matsaung | 32022 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Constantia Underwriting Agency (Pty) Ltd | 12912 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Construction Guarantee (Pty) Ltd | 23831 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Corfred Financial Services CC | 11850 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Cornelius Jacobus Coetzee | 19163 | 5 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Cornerstone Underwriters And Administrators (Pty) Ltd | 36488 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Cosmo Short Term Insurance Consultants CC t/a Cosmo Consult | 33066 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Counter Point CC | 7265 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| Courtrai Auto (Pty) Ltd | 19024 | 5 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Coverall Insurance Brokers (Pty) Ltd | 17614 | 15 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Craig Financial Services CC | 25632 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Craig Steven Muller t/a Bonnie Motors | 31181 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Craig Tunbridge and Associates (Pty) Ltd | 12114 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Credit Suisse Securities (Johannesburg) (Proprietary) Limited | 40908 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Crescendo Bates (Edms) Beperk t/a Crescendo Consultants | 5718 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Crest Benefit Solutions (Pty) Ltd | 24141 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| CTC Insurance Brokers CC | 10691 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Cupra Insurance Advisors | 38159 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Cygnnet Insurance Brokers CC | 20770 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Cyndara 63 (Pty) Ltd t/a Excellence Insurance | 38364 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Cyril J Mack | 40417 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Dale Financial Planning (Pty) Ltd | 13271 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |

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| Daniel Christoffel Benjamin Van Zyl | 20717 | 5 March 2011 | Contravention of section 15A of the FSB Act |
| Daniel Enslin t/a Enslin Brokers | 27535 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Daniel Jacobus Thiar t/a Danie Thiar Makelaars | 8985 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Daniel Modise Kolokoto t/a D K Brokers | 4513 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Daniel Moeketseng Mobu t/a DM Mobu Brokers | 34741 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Darmar Health Care Services CC | 11383 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| David Alexander Gordon Mackenzie | 38450 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| David Deeble And Associates CC | 20087 | 5 March 2011 | Contravention of section 15A of the FSB Act |
| David Stephanus De Bruin t/a Fanie De Bruin Makelaars | 4631 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| DCT Trading CC t/a Dodo Financial Brokers | 38001 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| De Van Straten And Company Incorporated | 10420 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Devakie Naidoo | 21014 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dhamesh Mahandra Juta t/a Wealth Creations | 21187 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dhanaseelan Poonsamy | 42043 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Dharamveer Singh | 20715 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dharmalingam Chinsamy T/A Jay Chinsamy and Company | 5325 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| DHL Global Forwarding Sa (Pty) Ltd | 8479 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| DHL International (Pty) Ltd t/a DHL Express | 9575 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| DIB Insurance Brokers (Pty) Ltd | 7428 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dilectum Brokers & Financial Services CC | 10482 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dimension Life Administrators Sales CC | 32769 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Discovery Consulting Services - Southern Cape (Pty) Ltd t/a DCS George | 35818 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dispersion Consulting CC. | 13874 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Dominicus Velaphi Ndlovu t/a Sunrise Financial Services | 39738 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Donavan Gengiah t/a Wealth Creation Brokers | 12088 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Double Option Trading 161 CC | 9198 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Drakensberg Financial Services Maclear CC t/a Personal Trust | 37533 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Dries Motors (Edms) BPK | 10432 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dundee Jeremia Nkosi t/a Dundee Millionaires RFP | 29852 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dunvegan Wealth Management (Pty) Ltd | 37122 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| DWDV Besigheids Trust | 21850 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |

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| Eagle Financial Solutions (Pty) Ltd | 9256 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Eagle Prosper Financial Services CC | 39278 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Eastern Coastline Trading 30 CC | 28032 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Echelon Private Client Solutions (Pty) Ltd t/a Echelon | 40613 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Econocom 51 CC | 13639 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Edmund Edward Radue | 14226 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Edwards Mey And Associates: Finance Brokers CC | 8357 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Edwin Mzwakhe Ngomane t/a EM Ngomane Brokers | 22098 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Elizabeth Maria Schoevers t/a Lize Schoevers Makelaars | 14058 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Eljay Nakedi Financial Services CC | 28867 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Elliot Mandlenkosi Labi | 22199 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Elma De Klerk | 36430 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Else And Sons CC t/a Else Motors | 34050 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Elzette Labuschagne t/a Elzette Labuschagne Brokers | 26201 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Emerald Sea Trading CC | 39037 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |

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| Enrica Leonard | 37929 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Enrysh Marketing CC | 14389 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Eric Fordred & Associates CC | 4824 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Eric Van Zyl Makelaars BK | 12439 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Ernest James Paton t/a EJ Paton And Associates | 32148 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Esprit Family Trust t/a Esprit Services | 35011 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Eternal City Trading 578 CC | 38924 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Eunallsingh Jadoo t/a Status Financial Brokers | 4000 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Eurriet Ntombiziphambili Mvuna t/a Miracles Financial Services | 41242 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Evoplan t/a Evolution Planning | 35547 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Ewoud Malan t/a Ewoud Malan Brokers | 10111 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Exclusive Risk Management CC | 14551 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Executive Business Conclusions Financial Services CC t/a Exbuscon Financial Services | 22515 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Executive Insurance Consultants CC | 10614 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |

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| Expects Financial Stars CC | 19700 | 5 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Exxaro Insurance Company Limited | 36446 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Ezekiel Lesiba Sergio Mankga t/a Global Choice Consulting | 29467 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Facts & Figures Broker Services CC | 12245 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Fairhomes Real Estate CC t/a Yunus Muhammad Financial Services | 20955 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Family Growth Trading CC | 40532 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Fanie Olivier t/a Fanie Olivier Brokers | 13935 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Federated Asset Management (Pty) Ltd | 11822 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Feizal Benny t/a Feizal Benny & Associates | 12898 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Felix Siyabulela Nkonki t/a Felinko Broker Services | 18542 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Fertile Sands Financial Advisors CC | 29879 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Filita And Mlotywa Financial Services CC | 36373 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Financial Advisory Services (Pty) Ltd | 13898 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Financial Mastering Solutions Brokers (Pty) Ltd | 25556 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Fiona Turton | 14163 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| FK Konsultante BK | 8878 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Flexi Call (Pty) Ltd | 42013 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Florence Thandiwe Mhlakaza t/a Amahle Health | 23658 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Focbusman Consultant CC | 15892 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Format Insurance Brokers CC | 40308 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| FPVDM Investments CC | 3670 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Francois Cornelius Swart t/a FC Financial Advisory Services | 11515 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Francois Malan t/a Nowsure | 16966 | 5 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Frans Anthonie Gerber t/a Frans Gerber Makelaars | 14459 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Fred Lovelock & Associates (Newcastle) CC t/a Msb | 22405 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Frederick Leketi t/a Freddy Leketi Financial Services | 16091 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Freesure(Pty) Ltd | 11997 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Fruitways (Pty) Ltd | 14341 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Fusion-Tech Insurance Brokers CC | 40152 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| G & G Trust It 840/04 t/a Lazarus Services | 38108 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Gabriel Stephanus Grobler t/a Gawie Grobler Makelaars | 14876 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| GAMC Securities (Pty) Ltd | 858 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Gary Spencer | 40499 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Gavin Emery Firth t/a Excell Sure | 2914 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Gavin Russel Wood t/a Gavin Wood Insurance Brokers | 6892 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Geophrey Nguyuza Gazide | 34380 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| George Edward Methuen Gray t/a G and A Services | 14448 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| George Meyer t/a Sabie Makelaars | 16851 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| George Moetsi t/a Itireleng Funeral Parlour | 2922 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Gerhard Johannes Coetzee | 39458 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Gertrude Mishodza Chinyolo | 40324 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Gideon Durand t/a D&D Financial Services | 11554 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Gideon Healthcare Consultants (Pty) Ltd | 21172 | 5 March 2011 | Contravention of section 15A of the FSB Act |
| Ginsberg Distribution Systems CC t/a GDS | 8233 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| Gladman Obrey Vukile Nkosenye Mlambo t/a Vukile Financial Brokers | 16501 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Gladman Vuyani Rashe t/a Rashe Financial Services | 21911 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Gladys Wilford Insurance Brokers (Pty) Ltd t/a Nfs Insurance Brokers | 14493 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Globex Direct (Pty) Ltd | 38469 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| GNM Financial Services CC | 32797 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Goitseone Timothy Gaetsewe t/a Timothy Gaetsewe Brokerage | 26930 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Goldex Financial Services (Pty) Ltd | 38648 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Gordonia Motors (Pty) Ltd t/a Gordonia Delta | 23596 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Gort16 Consultants CC | 40328 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Graham Eric Field t/a East Insurance Brokers | 12074 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Graham William Tharratt | 21873 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Grown Financial Solutions CC t/a Fintek | 41097 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Hamilton Thomas Davidson t/a HT Davidson & Associates | 8279 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Hannes Cloete Brokers | 12713 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Harnacks Brokers CC | 36577 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

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| Hastings Trading CC | 35376 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| HDM Makelaars BK | 2556 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Heather Wendy Tarboton t/a Heather Tarboton Brokers | 4344 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Heema Devi Sahibdeen t/a HD Sahibdeen Brokers | 6199 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Helen Mchunu t/a Smomoza Brokers | 31465 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Helena Gleimius t/a Profile Brokers | 11960 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Helpfin Insurance Brokers CC t/a Insureco | 14582 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Henque 2437 CC t/a Roeljan Finacial Services | 32572 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Henry Grundling Makelaars BK t/a Grundling Makelaarsdienste / Broker Services | 11564 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Heren Ramawtar Assures (Pty) Ltd | 25832 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Hester Josina Thompson t/a Hettie Thompson Makelaars | 12718 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| H-Fin CC | 40726 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| HFM Direct PTA CC t/a HFM | 37069 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| HGRS Brokers CC | 1108 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| HHS Equality Financial Services (Pty) Ltd t/a Equality Financial Services | 26719 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

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| Highveld Wealth Management (Pty) Ltd | 33069 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Hilda Nomusa Ngema t/a HN Brokers | 27889 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Hilsdon Financial Planning (Pty) Ltd | 37521 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Hilton Eugene Slabbert t/a Hilton Slabbert | 8183 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Himesh Sewsunker t/a Himesh Sewsunker & Associates | 39812 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| HJL Du Toit t/a Poynt Brokers Services | 6553 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Hlakang Investment Devel Project & Petroleum t/a Hlakang Investment Insurance | 40704 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Homechoice (Pty) Ltd | 14165 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| HTC Stores (Pty) Ltd t/a HUB Trading Company | 38683 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Hunsrajsingh Thegbhadur Singh t/a City Funeral Furnishers | 20003 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Huysamen Motors CC | 13204 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Hyman Cars CC | 28012 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| I.C.E Insurance Claims Exchange (Pty) Ltd t/a I.C.E. | 22339 | 24 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ian Roger Mark Geldenhuys t/a Ian.G And Associates | 36720 | 6 March 2011 | Contravention of section 15A of the FSB Act |

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| IBNR Systems CC t/a Insurance Information Services Associated | 3141 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| IC Brokers CC t/a Irvine Cook Brokers | 27238 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| IES Financial Services CC | 40375 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Igugu Financial Projects CC | 38553 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ikageng Advisors (Pty) Ltd | 35767 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ikarhi Financial Consultancy CC | 36030 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ikemele Financial Services CC | 30141 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ilifu Financial Services CC | 20212 | 5 March 2011 | Contravention of section 15A of the FSB Act |
| Ilse Oosthuizen Brokers CC | 5108 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Imbewu Fund Administrators (Pty) Ltd | 4414 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Independent Broker Advisory Services (Pty) Ltd t/a I B A S | 9518 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Indequity Capital Managers (Pty) Ltd | 596 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Indequity Insurance Brokers Pty Ltd | 2319 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Indigo Short-Term Insurance Brokers (Pty) Ltd | 36761 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Indomark (Pty) Ltd t/a Blue Chip Finance | 20842 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Inscon Southern Cape CC t/a Inscon Insurance Brokers Southern Cape | 38586 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Insika Financial Services CC | 13738 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Insurance Maintenance Planning CC | 17860 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Insure Fin-Insurance Brokers CC | 9072 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Insure With Us CC | 40542 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Integrated Auto Services (Pty) Ltd t/a Le Roux Motors, Solly's Motors, Isuzu Truck World | 39511 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Inteli-Fin Financial Advisors CC | 35515 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| International Trade And Commodities 2099 CC t/a Supasure Insurance Brokers | 7373 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Inthutwane Financial Marketing CC t/a IFM | 21223 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Invest Right Financial Solutions CC | 37408 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Investability 202 CC | 24401 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Investment World Financial Services CC | 11871 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Irhuluphelo Funeral Services (Pty) Ltd t/a Alternative Funeral Solutions | 25074 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Irma Jacobsz t/a Irma Jacobsz Brokers | 41523 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Isaac Funeral Services CC | 40020 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Isaac Zwelibanzi Mbambalala t/a Ikes Brokers | 24128 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Isibane Samaqhawe Funeral Finance CC | 40463 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Ithemba Financial Services CC | 38743 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Ivor Graham Roach | 6528 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Izazi Retailer's 31 CC | 42115 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Izululokwenziwa Trading Enterprize CC t/a Izululokweziwa Brokers | 34932 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| J J M Insurance House CC | 35726 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jabulani Brian Mzizi t/a Inhlalisuthi Investments | 39512 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Jabulani Mhlongo t/a Jabulani Mhlongo Insurance Brokers | 15760 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jaco Steenkamp | 39457 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |

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| Jacob Hendrik Van Der Merwe Co-Ordinating Financial Services | 11867 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Jacob Mandla Radebe t/a Jacob Mandla Radebe Brokers | 24501 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Jacob Moagi Modise | 22129 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Jacobus Albertus Van Wyk t/a Sml Brokers | 17271 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jacobus Gordon | 13002 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jacobus Koopman t/a Sukses Makelaars | 18984 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jacques Le Roux t/a Jacques Le Roux Makelaars | 16643 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jaffer Dawray t/a J Dawray Financial Services | 2011 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| James Willem Masango t/a Union Insurance Brokers | 7530 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jan Adriaan Stander t/a Financial Loss Control Services | 30024 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jan Du Plessis De Beer t/a V.I.P Health & Risk | 19287 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jan Johannes Britz | 26946 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jan Jonathan Durand Botha | 20697 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS |

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| Jan Pieter Janse Van Rensburg | 17409 | 5 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Janal Business Consultants CC | 6073 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Jason Chelliah Naidoo t/a Jason Chelliah & Associates | 13731 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jaya Budhai Sookraj t/a JB Sookraj Insurance Brokers | 271 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Jaymar Real Estate (Pty) Ltd | 25106 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Jean Rene Mputu Muyombo t/a Shangwe Financials Consulting | 41153 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| JG Van Rhyn Makelaars BK | 15129 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| JM Busha Asset Managers (Pty) Ltd | 730 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| JMED Consultants CC | 11974 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Johan Maphumulo | 23776 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Johannes Jochemus Cilliers t/a Cilliers Brokers | 11350 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Johannes Jurgens Van Tonder t/a Tonnie Van Tonder Finansiële Dienste | 40658 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Johannes Stephanus Jacobs t/a Jamel And Associates | 21435 | 5 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| John James Ward | 28880 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| John Martin Claassen t/a Tshumbi Brokers | 4889 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| John Msibi Brokers CC | 19511 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Joseph Mabaso t/a Joseph Mabaso Advisers | 12731 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Joubert And May Attorneys | 35921 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Juan Christoff Kock t/a J Kock Brokers | 40851 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Judith Nobuhle Khoza | 22514 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Julian Rupare | 12417 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Justice Qenehelo Mohlokoane t/a Basia Broking Services | 28888 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| JVVN Financial Advisors CC t/a Xtreme Financial Advisors | 40968 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Kairos Financial Services CC | 28861 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kajamurthie Govindasamy Govender t/a Valiant Financial Services | 24271 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Karibu Healthcare Services CC | 16382 | 6 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Karmel Financial Services CC | 29258 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kaydens Brokers CC | 25207 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Keith Joseph Mothilali t/a Eagle Eye Brokers | 28196 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

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| Ken Long And Associates (Pty) Ltd | 6848 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kenneth Slater t/a Redline Insurance Brokers | 17884 | 5 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kesaval Soobiah Naidoo t/a Selvan Naidoo & Associates | 1433 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kgatelopele Funeral Parlour CC | 2770 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Kgorutle Brokers CC | 37758 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Kgosana Bruno Malope t/a Letlemme Financial Services | 39587 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Khan & Khan Insurance Consultants CC | 32654 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Khorombi Justice Madia | 36107 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Khula Financial Consultants CC | 2483 | 6 March 2011 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Khulalonda Asset Management (Pty) Ltd | 27034 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Khumalo And Matyolo Brokerage CC | 41070 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Klaas Malesela Ratombo t/a Ratombo Financial Services | 26046 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |

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| Kogina Business Services CC | 5328 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Kondelelani Financial Services CC | 40862 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Koos Nel Auto (Pty) Ltd t/a Bonus Motors/EI Auto | 11478 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kopanelo Wealth Management Solutions CC t/a Kopanelo | 36074 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| KSB Finansiële Dienste (Edms) BPK t/a KSB Makelaars | 11756 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| KTJ Financial Services CC | 38760 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Kulu Motors Kenilworth (Pty) Ltd t/a Kulu Motors Kenilworth | 25992 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kwena Tlou Employee Benefits Services (Pty) Ltd | 26336 | 28 March 2011 | Contravention of sections 17(1), 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Kwethu Financial Services CC t/a Kwethu | 40962 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Lanco Financial Brokers CC | 41571 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Lancor Trust | 119 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Langa Simon Langa t/a Langas Financial Services | 35125 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Larko Beleggings BK t/a Consensio Finansiële Adviseurs | 37920 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |

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| Last Hope Funerals CC | 36884 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Lawrence Bafana Nkosi t/a L B Nkosi Brokers | 23282 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| LBMS Investments t/a Niel Scheepers Finansiële Adviesdienste | 12736 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Lefa Brokers CC | 28886 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Legacy Brokers CC | 40843 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Lehlohonolo Elias Motaung t/a Lehlohonolo Brokers | 17719 | 5 March 2011 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Lehumo Manabeng Trading Enterprise CC t/a Lehumo Manabeng Investments | 41125 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Lekubu Ditshehla Mphahlele t/a Ditshehla Brokers | 39858 | 6 March 2011 | Contravention of section 17(4) of the FAIS Act |
| Lelama Dreams Trading 6 CC t/a Unique Financial Services | 39869 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Lengwasa Consulting CC t/a Lesedi Risk Services | 33724 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Leon Liedeman And Associates (Pty) Ltd | 6808 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Leon Schalk Rossouw t/a Leon Rossouw Brokers | 10683 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Leons Auto Bar CC | 10233 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Lerato Elizabeth Ngoasheng | 38961 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Lerato Otlotleng Matthews Leburu t/a Huhudi Funeral Palour | 2918 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |

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| Lesejana David Mekgoe | 21884 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Leshika Funerals Directors CC | 39930 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Lesiba Frank Kekana | 24799 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act |
| Letlale Trading And Projects CC | 25305 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Letlapa Financial Services (Pty) Ltd | 13043 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Let's Trade 1326 CC t/a Ultimassure | 3194 | 6 March 2011 | Contravention of section 15A of the FSB Act |
| Letsolathebe Risk And Investment Brokers CC t/a Nhlapo Insurance Brokers | 39352 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Life And Group Advisory Services CC t/a Lagas | 6412 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Linda Rose Gey Van Pittius t/a Gey Van Piittius Insurance Brokers | 11290 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Linda Thokozile Ntoni t/a L Conco & Associates | 6383 | 6 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Lindile Albert Doko t/a LA Brokers | 28611 | 6 March 2011 | Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Lindiwe Petronella Mbele | 21563 | 5 March 2011 | Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act |
| Linette Low t/a Linette Low Financial Services | 12737 | 6 March 2011 | Contravention of section 15A of the FSB Act |