



# Republic of South Africa

## Form CoR 39

### About this Form

- This form is issued in terms of Section 70 (6) of the Companies Act, 2008, and Regulation 39 of the Companies Regulations, 2011.
- This form must be filed within 10 business days after any change of the information or the composition of the Board of Directors.
- The prescribed fee for filing this Notice is Nil.

### Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
Tel: 0861 843 384  
www.cipc.co.za

## Notice of Change of Directors

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

### Concerning:

(Name and Registration Number of Company)  
Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above named company or external company gives notice of the following change of information on or in the persons serving as directors of the company or external company. In the case of new directors, each person named has consented to assume that office.

Full name/former name, if any: \_\_\_\_\_

Identity number: \_\_\_\_\_

Nationality: \_\_\_\_\_

Passport number, if not South African: \_\_\_\_\_

Date of appointment: \_\_\_\_\_

Designation in company: \_\_\_\_\_

Residential address: \_\_\_\_\_  
\_\_\_\_\_

Business address: \_\_\_\_\_  
\_\_\_\_\_

Postal address: \_\_\_\_\_  
\_\_\_\_\_

Occupation: \_\_\_\_\_

South African resident: \_\_\_\_\_ (yes) \_\_\_\_\_ (no)

Nature of change: \_\_\_\_\_

Name and Title of person signing on behalf of the Company:

Authorised Signature:

**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 40.1**

**About this Notice**

- This notice is issued in terms of Section 80 of the Companies Act, 2008 and Regulation 40 (1) of the Companies Regulations, 2011.
- The fee for filing this Notice is R 250.

**Notice of Resolution to Wind-up Solvent Company**

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

**Concerning:**

*(Name and Registration Number of Company)*

Name: _____
Registration No.: _____

On \_\_\_\_\_, *(insert date)* the shareholders of the above named company adopted a special resolution to voluntarily wind up the company in terms of section 80 of the Companies Act, 2008.

In terms of section 80 (1), the winding up of the company is to be carried out by—

- The company.
- The creditors of the company.

If the winding up is to be carried out by the company, in support of this Notice, the company has attached a copy of the consent of the master, as required by section 80 (3).

**Contacting the Commission**

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 420  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 334  
www.cipc.co.za

**Name and Title of person signing on behalf of the Company:**

\_\_\_\_\_

**Authorised Signature:**

\_\_\_\_\_

# Republic of South Africa

## Form CoR 40.2

### About this Notice

- This notice is issued in terms of Section 82 (5) and (6) of the Companies Act, 2008 and Regulation 40 (7) of the Companies Regulations, 2011.
- The fee for filing this Notice is R250.

### Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

## Notice of Foreign Registration of Company

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

### Concerning:

(Name and South African Registration Number of Company)

Name: \_\_\_\_\_

Registration No.: \_\_\_\_\_

On \_\_\_\_\_, (insert date) the shareholders of the above named company adopted a special resolution to transfer the registration of the company to a foreign jurisdiction, namely \_\_\_\_\_, as contemplated in section 82 (5) of the Companies Act, 2008.

The company has complied with all the requirements for registration in the foreign jurisdiction, and has been advised that its application for registration in that jurisdiction is acceptable.

The company requests the Commission to remove it from the Companies Register with effect from \_\_\_\_\_, being the date of registration in the foreign jurisdiction.

Name and Title of person signing on behalf of the Company:

Authorised Signature:

**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 40.3****Demand Notice concerning Inactive Company****About this Notice**

- This notice is issued in terms of Section 82 (3) of the Companies Act, 2008 and Regulation 40 (2) of the Companies Regulations, 2011.
  - The company to whom this Notice is addressed must respond within 20 business days.
  - If the company fails within the specified time to respond to this Notice, or to satisfy the Commission, the Commission may issue a Compliance Notice requiring the company to file its overdue annual returns, or may issue a Notice of Pending De-registration of the company.
- This form is applicable to external companies also.

Date: \_\_\_\_\_

**Concerning:***(Name and Registration Number of Company)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The Commission believes that the above named company or external company may be inactive because it has failed to file an annual return in either of the past two years contrary to section 33 of the Companies Act, 2008.

The Commission requires the company or external company, within 20 business days after the date of this Notice, to either—

- (a) voluntarily satisfy the requirements of the Act, by filing all overdue annual returns ; or
- (b) provide a satisfactory explanation for its failure to file the required returns, and show satisfactory cause for the company or external company to remain registered

**Contacting the Commission**

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

**Name and Title of person signing on behalf of the Commission:****Authorised Signature:**

# Companies and Intellectual Property Commission Republic of South Africa

## Form CoR 40.4

### About this Notice

- This notice is issued in terms of Section 82 (3) of the Companies Act, 2008 and Regulation 40 (4) of the Companies Regulations, 2011.
- The company to whom this Notice is addressed must respond within 20 business days.
- If the company fails within the specified time to respond to this Notice, the Commission may De-register the company, without further notice.
- This form is applicable to external companies also.

## Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

## Notice of Pending De-registration

Date: \_\_\_\_\_

### Concerning:

(Name and Registration Number of Company)

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The Commission issued a Notice in Form CoR 40.3 to the above named company on \_\_\_\_\_ (Insert Date).

The company or external company has failed to respond, or in responding, has failed to satisfy the Commission in terms of section 82 (3).

The Commission advises the company or external company that the Commission will remove the company or external company from the Companies Register unless, within 20 business days after the date of this Notice satisfies the requirements of the Act, by filing all overdue annual returns.

Name and Title of person signing on behalf of the Commission:

\_\_\_\_\_  
 Authorised Signature:

# Companies and Intellectual Property Commission Republic of South Africa

## Form CoR 40.5

## Application for Re-instatement of De-registered Company

### About this Notice

- This notice is issued in terms of Section 82 of the Companies Act, 2008 and Regulation 40 (6) of the Companies Regulations, 2011.
- On an attached sheet, set out the interest of each applicant in the company and the grounds on which the applicants rely in requesting that the company be re-instated.
- The fee for filing this Notice is R 200.

This form is applicable to external companies also.

### Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

### Concerning:

*(Name and Former Registration Number of Company)*

Name: _____
Registration No.: _____

On \_\_\_\_\_, *(insert date)* the Commission de-registered the company in terms of section 82 of the Companies Act, 2008.

In terms of section 82 (4), the following person(s) apply to the Commission to re-instate the registration of the company, on the grounds set out on the attached sheet(s).

<i>(Insert the Name and Identity Number of each applicant).</i>	
Name	Identity No.

Name of applicant: \_\_\_\_\_

Signature: \_\_\_\_\_

# Companies and Intellectual Property Commission Republic of South Africa

## Form CoR 44

### About this Form

- This form is issued in terms of Section 85 (3) of the Companies Act, 2008 and Regulation 44 of the Companies Regulations, 2011.
- This form must be filed within 10 business days after any change in the appointments of company secretary, auditor or member of the audit committee.
- The fee for filing this Notice is R0.

### Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
Tel: 0861 843 384  
www.cipc.co.za

## Notice of Change of Auditor or Secretary

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

### Concerning:

*(Name and Registration Number of Company)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above named company gives notice of the following change in the persons it has appointed to serve as secretary, auditor or member of the audit committee of the company. In the case of new appointments, each person named has consented to that appointment:

*(For each person listed, show the person's name and identity or registration number, and the office to which they have been appointed, or in which they have ceased to serve.)*

Name: \_\_\_\_\_ Office: \_\_\_\_\_

Designated Auditor (if applicable) \_\_\_\_\_

ID/Reg/Practice No.: \_\_\_\_\_

Date of appointment/Resignation: \_\_\_\_\_

Name: \_\_\_\_\_ Office: \_\_\_\_\_

Designated Auditor (if applicable) \_\_\_\_\_

ID/Reg/Practice No.: \_\_\_\_\_

Date of appointment/Resignation: \_\_\_\_\_

Name: \_\_\_\_\_ Office: \_\_\_\_\_

Designated Auditor (if applicable) \_\_\_\_\_

ID/Reg/Practice No.: \_\_\_\_\_

Date of appointment/Resignation: \_\_\_\_\_

### Name and Title of person signing on behalf of the Company:

### Authorised Signature:



**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 46.1****About this Form**

- This form is issued in terms of Section 97 of the Companies Act, 2008 and Regulation 46 of the Companies Regulations, 2011.
- This form must be filed within 20 business days after the establishment of an employee share scheme.
- There is no fee for filing this notice.

**Contacting the Commission**

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
Tel: 0861 843 384  
www.cipc.co.za

**Notice of Employee Share Scheme**

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

From: \_\_\_\_\_ (Name of Company Appointed Compliance Officer)

**Concerning:**

(Name and Registration Number of Company)

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The Compliance Officer appointed by the above named company in terms of section 97 (1), advises that the company has established an employee share scheme, and files the attached documents as required by section 97 (2)(c), to the extent that the documents are not already on file.

**Name and Title of Compliance Officer:**

\_\_\_\_\_

**Authorised Signature:**

\_\_\_\_\_

**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 46.2**

**About this Form**

- This form is issued in terms of Section 97 of the Companies Act, 2008 and Regulation 46 of the Companies Regulations, 2011.
- This form must be filed within 60 business days after the end of the company's financial year.
- There is no fee for filing this notice.

**Contacting the Commission**

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001

Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

**Annual Certificate of Employee Share Scheme**

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

From: \_\_\_\_\_ (Name of Company Appointed Compliance Officer)

**Concerning:**

(Name and Registration Number of Company)

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The Compliance Officer appointed by the above named company in terms of section 97 (1), certifies that all requirements of the Act in terms of the Employee Share Scheme have been complied with during the company's financial year ending

\_\_\_\_\_.

**Name and Title of Compliance Officer:**

\_\_\_\_\_  
**Authorised Signature:**

**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 46.3****About this Form**

- This form is issued in terms of Section 99 (7) of the Companies Act, 2008 and Regulation 46 of the Companies Regulations, 2011.
- The fee for filing this Notice is R 100.

**Application concerning rights offer exclusion**

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

To: **The Commission**

From:

*(Name and Registration Number of Company)*

Name: \_\_\_\_\_ i \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above named company applies to exclude the following category of persons from a rights offer in terms of section 99 (7):

*(Description of category of persons to be excluded)*

In support of this application, the company states that—

1. None of the persons falling within the category or categories shown above are residents of the Republic.
2. The number of persons to be excluded appears to be fewer than \_\_\_\_\_.
3. The number of holders of the securities who are resident in the Republic is \_\_\_\_\_.
4. The estimated administrative cost of extending the offer to the categories of persons shown above is R \_\_\_\_\_.

**Contacting the Commission**

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO box 429  
Pretoria 0001

Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

**Name and Title of person signing on behalf of the Company:****Authorised Signature:**

**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 46.4****About this Form**

- This form is issued in terms of Section 99 of the Companies Act, 2008 and Regulation 46 of the Companies Regulations, 2011.
- The fee for filing this Notice is R 5000

**Registration of Prospectus or Letter of Allocation**

Date: \_\_\_\_\_ Customer code: \_\_\_\_\_

To: **The Commission**

From:

*(Name and Registration Number of Company)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above named company files for registration the attached prospectus or letter of allocation, dated \_\_\_\_\_, together with the required documents.

**Contacting the Commission**

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001

Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

**Name and Title of person signing on behalf of the Company:****Authorised Signature:**

**Companies and Intellectual Property Commission  
Republic of South Africa**

**Form CoR 46.5****Registration Certificate****About this Form**

- This form is issued in terms of Section 99 of the Companies Act, 2008 and Regulation 46 of the Companies Regulations, 2011.

Date: \_\_\_\_\_

From: **The Commission**

To:

*(Name and Registration Number of Company)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above named company filed for registration the attached prospectus or letter of allocation, dated \_\_\_\_\_, together with the required documents.

The Commission confirms that the Prospectus, or Letter of Allocation was registered as from the date of this certificate.

**Contacting the  
Commission**

The Companies and Intellectual  
Property Commission of South Africa

Postal Address: PO Box 429

Pretoria 0001

Republic of South Africa

tel: 0861 843 384

www.cipc.co.za

**Name and Title of person signing on behalf of the Commission:****Authorised Signature:**

# Companies and Intellectual Property Commission Republic of South Africa

## Form CoR 46.6

### Application to exclude information from prospectus

#### About this Form

- This form is issued in terms of Section 100 (9) and (10) of the Companies Act, 2008 and Regulation 46 of the Companies Regulations, 2011.
- The fee for filing this Notice is R 300.

Date: \_\_\_\_\_

To: **The Commission**

From:

*(Name and Registration Number of Company)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above named company applies to exclude the following required information from a prospectus, in terms of section 100 (9):

*(Description of information to be excluded)*

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In support of this application, the company states that—

*(State briefly the grounds on which the request is justified)*

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#### Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

**Name and Title of person signing on behalf of the Company:**

**Authorised Signature:**

# Takeover Regulation Panel Republic of South Africa

## Form TRP 84

### About this Form

- This form is issued in terms of Regulation 84 of the Companies Regulations, 2011.
- There is no fee for filing this Notice.

### Contacting the Panel

The Takeover Regulation Panel  
of South Africa

Postal Address: PO Box 91833  
Auckland Park 2006  
Republic of South Africa  
tel: 27 011 642 1301  
www.trpanel.co.za

## Declaration of coming into or out of concert

Date: \_\_\_\_\_

From:

*(Name and Identity or Registration Number of the person making the declaration)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

Concerning:

*(Name and Registration Number of the regulated company in which the declarant is interested)*

Name: \_\_\_\_\_ Registration No. \_\_\_\_\_

The above person advises that—

it is engaged in concert with the following person(s),

it is no longer engaged in concert with the following person(s)

with respect to their interests in the regulated company referred to above.

*(Insert Names and Identity or Registration numbers of concert parties)*

Name

Identity/Reg.No.

Name	Identity/Reg.No.
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Name and Title of person signing:

\_\_\_\_\_  
 Authorised Signature:

# Companies and Intellectual Property Commission Republic of South Africa

## Form CoR 89

### About this Notice

- This notice is issued in terms of Section 113 and 116 of the Companies Act, 2008 and Regulation 89 of the Companies Regulations, 2011.
- The fee for filing this notice is R 250, plus the applicable fees for each de-registration, amendment or new incorporation.

### Contacting the Commission

The Companies and Intellectual Property Commission of South Africa

Postal Address: PO Box 429  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
www.cipc.co.za

## Notice of Amalgamation or Merger

Date: \_\_\_\_\_ Customer Code: \_\_\_\_\_

### Concerning:

*(Name and Registration Number of each amalgamating or merging company)*

Name	Registration Number
_____	_____
_____	_____
_____	_____

The above named companies have amalgamated or merged in terms of an agreement contemplated in section 113 of the Companies Act. As a result—

- The following company or companies are to be de-registered in terms of section 116 (5):

Name	Registration Number
_____	_____

- The Memorandum of Incorporation of the following company or companies are to be amended, as set out in the attached Notice of Amendment in each case.

Name	Registration Number
_____	_____

- A new company has been incorporated, as set out in the attached Notice of incorporation.

The amalgamation or merger has been approved or cleared by—

- the Takeover Regulation Panel, in terms of Chapter 5 of the Act;  
 the Competition Commission, in terms of the Competition Act, 1998, if applicable, and  
 the Minister of finance, in terms of the Banks Act, if applicable.

The amalgamation or merger is not subject to any further court proceedings, approval procedures in terms of any law, or to any unfulfilled conditions in the agreement, or imposed by or in terms of any law.

### Name and Title of person signing:

\_\_\_\_\_

### Authorised Signature:

\_\_\_\_\_





# Takeover Regulation Panel Republic of South Africa

## Form TRP 121.1

### About this Form

- This form is issued in terms of Section 122 of the Companies Act, 2008 and Regulation 121 of the Companies Regulations, 2011.
- This form must be completed and delivered to the company concerned within 3 business days after any transaction that results in the total beneficial interest in a class of securities crossing any multiple of 5% of the issued securities of the class.
- The company to whom this Notice is delivered must file a copy of the notice with the Panel, and deliver a copy of it to all holders of beneficial interests in the class of securities concerned, unless the transaction amounted to less than 1% of the issued securities of the class.

### Contacting the Panel

The Takeover Regulation Panel of South Africa

Postal Address: PO Box 91833  
Auckland Park 2006  
Republic of South Africa  
tel: 27 011 642 1301  
www.trpanel.co.za

## Disclosure of acquisition or disposal of securities

Date: \_\_\_\_\_

From:

*(Name and Identity or Registration Number of the person making the disclosure)*

Name: \_\_\_\_\_ ID/Reg No: \_\_\_\_\_

To:

*(Name and Registration Number of the regulated company whose securities are the subject of the disclosure)*

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

The above person advises that it has

acquired

disposed of

a beneficial interest in securities of the company, such that the total of all beneficial interests of the securities of that class held by the person making the declaration are now \_\_\_\_\_ % of the total issued securities of that class.

Name and Title of person signing:

\_\_\_\_\_  
Authorised Signature: