

Louis Trichardt Versekeringsmakelaars BK	26849	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louis Wallis	12000	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louisa Suzanne Jaftha	34138	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louise Margaret Truter t/a Louise Truter	7436	20 May 2010	Contravention of section 15A of the FSB Act
Loure Basson	16259	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lourens C de Lange	12794	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lowveld Estate Solutions (Pty) Ltd	11666	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LPM Musa Events CC	39551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LR Sisinyi Financial Services CC	7738	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LSG Business Services (Pty) Ltd	10598	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LTE Risk Managers CC	17320	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lubada LWA Vhathu and Associates CC	24433	20 May 2010	Contravention of section 15A of the FSB Act
Lucas Nkosinathi Zulu	35027	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lucid Advisory Services (Pty) Ltd	36001	29 March 2010	Contravention of section 15A of the FSB Act

Lucindi Annandale	14018	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lucre The Financial Collective CC	12248	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lukhope Chris Brokers CC	19784	26 March 2010	Contravention of section 15A of the FSB Act
Lushale Financial Solutions CC	30365	29 March 2010	Contravention of section 15A of the FSB Act
LVS Insurance Brokers	16108	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lynoks Business Enterprise CC	22027	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
M & W Financial Services CC	15466	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
M Ceko Brokerage CC	22248	20 May 2010	Contravention of section 15A of the FSB Act
M M Mmuoe Brokers CC	4864	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maarten Jacobus Petrus Coetzee	16926	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maarwaana Moerat	26891	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mabandla Benjamin Ndloyo t/a Mutual Brokers	19397	20 May 2010	Contravention of section 15A of the FSB Act
Mabeba Funeral Parlour CC	20443	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Machele John Mosikatsana	29330	26 March 2010	Contravention of section 15A of the FSB Act

Madimetja Rufus Ramotebele	6096	26 March 2010	Contravention of section 15A of the FSB Act
Madoda Mbulelo Samson	24676	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Madwe Business Enterprises CC	37394	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maeteko Funeral Services CC	8683	22 April 2010	Contravention of section 15A of the FSB Act
Maeve Maroun	34409	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magasa Samuel Nkoane	11059	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magaye Cardinal Wallace Cele	21370	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magdalena Susanna van Rooyen t/a Uphongolo Funerals	19640	26 March 2010	Contravention of section 15A of the FSB Act
Magic Power Funeral Undertaker CC	23043	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magrieta Isabella Jansen van Vuuren t/a MIJ van Vuuren Brokerage	18236	26 March 2010	Contravention of section 15A of the FSB Act
Mahlako Tepanyika	36417	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mahlomaholo Bothman Moreko	19250	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mahlomola Jerry Mogotsi	12473	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maila Funerals CC	9244	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Maine Clive Patrick Molibeli	18848	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mainsail Risk CC	31068	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MAK Financial Planners CC	36685	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Makhanta Jimmy Maswangaye t/a Horizons Brokers	4791	19 May 2010	Contravention of section 15A of the FSB Act
Makhosi Ncwana t/a Chief Insurance Brokers	3669	19 May 2010	Contravention of section 15A of the FSB Act
Makhunga Investment Holdings CC	36151	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Makopo Risk Solutions CC	29489	20 May 2010	Contravention of section 15A of the FSB Act
Makunga Trading Enterprise CC	36676	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Malangeni Advisory Services CC	16 719	19 May 2010	Contravention of section 15A of the FSB Act
Malangeni Avisory Services CC	16719	19 May 2010	Contravention of section 15A of the FSB Act
Malcolm E Buxmann CC	30238	20 May 2010	Contravention of section 15A of the FSB Act
Malcolm Lizwe Mac Mavuma	7066	26 March 2010	Contravention of section 15A of the FSB Act
Malcolm Winston Hendricks	19393	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Malinda Llewelyn Nomvalo	38349	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Malock Makelaars CC	21034	20 May 2010	Contravention of section 15A of the FSB Act
Mamakazi Trading Enterprise CC	37595	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mamie Emma Mtupa	35552	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mamohola Financial Services CC	17714	19 May 2010	Contravention of section 15A of the FSB Act
Mamokgadi Financial Services CC	7347	26 March 2010	Contravention of section 15A of the FSB Act
Mandhla Mtembu	10693	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mandla & Njabulo Consultancy & Projects CC	35148	29 March 2010	Contravention of section 15A of the FSB Act
Mandla Admire Khumalo	33745	29 March 2010	Contravention of section 15A of the FSB Act
Mandlenkosi Godfrey Mkhize	35132	29 March 2010	Contravention of section 15A of the FSB Act
Mandlenkosi Sipho Afrika Sikhakhana	18347	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Manelly Risk Management CC	31849	29 March 2010	Contravention of section 15A of the FSB Act
Mangoegape Funeral Parlour CC	7958	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mangwevana John Radebe	33048	29 March 2010	Contravention of section 15A of the FSB Act
Manini Florence Paulus	25619	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Manthwadi Trading Enterprise CC	30000	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maphoko Distributors and Financial Services CC	21417	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maponya Nathaniel Masitenyane	24005	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marc Christopher Snyman t/a MCS Financial Services	14119	19 May 2010	Contravention of section 15A of the FSB Act
Maria Elizabeth Cassell t/a Cassell Brokers	3583	19 May 2010	Contravention of section 15A of the FSB Act
Maria Elizabeth Swart	16660	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maria Salome Snyders	30359	20 May 2010	Contravention of section 15A of the FSB Act
Maria Susanna Annandale	12247	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mariaan Makelaars BK	37038	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mariette Freeman t/a Freeman Brokers	15296	19 May 2010	Contravention of section 15A of the FSB Act
Mariri Trading 98 CC t/a Pelser Brokers	18666	26 March 2010	Contravention of section 15A of the FSB Act
Marisa Dippenaar	34017	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marius Christo Strydom t/a Commercial Industrial Insurance Brokers	28140	26 March 2010	Contravention of section 15A of the FSB Act
Marius Etsebeth	11718	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Marius Maritz Makelaars	12405	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marius Wynand Rudolf de Klerk	9235	29 March 2010	Contravention of section 15A of the FSB Act
Marjohn Brokers CC	26200	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mark Payne Consulting CC	20086	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mark Thomas Heatlie	7963	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mark Walter Karsten t/a Mark Karsten and Associates	21886	26 March 2010	Contravention of section 15A of the FSB Act
Market Demand Trading 216 (Pty) Ltd t/a Uthango Financial Services	35759	29 March 2010	Contravention of section 15A of the FSB Act
Market Demand Trading 92 (Pty) Ltd	16807	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Market Trends CC	22028	20 May 2010	Contravention of section 15A of the FSB Act
Marshawes CC	17439	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martha Jacqueline Jennepher Mokgalagadi t/a Help Mekaar Begrafnis Skema	18531	26 March 2010	Contravention of section 15A of the FSB Act
Martha Jacqueline Jennepher Mokgalagadi t/a Ikageng Begrafnis Ondernemers	24341	20 May 2010	Contravention of section 15A of the FSB Act
Martha Mokgalagadi t/a Help Mekaar Begrafnis Skema	26779	26 March 2010	Contravention of section 15A of the FSB Act
Marthinus Albertus Pool t/a Manyana Brokers	25968	26 March 2010	Contravention of section 15A of the FSB Act

Marthinus Beyers Brink t/a Brink Financial Services	33290	29 March 2010	Contravention of section 15A of the FSB Act
Marthinus Johannes Zaayman t/a M J Zaayman Makelaars	14275	19 May 2010	Contravention of section 15A of the FSB Act
Marthinus Petrus de Jager	27354	26 March 2010	Contravention of section 15A of the FSB Act
Marthinus Petrus Loubser	10122	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martin Sidney Foster t/a Martin Foster Financial Planners	7938	19 May 2010	Contravention of section 15A of the FSB Act
Martinus Brokers	1723	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martinus Coenraad Viljoen t/a Ultimate Underwriter	3036	19 May 2010	Contravention of section 15A of the FSB Act
Martinus Malan	188	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martinus Petrus Bezuidenhout	25248	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marumo Mlangeni	31744	29 March 2010	Contravention of section 15A of the FSB Act
Masakane Coffin Society CC	19433	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Masakane Insurance Brokers CC	21076	20 May 2010	Contravention of section 15A of the FSB Act
Masello Business Enterprise CC	10413	19 May 2010	Contravention of section 15A of the FSB Act
Mashika Johannes Malahlela	7771	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mashoshoba Trading CC	33644	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Masibambaneni Funeral Undertaker CC	15690	19 May 2010	Contravention of section 15A of the FSB Act
Mathibedi Isaac Mogoane	22551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mathilda Cecelia Heine	3903	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mathseb Cattle And Meat Services CC t/a Dirisanang Ma-Africa Society	11312	19 May 2010	Contravention of section 15A of the FSB Act
Matilda van Burick t/a Matilda van Burick Financial Advisor	20531	26 March 2010	Contravention of section 15A of the FSB Act
Matladi Ruth Tsele	4992	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matona Risk Solutions	8893	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matorokisi Financial Services CC	37667	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matsimbi Investments CC t/a Excel Healthcare Brokers	2821	19 May 2010	Contravention of section 15A of the FSB Act
Matthew Livingstone Botha	39115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matthew Viljoen Brokers CC	7433	29 March 2010	Contravention of section 15A of the FSB Act
Matthews Magubhana Ndubane	6041	19 May 2010	Contravention of section 15A of the FSB Act
Matthysen Funeral Services CC	18599	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Maudi Swinny Mogale	21822	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mava George Mkukwana t/a Pro-Elite Financial Services Solution	9515	26 March 2010	Contravention of section 15A of the FSB Act
Mawabo Onesmus Mahlaza	31680	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mawonga Ayliff Hanxa	22746	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maxwell Eric Kohler	129	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mayfair Medical Solutions CC	34090	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mbhobho Investments CC t/a First Class Funeral Services	9021	26 March 2010	Contravention of section 15A of the FSB Act
Mboneni Daniel Mntuwenkosi Mdluli	36944	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mboyi Health Care and Financial Consultants CC	29765	29 March 2010	Contravention of section 15A of the FSB Act
Mbulaheni Eric Raliwedza t/a Una Rine Insurance Brokers	20501	20 May 2010	Contravention of section 15A of the FSB Act
Mbulelo Thomas Thinta	23098	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mbutana Joe Mamaile	28025	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mbuti Isaac Singela t/a Kutloano Health Care Services	23016	20 May 2010	Contravention of section 15A of the FSB Act
Mbuyiseni Henry Masuku	36438	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

MC Sithole Financial Services CC	5549	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MC2 Asset Management CC	34466	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mcdonald Matsalaneng Baabua	20404	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mchunu Financial Advisory Services CC	18615	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MCX Brokers CC	10041	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mduduzi Capricon Gazu	36656	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ME Ntshihlele	13718	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Medaphahlane Financial Advisors CC	26584	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Medfin Financial Services (Pty) Ltd t/a Medfin Services	19105	20 May 2010	Contravention of section 15A of the FSB Act
Medical Prefunders (Pty) Ltd	32260	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Melusiwami Trading Enterprise CC	38391	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Melvin Eric Petersen	18853	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mentor Healthcare Planning (Pty) Ltd	13358	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Meraka Consultants CC	20741	20 May 2010	Contravention of section 15A of the FSB Act

Mercari Financial Services (Pty) Ltd	777	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mercury Insurance Solutions (Pty) Ltd	17405	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Merimate Trade 1017 CC	29678	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Meriting Financial Services CC	35962	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Meriting Finguard (Pty) Ltd	31292	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Merle Verna Hollenberg	26199	30 March 2010	Contravention of section 15A of the FSB Act
Meshack Tshidiso Duiker	27622	20 May 2010	Contravention of section 15A of the FSB Act
Metro Financial Services CC	18605	26 March 2010	Contravention of section 15A of the FSB Act
Mgabadelo Trading Enterprise (Pty) Ltd	36482	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mhlangaveza Funeral Services CC	36121	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Angela Brokers CC	17201	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Brunette	24840	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Dodds t/a M Dodds and Associates	2465	19 May 2010	Contravention of section 15A of the FSB Act
Michael Edward De Gouveia t/a Priceless Motors	20755	26 March 2010	Contravention of section 15A of the FSB Act

Michael Edwards Melville Matthews	15222	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Ettienne Griessel	28394	26 March 2010	Contravention of section 15A of the FSB Act
Michael Frederick Sumption t/a D & L Insurance Brokers	2682	19 May 2010	Contravention of section 15A of the FSB Act
Michael Luden CC	20983	26 March 2010	Contravention of section 15A of the FSB Act
Michael Mduduzi Luthuli t/a Michael Luthuli & Associates	31832	29 March 2010	Contravention of section 15A of the FSB Act
Michael Norman Carlisle Leach	3334	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Sindile Poni t/a Professional Advisory Services	18097	19 May 2010	Contravention of section 15A of the FSB Act
Michelle Gwyneth Booyens	19253	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michiel Brits	13519	19 May 2010	Contravention of section 15A of the FSB Act
Micromath Trading 794 CC t/a Dynamic Brokers	10726	19 May 2010	Contravention of section 15A of the FSB Act
Microzone Trading 857 CC t/a Subban Financial Brokers	33246	29 March 2010	Contravention of section 15A of the FSB Act
Midland Brokers CC	14081	19 May 2010	Contravention of section 15A of the FSB Act
Mike Gale	4172	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Milprops 349 CC	4177	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Minroc Funeral Parlour CC	12412	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

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Miroa Alpheus Malekana	34582	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mirror Short Term Solutions (Pty) Ltd	32447	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MIT Financial Services CC	24894	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mitchell Crane MCColl	39181	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MK Mobile CC	31258	29 March 2010	Contravention of section 15A of the FSB Act
Mkhari Funeral Directors (Pty) Ltd	19731	26 March 2010	Contravention of section 15A of the FSB Act
Mlindi Samson	18704	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mlungisi Jerome Hlophe	34593	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mlungisi Keswa	32093	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mlungisi Mniya	22996	20 May 2010	Contravention of section 15A of the FSB Act
Mmanthopa Construction and Projects 6 CC	39044	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mmboswobeni Eric Ratshiungo	34403	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MMC Insurance Brokers CC	13871	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mnandi Insurance Brokers (Pty) Ltd	25018	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mncedisi Protas Dlepu	18696	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MNE Solutions CC	34131	29 March 2010	Contravention of section 15A of the FSB Act
Mngambi & Sons Funeral Services CC	24345	20 May 2010	Contravention of section 15A of the FSB Act
Mnumzana Jan Ncetani	28468	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mochakane Mzanempi Petrus Khumalo t/a Mmp Khumalo Financial Services	10824	19 May 2010	Contravention of section 15A of the FSB Act
Moche Financial Services	10044	19 May 2010	Contravention of section 15A of the FSB Act
Modus Insurance Brokers CC	18383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moeketsi Joseph Ntsiea	15058	19 May 2010	Contravention of section 15A of the FSB Act
Moepagauta Trading Enterprise 10 CC	38363	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mogaetsho Isiah Nicholas Pooe	25515	20 May 2010	Contravention of section 15A of the FSB Act
Mogamat Jappie	12763	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mogomotsi DM Advisory Services CC	36253	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mohlamme Funeral Services	33113	13 July 2010	Contravention of Section 17(1), & 19 (1) of the FAIS Act

Moholo Investments (Pty) Ltd	30142	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mohubuke Funeral Parlour CC	2774	26 March 2010	Contravention of section 15A of the FSB Act
Moila Funeral Directors CC	8736	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mokase Health Care Plan CC	36328	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Momento Brokers CC	30323	20 May 2010	Contravention of section 15A of the FSB Act
Mondli Marion Dlamini	35121	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Monemo Consulting (Pty) Ltd	27723	20 May 2010	Contravention of section 15A of the FSB Act
Moneo Financial Planners (Pty) Ltd	35324	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Money Box Investments 60 (Pty) Ltd	27310	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Money Mosaic (Pty) Ltd	28465	20 May 2010	Contravention of section 15A of the FSB Act
Monica Barbara Syfert	11680	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Monique Volschenk	20046	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Montaque Gardens Insurance Brokers CC t/a Provincial Insurance Brokers	1986	19 May 2010	Contravention of section 15A of the FSB Act
Monye-Le-Shako Community Development CC	15280	19 May 2010	Contravention of section 15A of the FSB Act

Moorivier Makelaars Bloemfontein (Edms) Bpk	14864	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moorivier Makelaars Ottosdal CC	32363	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moofin Management (Pty) Ltd	40	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moonstone Trading CC	12482	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moosa Ismail Ally t/a VIP Estate and Insurance	5574	19 May 2010	Contravention of section 15A of the FSB Act
Morga Govender & Associates CC	15013	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Morne Campher	27974	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Morne Thomas Fourie	14647	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mortgage Group (Pty) Ltd	28746	29 March 2010	Contravention of section 15A of the FSB Act
Moses Mntungwa	35940	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moses Mzayifani Ndlovu	22671	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moshiga Telecommunications CC	29849	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mosira Shadrack Petrose Motsatse t/a MSP-Motsatsa Financial Services	35131	29 March 2010	Contravention of section 15A of the FSB Act
Motaung Funeral Undertakers CC	17161	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mothusi Matthews Lenyatsa	36579	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Motiff Financial Services CC	14295	29 March 2010	Contravention of section 15A of the FSB Act
Motla Funeral Parlour CC	13201	19 May 2010	Contravention of section 15A of the FSB Act
Motlhaodi William Malgas	29251	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Motor Mecca Brits (Pty) Ltd	20568	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Motshidisi Funeral Services CC	25880	26 March 2010	Contravention of section 15A of the FSB Act
Motsoaledi Howard Motau	18456	26 March 2010	Contravention of section 15A of the FSB Act
Motubatse David Matlala t/a M D Matlala Brokers	17149	19 May 2010	Contravention of section 15A of the FSB Act
Mountainsea Insurance Broker CC	16846	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mountbatten Insurance Consultants (Pty) Ltd	13593	19 May 2010	Contravention of section 15A of the FSB Act
Mpati Funeral Services CC	27990	30 March 2010	Contravention of section 15A of the FSB Act
Mpeta Insurance Brokers CC	26528	26 March 2010	Contravention of section 15A of the FSB Act
Mpolokeng Funeral Parlour CC	25900	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mpumelelo Bekisisa Roland Nxasana	4523	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

MRA Motors CC	30135	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Msikho Property Investment CC	31204	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MT Funeral Parlour CC	13076	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mt Insurance Brokers CC	31349	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MTB Tourism Services CC	8547	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mtshana Trading Enterprise CC t/a MTE Financial Services	33333	29 March 2010	Contravention of section 15A of the FSB Act
Mthabane and Bahlabane Trading Enterprise CC	26805	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mtoyindoda M Ngwexana t/a Ncedisizwe Funeral Undertakers	17554	19 May 2010	Contravention of section 15A of the FSB Act
Mtoyindoda M Ngwexana t/a Ncediswe Funeral Undertakers	17554	19 May 2010	Contravention of section 15A of the FSB Act
Mtsweni Bismarck	3376	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mufaro Brokers CC	6841	26 March 2010	Contravention of section 15A of the FSB Act
Mukasi Joe Trading Enterprise CC	36675	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Multilayer Trading 419 CC t/a Zwelihle Funeral Home	11432	19 May 2010	Contravention of section 15A of the FSB Act
Multiple Financial Services CC	23469	29 March 2010	Contravention of section 15A of the FSB Act

Muriel Jacoline Oosthuizen t/a Millennium Financial Advisory Services and Brokers	20950	26 March 2010	Contravention of section 15A of the FSB Act
Murnau Motors Rustenburg (Pty) Ltd	20388	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Musa Doctor Ngubane	38356	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Musitek (Pty) Ltd	16825	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Musundwa Samson Ratombo	22031	26 March 2010	Contravention of section 15A of the FSB Act
Mutual Interests (Pty) Ltd	13964	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mutual Ties Brokers CC	19032	26 March 2010	Contravention of section 15A of the FSB Act
Muziwamandla Joel Musawenkosi Gumede	39320	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Muziwonke Trading Enterprise CC t/a Thabizolo Brokers	35038	29 March 2010	Contravention of section 15A of the FSB Act
Mvuleni Phillip Mdayi	21803	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mwelela Trading CC t/a Mwelela Funeral Services	24772	20 May 2010	Contravention of section 15A of the FSB Act
Mxolisi Mdhluli	11835	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
My Label Trading CC	37681	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Myalezi Maloti Brokers CC	39249	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mzansi Insurance Brokers CC	37114	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzanywa Bonginkosi Mkhize t/a Ons Brokers	26989	20 May 2010	Contravention of section 15A of the FSB Act
Mzansi Risk Management CC	32947	29 March 2010	Contravention of section 15A of the FSB Act
Mzao Financial Solutions (Pty) Ltd	20011	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzikayise Meagwick Gavu t/a Ms Consultants	18279	19 May 2010	Contravention of section 15A of the FSB Act
Mzileni & Associates CC	27222	26 March 2010	Contravention of section 15A of the FSB Act
Mzizi Funeral Directors CC	13091	19 May 2010	Contravention of section 15A of the FSB Act
Mzoleli Wiseman Joko	17163	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzukiseni Investment Resources CC	5780	26 March 2010	Contravention of section 15A of the FSB Act
Mzukisi Reginald Mdeliswa	26770	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzwabantu Raymond Vandala t/a Vandala Brokers	26798	26 March 2010	Contravention of section 15A of the FSB Act
Mzwandile Calvert Lokotwayo	12738	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzwandile Joseph Mpofu	29717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
N & E Emergency Assistance Centre CC t/a NEEAC	34724	29 March 2010	Contravention of section 15A of the FSB Act

N Botlhoko Business Consultant CC	19432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
N J R Du Plessis Computer A and Electronics CC t/a NJR Begrafnisdienste	15398	19 May 2010	Contravention of section 15A of the FSB Act
NA Mhlongo & Associates CC	13847	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nacora Insurance Brokers (Pty) Ltd	1135	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Naidoo Investment Trust	17247	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nandisa Brokers CC t/a Nandisa Insurance Brokers	30086	20 May 2010	Contravention of section 15A of the FSB Act
Naomi Esther de Beer t/a Deon de Beer Makelaars	15567	19 May 2010	Contravention of section 15A of the FSB Act
Nare Healthcare Services CC	11264	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Narisha Ramkelawan t/a Mutual Lite Brokers	25973	26 March 2010	Contravention of section 15A of the FSB Act
Nasara Insurance Brokers CC	20705	26 March 2010	Contravention of section 15A of the FSB Act
Naseema Hassim Essa	26559	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nash Motors CC t/a Nash Nissan	9932	19 May 2010	Contravention of section 15A of the FSB Act
Nasrulla Enus	15454	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nassau Makelaars BK	12747	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

National Pride 279 (Pty) Ltd t/a Eden Connect	28268	20 May 2010	Contravention of section 15A of the FSB Act
National Road Accident Cover (Pty) Ltd	30882	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
National Teachers Union	19170	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Natrisk Brokers CC	9944	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Natures Health Products (Pty) Ltd	36433	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Naushaads Car Sales CC	27995	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nayagar Financial Services	26313	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nazeem Adams	33006	29 March 2010	Contravention of section 15A of the FSB Act
Ncabane Funeral Services CC	36122	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ncanana Funeral Services CC t/a Zockey Business Enterprises	22927	20 May 2010	Contravention of section 15A of the FSB Act
NCD Brokers CC	16057	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ncongwane Funeral Services CC	22925	20 May 2010	Contravention of section 15A of the FSB Act
Ndabambi Thomas Sithole	33722	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndabezinhle Tembane t/a SN Financial Brokers	20240	20 May 2010	Contravention of section 15A of the FSB Act

Ndala Brokers CC	37971	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndizwa Timothy Shobede	39439	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndlandlalati Financial Consulting CC	38974	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndlelanhle Funeral Services CC t/a Zweli Financial Services	11791	19 May 2010	Contravention of section 15A of the FSB Act
Neelandran Chetty t/a Universal Consultants	17387	19 May 2010	Contravention of section 15A of the FSB Act
Neels Ras Motors CC	28200	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
N-E-Fg Custodian Services (Pty) Ltd	25242	20 May 2010	Contravention of section 15A of the FSB Act
Neil Howard Gellman	25383	29 March 2010	Contravention of section 15A of the FSB Act
Neil Kelly t/a Kelly Financial Services	6745	19 May 2010	Contravention of section 15A of the FSB Act
Nelisa Mlisana	39361	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nelson Lepulana Selwana t/a Nape Brokers	34271	29 March 2010	Contravention of section 15A of the FSB Act
Nelspruit Auto Motors (Pty) Ltd	28594	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Neo Quintin Shale t/a Rainbow Health Care Broker	34143	29 March 2010	Contravention of section 15A of the FSB Act
Neville Eksteen Brokers Trust	17382	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Neville Hide & Associates Incorporated	16601	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Neville Trevor Tegg	8466	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
New Dimension Insurance Brokers CC	7376	19 May 2010	Contravention of section 15A of the FSB Act
New Future Financial Services CC	15777	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
New Germany Brokers and Estate Agents CC t/a Atlantic Broker Services	34850	29 March 2010	Contravention of section 15A of the FSB Act
New Heights Iz(Pty) Ltd t/a Eagle Financial Services	19661	20 May 2010	Contravention of section 15A of the FSB Act
Ngazimbi Michael Sithole	17950	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ngiphamandla Construction and Project CC	38185	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ngoako Reginald Moagi t/a Banareng Financial Solutions	27053	20 May 2010	Contravention of section 15A of the FSB Act
Nhlalala Marketing and Finance CC	33406	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nhlanhla Alexis Dlamini	20665	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nicklaus Modise Seerane	29819	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nico Lotz t/a Uni-Vest	27492	26 March 2010	Contravention of section 15A of the FSB Act
Nico Odendaal CC	36830	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Nico Roy Human t/a Coastal Financial Services	20391	20 May 2010	Contravention of section 15A of the FSB Act
Nicol Buchner t/a Nicol Brokers	13660	29 March 2010	Contravention of section 15A of the FSB Act
Nicolaas Everhardus Louw t/a Euvard Louw Brokers	5936	26 March 2010	Contravention of section 15A of the FSB Act
Nicolaas Johannes Human	4871	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nicolaas Johannes Solomon Kock	20931	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nicolaas Pieter Windell t/a Vosloo & Windell	12375	19 May 2010	Contravention of section 15A of the FSB Act
Nicolette Erasmus t/a Erasmus Consulting	27083	26 March 2010	Contravention of section 15A of the FSB Act
Nikingcebo Investments (Pty) Ltd	35453	29 March 2010	Contravention of section 15A of the FSB Act
Nikki Patience Yenge t/a Nikkie	26324	26 March 2010	Contravention of section 15A of the FSB Act
Ninscor Two CC	16467	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nirendra Roopchund t/a Roopchund Financial Services	18046	19 May 2010	Contravention of section 15A of the FSB Act
Nirmala Pather t/a Tyde Insurance Brokers	7614	26 March 2010	Contravention of section 15A of the FSB Act
Njabuloyethu Financial Services CC	39315	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nkahloleng Aubrey Phasha	38551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nkhangweni Netshisaulu t/a Horizon Insurance Brokers	12750	19 May 2010	Contravention of section 15A of the FSB Act

Nkoana Assurance Brokers CC	6136	29 March 2010	Contravention of section 15A of the FSB Act
Nkoana Matome Hendrick & Associates CC t/a MHN Legal Costs Assistance	4182	19 May 2010	Contravention of section 15A of the FSB Act
Nkoane Healthcare Consultant CC t/a Healthcare Consultant	20687	20 May 2010	Contravention of section 15A of the FSB Act
Nkosenhle Wilberforce Mbokazi	22906	20 May 2010	Contravention of section 15A of the FSB Act
Nkosinathi Nathi Sosibo	21182	26 March 2010	Contravention of section 15A of the FSB Act
Nkoyono Peter Tema t/a Tema Financial Services	12033	19 May 2010	Contravention of section 15A of the FSB Act
NLA Life (Pty) Ltd	11220	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
NLK Brokers CC	7987	19 May 2010	Contravention of section 15A of the FSB Act
NMH Consultancy CC	5694	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	20 May 2010	Contravention of section 15A of the FSB Act
Noluthando Khomo t/a Khomo Financial Services	9863	19 May 2010	Contravention of section 15A of the FSB Act
Nomalungisa Qadi	37544	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nomusa Shirley Molefe	21459	20 May 2010	Contravention of section 15A of the FSB Act
Nomvula Risk Services (Pty) Ltd	38817	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nontaba Yvonne Mpofu	23679	20 May 2010	Contravention of section 15A of the FSB Act

Norman Ephraim Vilakazi	38820	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
North Ridge Alliance (Pty) Ltd	28917	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Northern Jungle Trading 123 (Pty) Ltd t/a Dlamini & Associates	25144	20 May 2010	Contravention of section 15A of the FSB Act
Northern Jungle Trading 81 (Pty) Ltd t/a Gerrie van Vuren Financial Services	24723	29 March 2010	Contravention of section 15A of the FSB Act
Northpark Trading 91(Pty) Ltd t/a Prosure FRC	7474	19 May 2010	Contravention of section 15A of the FSB Act
Nouveau Investments and Insurance Brokers CC	15865	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Novazz Funeral Services CC	11425	19 May 2010	Contravention of section 15A of the FSB Act
Nozicelo Abrahams	33798	29 March 2010	Contravention of section 15A of the FSB Act
Nqobile Victor Zungu t/a Nqobile Insurance Brokers	24346	20 May 2010	Contravention of section 15A of the FSB Act
NSA Developments CC t/a NSA Financial	7849	26 March 2010	Contravention of section 15A of the FSB Act
Nsele Trading 17 (Pty) Ltd	22676	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntabankulu Brian Matshaya	35632	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntamane Funeral Services	29535	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nthabiseng Elizabeth Maklein	18108	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Nthabiseng Henrietta Lekoro	20369	29 March 2010	Contravention of section 15A of the FSB Act
Nthentole Financials and Investments CC	30606	20 May 2010	Contravention of section 15A of the FSB Act
Nthobi Norah Mokgothadi	5495	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntinga Health & Financial Services CC	32188	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntirocapital Investment CC	39009	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntokozo Khoza	26021	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntokozo Ndebele	32283	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntombizine Confidence Tiyo	38585	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nucleus Investments (Pty) Ltd	1858	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nuqood Financial Services (Pty) Ltd	37914	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nu-Vision Financial Consultants CC	12486	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nuwejaar Amos Mofokeng	12483	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nwabisa Abrahams	37607	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nwali Insurance Brokers CC	11001	19 May 2010	Contravention of section 15A of the FSB Act

Nyaweni Jan Lebyana	35138	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nzaliseko Brokers (Pty) Ltd	35575	29 March 2010	Contravention of section 15A of the FSB Act
Obakeng Jack Motsaathebe	12335	19 May 2010	Contravention of section 15A of the FSB Act
OCL Holdings (Pty) Ltd	15761	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ogwini Funeral Directors CC	15295	19 May 2010	Contravention of section 15A of the FSB Act
Omar Farouk Mahomed Suleman	10317	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Omni Insure (Pty) Ltd	36937	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Omnilife CC	38517	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Onderdak Versekerings Makelaars BK	37877	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
One Logix (Pty) Ltd t/a Vehicle Delivery Services & Gologix Media Express	19185	20 May 2010	Contravention of section 15A of the FSB Act
Oracle Risk Solutions CC	21548	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Orandia (Pty) Ltd	19290	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Oranje Meganies (Pty) Ltd	20148	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Orient Estates CC	37492	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Orsum Cars Corporation CC	28781	26 March 2010	Contravention of section 15A of the FSB Act
Osiris Communications (Pty) Ltd t/a Bounty Services	21321	30 March 2010	Contravention of section 15A of the FSB Act
OTT International Holdings CC	34562	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Otto La Grange	7154	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Outcomes Management Consultants (Pty) Ltd	24403	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
OV Makelaars (Edms) Bpk	16146	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Owen Victor Parvess	10517	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Oxygen Healthcare (Pty) Ltd	24319	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Oyisha Product Distributors CC	23100	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
P and S Projects and Cash Loans CC	7754	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
P S Financials	17945	03 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
P5 Brokers CC	37280	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pale Orange CC	24069	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Palm Burial Services CC	31303	29 March 2010	Contravention of section 15A of the FSB Act

Pamela Ann Evans	28033	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paradigm Insurance Brokerage CC	18341	26 March 2010	Contravention of section 15A of the FSB Act
Patience Nomonde Pakati-Ndlebe	37429	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Patricia Nokulunga Makunga	27013	26 March 2010	Contravention of section 15A of the FSB Act
Patrick Donald Rossouw	24253	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Patrick Jeremy Eric Hill	12115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Patrick Mtolo (Pty) Ltd	29214	26 March 2010	Contravention of section 15A of the FSB Act
Patrick Vulindlela Maju	39398	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Allan Kuhn	30087	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Andrew Winder	18839	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Bezuidenhout t/a Bezuidenhout Makelaars	28397	29 March 2010	Contravention of section 15A of the FSB Act
Paul Ernest Preen	29265	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul George Skelton	21262	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Siphiri Maboya t/a P S Brokers	17842	19 May 2010	Contravention of section 15A of the FSB Act

Paul Solani Khosa t/a Rihanyo Marketing	31272	29 March 2010	Contravention of section 15A of the FSB Act
Paul Soobramoney Financial Services CC	39381	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PDK Business Services CC t/a PDK Financial Services & Insurance Brokerage	8059	19 May 2010	Contravention of section 15A of the FSB Act
PDR Management Services CC	2322	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peace Investments & Life Consultants CC	11587	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pearl Coral 1370 CC	31662	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pearly Gate Funeral Services CC	18685	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pecinia Brokers CC	11067	29 March 2010	Contravention of section 15A of the FSB Act
Pegasus Equine Insurance Brokers CC	3578	19 May 2010	Contravention of section 15A of the FSB Act
Peoplescar (Pty) Ltd t/a Max4cars	11373	19 May 2010	Contravention of section 15A of the FSB Act
Percy Thabo Tladi	29660	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Perfecsure Lewens Makelaars (Pty) Ltd	6845	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Perumal Gordon Govindsamy	9443	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter Bernard Cramer	16158	19 May 2010	Contravention of section 15A of the FSB Act

Peter Erhard Bolton	16045	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter John Farham	5381	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter John Gynge	25671	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter Karvelas	14967	21 May 2010	Contravention of section 15A of the FSB Act
Petronella Aletta Botha t/a Lorraine Botha Makelaars	25784	29 March 2010	Contravention of section 15A of the FSB Act
Petronella Elisabeth Viljoen t/a Briny Viljoen Brokers	13721	19 May 2010	Contravention of section 15A of the FSB Act
Petronella Johanna Meyer t/a Anel Meyer Makelaars	16 885	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Cornelius Johannes Swart t/a Funcorp	18 920	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Cornelius Johannes Swart t/a Funcorp	18920	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Cornelius Pelser	15765	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Fourie t/a Fourie Brokers	11106	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Geliemus Johannes Myburgh t/a Tygerberg Motors	27551	20 May 2010	Contravention of section 15A of the FSB Act
Petrus Kogana	23138	03 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Petrus Lafrus Uys Badenhorst t/a Piet Badenhorst	22553	21 May 2010	Contravention of section 15A of the FSB Act
Petrus Wouter Hugo	16149	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

PFM Financial Services (Pty) Ltd	18 870	19 May 2010	Contravention of section 15A of the FSB Act
PFM Financial Services (Pty) Ltd	18870	19 May 2010	Contravention of section 15A of the FSB Act
P-Four Marketing (Pty) Ltd	28776	20 May 2010	Contravention of section 15A of the FSB Act
Phahana Funeral Parlour CC	29206	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phakamani Superman Mthembu	31507	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phanuel Azwifaneli Tshikosi	21883	20 May 2010	Contravention of section 15A of the FSB Act
Phash General Trading CC	8914	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pheiffer and Souma Auto's CC	19959	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pheneas Bhekisisa Majola	17815	26 March 2010	Contravention of section 15A of the FSB Act
Phiko Thomas Sithole	7029	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phikolomzi Durnford John Tshona t/a Mcmonies Financial Brokers	20729	20 May 2010	Contravention of section 15A of the FSB Act
Phil Precious Auto Sales CC	17840	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phillip Arnold Knight	29743	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phillip Thabo Moela t/a Moela's Brokers	3259	19 May 2010	Contravention of section 15A of the FSB Act

Phindile Nkomozake	34698	29 March 2010	Contravention of section 15A of the FSB Act
Phola Marketing Services CC	20994	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phumelela Advisory Services CC	36918	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phutanang Burial Society and Funeral Services CC	13310	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phuti Josias Mabitsela t/a PE Brokers	7425	29 March 2010	Contravention of section 15A of the FSB Act
Phuti Linah Mabotja	15614	29 March 2010	Contravention of section 15A of the FSB Act
PIC Brokers (Pty) Ltd	13165	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pierre Hennie Van Zyl	22107	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pierre Langenhoven t/a P.M Langenhoven Brokers	11140	19 May 2010	Contravention of section 15A of the FSB Act
Pierre Wilsenach	15606	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Piet Sethunya Mabogoane t/a PS Mabogoane Brokers	29408	20 May 2010	Contravention of section 15A of the FSB Act
Pieter Botha Steenkamp	15384	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter Johannes Dempers	16504	19 May 2010	Contravention of section 15A of the FSB Act
Pieter Johannes Pieterse	15637	19 May 2010	Contravention of section 15A of the FSB Act

Pieter Rademan	18529	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter Snyman	11590	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter van Zyl	13288	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter Wouter de Wet t/a De Wet Brokers	11539	19 May 2010	Contravention of section 15A of the FSB Act
Pine's Business Enterprises CC t/a Pines Funeral Parlour	35276	29 March 2010	Contravention of section 15A of the FSB Act
Pinnafrica Insurance Limited	11677	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PJM Insurance Brokers CC	38209	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PJV Versekering & Belasting Konsultante BK	20529	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PKA Financial Services CC	34039	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Placidus Mphaphudi	20608	27 July 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Plum Portfolio Solutions (Pretoria) (Pty) Ltd	13840	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pogiso Patrick Moreosele t/a Ponelopele	17337	19 May 2010	Contravention of section 15A of the FSB Act
Pointbreak Financial Planning (Pty) Ltd	29644	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ponelo Pele Tombstone and Funeral Services CC	32900	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Portfolio Analysts Gauteng (Pty) Ltd	16383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pranesh Kanhai t/a Premier Financial Consultants	11803	19 May 2010	Contravention of section 15A of the FSB Act
Pravitha Sewsanker t/a PPS Investment	21717	26 March 2010	Contravention of section 15A of the FSB Act
Precious Prospect Trading 20 (Pty) Ltd	16695	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Preferred Assurance & Financial Services CC	1674	26 March 2010	Contravention of section 15A of the FSB Act
Preggie Govender & Associates CC	512	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prem Masangwana t/a Prem Brokers	15950	19 May 2010	Contravention of section 15A of the FSB Act
Prep & Plan Financial Services CC	13860	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Preseelan Moonsamy	21874	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Preshanti Ragoonanan t/a Preshanti Insurance Brokers	5572	26 March 2010	Contravention of section 15A of the FSB Act
Presley Pillay	39685	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Primecall Financial Services CC	17339	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prinjet CC	37051	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prisca Kgasoe t/a Essential Investment Holdings	12493	29 March 2010	Contravention of section 15A of the FSB Act

Prithviraj Murilal Neerahoo t/a Dannhauser Insurance Agency	28146	26 March 2010	Contravention of section 15A of the FSB Act
Private Label Promotion (Pty) Ltd	26444	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prive Insurance Consultants (Pty) Ltd	2233	29 March 2010	Contravention of section 15A of the FSB Act
Procredit Financial Services (Pty) Ltd	8047	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Professional Wealth Management CC	22043	26 March 2010	Contravention of section 15A of the FSB Act
Profund Portfolio Management CC	16064	19 May 2010	Contravention of section 15A of the FSB Act
Progress Unlimited Insurance Brokers CC	9473	19 May 2010	Contravention of section 15A of the FSB Act
Progress Unlimited Insurances Brokers CC	9473	19 May 2010	Contravention of section 15A of the FSB Act
Progressive Trust And Management Company (Pty) Ltd	16740	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prosperity Funeral Administrators (Pty) Ltd	12679	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prostart Investment (Pty) Ltd	1900	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Protectus Brokers CC	12494	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Proxima Life (Pty) Ltd	14079	29 March 2010	Contravention of section 15A of the FSB Act
PS 2026 Investments CC t/a All Roader Motors	26057	26 March 2010	Contravention of section 15A of the FSB Act

PST Brokers and Properties CC	38509	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Puballan Reddy	16321	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pugo Financial and Investment Services CC	28217	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pule Maluleke Investment Holdings (Pty) Ltd	27009	26 March 2010	Contravention of section 15A of the FSB Act
Purely Precious CC	29577	20 May 2010	Contravention of section 15A of the FSB Act
Puseletso Anicia Rabotapi	21436	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Putter Independant Assurance Brokers CC	13915	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pyramid Financial Advisors CC	14904	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pyramid Freight (Pty) Ltd t/a UTI Retail Distribution	15024	19 May 2010	Contravention of section 15A of the FSB Act
PZC Multi Commercial Consultants (Pty) Ltd	21287	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Q-Club Value Added Products (Pty) Ltd	16191	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
QR Consulting CC	21184	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Quantam Torque Car Sales CC	22231	20 May 2010	Contravention of section 15A of the FSB Act
Quantify Financial Solutions CC	35261	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Queenstown Insurance and Investments Consultants CC	14044	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Quentin John Bagshaw-Smith	10902	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raaj Tewary Associates CC	9367	26 March 2010	Contravention of section 15A of the FSB Act
Rachel City Trading CC	27196	29 March 2010	Contravention of section 15A of the FSB Act
Rachelida Elizabeth Johanna Dry	18856	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Radmar Financial Services (Pty) Ltd t/a Rosa du Plessis Brokers	14388	29 March 2010	Contravention of section 15A of the FSB Act
Rafeeka Motor Holdings	35233	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ragel Piedt t/a Paradise Funeral Undertaker	21603	26 March 2010	Contravention of section 15A of the FSB Act
Raglan Anthony Isaacs	18948	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raidance Investment 505 CC t/a Porticio Portfolio Consultance	15121	22 July 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rainbow Business Brokers CC	8121	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rajendrakumar Singh	28587	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rajesh Nundhlall	9248	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rajsons Insurance & Investment Consultants CC	9713	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Rakgaowe Shadrack Monnakgotla	15482	19 May 2010	Contravention of section 15A of the FSB Act
Ramaele Corporate Solutions (Pty) Ltd	18528	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramagarasoa Daniel Lesito	35356	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramaph Financial Services (Pty) Ltd	34922	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramashidi Trading Enterprise CC	21495	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramile April Lesikara	12315	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramokgoma Funeral Services CC	13027	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramotse Funeral Services CC	8741	19 May 2010	Contravention of section 15A of the FSB Act
Randtip 85 CC t/a Ascot Thusano Funerals	18595	19 May 2010	Contravention of section 15A of the FSB Act
Raoul Martin	21203	20 May 2010	Contravention of section 15A of the FSB Act
Rapheal Hermanus Dumas	10060	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rashiraj Rathilal	9249	19 May 2010	Contravention of section 15A of the FSB Act
Rasmus Elardus Erasmus Duvenage t/a Rassie Duvenage Brokers	16579	26 March 2010	Contravention of section 15A of the FSB Act
Raymond Bongani Mkhize	5131	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Raymond Frittelli	21875	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raymond Joseph Arendse	13702	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raymond Lukas	17555	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raymond Wilken	14124	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RBS Health Management (Pty) Ltd	18092	19 May 2010	Contravention of section 15A of the FSB Act
Realfin CC	33885	29 March 2010	Contravention of section 15A of the FSB Act
Realfin Short Term Insurance Brokers CC	33887	29 March 2010	Contravention of section 15A of the FSB Act
Realfin Solutions CC	33886	29 March 2010	Contravention of section 15A of the FSB Act
Re-Connect Research and Advisory Services (Pty) Ltd	35760	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Redeemed Investments CC	35831	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Redwood Risk Services CC	22837	20 May 2010	Contravention of section 15A of the FSB Act
Reef City Investment CC	3316	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Refiloe Tryphina Mothami	21016	20 May 2010	Contravention of section 15A of the FSB Act
Regiments Capital (Pty) Ltd	16831	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Remmoho Funerals CC	12154	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Renamile Burial and Funeral Services CC	36198	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Renels Group (Pty) Ltd	29954	22 July 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Renga Naidoo t/a Hatton Insurance Brokers	17199	26 March 2010	Contravention of section 15A of the FSB Act
Renisha Devi Nundhlall t/a R & R Financial Brokers	15101	19 May 2010	Contravention of section 15A of the FSB Act
Rensburg Sheppards Investment Management Ltd	23841	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Retha van Huyssteen	28256	20 May 2010	Contravention of section 15A of the FSB Act
Retrospective Trading 519 CC	3702	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Revelation Financial Services CC	17045	29 March 2010	Contravention of section 15A of the FSB Act
Revolve Personal Advisors (Pty) Ltd	27481	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rhett Newton	35196	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RHI Motors CC	31295	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Riaan Sallie t/a Riaan Sallie and Associates	3857	19 May 2010	Contravention of section 15A of the FSB Act
Riaz Khan Financial Services CC	9850	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Riba Brilliant Marketing CC	32275	29 March 2010	Contravention of section 15A of the FSB Act
Rich Rewards Trading 52 (Pty) Ltd	16728	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Richard Desmond de Villiers Prinsloo	20358	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Richard Moroe	36636	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rietsanger Beleggings 4 (Edms) Bpk	28894	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rijnveld Brokers (Pty) Ltd	7054	26 March 2010	Contravention of section 15A of the FSB Act
Rimac Investments C t/a Queens Financial Services	25112	20 May 2010	Contravention of section 15A of the FSB Act
Rimac Investments CC t/a Queens Financial Services	25112	20 May 2010	Contravention of section 15A of the FSB Act
Rio De La Platta CC	35687	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rio Ridge Makelaars BK	26373	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Risk Trans Insurance Brokers (Hillcrest) (Pty) Ltd	34872	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ritchie Motors (Pty) Ltd	27390	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ritztrade 1088 CC	26322	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RJB Financial Advisory Services CC	33340	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

RK Insurance Advisors CC	3436	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RMB Properties (Pty) Ltd	8373	19 May 2010	Contravention of section 15A of the FSB Act
RMB Specialised Lines Holdings (Pty) Ltd	27816	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RMB Specialised Lines Ltd	27815	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RMS Broking Services CC	28975	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Robert James David Walker	1421	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Robert Twigge Brokers CC	11853	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Robin Mathew Greenan t/a Greenan Brokers	35484	29 March 2010	Contravention of section 15A of the FSB Act
Rod Fouche Associates CC	8614	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rodney James Prince t/a Princeton Brokers	25935	26 March 2010	Contravention of section 15A of the FSB Act
Roedolph Jakobus Laubscher t/a Ronrosa Motorsentrum	27616	20 May 2010	Contravention of section 15A of the FSB Act
Roelf Prinsloo	15770	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Roger Wilco 117 CC t/a Augusta Motors	26399	09 February 2010	Contravention of section 15A of the FSB Act
Rohit Ramjathan	13729	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Ron Marsh Motors	27217	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ronald Brown	10951	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ronelle Taute	26717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rosina Valerie Greenwood t/a PR Financial Advisory Services	17200	26 March 2010	Contravention of section 15A of the FSB Act
Rospa Trading 31 CC	13747	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Roxannes Autos CC	13815	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Roy Harrypersadh	12501	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Royal Jabulani Mqwati	11191	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Royal Square Investments 129 CC	19485	26 March 2010	Contravention of section 15A of the FSB Act
Royas Philemon Mambane t/a MRP Brokers	28238	20 May 2010	Contravention of section 15A of the FSB Act
Rozani and Rozani Consulting CC	17767	19 May 2010	Contravention of section 15A of the FSB Act
RP Comprehensive Marketing Agency CC	4073	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RSF Motors (Sales) (Pty) Ltd t/a Autotec Motor Dealers Group	9119	19 May 2010	Contravention of section 15A of the FSB Act
Rubeeya Kling	18518	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Rudolph Gerhardus Venter	11830	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Runis Campher	14921	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
S Fourie and Associates Financial Management Services CC	14953	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
S J Koen t/a S Koen	8210	26 March 2010	Contravention of section 15A of the FSB Act
S J Kruger	2015	19 May 2010	Contravention of section 15A of the FSB Act
S M Financial Services CC	16307	26 March 2010	Contravention of section 15A of the FSB Act
S Mangold and Company (Pty) Ltd	26115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SA Independent Insurance Brokers CC	10831	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saampro Easy Surance (Pty) Ltd	7965	29 March 2010	Contravention of section 15A of the FSB Act
Sabelo Trevor Tsotso t/a Tsotso Financial Solutions	35355	29 March 2010	Contravention of section 15A of the FSB Act
Sabitha Gungapursat	25247	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saffas Mohapi Funeral Home CC	18460	19 May 2010	Contravention of section 15A of the FSB Act
Sagree Govender t/a Kaylee Properties	21708	20 May 2010	Contravention of section 15A of the FSB Act
Sahadev Naidu	21081	21 May 2010	Contravention of section 15A of the FSB Act

Sahibdeen Funeral Policies	38750	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saiba Insurance Brokers CC	6849	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saint Lerato Kganyago	39385	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Samakhado Funeral Services CC	3354	19 May 2010	Contravention of section 15A of the FSB Act
Samdu Brokers CC	17175	26 March 2010	Contravention of section 15A of the FSB Act
Samporele Financial & Advisory Services (Pty) Ltd	23682	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Samuel Isaac Shongwe t/a Samuel Shongwe	1379	19 May 2010	Contravention of section 15A of the FSB Act
Samuel Mbulelo Jevu	22744	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Samuel Peretz Myers	13205	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sanele Financial Services CC	22174	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sasolburg Makelaars CC	14105	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Satch Jean Funeral Home CC t/a L A Jean Funeral Home	10431	19 May 2010	Contravention of section 15A of the FSB Act
Savjon Motors CC	23015	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
S'celo Hercules Vilakazi	33372	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Sea-Cape Auto CC t/a C Cape Motor Group	31901	29 March 2010	Contravention of section 15A of the FSB Act
Seamus Brian Connell t/a Contilo	28297	20 May 2010	Contravention of section 15A of the FSB Act
Sean Patrick Falconer	21881	26 March 2010	Contravention of section 15A of the FSB Act
Sean Scott-Crossley	9537	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Season Star Trading 257 CC	21705	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sebesho & Seloane Funerals CC	31714	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sec-U-Sure CC	31957	29 March 2010	Contravention of section 15A of the FSB Act
Sedibeng Funeral Undertakers CC	12150	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sedibeng Health & Life Advisory Services (Pty) Ltd	27752	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sedima Stanley Sebola t/a Matome Insurance Brokers	26990	20 May 2010	Contravention of section 15A of the FSB Act
Seelen Naidoo & Associates CC	16079	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Segale Phillip Molefe t/a Phillip and Associates Brokers t/a Phillip and Associates Brokers	14893	21 May 2010	Contravention of section 15A of the FSB Act
Sekgwari Solomon Rakoto	35749	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sekhavela Trading CC	34592	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Selabi Financial Services CC	17069	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Select Product Facilitation (Pty) Ltd	35866	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Selkirk Underwriting Managers (Pty) Ltd	11318	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sene Patricia Manthata	28806	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sentinel Accountants & Auditors	17164	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sesona Financial Service CC	37924	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sethomi Brokerage CC	38504	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SF Makelaars Trust	16050	29 March 2010	Contravention of section 15A of the FSB Act
SFB Marketing Brokers CC	37797	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shadumo Solomon Makoela t/a Victory Insurance Brokers	19116	29 March 2010	Contravention of section 15A of the FSB Act
Shales Investments CC	7952	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shaun Waso	31855	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shawn Jacques Goosen	3869	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sheffield Insurance Consultant	3232	19 May 2010	Contravention of section 15A of the FSB Act

Shele Construction CC	37768	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shelfco 240 Investments (Pty) Ltd	12467	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sherotha Financial Services CC	35542	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shevgem Investments CC	18857	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shiva Shiva Trading CC	38595	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sholaine Management Consultants CC t/a Tele Direct	35042	29 March 2010	Contravention of section 15A of the FSB Act
Shoprite Checkers (Pty) Ltd	7732	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shreynikka Trading Enterprises CC	10659	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shunmugam Govindasamy	8455	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shunt Management Group CC	8529	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siamang Consulting Services CC	24811	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siba Consulting CC	2623	19 May 2010	Contravention of section 15A of the FSB Act
Sibanyoni Brokers CC	35168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sib-Capegro Insurance Brokers CC	15847	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Sibongile Lorrein Khoza	35404	29 March 2010	Contravention of section 15A of the FSB Act
Sibongile Margaret Zondi	9708	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sibongile Mtshali	31632	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sibongile Prisca Mzimela	28105	20 May 2010	Contravention of section 15A of the FSB Act
Sibusiso Abel Mabele t/a Futurewise	18482	26 March 2010	Contravention of section 15A of the FSB Act
Sibusiso Consulting (Pty) Ltd	28474	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sibusiso Hilton Mhlanga	37042	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sifiso Freedom Gumede	37491	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sign and Seal Trading 46 (Pty) Ltd	31962	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sikhumbuzo Ricky Sithole	29158	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Silver Falcon Trading 100 (Pty) Limited t/a Ketsia Corporate Advisory Services	15276	19 May 2010	Contravention of section 15A of the FSB Act
Silver Solutions 567 CC	38803	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Silver Stars 252 CC	31380	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Simon Mahlasela Koba	21352	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Simon Mbeki Mnyandu	20494	20 May 2010	Contravention of section 15A of the FSB Act
Simplex Financial Services CC t/a Sim-Pro Medical and Services	25822	26 March 2010	Contravention of section 15A of the FSB Act
Sindiswa Manyamalala	24100	20 May 2010	Contravention of section 15A of the FSB Act
Sinvent Investments 61 (Pty) Ltd	26552	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sipho Goodwill Masina t/a Goodwill Financial Brokers	9431	26 March 2010	Contravention of section 15A of the FSB Act
Sipho Hensfort Mthembu	18742	19 May 2010	Contravention of section 15A of the FSB Act
Sipho Vincent Khanyile	20990	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sipiwe Jeffrey Mthokozisi Gambushe	24381	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siqomu Israel Nxele t/a Senziwa Brokers	29768	20 May 2010	Contravention of section 15A of the FSB Act
Siseko Se-Afrika Investments (Pty) Ltd	34065	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siseko Sibanga	23416	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sitembiso Masenyane Isaac Pheto	17024	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sivalingum Chetty t/a Links Chetty and Associates	8107	19 May 2010	Contravention of section 15A of the FSB Act
Siya Phezulu Trading CC	35979	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Siyahwell Marketing And Supplier CC	34970	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siyanoqoba (Pty) Ltd	5059	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siyaphila Medical Consultants CC	18257	19 May 2010	Contravention of section 15A of the FSB Act
Siyawela Financial Services CC	32541	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siza Insurance Brokers (Pty) Ltd	37366	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sizani Financial Services CC t/a Sizani Insurance Brokers	34796	29 March 2010	Contravention of section 15A of the FSB Act
Sizwe Llwellyn Sifunda	8333	19 May 2010	Contravention of section 15A of the FSB Act
Sizwe Medical Fund	22383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sizwe Science Support CC	18692	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SJW Motors (Pty) Ltd	8860	19 May 2010	Contravention of section 15A of the FSB Act
Skhikhi Communications CC	34521	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SKN Medical Aid Brokers CC	23159	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Skyworx Financial Services CC	38920	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SLA Financial Accountants CC	10528	19 May 2010	Contravention of section 15A of the FSB Act

SM Progress Financial Services CC	36011	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Smith Parry CC	8847	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Smutsonia CC	15159	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Society Helper Project CC	12544	19 May 2010	Contravention of section 15A of the FSB Act
Sofua Funeral Services CC	9293	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Solly Noor Mohamed	16600	26 March 2010	Contravention of section 15A of the FSB Act
Sonnia Fox Risk Management CC	39271	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Soobramoney Perimal t/a Mannie's Financial Planning Services	971	26 March 2010	Contravention of section 15A of the FSB Act
Sophia Elizabeth Coetzer	37791	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sorto Paul Govuzela	12505	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
South African Life Benefits Specialists (Pty) Ltd	27738	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
South African Property Guarantee Exchange (Pty) Ltd	2373	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
South Bank Motors CC	18669	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Southern Ambition 1565 CC	37484	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Southern Union Insurance Brokers (Pty) Ltd	3414	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Soutpansberg Brokers CC	18845	26 March 2010	Contravention of section 15A of the FSB Act
Souvenir Finansiele Dienste BK	37186	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Spectrum Finance (Pty) Ltd	27882	26 March 2010	Contravention of section 15A of the FSB Act
Speedy Morris & Associates CC	12574	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SPM Direct Holdings (Pty) Ltd	20464	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Srinivasa Govender	1409	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stan Ferguson & Associates CC	12506	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stanford Mphahlele Brokers CC	22752	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stanmad Project	36831	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Starfair 200 CC t/a Ekapa Consultancy	33982	29 March 2010	Contravention of section 15A of the FSB Act
Steenberg Asset Management (Pty) Ltd	25066	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Steering Autoworld CC	18757	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stefan Daniel Erasmus t/a S E Makelaars	23689	20 May 2010	Contravention of section 15A of the FSB Act

Stefan Schoeman Financial Advisor CC t/a Stefan Schoeman Financial Advisor	13345	19 May 2010	Contravention of section 15A of the FSB Act
Stephan Prinsloo	19790	26 March 2010	Contravention of section 15A of the FSB Act
Stephanie du Plessis	20718	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Abraham le Roux	15779	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Christiaan Hermanus Rautenbach t/a Fanus Rautenbach Brokers	10705	19 May 2010	Contravention of section 15A of the FSB Act
Stephanus Du Plessis	21166	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Frederick Otto	7453	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Gerhardus Roothman	14268	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Jordaan	14434	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephen Eric Nienaber	15048	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephen Ferreira	2115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Steven Paxton	23836	20 May 2010	Contravention of section 15A of the FSB Act
Steward Enterprises 002 CC t/a Financial Hub	10592	19 May 2010	Contravention of section 15A of the FSB Act
Stewart and Company Chartered Accountants Inc	16285	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Stips Marketing (Pty) Ltd t/a Dynamic Direct Marketing	35980	04 May 2010	Contravention of section 15A of the FSB Act
Stoffels & Tyobeka Accounting Services CC t/a Stoffels and Tyobeka	14394	19 May 2010	Contravention of section 15A of the FSB Act
Stonevest CC	29432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stoploss Insurance Brokers (Pty) Ltd	3117	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Strike A Rose Trading CC	36740	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Strini Naidoo	4302	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Strinivasa Jaggiah Naidoo	25438	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Suchuthandaran Kim Pillay t/a S K Pillay Insurance Brokers	23608	20 May 2010	Contravention of section 15A of the FSB Act
Sudac Business Accounts CC	32814	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sunil Singh and Associates CC	9559	19 May 2010	Contravention of section 15A of the FSB Act
Sunlife Financial Advisors CC	21235	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sunrush Financial Services CC	34912	29 March 2010	Contravention of section 15A of the FSB Act
Sun-Sure Brokers CC	2645	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sunthanam Agambaram Moodley t/a Peerles Insurance Brokers	15009	21 May 2010	Contravention of section 15A of the FSB Act

Super Country Funerals CC	25908	26 March 2010	Contravention of section 15A of the FSB Act
Surecare Brokers CC	9612	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sureright Administrators CC	10607	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sureschander Misra	20563	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susan Fouche	14425	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susan van der Westhuizen	37795	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susanna Bester	10967	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susanna Maria Elizabeth Jansen van Rensburg	32430	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SVN Financial Services CC	4868	19 May 2010	Contravention of section 15A of the FSB Act
Sydney Hilton Cloete	13708	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sylvan Sadasivan Subban	8211	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sylvia Sindisiwe Zondi	18217	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Syvest Financial CC	4722	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tabisa Sapula	37666	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Talita Tryphine Dingiswayo	4566	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tamol Investments (Pty) Ltd	20371	04 August 2010	Contravention of Section 17(4), 13(2) & 12 (c) of the General code of conduct.
Tanagra Nombulelo Ntetha	28479	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tangeni Financial Services (Pty) Ltd	26411	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tantaswa Giyose	3860	19 May 2010	Contravention of section 15A of the FSB Act
Taset 86 CC	37053	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tata Ma Properties (Pty) Ltd	28331	20 May 2010	Contravention of section 15A of the FSB Act
Tax Efficient (Pty) Ltd	3121	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Taxfin Asset Management CC	15518	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tears In Heaven Investments CC	13869	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tebogo Samuel Jaaroud t/a Jaaroud Insurance Brokers	3329	19 May 2010	Contravention of section 15A of the FSB Act
Techni Auto Valet CC t/a Techni Auto	26026	29 March 2010	Contravention of section 15A of the FSB Act
Techno Brokers CC	7065	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tele Buzz CC	33331	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Teleioo Manufacturing (Pty) Ltd	12386	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Telescope Financial Services (Pty) Ltd	35089	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tembinkosi Elvis Majola t/a TE Financial Service Broker	25004	20 May 2010	Contravention of section 15A of the FSB Act
Tembinkosi Terence Mketo	26901	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tendai Nyadombo	26918	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tendani Calvin Ramano t/a Ramano Financial Advisors	20734	20 May 2010	Contravention of section 15A of the FSB Act
Teresa Jane Cross	11310	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tespam Trading CC	36874	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TFC Consultants CC	6432	19 May 2010	Contravention of section 15A of the FSB Act
Thabani Bhabha Radebe	37153	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thabile and Mtho Trading Enterprise CC	34393	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thabo Eddie Mei	24871	20 May 2010	Contravention of section 15A of the FSB Act
Thabo Erasmus Thaba t/a TT Brokers	25744	20 May 2010	Contravention of section 15A of the FSB Act
Thabo Mathews Maungwa	6389	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Thabo Patrick Moorosi t/a Tauries	29048	26 March 2010	Contravention of section 15A of the FSB Act
Thakha Business Support Service CC	5359	19 May 2010	Contravention of section 15A of the FSB Act
Thamela Advocate Gumbi	35559	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thammie Moses Gazide	38023	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thamsanqa Israel Ndlovu t/a The CUB	36549	29 March 2010	Contravention of section 15A of the FSB Act
Thandanani Funeral & Burial Society CC	7539	19 May 2010	Contravention of section 15A of the FSB Act
Thandazwa Brenda Mpinga	36434	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thandi Funeral Home CC	14707	21 May 2010	Contravention of section 15A of the FSB Act
Thandi Ndlovu t/a T and D Business Enterprize	18837	19 May 2010	Contravention of section 15A of the FSB Act
Thandiwe Loretta Ngcobo t/a Thandiwe Investments	27080	26 March 2010	Contravention of section 15A of the FSB Act
Thapelo Funeral Services CC	1504	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thari Jacob Huma	17504	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thasneen Govender	38938	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thavarani Pillay	12890	19 May 2010	Contravention of section 15A of the FSB Act

The Business Zone 1650 CC	29942	20 May 2010	Contravention of section 15A of the FSB Act
The Guswe Transport and Farming Services CC	38889	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
The Hanifa Akoob Family Trust	31315	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
The Rob Roy Investments CC	37578	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
The Trade Route 113 (Pty) Ltd	25996	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Themba La-Ma Yengwayo Financial Services Provider CC	20795	30 March 2010	Contravention of section 15A of the FSB Act
Themba Mungwe t/a Mdolomba Assurance Brokers	5239	19 May 2010	Contravention of section 15A of the FSB Act
Themba Winston Kumalo	18824	26 March 2010	Contravention of section 15A of the FSB Act
Thembaletu Financial Solutions (Pty) Ltd	1682	19 May 2010	Contravention of section 15A of the FSB Act
Thembelihle Funeral Parlour CC	33062	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thembi Masuku Business Enterprises CC	26536	20 May 2010	Contravention of section 15A of the FSB Act
Thembinkosi Godfrey Mlambo	28133	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thembinkosi Godfrey Ngidi t/a Sanethemba Funeral Services	11417	19 May 2010	Contravention of section 15A of the FSB Act
Thembinkosi Mduduzi Kenneth Ngubane	37722	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Thembisile Beauty Nsele	20861	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Themdo Funerals Directors	17194	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theodora Nomzi Landzela t/a Landzela Financial Services	34719	29 March 2010	Contravention of section 15A of the FSB Act
Theodore Becker	25283	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theodorus Coetzee	5432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theodorus Kleinhans	23364	20 May 2010	Contravention of section 15A of the FSB Act
Theophilus Mooketsi	18 867	19 May 2010	Contravention of section 15A of the FSB Act
Theophilus Mooketsi	18867	19 May 2010	Contravention of section 15A of the FSB Act
Theron du Plessis Cradock Incorporated	17500	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thetha Trading 63 CC	38236	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thethani Customer Care and Communications (Pty) Ltd	27838	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theunis Jacobus van Heerden Lochner t/a Lochner & Associates	16297	26 March 2010	Contravention of section 15A of the FSB Act
Thivhulawi Daniel Maduwa	32894	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thokozani Sipho Gumede t/a TS Approved Budget	22715	20 May 2010	Contravention of section 15A of the FSB Act

Tholwana Consulting (Pty) Ltd	33681	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thomas Daniel Viljoen	22519	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thomas Ignatius Dyzel	11687	19 May 2010	Contravention of section 15A of the FSB Act
Thomas Mario Arries	39544	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thufai-Vic Trading CC	36592	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thuto Lesedi Funeral Home CC	26840	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thuto-Bokamoso Health & Financial Services CC	32655	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tiger Ingwe Contractors CC t/a Dam Brokers	35226	29 March 2010	Contravention of section 15A of the FSB Act
Tim Jones & Associates CC	16469	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tinanet Trading CC	38928	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tirelo Funeral Benefits	7492	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Titanic Funeral Services CC	9265	19 May 2010	Contravention of section 15A of the FSB Act
TJR Finansiele Dienste (Edms) Bpk	14748	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TKZ Insurance Brokerage CC	12511	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Tlakza General Trading CC	36774	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tlhoriso David Mohlakoana t/a Tshireletsong Financial Brokers	21075	20 May 2010	Contravention of section 15A of the FSB Act
TLPB Funeral Parlour CC	26446	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TM Matabane t/a MM Future Insurance Brokers	18535	20 April 2010	Contravention of section 15A of the FSB Act
TM Plus Trading Enterprise CC	30186	29 March 2010	Contravention of section 15A of the FSB Act
TMTL Financial Management	37727	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TNT Management Services CC	14848	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Topaz Financial Planning Services CC	11888	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Topfin Brokers Vryburg CC	7471	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Topsure CC	11516	19 May 2010	Contravention of section 15A of the FSB Act
Tradestuff 2210 CC	19712	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tradevest 374 CC	31084	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tradewinds Financial Solutions CC	3444	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Traluz Insurance Brokers CC	37567	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Transworld Financial Strategies CC	22314	20 May 2010	Contravention of section 15A of the FSB Act
Trevor Carty Financial Planners (Pty) Ltd	12766	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Triangle Eagle Financial Services CC	13653	19 May 2010	Contravention of section 15A of the FSB Act
Trifecta Trading 144 (Pty) Ltd t/a Agresure	15425	19 May 2010	Contravention of section 15A of the FSB Act
Tristen Air CC	28697	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tropical Motors CC	10681	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tropical Motors Witrivier CC	26145	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Trump Financial Advisors CC	29719	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshedimoseetso Investment Brokers CC	30374	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshenolo Idlett Majara	24440	20 May 2010	Contravention of section 15A of the FSB Act
Tshepang Funeral Undertaker CC	13981	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshepano Funeral Services CC	9818	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshepiso Funeral Home CC	22364	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshepiso Selahle	25363	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Tshidisegang Funeral Services	16544	19 May 2010	Contravention of section 15A of the FSB Act
Tshifularo Gerson Mufeba	19918	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tsie Joel Mabina t/a TJ Mabina Brokers	35278	29 March 2010	Contravention of section 15A of the FSB Act
Tsoepele Advisory Services CC	2928	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tumi and Gomo Funeral Services CC	38675	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tummah (Pty) Ltd	10921	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Turako Financial Services Pty (Ltd)	33506	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Two Oceans Brokers	7161	26 March 2010	Contravention of section 15A of the FSB Act
Tyrone B Hopwood and Associates (Pty) Ltd	37921	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TZZ Financial and Advisory Services CC	29969	20 May 2010	Contravention of section 15A of the FSB Act
U Life Insurance Administrators	3753	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ubuntu Bam Trading Enterprise CC	39549	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
U-Direct Financial Services CC	7667	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ugcoko Trading CC t/a Ugcoko Financial Brokers	31134	29 March 2010	Contravention of section 15A of the FSB Act

Uitenhage Funeral Homes CC	18989	26 March 2010	Contravention of section 15A of the FSB Act
Ukwaanda Corporate Healthcare CC	20509	20 May 2010	Contravention of section 15A of the FSB Act
Ukwanda Funeral Solutions CC	25176	29 March 2010	Contravention of section 15A of the FSB Act
Ulrich William Jonker t/a Supreme Versekeringsmakelaars	8212	26 March 2010	Contravention of section 15A of the FSB Act
Umasingcwabisane Wesizwe CC	37279	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Umshashadu Multi-Services CC	22046	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Umsunguli Brokers CC	39184	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Umzumbe Financial Services CC t/a Masizisize Family Care Scheme	7493	19 May 2010	Contravention of section 15A of the FSB Act
Uniboard Finansiele Dienste (Edms) Bpk	13489	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Unify Africa (Pty) Ltd	166	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Uni-Investments of SA CC	7616	29 March 2010	Contravention of section 15A of the FSB Act
United Risk Management CC	31806	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Unitysure Investment Brokers CC	17841	26 March 2010	Contravention of section 15A of the FSB Act
Univem (Pty) Ltd	14753	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Uranus Security & Project CC	19108	20 May 2010	Contravention of section 15A of the FSB Act
Uti South Africa (Pty) Ltd	35087	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Uyabusa Investment CC	35554	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vaal Funeral Protector CC	23895	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Valiant Insurance Brokers CC	26316	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Valinor Trading 206 CC t/a Lezmin Brokers	25036	29 March 2010	Contravention of section 15A of the FSB Act
Valucorp 59 CC	18501	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Valuline 155 (Pty) Ltd	39212	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Van Dyk Cilliers Consulting CC	32686	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Van Rooyen Viljoen & Associate CC	12233	19 May 2010	Contravention of section 15A of the FSB Act
Vantage Financial Consulting CC	33271	29 March 2010	Contravention of section 15A of the FSB Act
Vasuthevan Krishnaswami Pillay	36350	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vaughan Finance CC	39568	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Veeran Kisten t/a Venture Insurance Brokers	7514	19 May 2010	Contravention of section 15A of the FSB Act

Ventersburg Funeral Services CC t/a Flamingo Funeral Services	24342	20 May 2010	Contravention of section 15A of the FSB Act
Venture In Profit Risk Services CC	17390	26 March 2010	Contravention of section 15A of the FSB Act
Venturemark Motor Trading (Pty) Ltd	36670	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Verdwaal Funeral Parlour CC	16732	26 March 2010	Contravention of section 15A of the FSB Act
Verisure (Pty) Ltd	18862	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Verona Motors CC	22438	20 May 2010	Contravention of section 15A of the FSB Act
Vibe Financial Consultants CC	31621	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Ellis Solomon	6878	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Jabulani Motshwene	19585	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Masango t/a Negotiated Employee Benefits	17 002	19 May 2010	Contravention of section 15A of the FSB Act
Victor Masango t/a Negotiated Employee Benefits	17002	19 May 2010	Contravention of section 15A of the FSB Act
Victor Mavin Mongwe	6168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Nkosinathi Matikiti t/a VN Matikiti Insurance Brokers	21135	20 May 2010	Contravention of section 15A of the FSB Act
Victors Marvin Mongwe ,T/A Brokerrage	6168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Vigen ABKarian & Associates CC	20747	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Viko Trading 95 CC t/a Future Indemnity	25027	20 May 2010	Contravention of section 15A of the FSB Act
Vinay Karransingh t/a Standard Loans & Financial Services	28110	20 May 2010	Contravention of section 15A of the FSB Act
Vinon Trading Enterprise CC	38779	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Viren Sewganesh	34511	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Virena Indardav Naidoo t/a Adloco Financial Solutions	25221	20 May 2010	Contravention of section 15A of the FSB Act
Virgi-Gus Investments Holdings CC	20019	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vivekanand Sewparsadh	5705	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vukani Mangwane Trading CC	37895	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vundade Silora t/a Taxi Burial Association	28898	26 March 2010	Contravention of section 15A of the FSB Act
Vusi Aaron Zitha	37097	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vusimuzi Melvin Mndau	25249	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vusisizwe Business and Wealth Consultants CC	35467	29 March 2010	Contravention of section 15A of the FSB Act
Vusumuzi Steven Christian Khumalo t/a VSC Khumalo	20708	26 March 2010	Contravention of section 15A of the FSB Act

Vusumzi Elias Mpatani	35695	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vutomi You Funeral CC t/a Vutomi Brokers	34181	29 March 2010	Contravention of section 15A of the FSB Act
Vuyani Maxwell Zuzani Investments CC	20022	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
VW Commercial Vehicles (Palm Motors) CC	31145	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
W van Schoor & Associates CC	5733	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
W. Butler Broker Services CC	4531	29 March 2010	Contravention of section 15A of the FSB Act
W2JK Auto CC	10510	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
WA Lubbe & A Lubbe t/a Lubbes Auto Centre	22439	26 March 2010	Contravention of section 15A of the FSB Act
Walter Bonginkosi Ngcobo	39109	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ward Financial Services Trust	18320	29 March 2010	Contravention of section 15A of the FSB Act
Wax & Wane CC t/a Durandt Consulting	2168	26 March 2010	Contravention of section 15A of the FSB Act
Wayne Benjamin	39146	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wayne John Landsberg t/a Landsberg Personal Finance	28249	20 May 2010	Contravention of section 15A of the FSB Act
WEA Smith Financial Services CC	37789	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Wealth Connect (Pty) Ltd	31693	20 May 2010	Contravention of section 15A of the FSB Act
Wealth Frontiers (Pty) Ltd	13722	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wealthwise CC	37130	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wedsure Underwriting Managers (Pty) Ltd	21371	20 May 2010	Contravention of section 15A of the FSB Act
Weekend Financial Services CC	30746	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Welcome Marcus t/a Marcus Brokerage Services	27240	20 May 2010	Contravention of section 15A of the FSB Act
Wellington Mphathelwa Nombona	10097	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Werner Hennie Strydom t/a Strydom Finansiële Dienste/Brokerage	5559	29 March 2010	Contravention of section 15A of the FSB Act
Wesnel Risk Analysts CC	23717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
West Point Trading 255 CC	22982	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Western Cape Van Rental (Pty) Ltd	23006	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Westminster Insurance Brokers (Pty) Ltd	1783	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Westraadt	5751	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Whirlaway Trading 242 CC t/a Win Tech Auto	17456	26 March 2010	Contravention of section 15A of the FSB Act

Wik En Weeg Investment 13 CC t/a Maxisure Brokers	4124	29 March 2010	Contravention of section 15A of the FSB Act
Wilco Insurance Brokers CC	13969	19 May 2010	Contravention of section 15A of the FSB Act
Wildbreak Iii CC	12847	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wilfred Frederik Vorbeck	16690	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wilhelm Fouche t/a Fouche Versekerings Makelaars	24245	20 May 2010	Contravention of section 15A of the FSB Act
Wilhelmina Johanna Dorothea Swanepoel	18318	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willasteyn CC t/a Kalahari Motormark	21190	20 May 2010	Contravention of section 15A of the FSB Act
Willem Hendrik Lategan Heckroodt	28081	20 May 2010	Contravention of section 15A of the FSB Act
Willem Hendrik Marais	2163	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willem Howard Pretorius t/a Willem Pretorius Investment Services	11661	29 March 2010	Contravention of section 15A of the FSB Act
Willem Jacobus Nienaber	10646	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willem Jacobus Petrus van Tonder	23852	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willem Maartens Coetzee t/a WMC Finansiële Dienste	11604	29 March 2010	Contravention of section 15A of the FSB Act
William Andreas du Plooy	21265	20 May 2010	Contravention of section 15A of the FSB Act

William Andrew Mckinnon	31859	29 March 2010	Contravention of section 15A of the FSB Act
William Bray Southwood t/a Billy Southwood Makelaars	9673	19 May 2010	Contravention of section 15A of the FSB Act
William Britten t/a BB Financial Advisors and Insurance Consultants	16408	29 March 2010	Contravention of section 15A of the FSB Act
Willie de Beer BK	6946	19 May 2010	Contravention of section 15A of the FSB Act
Willwright & Associates CC	2157	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willy's Family Funeral CC	15957	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wilson Sphamandla Kweyama	19151	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Windy Tetiswa	32555	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Winnefred Marie Viljoen	14452	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Winskor 123 (Pty) Ltd	19699	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Winston Pillay And Associates CC	37251	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wisdom Property Administration (Pty) Ltd	26180	26 March 2010	Contravention of section 15A of the FSB Act
Wiseman Sithembiso Zikhali	16515	19 May 2010	Contravention of section 15A of the FSB Act
Wiseman Solomzi	18377	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Wolfgang Helmut Engelbrecht	22812	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wonder Tlhamo Brokers CC	25134	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Workers Financial Consultants (Pty) Ltd	21441	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Workers Life Line (Pty) Ltd	9895	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
World Net Logistics (Pty) Ltd	10736	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wouter Stefanus Marais	14831	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Xanadu Properties 239 (Pty) Ltd t/a S A Financial Services	14774	21 May 2010	Contravention of section 15A of the FSB Act
XDSL Trading 526 (Pty) Ltd t/a DRW Financial Services	23211	20 May 2010	Contravention of section 15A of the FSB Act
Xihlovo Financial Service CC	38212	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Xolani Richard Hlengwa	17857	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Xpert Financial Consulting CC	27834	20 May 2010	Contravention of section 15A of the FSB Act
Xtreme Insurance Brokers CC	8242	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Y and J Insurance Brokers CC	11142	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yasheen Engelbrecht	26919	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Yazeed Attwood	15714	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yebo Funeral Services CC	14004	19 May 2010	Contravention of section 15A of the FSB Act
Yellow Sunshine Trading (Pty) Ltd t/a Pro Cars	24067	20 May 2010	Contravention of section 15A of the FSB Act
Yentl Theron	29357	26 March 2010	Contravention of section 15A of the FSB Act
Yourtrade 330 CC	30920	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yudishtir Singh	12358	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yvonne Elizabeth Moses t/a Drankenstein Funerals	14704	21 May 2010	Contravention of section 15A of the FSB Act
ZA Trans Logistics (Pty) Ltd	8563	19 May 2010	Contravention of section 15A of the FSB Act
Zahra Motors CC t/a Tri Star Car Sales	31856	29 March 2010	Contravention of section 15A of the FSB Act
Zakhanya Trading CC	31267	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zakheleni Management Services (Pty) Ltd	33914	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zalani Investment CC	18 866	19 May 2010	Contravention of section 15A of the FSB Act
Zambe Life CC	38534	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zamile Griffiths Solomon t/a Peleg Agency	18879	26 March 2010	Contravention of section 15A of the FSB Act

Zamokwakhe Collin Xoseka t/a Indaba Investments	35689	29 March 2010	Contravention of section 15A of the FSB Act
Zanca Holdings (Pty) Ltd t/a Zancafin	17288	19 May 2010	Contravention of section 15A of the FSB Act
Zandisile Ernest Mgobo	34745	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zanokhanyo Trading CC	19117	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ZB Management and Financial Services CC	20686	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zeblon Vusi Ndlovu	28379	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zeederberg Financial Brokers	7868	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zelco Motors CC	19289	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zilungiselele Trading and Projects CC	34648	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zimbali Insurance Brokers & Investments CC	10996	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zinhle Nokwazi Zulu	34019	29 March 2010	Contravention of section 15A of the FSB Act
Zinhle Sebanda t/a Jahwe Brokers	33709	29 March 2010	Contravention of section 15A of the FSB Act
Zodidi Francis Mbovou	30467	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zolile Desmond Mjoka t/a Tolo Brokers	21581	20 May 2010	Contravention of section 15A of the FSB Act

Zone Concepts and Marketing CC	1393	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zonkizizwe Insurance Brokers (Pty) Ltd	12519	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ZS Insurance Brokers CC	17379	26 March 2010	Contravention of section 15A of the FSB Act
Zukisani Financial Solution	36977	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 2 of 2010.

**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*