

**BOARD NOTICE 131 OF 2010****SECURITIES SERVICES ACT, 2004****PROPOSED AMENDMENTS TO THE RULES OF THE JSE LIMITED**

1. In terms of section 61(5) of the Securities Services Act, 2004 (Act No. 36 of 2004), it is hereby notified that the JSE Limited ("JSE") has applied to the Registrar of Securities Services for approval of proposed amendments of its rules, which amendments are set out in the Schedule.
2. In terms of section 61(5) of the said Act, all interested persons who have any objections to the proposed amendments are hereby called upon to lodge their objections with the Registrar of Securities Services, PO Box 35655, Menlo Park, 0102, or at the following email address: [normanm@fsb.co.za](mailto:normanm@fsb.co.za), within a period of 14 days from the date of publication of this notice.
3. In terms of section 61(6) of the said Act, I, Dube Tshidi, hereby determine the date on which the Financial Intelligence Centre Amendment Act, 2008 (Act No. 11 of 2008) together with the amendment to the Schedules thereto come into operation as the date on which the rules in the Schedule come into operation. If any objections are received, another commencement date will be determined by notice in the Gazette.

**D P TSHIDI**  
**Registrar of Securities Services**

**SCHEDULE**

General explanatory notes:

1. Words underlined with a solid line (\_\_\_\_\_ ) indicate the insertions in the existing rules.
2. Words in bold and in square brackets ([ ]) indicate omissions from existing rules.

**EQUITIES RULES****12.15 Reporting and assistance by the JSE Surveillance Department – Financial Intelligence Centre Act**

**12.15.1 The Director: Surveillance will report to the Registrar any non-compliance by a member or its employees with the duties imposed on the member and its employees in terms of the Financial Intelligence Centre Act if the Director: Surveillance becomes aware of such non-compliance through the surveillance and investigation procedures undertaken in terms of rule 12.10. The JSE Surveillance Department will also assist the Registrar in any enforcement action that may be taken by the Registrar against a member or its employees in relation to non-compliance with the Financial Intelligence Centre Act.**

**DERIVATIVES RULES****3.276 Reporting and assistance by the JSE Surveillance Department – Financial Intelligence Centre Act**

**The Director: Surveillance will report to the Registrar any non-compliance by a member or its employees with the duties imposed on the member and its employees in terms of the Financial Intelligence Centre Act if the Director: Surveillance becomes aware of such non-compliance through the surveillance and investigation procedures undertaken in terms of rule 3.275. The JSE Surveillance Department will also assist the Registrar**

in any enforcement action that may be taken by the Registrar against a member or its employees in relation to non-compliance with the Financial Intelligence Centre Act.

#### **YIELD-X RULES**

##### **4.15 Reporting and assistance by the JSE Surveillance Department – Financial Intelligence Centre Act**

The Director: Surveillance will report to the Registrar any non-compliance by a member or its employees with the duties imposed on the member and its employees in terms of the Financial Intelligence Centre Act if the Director: Surveillance becomes aware of such non-compliance through the surveillance and investigation procedures undertaken in terms of rule 4.10. The JSE Surveillance Department will also assist the Registrar in any enforcement action that may be taken by the Registrar against a member or its employees in relation to non-compliance with the Financial Intelligence Centre Act.

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