

BOARD NOTICE 96 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF BRIAN JURGEN McEWAN FROM QUALIFICATION
REQUIREMENTS, 2010**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, Brian Jurgen McEwan from paragraph 10(3)(a), (c), (d) and (e) of the Determination of Fit and Proper Requirements, 2008, to the extent and subject to the conditions set out in the Schedule.



G E Anderson,
Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF BRIAN JURGEN McEWAN FROM QUALIFICATION REQUIREMENTS

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

Extent and duration of Exemption

2. Brian Jurgen McEwan is exempted from paragraph 10(3)(a), (c), (d) and (e) of the Determination of Fit and Proper Requirements subject to the conditions that he-
 - (a) may not canvass any new clients;
 - (b) may not render financial services to new clients;
 - (c) may not render financial services in respect of a financial product for which he was not approved as at 31 December 2009;
 - (d) must comply with paragraph 7 of the Determination of Fit and Proper Requirements; and
 - (e) must immediately advise the registrar of any change to the particulars furnished in the exemption application.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
 - (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Brian Jurgen McEwan from Qualification Requirements, 2010, and comes into operation on the date of publication in the *Gazette*.