BOARD NOTICES

NOTICE 57 OF 2010

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

EXEMPTION OF DAVID LEWIS FROM QUALIFICATION REQUIREMENTS

I, Dube Phineas Tshidi, Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, David Lewis from paragraphs 10(3)(a) and (c) of the Determination of Fit and Proper Requirements, 2008, to the extent and subject to the conditions set out in the Schedule.

15P 18H181

D P Tshidi

Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF DAVID LEWIS FROM QUALIFICATION REQUIREMENTS

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

Extent and duration of exemption

- 2. (a) David Lewis is hereby exempted from paragraphs 10(3)(a) and (c) of the Determination of Fit and Proper Requirements subject to the conditions that he must comply with-
 - (i) the qualification requirements as referred to in paragraph (10)(3)(a) and (b) of the Determination of Fit and Proper Requirements by 31 December 2011; and
 - (ii) the requirements as referred to in paragraphs 10(3)(d) and (e) of the Determination of Fit and Proper Requirements within the periods provided for.
 - (b) This Exemption expires on 31 December 2011.

Amendment and withdrawal of Exemption

- This Exemption is subject to-
 - (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of David Lewis from Qualification Requirements, 2010, and comes into operation on the date of publication in the *Gazette*.