

HIV and Aids (Life Skills Education) Grant	
Payment schedule	<ul style="list-style-type: none"> Four instalments (13 April 2010; 15 July 2010; 29 October 2010 and 28 January 2011)
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> Identify risks and challenges Develop the risk management strategy and implementation plan Ensure synergy with national strategies and processes aimed at reducing HIV infection and all other related issues Agree on outputs and targets with provincial departments in line with grant objectives and national imperatives for 2011/12 by 1 October 2010 Provide the guidelines and criteria for the development and approval of business plans based on monitoring and evaluation findings Monitor implementation of the programme and provide support to provinces Any additional mechanisms agreed upon by the DoBE and Provincial Education Departments; these could include site visits, surveys, etc Consolidate and submit an evaluation report on the performance of the conditional grant annually to National Treasury Submit quarterly performance reports to National Treasury within 45 days after the end of each quarter <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Ensure synergy with national strategies and processes aimed at reducing HIV infection and all other related issues Identify risks and challenges Develop the risk management strategy and implementation plan Submission of monthly, quarterly and annual performance reports to DoBE in line with DoRA and PFMA Agree with the DoBE on outputs and targets to ensure effective implementation of the programme Monitor implementation of the programme and provide support to districts and schools Evaluate and submit an evaluation report on the performance of conditional grants to the DoBE annually
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Communication with provinces to inform targets for the next financial year by 31 August 2010 Provinces submit draft business plans to DoBE for evaluation by 30 November 2010 The DoBE to evaluate provincial business plans by 10 December 2010 Comments sent to provinces to amend the plans by 14 January 2011 Provinces submit amended, signed plans to DoBE by 28 February 2011 Secure the Director-General's approval of provincial business plans from 30 March to 5 April 2011

National School Nutrition Programme Grant	
Transferring department	<ul style="list-style-type: none"> • Basic Education (Vote 14)
Strategic goal	<ul style="list-style-type: none"> • To enhance learning capacity and to improve access to education
Grant purpose	<ul style="list-style-type: none"> • To provide nutritious meals to targeted learners
Outcome statements	<ul style="list-style-type: none"> • Enhanced learning capacity and improved access to education
Outputs	<ul style="list-style-type: none"> • Nutritious meals served to learners
Details contained in the business plan	<ul style="list-style-type: none"> • Outcome indicators • Output indicators • Inputs • Key activities • Risk Management Plan
Conditions	<ul style="list-style-type: none"> • Develop national and provincial business plans • Distribute budget allocation in terms of the following weightings for both secondary and primary schools: <ul style="list-style-type: none"> – school feeding: minimum of 95 per cent – administration and other activities: maximum of 5 per cent • Minimum feeding requirements: <ul style="list-style-type: none"> – provide nutritious meals to all quintile 1 to 3 primary and quintile 1 to 2 secondary schools learners (as per gazetted national quintiles) on all school days – cost per meal per learner in primary schools at an average of R2.30 and in secondary schools at an average R3.25, inclusive of cooking fuel and honorarium – honorarium at a minimum of R600 per person per month, in line with a food handler to learner ratio of 1:200. A ratio of 1:120 is recommended for schools where learner enrolment is 250 or less – comply with recommended menus in terms of nutritional requirements, variety, social acceptability, serving portions and food safety standards – fresh fruit/vegetables should be served daily and vary between fruits and vegetables that are green, yellow and red on a weekly basis – a variety of protein food should be served per week in line with approved menu options. Tinned fish should be served at least once a week. Peanuts/ peanut butter and maas can supplement fish in areas where fish is not socially acceptable. Soya should not be served more than twice a week – meals should be served to learners by 10:00 • The 20 April 2010 budget transfer (as per payment schedule) is for preparation of Quintile 3 secondary schools to implement feeding in 2011/12 as well as procurement of cooking facilities, equipment and utensils for primary schools • Provinces should promote sustainable food production and nutrition education • Provincial business plans will be approved in line with the above minimum requirements and available resources. The following variations may be approved by the Transferring National Officer based on achievements and/or critical challenges in each province: <ul style="list-style-type: none"> – feeding cost below the above stated minimum requirement which provides meals with maximum nutritional value as per menu specifications – serving of processed vegetables or fruit in remote areas – feeding time beyond 10:00 under special provincial circumstances such as provisioning of school breakfast and circumstances beyond control – number of learners that exceed the gazetted quintiles with the approval of the Transferring National Officer
Allocation criteria	<ul style="list-style-type: none"> • The distribution formula is poverty based in accordance with the poverty distribution table used in the National Norms and Standards for School Funding as gazetted by the Minister of Education on 17 October 2008
Reason not incorporated in equitable share	<ul style="list-style-type: none"> • The National School Nutrition Programme (NSNP) is a government programme for poverty alleviation, specifically initiated to uphold the rights of children to basic food and education. For this reason, there is a national mandate to fund, spend and account transparently before government and the public. This also enables the Department of Basic Education (DoBE) to play an oversight role in the implementation of all the NSNP activities in schools
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> • Allocated and transferred R1 927.1 million to provinces • Of the total available of R1 966.6 million (including provincial rollovers), 79.6 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> • A total of 6 359 901 learners in 18 334 primary schools were provided with meals • R93.7 million was received for preparation to extend the programme to quintile 1 secondary schools from 01 April 2009. The Department took the lead in supporting and providing guidance to provinces in preparation for the extension • The Department, jointly with UNICEF, conducted workshops in all provinces to disseminate key findings of the 2007/08 Evaluation report. Recommendations from the report were incorporated into the 2009/10 business plans

National School Nutrition Programme Grant	
	<ul style="list-style-type: none"> An easy-to-use <i>Guide for Secondary Schools</i> was developed and 3 000 copies were printed and distributed to provinces in preparation for feeding Q1 secondary schools. Additional copies were printed for Q2 and 3 secondary schools that will start feeding in 2010 and 2011 respectively 6 503 schools have established vegetable gardens to teach food production and supplement school meals 500 000 comic booklets promoting healthy lifestyles were printed and distributed to all provinces for distribution to primary schools Partnerships with other government departments, non-governmental organizations (NGOs) and the private sector provided additional resources to provinces and schools. These include food, kitchen equipment and garden implements
Projected life	<ul style="list-style-type: none"> It is envisaged that, given the dire economic climate in the country and the impact of various health conditions such as HIV and Aids, diabetes and debilitating chronic conditions, the need for such a grant will persist for at least another 10 years. The programme provides learners from poorest communities with an opportunity to learn
Payment schedule	<ul style="list-style-type: none"> Five instalments (13 April 2010; 20 April 2010; 15 July 2010; 28 October 2010 and 13 January 2011)
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R3 663.3 million; 2011/12: R4 578.8 million; and 2012/13: R4 928.1 million
Responsibilities of the National and Provincial Departments	Responsibilities of the national department <ul style="list-style-type: none"> Develop and submit approved national business plans to National Treasury Evaluate, approve and submit provincial business plans to National Treasury Manage, monitor and support the programme implementation in provinces Ensure compliance with reporting requirements and NSNP guidelines Consolidate and submit quarterly reports to National Treasury within 45 days after the end of each quarter Evaluate performance of the conditional grant and submit an evaluation report to National Treasury annually
	Responsibilities of the provincial departments <ul style="list-style-type: none"> Develop and submit approved business plans to DoBE Monitor and provide support to districts/regions and schools Manage and implement the programme in line with the Division of Revenue Act (DoRA) and the Public Finance Management Act (PFMA) Submit approved quarterly financial and narrative reports to DoBE Develop a Monitoring and Evaluation Plan Provide human resource capacity at all relevant levels Evaluate the performance of conditional grant annually and submit evaluation reports to the DoBE Submit a quarterly performance report to DoBE within 30 days after the end of each quarter
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Planning meeting by 30 July 2010 Consultation with district officials, provincial treasuries finance sections and National Treasury Provinces submit first draft business plans to DoBE by 17 August 2010 DoBE evaluates draft business plans and sends comments to provinces by 15 September 2010 Provinces submit final business plans to DoBE by 28 January 2011 Director-General approves national and provincial business plans by 01 April 2011

Technical Secondary Schools Recapitalisation Grant	
Transferring department	Basic Education (Vote 14)
Strategic goal	<ul style="list-style-type: none"> To improve conditions of technical schools and modernise them to meet the teaching requirements of learners in the technical fields and increase the number of suitably qualified and technically skilled graduates from these schools
Grant purpose	<ul style="list-style-type: none"> To recapitalise up to 200 technical schools to improve the capacity to contribute to skills development and training in the country by: <ul style="list-style-type: none"> building or re-designing workshops at technical schools to support the technical subject offerings refurbishing workshops in technical schools to comply with safety laws and regulations and to meet minimum industry standards buying and installing new machinery and equipment consistent with the technical subjects that are offered in technical schools training and up-skilling teachers at technical schools to acquire new trends, practical skills, and developments in their technical subjects strengthening the technical subjects to introduce high levels of specialisation in each of the four technology subjects in the National Curriculum Statement (NCS)
Outcome statements	<ul style="list-style-type: none"> Skills Development Strategy supported by training young people in relevant technical skills
Outputs	<ul style="list-style-type: none"> Workshops built, upgraded and re-designed Workshops refurbished to comply with safety laws and regulations and minimum industry standards Equipment bought, delivered and installed Technical schools teachers trained in subject content delivery Subjects strengthened
Details contained in the business plan	<ul style="list-style-type: none"> Outcome indicators Outputs Inputs Key activities Risk Management Plan
Conditions	<ul style="list-style-type: none"> Three year provincial recapitalisation plans for technical schools must be submitted to and approved by the Department of Basic Education (DoBE) Technical schools should develop detailed yearly (operational) business plans that must demonstrate how the approved funding would be spent Deviations of between 2 per cent and 5 per cent from category allocations in business plans must be authorised by the Director-General DoBE Should the entire recapitalisation process not be completed within the stipulated timeframe, an additional two (2) months will be added to complete the project. If the funds are not completely utilized, it will be redirected to other schools within the same province Recapitalisation plans assessed against: <ul style="list-style-type: none"> contribution of technical schools to provincial growth plans record of student enrolment and growth access, equity and redress poverty index rural and urban mix
Allocation criteria	<ul style="list-style-type: none"> The distribution of funds will be as per the outcome and the capacity audit conducted in October/November 2009
Reason not incorporated in equitable share	<ul style="list-style-type: none"> Technical schools are not proportionally distributed across the provinces
Past performance	2008/09 audited financial outcomes <ul style="list-style-type: none"> New grant
	2008/09 service delivery performance <ul style="list-style-type: none"> New grant
Projected life	<ul style="list-style-type: none"> It is envisaged that the project will be completed in three years
Payment schedule	<ul style="list-style-type: none"> Four instalments (13 April 2010; 15 July 2010; 29 October 2010 and 28 January 2011)
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R80 million; 2011/12: R200 million; and 2012/13: R210 million

Technical Secondary Schools Recapitalisation Grant	
Responsibilities of the National and Provincial Departments	Responsibilities of the national department <ul style="list-style-type: none"> • Develop and submit approved national business plans to National Treasury • Evaluate, approve and submit provincial business plans to National Treasury • Manage and support programme implementation • Develop and distribute planning, monitoring and evaluation guidelines • Ensure compliance with reporting requirements • Consolidate and submit quarterly performance reports to National Treasury within 45 days after the end of each quarter • Evaluate the performance of the conditional grant and submit an evaluation report to National Treasury by 29 July 2011 • Establish and strengthen partnerships with relevant stakeholders
	Responsibilities of the provincial departments <ul style="list-style-type: none"> • Develop and submit approved business plans to DoBE • Monitor and provide support to districts/regions and schools • Submit approved quarterly financial and narrative reports to DoBE • Provide human resource capacity at all relevant levels • Evaluate the performance of conditional grant and submit evaluation reports to DoBE by 31 May 2011 • Establish and strengthen partnerships with relevant stakeholders • Submit a quarterly performance report to DoBE within 30 days after the end of each quarter
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> • An inter-provincial team on recapitalisation is established to monitor the development of plans and guide the process • The first draft of the consolidated provincial business plans will be submitted to DoBE for appraisal by 25 January 2011 • The DoBE team will meet to evaluate the consolidated business plans by 11 February 2011 • The comments on the business plans will be sent to provinces for amendments by 18 February 2011 • Provinces will be required to submit the provincially approved amended business plans to DoBE by 8 March 2011 • DoBE will approve the final business plans by 30 March 2011

HEALTH GRANTS

Comprehensive HIV and Aids Grant	
Transferring department	<ul style="list-style-type: none"> Health (Vote 15)
Strategic goal	<ul style="list-style-type: none"> To facilitate and guide the implementation of the National Strategic Plan 2007 – 2011 and the National Operational Plan for Comprehensive Care, Management and Treatment of HIV and Aids and Sexually Transmitted Infections (STIs)
Grant purpose	<ul style="list-style-type: none"> To enable the health sector to develop an effective response to HIV and Aids To support the implementation of the National Operational Plan for Comprehensive HIV and Aids treatment and care To subsidise in-part funding for antiretroviral treatment programme
Outcome statements	<ul style="list-style-type: none"> Improved coordination and collaboration on the implementation of comprehensive HIV and Aids grant between national, province and local government Improved quality of HIV and Aids services including access to Voluntary Counselling and testing (VCT), Anti-retroviral Treatment (ART), Post Exposure Prophylaxis (PEP), Home and community based care (HCBC), Step Down Care (SDC) and Prevention of Mother-to-Child-Transmission (PMTCT) Improved health workers' capacity at the three levels of care to ensure quality service delivery to South Africans Reduced HIV incidence
Outputs	<ul style="list-style-type: none"> Sub-districts that have at least one service point; number of ART accredited service points District coverage of HCBC service; caregivers who received accredited training; all active caregivers who received stipends; HCBC supplies available in all programmes High Transmission Area (HTA) intervention sites; male and female condoms distributed at HTA intervention sites; proportion of STI treated - new episode at HTA intervention sites; new peer educators trained Pregnant mothers tested for HIV; hospitals offering PMTCT; Primary Health Care facilities offering PMTCT; PMTCT babies PCR tested; Nevirapine dose to baby coverage/PMTCT dual therapy coverage Number of SDC services; bed utilisation Government health facilities (PHC) offer VCT services; minimum of two lay counsellors in places at each VCT service point
Details contained in the business plan	<ul style="list-style-type: none"> Outcome Indicators Output Indicators Inputs Key Activities
Conditions	<ul style="list-style-type: none"> Priority areas supported through the grant are: 1. ART related interventions; 2. Home Community Based Care (HCBC); 3. High Transmission area interventions among high-risk populations (HTA); 4. Post Exposure Prophylaxis after sexual assault (PEP); 5. PMTCT; 6. Programme Management Strengthening (PM); 7. Regional Training and Quality Assurance Centres (RTC); 8. Strengthening of Step down Care/Chronic care facilities; 9 VCT Flow of allocation will be dependent on compliance with each condition. Non-compliance will result in the delay of transfer payments, withholding of funds or re-allocation of funds to other provinces The (IYM) monthly financial reports and the monthly break-down report per sub-programme to be submitted latest by the 15th of the following month using standard formats as determined by the national department. An electronic version and/or a faxed hard copy signed by the provincial grant receiving manager, chief financial officer and the Head of Department need to be submitted Indicate all sources of funding for the programme on the business plan
Allocation criteria	<ul style="list-style-type: none"> Antenatal HIV prevalence, estimated share of Aids cases, populations post-demarcation
Reason not incorporated in equitable share	<ul style="list-style-type: none"> Due to the high national priority and the need for a coordinated response for the country as a whole Distribution of epidemic differs from equitable share distribution
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated and transferred R2 885.4 million to provinces Of the total available of R2 889.7 million (including provincial rollovers), 98.9 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> 8 601 counsellors trained and providing services at service points 4 624 facilities were providing VCT services 3 007 965 people received counselling and 87 per cent were tested (2 591 441) 1 346 950 patients who had access to HBC services by the end of March 2009 469 accredited ART service points in operation 88 per cent of sub-districts that had at least one ART service point 714 714 patients were initiated on ART 281 intervention high transmission sites in operation 95 per cent of PHC facilities offer PMTCT services
Projected life	<ul style="list-style-type: none"> The Comprehensive HIV and Aids Programme to be funded through this grant for the National Strategic Plan on HIV and Aids and STIs period (March 2011). To be reviewed for extension beyond this period

Comprehensive HIV and Aids Grant	
MTEF allocations	<ul style="list-style-type: none"> • 2010/11: R6 012 million; 2011/12: R7 433 million; and 2012/13: R8 765 million
Payment schedule	<ul style="list-style-type: none"> • Monthly instalments based on the approved payment schedule
Responsibilities of the National and Provincial Departments	Responsibilities of the national department <ul style="list-style-type: none"> • Liaisons and/or visits to provinces twice a year • Annual Evaluation Reports for 2009/10 to be submitted to the National Treasury by 30 July 2010 • Monitor implementation and provide support to the provinces • Submit quarterly performance reports to National Treasury • Improved demand planning for the treatment programme • Meet with National Treasury to review performance of the grant • Submit approved business plans for 2010/11 to the National Treasury on 15 April 2010
	Responsibilities of the provincial departments <ul style="list-style-type: none"> • Quarterly performance output reports to be submitted latest after four weeks following the reporting period using standard formats as determined by the national department. An electronic version and/or faxed hard copy signed by the provincial grant receiving manager and the chief financial officer need to be submitted • Provincial departmental strategic plans for 2011/12 and over the MTEF to clearly indicate measurable objectives and performance targets as agreed with the national department • Risk Management plans to be submitted by provinces together with the final business plans
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> • First draft of the business plans on the format determined by national Department of Health (NDoH) or National Treasury must be submitted to the NDoH by 31 August 2010 • NDoH to agree on outputs and targets with provincial departments in line with grant objectives for 2011/12 by 31 December 2010 • National and Provincial Departments of Health to sign and certify, respectively, provincial business plans by 15 February 2011

Forensic Pathology Services Grant	
Transferring department	<ul style="list-style-type: none"> Health (Vote 15)
Strategic goal	<ul style="list-style-type: none"> To ensure impartial professional forensic evidence for the criminal justice system concerning death due to unnatural causes
Grant purpose	<ul style="list-style-type: none"> To continue the development and provision of adequate mortuary services in all provinces
Outcome statements	<ul style="list-style-type: none"> Comprehensive Forensic Pathology Service (FPS) in all provinces
Outputs	<ul style="list-style-type: none"> New mortuary facilities built, refurbished and equipped Human resource organogram filled with qualified personnel Acceptable productivity levels in mortuaries Operational standards for mortuaries published and implemented FPS information system progressive roll-out in all provinces
Details contained in the business plan	<ul style="list-style-type: none"> Outcome indicators Output indicators Inputs Key Activities
Conditions	<ul style="list-style-type: none"> Submit business plans, monthly and quarterly reports as required by the 2010 Division of Revenue Act
Allocation criteria	<ul style="list-style-type: none"> In accordance with the National Project Plan, as modified for demarcation and inflation
Reason not incorporated in equitable share	<ul style="list-style-type: none"> The service was transferred to national Department of Health so that an integrated forensic pathology service could be developed. This involved staff transfers, new appointments, retraining, reorganisation of infrastructure and a redefinition of the relationship with all stakeholders in the criminal justice system during which funding needs to be ring-fenced
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated R604.7 million and transferred R594.5 million to provinces Of the total available of R607.3 million (including provincial rollovers), 98.1 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> 31 mortuaries completed 28 fully resourced mortuaries 101 under resourced mortuaries 108 vehicles procured 719 employees recruited 161 computers and laptops
Projected life	<ul style="list-style-type: none"> 2011/12 subject to review
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R557 million; 2011/12: R590 million; and 2012/13: R620 million
Payment schedule	<ul style="list-style-type: none"> As per the approved payment schedule
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> Monitor the provision of the service by visiting provinces not less than twice per annum Ensure the implementation of the guidelines Submit quarterly performance reports to National Treasury within 45 days after the end of each quarter Monitor the expenditure and strengthen capacity on the grant administration Meetings with provincial teams including, where possible, Chief Financial Officers (CFOs) and Heads of Departments (HODs) during provincial visits Complete the designated mortuary building and upgrading programme Strengthen the capacity of the national Department of Health (NDoH) to monitor the programme <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Submit the monthly financial reports in the format as may be determined by National Treasury Complete and submit the annual evaluation report in terms of the Practice Note issued by National Treasury Report any deviation from the prescripts of the service Submit quarterly performance reports to the NDoH within 30 days after the end of each quarter Invite other provincial stakeholders to meetings with NDoH during the bi-annual provincial visits
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Business plans, signed by the CFO, Provincial Treasury and HOD, must be submitted to the NDoH by 30 November 2010 Director-General of NDoH must approve provincial business plans by 15 February 2011

Health Professions Training and Development Grant	
Transferring department	<ul style="list-style-type: none"> Health (Vote 15)
Strategic goal	<ul style="list-style-type: none"> To contribute to the appropriate and adequate training of health professions nationally through the provision of quality education and development in designated health facilities in South Africa
Grant purpose	<ul style="list-style-type: none"> Support provinces to fund operational costs associated with training of health professionals; development and recruitment of medical specialists in under-served provinces; and support and strengthen undergraduate and post graduate teaching and training processes in health facilities
Outcome statements	<ul style="list-style-type: none"> Development of medical specialists in targeted provinces (Mpumalanga, Limpopo, Eastern Cape, North West and Northern Cape) Supported and strengthened undergraduate and postgraduate training processes in designated health facilities Increased number of health professionals nationally
Outputs	<ul style="list-style-type: none"> Number and composition of health sciences students by province and training institution Number of students per discipline and per training institution
Details contained in the business plan	<ul style="list-style-type: none"> Outcome indicators Output indicators Inputs Key activities
Conditions	<ul style="list-style-type: none"> Business plans must have been submitted in the approved format by 26 February 2010 Submission of quarterly monitoring reports in the prescribed format by one month after the close of the quarter The training platform and re-sourcing thereof to be developed after consultation with the appropriate Health Science Institutions Each province to publish in its strategic plan for 2010/11, information as required by the national department, on the training of all health care personnel by training institution
Allocation criteria	<ul style="list-style-type: none"> A specific increment has been allocated to previously disadvantaged provinces to develop specialist and teaching capacity Allocation of the training component is based on a historical approach derived from medical students distribution
Reason not incorporated in equitable share	<ul style="list-style-type: none"> Grant primarily targets certain provinces, which currently provide the bulk of health professions training nationally Expansion and shifting of location of teaching activities requires national coordination
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated and transferred R1 679.1 million to provinces, 97 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> Provincial achievements in training and development by discipline: <ul style="list-style-type: none"> medical students and professional nurse students – 20 493 registrars – 987 specialists – 980 registrars/specialists involved in outreach services – 1 248
Projected life	<ul style="list-style-type: none"> Ongoing
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R1 865.4 million; 2011/12: R1 977.3 million; and 2012/13: R2 076.2 million
Payment schedule	<ul style="list-style-type: none"> Monthly instalments based on the approved payment schedule
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> Evaluate annual reports for 2009/10 for submission to National Treasury by 30 July 2010 Provide the guidelines and criteria for the development and approval of business plans Monitor implementation and provide support Submit quarterly performance reports National Treasury within 45 days after the end of each quarter <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Monthly financial reports to the national Department of Health (NDoH) Quarterly reporting on the number of students enrolled by discipline, level and training institution using the prescribed format Annual report to contain details of outputs of this grant Submit quarterly performance reports to NDoH within 30 days after the end of each quarter
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Business plans signed by the Head of Department and approved by the NDoH as per developed format by 28 February 2011. The review process will inform the plans

Hospital Revitalisation Grant	
Transferring department	<ul style="list-style-type: none"> Health (Vote 15)
Strategic goal	<ul style="list-style-type: none"> To enable provinces to plan, manage, modernise, rationalise and transform the infrastructure, health technology, monitoring and evaluation of hospitals in line with national policy objectives
Grant purpose	<ul style="list-style-type: none"> To provide funding to enable provinces to plan, manage, modernise, rationalise and transform the infrastructure, health technology, monitoring and evaluation of hospitals; and to transform hospital management and improve quality of care in line with national policy objectives
Outcome statements	<ul style="list-style-type: none"> To improve accessibility and service delivery in health
Outputs	<ul style="list-style-type: none"> All hospital projects shall be implemented according to the approved annual Project Implementation Plan
Details contained in the business plan	<ul style="list-style-type: none"> The following items as appearing in the approved Project Implementation Plans (PIPs) <ul style="list-style-type: none"> Outcome indicators Output indicators Inputs Key activities
Conditions	<ul style="list-style-type: none"> Before the first transfer, project implementation plans as guided by the Project Implementation Manual (PIM) must be approved by the national Department of Health (NDoH) Submission of annual PIPs by 26 February 2010 to NDoH With the exception of funding for costs incurred for planning, all projects commencing in 2010/11 must have business cases and initial project implementation plans approved before funds can be released for such projects Submission of cash flows covering life time of projects to NDoH before 30 June 2010 Provincial health departments must strengthen grant management by appointing a complete Revitalisation Team as guided by PIM Health departments must comply with Budget Council guidelines on Hospital Revitalisation Provinces may not award a tender to commence construction on a new project unless sufficient funding is available to undertake the hospital project over the MTEF and that there is no shortfall on other current projects that are under construction
Allocation criteria	<ul style="list-style-type: none"> Allocations are based on projected cash flow figures, and include expenditure on infrastructure, health technology, organisational development and quality improvement 2010 MTEF allocations are based on 2009 DoRA allocation baseline and provincial need, taking previous spending and current projects into account Project based allocation approach is aligned with equity based approach across provinces over longer term
Reason not incorporated in equitable share	<ul style="list-style-type: none"> Strategic investment in hospital services to bring all provinces up to national target These are large projects requiring substantial capital investment. Their size, complexity and national strategic importance is suited to dedicated funding
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated R3 059.5 million and provincial health departments received R2 664.5 million Of the total available of R2 939.5 million (including provincial rollovers), 82.4 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> Six projects completed the construction stage: St. Lucy's, Frontier's Specialised Eye Clinic, Mamelodi, Chris Hani Baragwanath level 3, Moses Kotane and Vryburg hospitals
Projected Life	<ul style="list-style-type: none"> Time frame of the grant is ± 25 years
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R4 020.7 million; 2011/12: R4 172.3 million; and 2012/13: R4 380.9 million
Payments schedule	<ul style="list-style-type: none"> Monthly instalments as per the approved payment schedule
Responsibilities of National and Provincial Departments	<p>Responsibilities of national department</p> <ul style="list-style-type: none"> Provide the guidelines and criteria for the development and approval of business case and project implementation plans Submit quarterly performance reports to National Treasury within 45 days after the end of each quarter Submit the allocation criteria, 2011 MTEF allocations and the final conditional grant framework that relate to this grant by 7 December 2010 or as requested by National Treasury <p>Responsibilities of provincial departments</p> <ul style="list-style-type: none"> To comply with PIM conditions Monthly financial reports to be submitted by the 15th after the end of each month Submit quarterly performance reports to NDoH within 30 days after the end of each quarter
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Annual cycle for grant: <ul style="list-style-type: none"> Annual PIPs received by NDoH on 26 February 2010, covering all four components Approved PIPs and the certificate of compliance submitted to National Treasury by 14 April 2010 Business cases and initial project implementation plan for projects potentially starting construction in 2011/12 should be submitted by 30 June 2010 unless an extension is approved by National Treasury Submission of cash flows covering life time of projects to NDoH by 30 June 2010 Annual Evaluation Reports for 2009/10 for submission to National Treasury by 31 August 2010 Project Implementation Manual 2010/11 completed by 14 January 2011

National Tertiary Services Grant	
Transferring department	<ul style="list-style-type: none"> Health (Vote 15)
Strategic goal	<ul style="list-style-type: none"> To provide strategic funding to enable provinces to plan, modernise, rationalise and transform the tertiary hospital service delivery platform in line with national policy objectives including improving access and equity
Grant purpose	<ul style="list-style-type: none"> To compensate tertiary facilities for the additional costs associated with the rendering of tertiary services provision and spill over effects
Outcome statements	<ul style="list-style-type: none"> Provision of modernised and transformed tertiary services that allows for improved access and equity
Outputs	<ul style="list-style-type: none"> Provision of designated national tertiary service levels in 26 hospitals/complexes as agreed between the Province and the national Department of Health (NDoH)
Details contained in the Service Level Agreement	<ul style="list-style-type: none"> Definitions of tertiary services funded by the grant Designated services funded by the National Tertiary Services Grant (NTSG), by facility and by province Summary of inpatient separations, inpatient days, day patient separations, outpatient first visits, outpatient follow up visits for 2008/09 Monitoring and reporting Validation and revision of data Deviations or changes to tertiary services Referral responsibilities
Conditions	<ul style="list-style-type: none"> Completion of service level agreement (SLA) in the prescribed format signed by each provincial department or receiving officer, and the transferring officer by 15 March 2010 Grant to contribute a maximum of 85 per cent of each tertiary hospital/complex budget Allocations to individual hospitals/complexes as per the service level agreement The allocation must be province and hospital/complex specific Institutions to report on expenditure and patient activity monthly to the provincial department Maintain a separate budget for each of the 26 hospitals/complexes Province to communicate in writing to each benefiting hospital/complex the allocation made, with the agreed upon service specifications which must be rendered. For monitoring purposes this information must be supplied to the NDoH by 30 April 2010
Allocation criteria	<ul style="list-style-type: none"> Distribution of cost of designated tertiary services as determined by the ongoing reviews of patient activity Approved plans for the modernisation of tertiary services
Reason not incorporated in equitable share	<ul style="list-style-type: none"> Tertiary services are not limited to provincial boundaries and their specialised nature makes them a national asset requiring collective agreement and management
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated and transferred R6 134.1 million to provinces Of the total available of R6 137.6 million (including provincial rollovers), 98.5 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> Provincial tertiary services performance was measured against the SLA: <ul style="list-style-type: none"> the total patient activity rendered: 427 326 inpatient separations, 2 397 437 inpatient days, 233 352 day patient separations, 895 199 outpatient first visits, 2 687 850 outpatient follow up visits
Projected life	<ul style="list-style-type: none"> Support for tertiary services will continue because of their spill over effects. The grant is likely to be reformulated to support the Modernisation of Tertiary Services strategy. The planning of the service configuration and the basis for the calculation of the grant will be constantly reviewed
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R7 398 million; 2011/12: R7 798.9 million; and 2012/13: R8 188.8 million
Payment schedule	<ul style="list-style-type: none"> Monthly instalments
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> To agree on funded hospitals/complexes and services with provincial departments in line with grant objectives for 2011/12 by 29 October 2010 Revise framework for SLAs, assess and approve SLA prior the transfer of funds Monitor expenditure and patient activity and provide support to provinces Bi-annual visits to the provinces and hospitals/complexes Submit quarterly performance reports to National Treasury within 45 days after the end of each quarter Meet with National Treasury to revise and review performance of the grant and agree on draft MTEF allocation for 2011/12 Submit approved SLAs for 2010/11 to the National Treasury by 30 April 2010 <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> The provincial departmental strategic plans for 2010/11 and over the MTEF must clearly indicate measurable objectives and performance targets as agreed with the national department. Annual Performance Evaluation reports must report on activities rendered, outputs achieved, challenges, improvements and suggested improvements for the management of the grant, for 2010/11
Process for approval of 2011/12 Service Level Agreements	<ul style="list-style-type: none"> Evaluation of Annual Performance Evaluation reports for 2009/10 for submission to National Treasury by 30 July 2010 Agreements must be finalised on funded facilities and services with provincial departments in line with grant objectives for 2011/12 by 29 October 2010 Completion of SLA in the prescribed format signed by each provincial department or receiving officer, and the transferring officer by 15 March 2011

HIGHER EDUCATION AND TRAINING GRANT

Further Education and Training Colleges Grant	
Transferring department	<ul style="list-style-type: none"> Higher Education and Training (Vote 14)
Strategic goal	<ul style="list-style-type: none"> The successful transfer of the further education and training (FET) colleges function to the national Department of Higher Education and Training (DoHET)
Grant purpose	<ul style="list-style-type: none"> To ensure the successful transfer of the FET colleges function to the DoHET
Outcome statements	<ul style="list-style-type: none"> FET colleges offer approved programmes in support of Skills Development according to the Funding Norms for FET colleges
Outputs	<ul style="list-style-type: none"> Enrolment of NC (V) Programmes as set out in college enrolment target planning Enrolments in Report 191 Programmes in line with the Report 191 Phase Out Policy Expanding Information and Communication Technology (ICT) for teaching and learning towards connectivity norms Continued implementation of MIS systems for the delivery of transversal MIS services Implementation of the Funding Norms for FET colleges Refurbishment, maintenance and repairs of infrastructure and equipment to support the delivery of approved programmes
Details contained in the business plan	<ul style="list-style-type: none"> Details contained in each Provincial Implementation Protocol with the provincial department
Conditions	<ul style="list-style-type: none"> Provincial Education Departments (PEDs) must maintain the value of the current funding and resource requirements of FET colleges, as well as the growth projections thereof On receipt of a transfer for a college, the provincial department of education must transfer the funds to the relevant college within 14 days of receipt thereof. The date of transfer to a college must be confirmed with the DoHET within 2 days thereafter Provincial Implementation Protocol must be signed between PED accounting officers and the accounting officer of the DoHET
Allocation criteria	<ul style="list-style-type: none"> The basis for the allocation is the current allocation for the FET colleges as per programme 5 in Provincial Education Departments Allocations to FET colleges must be informed by the funding norms for FET colleges
Reason not incorporated in equitable share	<ul style="list-style-type: none"> To prepare for the shifting of the FET College function to the national Department of Higher Education and Training
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> New grant <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> New grant
Projected life	<ul style="list-style-type: none"> The projected life will be determined by the legislative process that needs to take place to give effect to the shifting of the FET colleges function to the DoHET
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R3 772.7 million; 2011/12: R3 972 million ; and 2012/13: R4 169.1 million
Payment schedule	<ul style="list-style-type: none"> Eleven monthly instalments
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> Provide framework for the development of college operational plans and strategic plans Monitor the grant according to approved college operational plans Consolidate and submit quarterly performance reports to National Treasury 45 days after the end of the quarter being reported on To monitor the utilisation of the grant against the set outcomes and to take appropriate action if cases of non-compliance are discovered Calculate the programme based funding per college based on the Funding Norms for FET colleges each year and recommend the transfer of this to the relevant FET College Establish provincial level institutional support to FET colleges Evaluate the performance of the conditional grant for the 2010/11 financial year and submit an evaluation report to National Treasury by 29 July 2011 <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Support the process of concluding the required Provincial Implementation Protocol with the DoHET Ensure provincial officials who are currently supporting FET College functions to continue such support Transfer of grant allocations to colleges within 14 days of receipt of transfer of funds and confirm transfer with the DoHET within two days thereafter
Process for approval of 2011/12 Provincial Implementation Protocol with PEDs	<ul style="list-style-type: none"> Should the legislative amendments for the transfer of colleges to the DoHET not be completed by 30 September 2010, the Provincial Implementation Protocols for 2011/12 will be developed in consultation with the respective provincial departments of education Provincial Implementation Protocols must be completed and signed by the relevant provincial and national accounting officers by 30 November 2010

HUMAN SETTLEMENTS GRANTS

Housing Disaster Relief Grant	
Transferring department	<ul style="list-style-type: none"> Human Settlements (Vote 30)
Strategic goal	<ul style="list-style-type: none"> To provide for the facilitation of housing assistance in emergency circumstances
Grant purpose	<ul style="list-style-type: none"> To provide funding for emergency relief in support of reconstruction work to housing and related infrastructure damaged by storms in KwaZulu-Natal in terms of the provisions of the National Human Settlements Programme: housing assistance in emergency circumstances
Outcome statements	<ul style="list-style-type: none"> To facilitate the immediate reconstruction of houses and related infrastructure that has been damaged due to storms
Outputs	<ul style="list-style-type: none"> Provision of: <ul style="list-style-type: none"> the reconstruction of houses sites related infrastructure, damaged during storms in KwaZulu-Natal in adherence to the standards as prescribed in the emergency housing policy
Details contained in the business plan	<ul style="list-style-type: none"> Outcomes indicators Output indicators Inputs Key activities
Conditions	<ul style="list-style-type: none"> Housing allocation must be in terms of the National Human Settlements Programme: housing assistance in emergency circumstances, and with due consideration of: <ul style="list-style-type: none"> creating quality living environments a needs orientated approach delivery constraints identified and addressed adequate capacity for effective project/financial/monitoring management/measures for the execution of the projects Provincial business plan for 2010/11 must comply with the guidelines and in particular the key indicators and performance targets must be reflected in the annual business plan The National Human Settlements Programme: Housing assistance in emergency circumstances may not be employed to substitute normal planning and projects
Allocation criteria	<ul style="list-style-type: none"> Allocations based on projects identified for reconstruction as approved by Cabinet
Reason not incorporated in equitable share	<ul style="list-style-type: none"> This grant is an emergency assistance tool, developed to ensure a swift reaction to natural disasters. It provides access to funding for temporary services and shelters as well as reconstruction of existing services and dwellings
Past performance	2008/09 audited financial outcomes <ul style="list-style-type: none"> New grant 2008/09 service delivery performance <ul style="list-style-type: none"> New grant
Projected life	<ul style="list-style-type: none"> Grant ending 31 March 2011
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R133.8 million to KwaZulu-Natal
Payment schedule	<ul style="list-style-type: none"> Twelve monthly instalments based on the approved payment schedule
Responsibilities of the National and Provincial Departments	Responsibilities of the national department <ul style="list-style-type: none"> Monthly and quarterly performance reports to be submitted to the National Treasury in line with Division of Revenue Act requirements Undertake structured visits to provinces, interaction between national and provincial Human Settlements departments, Chief Financial Officer, Technical MinMEC and MinMEC meetings Review the reports submitted by the Province and carry out regular physical inspections Responsibilities of the provincial departments <ul style="list-style-type: none"> Report on a monthly basis to the national Department of Human Settlements on progress on financial and non-financial outcomes. The monthly report to comprise of (i) status of project – planning phase, design phase, tendering phase, construction phase (ii) physical progress on site, (iii) financial expenditure, (iv) details of any jobs created (if applicable)
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Not applicable

Human Settlements Development Grant (HSDG)	
Transferring department	<ul style="list-style-type: none"> Human Settlements (Vote 30)
Strategic goal	<ul style="list-style-type: none"> To facilitate the development of sustainable human settlements
Grant purpose	<ul style="list-style-type: none"> To provide funding for the creation of sustainable human settlements
Outcome statements	<ul style="list-style-type: none"> The provision of internal connections, serviced sites, top structures and basic social and economic amenities that contribute to the creation of sustainable human settlements
Outputs	<ul style="list-style-type: none"> Financial interventions Incremental housing programmes Social and rental housing Rural housing
Details contained in the business plan	<ul style="list-style-type: none"> Outcome indicators Output Inputs Key activities
Conditions	<ul style="list-style-type: none"> Human settlements allocations must be in terms of the National Housing Code, 2009 Programmes and priorities should consider: <ul style="list-style-type: none"> creating quality living environments a needs orientated approach delivery constraints identified and addressed adequate capacity for effective project/financial/monitoring management/measures for the execution of the projects Provinces must utilise the Housing Subsidy System for business planning, budgeting, subsidy administration, and reporting purposes Provinces may, if a proven need exists and subject to approval by the Accounting Officer of the provincial Department of Human Settlements acting in consultation with the Member of the Executive Council (MEC), utilize 5 per cent of the total allocation up to a maximum of R159 million for the Operational Capital Budget Programme to support the implementation of the approved national and provincial housing programmes and priorities MECs for Human Settlements may designate and formally approve a project as a priority project, to address or alleviate a particular situation affecting the life and health of people. Provincial departments will immediately have to commence with the planning of such a project with a view to execute it in the next financial year. Such projects must be compliant to the new mandate of creating an appropriate human settlements environment The budget allocations in respect of the priority projects should not be utilised for any other purpose and projects. The following conditions are applicable to priority projects: <ul style="list-style-type: none"> in order to prevent underspending, reallocation to another priority project(s) in the same province will be considered on receipt of a written motivation which is supported by the Human Settlements MEC The provincial human settlements departments must submit monthly reports on progress as well as financial and non-financial matters to the national department To expedite the completion of the high impact projects indicated below the provincial departments of human settlements must allocate the stipulated amounts from the provincial grant allocation: <ul style="list-style-type: none"> Eastern Cape: Zanemvula project R120 million Gauteng: Khutsong/Merafong project R100 million Western Cape: N2 Gateway project R550 million
Allocation criteria	<ul style="list-style-type: none"> The 2009 Division of Revenue Act allocation was the basis for determining the MTEF allocations using the current formula recognising the following factors: <ul style="list-style-type: none"> 80 per cent of the proposed 80/20 split concentrates on housing needs, weighted at 90 per cent, and developmental potential which is weighted 10 per cent. The need component includes three areas: inadequate housing, population and poverty the ring fenced portion allocated to priority projects should not be applied so rigidly that it impedes the allocation of funds to meet other contractual commitments of priority projects currently being executed and likewise if the total contractual obligations of priority projects for 2010/11 is not allocated then the balance may be allocated to normal projects
Reason not incorporated in equitable share	<ul style="list-style-type: none"> The equitable share formula in its composition does not make provision for housing needs and developmental potential. Accordingly the HSD grant must continue in its current format to create viable communities living in sustainable integrated human settlements
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated and transferred R10 177.9 million to provinces Of the total available of R10 367.6 million (including provincial rollovers), 99.8 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> 239 553 units completed and in the process of completion 270 individual credit linked subsidies approved 15 036 beneficiaries approved in the People's Housing Process
Projected life	<ul style="list-style-type: none"> It is a long term grant of which the exact life span cannot be stipulated as the Government has an obligation to assist the poor with the provision of human settlements

Human Settlements Development Grant (HSDG)	
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R15 026.8 million; 2011/12: R17 222.4 million; and 2012/13: R17 938.7 million
Payment schedule	<ul style="list-style-type: none"> Monthly instalments as per the approved payment schedule
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> The national Department of Human Settlements must obtain assurances from the provincial departments of human settlements regarding the adequacy of internal controls put in place to manage the conditional grant and as to whether and to what extent the matters raised in prior year audit reports have been adequately dealt with Monitor implementation and provide support to provinces with regard to human settlement delivery Submit quarterly performance reports to National Treasury within 45 days after the end of each quarter Undertake structured visits to provinces, interaction between national and provincial departments of human settlements' Chief Financial Officers, Technical MinMEC and MinMEC meetings <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Provinces to submit 2009/10 annual reports to the national department on or before 30 September 2010 and the national department to evaluate annual reports for 2009/10 for submission to National Treasury by 15 December 2010 Provinces to ensure that there is alignment between the business plan, local municipalities' Integrated Development Plan and the Annual Performance Plan Monitor and evaluate the spending and delivery in all human settlements developments (priority projects to be reported on separately) Responsible for approval and signing off of projects and the transfer of the properties to beneficiaries
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> First draft business plans for 2011/12 be submitted to the national department on or before 15 November 2010 The final draft together with project lists to be submitted by 1 February 2011

NATIONAL TREASURY GRANT

Infrastructure Grant to Provinces (IGP)	
Transferring department	<ul style="list-style-type: none"> National Treasury (Vote 9)
Strategic goal	<ul style="list-style-type: none"> To supplement provinces to fund provincial infrastructure such as schools, health facilities, roads, agriculture and other fixed structures
Grant purpose	<ul style="list-style-type: none"> To help accelerate construction, maintenance, upgrading and rehabilitation of new and existing infrastructure in education, roads, health and agriculture; to enhance the application of labour intensive methods in order to maximise job creation and skills development as encapsulated in the Expanded Public Works Programme (EPWP) guidelines; and to enhance capacity to deliver infrastructure
Outcome statements	<ul style="list-style-type: none"> Improved service delivery by provincial departments as a result of improved and increased stock of public infrastructure such as schools, health facilities, roads, agriculture and other fixed structures Improved rates of employment and skills development in the delivery of infrastructure Aligned and coordinated approach to infrastructure development by provinces Improved infrastructure expenditure patterns
Outputs	<ul style="list-style-type: none"> Quality and quantity of serviceable education, health and roads infrastructure Comprehensive 5-10 year Infrastructure Plans and User Asset Management Plans (U-AMPs) Comprehensive monthly and quarterly reports showing progress on infrastructure projects
Details contained in the business plan	<ul style="list-style-type: none"> Outcome indicators Output indicators Inputs Key activities
Conditions	<p>Transfer of instalments are dependent on:</p> <ul style="list-style-type: none"> Submission of tabled Infrastructure Plans (draft User Asset Management Plans, or U-AMPs) with prioritised project lists that are signed-off for the 2010 MTEF by 09 April 2010 for departments that are targeted by the grant. These plans must comply with the prescribed 2T01 template. These deliverables are required for the transfer of the 1st instalment The flow of the 2nd instalment depends on the submission of fourth quarter infrastructure report for the 2009/10 financial year and final list of projects captured on the IRM by the 23 April 2010 The flow of the 3rd instalment is dependent upon receipt of the draft 2011/12 U-AMPs including the initial list of prioritised projects as captured in the Infrastructure Programme Management Plan (iPMP). The lists of prioritised projects should reflect those projects being planned and implemented in 2011/12 and must be endorsed by the respective national departments The flow of the 3rd, 4th and 5th instalments are conditional upon submission and approval of signed-off quarterly infrastructure reports, effectiveness reports and education project assessments Quarterly reports on the implementation of infrastructure projects (via the Infrastructure Reporting Model – IRM) are required in addition to monthly In-Year Monitoring expenditure reports. Reported information should cover the full infrastructure budget in the province, not only the grant allocation. Reports should also indicate progress in terms of expenditure and jobs created on infrastructure related EPWP projects Quarterly reports on education infrastructure should also include, as an annexure, project assessment forms (as prescribed by the Department of Basic Education) of all projects completed during the previous quarter. This will assist with the updating of the National Education Infrastructure Management System (NEIMS) required to determine backlog data to inform the allocation criteria of the IGP Non-compliance to the above conditions can result in funds being withheld or stopped <p>Priority allocations</p> <ul style="list-style-type: none"> The 2010 MTEF makes provision for scaling-up EPWP in the roads and transport sector. The following amounts are targeted for this purpose: R2.2 billion in 2010/11, R2.8 billion in 2011/12 and R3.3 billion in 2012/13. These amounts are part of the recommended roads and transport allocation within the Infrastructure Grant to Provinces (IGP) and are a continuation of the EPWP allocations from the 2007 MTEF. The 2010 MTEF amounts are thus already included within the baselines The 2008 MTEF made available R1.5 billion in 2010/11 (included in baselines) to increase spending on education infrastructure (i.e. construction and maintenance) with particular focus on addressing backlogs in infrastructure provision (including the eradication of unsafe and inappropriate facilities). An additional R262 million is made available in the outer year of the 2010 MTEF for this purpose The 2009 MTEF made available additions of R200 million in 2010/11 and R800 million in 2011/12 to address school safety and security, to provide for laboratories, libraries and sports facilities, as well as the upgrading of schools for learners with special needs R100 million was also allocated in 2010/11 and R400 million in 2011/12 for the construction of Grade R infrastructure. These allocations must be reflected in education budgets to be implemented in 2010/11 and 2011/12 The 2009 MTEF made available amounts of R200 million in 2010/11 and R320 million in 2011/12 for the rehabilitation of coal haulage routes in Limpopo and Mpumalanga provinces. These amounts should be reflected within the Roads plans for the relevant departments <p>Capacity building and development</p> <ul style="list-style-type: none"> Provincial Treasuries, in allocating the IGP, must take into account the capacity of the receiving provincial sector departments to spend and manage infrastructure Where a receiving provincial department(s) that is charged with the management, planning and implementation of infrastructure construction or maintenance, lacks capacity the Provincial Treasury may allocate an amount not exceeding four per cent of the IGP (excluding priority ring-fenced allocations) for acquiring such capacity This decision must however be informed by a capacity plan (as prescribed by the 2T07 template) prepared by the targeted provincial department and approved by the Provincial Treasury in concurrence with National Treasury

Infrastructure Grant to Provinces (IGP)	
	Immovable Asset Management and Maintenance <ul style="list-style-type: none"> All immovable asset management and maintenance responsibilities as prescribed by the Government Immovable Asset Management Act of 2007 (GIAMA) and the Immovable Asset Management Toolkit must be adhered to Maintenance functions for education and health facilities should be devolved to the cost centre, where there is capacity to carry out the function. Provincial departments must monitor how cost centres are using maintenance funding to ensure that they achieve value for money
Allocation criteria	<ul style="list-style-type: none"> The formula to allocate the IGP takes account of the phased-in percentage share of equitable share allocation and infrastructure backlogs in the 2010 MTEF, an equal split of 33.3 per cent for the phased-in equitable share component, the roads component and backlog component is used. The backlog component has been updated with the latest National Education Infrastructure Management System (NEIMS)
Reason not incorporated in equitable share	<ul style="list-style-type: none"> This grant ensures that receiving provincial give priority to infrastructure construction, maintenance, upgrading and rehabilitation, and support rural development and the accelerated and shared growth initiatives in line with Government priorities It is also used as a mechanism for stimulating the use of labour intensive methods in large infrastructure programmes/projects to create jobs and develop the required skills
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated and transferred R7 384.5 million to provinces Total available of R7 441.8 million, which includes provincial rollovers <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> Project information from provinces submitted on quarterly basis to National Treasury 100 per cent of the allocated IGP amount was transferred to provinces Provinces spent 96.5 per cent of the infrastructure allocations IDIP fully implemented in provincial departments of Education, Health and Public Works
Projected life	<ul style="list-style-type: none"> To be reviewed after five years
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R11 314.9 million; 2011/12: R13 091.2 million; and 2012/13: R14 007.6 million
Payment schedule	<ul style="list-style-type: none"> Five instalments as per the approved payment schedule
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> National Department of Public Works, in collaboration with National Treasury, will provide guidelines/formats for the development of U-AMPs for 2010/11 by 31 March 2010 National Treasury will monitor the grant in line with the Division of Revenue Act and the PFMA requirements National Treasury will visit selected infrastructure sites in provinces National Treasury, in collaboration with respective sector departments, will support provinces to improve infrastructure delivery capacity and systems The relevant national sector departments must provide guidance to provinces in planning and prioritisation and evaluate U-AMPs and prioritised projects that provinces develop and submit The relevant sector department to report on quarterly performance on infrastructure delivery to the National Treasury An outcome of aggregate spending on infrastructure is the responsibility of each province, and relevant MinMECs for key concurrent functions like education, health and roads With respect to roads performance, the Road Coordinating Body is a vehicle for evaluating the performance in line with the strategic framework for roads With respect to education performance, the Heads of Education Departments Committee (HEDCOM) Sub-Committee for School Infrastructure Development is responsible for evaluating the performance in line with the regularly updated NEIMS audit The National DPW will provide guidance and monitor implementation of EPWP guidelines and will review the U-AMPs to assess compliance <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Submit quarterly performance reports (IRM, effectiveness and education assessment reports) to National Treasury within 22 days after the end of each quarter
Approval process for 2011/12 plans	<ul style="list-style-type: none"> Submission of draft U-AMPs for 2011/12 to Provincial Treasuries in the prescribed format (2T01 template within the Immovable Asset Management Toolkit) and prioritised projects, by 1 June 2010, or any other date as determined by National Treasury Submission of draft U-AMPs for 2011/12 to National Treasury in the prescribed format, including EPWP requirements and prioritised list of projects, by 13 August 2010 or any other date determined by National Treasury. Copies of the U-AMPs must also be submitted to the respective national sector department Submission of IPMPs for 2011/12 by client departments to implementing agents in accordance with template 2T06, by no later than 3 September 2010 Client departments must enter into service delivery agreements (SDAs) with their Implementing Agents, in accordance with template 2T09 or 2T10. The development or review of the SDA should continue in parallel with the development of the IPMP Implementing department(s) or agent(s) must submit the Infrastructure Programme Implementation Plans (IPIP) for 2011/12 (prescribed in the 3T01 template) to client departments by no later than 30 November 2010. Copies of IPIPs and SDAs should be submitted to national sector departments Final U-AMPs must be tabled together with strategic and annual performance plans Non-compliance to the above conditions can result in funds being withheld or stopped

PUBLIC WORKS GRANTS

Devolution of Property Rate Funds Grant	
Transferring department	<ul style="list-style-type: none"> Public Works (Vote 6)
Strategic goal	<ul style="list-style-type: none"> To enable provincial accounting officers to be fully accountable for their expenditure and payment of provincial property rates
Grant purpose	<ul style="list-style-type: none"> To facilitate the transfer of property rates expenditure responsibility to provinces; and to enable provincial accounting officers to be fully accountable for their expenditure and payment of provincial property rates
Outcome statements	<ul style="list-style-type: none"> Provinces taking over full responsibility of the management of the property assessment rates with respect to property owned and deemed to be owned by provincial departments
Outputs	<ul style="list-style-type: none"> Payment of property rates for provincially-owned properties as per the verified invoices submitted by the various municipalities
Details contained in the business plan	<ul style="list-style-type: none"> Not applicable
Conditions	<ul style="list-style-type: none"> Provinces must limit payments to invoices submitted by municipalities for property rates Provinces must ensure that sufficient provision is made within their baselines to accommodate future commitments pertaining to provincially-owned properties
Allocation criteria	<ul style="list-style-type: none"> Allocations have been divided according to location of the relevant properties in specific provinces Funds are allocated per province based on the determination/calculations submitted by the national Department of Public Works The determinations/calculations plans assessed against: <ul style="list-style-type: none"> Property lists extracted from the national department's assets register of properties which were paid during 2005/06 financial year Calculations based on the 2005/06 financial year's expenditure
Reason not incorporating in equitable share	<ul style="list-style-type: none"> To ensure that provinces are not adversely affected during the division of funds based on the number of properties in that specific province To ensure an effective transition of the function from national to provincial government
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> Allocated and transferred R889.3 million to provinces, of which 77.2 per cent was spent <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> Payments made for provincially-owned properties
Projected life	<ul style="list-style-type: none"> Subject to review in the 2010/11 financial year
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R1 096.2 million; 2011/12: R1 162 million; and 2012/13: R1 220.1 million
Payment schedule	<ul style="list-style-type: none"> Three instalments (06 May 2010; 08 July 2010; and 07 October 2010)
Responsibilities of the National and Provincial Departments	<p>Responsibilities of the national department</p> <ul style="list-style-type: none"> Oversee the transfer of the function and skills development of the provincial receiving officers Monitor payment performance of provinces National Department of Public Works (NDPW) shall be responsible to apply for vesting of properties into the name of National Government through the national Department of Rural Development and Land Reform (RDLR) Monitor and evaluate the devolution process Submit the allocation criteria, 2011 MTEF allocations and the final conditional grant frameworks that relate to this grant to National Treasury by 7 December 2010 or as requested by National Treasury NDPW shall be responsible for payment of outstanding rates arrears of properties devolved to the provinces prior to devolution process Submit quarterly performance reports within 45 days after the end of each quarter to National Treasury <p>Responsibilities of the provincial departments</p> <ul style="list-style-type: none"> Provinces pay validated claims and accounts to municipalities in respect of Public Finance Management Act (PFMA) Submit quarterly performance reports to NDPW within 30 days after each quarter Provincial departments are responsible to apply for vesting of properties into the name of provincial government through RDLR Provincial departments are responsible for requesting any additional funding through NDPW
Process for approval of 2011/12 business plans	<ul style="list-style-type: none"> Not applicable

Expanded Public Works Programme Incentive Grant to Provinces for the Infrastructure Sector	
Transferring department	<ul style="list-style-type: none"> Public Works (Vote 6)
Strategic goal	<ul style="list-style-type: none"> To increase the number of full time equivalent jobs (FTEs) created through labour intensive infrastructure programmes implemented by provinces
Grant purpose	<ul style="list-style-type: none"> To incentivise provinces to increase labour intensive employment through programmes that maximise job creation and skills development in line with the Expanded Public Works Programme (EPWP) guidelines
Outcome statements	<ul style="list-style-type: none"> Improvements to the quality of life of unemployed people through employment creation and increased income from the EPWP Reduced levels of poverty Reduced rates of unemployment Improved social stability through mobilising the unemployed in productive activities Improved opportunities for sustainable work through experience gained
Outputs	<ul style="list-style-type: none"> Increased contribution to the objective of halving poverty and unemployment by 2014 Increased number of people employed and receiving income through the EPWP Average duration of the work opportunities created Increased income per EPWP beneficiary
Details contained in the business plan/agreements	<ul style="list-style-type: none"> Outcome indicators Output indicators Inputs Key activities
Conditions	<ul style="list-style-type: none"> Eligible provincial departments must sign a standard incentive agreement with national Department of Public Works (NDPW) to agree to comply with the conditions and obligations of the grant Provincial departments must report progress on all projects for which they are claiming the incentive via national Department of Public Works' EPWP Management Information System (MIS). This includes reporting beneficiary information Reports must be loaded on the MIS within 20 days after the end of every quarter in order for performance to be assessed for an incentive payment 45 days after the end of each quarter Provincial departments must maintain beneficiary and payroll records as specified in the <i>Audit Requirements</i> in the EPWP Incentive Manual The EPWP incentive grant once received by the eligible provincial department must be used for continuing or expanding job creation programmes
Allocation criteria	<ul style="list-style-type: none"> In any one financial year, to be eligible to participate in the EPWP incentive grant, provincial departments must have reported to national Department of Public Works on their contribution to job creation in a prior financial year: <ul style="list-style-type: none"> to be eligible in 2010/11, provincial departments must have reported on 2008/09 EPWP performance to NDPW by 15 April 2009 Provincial departments must meet the minimum quarterly performance threshold to be able to access the incentive payment for a particular quarter. The minimum performance threshold is the number of FTEs that must be created by the public body in delivering infrastructure and is based on their existing infrastructure grant allocations Indicative incentive allocations to each provincial department are based on the targeted number of FTEs each provincial department should create above the set minimum threshold The targeted number of FTEs for each provincial department is based on the allocated infrastructure grant budget and performance in the 2008/09 financial year Where provincial departments did not meet the minimum threshold, a nominal incentive amount of R500 000 has been allocated to those provincial departments The incentive is paid out based on actual performance reported against the target during the 2010/11 financial year Eligible provincial departments that partially meet their performance target will be paid the incentive on a pro-rata basis up to the full incentive amount as published in the gazette The indicative incentive allocation from underperforming provincial departments may be re-allocated to over performing provincial departments by NDPW Eligible provincial departments that exceed their targets may be paid an incentive in excess of their published indicative incentive allocation subject to the availability of funds
Reason not incorporated in equitable share	<ul style="list-style-type: none"> This grant is a performance based allocation intended to incentivise and reward actual EPWP performance during the year and thus cannot be pre-determined
Past performance	<p>2008/09 audited financial outcomes</p> <ul style="list-style-type: none"> New grant <p>2008/09 service delivery performance</p> <ul style="list-style-type: none"> New grant
Projected life	<ul style="list-style-type: none"> Grant continues until 2014
MTEF allocations	<ul style="list-style-type: none"> 2010/11: R331 million; 2011/12: R800 million; and 2012/13: R840 million
Payment schedule	<ul style="list-style-type: none"> Four instalments per annum (14 May 2010; 13 August 2010; 15 November 2010 and 15 February 2011)