
BOARD NOTICE

BOARD NOTICE 125 OF 2009

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

NOTICE ON AMENDMENT OF EXEMPTIONS

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby under paragraph 4(a) of-

- (a) the Exemption of Banks in respect of Certain clients, 2004;
- (b) the Exemption of Morgan Stanley and AMB Holdings in respect of Certain Clients, 2004;
- (c) the Exemption of UBS South Africa and Deutsche Securities in respect of Certain Clients, 2007;
- (d) the Exemption of First Africa and RMB Investments and Advisory in respect of Certain Clients, 2008;
- (e) the Exemption of Nomura International in respect of Certain Clients, 2009;
- (f) the Exemption of certain Merrill Lynch Entities in respect of Certain Clients, 2009;
- (g) the Exemption of Goldman Sachs International in respect of Certain Clients, 2009,

amend paragraph 3 of the abovementioned Exemptions by the substitution for the date "30 September 2009" of the date "30 April 2010".

This Notice is called the Notice on Amendment of Exemptions, 2009, and comes into operation on 1 October 2009.



G E ANDERSON

Deputy Registrar of Financial Services Providers
