### **BOARD NOTICE 150 OF 2011**

### **FINANCIAL SERVICES BOARD**

# FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

# SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR SUSPENSION
Allen Webber	32633	6 September 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Apple Healthcare Solutions CC	11124	18 August 2011	Contravention of section 15A of the Financial Services Board Act, 1990 ("the FSB Act"), and section 17(4) of the FAIS Act
Brokernet (Pty) Ltd	5429	15 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act, and section 2.2 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("the Code")
Craig Mitchell t/a MIB	12434	31 August 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Dayforex Capital Management CC t/a Dayforex	15315	31 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Financial Consulting Group Trust	16372	23 August 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Gordon's Insurance Brokers CC	13930	23 August 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
I.C.E Insurance Claims Exchange (Pty) Ltd t/a I.C.E.	22339	12 August 2011	Contravention of section 17(4) of the FAIS Act and section 2.2 of the Code
Jacques Desmond Conradie t/a UIB Services	13843	23 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Leonie Engelbrecht Versekeringsmakelaars BK	14118	23 August 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lialuma Dickman Ramsay Phafuli	26155	16 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntsieni Asaph Nengudza t/a Phindulo Insurance Brokers	20500	1 September 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Omnitrust	11189	18 August 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Peter Selaelo Mangwato	16639	23 August 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Preclass Financial Holdings CC t/a Diro Holdings	22483	16 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robow Auto (Pty) Ltd	24057	1 September 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thomas Bartholomeus Jansen t/a Thomas B Brokers	38977	24 August 2011	Contravention of section 15A of the FSB Act

## The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 7 of 2011.

**G E ANDERSON** 

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Deputy Registrar of Financial Services Providers