NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Describe the Medical Schemes Act / regulation / amendments / circulars and scheme rules that should be taken into consideration when resolving a medical scheme query in a broker environment.	
		Provide a general overview of the industry and any issues that are currently impacting on the medical schemes.	
		Explain the dispute resolution process.	
		Describe the process for receiving and processing a claim according to the rules of the scheme.	Analyse the claims based on claim information provided, including but not limited to the benefits, sufficient MSA availability, eligibility of provider to bill for a specific procedure, sub-limits, duplication, statement date, member beneficiary status, etc.
		Explain what information should be included in the claim.	Process the payment to the member within the prescribed benefit option limit and within 30 days.
15.	Processing and payment of claims.	Only applicable to the medical scheme:	
		Explain the practice codes and the role the codes play in processing a claim successfully.	
		Describe the ICD 10 procedure	
		Explain what the provider codes are.	
		Describe what the provider codes are used for.	
		Explain where the provider codes are obtained from.	
16.	Deal with elevated or disputed claims from a broker's perspective.	Describe the process to follow when dealing with disputed claims.	Contact the medical scheme and advise them that a complaint will be lodged with the disputes committee.
		Explain how a complaint should be lodged against a service provider or scheme on the Council website.	Act as mediator between the consumer and the medical scheme until it's resolved.
		Describe the limitations of the plan options - include exclusions, self payment gap, thresholds, etc.	Communicate to the member the outcome of the disputes committee.

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Understanding of the medical schemes structure and the complaints procedures.	Advise the consumer on how to lodge a complaint against the Medical Scheme.
		Describe the Medical schemes Act / regulation / amendments / circulars and scheme rules that should be taken into consideration when resolving a medical scheme query in a broker environment.	Assist the consumer in preparing his/her case to be heard by the disputes committee.
		Explain the product specific features and benefits.	Advising the member of his/her rights and responsibilities.
		Explain the product specific rules.	
		Describe the Medical Scheme rules.	
		Explain the importance of member entitlement to benefits of prescribed minimum benefits.	
		Describe the Medical Scheme structures.	
		Describe the Medical Scheme protocols.	
17.	Describe healthcare cover in South Africa.	*General to all:*	
		Explain the role and function of the different stake holders in the medical scheme environment.	Identify the various stakeholders in the medical scheme environment.
		List the pending legislation affecting the SA healthcare environment.	Identify pending legislation affecting the SA healthcare environment.
		Describe the model medical scheme rules and possible amendments.	Compare a medical scheme rules with the model rules as published by the Council for Medical Schemes and analyse any significant differences.
		Describe the changes to the benefit structures and options.	
		When call centre agents sell medical aid:	
		Differentiate between insurance cover and medical scheme cover (advantages and disadvantages) .	Explain the difference between insurance cover and medical scheme cover (advantages and disadvantages) to the member.
		Applicable to brokers only:	

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Differentiate between insurance cover and medical scheme cover (advantages and disadvantages).	Explain the difference between insurance cover and medical scheme cover (advantages and disadvantages) to the member.
		Explain the different stakeholders (administrators, Regulators, medical schemes, board of trustees, principal officer).	Explain the healthcare environment in SA including public and private sector in terms of the advantages and disadvantages of both.
		Describe the history of SA the healthcare environment.	Explain the evolution of the medical schemes industry since 1998.
		FAIS Act (Fit and proper requirements).	
18.	Describe the complimentary legislation affecting the Health Care environment.	*All knowledge requirements above.*	
19.	Ongoing consumer education after membership - note that this task must be last because ALL the knowledge applies to this task	*All knowledge requirements above.*	
20.	Ongoing administrative function note that this task must be last because ALL the knowledge applies to this task	*All knowledge requirements above.*	

Section 13: RE 13: Second Level Regulatory Examination: Pension Benefit Funds

(1) All representatives performing financial services in relation to category I dealing with the subcategories Pension Benefit are required to complete a first level regulatory examination, based on the qualifying criteria as it appears in Section 4 and a second level regulatory examination based on the qualifying criteria in Section 13.

(2) Examination bodies have the discretionary powers to offer a combination examination for representatives working with category I, dealing with the subcategories Pension Benefit Funds, where such representatives may choose to complete one examination that addresses the qualifying criteria as it appears in Sections 4 and 13.

(3) The qualifying criteria in Section 4 and Section 13 serve as the criteria against which qualifications will be evaluated for inclusion on the list of recognised qualifications.

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
1.	Consultant- analyse the current retirement provisions.	Know and understand the Pension Funds Act.	Keep records of communication to the client - data which leads to recommendation.
		Know and understand the implications of Tax Structures, in the Income Tax Act, and the implication thereof on Retirement Funds.	Determine the client's needs and limitations.
		Know and understand the Long Term Insurance Act as it applies to Retirement Funds.	Analyse the legality including rules, service provider /employment contracts (admin, actuary, consultants, investments) and policies.
		Have an understanding of Investments.	Summarise current position of retirement position.
		Know and understand FAIS Legislation.	Conceptualise appropriate solutions.
		Know types of Products and Service Providers.	Broad comparison of financial statements to Fund activity.
		Know and understand FICA Legislation as it applies to Retirement Funds.	Assess reasonability of Fund financials.
		Labour Relations Act- promise to employee.	
		Basic understanding of Financial Statements.	
2.	Formulate a proposal/s.	Know and understand Employee Benefit Industry trends.	Research industry trends.
		Know types of Products and Service Providers.	Obtaining and interpreting quotations.
		Know and understand FAIS Legislation.	Record keeping of quotation request and quotations received.

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Understand retirement and risk benefit structures.	Align proposal to clients needs.
3.	Present the proposal/s to the client.	Understand the roles of key players of the Employee Benefits industry, and of a Fund	Explain key role players and their functions in a Retirement Fund.
			Explain implications of your proposal.
			Explain the duties of Trustees in SA.
4.	Implement your proposal.	Understand all contractual requirements and processes in implementing the proposal: • Understand and interpret the rules of a retirement fund; • Understand contractual obligations and operational requirements; • Basic legislative requirements of the Pension fund and Income tax Acts; • Basic Retirement Fund Accounting knowledge.	Set up contracts with client to implement the fund: • Load the Fund rules correctly; • Upload parameters of all relevant contracts; • Upload member data; • Maintain monthly processes on system; • Ongoing of matching of Assets and Liabilities of a Fund.
		Know and understand communication requirements to members of a Fund.	Appoint service provider/s and confirm/ set up all relevant contracts.
		Understand the capabilities of administration system.	Manage implementation process of your proposal.
5.	Communicate with members.	Know and understand the Pension Fund Act and related regulations: • Knowledge of the Pensions Fund Act in terms of member communication.	Determine the appropriate method for member communication.
			Communicate regulatory and relevant fund specific information to members.
			Manage member communication process: • Ensure that member communication plan is included in annual plan.
6.	Maintain, Monitor and Review of Retirement Fund provisions.	Know and understand your contractual requirements and relevant legislation.	Ensure and plan that annual processes are completed timeously.
		Understand the implications of legislative time frames.	Maintain client relationships.

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Keep updated with client service provisions and legislative changes and environment.	Record keeping of annual processes: • Effect annual reviews and renewals; • Update annual plan in accordance with legislative changes.
		Understand annual processes of a fund (incl. financial statements and reviews, renewals of policies, fidelity policy, and member communication).	Review contracts and fund documentation to ensure legality, appropriateness and practical implementation.
		Understand Fund valuations.	
		Know and understand the deliverables of contracts.	
7.	Process a Partial / Full Termination / Transfer of a Fund.	Know and understand the process and time frames involved in the termination / transfer of a fund.	Explain implications of termination / transfer of a fund.
		Know and understand legalities of terminating / transferring of a fund. (labour relations and PFA).	Facilitate and the termination /transfer of a fund.
		Understand the process of transferring a Fund from one administrator to another.	Record keeping of termination/ transfer documents.
			Process a termination / transfer effectively.
8.	Deal with Retirement Fund Claims.	Understand of the types of claims and benefits.	Provide advice on legislative implications on claims.
		Know and understand the implications of Income Tax Act and PFA and relevant regulations.	Process claims and effect orders.
		Understand the processes of Service Provider/s.	Keep records of payments and orders processed and supporting documents.
		Understand legislative impact of Divorces, Maintenance, children customary union; Trusts as it applies to Retirement Funds.	Communicate the payments to relevant parties.
9.	Deal with Retirement Fund Investments.	Understand the role players in Asset Management.	Facilitate an investment strategy.
		Know and understand appropriate Asset terminology.	Monitor investment performance against the benchmarks and report on performance to the trustees.

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Understand relevant regulations as they apply to Investments.	Process investment instructions correctly and timeously.
		Notice periods for investments and dis-investment.	
		Understand investment instructions relating to the strategy and ad-hoc instructions.	
		Have a basic understanding of investment service provider requirements and client's requirements.	
		Have basic understanding of relevant investment terminology.	
		Understanding of rules as it relates to investments.	
10.	Deal with member queries.	Understand FAIS legislation and disclosures.	The ability to differentiate between factual information and advice.
		Understand the FAIS limitations of your license and your FAIS regulatory status.	Refer queries to appropriate role players.
		Know and understand the legal framework stated above.	Identify when to obtain authorisation to release confidential information.
		Know and understand the company procedures around member queries.	

Section 14 RE 14: Second Level Regulatory Examination: Long Term Insurance Category A:FAB

(1) All representatives performing financial services in relation to category I dealing with the subcategory Long Term Insurance Category A:FAB are required to complete a first level regulatory examination, based on the qualifying criteria as it appears in Section 4 and a second level regulatory examination based on the qualifying criteria in Section 14.

(2) Examination bodies have the discretionary powers to offer a combination examination for representatives working with category I, dealing with the subcategory Long Term Insurance Category A:FAB, where such representatives may choose to complete one examination that addresses the qualifying criteria as it appears in Sections 4 and 14.

(3) The qualifying criteria in Section 4 and Section 14 serve as the criteria against which qualifications will be evaluated for inclusion on the list of recognised qualifications.

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
1.	Explain why a customer (individual/ group) would want to consider funeral insurance cover.	Explain the products features and benefits of a specific product, included but not limited to: • the limits of cover; • who can be covered under the policy.	
		Explain the product rules, including but not limited to the applicable waiting periods.	
		Describe the exclusions and rules and regulations of the product.	
		Explain what is covered in the policy.	
		Explain when the policy will pay out.	
		Explain the definition of "exclusion".	
		Name the exclusions in the event of certain pre-defined covers.	
		Understand the waiting periods and when it applies.	
		Understand the limits relating to who is covered, legislative ages.	
		Parameters of the product, family dependants that can be covered, children, spouse, under the predefined policy parameters.	

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Understand the different types of groups and legal/ contractual requirements/ limitations.	
		Understand legal/ contractual obligation regarding premiums and when cover falls away.	
		Explain to a group what they can do regarding the funeral cover & policies with their members.	
2.	Keep records as it relates to funeral insurance policies.	Explain what happens if monthly premium is not paid by the client.	Keep copies of the funeral insurance policies sold/ issued.
		Describe why a summary of the policy document should be provided to the client.	Provide the client with a summary of the policy document.
3.	Deal with a claim as it relates to funeral insurance policies.	Explain the importance for accurate client contact details to be included on the funeral insurance policy records.	
		Explain the claims procedures.	Facilitate the claims process.
		Explain what records must be kept related to the claims procedure.	Keep records of the claim process in accordance with FAIS.
		Explain who to contact after the death of the insured person.	
		Explain what the timeframe is for contacting the required role players after the death of the insured person.	
4.	Describe the relationship between the role-players within the funeral insurance policy environment. FSP, the underwriter and the client.	Identify the role-players involved within the funeral insurance environment.	
		Explain the role of the underwriter included but not limited to: • their function (responsibility); • what they do regarding risk to the business and the client.	

NO.	TASK	KNOWLEDGE CRITERIA	SKILL CRITERIA
		Explain when a policy will be legitimate (valid).	
		Explain what the customer should be aware of and stay away from (unregistered / unlicensed operators.)	
5.	Describe the role of the Life Ombudsman.	Explain the role of the Life Ombudsman.	
		Explain how the Life Ombudsman deals with a complaint.	
		Explain what the complaint process is, included but not limited to: • How a complaint is lodged; • Where a complaint should be lodged.	

Annexure 2: Section 1: Qualifications list for Category I FSPs

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
National Certificate: Financial Services	48783	2	120	G																		G
National Certificate: Insurance Administration	14653	2	120	S																		S
Certificate in Financial Products	21166	4	120	S	G	S	S	G	G	G	G	G	G	G	G	G	G	G	G	S	S	S
Certificate: Banking	20185	4	120	G	G	G	G	G	G	G							G		G	G	G	G
Certificate: Financial Products	21166	4	120	S	G	S	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	S
FETC: Long Term Insurance	49649	4	140	G	G	S	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
FETC: Medical Claims Assessing	49356	4	150																S			
FETC: Retail Insurance	49835	4	140		G	G			G													
FETC: Short Term Insurance	49929	4	150	G	S				S												G	G

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
FETC: Short-term Risk Management	57613	4	160		S				S													
Further Education and Training Certificate: Long-term Insurance	49649	4	140	G	G	S	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Further Education and Training Certificate: Short-term Insurance	49929	4	150	G	S	G			S												G	G
Further Education and Training Certificate: Wealth Management	57917	4	150	G		G																G
National Certificate in Financial Services Management	48640	4	150	G		G	G															
National Certificate: Collective Investment Schemes	20638	4	120														G					
National Certificate: Healthcare Benefits Administration: Claims Assessing	20639	4	120																S			
National Certificate: Risk Management	24396	4	140		G				S													
National Certificate: Short-term Insurance	21796	4	120		S				S													

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Advanced Certificate: International Trade (Exports/Imports) (ACIT)	24356	5	284						G													
Certificate: Banking	21164	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Banking	11541	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Banking	72345	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Banking Services Advice	48533	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Financial Planning	57998	5	120	S	G	S	S	S	G	S	G	G	G	G	G	G	S	G	G	S	S	S
Diploma: Banking	14373	5	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Treasury: International Banking	20334	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Wealth Management	57608	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Banking	1217	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G
National Certificate: Loss Adjusting	61529	5	120		G				G													
National Certificate: Short Term Insurance	57918	5	120		G				G													
National Certificate: Wealth Management	23973	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
National Certificate: Wealth Management	57608	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Services Long Term Risk Assessment	49860	5	245	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Higher Certificate: Banking	1213	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G
B.Com (Banking)	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Management Leadership (BML)	16944	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	S	S	S	S	S	S	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	S	S	S	G	S	G	G	G	G	G	G	S	G	G	G	G	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160						G													
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	G	G	S	S	S	S	S	S	S	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	S	G	S	S	S	G	G	G	G	G	G	G	G	G	G	G	S	S	S
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category I																		
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning	48866	7	160	G	G	S	S	S	G	S	G	G	G	G	G	G						
Postgraduate Diploma: Financial Planning	48866	7	120	G	G	S	S	S	G	S	G	G	G	G	G	G	S	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001			S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S

Annexure 2: Section 2: Qualifications list for Category II and IIA FSPs

Qualification title	ID NUMBER	Level	Credits	Category II and IIA														
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA
B.Com (Banking)	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Management Leadership (BML)	16944	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category II and IIA														
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8.	2.9	2.10	2.11	2.12	2.13	2.14	IIA
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category II and IIA														
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	S	S	S	S	S	S	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	S	S	S	G	G	G	G	G	G	S	G	G	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category II and IIA														
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	S	S	S	S	S	S	S	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	S	S	S	S	S	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	Level	Credits	Category II and IIA														
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8.	2.9	2.10	2.11	2.12	2.13	2.14	IIA
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning	48866	7	160	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S
Postgraduate Diploma: Financial Planning	48866	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) Foreign Qualification	FSB001			S	S	S	S	S	S	S	S	S	S	S	S	S	S	S

Annexure 2: Section 3: Qualifications list for Category III FSPs

Qualification title	ID NUMBER	Level	Credits	Category III													
				1	2	3	4	5	6	7	8	9	10	11	12	13	14
B.Com (Banking)	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Management Leadership (BML)	16944	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	LEVEL	CREDITS	Category III													
				1	2	3	4	5	6	7	8	9	10	11	12	13	14
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification Title	ID NUMBER	LEVEL	CREDITS	Category III													
				1	2	3	4	5	6	7	8	9	10	11	12	13	14
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification Title	ID NUMBER	LEVEL	CREDITS	Category III													
				1	2	3	4	5	6	7	8	9	10	11	12	13	14
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID NUMBER	LEVEL	CREDITS	Category III													
				1	2	3	4	5	6	7	8	9	10	11	12	13	14
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning	48866	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning	48866	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Annexure 2: Section 4: Qualifications list for Category IV FSPs

Qualification title	ID NUMBER	Level	Credits	Category IV
National Certificate: Financial Services	48783	2	120	G
National Certificate: Insurance Administration	14653	2	120	G
Certificate in Financial Products	21166	4	120	S
Certificate: Banking	20185	4	120	G
Certificate: Financial Products	21166	4	120	S
FETC: Long Term Insurance	49649	4	140	G
FETC: Short Term Insurance	49929	4	150	G
Further Education and Training Certificate: Long-term Insurance	49649	4	140	G
Further Education and Training Certificate: Short-term Insurance	49929	4	150	G
Further Education and Training Certificate: Wealth Management	57917	4	150	G
Certificate: Banking	21164	5	120	G
Certificate: Banking	11541	5	120	G
Certificate: Banking	72345	5	120	G
Certificate: Banking Services Advice	48533	5	120	G
Certificate: Financial Planning	57998	5	120	S
Diploma: Banking	14373	5	384	G

Qualification title	ID NUMBER	Level	Credits	Category IV
Diploma: Treasury: International Banking	20334	5	240	G
National Certificate: Wealth Management	57608	5	120	G
National Certificate: Banking	1217	5	120	G
National Certificate: Short Term Insurance	57918	5	120	G
National Certificate: Wealth Management	57608	5	120	G
National Diploma: Financial Services Long Term Risk Assessment	49860	5	245	G
National Higher Certificate: Banking	1213	5	240	G
B.Com (Banking)	16913	6	536	G
B.Com (General Management)	16912	6	536	G
B.Com (Risk Management)	16936	6	528	G
Bachelor in Business Administration	59850	6	360	G
Bachelor in Management Leadership (BML)	16944	6	360	G
Bachelor of Banking	14375	6	504	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G
Bachelor of Commerce: Banking	7039	6	430	G

Qualification title	ID NUMBER	Level	Credits	Category IV
Bachelor of Commerce: Banking Management	58005	6	363	G
Bachelor of Commerce: Investment Management	16386	6	473	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G
Higher Diploma: Banking	59830	6	240	G
National Certificate: Financial Markets & Instruments	50481	6	120	G
National Certificate: Financial Markets & Instruments	50481	6	120	G
National Certificate: Financial Planning	57695	6	120	G
National Certificate: Financial Planning	57695	6	120	G
National Diploma: Banking	977	6	360	G
National Diploma: Financial Markets	23653	6	240	G
National Diploma: Financial Markets	23653	6	240	G
National Diploma: Management (Accountancy)	57222	6	240	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G
Bachelor of Commerce Honours: Banking	7215	7	200	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G

Qualification title	ID NUMBER	Level	Credits	Category IV
Bachelor of Commerce Honours: Financial Management	18974	7	160	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	S
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G
Bachelor of Commerce Honours: Investment Management	16955	7	120	G
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G
Post-Graduate Diploma in Account Practice	20391	7	240	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G
Postgraduate Diploma: Financial Planning	48866	7	120	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001			S