GOVERNMENT NOTICE

DEPARTMENT OF LABOUR

No. R. 480

26 May 2006

EMPLOYMENT EQUITY ACT, 1998 (ACT 55 OF 1998)

AMENDMENTS TO THE EMPLOYMENT EQUITY REGULATIONS ,

I Membathisi Mphumzi Shepherd Mdladlana, Minister of Labour, under section 55(1) of the Employment Equity Act, 1998 (Act No 55 of 1998), and on the advice of the Commission for Employment'Equity, hereby amend the regulations made in terms of the Employment Equity Act, act 55 of 1998, published under Government Notice R 1360 in Government Gazette 20626 of 23 November 1999 and the Notice R 955 in Government Gazette 21583 of 2 October 2000 as set out in the schedule,

The amended regulations replaces the regulations published in Government Notice R 1360 Government Gazette 20626 of 23 November 1999 and the Notice R 955 in Government Gazette 21583 of 2 October 2000. These amendments are to be effective from the date of publication of this notice.

K MDLADLANA, MP MINISTER OF LABOUR

25/4/06

CONTENTS

GENERAL ADMINISTRATIVE REGULATIONS

- 1. **Definitions**
- Assigning a Senior Manager(s) 2.
- 3. Consultations
- 4. Collecting information and conducting an analysis (section 19 of the Act)
- Duty to prepare and implement an Employment equity plan (section 20 of the Act)
 Duty to report (section 21 of the Act)
 Duty to inform (section 25 of the Act)
 Income differentials statement (section 27 of the Act) 5.
- 6.
- 7.
- 8.
- Proof of submission and authenticity 9.
- Review by Director General (Section 43 of the Act) 10.
- Enforcement 11.

FORMS AND ANNEXURES

EEAI	Employee dec	claration in terms of Section 19 (1) d f the Act									
EEA2	Report to Dire	ctor-Generalin terms of Section 21 of the Act									
EEA3	Summary of th	ne Act in terms of Section 25(1) of the Act									
EEA4	Statement of in Section 27 of the	ncome differentials to the Employment Conditions Commission in terms of the Act									
EEA5	Securing an U	Indertaking in terms of Section 36 of the Act									
EEA6	Compliance or	Compliance order in terms of Section 37(1) of the Act									
EEA7	Objection again	inst Compliance Order in terms of Section 39 (1) of the Act									
EEA8	Annexurel:	Demographic Data									
EEA9	Annexure 2:	Occupational Levels									
EEA10	Annexure 3:	Occupational Categories									
EEA11	Annexure 4:	Request for employer's employment equity report									
EEA12	Annexure 5:	Summary of the employment equity progress report									

Definitions

In these regulations any expression that is defined in the Employment Equity Act, 1998, has that meaning and unless the context otherwise indicates:

- ■1 "Director-General" means the Director-General of the Department of Labour, which also includes the highest authority of the Department of Labour in the:
 - (i) Kwa-Zulu/Natal Province:
 - (ii) Northern Cape Province;
 - (iii) Limpopo Province;
 - (iv) North West Province:
 - (v) Eastern Cape Province;
 - (vi) Mpumalanga Province;
 - (vii) Free State Province;
 - (viii) Gauteng Province (i.e. Gauteng South and Gauteng North); and
 - (ix) Western Cape Province.
- 1.2 "the Act" means the Employment Equity Act, 1998 (Act No.55 of 1998).
- 1.3 "A workplace" means the place or places where the employees of an employer work. If an employer carries on or conducts two or more operations that are independent of one another by reason of their size, function, or organization, the place or places where employees in connection with each other's independent operation, constitute the workplace for that operation.
- **Non-permanentworkers** refer to those workers who are employed to work for less than 24 hours per month, or those workers engaged to work for not more than 3 continuous months.
- **"Designated groups"** means Black people (i.e. Africans, Coloureds and Indians), women and people with disabilities who are natural persons and:
 - 1.5.1 are citizens of the Republic of South Africa by birth or descent; or
 - 1.5.2 are citizens of the Republic of South Africa by naturalisation before the commencement date of the Constitution of the Republic of South Africa Act of 1993; or
 - 1.5.3 became citizens of the Republic of South Africa after the commencement date of the Constitution of the Republic of South Africa Act of 1993, but who, not for Apartheid policy that had been in place prior to that date, would have been entitled to acquire citizenship by naturalisation prior to that date.

Assigning a Senior Manager(s)

Assigned manager(s) for employment equity must be:

- **2.1** Permanent, and must have key employment equity **outcomes** incorporated into their performance contracts;
- **2.2** Given the necessary executive authority and mandate;
- 2.3 Provided with an appropriate budget and access to other required resources; and
- 2.4 Provided with time off from other duties and commitments.

3. Consultations

- 3.1 All employees must be informed of the content and application of the Act, employment equity and anti-discrimination issues, the process to be followed by the employer, and the need for the involvement of all stakeholders, as preparation for their participation and consultation.
- 3.2 A consultative forum must be established or an existing forum utilised. The forum must inctude employee representatives reflecting the interests of employees from both designated and non-designated groups. The employer should also be represented by one or more members of senior management in the forum.
- **3.3** Consultation must include:
 - Regular meetings and feedback to employees and management; and
 - Access to relevant information by employees.
- 3.4 Where a representative body or trade union refuses to take part in **the** consultation process, the employer must record the circumstances in writing. A copy of this document must be provided to the representative body or trade union concerned.

4. Collecting information and conducting an analysis (Section 19 of the Act)

- **4.1** When a designated employer collects information about individual employees for the purpose of compiling a workforce profile to determine the degree to which employees from designated groups might be underrepresented, the employer must request each employee in the workforce **to** complete a declaration using the EEAI form.
- **4.2** Employees must at any time be able to add information to the EEAI form.
- **4.3** Where an employee refuses to complete the EEAI form or provides inaccurate information, the employer may establish the designation of an employee by using reliable historical and existing data.
- **4.4** A designated employer must use section B of the EEA2 form to develop the workforce profile of employees as required by section 19(2) of the Act.
- When a designated employer conducts the analysis required by section 19(1) of the Act, the employer may refer to:
 - a) Annexure I, for demographic data;
 - b) Annexure 2, which contains the definitions of occupational levels; and
 - c) Annexure 3, which contains the definitions of occupational categories.
- 4.6 A designated employer must refer to the Code of Good Practice: Preparation, Implementation and Monitoring of Employment Equity Plans as a guide when collecting information and conducting the analysis required by section 19 of the Act.
- 4.7 The analysis must involve reviewing of all policies, procedures and practices in order to eliminate unfair discrimination and promote employment equity in the workplace, including when commencing employment, during employment and ending employment.

5. Duty to prepare and Implement an employment equity plan (Section 20 of the Act)

- 5.1' A designated employer may refer to the Codes of Good Practice: Preparation, Implementation and Monitoring of Employment Equity Plans, and other relevant Codes when preparing the employment equity plan required by section 20 of the Act.
- A designated employer must retain the employment equity plan for a period of three years after the expiry of the plan, unless the employer employs fewer than 150 employees, in which case the plan must be retained for two years.
- **5.3** The employment equity plan must contain a description of the measures taken by the designated employer to eliminate unfair discrimination in that employer's workplace.

6. Duty to report (Section 21 of the Act)

- 6.1 Each designated employer must submit a report in terms of Section 21 of the Act using the **EEA2** form.
- 6.2 Large employers must submit their first report within six months of being designated, and thereafter annually on the first working day of October; and small employers must submit their first report within twelve months of being designated, and thereafter on the first working day of October of every year that ends with an even number.
- 8.3 Large employers, i.e. employers with 150 and more employees, must complete the entire EEA2 reporting form. Small employers, i.e. employers with fewer than 150 employees, must only complete areas of the EEA2 form that apply to them. Areas that only apply to small employers shall be made available by the Department in a separate form as well. All relevant areas of the form must be fully and accurately completed by employers. Employers who fail to observe this provision will be deemed not to have reported.
- 6.4 A designated employer whose operations extend across different geographical areas, functional units, workplaces or industrial sectors must submit a consolidated report indicating names of these units, workplaces or subsidiaries and attach a separate report for each of them.
- 6.5 An employer who becomes a designated employer must notify the Director General in writing and provide valid reasons for not being able to report on the first working day of October. This notification must reach the Director General by no later than the last working day of August in the same year. The Director General will examine the reasons that were provided by the employer and shall decide on whether to accept or reject them, which may lead to the non-acceptance of the notification. The Director General's decision shall be final.
- 6.6 A designated employer must retain a copy of the report for a period of three years after it has been submitted to the Director-General, unless the employer has fewer than 150 employees, in which case the report must be retained for two years.

7. Duty to inform (Section 25 of the Act)

- 7.1 Each employer must display the notice required by Section 25(1) of the Act (i.e. the summary of the Act).
- **7.2** If there are employees in the workplace who are unable to read this notice, the employer must inform those employees about the provisions of the Act.
- 7.3 The notice referred to in clause (7.1) is annexed as EEA3 in the regulations.

8. Income differentials (section 27 of the Act)

- **8.1** Each designated employer must submit a statement of income differentials required by section 27 of the Act in using the EEA4 form.
- **8.2** When completing the EEA4 form, designated employers must refer to **EEA9** and the **EEA10** for guidance.
- 8.3 Designated employers must submit the statement to: Employment Conditions Commission, c/o Employment Equity Registry, Department of Labour, Private BagX117, Pretoria, 0001.
- 8.4 Designated employers must retain a copy of the statement for a period of three years after it has been submitted to the Employment Conditions Commission, unless the employer has fewer than 150 employees, in which case the statement must be retained for two years.

9. Proof of submission and authenticity

- 9.1 Whenever a person is required to satisfy any other person that a copy of any document required or prescribed by the Act or its regulations, excluding the **EE** report, has been submitted to the other party, that person may do so by providing:
 - a) A copy of the proof of mailing the document by registered post to the other party;
 - b) A copy of the telegram, telex, telefax or **e-mail**, including proof of transmission of the document to the other party;
 - c) A copy of a receipt signed by the other party or on that party's behalf if the document was delivered by hand;
 - d) A statement confirming delivery signed by the person who delivered the document.
- **9.2** A copy of any document submitted to a Labour Inspector or any official of the Department **d** Labour must be signed by an authorised person as proof of authenticity,

10. Review by Director General (Section 43 of the Act)

- 10.1 The Director General may conduct a review to determine the extent to which an employer is complying with the Act.
- 10.2 The review shall be conducted using a system that includes a Numerical Analysis Model. This model shall be used as a filtering tool to assess the degree to which the various designated groups (i.e. Blacks, women and people with disabilities) are represented at each occupational level in an employer's workplace. In addition to Blacks, women and people with disabilities, African representation is included as a fourth variable for assessment in an employer's workplace.
- 10.3 The DG shall assess and rank each designated group to address their under representation by taking their National and Provincial Economically Active Population (EAP) into consideration. This principle shall also apply to groupings within each of these designated groups in terms of race and gender.

11. Enforcement(Chapter5 of the Act)

11.1 Securing an undertaking (Section 36 of the Act):

A labour inspector must request and obtain a written undertaking using the EEA5 form.

11.2 Compliance order (Section 37 of the Act):

A labour inspector may issue a compliance order to a designated employer using the **EEA6** form.

11.3 Objections to compliance order (Section 39 of the Act):

- (a) A designated employer may object to a compliance order by making a representation to the Director-General using the EEA7 form.
- (b) The objection must be lodged with the highest authority at the Provincial level of the Department of Labour.

EEA1

1 of 1

PAGE 1 OF 1

EEA1

DEPARTMENT OF LABOUR

	(Confidential) Declaration by employee
PLEASE READ THIS FIRST Purpose of this form This form is used to obtain information from employees for the purpose of assisting employers with conducting an analysis on the workforce profile. Employers should use this form to ascertain which employees are from designated groups in terms of the Employment Equity Act, 55 of 1998. Who fills in this form	1. Name of employee:
Employees should fill in this form.	4. I verify that the above information is true and correct.
Employersmust ensure that the contents of this form remain confidential, and that it is only used to comply with the Employment Equity Act, 55 of 1998. 'People with disabilities' are defined in the A d as people who have long-term or recurring physical or mental impairment, which substantially limits their prospects of entering into, or advancement in employment	Signed: Employee Date:

PAGE 1 OF 23

EEA2

PL	EAS	SE	RE/	٩D	THI	S F	FIR	ST

PURPOSEOF THIS FORM

This form enables employers to comply with Section 21 of the Employment Equity Act 55 of 1998.

This form contains the format for employment equity reporting by employers to the Department of Labour. Both small employers (i.e. employers employing fewer than 150 employees) and large employers (i.e. employers employing 150 or more employees) are required to use this form. Those employerswho are not designated, but wish to voluntarily comply, must also use this reporting form.

Although all sections of this form apply to large employers, only certain sections of this form should be completed by small employers. Employers who report for the first time are not required to complete the progress report section of this form.

WHO SHOULD COMPLETE THIS FORM?

All designated employers that have to submit a report in terms of the Employment Equity Act, 55 of 1998. Employers who wish to voluntarily comply with the reporting requirements of the Act are also required to complete this form.

WHENSHOULDEMPLOYERSREPORT?

- Large employers must submit their first report within six months of being designated, and thereafter annually on the first working day of October. and
- Small employers must submit their first report within twelve months of being designated, and thereafter on the first working day of October of every year that ends with an even number.

ESSENTIAL REQUIREMENTS

Large employers, i.e. employers with 150 and more employees, must complete the entire EEA2 reporting form. Small employers, i.e. employers with fewer than 150 employees, must only complete areas of the EEA2 form that apply to them. Large employers, i.e. employers with 150 and more employees, must complete the entire EEA2 reporting form. Small employers, i.e. employers with fewer than 150 employees, must only complete areas of the EEA2 form that apply to them. All relevant areas of the form must be fully and accurately completed by employers. Designated employers who fall to observe this provision will be deemed not to have reported. Guidance to overcome difficulties on how to complete the form properly must be obtained from the Department prior to completing and submitting the report.

SEND TO: EmploymentEquity Registry The Department of Labour **Private** Bag X117

Pretoria 0001
Telephone: 012 3094000
Facsimile: 012 309473713094188
e-mail: ge@labour.gov.za

	 	 -

DTI registration name	
DTI registration number	
PAYE/SARS number	
UIF reference number	
EE reference number	
Industry/Sector	
Seta classification	
Telephone number	
Fax number	
Email address	
Littali address	
Postal address	
Fostaraduress	
	<u>i</u>
Postalcode	
Citv/Town	
Pmvince	
Physical address	
Postalcode	
City/Town	
Province	
Details of CEO at the time of sub	mitting this report
Name and surname	
Telephone number	
Fax number	
Email address	
Details of Equity Manager at the	time of submitting this report
Name and Surname	
Teleohone number	<u> </u>
Fax number	
Email address	
Business type Private Sector	Parastatal
National Government	Provincial Government
Local Government	Educational Institution
Non-profit Organization	
information about the organization	on at the time of submitting this report
	0 to 49
Number of employees in the organization	50 to 149
In terms of Section 14 of the Act,	
are you voluntary complying?	Yes No
Is your organization an organ of State?	Yes No
Date d submitting this report	

	welve-month period (in the case of large emplo nall employers) covered by this report, except fo e:	, ,
From (date):	To (date):	•
Please indicate below the dura	tion of your current employment equity plan:	
From (date):	To (date):	

Please read this first

- a. The preceding twelve-month period (in the case of large employers) or twenty-four month period (in the case of small employers) covered by employment equity employer reports must be the same for every reporting period.
- b. A summary providing guidelines on occupational categories and levels is provided in annexure 3and annexure 4 of the regulations. Employers must complete the EEA2 form and the EEA4 form in accordance with Annexure 3 and Annexure 4.
- c. Non-permanentworkers refer to those workers who are employed to work for less than 24 hours per month, or those workers engaged to work for not more than 3 continuous months.
- d. In Section B, the subtotals in terms of race and gender in the row dealing with total permanent employees in the table on occupational categories for all employees, which includes people with disabilities, must be exactly the same as the subtotals in the table on occupational levels for all employees, The same must apply to the subtotals in the grand total rows for occupational categories and levels as well.
- e. In Section 6, the subtotals in terms of race and gender in the row dealing with total permanent employees in the table on occupational categories for people with disabilities must be exactly the same as the subtotals in the table on occupational levels for **people with disabilities**. The same must apply to the subtotals in the **grand total** rows for occupational categories and levels
- f. Employers, from the second cycle of reporting onwards, must complete Section G that deals with
- progress reports.
 Employers must complete Section H that deals with **numerical goals** and **numerical targets.** Numerical goals are the workforce profile the employer is striving to achieve in the workplace at the end of the duration of the employer's current employment equity plan. The numerical goals of the employer must be the same for the entire duration of the employment equity plan. Numerical targets are the workforce profile the employer is striving to achieve at the end of the period following the period covered by the current report of the employer.
- h. Large employers, i.e. employers with 150 and more employees, must complete the entire EEA2 reporting form. Small employers, i.e. employers with fewer than 150 employees, must only complete areas of the EEA2 form that apply to them. Areas that only apply to small employers shall be made available by the Department in a separate form as well. All relevant areas of the
- form must be fully and accurately completed by employers. The alphabets "A", "C", "I" and W used in the tables have the following corresponding meanings and must be interpreted as "Africans", "Coloureds", "Indians" and "Whites" respectively.
- "Designated groups" means Black people (i.e. Africans, Coloureds and Indians), women and people with disabilities who are natural persons and are citizens of the Republic of South Africa by birth or descent; or are citizens of the Republic of South Africa by naturalisation before the commencement date of the Constitution of the Republic of South Africa Act of 1993; or became citizens of the Republic & South Africa after the commencement date & the Constitution of the Republic of South Africa Act of 1993, but who, not for Apartheid policy that had been in place prior to that date, would have been entitled to acquire citizenship by naturalisation prior to that date.
- k. All population groupings who are not part of the Black group, but in substance fall within the definition described in paragraph (j) in terms of citizenship or descent, must be counted and included in the column of each table in the form that require data on the White group.
- Foreign nationals and South African citiens that fall outside the definition described in paragraphs (i) or (k) must be counted and included in the column of each table in the form that require data on foreign nationals.

Section B: Workforce Profile

1. Occupational Categories

1.1 Please report the total number of employees (including employees with disabilities) in each of the following occupational categories: Note: A=Africans, C=Coloureds, I=Indians and W=Whites

			D	esignate	d			Non			
Occupational	Male					nale		White Male	Foreign Nationals		TOTAL
Categories	A	C	1	А	С	1	w	w	Male	Female	
Legislators, senior officials and managers											
Professionals								{{			
Technicians and associate professionals											
Clerks							1				
Service and sales workers											
Skilled agricultural and fishery workers											
Craft and related trades workers											
Plant and machine operators and assemblers											
Elementary occupations											
TOTAL PERMANENT											
Non - permanent employees											
GRAND TOTAL											

1.2 Please report the total number of employees with disabilities only in each of the following occupational categories: Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				Design	nated				Non-De		
Occupational Categories		Ma	le			Fen	nale		Foreign	TOTAL	
Categories	A	С	ŧ	W	A	C	•	w	Male	Female	
Legislators, senior officials and managers											
Professionals							}				
Technicians and associate professionals											
Clerks							<u>{</u>		}		
Service and sales workers											
Skilled agricultural and fishery workers											
Craft and related trades workers			,								
Plant and machine operators and assemblers											
Elementary occupations											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											1

2. Occupational levels

2.1 Please report the total number of employees (including employees with disabilities) in each of the following occupational levels: Note: A=Africans, C=Coloureds, I=Indians and W=Whites

			D	esignate	d			No	n-design	ated	TOTAL
Occupational Levels	Male				Fem	ale		White Male	Foreign Nationals		
	A	С	1	A	С	1	W	W Male Female	Female		
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

2.2 Please report the total number of employees with disabilities only in each of the following occupational levels: Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				Design	nated				Non-De	signated	
Occupational Levels		Ma	ale]	Fer	nale		Foreign	TOTAL	
	A	C	1	W	A	С)	W	Male	Female	
Top management											
Senior management	:			}.						}	
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT						,					
Non – permanent employees											
GRAND TOTAL											

23 Operational/Core function and Support function by occupational level

Job evaluation or grading systems, as illustrated in the EEA9, are used to measure a job in terms of content in order to establish its worth or value in relation to other jobs in an organization. The worth or value of a job is represented on a vertical axis as an occupational level. A job could either be an Operational/Core function or a Support function. Operational/Core Function positions carry the responsibility mainly for revenue generation, e.g. sales, production, etc. Whereas Support Functions positions provide infrastructure and other enabling conditions for revenue generation, e.g. human resources, corporate services, etc. Please indicate on table 2.3.1 the number of employees that are in Operational/Core Function positions and in table 2.3.2 the number of employees that are in Support Function positions at each occupational level.

2.3.1 Please indicate the total number of employees (including people with disabilities), that are involved in Operational/Core Function positions at each level in your organization. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				Design	ated				Non-De	signated	41
Occupational Levels		Ma	ale			Fer	nale		Foreign	TOTAL	
-	A	С	1	w	A	C	1	W	Male	Female	
Top management							17				
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT					{						
Non – permanent employees											
GRAND TOTAL					}}	1					}}

Please indicate the total number of employees (including people with disabilities), that are involved in Support Function positions at each level in your organization. Note: A=Africans, C=Coloureds, I=Indians and W=Whites 2.3.2

j-majaris and				Desig	nated				Non-De	signated	
Occupational Levels		M	ale			Fer	nale		Foreign	TOTAL	
	A	C	1	W	A	С	1	W	Male	Female	
Top management											
Senior management										}	
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making			<u> </u>								
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL				}			}			ļ	

Section C: Workforce movement

- 3. Recruitment
- 3.1 Please report the total number of new recruits, including people with disabilities. Note: A=Africans,

			D	esignate	ed			No	ndesign	ated	TOTAL
Occupational Levels		Male			Fer	nale	_	White Male	Fo Nat	reign ionals	
	Α	С	ı	Α	С	I	w	w	Male	Female	
Top management											
Senior management											
Professionallyqualified and experienced specialists and mid-management											
Skilled technical and workers, junior foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non - permanent employees											
GRAND TOTAL											

3.2 Please report the total number of new recruits with disabilities only in each of the following occupational levels: Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				Desig	nated				Non-De	esignated	
Occupational Levels		M	ale			Fen	nale		Foreign	Nationals	TOTAL
	A	С	1	W	A	C		w	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and , superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT	. !										
Non – permanent employees											
GRAND TOTAL											

4. Promotion

4.1 Please report the total number of promotions into each occupational level, including people with disabilities. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

			D	esignate	d			No	n-design	ated	TOTAL
Occupational Levels		Male			Fen	nale		White Male	Fo	reign ionals	
	A	С	1	A	С	ı	W	w	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non - permanent employees											
GRAND TOTAL											

4.2 Please report the total number of promotions involving people with disabilities only in each occupational level. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

Georgesonal				Desig					Non-De	esignated	· ·
Occupational Levels		M	ale	.		Fe	male		Foreign	Nationals	TOTAL
	A	С	1	w	A	С		w	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

5. Termination

5.1 Please report the total number of terminations in each occupational level, including people with disabilities. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				esignate	d			No	n-design	ated	TOTAL
Occupational Levels		Male			Fen	nale		White Male	Fo	reign ionals	
	A	С	1	A .	C	1	W	w	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management							·				
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non - permanent employees											·
GRAND TOTAL											

-				Desig	nated				Non-De	esignated	
OccupationalLevels		M	ale			Fe	male		Foreign	Nationals	TOTAL
	Α	С	I	W	A	C	1	w	Male	Female	
Top management					\prod					, .	
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and workers, junior management, supervisors, foremen, and superintendents									,		
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
IVIAL FERMANENI											
Non – permanent employees											
GRAND TOTAL				-							

5.3 Please report the total number of terminations in each termination category below. Note: A=Africans, C=Coloureds. I=Indians and W=Whites

C=Coloureds, I=III	ulans and	VV-VVIIICO		esignate	d				-design	ated	
Terminations		Male			Fen	nale		White Male		eign onais	TOTAL
	A	С	1	Α	С	1	W	w	Male	Female	
Resignation							! 				
Non-renewal of contract											
Dismissal – Operational requirements (retrenchment)											
Dismissal - misconduct											
Dismissal - incapacity											
Other											
TOTAL									<u> </u>		<u> </u>

5.4 Please report the total number of terminations involving people with disabilities only in each termination category below. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

termination	categor			Design					Non-De	signated	4
Terminations		Ma	ile			Fen	nale		Foreign	Nationals	TOTAL
	A	C	1	w	A	С	1	W	Male	Female	<u> </u>
Resignation				,							
Non-renewal of contract											
Dismissal – Operational requirements (retrenchment)											
Dismissal - misconduct					<u> </u>						·
Dismissal - incapacity											<u> </u>
Other											
TOTAL											

Section D: Disciplinary Action (This section is not applicable to small employers)

6. Disciplinary action: (report the total number of disciplinary actions during the twelve months

			D	esignate	ed			Nor	ı-design	ated	L
		Male Female					White Male		eign onals	TOTAL	
Disciplinary Action	Α	_г с	I	A	С	I	\mathbf{w}	$ \mathbf{w} $	Male	Female	

Section E: Skills Development (This section is not applicable to small employers)

7. Training

7.1 Please report the total number of people who received training, including for pec ple with disabilities, and not the number of training courses attended, in each occupational category.

				esignat					ated	T	
Occupational Categories		Male	,			male		White Male	For	eign onals	TOTAL
Juliagonia	A,	С	ı	A	С	1	w	w	Male	Female	
Legislators, senior officials and managers											
Professionals											
Technicians and associate professionals											
Cierks											
Service and sales workers											
Skilled agricultural and fishery workers					Ī						
Craft and related trades workers											
Plant and machine operators and assemblers											
Elementary occupations											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

7.2 Please report the total number of people with disabilities only, and not the number of training courses attended, who received training in each occupational category.

				Desig	nated				Non-De	signated	
Occupational Categories		Ma	ale			Fen	nale		Foreign	Nationals	TOTAL
Juliagonia	A	С	Ł	W	A	С	1	w	Male	Female	
Legislators, senior officials and managers											
Professionals											
Technicians and associate professionals											
Clerks											
Service and sales workers											
Skilled agricultural and fishery workers											
Craft and related trades workers											
Plant and machine operators and assemblers											
Elementary occupations											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

7.3 Please report the total number of people, including for people with disabilities, and not number of training courses attended, who received training in each occupational level.

			D	esignate	d			No	n-design	ated	TOTAL
Occupational Levels		Male			Fen	rale		White Male		reign ionals	
	A	C	1	A	C	1	w	W	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non permanent employees											
GRAND TOTAL											

7.4 Please report the total number of people with disabilities only, and not the number of training courses attended, who received training in each occupational level.

				Design	nated				Non-Designated		TOTAL
Occupational Levels		Ma	ale			Fer	nale		Foreign		
	A	С	1	W	A	C	Į į	w	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

Section F: Qualitative Assessment (This section is not applicable to small employers)

8. Awareness of Employment Equi

Please indicate which of the following awareness measures were implemented by your organization:

	No. of employees covered	Yes	No	Please explain
Formal written communication	1			
Policy statement includes reference to employment equity				
Summary of the Act displayed				
Employment Equity training				
Diversity management programmes				
Discrimination awareness programmes				
Other (please specify):	<u> </u>			
Total				

9. Consultation

Please indicate which stakeholders were involved in the consultation process prior to the development 9.1 of your employment equity plan:

	Yes	No	Please explain
Workplace forum (in terms of the LRA)			
Consultative body or employment equity forum			
Registered trade union (s)			
Employees			
Other (Please specify):			
			_

9.2 What was the level of agreement reached in the formulation of the plan? Please choose one.

Total	Sufficient	Some	None
		Ī	Ī

How regularly do you meet with the stakeholders mentioned in 9.1? Please choose one.

Weekly	Monthly	Quarterly	Yearly	Other
j				

10. Analysis

10.1 Please indicate in which categories of employment policy or practices barriers to employment equity were identified:

Categories	Yes	No	Please explain
Recruitment procedures			
Advertising positions			
Selection criteria			
Appointments			
Job classification and grading			
Remuneration and benefits			
Terms and conditions of employment			
Job assignments			
Work environment and facilities			
Training and development			
Performance and evaluation systems			
Promotions			
Transfers			
'Demotions			
Succession and experience planning			
Disciplinary measures			
Dismissals			
Corporateculture			
HIV and AIDS education and prevention programmes			
Other (pleasespecify):			

11. Affirmative Action measures

1 L1 Please indicate in which categories affirmative action measures have been implemented:

Categories	Yes	No	Please explain ,
Recruitmentprocedures			
Advertising positions			
Selection criteria			
Appointments			
Job classification and grading			
Remuneration and benefits			
Terms and conditions of employment			
Job assignments			
Work environment and facilities			
Training and development			
Performanceand evaluation systems			
Setting numerical goals			
Promotions			
Transfers			
Demotions			
Succession and experience planning			
Disciplinary measures			
Diversity programme and sensitization			
Community investment and bridging programme			
Retention measures			
Reasonable accommodation			
Other (please specify):			

12. Resources

Allocation of Resources	Yes	No	Please explain
Appointed a designated officer to manage the			
. implementation			
Allocated a budget to support the			
implementation goals of employment equity			
Time off for employment equity consultative			
committee (or equivalent) to meet on a regular			
basis			
Other (Please specify)			

- 13. Monitoring and evaluation of implementation:
- **13.1** How regularly do you monitor progress on the implementation of the employment equity plan? Please choose one.

Weekly	Monthly	Quarterly	Yearly	Other	
(-	-			

Section G: Progress Report

(Sec	tion G to be completed from t	he second cycle of reporting onwards)
14.	Reporting period: From	to
14.1	Did you achieve the numerica	goals as set out in your employment equity plan for this period?
		Yes No
14.2	Did you achieve the affirmative period?	e action objectives as set out in your employment equity plan for this
		Yes No
14.3	If not, what were the obstacles	you experienced:
	l , a	reaching the employment equity goals and objectives during the
14.4	If yes, what factors promoted t	he accomplishment of your goals and objectives:
	goals and objectives durin	g the past year?

14.5 Please indicate the numerical goals you have set to achieve for the total number of employees (including people with disabilities) at the end of your current employment equity plan in terms of occupational categories. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

- Coupanoitai				esignat	Non-designated			1			
Occupational Categories	Male				Fe	male	,	White Male	For	eign onals	TOTAL
	Α	С	1	A	C	1	w	w	Male	Female	
Legislators, senior officials and managers							1				
Professionals							Ţ				
Technicians and associate professionals											
Clerks											
Service and sales workers									·		
Skilled agricultural and fishery workers											
Craft and related trades workers											
Plant and machine operators and assemblers											
Elementary occupations											
TOTAL PERMANENT											
Non – permanent employees				,					 		
GRAND TOTAL											

14.6 Please indicate the numerical goals you have set to achieve for the total number of emd oyees with disabilities only at the end of your current employment equity plan in terms of occupational categories. Note: A=Africans, C=Coloureds, !=Indians and W=Whites

1				Desig	nated				Non-De	esignated	
Occupational Categories		M:	ale			Fer	nale		Foreign	Nationals	TOTAL
	A	С	1	w	A	C	1	w	Male	Female	
Legislators, senior officials and managers											
Professionals											
Technicians and associate professionals											
Clerks									,		
Service and sales workers											
Skilled agricultural and fishery workers											
Craft and related trades workers											
Plant and machine operators and assemblers						~ ~~~					
Elementary occupations											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

14.7 Please indicate the numerical goals you have set to achieve for the total number of employees (including people with disabilities) at the end of your current employment equity plan in terms of occupational levels. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				esignate				No	n-design	ated	TOTAL
Occupational Levels		Male			Fem	ale		White Male		reign ionals	
	A	С	1	A	С	ı	W	W	Male	Female	
Top management		[
Senior management								1			}
Professionally qualified and experienced specialists and midmanagement											
Skilled technical and (academicallyqualified workers, junior management, supervisors,			I								
foremen, and superintendents			_								
Semi-skilled and discretionarydecision making											
Unskilled and defined decision making											
TOTAL PERMANENT		[! 	-	
Non –permanent employees											
GRAND TOTAL		l									

14.8 Please indicate the numerical goals you have set to achieve for the total number of employees with disabilities only at the end of your current employment equity pian in terms of occupational levels:

				Design	ated				Non-De	esignated	
Occupational Levels		Ma	ile			Fen	nale		Foreign	Nationals	TOTAL
	A	С	1	w	A	С	1	W	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management	 ,										
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL											

14.9 Please indicate the numerical targets you have set to achieve for the total number of employees (including people with disabilities) for the end of the period following the period covered by the current report in terms of occupational categories.

			D	esignat	ed			Nor	-design	ated	1
Occupational Categories		Male			Fer	nale		White Male		eign onals	TOTAL
Oategones	A	С	1	A	C	1	W	w	Male	Female	
Legislators, senior officials and managers											
Professionals	-										
Technicians and associate professionals											
Clerks											
Service and sales workers											
Skilled agricultural and fishery workers											
Craft and related trades workers											,
Plant and machine operators and assemblers		٠١									
Elementary occupations											
TOTAL PERMANENT											
Non – permanent employees											
GRAND TOTAL									7		

14.10 Please indicate the numerical targets you have set to achieve for the total number of employees with disabilities only for the end of the period following the period covered by the current report in terms of occupational categories. Note: A=Africans, C=Coloureds, I=Indians and W=Whites

				Desig	nated				Non-De	signated	}}
Occupational Categories		M:	ale	· • • • • • • • • • • • • • • • • • • •	<u> </u>	Fen	nale		Foreign	Nationals	TOTAL
outogo	A	С	1	w	A	C	ı	W	Male	Female	
Legislators, senior officials and managers										, ,	
Professionals			į								
Technicians and associate professionals											
Clerks											
Service and sales workers											
Skilled agricultural and fishery workers											
Craft and related trades workers											
Plant and machine operators and assemblers											
Elementary occupations											
TOTAL PERMANENT			. 								
Non – permanent employees			 						-		
GRAND TOTAL											

14.11 Please indicate the numerical targets you have set to achieve for the total number of employees (including people with disabilities) for the end of the period following the period covered by the current report in terms of occupational levels, Note: Λ=Λfricons, C=Coloureds, !=Indians and W=Whites

report in terms	01 000	аринопи	D	esignate	d ·			No	n-design	ated	TOTAL
Occupational Levels		Male			Fem	ale		White Male		reign ionais	
	Α	С	1	A	C	ı	w	W	Male	Female	
Top management											
Senior management		1				_]	
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents											
Semi-skilled and discretionary decision making											
Unskilled and defined decision making											
TOTAL PERMANENT											
Non - permanentemployees											,
GRAND TOTAL											

14.12 Please indicate the numerical targets you have set to achieve for the total number of **employees with disabilities only** for the end of the period following the period covered by the current report in terms of occupational levels. **Note:** A=Africans, C=Colou...**ds**, I=Indians and W=Whites

	CIS. ITOLOTY (Desigr	nat <i>e</i> d				Non-De	signated	
Occupational Levels	M	ale			Fen	nale		Foreign	Nationals	TOTAL
	4	ı	w	A	С	ı	w	Male	Female	
Top management										
Senior management										
Professionally qualified and experienced specialists and midmanagement										
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents										
Semi-skilled and discretionary decision making										
Unskilled and defined decision making										
TOTAL PERMANENT]]						
Non – permanent employees										
GRAND TOTAL										

Section H: Signature of €he Chief Executive Officer

Chief Executive Officer		
I hereby deciare that I have read, appro	ved and authorized this r	eport.
Signed on this day of _	year_	
At place:		
Signature Chief Executive Officer	Full Name	
Signature Criter Executive Officer	i dii Nairie	

33

PAGE 1 OF 4

EEA3



SUMMARY OF THE EMPLOYMENT EQUITY ACT, 55 OF 1998, ISSUED IN TERMS OF SECTION 25(1)

- 1. Chapter 1 Definition, purpose, interpretation and application
 - 1.1 Purpose of the Act: Section 2

The purpose of this act is to achieve equity in the workplace by-

- (a) Promoting equal opportunity and fair treatment in employment through the elimination of unfair discrimination; and
- (h) Implementing affirmative settler

32 No. 28858

GOVERNMENT GAZETTE, 26 MAY 2006

23 of 23 EEA2

Section H: Signature of the Chief Executive Officer

I hereby deciare that I have read, approved and authorized this report.
Signed on this day ofyear
At place:
Signature Chief Executive Officer Full Name

PAGE 2 OF 4 EEA3



- (b) If a dispute is not resolved at conciliation, a party may refer it to the Labour Court for adjudication. The parties to a dispute may also agree to refer the dispute to arbitration.
- (c) Unfair dismissal disputes in which unfair discrimination is alleged must be dealt with I terms of the Labour Relations Act. The dismissal must be referred to the CCMA within 30 days.

3. Chapter III – Affirmative Action

32 Duties of a designated employer

- (a) A designated employer must implement affirmative action measures for designated groups to achieve employment equity.
- (b) In order to implement affirmative action measures, a designated employer must
 - Consult with employees;
 - Conduct analysis:
 - Prepare an employment equity plan; and
 - **Report** to the Director-General on progress made in the implementation of the plan.

3.2 Affirmative action

- (a) Affirmative action measures are measures intended to ensure that suitably qualified employees from designated groups have equal employment opportunity and are equitably represented in all occupational categories and levels of the workforce.
- (b) Such measures must include:
 - Identification and elimination of barriers with an adverse impact on designated groups:
 - Measures which promote diversity
 - Making reasonable accommodation for people from designated groups;
 - Retention, development and training of designated groups (including skills development); and
 - Preferential treatment and numerical goals to ensure equitable representation.
 This excludes quotas.
- (e) Designated employers are not required to take any decision regarding an employment policy or practice that would establish an absolute barrier to prospective or continued employment or advancement of people not from designated groups.

3.3 Consultation: section 16 and 17

A designated employer must take reasonable steps to consult with representatives of employees representing the diverse interests of the workforce on the conducting of an analysis, preparation and implementation of a plan, and on reporting to the Director-General.

3.4 Disclosure of information: Section 18

To ensure meaningful consultation, the employer must disclose relevant information to the consulting parties, subject to section 16, of the Labour Relations Act 66 of 1995.

3.5 Analysis: Section 19

A designated employer must conduct an analysis of employment policies, practices, procedures and the work environment so as to identify employment barriers that adversely affect members of the designated groups. The analysis must also include the development of a workforce profile to determine to what extent designated groups are under-represented in me workplace.

PAGE 3 OF 4 EEA3



3.6 Employment Equity Plan: Section 20

- (a) A designated employer must prepare and implement a plan to achieve employment equity, which must
 - Have objectives for each year of the plan;
 - Include affirmative action measures;
 - Have numerical goals for achieving equitable representation;
 - Have a timetable for each year;
 - Have internal monitoring and evaluation procedures, including internal dispute resolution mechanisms; and
 - Identify persons, including senior managers, to monitor and implement the plan.

3.7 Report: Section 21

- (a) An employer who employs fewer than 150 employees must submit its first report to the Director-General within 12 months after commencement of the Act, and thereafter every two years on the first working day of October.
- An employer who employs 150 or more employees must submit its first report six months after the commencement **of** the Act, and thereafter every year on the first working day of October.

3.8 Designated employer must assign a manager: Section 24

A designated employer must assign one or more senior managers to ensure implementation and monitoring of the employment equity plan and must make available necessary resources for this purpose.

3.9 Income differentials: Section 27

A statement of remuneration and benefits received in each occupational category and level of the workforce must be submitted by a designated employer to the Employment Conditions Commission (ECC).

Where there are disproportionate income differentials, a designated employer must take measures to reduce it progressively. Such measures may include collective bargaining, compliance with sectoral determinations (Section **51** of the Basic Conditions **c** Employment **Act**, 1997); the application of norms and benchmarks recommended by the ECC, relevant measures contained in **skills** development legislation and any other appropriate steps.

PAGE 4 OF 4 EEA3



4. Chapter v • Monitoring, Enforcement and Legal Proceedings

4.1 Monitoring: Section 34

Employee or trade union representatives can monitor contraventions of the Act and report to relevant bodies.

4.2 Powers of the Labour Inspector: Section 35

Labour Inspectors are authorised to conduct an inspection as provided for in section **65** and 66 of the Basic Conditions of Employment Act, **1997.**

4.3 Undertaking to comply: Section 36

If the inspector has reasonable grounds to believe a designated employer has failed to comply with its obligations in terms of the Act, the inspector will obtain a written undertaking to comply within **a** specified period.

4.4 Compliance Order: Section 37

If the designate employer refuses to comply with the written undertaking, the inspector will issue a compliance order.

4.5 Review by Director-General: Section 43

The Director-General may conduct a review to determine whether an employer is complying with the Act. On completion of the review, the Director-General may make recommendations for compliance within certain frames.

4.6 Powers of the Labour Court: Section 50

The Labour **Court** has the powers to make any appropriate orders, award compensation or impose fines.

4.7 Protection of employee Rights: Section 51

The Act protects employees who exercise their rights and obligations under the Act against victimisation, obstruction and undue influence.

5. Chapter IV General Provisions

5.1 State contracts: Section 53

Designated employers and employers who voluntarily comply with Chapter III, who seek to do business with any organ of state, will have to apply for a certificate from the Minister confirming their compliance with chapter II and III of the Act. Non-designated employers' compliance certificate will pertain to chapter II.

5.2 Liability of Employers: Section 60

Should employees contravene any provision of this Act while performing their duties, the employer will be liable, unless the employer can prove that it did everything in its power to prevent the undesired act.

PAGE1 OF 11

EEA4



	Income differential state	ement	
PLEASE READ THIS FIRST	SECTION A:	EMPLOYER DETAILS	
WHAT IS THE PURPOSE OF THIS FORM?	Trade name		
This form contains the format for reporting	DTI registration name		
income differentials to the Employment Conditions Commission.	DTI registration number		
WHO EILLS BY THE CODMO	PAYE/SARS number		
WHO FILLS IN THIS FORM? All designated employers must complete	UIF reference number		
every section of this statement.	EE reference number		
SEND TO:	Industry/Sector		
Employment Equity Registry The Department of Labour	Seta classification		
Private Bag X117 Pretoria 0001	Telephone number	:	
Telephone: 012 3094000 Facsimile: 012 3094737 13094188	Fax number		
e-mall: ee@labour.gov.za	Email address		
	Postal address		
	Postal code		
	City/Town		-
	Province		
	Physical address		
	Postal code		
	City/Town		
		·	
	Name and surname		_
	Telephone number		_
	Fax number		_
	Email address Details of Equity Manager at the t	time of submitting this wonert	_
	Name and Surname	inne of submitting this report	_
	Telephone number		_
	Fax number		-
•	Email address		-
	Business Type		-
	Private Sector	Parastatal	-
	National Government	Provincial Government Educational Institution	
	Local Government Non-profit Organization	Educational Institution	
	Information about the organization	on at the time of submitting this report	_
	Number of employees in the	0 to 49	
	organization	50 to 149 150 or more	
	In terms of Section 14 of the Act,	100 of froic	-
	are you voluntary complying?	Yes No	
	k your organization an organ of	Yes No	_
	Date of submitting this report		

	0.0	9)	

EEA4

PAGE 2 OF 11

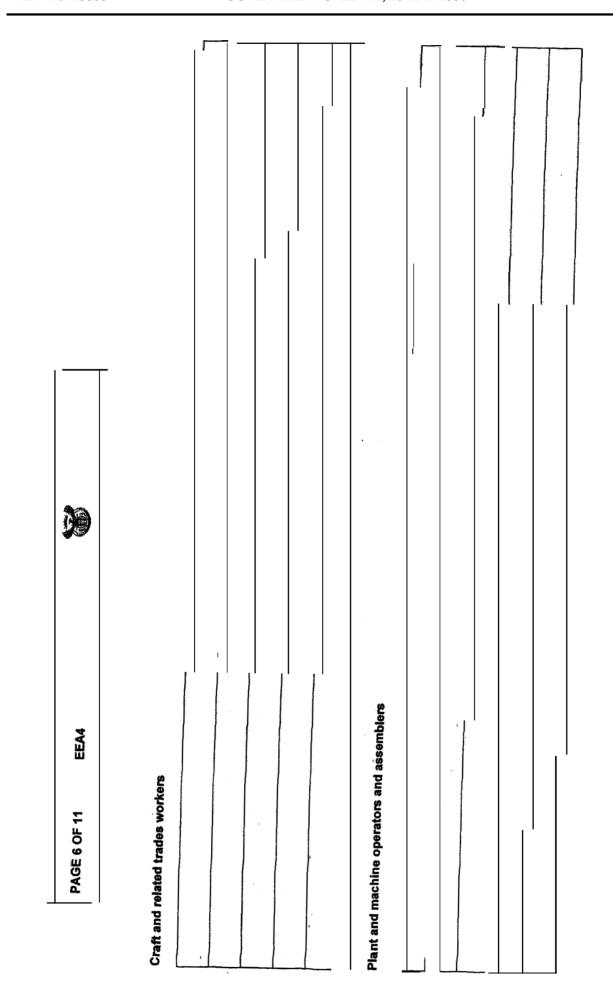
SECTION B: INCOME DIFFERENTIALS STATEMENT

SEC	NOL	SI IN	SECTION B: INCOME DILL EXTERNIO	į	i		1	i co	USSO Har	IPATION	AL CATE	GORY		Famale	916				
indicate the total remuneration paid to all employees in each	4-11-1	ion to in	dicate the	total re	muneratic	n paid to	all emplo	111 See	150										
ase use th	ne table pe	27 400				W W	2				African	, an	Coloured		Indian		Auk		
Cocmetional	Total	Total	African	Ę	Coloured	nred	Indian	ne	White	9		Total	Total		Total	Total	Total	Total	т—
categories	in	eration				Total	No 04	Total	No of	Total Remune	Workers	Remun	workers	Remun	_	ation		eration	
	category		No of workers	Total Remune ration	No of workers	Remuner	workers	Remuner	AQI Mai	ration		eration							
Legislators, senior officials																			
and managers Professionals	-					-			-	-		<u> </u>							\top
Technicians and associate	\ \- <u>-</u> -								-	1									
professionals Clerks	-				_	-		-		-	-							_	Į
Service and sales workers	62				-			-	-	-	-	-							
Skilled agricultural and fishery	-		· · · · · · · · · · · · · · · · · · ·						_		-	+	_	-	-		-		\
workers Craft and related trades	8	-					-			-			+	+	-	-			
workers Plant and machine	-	-	-								+	+	-	-	-	-	-	1	1 1
operators and assemblers	pg s	+	+		-				1	+	+	+	+	-	-	-		· 	
occupations Total Wages	21	-		1	-		·				-	-	1	_	1	_			1
			_	-	1		-	1		ļ Ī									

	PAGE 3 OF 11	EEA4		
LEASE PI	ROVIDE REASONS FC	OR DISPARITIES	PLEASE PROVIDE REASONS FOR DISPARITIES WITHIN EACH OCCUPATIONAL CATEGORY BELOW:	:FOW:
egislator	egislators, senior officials and managers	managers		
	And the same of th			
		-		
Professionals	nals			

	PAGE 4 OF 11	EEA4	
chnicians a	chnicians and associate professionals	ionais	
		And the state of t	
erks			

PAGE 5 OF 11 EEA4	Service and sales workers		Skilled agricultural and fishery workers	



	PAGE 7 OF 11	EEA4	39		
					1
:lementary	:lementary occupations				
				,	
				•	

EEA4

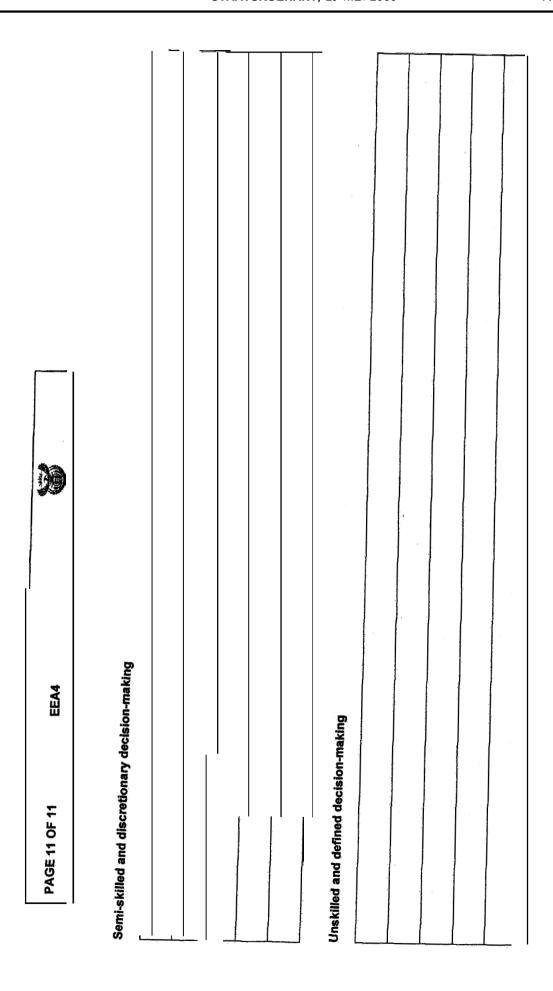
PAGE 8 OF 11

Please use the table below to indicate the total remuneration paid to all employees in each OCCUPATIONAL LEVEL

	_													-				
Occupational	Total	Total Remun	Afr	African	Colo	Coloured	Ind	Indian	White	ite	African	can	Coloured	red	Indian		W	White
levels	in level	eration	70 07	Total	No. of	Total	No. of	Total	No. of	Total	No. of	Total	No. of	Total	No. of workers	Total	No. of workers	Total remuner
			workers	Remuner	workers	Remuner	workers	Remuner	workers	Remune	workers	ration	WOLKEIS			_		ation
Top																		
Senior																		
and the second																		
Professionally																		
experienced																		
specialists and mid-																		
management									-									
Skilled technical	İ																	
academically																		
qualified workers, lunior				_,_														
management,																		
foremen and																		
superintendents						1			-	-	1	-		-				
Semi-skilled and				1														
decision making													-	\downarrow		-	-	
Unskilled and			-		-													
defined decision making								-		1		-		-	-	1		-
Total Wages																		

		,	-		
	PLEASE PROVIDE REASONS FOR DISPARITIES WITHIN EACH OCCUPATIONAL LEVEL BELOW:				
EEA	REASONS FOR DISPARIT			nt	
AGE 9 O	PLEASE PROVIDE Top M gem			enior Management	

]		
				I	
	i l				
			ıts		
			tender		
			superir		
			n and		
			foreme		
			isors,		
			Anderv		
gemen		.]	ment,		
-mana			anage		
l bu			unior n		
lists a			kers, je		
specia			ow be		
EEA4			qualifi		
experi			nically		
1 			ıcaden		
PAGE 10 OF 11			l and a		
AGE 1			chnica		
PAGE 10 OF 11 EEA4 Professionally qualified and experienced specialists and mid-management			killed technical and academically qualified workers, junior management, supervisors, foremen and superintendents		
ā.	<u> </u>]	×		



EEA5

1.



PLEASE READ THIS FIRST

WHAT IS THE PURPOSE OF THIS FORM?

To record an Undertaking by the employer to comply with paragraphs (a) to (j) in terms of Section 36 of the Employment Equity Act, 55 df 1998.

WHO FILLS IN THIS FORM?

The employer, assisted by the inspector.

WHERE DOES THIS FORMGO?

This form goes to the inspector.

INSTRUCTIONS

An **Undertaking** may be issued when an inspector has reasonable grounds to believe *that* a designated employer has failed to comply with paragraphs (a) to (i) of Section 36 of the Act and any of its regulations.

Failure to comply with this undertaking will result in a Compliance Order (EEA6) being issued.

DEPARTMENTOFLABOUR

WRITTEN UNDERTAKING

		Ref/C	ase No:		
		Enqui	ries:		
I / We				(Emplo	yer)
:···································			(Re	egistration	No.)
undertake to co regulations belo	omply with ow by: Da	h the following pate	orovisions of t	the Act and	lits
DATED THIS.		5AY OF		YEAR	
AT					(PLACE)
SIGNED: EMF					
SIGNED: LAB	OUR INP				
WITNESSES	1.				
	2.				

EEA6



PLEASE READ THIS FIRST



WHAT IS THE PURPOSE OF THIS FORM?

This form is issued in terms of Section 37 of the Employment Equity Act, 55 of 1998. The purpose of this form is to enforce compliance relating to paragraphs (a) to (I) of Section 36 of the Act and its regulations.

WHO FILLS INTHIS FORM?

An inspectorfills this form.

WHERE DOES THIS FORM GO?

This form goes to the employer.

INSTRUCTIONS

- The inspector may issue a Compliance Order to a designated employer if that employer has refused to give a Written Undertaking (EEA5) in terms of Section 35.
- The employer must display a copy of this order prominently at a place accessible to the affected employees at each workplace named in it.
- An employer must comply within the time period stated unless the employer objects in terms of Section 39.
- Failure to comply could result in a referral to the Labour Court.

DEPARTMENT OF LABOUR

COMPLIANCE ORDER

	Ref/Case No:
	Enquiries:
	Date of issue:
	ovincialOffice/Labour Centre:elete that which is not applicable)
1.	Employer
2	Registration No:
3.	Workplace(s):
4.	You have not complied with the following provisions of the Act and its regulations.
	Provisions:
5.	Details:
	AND I OR
6.	You have not complied with a written undertaking to the following extent:
	AND
7.	You are required to implement the following within d a y sof receipt hereof.
	7.1
	72
	MD / 0D

AND / OR

8. Fines

8.1 The Director General may recommend to the Labour Court to impose a fine in accordance with Schedule 1 of the Act.

9. Objections

You may object to this compliance order by making representations to the Director General within 21 days of receipt of this order.

GIVEN UNDER MY HAND ON	DAY OF
/EARAT	(PLACE)
	LABOUR INSPECTOR

PAGE 10F2

EEA7



PLEASE READ THIS FIRST



WHAT IS THE PURPOSE OF THIS FORM?

This form is issued in terms of Section 39 of the Employment Equity Ad, 55 of 1998. A designated employer may lodge an objection to a compliance order by completing this form.

WHO FILLS IN THIS FORM?

The employer must fill in this form.

WHERE DOES THIS FORM GO?

To the Provincial Office of the Department from which the compliance order was issued.

INSTRUCTIONS

- This objection must be lodged to the office of the Provincial Director that issued the compliance order within 21 days of the date of receipt of the compliance order.
- The objection must include all relevant information.
- A copy must be delivered to the employee(s) affected by it, or if this is impractical to a representative of the employee(s), including a registered trade union.

DEPARTMENT OF LABOUR

OBJECTION AGAINST A COMPLIANCE ORDER IN TERMS OF SECTION 39 OF THE ACT

NOTICE OF OBJECTION

1.	Employer
	Registration No:
	Telephone No:
	Fax
	Address:
	Postal Code
2.	Quote the reference number and date of the compliance order against which the objection is lodged.
	Reference/Case No Date
3.	To which portion of the compliance orders do you object?
4.	State your full reason for lodging the objection:

.....

		
PAGE 2 OF 2	EEA7	

Any documentary evidence (or certified copies thereof) that you wish to submit in support of your contention(s) as stated in paragraph 4 should be attached and listed below:

Number	Title or description of document

Place:	
Oate:,	Signature of objector / employer
	Full name of objector / employer
	Designation

EEA8



ANNEXURE **I** : Demographic Data

WHAT IS THE PURPOSE OF DEMOGRAPHIC DATA AND WHRE TO FIND THEM?

Employers should use demographic data when conducting an analysis of the workforce in order to compare the workplace profile with relevant national, provincial and local demographics. Statistics South Africa provides demographic data using Labour Force Surveys from time to time. The Labour Force Surveys (LFS) presently provide statistics on the national and provincial Economically Active Population (EAP) according to race and gender. The latest EAP information will be made available on the Department of Labour's website. Employers can also access this information directly from Statistics South Africa. Employers can also use other relevant demographic data sources when conducting an analysis of the workplace.

EEA9



ANNEXURE 2: Occupational Levels

PLEASE READ THIS FIRST

WHAT IS THE PURPOSE OF THIS ANNEXURE?

Job evaluation or grading systems are used by many organizations to measure jobs according to their content and establish comparative worth between jobs.

This annexure provides a table of equivalent occupational levels that may be used by employers when completing the EEA2 and EEA4 forms.

INSTRUCTIONS

The table indicates the occupational levels within organizations as developed through the use of different job evaluation or grading systems. The table provides equivalent levels from each of these job evaluation systems.

Organizationsthat make use of neither one of the job evaluation systems in this table, nor a, customized system linked to one of these, should use the Semantic Scale for guidance in determining occupational levels within the organization.

Equivalent occupational levels							
Semantic Scale		Paterson	Peromnes	Hay	Castellion		
Top management	F	F	1++ 1+		14		
Top management							
N.	E	E UPPER	1	1	13		
Senior management		E LOWER	3	2	, c		
Professionally qualified and experienced specialists and midmanagement	D	D UPPER D LOWER	4 5 6	3	12 11 13		
Skilled technical and academically qualified workers, junior management, supervisors, foremen,	C	C UPPER	7 8 9 10 11	5 6 6A 7 8	9		
and superintendents			12				
Semi-skilled and	В	B UPPER	13 14	190 10	7 6		
discretionary decision making		B LOWER	15 16	11	6 5 4		
Unskilled and defined decision making	A	A	17 1 9 19	12 13	3 2 1		

EEA10



ANNEXURE 3: Occupational Categories

1. Legislators

This group includes occupations whose main tasks consist of determining and formulating policy and strategic planning, or planning, directing and co-ordinating the policies and activities of the organization in the private and public sectors, determining and formulating laws and for directing and controlling the functions of the organization. Includes: chief executive officer; president; vice-president; chief operating officers; general managers and divisional heads, managers who provide the direction of a critical technical function; postmaster; superintendent; dean and school principal, etc.

2. Professionals

This group includes occupations whose main tasks require a high level of professional knowledge and experience in the fields of physical and life sciences, or social sciences and humanities. The main tasks consist of increasing the existing stock of knowledge, applying scientific and artistic concepts and theories to the solution of problems, and teaching about the foregoing in a systematic manner. Includes: engineers (civil, mechanical, chemical, electrical, petroleum, nuclear, aerospace, etc.); architects; lawyers; biologists; geologists; psychologists; accountants; physicists, system analysts; assayers; valuators; town and traffic planners, etc.

3. Technicians and associate professionals

This group includes occupations whose main tasks require technical knowledge and experience in one or more fields of the physical and life sciences, or the social sciences and humanities. The main tasks consist of carrying out technical work connected with the application of concepts and operational methods in the abovementioned fields and in teach at certain education levels. Includes: computer programmers; nurses; physio-and-occupational therapists; draftsmen/women; musicians; actors; photographers; illustrating artists; product designers; radio and television announcers; translators and interpreters; writers and editors; specialized inspectors and testers of electronic, electrical, mechanical, etc., products: vocational instructors; technicians (medical, engineering, architectural, dental, physical science, life science, library, etc.); pilot; broker; designer; quality inspector etc.

4. Clerks

This group includes occupations whose main tasks require the knowledge and experience necessary to organize, store, compute and retrieve information. The main tasks consist **d** performing secretarial duties, operating word processors and other office machines, recording and computing numerical data, and performing a number of customer oriented clerical duties, mostly in connection with mail services, money-handling operations and appointments. Includes all clerical work regardless of difficulty in which the activities are predominantly non-manual.

<u>Includes</u>: bookkeepers; tellers; cashiers; collectors (bills and accounted); messengers and office helpers; office machine operators; mail clerks; typists; telephone operators; electronic data processing equipment operators; clerks (production, shipping and receiving, stock, scheduling, ticket, freight, library, reception, travel, hotel, personnel, statistical, general office); secretaries etc.

5. Service and sales workers

This group includes occupations whose main tasks require the knowledge and experience necessary to **provide** personal **and** protective services and to **sell** goods in shops or markets. The main tasks consist of providing services related to travel, housekeeping, catering, personal care, protection of individuals and property, and maintain law and order, or selling goods in shopsor

PAGE 2 OF 2 EEA10



markets. <u>Includes</u>: attendants (hospital and other institutions, including nurses' aides and orderlies); barbers; bartenders; guides; food and beverage serving occupations; housekeepers; childcare occupations; conductors; fire-fighters; police officers; advertising agents; real estate agents; sales workers and sales clerks; shop attendants; stock brokers; insurance brokers; travel agents; sates people of technical and business services; etc.

6. Skilled agricultural and fishery workers

This group includes occupations whose main tasks require the knowledge and experience necessary to produce farm, forestry and fishery products. The main tasks consist of growing crops, breeding or hunting animals, catching or cultivating fish, conserving and working forests, and selling agricultural and fishery products to purchasers. Includes: farmers; growers; planter; viticulturists; winemakers; skilled horticultural workers; green keepers; skilled fishermen/women etc.

7. Craft and related trades workers

This group includes occupations whose main tasks require the knowledge and experience of **skilled** trades and handicrafts, which, among other things, involve an understanding of materials and tools to be used, as well as stages of the production process, including the characteristics and the intended use of the final product. They are frequently journeymen/ women who have received an extensive period of training. The main tasks consist of extracting raw materials, constructing buildings and other structures and making various products, as well as handicraft goods. <u>Includes:</u> miners; quarries; stoneworkers; bricklayers; stonemasons; carpenters; shop fitters; plasterers; plumbers; electricians; painters; mechanics; glass-makers; locksmiths; sheet metal workers; etc.

8. Plant and machine operators and assemblers

This group includes occupations whose main tasks require the knowledge and experience necessary to operate and monitor large-scale and often highly automated industrial machinery and equipment. The main tasks consist of operating and monitoring of mining, processing, and production machinery and equipment, as well as driving and operating mobile plant, or assembling products from components. <u>Includes</u>: truck and tractor drivers; **bus** drivers; paving, surfacing and related occupations; roofers; photographic processors; sound and video recording equipment operators: those in apprenticeship training; textile workers; production machine workers, etc.

9. Elementary occupations

This group covers occupations which require relatively low/elementary levels of knowledge and experience necessary to perform mostly simple and routine tasks involving the use of hand held tools and in some cases considerable physical effort, and with few exceptions, limited personal initiative and judgment. The main tasks consists of selling goods in the streets, door-keeping and property watching, as well as cleaning, washing, pressing, and working as labourers in the fields of mining, agriculture and fishing, construction and manufacturing. Includes: news and other vendors; garage attendants; car washers and greasers; gardeners; farm labourers; unskilled railway track workers; labourers performing lifting, digging, mixing, loading, and pulling operations; garbage collectors; stevedores: sweepers; char-workers, etc.

10. Non-permanent employees

<u>includes</u>: employees who are employed to work for less than 24 hours per month or those engaged to work for **less** than three continuous months.

PAGE 1 OF 1 EEA11



ANNEXURE 4: Application for Employment Equity Report

Application for an Employment Equity Report

Affix Revenue Stamn

PLEASE	READ	THIS	FIRST
---------------	-------------	-------------	--------------



WHAT IS THE PURPOSE OF THIS FORM?

This form contains the format for requesting an employer's Employment Equity Report (Form EEA2) from the Department of Labour. This form is issued in terms of Section 21(6) of the Employment Equity Act, 55 of 1998.

WHO FILLS IN THIS FORM?

The applicant who is requesting this report.

INSTRUCTIONS

Complete this form and mail it to the Employment Equity Registry. Enclose revenue stamp to the value of R15 as well as self-addressed (postage paid) envelope.

SEND TO:

Employment Equity Registry (Application for EE Report) Department of Labour Private Bag X117 Pretoria 0001

E-mail: ee@labour.gov.za

Section A: Applicant details:

Name and Surname:	
ID Number:	
Organization:	
Address:	
Town / City:	
Postal Code	
Telephone No.:	
Fax No:	
E-mail Address:	
Date of application:	

Section B: Reason for this request:

]		

Section C: Report requested:

	Employer	
4		

PAGE 1 OF 1 EEA12



ANNEXURE 5: Summary of the employment equity progress report for public companies to include in their Annual Financial **Report**

Every designated employer that is a public company is required in terms of Section 22 of the Act to publish a summary of their employment equity report in that employer's annual financial report. Every employer who **s* required to comply with Section 22 must follow the format below. However, nothing should preclude **n* employer to narrate any additional information, e.g. on people with disabilities.

Occupational levels

Please report the total number of employees (including employees with disabilities) in each of the following occupational levels. Note: A=Africans. C=Coloureds. I=Indians and W=Whites

	Designate							No	n-design	-designated	
Occupational Levels		Male			Female				Foreign Nationals		
	Α	С	1	A	C	1	W	w	Male	Female	
Top management											
Senior management											
Professionally qualified and experienced specialists and mid-management											
Skilled technical and academically qualified workers, junior						,	·				
nanagement, supervisors, oremen, and superintendents											
Semi-skilled and discretionary decision making						1			·		
Unskilled and defined decision making											
OTAL PERMANENT											
Ion – permanent employees											
GRAND TOTAL											