SCHEDULE E

SPECIMEN NEW ENTRANTAPPLICATION FORMS

APPL	ICATION NUMBER:
	lock the app no.
DEPARTMENT	OF ENVIRONMENTAL AFFAIRS AND TOURISM
BRA	ANCH: MARINE AND COASTAL MANAGEMENT
	Hake Deep Sea Trawl
Long	Term Rights Allocation Process: 2005
	Cluster A
	Application Form For: New Entrants
Registered Name of Applica	nt
Company Registration Numb	er
Close Corporation Registration Number	on .
Principal Place of Business	
Number of Vessels Nominate	
Toolog Tronman	

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- This application form must be read together with these instructions, the Explanatory Nates, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website. www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at ciustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may **not** communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the form of an annexure;
- the applicant's auditors need to verify a response; and
- there are consequences of notanswering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is **not** submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicantas per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETIONOF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

IMPORTANT INFORMATION INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever architles with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever architle after the annexures.
- 1 1) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested). and a copy of the printed version must be hand delivered to the place, on the dates and times stated below.

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- -the application is lodged in a manner contrary to the instructions.

MATERIALDEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the **authorised** representative(s) of the holdina company, sister company(ies) and the iointventure partner(s).
- -the auditor's report is not submitted or signed:
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material Information or attempts to influence the Minister Or the delegated authority other than in the manner provided for in the General Policy and in this application form.

	Section 1
THE STATE OF THE PARTY TO STATE STAT	Applicant Details
The applicant must supply a profile of its organis fishing operations, as Schedule 1A.	ation including an organogram and a description of its
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
1.7 Have the applicant's details (1.8.1 - 1.8.7d) cha applicant registered?	ngedsince the O Yes O No
1.8 If "Yes", complete only those details that have of	hanged:
1.8.1 Principal Place of Number and St	reet
Business: Sub	purb.
Town/	City
	Postal Code:
1.8.2 Postal Address: Number and Street / PO	Box 1
	purb
Town /	City:
	Postal Code:
Area Co	de:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	
1.8.7 Authorised Contact Person Surname:	
a) Identity Number:	
b) Position Held/ Relationship to Applicant	
c) Cellular Number:	
d) E-mail Address:	

					Section 2
				Form of	Applicant
2.1 Does the applicant hold a medium term fishing right in any other sectors?	O Yes	○ No	C		
2.2 If 'Yes", complete the following:					-
Fishery in which the Applicant holds a Medium-Term i	Right	200	1 Application	Form Number	
	-				
2.3 Is the applicant more than 50% South African owned?	O Yes	O No	_		
2.4 Does the applicant have a valid tax clearance certificate?	○ Yes	○ No	_ 0	Q	
2.5 Complete the following table in relation to ass	set value ar	nd turnover:			
Year	Turnover		Gross Asse	t Value (axcludi	ng fixed property)
2004 (Financial Year End)			14		
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	O Yes	O No	Ø		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	O Yes	O No	Q		
					Section 3
				Co	mpliance
3.1 Has the applicant, or any of its mem directors:	nbers, shai	reholders or	•		
3.1.1 been convicted of a contravention of regulations, or permit conditions during period?			right O	les $O_{ ext{NO}}$	♦ Ø
3.1.2 entered into a plea bargain under the 51 of 1977, for a contravention of the or permit conditions during the medium	MLRA, or	the regulation		∕es ○ ^{No}	◆ < < < < > < < < < > < < < < < < > < < < < < < < < < < < < < < < < < < < <
3.1.3 paid an admission of guilt fine for a c the regulations, α the permit condition right period?				res O No	♦ ♥

		V2017828424 (S.7758)	- 100 Maria	^#- <u>-</u> ^
			Со	mpliance
3.1.4 been charged with regulations or per right period?	n an offence under the MLRA , or the mit conditions during the medium term	○ Yes () No	◆ < < < < < > < < < < < < > < < < < < <
of the applicant, or any obeen detained, arrested preserved, confiscated	tor vehicle, premises or any other assets of its shareholders, members or directors or seized under the MLRA or restrained, or forfeited under the Prevention of 21 of 1998 during the medium term right	○ Yes (Эмо	◆ ♥
3.3 Was the applicant's right	or permit suspended, revoked, cancelled, er section 28 of the MLRA during the ?	○ Yes(О Мо	◆ ♥
				Section 4
	A STATE OF THE PARTY OF THE PAR	MARCHE	Vess	el Details
4.1 How many vessels does the nominate for use in this fish				eX.
4.2 Fill in the relevant details in	the tables below regarding each vessel nom	inated:		
	Vessel 1			1
Vessel name				Control of the second
MCM Area Number				
SAMSA Number				
Number of crew according to SAMSA Safety Certificate				
Owner of Vessel as indicated by South African Certificate of Registry	N.			- 4
Nature of Access to Vessel		6/8/8/20— <u>11-4</u>		April 1
Vessel fitted with a functioning VMS System (Y/N)				
Manufacture of VMS System				
Vessel Length in metres according to SAMSA Safety Certificate				
Vessel Horsepower as per SAMSA Safety Certificate				
CX.				
	Vessel 2			3
Vessel name				
MCM Area Number				
SAMSA Number				
Number of crew according to SAMSA Safety Certificate				
Owner of Vessel as indicated by South African Certificate of Registry				
Nature of Access to Vessel		an order and		
Vessel fitted with a functioning VMS System (Y/N)				-3-32
Manufacture of VMS System				
Vessel Length in metres according to				
SAMSA Safety Certificate				
Vessel Horsepower as per SAMSA Sefety Certificate				

Section 4 Vessel Details



	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vossel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



<u> </u>					
Vossel 5					
Vessel name					
MCM Area Number					
SAMSA Number					
Number of crew according to SAMSA Safety Certificate					
Owner of Vessel as indicated by South African Certificate of Registry					
Nature of Access to Vessel					
Vessel fitted with a functioning VMS System (Y/N)					
Manufacture of VMS System					
Vessel Length in metres according to SAMSA Safety Certificate					
Vessel Horsepower as per SAMSA Safety Certificate					

		And the house sell		Section 5
FARTORATT,				Catch Utilisation
than 10% dur 5.2 Complete the	ing the medium term rig e following in relation to t	r-catch in any other fishery hts period? the applicant's catch record (excluding sectors where e	s if the applicant he	olds 🛮 🗘 🔿
	Applicant Holds a Medium Term		nore and not daten	is another,
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Season				
Fishery in Which	Applicant Holds a Medium Term	Right		
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Season				
Fishery In Which	Applicant Holds a Medium Term	Alight		
Year	Annual Catch Allocation(in metric tons)	Total Actual Calch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Season				
Fishery in Which A	Applicant Holds a Medium Term	Flight		anna (17) ang mga malang mga katalang mga ka
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Season				
				Section 6 Transformation
		Manager Manager	nent and Emp	loyment Equity
		loyer as defined in section as at 28 February 2 00 5 ?	1 of the O	es O No
6.2.1 If "Yes", ha	as the applicant complie	d with the Employment Equ	uity Act, 55 🔘 Ye	s O No
	s the applicant voluntaril t, 55 of 1998?	y complied with the Employ	ment O Ye	s O NO

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.







	Director / Member Name (Initial a Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black (Y/N)	Gender (M/F)	ID Number
	a containe)	JOHES	cost in contparity	(1/(4)	(1461.)	
1						
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9		5				
10				15		
11						
12	19					
13	.4					
14			2		-	
15						

Section 6 Transformation Management and Employment Equity

6.3.2Complete the table below in respect of employees at 28 February 2005.



177.678	Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
	Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
	Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
12000	Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
	More than 165 Employees	The Top \$% of Salary Earners (Total Cost to Company) up to 90

	Employee Name	Organisational Title	Annual Salery (Total Cost to Company)	Black (YAN)	Shary as a Percentage of Total Salaries in this Table	Gender (M/F)	10 Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							

Section 6

Transformation



Management and Employment Equity

Employee Name Organisational Title Annual Salary (Total Cost to Gorpany) 20 21 22 23 24 25 26 27 28 29 30 31 31 32 33 34 35 36 37 38	
20 21 21 22 23 32 24 34 25 36 27 30 30 31 32 33 33 34 35 36 37 37	or.
21 22 23 3 24 3 25 3 26 3 27 3 28 3 29 3 30 3 31 3 32 3 33 3 34 3 35 3 36 3 37 3	
22 3 23 3 24 3 25 3 26 3 27 3 28 3 29 3 30 3 31 3 32 3 33 3 34 3 35 3 36 3 37 3	
23 24 25 26 27 28 29 30 31 31 32 33 34 35 36 37	
24 25 26 30 27 30 30 31 32 33 33 34 35 36 37 37	
25 26 27 28 29 30 31 32 33 34 35 36 37	
27 28 29 30 31 32 33 34 35 36 37	
28 9 30 9 31 9 32 9 33 9 34 9 35 9 36 9 37 9	
29 30 31 32 33 34 35 36 37	
30 31 32 33 34 35 36 37 37 38 39 39 39 39 39 39 39	
31 32 33 34 35 36 37	7.111
32 33 34 34 35 36 37 37 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	
33 34 35 36 37 37 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	
34 35 36 37 37 3 3 3 4 3 5 3 5 5 5 5 5 5 5 5 5 5 5 5 5	
35 36 37 37 38 39 39 39 39 39 39 39	
36 37	
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Section 6

Transformation



Management and Employment Equity

WF				and the same			
	Employee Name	Organisational Title	- Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	IO Number
56							1-8-(
57						0)	
58							
59			te I				
60						0.00	
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77		FC.					
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86							
87							
88							
89							7
90	_						7.0

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5

8

7

8

9

10

Between R5 501 and R10 500

Between R10 501 and R16 000

Between R16 001 and R20 000

Between R20 001 and R25 000

Between R25 001 and R30 000

Between R30 001 and R40 000

Between R40 001 and R60 000

>R60,000

Total

Section 6 Transformation Management and Employment Equity 6.4.1 Complete the following table in relation to income levels of the applicant's permanent employees at 28 February 2005: Percentage of Total Black Gross Monthly Income (Total Cost to Company) Number of Employees Percentage of Total Employees Number of Black Employees Employees Employees 1 <R2 500 Between R2 500 and R5 500 2

			0.0000000000000000000000000000000000000	
6.4.2	Does the applicant	contribute toward	s medical aid a	nd pension for its
е	mployees?			The state of the s

•		~
)	Vac	()

100%

6.4.3 Does the applicant provide any other type of benefits for its employees?

$\overline{}$		\sim	
)	Yes	$ \cup$	No

6.5 Complete the following table in relation to the applicant's employment equity profile as provided to the Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.

100%

								7.2	Total		
Occupational Categories	Gender	A No	A%	C No	C%	I No	1%	W No	W %	AGI %	F%
Top & Senior Management /	Maie										
Senior Officials and Managers	Female						7.50				
Professionally Qualified and experienced specialists and mid-management / Professionals	Male										
	Female										E
Sidiled Technical and academically qualified workers, supervisors, foremen, and superintendents /	Male			9 72							
Technicians and Associate Professionals	Female				N. FOR	200 10				6	
Seri-skilled and discretionary decision- raking and undefilled and undefined decision- making / Clerks, Service & Sales Workers, Fahary Workers, Artisants & Relatert Tracks Workers, Plant & Machine Operators &	Male										
Fishery Workers, Artisere & Reteact Trace Workers, Plant & Machine Operators & Assemblors, Germanny Occupations	Female				7.33						
TOTAL	Male										
I C I AL	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

S	ection	6
nsfo	rmatic	'n

Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

										Total	
Occupational Categories	Gender	A No	A %	C No	C%	1 No	1%	W No	W 96	ACI %	F%
op and Senior Management / Senior Officials and Managers (as per 2001 application form)	Male										
	Female										
Managers (as per 2001 application form) Top and Senior Management / Senior Officials and Managers 2002 (if not designated as at financial year end 2002) Top and Senior Management / Senior Officials and	Male										
	Female										
Top and Senior Management / Senior Officials and Managers 2004 (if not designated as at financial year end 2004)	Male										
	Female			ю							

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



									Total		
Occupational Categories	Gender	A No	A%	G No	C %	1 No.	1%	W No	W %	AC1,%	F%
Professionally qualified and experienced specialists and mid-management / Professionals 2001 (as per 2001 application form)	Male										
	Female						:1				
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female							S			
Professionally qualified and experienced specialists and mid-management / Professionals 2004 (if not designated as at financial year end 2004)	Male										
	Female										

			A.C.I. A.C.O.I. Gammer mander made socialistic order or	Surgico con referencia e pira dell'alla (Carlo)					
				Owners	Tran ship - Comp	sformatior anies Only			
6.7 Is the applicant a co	ompany?				O Yes O No				
6.7.1 If"Yes", complete	e the table be	low in respec	ct of shareholding h	eld by blacl	k persons:	0 m			
Year	Percentage Sha by Black	areholding Held Persons	Percentage Voting Right by Black Persons	ats Held	Percentage of Divid Shareholders are E	ends Black Entitled To			
2001 (as in 2001 application form)									
2005 (at date of application)		7							
6.7.2 Complete the follow through principle:	owing table in	relation to s	hareholding held by	/ women ba	ased on the flow	/"			
Year	Percentage Sha by Wo	reholding Held	Percentage Voting Right by Women	ts Held P	ercentage of Dividend Entitled To				
2005 (at. date of application)									
6.7.3 Did the applicant employees?6.7.4 If "Yes", what is the employees not list	ne percentag	eshareholdi		e?	○ Yes ○ No	W/			
6.7.5 Complete the follo	owing table in	relation to n	nonies paid to share	eholders:		0			
Year	Salaries	Paid to Sharehol	ders Loans Made to	Shareholders	Dividends Paid I	o Shareholders			
2002 (financial year) 2003 (financial year)					+				
2004 (financial year)									
processing or mai	6.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of a 2004 allocation in any fishery performed by another entity during the 2004 financial year?								
6.7.7 If "Yes", complete	the table bel	ow:			1	X/			
Function			Paid to Other Entity during 1004 Financial Year	g the R	and Amount as a Pero Applicant's Total Turn				
Management									
Catching									
Processing				211	UNS	E - 20 20			
Marketing									

			Section
			Transformatio
		Ownership - C	lose Corporations On
6.8 Is the applicant a clos	se corporation?	○ Yes ○ No	
.8.1 If "Yes", comdete t	he table below in respec	ct of members interest held l	by black persons:
Year	Percentage Interest Held by Black Persons	Percentage Voting Rights Held by Black Persons	Percentage Profit Distribution Black Persons are Entitled To
2001 (as in 2001 application form)			
005 (as at date of application)	12		
.8.2 Complete the table	below in relation to mem	nbers interest held by wome	en:
Year	Percentage Interest Held by Women	Percentage Voting Rights Held by Women	Percentage Profit Distribution Women a Entitled To
1005 (as at date of application)			
.8.5 Complete the follow	ring table in relation to me		Profit Distributed to Members
Year 2002 (financial year)	Salaries Palo to member	S LOBRIS MAIDE TO METHORIS	3 Profit Distributed to Members
2003 (financial year)			
2004 (financial year)			
.8.6 Was the applicant memorices in gor marked by another entity duri	ing the 2004 financial ye	ty, or was the catching in any fishery performed ar?	○ Yes ○ No
Function			من المنافق الم
Pulicuon		ount Paid to Other Entity	Rand Amount as a Percentage of the Applicant's Turnover in 2004
Management		ount Paid to Other Entity	
		ount Paid to Other Entity	
Management		ount Paid to Other Entity	

				Ski	Sec Transform Ils Develo					
in the Transfe in 2001?	licant met the targer ormation Plan subm ne following table in 98:	O Did n	Somewhat ot apply in any sance with the Skills	ector	O Not at all	<u> </u>				
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Paid to SARS in skills development levies	Percentage Salari Bill Spent on Train		et Spent				
2001 (financial year end)				70.	7 (190 A) 100 A) (190					
2002 (financial year end)										
2003 (financial year end)										
2004 (financial year end)	#			45						
6.11 Didthe appl	6.11 Did the applicant participate in a learnership programme during the medium Yes O No term rights period?									
6.12 If "Yes," ind	icatethe number of in respect of whom t									
	licant participate in a s through is SETA			Strategy?	Yes 🔾 No	Q				
6.14.1 How many applicant?	/ skippers are used	by the [
6.14.2 What perdare black?	centage of these ski	ppers [
its annual ta for deduction	plicant make donation and plicant make donation which in terms of section Tax Act 58 of 1962	qualify ON 18A of	Co ∕es ○ No	rporate So	cial Invest	ment				
	cate what percentag al year these donatio		(0 - 0.5 % 0 0.5 - 1 % 0 > 1 %	/ *:	Ø				

Section 6
Transformation
Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2009 - 3		
2003 - 4		
2003 - 5		manufacture of the control of the co
Year	Benefitting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
	including school fees)?

O Yes O No

6.19 If "Yes", indicate what percentage of net profit earned in the **2004** financial year these other donations amount to :

O 0 - 0.5 %

O0.5 - 1%

0 > 1%



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rand Value of Amount Donated
2004- 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

			Affirm		nsform rocure	
6.21 Does the applicant have a wriien affirmative procurement policy?	April 22 0,41,50m (1 minutes 27)	O Yes	○ Na	in_ivatookanuuu	He de la company	Ö
6.22 Provide the following information in r (operational expenditure) in 2004:	elation to the applic	Amo	ten South Afr		oliers	otal
	Caracasa os copper		Timber in servi			
	(2) (1) (2) (2) (2) (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4					
		+-				
				-		
		+-				
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	:		○ Yes	O No	<	₹ ×
6.24 Has the applicant instituted a system measure its affirmative procurement spend as a percentage of total procurement spend?			○ Yes	○ No		
						tion 7
	Vess	sel, Cr	ew and Er		nentai t ntraven	A STATE OF THE STATE OF
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty ur Shipping Act, 57 of 1951?	term rights period, nder section 324 of	been co the Mer	nvicted, $lpha$ chant	O Yes	O No	C
72 Has the applicant, during the medium of forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the			O Yes	O No	Q

Vessel, Crew and En		Sed lental traver
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	
Crew Safety & V	Vorking	Cond
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	○ No
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O NO
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	○ Yes	O∘No
79 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O: No
7.10 Does the applicant have an HIV/AIDS policy?	○ Yes	O No
	V	essel
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	O Yes	ONo
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	O Yes	○ No
En	vironm	ental
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol , as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	○ Yes	O No

Number of Units of Effort Allocated to the Applicant in 2004

Section 8 Job Creation 8.1 Complete the following tables in relation to job creation. 8.1.1 Table 1 Employees in respect of which the Employees in respect of which the applicant prepares IRPS Certificates (See Based) Employees in respect of which the Employees in respect of which the Total Amount of Salary Bill as per Financial increase or Total Number of applicant does not prepare IRP5 applicant does applicant prepares IRPS Certificates decrease in Employees (Columns 1-4) Year not prepare IRP5 Certificates total number of Statements employees Certificates (Land Based) (Land Based) (Sea Based) 2001 (date of 2002 (financial year and) 2003 (financial year end). 2004 (financial year end) 2005 (28 February 2005) 8.1.2 Table 2 Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons)in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ Applicants Annual Catch Allocation (in tons) in this fishery in 2004 wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tone) in this fishery in 2004 How much does the applicant spend on salaries/ wages per ton allocated Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Fishery in which Applicant Holds a Medium Term Right

Total Annual Salery Bill (in relation to this fishery) 2004 Financial Year End

How much does the applicant spend on salaries/ wages per unit of effort allocated

8.1.3 Table 3				
Fishery in which Applica	int Holds a Medium Term F	Right -	A	
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (In relation to this fishery) (26 February 2005)	How many people (les seasonal employees) do the applicant employ po- ton allocated
Fishery in which Applica	nt Holds a Medium Term F	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (In relation to this fishery) (28 February 2005)	How many people (les sessonal employees) do the applicant employ pe ton allocated
Fishery in which Applica	nt Holds a Medium Term R	light	, , , , , , , , , , , , , , , , , , , ,	1
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in, relation to this fishery) (28 February 2005)	How many people (les seasonal employees) do the applicant employ po ton allocated.
Fishery in which Applica	nt Holds & Medium Term R	icht		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated.	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (les seasonal employees) do the applicant employ pr ton allocated
				!
rishery in which Applican	t Holds a Medium Term Ri	ght TELESCOPE		
CHARLEST THE RESIDENCE OF THE PROPERTY OF THE PARTY OF TH	Total Employees (in	How many people does the	Total Employees less	How many people (les:

2004 (financial year end)

						Job Crea
.1.4 Table 4						(3)
Applicant's five high between	sest annual salaries (lotal cost 1 March 2004 and 28 February	to company) paid _ 2005	Average of top five	What lowest	was the mulitple ber and the average of Februs	tween the average of the the five highest salaries a any 2005?
Applicant's five lower between	est annual salaries (total cost t 1 March 2004 and 28 Februar)	o company) paid r 2005	Average of lowest five	selaries		
			9	11		
	the later of any or any	A 8 40 Language Transport To 4000000001				
(d) 0.70(2)*0(A)00(y)**********************************						Investm
9.1.1 Table 1	the following tables	:				0-
Year	Book Value of Total Fixed Assets	Percentage In in Rand Value o	crease or Decrease of Total Fixed Assets	Total Book Value Based Fixe	of Total Land d Assets	Total Book Value of Harbour and Sea Ba Fixed Assets
2002 (financial year end)				lui.		
2003 (financial						

	10 Hold 142			IMANA T	Section !
					Investmen
9.1.2 Table 2				Sect 2017 Section Comment Comment Comments	ر ک
Year	nsured Value of Total Fixed Assets		Increase or Decrease te of Total Fixed Assets	Total Insured Value of Total Land Based Fixed Assets	
2002 (financial year end)					
2003 (financial year end)					
2004 (financial year end)					
9.1.3 Table 3					مر
Fishery in which	Applicant Holds a Medi	um Term Righ	ıt.		
Annual Catch Allocations) to the applicant		sea-based 04 financial	Book Value (in rands) land-based assets at 2 financial year end		ton Assets per ton allocated to
Fishery in which	Applicant Holds a Medi		11		
Annual Catch Allocat tons) to the applicant		sea-based 04 financial	Book Value (in rands) land-based assets at 2 financial year end	of Book Value of Harbour 004 Sea-Based Assets per allocated to applicar	ton Assets per ton allocated to
Fishery in which a	Applicant Holds a Medi	um Term Righ	st.		
Annual Catch Allocati tons) to the applicant i		sea-based 04 financial	Book Value (in rands) land-based assets at 2 financial year and		ton Assets per ton allocated to
Fishery in which A	opplicant Holds a Madiu	m Term Righ	ı		
Annual Catch Allocations) to the applicant in		ea-based 4 financial	Book Value (in rands) land-based assets at 20 financial year end	Book Value of Harbour Sea-Based Assets per allocated to applican	ton Assets per ton allocated to
	Pook Value (in			Book Value of Harbour	and .
Number of units of el allocated to the Applica 2004		ea-based 4 financial	Book Value (in rands) land-based assets at 20 financial year end	Ul Con Pennel Assets nor	unit Assets per unit of effort
	Ī				

				Section
			To the second second	Investme
9.1.4 Table 4				
Fishery in which Applica	ant Holds a Medium Term Rig	yht		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fishery is which Applica	ant Holds a Medium Term Rig	thi		
Annual Catch Allocation (in lons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
	int Holds a Medium Term Rigi			
Annual Catch Allocation (in tons) to the applicant in 2004	harbour and sea-based asaets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rigi	nt.		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of herbour and see-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Righ	ıt		
Number of units of effort allocated to the applicant in 2004	Insured Value (In rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and See-Based Assets per unit of effort allocated to the applicant	Insured Value of Land- Based Assets per unit of effort allocated to the applicant
).1.5 A detailed expla annexure.	nnation of all investme	nt made in marketing	j must be inclu	uded an
0.1.6 A detailed expla an ınexuı	nation of all investme	nt made in processing	ritic must be	as 🏹
.1.7 Has the applica	ınt invested in on-boar	d or land based proce	ssingfacilities?	○ Yes ○ No

	Section 10
 	 Local Economic Development

10	ış t	he /in	g table in	atic	to a	a	nd landi.	ng sit	es used:		
	Harbour / L	anding SiteNe	me		F	shery			Tons Landed a Harbour in 208	4	Percentage of Total Catch Landed in 2004
		-					WWW		70 POE E		
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	2								= 0 0 0 0		y :
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	W ₀						10.55				
		7820		- 10-							
				6 7 10 - 1							
	14	·									
		Cotal 7	14 A								
10.2	At which ha	rbours (
10.2	landing sites	s will the	hos?				Harbour	/ Land	ling Site Name	5	
	арріісаі ії іа	ia iis caic	 						 		
			-								

10.3 ${
m Ha\,s}$ the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



	t directly involved in	0::	Sent (2000) 2568		rise Developmer
any value addi other fishery?	ng process in any	O Yes	() Na		
1.2 Has the application for any enterprinary	ant been responsible rise development?	○ Yes	O No		Ø
					Section 1 Performance
***************************************					Financi
2 1 What is the an	plicant's 2004 audited, v	verified or	_		7 4
certified Annua	al Turnover?		. h		
2.2 Complete the fo	ollowing table in relation	ito ratio pei	rformance		
Year	Return on Net Assets (HONA)	Debt, Eq	uty Rudo	Current Ratio	Quick Ratio (Acid Test)
2001					
2002	198				
2003					
2004					
2.3 Provide the follo	owing information in rela	ation to the	applicant's	income statements	::
Year	Turnover	Profit A	ter Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001					On the state of th
Haras Salas					
2002		107 (104 (104 (105 (105 (105 (105 (105 (105 (105 (105			
2003					-
2004					
2.4 Provide the follo	owing information in rela	ation to the	applicant's	balance sheet:	
Year	Assets	Long-Term	Lipbilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001					
2002			10		
2002					

12.5 Provide the following information in relation to the applicant's cash flow:		Perfor	iion 12 mance nancial
Year Net Cash Inflow / Outflow from Net Cash Inflow / Outflow from Investing Activities	Net Cash Fine	inflow / Outli noing Activiti	low from
2001			
2002			
2003			
42.C. If the emplicant is a rough costablished out to place a provide a detailed states		41- 0	N
12.6 If the applicant is a newly established entity, please provide a detailed staten applicant intends to finance it's entry into this fishery as Schedule 12B.	nent of nov	v tne	0
		10/04/2004	tion 13
42.4 December annihigant have a fishing plan?	0.70		ig Plan
13.1 Does the applicant have a fishing plan?	es Om		
13.2 Will the applicant be personally responsible for implementing is fishing plan?	s Om		Q
13.3 Will a third party be responsible for implementing the applicant's fishing plan?	es O M		Q
13.4 If yes, who is the third party?			
		Sec	52230 maladina
By-Catch and Environmentally S	ustaina	DIE Pra	iclices
14.1 Does the applicant have a by-catchmanagement strategy?	O Yes	O No	Q
14.2 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	O Yes	()∶No	Q
14.3 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	O Yes	O No	C
14.4 Does the applicant intend to put in place any measures to reduce damage to sea beds?	O Yes	O_{No}	Q

Section 15	5
Fishing Levies	3

15.1Please provide the following information in relation to levies paid since 2001:

Year	Species	Total Catch in Tons	Levies Pald
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003	¥	140	7,
2003	0		
2003			
2003			
2003		=	9 -
2004			
2004	×		
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy payment?	Date yyyy/mm/dd	Amount	

			Section 1	6
11 ki štre	le tandili		Applicant Declaration	State of the
i) I have read the instruction(b) the informatin submitted	ons set out on 2 and 3 of this ap ed with and in this Application is true an		- V.	B
(c) I accept that if any info	ormation in this Application is not true	e or complete, or if false info	nmation is provided, or material Information is not on, cancellation, alterationor reductionof any right,	
license or permit granted	d on the strength of this Application, in t	terms of Section 28 of the Ma	arine Living Resources Act 18 of 1998; ny institution, organ of state, person or body, who	
possesses or acquires a	any information relevant to my applica tment of Environmental Affairs and Tol	tion, to disclose or make the	e information available to the Minister, his delegate	
(e) the applicant undertake	es to co-operate with any investigators by an	ors by timeously submitting is	responses to written requests for information or ority at such meetings and where necessary, by	
granting investigators as	ccess to premises, vessels and doc t ground for refusing an application;	cuments. The applicant acc	epts that failure to co-operate in this regard will	
(f) the applicant accepts the	t any attempt to influence the decision	n of the delegated authority e General Policy or in this a	or appellant authority on the allocation of a right or application form, will result in the application being	
refused.				-
0.77	0	- E - E - E - E - E - E - E - E - E - E		
This	day of	2005		
Signature of Author	orised Representative:			
Representative's I				
		nows and understands t	he contents and implications of the above	0000000
declaration.	name declares that no the ti	010 0100		000000000000000000000000000000000000000
Commissioner of Oaths				Section 1
Full Name				The second
Designation		AND THE RESERVE OF THE PARTY OF		and the same
Physical Address				2000000
	y make oath and declare that: nsset out on pages 2 and 3 of this ap	plicationform; Ho	lding Company Declaration	1
(c) I accept that if any infor	d with and in this Application is true and	or complete, or if false info	rmation is provided, or material Information is not	
disclosed, this may lead license or permit granted	to the Application being refused, or to the strength of this Application, in to	to the revocation, suspension erms of Section 28 of the Ma	on, cancellation, alteration or reduction of any right, arine Living Resources Act 18 of 1998;	
(d) in order to allow for the possesses or acquires a	proper verification of information sub- invinformation relevant to the application	mitted I hereby authorise an tion, to disclose or make the	ny institution, organ of state, person or body, who e information available to the Minister, his delegate	
or an official of the Depart	ment of Environmental Affairs and Tou (3rd party na		on unit; rate with any investigators by timeously submitting	
responses to written requ at such meetings and wh	uests for information or explanations, here necessary, by granting investigat	by attending meetings with in ors access to premises, ve	nvestigators,by answering questions satisfactorily essels and documents. The applicant accepts that	
failure to co-operate in this	s regard will constitute an independen	tgroundfor refusingan appli	cation; tempt to influence the decision of the delegated	
authority or appellant auth	ority on the allocation of a right or qualification being refused	antum or effort, in any mann	er other than provided for in the General Policy or	
Signature of Autho	rised Representative of	Holding Company	(if applicable)	•
Signed at:				
This	day of	2005		
Signature of Author	orised Representative: -			
_	full Name:			
			ne contents and implications of the above	\$20000000
declaration. Commissioner of Oaths				
Full Name				Charles and a
Designation				SECRETARY.
Physical Address				Contract of the last of the la

Le und signe do Le
Signed at:
This day of 2005
Signature of Authorised Representative:
Representative's Full Name:
The authorised representative declares that he/she knows and understands the contents and implications of the above
declaration. Commissioner of Oaths
Full Name
Designation
Physical Address
I, the undersigned, do hereby make oath and declare that: (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or iffake information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration at reduction of any right license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, his delegated or an official of the Department of Environmental Affairs and Tourismor the Rights Verification Unit; (e)
tailure to co-operate in this regardwill constitute an independent ground for rerusing an application; (f)
authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy in this application form, will result in the application being refused.
Signature of Authorised Representative of JV Partner (if applicable)
Signed at:
This day of 2005
Signature of Authorised Representative:
Representative's Full Name:
The authorised representative declares that he/she knows and understands the contents and implications of the above
declaration. Commissioner of Oaths
Full Name
Designation
Physical Address

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 48;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

 Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Question 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Question 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Question 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details **of** any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section **6.7.5:** Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61.**
- j) Section 6.7.7: If the appticant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well as whether the member is Black, as Annexure **6M.**
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R**.
- s) Section **6.14.1** and **6.14.2**: If the applicant completed Sections **6.14.1** and **6.14.2** with reference to the skippers of both the applicant and of any other entities **as** per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.14.1** and **6.14.2** for both the applicant and the other entities separately and submit these as Annexure **6S**.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22:** Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If 'Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- Section 7.1 1: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

Schedule 10 - Local Economic Development

 a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 116.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery as Annexure 126.

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 13B. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section **14.2:** Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure **146.**
- c) Section **14.3:** Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure **14C**.
- Section 14.4: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

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Time of Receipt:	h	-			
Application Number					
Departmental Stamp):				
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APPLICATIO	ON NUMBER:
	VIRONMENTAL AFFAIRS AND TOURISM MARINE AND COASTAL MANAGEMENT
	Horse Mackerel
Long Tern	n Rights Allocation Process: 2005 Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	Application Form For: New Entrants
Registered Name of Applicant Company Registration Number or	Application Form For: New Entrants
Company Registration Number or Close Corporation Registration	Application Form For: New Entrants
Company Registration Number	Application Form For: New Entrants

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- This application form must be read together with these instructions, the Explanatory Notes, the Scheduler; to the application form and the Procedures for Independent Auditors (available on the Public Accountants' arid Auditors' Board website, www.paab.co.za or www.salca.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at clustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021)670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential:
 - there is any documentation or information required in response to a question in the form of an annexure;
 - -the applicant's auditors need to verify a response; and
 - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the correspondingSchedule to the applicationform. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information Pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Departmentmay request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.



IMPORTANT INFORMATION INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENTOF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT'

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective Will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, **if**applicable, the holding company, sister **company(ies)** and the joint venture **partner(s)** provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

	Section 1
	Applicant Details
The applicant must supply a profile of fishing operations, as Schedule 1A.	f its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	_
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	<i>P</i>
1.6 Skills Development Levy Number:	juid
1.7 Have the applicant's details (1.8.1 - applicant registered?	1.8.7d) changed since the O yes O No
1.8 If "Yes", complete only those details	s that have changed:
	mber and Street
Business:	Suburb:
	Town / City:
	PostalCode:
1.8.2 Postal Address: Number and	Street / PO Box:
1.0.2 i Ostal Address. Hambel and	Suburb:
	Town / City:
	Postal Code:
	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Martherised Contact Person First	
1.8.7 Sourthamissed Contact Person	
a) Identity Number:	
b) Position Held/ Relationshipto Applic	iant
c) Cellular Number:	[()
d) E-mail Address:	

		800		Section 2
2.1 Does the applicant hold a medium term fishing right in any other sectors?	○ Yes	O No	₹ Fo	orm of Applicant
2.2 If "Yes", complete the following:				
Fishery in which the Applicant holds a Medium-Term	Right	a	Application Form	Number
			alli	
2.3 Is the applicant more than 50% South African owned?	O Yes	O No		PC\$0
2.4 Does the applicant have a valid tax clearance certificate?	O Yes	○ No	~ <	>
2.5Complete the following table in relation to as	sset value a	nd turnover:		
Year	Turnover		Gross Asset Valu	e (excluding fixed property)
2004 (Financial Year End)				
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	○ Yes	O No	Q	
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	○ Yes	O No	Ø	
			William Control	Section 3
3.1 Has the applicant, or any of its mer directors:	mbers, sha	reholders	or	Compliance
3.1.1 been convicted of a contravention regulations, or permit conditions du period?				○ No 🍁 🂢
3.1.2 entered into a plea bargain under to 51 of 1977, for a contravention of the or permit conditions during the medium	e MLRA, or	the regulat		O No ◆ ♥
3.1.3 paid an admission of guilt fine for a the regulations, or the permit condition right period?				O No 🔷 🖔

Section 3
Compliance
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?
Section 4
Vessel Details
4.1 How many vessels does the applicant nominate for use in this fishery?
4.2 Fill in the relevant details in the tables below regarding each vessel nominated:
Vessel 1
Vessel name
MCM Area Number
SAMSA Number
Number of crew according to SAMSA Safety Certificate
Owner of Vessel as Indicated by South African Certificate of Registry
Nature of Access to Vessel
Vessel fitted with a functioning VMS System (Y/N)
Manufacture of VMS System
Vessel Length in metres according to SAMSA Safety Certificate
Vessel Horsepower as per SAMSA Safety Certificate
Vessel 2
Vessel name
MCM Area Number
SAMSA Number
Number of crew according to SAMSA
Safety Certificate Owner of Vessel as indicated by South
African Certificate of Registry
Nature of Access to Vessel
Vessel fitted with a functioning VMS System (Y/N)
Manufacture of VMS System
Vessel Length in metres according to SAMSA Safety Certificate
Setely Certificate Vessel Horsepower as per SAMSA Setely Certificate

Section 4 **Vessel Details** Vessel 3 Vessel name MCM Area Number SAMSA Number Number of crew according to SAMSA Safety Certificate Owner of Vessel as indicated by South African Certificate of Registry Nature of Access to Vessel Vessel littled with a functioning VMS System (Y/N) Manufacture of VMS System Vessel Length in metres according to SAMSA Safety Certificate Vessel Horsepower as per SAMSA Safety Certificate Vessel 4 MCM Area Number SAMSA Number Number of crew according to SAMSA Safety Certificate Owner of Vessel as indicated by South African Certificate of Registry Nature of Access to Vessel Vessel fitted with a functioning VMS System (Y/N) Manufacture of VMS System Vessel Length in metres according to SAMSA Safety Certificate Vessel Horsepower as per SAMSA Safety Certificate Vessel 5 Vessel name MCM Area Number SAMSA Number Number of crew according to SAMSA Safety Certificate Owner of Vessel as indicated by South African Certificate of Registry Nature of Access to Vessel Vessel fitted with a functioning VMS System (Y/N) Manufacture of VMS System

Vessel Length in metree according to SAMSA Safety Certificate Vessel Horsepower as per SAMSA Safety Certificate

2003 Season

2004 Season

Section 5

Catch Utilisation

- 5.1 Did the applicant over-catch or under-catch in any other fishery by more than 10% during the medium term rights period?
- Yes No



5.2 Complete the following in relation to the applicant's catch records if the applicant holds medium term rights in other sectors (excluding sectors where effort and not catch is allocated)

mediamen	riigitto irrotrici ocotoro	(CAGIGGIII IG SCOLOTS WITCH CI	ion and not catori	is allocated,
Fishery in Which	Applicant Holds a Medium Term	Right		
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Season				
Fishery in Which	Applicant Holds a Medium Term	Right		
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season				
2003 Season			N	
2004 Season			>>	8
Fishery in Which	Applicant Holds a Medium Term	Right		
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Season		f)		
2003 Season				
2004 Season				
Fishery in Which A	Applicant Holds a Medium Term	Flight		4. 1445.
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under- caught	Percentage over-caught
2002 Cooper				

, c			Se	ection 6
		T	ransfor	mation
-7 sag _a	Management and	I Emplo	yment	Equity
6.1 \	Vas the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	O Yes	○ No	ار ا
6.2.1	If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	O Yes	Оио	1000
6.2.2	If "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	O Yes	○ No	A 1000

Transformation Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Stack (Y/N)	Gender (M/F)	ID Number
1						
2						
3						
4						
5						
6	a2					
7						
8						
9						
10						
11						
12						
13						
				- S-10.3		,
14						
15						

Section 6 Transformation Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner (Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1					1		
2							
3	E9				_		
4							
5							
6							
7							
8							
9		JAMES - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000 - 1000					
10							
11			=		-		
12							
13	-						
14							
15							
16							
17							
18							
19							

Section 6

Transformation



Management and Employment Equity

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Selary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20				1			
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							
41					1		
42							
43							
44							
45							
46							
47							
48							
49							
50							
51							
52	N.V.						
53			5WV = A				
54							
55							

Section 6

Transformation



Management and Employment Equity

U	l) ^a			~			yment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
56							
57							
58							
59							
60							
61							
62							
63							
64							
65							
66							
67							
68							
69							
70							
71	f						
72							
73							
74							
75							
76							
77							
78							
79							
80							
81							
82							
83			7/1/20-1/20-20-20-20-20-20-20-20-20-20-20-20-20-2				
84							
85							
86							
87							-
88				9.1			
89			*	7.1			
90			7	7.			

	Section 6	ì
ns	formation	

Management and Employment Equity

6.4.1 Complete the following table in relation to income levels of the applicant's permanent employees at 28 February 2005:



	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Female Employees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td></r2>					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500					
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
6	Between R20 001 and R25 000					
7	Between R25 001 and R30 000					
8	Between R30 001 and R40 000					
9	Between R40 001 and R60 000					704/
10	>R60 000					
	Total		100%	ì	100%	

6.4.2 Does the applicant contribute toward	and pension for it
employees?	

Yes

No

6.4.3 Does the applicant provide any other type of benefits for its employees?

Yes ON

6.5 Complete the following table in relation to the applicant's enployment equity profile as provided to the Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.

										To	tal
Occupational Categories	Gender	A No	A %	C No	C%	1 No	1%	W No	W %	ACI.%	F%
Top & Senior Management /	Maio										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Male								H.		
mid-management / Professionals	Female										
Skilled Technical and academically qualified workers, supervisors, foremen, and superintendents /	Male										
Technicians and Associate Professionals	Female										
Semi-skilled and discretionary decision- taking and unskilled and undefined decision- making / Clerks, Service & Salee Workers, Fishery Workers, Artisses & Fidated Trade	Male										
Fishery Workers, Artisers & Retated Trade Workers, Plant & Machine Operators & Assemblors, Bernertery Occupations	Female										
TOTAL	Male										
TOTAL	Female										

A - African C - Coloured I - Indian W - White M - Male F - Female ACI - African Coloured and Latin

	Section 6
	Transformation
Management and	d Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

						29.872	672			То	tai
Occupational Categories	Gender	A No	Α%	C No	C%	í No	1%	W No	W %	ACI%	F%
Top and Senior Management / Senior Officials and	Male										
Menagers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (If not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



		100	100 (100) 100 (100)			3.194.5		1		То	tal
Occupational Categories	Gender	A No	A%	G No	C%	1 No	1%	W No	W %	ACI %	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionale 2002 (if not designated as at financial year end 2002)	Female					×					
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female						1				

								Section 6
								formation
			STEEL ST	Ow	nersi	nip - C	ompa	nies Only
6.7 Is the applicant a co	mpany?					O Yes	O No	
6.7.1 If "Yes", complete	the table be	elow in respe	ct of s	hareholding held by	black	persons:		() o
Year		areholding Held Persons	Perce	entage Voting Rights Held by Black Persons		Percentage Shareholde		
2001 (as in 2001 application form)				Contractor				
2005 (at date of application)								20
6.7.2 Complete the follo through principle:	wing table ir	n relation to s	hareh	nolding held by wom	en bas	ed on the	e flow	J. Car
Year		areholding Held omen	Perce	entage Voting Rights Held by Women	Per	centage of I	Dividende ntitled To	Women are
2005 (at date of application)								
6.7.3 Did the applicant hemployees?	nave a share	e scheme in	place	in 2004 for		O Yes	O No	Q.S
6.7.4 If"Yes", what is the employees not listed								ر [
6.7.5 Complete the follow	wing table ir	relation to n	nonies	s paid to shareholde	ers:		<	30
Year		Paid to Sharehol		Loans Made to Share		Dividen	ds Paid to	Shareholders
2002 (financial year)								
2003 (financial year)								
2004 (financial year)	2000							
6.7.6 Was the applicant processing or mark by another entity du	cetingof a 20	004 allocatio	n in ar	was the catching, ny fishery performe	d	○ Yes	O No	
6.7.7 If "Yes", complete	the table be l	ow:					<	20
Function		Rand Amount	Paid to 004 Fina	Other Entity during the ancial Year	Rar	nd Amount a	s a Percer tal Turnovi	itage of the er in 2004
Management			-					
Catching								
Processing								
Maria 200								

					Section 6
		5			ormation
		Ownersh	ip - Clos	e Corporation	ons Only
6.8 Is the applicant a close corpora	tion?	OYes	ONO		~
6.8.1 If "Yes", complete the table be	elow in respect of	members intere	st held by b	lack persons:	<u> </u>
	Interest Heid by Persons	ercentage Voting Right Black Persons		Percentage Profit Distr Persons are Enti	
2001 (as in 2001 application form)		118755			
2005 (as at date of application)					
6.8.2 C	tion to nemb	s interest held by	y women:		مر ا
	Interest Held by Promen.	ercentage Voting Rights Women	s Heid by Pen	centage Profit Distribut Entitled To	
2005 (as at date of application)					
6.8.3 Did the applicant have a profit	sharing scheme	for employees in	n place		~X _Q
in 2004?	Ū	, ,	. 0	Yes O No	
6.8.4 If "Yes", what percentage of punder question 6.3.2 entitled to		ees not listed			
·					v ~
6.8.5 Complete the following table in	relation to moni	es paidto memb	ers:		2/
	a Paid to Members	Loans Made to	Members	Profit Distributed to	Members
2002 (financial year)					
2003 (financial year) 2004 (financial year)		 			
		14 L			
6.8.6 Was the applicant managed by processing or marketing of a 2 by another entity during the 200	004 allocation in	any fishery perfo		Yes O No	
by another chary during the 200	74 III lai loiai yeai :				
6.8.7 If "Yes", complete the table be	low:				Jane
Function	Rand Amount	Paid to Other Entity		d Amount as a Percent Applicant's Turnover in	
Management					
Catching					
Processing					
Marketing					

						Section 6 sformation velopmen
in the Transfo in 2001?	icant met the target ormation Plan subm ne following table in 98:	itted O Did no	O Somewhat ot apply in any s ance with the Skill	sector	O Not a	at all
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Paid to SARS in skills development levies	Percentage : Bit Sperit on	Train	Percentage of ning Budget Spent Seck Employees
2001 (financial year end)			7			
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year end)						
term rights 6.12 If "Yes," ind employees 2004 (tax ye	icate the number of in respect of whom	learnerships as a the applicant prep any other skills de	percentage of the ared IRP5 certific velopment	e cates in	O Yes (
6.14.1 How many applicant?	y skippers are used	by the [
6.14.2 What per are black?	centage of these ski	ppers [Co	rnorato	Social I	ivestment
its annualta for deduction	plicant make donati xable income which n in terms of sectior Tax Act 58 of 1962	qualify OY n 18A of	es ONo	nporate.		Ø
	cate what percentaç al year these donati		(0 - 0.5 % 0 0.5 - 1 % 0 > 1 %		Ø

	Transformation
Newworld Constitution State and State Stat	Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2003 - 1		9_24 (
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
CJJ/4 - 4		

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
	including school fees)?

O Yes	ОиО
	0

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

0	0 - 0.5 %
O	<i>0.5</i> - 1%



0 > 1%

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefitting Organisation	Rand Value of Amount Donated
2004- 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

				ection 6
		Affirn	native Procu	ırement
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes O NO		Q
6.22 Provide the following information in (operational expenditure) in 2004:	relation to the applicar	nt's top ten South A	frican suppliers	W ₀
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Suppli Rands in 2004	er in Percentag Exper	
740				
623 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?	O Yes	s Ono	Ø
6.24 Has the applicant instituted a system measure its affirmative procurement spend as a percentage of total procurement spend?		O Yes	o Ono	
	Vesse	, Crew and Er	100	ection 7
			Contrav	S. S. Bather F. S DAR
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty un Shipping Act, 57 of 1951 ?	term rights period, be der section 324 of the	en convicted, or Merchant	O Yes ON	. 🗸
7.2 Has the applicant, during the medium t forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the Ma		O Yes O No	, C

			tion 7
Vessel, Crew and En		iental S itraven	THE PROPERTY OF THE PARTY OF TH
	The state of		
7.3Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	O No	Q
Crew Safety & W	/orking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O NO	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	S
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	C
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	O Yes	O No	C
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	O Yes	O No	Q
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O No	,
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	Q
	V	essel S	Safety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	○ Yes	○ No	Ø
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
En	vironn	nental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No	(X
7.14 Does the applicant have a Garbage Management Planfor each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	ONo	CX

Section 8

Job Creation

8.1 Complete the following tables in relation to job cre

Q	1	1	Tah	lo 1

Year	Employees in respect of which the applicant prepares IRPS Certificates (Land Based)	Employees in respect of which the applicant prepares IRPS Certificates (Sea Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Land Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Sea Based)	Total Number of Employees (Golumns 1-4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application)							
2002 (financial year end)			7				
2003 (financial year end)	l.						
2004 (financial year end)							
2005 (28 February 2005)					7	Trace Care Mila.	

8.1.2 Table 2

	THE RESERVE AND ADDRESS OF THE PARTY OF THE			
Pitch was	in make take a	Ammilian mail	lated a a bigar	fium Term Right
DESCRIPTION OF THE PROPERTY OF	8 E 8 REG 1 1 8 E 1 8 M 8 REG	101011091109	101/01/08/08/25/01	

	Applicants Annual Catch Allocation (in tons)in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
1			

Fishery in which Applicant Holds a Medium Term R	iaht
,,,,,,,, .	40.000

	Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (In relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
F			

which Applicant I-	

	Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
1			

Fishery in which Applicant Holds a Medium Term Right

	Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
1			

Fishery in which Applicant Holds a Medium Term Right

Number of Units of Effort Allocated to the Applicant in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per unit of effort allocated

			基本的	Job Creation
8.1.3Table 3				
Fishery in which Applica	ant Holds a Medium Term	Right -		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) do the applicant employ pe ton allocated
	i	1	1]
Fishery in which Applica	nt Holds a Medium Term F	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) doe the applicant employ per ton allocated
Fishery in which Applican	nt Holds a Medium Term F	tight		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) doe the applicant employ per ton allocated
Fishery in which Applican	nt Holds a Medium Term Fi	light		L
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per lon allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) doe the applicant employ per ton allocated
	1		16	
Fishery in which Applican	t Holds a Medium Term Fli	ght		
Number of units of effort located to the Applicant in	Total Employees (in relation to this fishery)	How many people does the applicant employ per unit of	Total Employees less Seasonal Employees (in relation to this fishery) (28	How many people (less seasonal employees) does

			Section Job Creation
8.1.4 Table 4			531
Applicant's five highest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five salaries	What was the mulitple between and the average of the February	Point the average of the live the five highest salaries at 28 y 2005?
	1		
Applicant's five lowest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of lowest five ablaries		
	e e		
	s		
	VE (2000 & 27.00)		Sague
			Section 9 Investmen
1 Complete the following tables:			
			June June

9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)		20 (10 m)		
2003 (financial year end)				
2004 (financial year end)				

9.1.2 Table 2					***************************************				Investme
Year		Value of Total d Assets	Percentag in Rand Val	e Increa ue of To	se or Decrease ital Fixed Assets	rease Total Insured Value of Total Assets Land Based Fixed Assets			
2002 (financial year end)									
2003 (financial year end)									
2004 (financial year end)				ura Wester					
9.1.3Table 3							et manera (1900-1904)		Jane 1
Fishery in whi	ch Applicar	nt Holds a Medi		ht		the same I am		theid feathers	
Annual Catch Allo tons) to the applica	ication (in ant in 2004	Book Value (harbour and assets at 20 year	sea-based 04 financial	land-b	Value (in rands) lased assets at 2 nancial year end		dok Value of Harbour ar ea-Based Assets per to allocated to applicant		k Value of Land-Baser ets per ton allocated to applicant
Fishery in whi	ch Applicar	it Holds a Medi	um Term Ria	ht I					
Annual Catch Alic tons) to the applica	cation (in	Book Value (i harbour and assets at 200 year (n rands) of see-based 04 financial	Book land-b	Value (in rands) ased assets at 20 ancial year end		ook Value of Harbour ar ea-Based Assets per to allocated to applicant		k Value of Land-Basec ets per ton allocated to applicant
Fishery in whi	ch Applican	t Holds a Media	ım Term Rigi	int.				1	
Annual Catch Allo tons) to the applica		Book Value (harbour and assets at 200 year 6	sea-based 14 financial	land-b	Value (in rands) ased assets at 20 ancial year end		ook Value of Harbour an ea-Based Assets per to allocated to applicant		c Value of Land-Based els per ton allocated to applicant
Fishery in whi	ch Applican	t Holds a Medic	ım Term Rigi	nt					
Annual Catch Allo tons) to the applica		Book Value (I harbour and assets at 200 year 6	sea-based 4 financial	land-b	Value (in rands) assed assets at 20 ancial year end	of Bo	ook Value of Harbour an ea-Based Assets per tor allocated to applicant		: Value of Land-Based ets per ton allocated to applicant
Fishery in whi	sh Applican	t Holds a Mediu	ım Yerm Rinl						
Number of units allocated to the Ap 2004	of effort	Book Value (in harbour and sassets at 200 year of	n rands) of sea-based 4 financial	Book land-ba	Value (in rands) on ased assets at 20 ancial year end	л 10-	ok Value of Harbour and a-Based Assets per unit of effort allocated to applicant	ASS	Value of Land-Based sets per unit of effort ocated to applicant

Section 9 Investmen 9.1.4 Table 4 Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Insured Value of Harbour and Seq-Based Assets per ton allocated to applicant Insured Value of Land-Based Assets per ten allocated to applicant Insured Value (in rands) of land-based assets at 2004 Annual Catch Allocation (in harbour and sea-based assets at 2004 financial tons) to the applicant in financial year end year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Insured Value of Harbour Insured Value of Land-Insured Value (in rands) of land-based assets at 2004 financial year end Annual Catch Allocation (in harbour and sea-based and Sea-Based Assets per ton allocated to applicant Based Assets per ton allocated to applicant tons) to the applicant in 2004 assets at 2004 financial year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of insured Value (in rands) of land-based assets at 2004 Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Insured Value of Land-Based Assets per ton allocated to applicant Annual Catch Allocation (in harbour and sea-based assets at 2004 financial tons) to the applicant in 2004 financial year end year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Insured Value of Harbour and Sea-Based Assets per Insured Value of Land-Based Assets per for allocated to applicant Annual Catch Allocation (in tons) to the applicant in 2004 Insured Value (in rands) of land-based assets at 2004 harbour and sea-based assets at 2004 financial ton allocated to applicant financial year end year end Fishery in which Applicant Holds a Medium Term Flight Insured Value of Harbour and Sea-Based Assets per unit of effort allocated to Insured Value of Land-Based Assets per unit of effort allocated to the . Insured Value (in rands) of Insured Value (in rands) of land-based assets at 2004 Number of units of effort allocated to the applicant in 2004 harbour and sea-based assets at 2004 financial financial year end applicant 1 the applicant vear end 9.1.5 A detailed explanation of all investment made in marketing activities must be included as an 9.1.6 A detailed explanation of all investment made in processing activities must be included as

an annexure.



9.1.7 Has the applicant invested in on-board or land based processing facilities?

O Yes O No

	Local Economic Development
5.100 Table 2.100 Table 2.	Section 10

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing SiteName	Fishery	Tone Landed at Herobur in 2004	Percentage of Fotal Casch Landed or 2004
Total			

Harbour / Landing Site Names			

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes ONo



					Section 1
11.1 Is the applicant of any value addingother fishery?	directly involved in g process in any	○ Yes	O No	e Add & Enter	prise Developmer
11.2 Has the application for any enterpris	nt been responsible se development?	○ Yes	ОиО		Ø
					Section 1
	***************************************			-	Performanc
					Financia
certified Annual					
2.2 Complete the fo	llowing table in relation	nto ratio pe	rformance	:	
Year	Return on Net Assets (RONA)	Debt: Eq	ulty Ratio	Current Resp	Quick Ratio (Acid Test)
2001	3.6				+
2002					1
2003					
2004					
.3 Provide the follo	wing information in rela	ation to the	applicant's	s income statemen	its:
Year	Turnover	Profit Al	ter Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001					
2002					
2003					
2004	rae savo typnamica				
.4 Provide the follo	wing information in rela	ation to the	applicant's	balance sheet:	
Year	Assets	Long-Term	Liabilities	Current Liabilities	Shareholder's Equity/
2001					Member's Contribution
2002					-
2003		The second second second			-
					+
2004					1

					Sec	tion 12
						rmance
12.5 Provide the following	g informationin relation to the	applicant's cash flo	w:		Fi	nancial
Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflo Investing Activities			Inflow / Out	
2001						
2002						
2003						
2004						
	ewly establishedentity, pleas inance it's entry into this fishe			nt of how	w the	Q
	PATRICIPE TO THE STATE OF THE S				Sec	tion 13
					Fishin	g Plan
13.1 Does the applicant ha	ave a fishing plan?		O Yes	O No	•	Q
13.2 Will the applicant be p fishing plan?	personally responsiblefor im	plementingits	O Yes	○ No	ı	Q
13.3 Will a third patty be re applicant's fishing pla	esponsiblefor implementingt n?	he	O Yes	<i>O</i> No		Q
13.4 If yes, who is the third	patty?					
					Sect	ion 14
	By-Catch and	Environmental	ly Sus	tainal	ole Pra	ctices
14.1 Does the applicant ha	ave a by-catch management	strategy?	() Yes	O No	Q
	tendto put in place any meas nsumption on vessels, and ir) Yes	○ No	Ø
14.3 Has the applicant und environmentally susta	lertaken or sponsored any re ninable practices?	esearch in relation to	(Yes	○ No	Q
14.4 Does the applicant int to sea beds?	end to put in place any meas	sures to reduce dama	age () Yes	O No	CX

Section 15
Fishing Levies

15.1Please provide the following information in relation to levies paid since 2001:

Year	Species	Total Catch in Tons	Levies Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002	38		
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004	26		
2004			
2004			

15.2	What was the date and amount of
	the applicant's most recent levy
	payment?

/ /		
Date	Amount	
vvvv/mm/dd		

Section 16

 (b) the information submitte (c) I accept that if any information disclosed, this may lead license or permit granted (d) in order to allow for the possesses or acquires or an official of the Depa (e) the applicant undertake explanations, by attending granting investigators a constitute an independent (f) the applicant accepts the 	onsset out on pages 2 and 3 of this appled with and in this Application is true and cormation in this Application is not true of the Application being refused, or to don the strength of this Application, in tender proper verification of information submany information relevant to my application the proper verification with a my information relevant to my application of the strength of the streng	correct and complete. or complete, or if false into the revocation, suspensorms of Section 28 of the Notited I hereby authorise a on, to disclose or make the sism or the Riihts Verificate by timeously submitting wering questions satisfactments. The applicant action of the delegated authority of the section of the delegated authority.	any institution, organ of state, person or <i>body</i> , who he information available to the Minister, his delegate
Signed at:			
This	day of	2005	
Signature of Author	orised Representative: —		
The authorised represe	ntative declares that he/she know	ws and understands	the contents and implications of the above
declaration. Commissioner of Oaths			
Full Name			
Designation			
Physical Address			
(b) the informationsubmitte (c) I accept that if any info disclosed, this may lead license or permit granted (d) in order to allow for the possesses or acquires a or an offiiial of the Depar (e) responses to written req at such meetings and what in the cooperate in the (f) authority or appellant	d to the Application being refused, or to the other strength of this Application, in term is proper verification of information submiting any information relevant to the application the information relevant to the application the form the fervironmental Affairs and Touris (3rd party namulasts for information or explanations, by nere necessary, by granting investigators is regardwill constitute an independent of the property of the constitute of the property of the strength	orrect and complete. complete, or if false info the revocation, suspensis to so f Section 28 of the Ma tted I hereby authorise an n, to disclose or make the sm or the Rights Verificati ne) undertakes to co-ope attending meetings with ir s access to premises, ve- ound for refusing an appl me) accepts that any at	ny institution, organ of state, person or body, who e information available to the Minister, his delegate on Unit; rate with any investigators by timeously submitting nvestigators, by answering questions satisfactorily issels and documents. The applicant accepts that
Signature of Author Signed at:	orised Representative of H	olding Company	(if applicable)
This	day of	2005	
Signature of Author Representative's	orised Representative; — Full Name:		
Physical Address			
1			

		Section 1
(a) I have at lait. (b) the information subm (c) I accept that if any disclosed, this may license or permit grant possesses or acquir or an official of the Defendance.	inthis Application is true and correct and complete. information in this Application is not true or complete, or if false information is provided, or lead to the Application being refused, or to the revocation, suspension, cancellation, alterationed on the strength of this Application, interms of Section 28 of the Marine Living Resources the proper verification of information submitted I hereby authorise any institution, organ of sees any information relevant to my application, to disclose or make the information available the appartment of Environmental Affairs and Tourism or the Rights Verification Unit;	ionor reduction of any right, :Act 18 of 1998; state, person or body, who to the Miter, his delegate
at such meetings and failure to co-operate in	(3rd party name) undertakes to co-operate with any investigat requests for information or explanations, by attending meetings with investigators, by answer d where necessary, by granting investigators access to premises, vessels and documents. In this regard will constitute an independent ground for refusing an application;	The applicant accepts that
authority or appellant in this application form	. (3rd party name) accepts that any attempt to influence the authority on the allocation of a right or quantum or effort, in any manner other than provided n, will result in the application being refused.	for in the General Policy or
•	thorised Representativeof Sister Company (if applicable)	
_		
This	day of 2005	
Signature of Au	thorised Representative:	
Representative	's Full Name:	
The authorised repri	esentative declares that he/she knows and understands the contents and im	iplications of the above
declaration. Commissioner of Oat	ns l	
Full Name		
Designation		
Physical Address		**************************************
(b) the information subr	uctionsset out on pages 2 and 3 of this application form; nitted with and in this Application is true and correct and complete. r information in this Application is not true or complete, or iffalse information is provided. or lead to the Application being refused, or to the revocation, suspension, cancellation. atteration	on or reduction of any right.
disclosed, this may license or permit grad (d) in order to allow for possesses or acquir or an official of the D responses to written at such meetings an failure to co-operate (f) authority or appellant in this application form	Intedon the strength of this Application, in terms of Section 28 of the Marine Wing Resources of the proper verification of information submitted I hereby authorise any institution, organ of sizes any information relevant to the application, to disclose or make the information available to repartment of Environmental Affairs and Tourism or the Rihts Verification Unit; (3rd party name) undertakes to co-operate with any investigator requests for information or explanations, by attending meetings with investigators, by answer and where necessary, by granting investigators access to premises, vessels and documents, in this regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the tauthority on the allocation of a right or quantum or effort, in any manner other than provided in, will result in the application being refused.	state, person or body, who to the Minister, his delegate torsby timeously submitting ring questions satisfactorily. The analysis accepts that
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SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 27: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this **as** Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 66.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholderis Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well **as** whether the member is Black, as Annexure **6M.**
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills **development** programmes, as well as any supporting documentation from the SETA, as Annexure **6R**.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22:** Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- X) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If 'Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If 'Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.1 1: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure, 9C.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If 'Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery as Annexure 12B.

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Type (dedicatedmidwater or deep sea trawler)
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 13B. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 148.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, **as** Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

FC	OR OFFICIAL USE ONLY: DO NOT TEAR OFF	
14.00		RECEIPT
Received by:	3	
Date of Receipt:	14 July2005 or 15 July2005	
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Departmental Stamp	:	
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Disclaimer: The receip	oting of this application does not imply an acknowledgement of the content.	npleteness of

	and the second second	RECEIPT - FOR APPLICANT TO RETAIN
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Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness of the application α the correctness of its content.

1	NNUMBER:
	RONMENTAL AFFAIRS AND TOURISM ARINE AND COASTAL MANAGEMENT
	Inshore Trawl
Long Term	Rights Allocation Process: 2005 Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	
Company Registration Number or	
Company Registration Number	
Company Registration Number or Close Corporation Registration	
Company Registration Number or Close Corporation Registration Number	

IMPORTANT INFORMATION INSTRUCTIONS

GENERAL

- This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' arid Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the **completion** of the application form, it must e-mail the Department at ciustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not **communicate** with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 1) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the form of an annexure;
- the applicant's auditors need to verify a response; and
- -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicants response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 3) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- ') The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

IMPORTANT INFORMATION INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a **true** copy of the original, but it Will be assumed to be **the** same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- · it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- -the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company. sister company(ies) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

Section 1 Applicant Details
CX
1.1 Registered Name of Applicant
1.2 Tradinn Name of Applicant:
1.3 Registration Number:
1.4 Income Tax Number:
1.5 Vat Number:
1.6 Skills DevelopmentLevy Number:
 1.7 Have the applicant's details (1.8.1 - 1.8.7d) changed since the applicant registered? 1.8 If "Yes", complete only those details that have changed:
1.8.1 Principal Place of Number and Street:
Business: Suburb]
Town / City{
Postal Code:
1.8.2 Postal Address: Number and Street / PO Box 1
Suburb
Town/ City:
Postal Code:
Area Code: 1.8.3 Telephone Number:
1.8.4 Additional Telephone Number:
1.8.5 Fax Number:
1.8.6 Authorised Contact Person First Name:
1.8.7 Authorised Contact Person Surname:
a) Identity Number: b) Position Held/ Relationship to Applicant
c) Cellular Number:
d) E-mail Address:

					Section 2
2.1 Does the applicant hold a medium term fishing right in any other sectors?	O Yes	— No	Ø	Form of	Applicant
2.2 If "Yes", complete the following:					
Fishery in which the Applicant holds a Medium-Terr	m Right	2	001 Application	Form Number	
2.3 Is the applicant more than 50% South African owned?	O Yes	O No	ر		
2.4 Does the applicant have a valid tax clearance certificate?	O Yes	O No		e X	
2.5 Complete the following table in relation to a	asset value ar	nd turnover			Just .
Year	Turnover		Gross Ass	et Value (excludi	ng fixed property)
2004 (Financial Year End)					
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	O Yes	○ No	CX		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	O Yes	ONo	Q		
					Section 3
		Management		C	ompliance
3.1 Has the applicant, or any of its medirectors:	embers, shar	reholders	or		30000000000000000000000000000000000000
3.1.1 been convicted of a contravention regulations, or permit conditions of period?				Yes O No	$\Phi \varnothing$
3.1.2 entered into a plea bargain under					. ~/
51 of 1977, for a contravention of the or permit conditions during the media	he MLRA , or	the regulat		Yes O No	

				PO W 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	manage acceptance of the second		contagen of the room is no	200000000
							Sect	
***************************************						C (ompli	anc
	3.1.4 been charged variety regulations or pright period?		under the MLRA during the media		○ Yes	○ No	•	Q
3.2	Has a fishing vessel, rof the applicant, or any been detained, arrests preserved, confiscate Organised Crime Act period?	y of its shareholded or seized under d or forfeited	ders, members or over the MLRA or reunder the Preve	directors strained, ention of	O Yes	○ No	•	Ø
3.3	Was the applicant's rigireduced or altered unmedium term right period	nder section 28			O Yes	○ No	•	Q
							Secti	on i
24000023				AND SERVICES	A SAN DAY		-	
						vess	el De	tails
	How many vessels does							
	nominate for use in this fi	30/2	94, 93					Y
4.2	Fill in the relevant details	in the tables below	w regarding each v	ressel nomina	ated:		1	0
			Vessel 1					
Vess	ol name							
-	Area Number							
	SA Number							
	er of crew according to SAMSA Certificate							
Owne	r of Vessel as indicated by South n Certificate of Registry							
Nature	of Access to Vessel							
	I fitted with a functioning VMS n (Y/N)							
Manuf	acture of VMS System							
	Length in metres according to							
Vesse	A Safety Certificate Horsepower as per SAMSA Certificate							-
CX								
			Vessel 2					
Vessel	name	T	VII 2					
	rea Number							
	Number							
Number Safety /	r of crew according to SAMSA Certificate			100				
Owner	of Vessel as indicated by South Certificate of Registry						-	\neg
	of Access to Vessel							\dashv
Vessel I System	fitted with a functioning VMS							-
	cture of VMS System							
/essel t	ength in metres according to Safety Certificate			10 C S	no ore e			\dashv
Vessel I	lorsepower as per SAMSA entificate							\dashv

Section 4 **Vessel Details**



等是是一种的企业,但是对于	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Langth in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vesset as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Veasel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

than 10% duri 2 Complete the	cant over-catch or under ing the medium term right following in relation to the rights in other sectors (•	more	O Yes	itch Utili	
than 10% duri 2 Complete the	ing the medium term rigle following in relation to the	nts period?	more	O Vae	\sim	
2 Complete the	e following in relation to the rights in other sectors (ne applicant's catch records i		O lea	O No	-
medilim term	riginio in ourior occioro (excluding sectors where effo	if the applic	cant holds	; llocated C	کر ک
	Applicant Holds a Medium Term		it and not	oatorrio u	ilooditod.	-
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage : caught		ercentage over	-caught
2002 Season						
2003 Season			- 11 - T T T T			
2004 Season						
Fishery in Which A	Applicant Holds a Medium Term	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (In nominal Ions)	Percentage i		ercentage over	-caught
2002 Season			9 0			
2003 Season						
2004 Season						
Fishery in Which A	pplicant Holds a Medium Term I	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (In nominal tons)	Percentage i		ercentage over	-caught
2002 Season				B 11.000		
2003 Season						
2004 Season						W. Standard
Fishery in Which A	pplicant Holds a Medium Term f	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage t		orcentage over	caught
2002 Season						
2003 Season						
2004 Season						
	AL DE WEST STORY OF THE STORY O					
						ction
***************************************	1970 11 11 12 12 14 15 15 15 15 15 15 15 15 15 15 15 15 15				ransforr	
		Managem		Emplo	yment E	:quit
vvas the appli Employmen	cant a designated emplor t Equity Act, 55 of 1998 a	oyer as defined in section 1 o as at 28 February 2005?	or tne	O Yes	O No	7
1 If "Yes", has of 1998?	s the applicant complied	with the Employment Equity	Act, 55	O Yes	O No	-
2 If "No", has Equity Act.	the applicant voluntarily 55 of 1998 ?	complied with the Employme	ent	O Yes	O No	_

	Section 6
Section 1997	Transformation
	Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





			orporation, as at 20 1 obrac		City V
	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black Gander (Y/N) Gander (M/F)	ID Number
2					
3					
4					
5					
6					
7	W				
8					
9					
10			W		
11					
12			ю		
13					
14					
15					

Section 6 Transformation Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Eletween 1 - 5 Employees	The Top Salary Earner (Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 80 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
Move than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Satary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
1							
6							
7			G.				
8							
9							
10							
11							
12					*		
13							
14							
15							
16							
17							
18							
19						1	

Section 6 Transformation Management and Employment Equity

409		V-0	ta Santini Cultur		reinstheadaid	
Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20			100,000,000		OMESSION AND ADDRESS.	
21						
22						
23						
24			1			
25						
26						
27						
28						
29						
30						
31						
32						
33						
34						
35						
36						
37					-	
38		0.0		1		
39				1		
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54 55						
55						
				and the second s		

Section 6

Transformation



Management and Employment Equity

All.							
	Employee Name	Organisational Title	Annual Salary (Total Gost to Company)	Black (Y/N)	Salary as a Percentage of Total Salarlesin this Table	Gender (MF)	10 Number
56							
57							
58							
59							
60							
61							
62	,						
63							
64							
65							
66							
67							
68							
69			en en ek iuwa.				
70							
71	а						
72							
73							
74							
75							
76							h
77							
78							
79							
80							
81							
32							
33							
34							
35							
36							
37							
8							
35 36 37 38 99							
00							

										Tra	Se nsfor	natio
											ment l	Equity
	Complete the follo employees at 28 F			lationto	income	evels	f the ap	oplicant's	s perma	inent	ďΧ	
	Gross Monthly Income to Compan	e (Total Co: ly)		imber at iplayees		itage of Tota iployees		nber of Blac imployees	To	centage o otal Black mployees	F	mber of emale oployees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>red a selected and advantage</td><td></td><td>a day of base of the</td></r2>									red a selected and advantage		a day of base of the
2	Between R2 500 ar	nd R5 500						100				
3	Between R5 501 an	d R10 500				# ## E#		5 (64)				
4	Between R10 501 ar	nd R16 000									1	
5	Between R16 001 an	nd R20 000							1		1	
6	Between R20 001 an	rd R25 000										
7	Between R25 001 an	id R30 000			1				\top		\top	
8	Between R30 001 an	id R40 000			1		+		\top		+	
9	Between R40 001 an	d R60 000			1		\top		1			
10	>R60 000				1		1		+		1	
	Total				1	100%				100%		
.4.2 [Does the applicant mployees?	t contribu	ite towa	ards me	dical aid	and pe	nsion fo	or its	O Y	es C) No	3
	Does the applicant	t provide	any oth	ner type	of bene	efits for it	s emplo	oyees?	OY) ио	X
De	omplete the followi epartment of Labou en the table must b	ur in Octo	ober 20	04. If th	e applica	ant is no	ployme t desigr	ent equity nated or	y profile	as prov	/idedto t	the oly
Occi	upational Categories	Gender	ANo	A%	C No	C%	I No	1%	WNo	W %	ACI%	otal F%
T 0	Carrier 11	Male									AUI 70	12
	Senior Management / Officials and Managers	Female			 							
	ssionally Qualified and enced specialists and	Maie										
	id-management / Professionals	Female										
qualif	Technical and academically ted workers, supervisors, on, and superintendents /	Male										
Ted	Inidans and Associate Professionals	Female										
ekling end nekling / C lehery Wo	fled and discretionary decision- unshilled and undefined decision- lanks, Sarvice & Sales Workers, orkers, Aristons & Pelasted Trade	Male										
Western	Plant & Machine Clourstons &	·								A CONTRACTOR OF THE PARTY OF TH		

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Male

Female

TOTAL

Section 6 Transformation Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

					100000				/ (.) (.) 	То	tai
Occupational Calegories	Gender	A No	A%	C No	C%	1 No	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



	1							1		To	tal
Occupational Categories	Gender	A No	Α%	C No	C %	1 No	1%	W No	W %	ACI%	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female							3			

		\$27.635.54S			Section
					Transformatio
			O	wnership -	Companies On
6.7 Is the applicant a c	company?			○ Ye	S O No
3.7.1 If "Yes", complet	ethe table be	elow in respe	ect of shareholding held	by black persor	ns: 🗸 🌁
Year		areholding Held Persons	Percentage Voting Rights He by Black Persons		ge of Dividends Black olders are Entitled To
2001 (as in 2001 application form)					44.0
2005 (at date of application)					
5.7.2 Complete the foll through principle:		n relation to s	shareholding held by wo	men based on	he flow
Year	Percentage Sh by W	areholding Held omen	Percentage Voting Rights He by Women	ld Percentage	of Dividends Women are Entitled To
2005 (at date of application)					
	ted under que	estion 6.3.2, t	ng held by or for through the scheme? nonies paid to sharehole	ders:	
	Colorina				
Year	, Salares	Paid to Sharehol	ders Loans Made to Sha	reholders Divid	ends Paid to Sharaholders
2002 (financial year)	Stitutes	Paid to Sharehol	ders Loans Made to Sha	reholders Divid	ands Paid to Sharaholders
	Other ps	Paid to Sharehol	ders Loans Made to Sha	reholders Divid	ends Pald to Shareholders
2002 (financial year) 2003 (financial year) 2004 (financial year) 7.6 Was the applicant	t managed by rketing of a 20 during the 200	/ another ent 004 allocatio 4 financial ye	ity, or was the catching n in any fishery perform	O Year	O No
2002 (financial year) 2003 (financial year) 2004 (financial year) 7.6 Was the applicant processing or man by another entity descriptions.	t managed by rketing of a 20 during the 200	y another ent 204 allocatio 4 financial ye ow:	ity, or was the catching n in any fishery perform	ed Yes	
2002 (financial year) 2003 (financial year) 2004 (financial year) 7.6 Was the applicant processing or mai by another entity d	t managed by rketing of a 20 during the 200 e the table bel	y another ent 204 allocatio 4 financial ye ow:	ity, or was the catching n in any fishery performear?	ed Yes	○ No it as a Percentage of the
2002 (financial year) 2003 (financial year) 2004 (financial year) 7.6 Was the applicant processing or mai by another entity december 2.7 If "Yes", complete Function	t managed by rketing of a 20 during the 200 e the table bel	y another ent 204 allocatio 4 financial ye ow:	ity, or was the catching n in any fishery performear?	ed Yes	○ No it as a Percentage of the
2002 (financial year) 2003 (financial year) 2004 (financial year) 7.6 Was the applicant processing or man by another entity decreased. 7.7 If "Yes", complete function Management	t managed by rketing of a 20 during the 200 e the table bel	y another ent 204 allocatio 4 financial ye ow:	ity, or was the catching n in any fishery performear?	ed Yes	○ No it as a Percentage of the

				Se	ction 6
		0	· Class	Transfor	
6.8 Is the applicant a clos	se corporation ?	Owners:	Ono Ono	Corporation	s Only
6.8.1 If"Yes", complete t	•		est held by blac	k persons:	Q
Year	Percentage Interest Held by Black Persons	Percentage Voting Righ Black Persons	ts Held by Pero	entage Profit Distributi Persons are Entitled	on Black
2001 (as in 2001 application	La companya (1980)	3,000			
form)					
2005 (as at date of application)					
6.8.2 Comdete the table	below in relation to mer	nbers interest held b	oy women:		
Year	Percentage Interest Held by Women	Percentage Voting Right Women	ts Held by Percent	age Profit Distribution I Entitled To	Women are
2005 (as at date of application)					
6.8.3 Did the applicant ha	ave a profit sharing sche	eme for employees in	n place O ye	s O No Ç	
	and an of a market warms are		46	S NO	
6.8.4 If "Yes", what perce under question 6.3.2	entitled to?	pioyees not listed			
6.8.5 Complete the follow	ing table in relation to m	onies naidto memb	oere.	C	0
Year	Salaries Paid to Member	***************************************		Profit Distributed to Me	mbers
2002 (financial year)					
2003 (financial year)					
2004 (financial year)					
6.8.6 Was the applicant n	nanaged by another <mark>ent</mark> i etingof a 2004 allocation			res O No	
	ing the 2004 financial ye		лпеа		
6.8.7 If "Yes", complete th	ne table below:				C
Function		ount Paid to Other Entity		nount as a Percentage Idant's Turnover in 200	
Management	10.00		- 44	CONTROL OF THE STATE OF THE STA	
Catching					
Processing	76.242				11

						Sec	lion 6
					Tran Skills De	sform	
				`			mem
6.9 Has the app in the Transfe in 2001?	licant met the targe ormation Plan subm	nitted Did	Somewhat of apply in any	-	O Not	at all	Q
	ne following table in	-			nent Levies	i	
Year	Did the applicant submit Workplace Skills Plan- which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Paid to SARIS in skills development levies	Percentage S Bit Spent on	Traines Trai	Percentage ning Budge Black Emp	t Spent
2001 (financial year end)							
2002 (financial year end)							
2003 (financial year end)		5					
2004 (financial year end)							
6.11 Did the appleterm rights	licant participate in a period?	a learnershipprog	rammeduring the	e medium	O Yes (ON C	٥
	icate the number of in respect of whom ear end).						
	olicant participate in a s through its SETA			Strategy?	O Yes (O No	Q
6.14.1 How many applicant?	y skippers are used	by the					
6.14.2 What perdare black?	centage of these ski	ppers [
			C	orporate	Social I	nvestr	nent
its annual ta for deduction	plicant make donati xable income which n in terms of sectior Tax Act 58 of 1962	n qualify 18A of	fes () Na				Ø
	cate what percentag		ned in the	O - 0.5 %		h #	ďΧ
2004 financi	alyear these donation	ons amount to:		O 0.5 - 1%			
			(O > 1 %			

Section 6
Transformation
Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Danated
2004 - 1		
2004 - 1		
2004 - 2		

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
	including school fees)?

O Yes O No

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O 0 - 0.5 %



O 0.5 - 1 %



0 > 1 %



6.20 lf other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		Land program would be a second
2004 - 5		

		Affirm	Section 6 Transformation ative Procurement
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes O No	Ø
6.22 Provide the following information in r (operational expenditure) in 2004:	elationto the applicar	nt's top ten South Afri	can suppliers
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Supplier Rands in 2004	in Percentage of Total Expenses
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend.	i d?	○ Yes	O No
6.24 Has the applicant insituted a system measure its affirmative procurement spend as a percentage of total procurement spend in future?		O Yes	$O_{ ext{NO}}$
			Section 7
	vesse	I, Crew and En	rironmental Safety Contraventions
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty un Shipping Act, 57 of 1951?			O Yes O No
7.2 Has the applicant, during the medium to forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the Ma	en convicted, or aritime	O Yes O No

		NAME OF TAXABLE PARTY.	tion 7
Vessel, Crew and Er		iental : itraven	OLE IN LESS OF
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	○ NO	Ø
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms d section 6 of the Maritime Occupational Safety Regulations?	O Yes	ONo	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	○ No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	○ Yes	○ No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	O Yes	○ No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	O Yes	Ono	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	Ono	0
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	Q
	Ve	essel S	afety
7.11 Have the nominatedvessel(s) been issued with a valid Local General Safety Certificate?	O Yes	Ono	C
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	O No	
En	vironm	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	○ No	Ø
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	Ono	C

Section 8 Job Creation 8.1 Complete the following tables in relation to job creation. 8.1.1 Table 1 Employees in respect of which the Percentage Total Amount of Salary Bill as per Financial Total Number of increase or applicant does applicant does applicant prepares IAP5 Certificates Employees (Golumns 1-4) Year applicant decrease in not prepare IRP5 not prepare IRP5 prepares IRP5 total number of Statements Certificates employees Certificates (Sea Based) Certificates (Land Based) (Land Based) (Sea Based) 2001 (date of application) 2002 (financial year end) 2003 (financial year end) 2004 (financial year end) 2005 (28 February 2005) 8.1.2 Table 2 Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Applicants Annual Catch Allocation (in tons)in this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in How much does the applicant spend on salaries/ wages per ton allocated this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in How much does the applicant spend on salaries/ this fishery in 2004 wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons) in Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ this fishery in 2004 wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Number of Units of Effort Allocated to the Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per unit of effort allocated Applicant in 2004

Section 8 Job Creation 8.1.3 Table 3 Fishery in which Applicant Holds a Medium Term Right Total Employees less How many people (less Applicant's Annual Catch Allocation (in tons) in this fishery in 2004 Total Employees (in relation to this fishery) (28 February 2005) How many people does the applicant employ per ton allocated Seasonal Employees (in relation to this fishery) (28 February 2005) seasonal employees) does the applicant employ per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005) How many people (less seasonal employees) does the applicant employ per ton allocated Applicant's Annual Catch Allocation (in tons) in this fishery in 2004 Total Employees (in relation to this fishery) (28 February 2005) How many people does the applicant employ per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005) How many people (less easonal employees) does the applicant employ per ton allocated Applicant's Annual Catch Allocation (in tons) in this fishery in 2004 Total Employees (in relation to this fishery) (28 February 2005) How many people dose the epplicant employ per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005) How many people (less seasonal employees) does the applicant employ per ion allocated Applicant's Annual Cetch Allocation (in tons) in this lishery in 2004 Total Employees (in relation to this fishery) (28 February 2005) How many people does the applicant employ per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005) How many people (less Total Employees (in relation to this fishery) (28 February 2005) Number of units of effort How many people does the applicant employ per unit of effort allocated? seasonal employees) does the applicant employ per unit of effort allocated? allocated to the Applicant in 2004

4 Table 4		<u>(X.</u>
oplicant's five highest annual salaries (total cost to compary) po between 1 March 2004 and 28 February 2005	Average of top five salaries	What was the multiple between the average of the flowest and the average of the five highest salaries at February 20057
	¬i	ĺ
	_	
oplicant's five lowest annual salaries (total cost to company) pak between 1 March 2004 and 28 February 2005	Average of lowest five salaries	The second secon
The second secon	_	
· · · · · · · · · · · · · · · · · · ·		The second of the second

9.1 Complete the following tables:

9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)			,	

							Section
			-			Inv	vestme
	Value of Total	Percentag in Rand Val	e Increase or Decrease tue of Total Fixed Assets		Value of Total Fixed Assets	Harbour and	od Value of d Sea Besed Assets
2002 (financial year and)							
2003 (financial year and)							
2004 (financial year end)							- 0
).1.3 Table 3 Fishery In which Applicar	t Hoids a Mark	ım Term Rio	il il				
Annual Catch Allocation (in ons) to the applicant in 2004	Book Value (harbour and assets at 200 year of	in rands) of sea-based 34 financial	Book Value (in rands land-based assets at 2 financial year end	2004 See Base	e of Harbour and d Assets per ton ad to applicant	Book Value of Assets per to Appli	
Fishery in which Applican	t Holds a Medic	ın Term Plig	ii				
Annual Catch Allocation (in ons) to the applicant in 2004	Book Value (i harbour and assets at 200 year a	sea-based 4 financial	Book Value (in rands land-based assets at a financial year and	004 Sea-Base	e of Harbour and d Assets per Ion of to applicant	Book Value of Assets per to appli	
Fishery in which Applican			int .				
Annual Catch Allocation (in one) to the applicant in 2004	Book Value (I harbour and I assets at 200 year 6	sea-based 4 financial	Book Value (in rands) land-based assets at 2 financial year end	004 Sea-Base	of Harbour and d Assets per ten d to applicant.	Book Value o Assets per to appli	
Fishery in which Applicant	Holdis a Medic	m Term Rig	nt.				
Annual Catch Allocation (in ns) to the applicant in 2004	Book Value (a harbour and a assets at 200 year a	en-based 4 financial	Book Value (in rands) land-based assets at 2 financial year end	004 Sea-Bases	of Harbour and Assets per ton d to applicant	Book Value of Assets per to appli	
Fishery in which Applicant	Hoids a Mediu	m Term Rigi	nt I				
Number of units of effort liocated to the Applicant in 2004	Book Value (in harbour and s assets at 200 year o	ea-based 4 financial	Book Value (in rands) land-based assets at 2 financial year end	004 Sea-Basec of effort	of Harbour and Assets per unit allocated to opticant	Book Value of Assets pers allocated to	unit of effort

Fishery in which Applica	nt Holds a Medium Term Rig	ht		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Lan Based Assets per to allocated to applicar
Fishery in which Applica	nt Holds a Medium Term Flig	rht.		
Annual Catch Allocation (In tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Lan Based Assets per to allocated to applican
	nt Holds a Medium Term Rigi	nt		
Annual Catch Allocation (in- tons) to the applicant in 2004	harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land Based Assets per to allocated to applicant
Fishery in which Applicar	nt Holds a Medium Term Rig	ht		
Annual Catch Allocation (intons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land Based Assets per tor allocated to applicant
	nt Holds a Medium Term Rigt Insured Value (In rands) of	नार <u>।</u>	Insured Value of Harbour	Insured Value of Land
Number of units of effort docated to the applicant in 2004	harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	and Sea-Based Assets per unit of effort allocated to the applicant	Based Assets per unit effort allocated to the applicant
5 A detailed expla	nation of all	ıt made in marketing	activities must be inclu	uded as an

 Local	Economic	Developmen	į
		Section 1	000

101	Complete the	following tab	do in	relation to	harhours	and landing	sites	used
7(17	1 .omplete the	IOHOWINE IAC	ne u	relation to	(lalbouls	and landing	4 31103	uo ou

Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
1			
Total			

10.2 At which harbours and	
landing sites will the	
applicant land its catches	?

Harbour / Landing Site Names		

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes	O	No
-------	---	----



LCCC Screen			Value	Add & Enterpr	Section 11 ise Development
11.1 Is the applicant any value addin other fishery?	directly involved in g process in any	○ Yes	○ No		Q
11.2 Has the applica for any enterpri	nt been responsible se development?	○ Yes	○ No		Ø
	hetrastanteritatilast	\$000 \$10 \$1,000 \$10 \$10 \$10 \$10 \$10 \$10 \$10 \$10 \$10		T-M	Section 12 Performance Financia
certified Annual	olicant's 2004 audited, Turnover? ollowing table in relation		formance		
Year	Return on Net Assets (RONA)	Debt: Eq.	ulty Ratio	Gurrent Ratio	Quick Ratio (Acid Test)
2001					
2602					
2003					
2004		L			
12.3 Provide the follo	wing information in re	lation to the	applicant's	s income statements:	
Year	Turnover	Profit Af	ter Tax	Retained Earnings	Elvidencis Paid / Distribution of Profits
2001					
2602					
2003					
2004					
12.4 Provide the follo	wing information in rel	lation to the	applicant's	s balance sheet:	
Year	Assets	Long-Term	Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001					
2002					
2003					
2004					

					Perfor	tion 12 mance nancia
12.5 Provide the follow	ng information in relation to the Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflor Investing Activities	w from		inflow / Qutf	
2001	Operations	En County / En County				
2002			$\neg \uparrow$			-
2003						
2004			_			
applicant intends to	n newly established entity, pleas o finance it's entry into this fishe	ery as Schedule 12E	3.		Sec	tion 13
13.1 Does the applicant	t have a fishing plan?		O Yes	○ No		Q
13.2Will the applicant be fishing plan?	e personally responsible for imp	plementing its	○ Yes	O No		Q
L3.3Will a third party be applicant's fishing	e responsible for implementing plan?	the	O Yes	O No		Q
13.4 If yes, who is the th	nird party?					
and the second s	By-Catch and	Environmenta	lly Sus	tainal	S. E. S. C. S.	lion 14 ictices
14.1 Does the applican	t have a by-catch management	strategy?	() Yes	O No	Ø
	t intendto put in place any meas consumption on vessels, and in) Yes	O No	Q
14.3Has the applicant of environmentally su	undertaken or sponsored any rostainable practices?	esearch in relation to	o () Yes	O No	CX
14.4Does the applicant to sea beds?	intend to put in place any meas	sures to reduce dam	nage () Yes	O No	Q

ALPANIE DE COMMISSIONEMENTO AND COMMISSION		Section 15
		Fishing Levies

15.1Please provide the following information in relation to levies paid since 2001:

Year	Species	Total Catch in Tons	Levies Pald
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of
the applicant's most recent levy
payment?

1	1	
	R	
У	yyylmmldd	

Amount



	Section 1
(b) the information subm (c) I accept that if any i disclosed, this may le license or permit grant (d) in order to allow for t possesses or acquire or an official of the De(e) the applicant undertexplanations, by atten granting investigators constitute an independ (f) the applicant accepts the strength of the applicant accepts the strength of the applicant accepts the strength of	Applicant Declaration Applications set in on pages 2 and 3. It this application form; itted with and in this Application is true and correct and complete. Information in this Application is not true or complete, or if fake information is provided, or material Information is not sead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, sed on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who is any information relevant to my application, to disclose or make the information available to the Minister, his delegate partment of Environmental Affairs and Tourism or the Rights Verification Unit; aleas to co-operate with any investigators by timeously submitting responses to written requests for information or dring meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will entiground for refusing an application; hat any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or ny manner other than provided for in the General Policy or in this application form, will result in the application being
Signed at!	
	day of 2005 horised Representative:
Representative's	10
The authorised repres declaration.	entative declares that he/she knows and understands the contents and implications of the above
Commissioner of Oaths Full Name	
Designation	
Physical Address	
	by make oath and declare that
(a) I have read the instruct (b) the informationsubmitt (c) I accept that if any inidisclosed, this may lead licenseor permit grante (d) in order to allow for the possesses or acquires or anofficial of the Department of the Dep	by make oath and declare that by the company of this application by the company of the company o
Signed at:	
This	day of 2005
NO. 100 100 100 100 100 100 100 100 100 10	orised Representative:
The authorised represented aration.	ntative declares that he/she knows and understands the contents and implications of the above
Commissioner of Oaths	
full Name	
Designation	
hysical Address	

	Section 16
(a) If yare 1 the instruction (b) the information submittee (c) I accept that if any information submittee (d) in order to allow for the possesses or acquires a or an official of the Depart (e) responses to written requat such meetings and with failure to co-operate in this application form, will signature of Authority or appellant authority	Sister Company Declaration of with and in this Application is true and correct and complete. The Application is true and correct and complete. The Application is true and correct and complete. The Application is not true or complete, or if false information is provided, or material Information is not to the Application, suspension, cancellation, alteration or reduction of any right, and the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; The proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who any information relevant to my application, to disclose or make the informationavailable to the Minster, his die bate truent of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting uests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated nority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or it result in the application being refused. **Derised Representative of Sister Company (if applicable)**
The Company of the Co	2 22 (22/2/22)
This	day of 2005
Signature of Author	orised Representative:
Representative's F	Full Name:
The authorised represent declaration.	ntative declares that he/she knows and understands the contents and implications of the above
Commissioner of Oaths	
Full Name	
Designation	
Physical Address	
(a) I have read the instruction (b) the information submitted (c) I accept that if any informations and lisclosed, this may lead license or permit granted (d) in order to allow for the possesses or acquires a	y make oath and declare that: ns set out on pages 2 and 3 of this application form; d with and in this Application is true and correct and complete. ymation in this Application is not true or complete, or if false information is provided, or material Information is not do the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, ton the strength of this Application. In terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who any information relevant to the application, to discobse ar make the informationavailable to the Minister, his delegate trento of the properties of the Application of the Rights Verification Unit:
at such meetings and wh	(3rd party name) undertakes to co-operate with any investigators by timeously submitting uests for informationor explanations, by attending meetings with investigators, by answering questions satisfactorily nere necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application;
authority or appellant auth in this application form, will	(3rd party name) accepts that any attempt to influence the decision of the delegated nority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or Il result in the application being refused.
Signature of Author	orised Representative of JV Partner (if applicable)
Signed at:	
This	day of 2005
Signature of Author	orised Representative:
Representative's I	8 CLA 49 (1997 CLA 1997 CLA 1997 A 1
	ntative declares that he/she knows and understands the contents and implications of the above
declaration.	designes that regione knows and understants the cultimins and implications of the accre-
Commissioner of Oaths	
Full Name	
Designation	
Physical Address	

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A,
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 26.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If 'Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C:
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as **per** the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the Shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section **6.7.5**: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as **well as** whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61**.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure' 6.1.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- Section **6.8.3:** If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual **benefits** to employees, **as** well as a copy of the employee share scheme policy as Annexure **6L.**
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R.**
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- 1) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- l.) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If"Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- **W)** Section **6.22:** Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these **suppliers** as Annexure **6W**.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question **7.5:** If "Yes," provide the name, identity number and qualification of each safety officer **for** each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure **7E.**
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section **7.7**: If "Yes," provide a copy of the Safe Manning Document as Annexure **7G**. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure **7G**.
- h) Section **7.10:** If "Yes," provide a copy of the policy as Annexure **7H.** If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided **as** Annexure **7H.**
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "NO," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section **9.1.5:** Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section **9.1.6:** Provide a detailed explanation of all investments in processing activities as Annexure **9C**

Schedule 10 - Local Economic Development

 Section 10.3: If 'Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If 'Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial state ents as Annexure 12A. The applicant's auditors will be required to confirm its responses to all question in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance is entry into this fishery as Annexure 126.

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the **experience** and knowledge the applicant has at its disposal to target the resource as Annexure 138. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of ntities to be used in this regard as Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.1: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 148.
- c) Section 14.2: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.
- d) Section 14.3: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

FI FI	OR OFFICIAL USE ONLY: DO NOT TEAR OFF
	RECEIPT
Received by:	
Date of Receipt:	14 July 2005 or 15 July 2005
Time of Receipt:	h
Application Number:	:
Departmental Stamp	
Disclaimer: The receip	oting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.
	RECEIPT - FOR APPLICANT TO RETAIN
Received by:	
Date of Receipt:	14 July 2005 or 15 July 2005
Time of Receipt:	h
Application Number:	
Departmental Stamp:	
Disclaimer: The receipt	ting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.

FAIRS AND TOURISM STAL MANAGEMENT
awn Trawl
ation Process: 2005
Cluster A
Form For: New Entrants

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website. www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should **the** applicant have any queries regarding the completion of the application form, it must e-mail the Departmentat clustera@deat.gov.za. Every query and response Will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669 Fax: (021)670-1782 E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
 - there is any documentation or information required in response to a question in the form of an annexure;
 - the applicant's auditors need to verify a response; and
 - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.

The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicantmust respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.



IMPORTANT INFORMATION

INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should **be** placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENTOF APPLICATION

12) The CD containing the application, the original printedversion (signed and attested), and a copy of the printedversion must be hand delivered **to** the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- -the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company, sister company(les) and the iointventure partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

	Section Applicant Detail
The applicant must supply a profile of fishing operations, as Schedule 1A.	its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	Janet .
1.7 Have the applicant's details (1.8.1 - applicant registered?	1.8.7d) changed since the O Yes O No
1.8 If "Yes", complete only those details	that have changed:
1.8.1 Principal Place of Nun Business:	nber and Street
business.	Suburb
	Town / City
	Postal Code:
1.8.2 Postal Address: Number and S	Street / PO Box
	Suburb:
	Town / Cit
	Postal Code:
	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	
1.8.7 Authorised Contact Person Surname:	1
a) Identity Number:	
b) Position Held / Relationshipto Applica	ant "
c) Cellular Number:	0
d) E-mail Address:	

				30 000			Section 2
2.1 Does the fishing ri	e applicant hold a r ght in any other se	nedium term ctors?	○ Yes	○ No	C	Form of	Applicant
2.2 If 'Yes",	complete the follow	ving:					
Fishery in	which the Applicant ho	lds a Medium-Term	Right	.200	1 Application	Form Number	
•							
<u></u>							
2.3 Is the ap African o	oplicant more than owned?	50% South	O Yes	O No			
	e applicant have a ce certificate?	valid tax	O Yes	Ono	0	CX.	
2.5 Comple	te the following tabl	e in relationto a	sset value a	nd turnover:			500
	Year		Turnover	and the second of	Gross Ass	et Value (exclud	ing fixed property)
2004 (Fir	nancial Year End)						
any othe	ne applicant hold ar er company that is n term right holder in	applying as a	O Yes	○ No	Ø		
any com	e applicant hold ar pany that is applyi n this sector?	y share(s) in ng as a new	○ Yes	Ono	Q		
							Section 3
	ne applicant, or	any of its me	mbers, sha	reholders o	r	C	ompliance
directo 3.1.1 be	rs: en convicted of a	a contravention	of the ML	RA. or the	\circ	va- One	ACX
re	gulations, or pernerii?				right	Yes O No	~ ~
5	ntered into a plea 1 of 1977, for a co permit conditions	ntravention of th	ne MLRA, or	the regulation	Act ons,	Yes O No	◆ ♥
the	id an admission on the regulations, or the high period?					Yes O No	♥

Section	3
Compliance	e
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?	
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	>
Section	4
Vessel Detail	s
4.1 How many vessels does the applicant nominate for use in this fishery?	
4.2 Fill in the relevant details in the tables below regarding each vessel nominated:	
Vessel 1	
Vessel name	\exists
MCM Area Number	4
SAMSA Number Number of crew according to SAMSA Safety Carlifficate	1
Safety Gertificate Owner of Vessel as indicated by South African Certificate of Registry	1
Nature of Access to Vessel	7
Vessel fitted with a functioning VMS System (Y/N)	1
Manufacture of VMS System	7
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Salety Certificate	
CX	
Vessel 2	
Vessel name	
MCM Area Number	
SAMSA Number	4
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as Indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	1

Section 4 Vessel Details



大型	Vensel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	- A SHIP STORM STORM STORM STORM
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

				Catc	Secti h Utilisa	
than 10% duri 5.2 Complete the	ing the medium term rig e following in relation to t	r-catch in any other fishery b hts period? he applicant's catch record excluding sectors where ef	s if the applican	Yes (O No	4
Fishery in Which A	opplicant Holds a Medium Term	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage unde caught	Perce	ntage over-ca	ught
2002 Season						
2003 Season						
2004 Season						
Fishery in Which A	pplicant Holds a Medium Term	Right				_
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under caught	Perce	ntage over-ca	ught
2002 Season						10.7 (0.1)
2003 Season						
2004 Season					1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 - 1900 -	
Fishery in Which A	pplicant Holds a Medium Term	Right	<u> </u>			
Year	Annual Catch Allocation(in metric tone)	Total Actual Galch of Applicants Allocation (In nominal tons)	Percentage under caught	Perce	ntage over-ca	ught
2002 Season			-			
2003 Season		TO TO SECURITY OF THE SECURITY				
2004 Season						
Fishery in Which A	pplicant Holds a Medium Term	Right				_
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Applicants Allocation (in nominal tons)	Percentage under caught	- Perce	ntage over-cal	ughi
2002 Season						
2003 Season						
2004 Season						_
					1000000 000000000000000000000000000000	elocatico
					Section	M. 71
			· · · · · · · · · · · · · · · · · · ·	Trai	nsforma	iti
			nent and Er	nployn	nent Eq	ui
		oyer as defined in section 1 as at 28 February 2005?	of the	Yes () No	Ì
2.1 If "Yes", has of 1998?	the applicant complied	with the Employment Equi	ty Act, 55	Yes C) No	
2.2 If "No", has a Equity Act.	the applicant voluntarily 55 of 1998?	complied with the Employr	ment O	Yes C) No	_

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	company) or mornisore	, (ii a cicco c	- 1. A	, _	(Ma)
	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black Gende (Y/N) Gende (M/F)	TD Number
1					
2					
3					
4					
5					
6					
7					
8		91			
9					
10					
11		(X ₁			
12					
13					
14			8		
15					u

Section 6 Transformation

Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 Februar 2005.



Between 1 - 5 Employees	The Top Salary Earner (Total Cost to Company)
Between 6 - 90 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 ~ 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2		La			Α,		
3					5		
4						-	
5							
6							
7			2.5	_			
8						_	
9							
10							
11							
12						-	
13				_			
14				_		-	
15		1				-	
16						-	
17							
18					C		
19							

Section 6 Transformation Management and Employment Equity

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salarieen this Table	Gender (MF)	ID Number			
20										
21										
22					-					
23										
24				71						
25										
26										
27										
28										
29		8								
30										
31										
32										
33										
34						-1-1				
35										
36	<i>5</i>									
37										
38										
39										
40										
41				0						
42										
43										
44										
45										
46										
47										
48										
49										
50										
51										
52										
53							1			
54										
55	4									

Section 6 Transformation Management and Employment Equity

	management and Employment Equity									
Employee Name	Organisational Title	Annual Salary (Total Gost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	1D Number				
56										
57										
58										
59										
60										
61	j									
62		-								
63										
64										
65										
66										
67										
58										
69										
70										
1										
2										
3										
4										
5										
6										
7										
8										
9										
0										
1										
2										
3										
4										
5										
5										
7										
		*								

Trans	formation
	Section 6

6.4.1 Complete the following table in relation to income levels of the applicant's permanent employees at 28 February 2005:

	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Female Employees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td></r2>					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500					
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
6	Between R20 001 and R25 000					
7	Between R25 001 and R30 000	***		- M		
8	Between R30 001 and R40 000					
9	Between R40 001 and R60 000					
10	>R60 000)		
	Total	************	100%		100%	

6.4.2 Does the applicant contribute towards medical aid and pension for its employees?

-		_	
J	Yes	0	NO

6.4.3 Does the applicant provide any other type of benefits for its employees?

0	Yes	0	No

6.5 Complete the following table in relation to the applicant's employment equity profile as provided to the Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.

	·					74/12/14		14.557.66	34 × 14 k	To	tel
Occupational Categories	Gender	A No	A%	CNo	C.%	IND	1%	WiNo	W%	ACI%	F%
Top & Senior Management /	Male										
Senior Officials and Managers	Female	Notice of the second									
Professionally Qualified and experienced specialists and	Male										
mid-management / Professionals	Female										
Skilled Technical and ecademically qualified workers, supervisors, foremen, and superintendents /	Male										
Technicians and Associate Professionals	Female										
Semi-sidiled and discretionery decision- resking and unsidiled and undefined decision- making / Clarks, Service & Sates Workers,	Male										
Fishery Workers, Artisens & Retend Tracks Workers, Plans & Machine Operators & Assemblors, Elementary Occupations	Female										
TOTAL	Male										
TOTAL	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Transformation Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

				Mer de la						10	tal
Occupational Categories	Gender	A No	A%	G No	C%	INo	1%	W No	W#	ACI%	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2004 (if not designated as at financial year and 2004)	Female							Towns, in			

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



			Section)		The state of					To	tini .
Occupational Categories	Gender	ANo	A%	C No	C%	INo	1%	W No	W %:	ACI %	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionais 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female										

AND THE RESIDENCE OF THE SECOND STATES OF THE SECON	ennessa en anti-	315 - 10 AGN 18 18 18 18 18 18 18 18 18 18 18 18 18		The state of the s			
							ction 6
				Owr	ership - C	Transfor ompanie	
5.7 Is the applicant a co	ompany?				○ Yes	_	
.7.1 If"Yes", complete		ow in respec	t of shareh	olding held by	Mack nersons		x _O
				Johng Rights Held		\	lack
Year	Percentage Shar by Black f			k Persons	Sherehold	ers are Entitled	To
2001 (as in 2001 application form)				1.50			
2005 (at date of application)							
1.7.2Complete the follothrough principle:	owing table in	relation to sl	hareholdin	g held by wome	en based on th	e flow	jui. Priid
Year	Percentage Sha by Wo			Voting Rights Held Women	Percentage of	Dividends Wor Entitled To	nen are
2005 (at date of application)							
6.7.4f "Yes", what is the employees not lis6.7.5Complete the following in the following in	ted under que	stion 6.3.2, t	:hrough the	scheme?	rs.		حر ک
Year		Paid to Sharehold		ns Made to Shareh		nds Paid to Sha	reholders
2002 (financial year)							
2003 (financial year)		X :=					
2004 (financial year)							
6.7.6Was the applicar processingor ma by another entity of	rketing £ a 20 during the 200	004 allocatio 4 financial ye	n in any fis	the catching, hery performe	O Yes	○ No	٩
6.7.7 lf "Yes", complete	e the table bel						
Function			Paid to Other 004 Financial	Entity during the rear	Rand Amount Applicant's 1	as a Percentac Total Turnovar i	e of the 1 2004
Managemen	1	1					
Catching							
Processing			is r	8			
Marketing							

				Section 6
		Ownersh	in - Close C	Transformation orporations Only
6.8 Is the applicant a clos	•	○ Yes	○ No	ς"Χ,
6.8.1 If"Yes", complete't	he table b in respec	t fin b interes	st held by black p	Dersons:
Year	Black Persons	Black Persons		Persons are Entitled To
2001 (as in 2001 application form)		2.02	100	Karanta (Karanta) Karanta (Karanta)
2005 (as at date of application).				
6.8 .mplete the als	in relation or m	est held o	vo nen.	(,50)
Year	Percentage Interest Held by Women	Percentage Voting Rights Women	Held by Percentag	e Profit Distribution Women are Entitled To
2005 (as at date of application)				3 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 -
6.8.3 Didthe applicant has in 2004?6.8.4 If "Yes", what perceunder question 6.3.2	entage of profit were emp		place C Yes	ON0 (X)
6.8.5 Complete the follow	ring table in relation to m	onies paid to membe	ers:	C. J.
Year	Salaries Paid to Member			ofit Distributed to Members
2002 (financial year)				
2003 (financial year) 2004 (financial year)			-	
6.8.6 Was the applicant r processing or marke by another entity dur	nanaged by another entite etingof a 2004 allocation ing the 2004 financial ye	y, or was the catchi in any fishery perfo ar?	ng O Ye	s () No
6.8.7 If "Yes", complete the	ne table below:			
Function	Rand Am	ount Paid to Other Entity		unt as a Percentage of the ant's Turnover in 2004
Management				
Catching	2.1			
Processing				
Marketing	E .	18		

	, a 181 ti assa		u Juin	Personal Control of the Control of t	T	Sec ransform	ction (
in the Transfin 2001?	licant met the target ormation Plan subm	itted O Did n	ot apply in an	hat/Partially y sector	01	Develo	pmen
Act 9 of 19	he following table in 198: Did the applicant submit Workplace Skills Plan which was approved (Y/N)	Did the applicant submit an Annual Training Report which was approved (YN)	Rend Amount Pai SARS in skills development lev	d to Percentage	Salaries	Percental Training Budg on Black Em	et Spent
2001 (financial year end)							
2002 (financial year end)							
2003 (financial year end)							
2004 (financial year end)							
6.1 1 Did the app term rights	licant participate in a	learnership prog	rammeduring	the medium	O Ye	s O No	
6.12 If "Yes," inc	licatethe number of in respect of whom t						Ť
6.13. Didthe approgramme	olicant participate in a es through its SETA	any other skills de in 2004 such as a	velopment an SME Suppo	ort Strategy?	OYe:	s O No	Q
6.14.1 How man applicant?	y skippers are used?	by the [
6.14.2 What per are black	centage of these ski	ppers [
6 15 Doos the or	oplicant make donation	one of		Corporate	Socia	al Invest	ment
its annual ta for deductio	exable income which in terms of section Tax Act 58 of 1962	qualify 0 Y 18A of	es O No				Q
	icate what percentagial year these donation		ned in the	O 0 - 0.5 %		juit e	Ø
2004 III Idi lo	iai yodi ti icoc doi idiid	ons amount to.		O 05 - 1% O > 1%	,		

Section 6
Transformation
 Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		Control of the Contro

6.18 Does the applicant make any other donations (in addition to those which qualify fo	r
deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not	
includingschool fees)?	

O Yes	ОиО

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O 0 - 0.5 %



O > 1 %



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

		Affir		Section formatio
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes O No		Q
6.22 Provide the following information in re- (operational expenditure) in 2004:	elation to the applican	t's top ten South A	frican suppliers	~ C
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Suppl Rands in 2004		age of Total crises
		[
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	?	O Yes	O _{NO}	Q
6.24 Has the applicant instituted a system measure its affirmative procurement spend as a percentage of total procurement spend in future?	to	○ Yes	○ No	
				Section
	Vessel	, Crew and E		tal Safet vention
7.1 Has the applicant, during the medium to forfeited a deposit by way of penalty und Shipping Act, 57 of 1951?			O Yes	-
72 Has the applicant, during the medium te forfeited a deposit by way of penalty for Occupational Safety Regulations of 1994	contravening the Ma		O Yes	No C

		Sec	tion '
Vessel, Crew and En		ental : traven	
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	O Yes	○ No	CX
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O No	5
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	C
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	C
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	O Yes	O No	CX
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	Yes	○ No	C
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	○ Yes	ONO	1
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	CX
	V	essel S	Safety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	OYes	O No	Q
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
<u>En</u>	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollutionfrom Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	○ No	Ø
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	○ No	CX

Section 8 Job Creation 8.1 Complete the g ta in relation to job cre 8.1.1 Table 1 Employees in respect of which the Total Amount of Salary Bill as per Financial increase or decrease in folal number of employees Total Number of applicant does not prepare IRP5 Certificates (Sea Based) applicant does Year applicant prepares IRP5 Certificates applicant prepares IRPS Certificates not prepare IRP5 Certificates (Land Based) Employees (Columns 1-4) (Land Based) (See Based) 2001 (date of application) 2002 (financial 2003 (financial year end) 2004 (financial year end) 2005 (28 February 2005) 8.1.2 Table 2 Fishery in which Applicant Holds a Medium Term Right How much does the applicant spend on salaries/ wages per ton allocated Applicants Annual Catch Allocation (in tons)in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ weges per fon allocated Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in How much does the applicant spend on salaries/ wages per ton allocated this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tens) in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this lishery) 2004 Financial Year End Number of Units of Effort Allocated to the Applicant in 2004 How much does the applicant spend on salaries/ wages per unit of effort allocated

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				Section 8 Job Creation
8.1.3 Table 3				JOB Cleation
Fishery in which Applica	ant Holds at Macilum Term f	right :		
Applicant's Annual Catch Alocation (in tons) in the fishery in 2004	Total Employees (in relation to this fathery) (28 February 2005)	How many people does the applicant employ per for allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal simployees) does the applicant employ per ton allocated
Fishery in which Applics	I int Hokts a Medium Term F	 	<u> </u>	<u>'</u>
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
Fishery in which Applica	nt Holds a Medium Term F	ight		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (25 February 2005)	How many people does the applicant eniptoy per ton ellocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (lass seasonal employees) does the applicant employ per ton allocated
Fishery in which Applica	nt Holds a Medium Term F	iohi	· · · · · · · · · · · · · · · · · · ·	
Applicant's Annual Catch Accation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees lines Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
Flahery in which Applican	ul Holds a Medium Term R	Ort		
Number of units of effort allocated to the Applicant in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per unit of effort allocated?	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per unit of effort allocated?

						Section	
8.1.4 Table 4		******				Job Crea	10
	gheet annual salaries (total co n 1 March 2004 and 28 Febru	st to company) paid *- ary 2005	Average of top fly	e soluries	What was the multi- lowest and the every	ble between the average of the tige of the five highest estarios a February 2005?	ilve 1 28
				1			
	arajut salada Helidasa	No continues asket			e desperante de	7	
Detween	west armuel selectes (total coe) 1 Merch 2004 and 28 Febru	ry 2005	Average of lowest 1	ve anlaries		en e juralet sam	
	N				North Colors		
			. ,			Section Investment	1000
0.1 Complete	the following tables	5:				Ø.	0
Year	Book Value of Total Fixed Assets	Percentage Incr in Rand Value of	ease or Decrease Total Fixed Assets	Total Book Base	Value of Total Lan d Fixed Assets	d Total Book Value of Harbour and Sea Bas- Fixed Assets	ed
2002 (financial year end)							
2003 (financial year end)							8

2004 (financial year end)

66000				and the		Section 9
Name and Associated Property of the Control of the		***************************************		_	数型线链接	Investment
9.1.2 Table 2						٥
	ed Value of Total band Assets	Percentage in Rand Value	Increase or Decrea e of Total Fixed Ass	ae T	otal Insured Value of Total Land Based Fixed Assets	Total Insured Value of Harbour and See Based Fixed Assets
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year end)						
9.1.3 Table 3						,0
Fishery in which Appli	cant Holds a Medic	m Term Rigt	it .			
Annual Catch Allocation (I tons) to the applicant in 20	Book Value (i harbour and i o4 assets at 200 year e	sea based 4 financial	Book Value (in rar land-based assets financial year e	at 2004	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per fon allocated to applicant
Fishery in which Applic			Pil			
Annual Calch Allocation (in tons) to the applicant in 200	Book Value (in harbour and s Harbour and s A assets at 200 year e	90-based 4 financial	Book Value (in rar land-based assets financial year e	at 2004	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant
Flahery in which Applic						
Annual Catch Allocation (in tons) to the applicant in 200		ea-based 4 financial	Book Value (in ran land-based assets financial year e	at 2004	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per fon allocated to applicant
Fishery in which Applic	ant Holds a Madiu	n Term Right				
Annual Catch Allocation (in tons) to the applicant in 200		ea-based financial	Book Value (in ran land-based inssets of financial year er	at 2004	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant
Fishery in which Applica	ant Holds a Medium	n Term Right				
Number of units of effort allocated to the Applicant in 2004	Book Value (in harbour and se assets at 2004 year en	inancial	Book Value (in rand land-based assets a financial year or	1 2004	Book Value of Harbour and Sea-Based Assets per unit of effort allocated to applicant	Book Value of Land-Based Assets per unit of effort allocated to applicant
		ļ				

O Yes O No

Section 9 Investment 9.1.4 Table 4 Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Annual Catch Allocation (in insured Value (in rands) of land-based assets at 2004 financial year end Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Insured Value of Land-Based Assets per ton allocated to applicant harbour and sea-based assets at 2004 financial tons) to the applicant in 2004 year end Fishery in which Applicant Holds a Medium Term Flight Insured Value (in rands) of Insured Value (in rands) of land-based assets at 2004 financial year end Annual Catch Allocation (in Insured Value of Harbour Insured Value of Landharbour and sea-based assets at 2004 financial tons) to the applicant in 2004 Based Assets per ton allocated to applicant and Sea-Based Assets per ton allocated to applicant year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Insured Value (in rands) of land-based assets at 2004 financial year end Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Insured Value of Land-Based Assets per ton allocated to applicant Annual Catch Allocation (in harbour and sea-based assets at 2004 financial tons) to the applicant in 2004 year end Fishery in which Applicant Holds a Medium Term Flight insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value of Land-Based Assets per ton allocated to applicant Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Annual Catch Allocation (in Insured Value (in rands) of land-based assets at 2004 financial year end tons) to the applicant in 2004 year end Fishery in which Applicant Holds a Medium Term Flight Insured Value of Harbour and Sea-Based Assets per unit of effort allocated to the applicant Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value of Land-Number of units of effort Insured Value (in rands) of Based Assets per unit of effort affocated to the fand-based assets at 2004 financial year end allocated to the applicant in 2004 vear end applicant 9.1.5 A detailed explanation of all investment made in marketing activities must be included as an 9.1.6 A detailed explanation d all investment made in processing activities must be included as an annexure.

9.1.7 Has the applicant invested in on-board or land based processing facilities?

		Section 1	C
Loca	l Economic	Developmen	1

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
		<u> </u>	
1			
Total			

10.2 At which harbours and its catches?	Harbour / Land	ing Sile Names

10.3 Has the applicant made any	investment in physical infrastructure at these
harbours or landing sites in o	order to improve or make landing catches at
these harbours possible?	

)	Yes	0	N
•	1.63	\sim	



					Section 1
		\ \	alue /	Add & Enterpris	se Developmen
	nt directly involved in ing process in any	O Yes C) No		Ø
	cant been responsible rise development?	O Yes C	No No		C
					Section 1
	a15564-40 day was a 48 8 7 8 8 7 10				Performanc
			1		Financia
certified Annu	pplicant's 2004 audited, v ual Turnover? following table in relatior		manos:		
Year	Return on Net Assets (RONA)	Debt: Equity F		Current Ratio	Quick Ratio (Acid Test)
2001					
2002					
2003					
2004					
3 Providethe fo	ollowing information in rel	lation to the app	olicant's	income statements:	
Year	Turnover	Profit After 7	ах	Retained Earnings	Dividends Paid / Distribution of Profits
2001					
2002					
2003		=			
2004			7		
2.4 Provide the fo	ollowing information in re	lationto the ap	plicant's	balance sheet:	
Year	Assets	Long-Term Lia	bilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001	•				
2002					
2003					
2004					

					Sec	tion 12
		The state of the s	angental and a second	-		rmance
				[Fi	nancial
12.5 Provide the following	information in relation to the	applicant's cash f	flow:			
Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Ou Investing Activ			Inflow / Out noing Activit	
2001						
2002						
2003						
2004						
12.6 If the applicant is a ne applicant intends to fi	ewly established entity, plea nance it's entry into this fish	se provide a detail ery as Schedule 1	ed stateme 26.	ent of hov	v the	Q
					Sec	tion 13
					Fishir	ng Plan
13.1 Does the applicant ha	ave a fishing plan?		O Yes	O No	•	Q
13.2 Will the applicant be p	personally responsible for in	nplementingits	O Yes	○ No		Q
13.3 Will a third party be re applicant's fishing pla	esponsible for implementing n?	the	O Yes	O No		CX
13.4 If yes, who is the third	I party?					
	By-Catch and	Environment	tally Su:	staina		tion 14 ectices
14.1 Does the applicant ha	ave a by-catch managemer	ntstrategy?		O Yes	O No	Q
	tend to put in place any mea nsumption on vessels, and			O Yes	O No	Q
14.3 Has the applicant under environmentally susta	dertaken or sponsored any ainable practices?	research in relatior	n to	O Yes	○ No	Q
14.4 Does the applicant in to sea beds?	tend to put in place any mea	asures to reduce da	amage	O Yes	O No	CX

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Section 15
Fishing Levies

$15.1 Please \ provide \ the \ following \ information \ in \ relation \ to \ levies \ paid \ since \ 2001:$

Year	Species	Total Catch in Toris	Levies Pald
2001			
2001			
2001		-	
2001			3
2001			
2002		0)	
2002			
2002			
2002			
2002			
2003	ii .		
2003	2		
2003			
2003			
2003			
2004			
2004			
2004	= 4447		
2004			
2004			100

15.2 What was the date and amount of	/ /		
the applicant's most recent levy	Date	Amount	
payment?	yyyy/mm/dd		

Section 16

A i d i h th at the th t **Applicant Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and m this Application is true and correct and complete. (c) I accept that if any information in **this** Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may **lead** to the Application **being** refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, licenseor permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who (d) in order to allow for the proper verification of information submitted. I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application; (9 the applicant accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signed at: _____day of ______ 2005 This_ Signature of Authorised Representative: ———— Representative's Full Name: -The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation Physical Address I, the undersigned, do hereby make oath and declare that: **Holding Company Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to discuss or make the information available to the Minster, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form will be application form. in this application form, will result in the application being refused. Signature of Authorised Representative of Holding Company (if applicable) Signed at: _____ _____ day of _____ 2005 Signature of Authorised Representative: _____ Representative's Full Name: _ The authorised representative declares that he/she knows and understands the contents and implications of the above declaration: Commissioner of Oaths **Full Name** Designation Physical Address

Section 16

I birick t			
(a) Ihave all eil is	att 11 tt:		Sister Company Declaration
(c) I accept that if any info		true or complete, or if false in	nformation is provided, or material Information is not
license or permit granted	don the strength of this Application	 in terms of Section 28 of the I 	sion, cancellation, alteration or reductionof any right, Marine Living Resources Act 18 of 1998:
possesses or acquires	any information relevant to my ap	plication, to disclose or make	any institution, organ of state, person or body. who the informationavallable to the Minister, his delegate
(e)		rty name) undertakes to co-op	perate with any investigators by timeously submitting
at such meetings and w	here necessary, by granting investigations and appropriate the second straight of the secon	stigators access to premises,	n investigators,by answering questions satisfactorily vessels and documents. The applicant accepts that oplication:
(f)	(3rd n	arty name) accepts that any	attempt to influence the decision of the delegated
in this application form, w	ill result in the application being ref	used.	nner other than provided for in the General Policy or
	orised Representative	of Sister Company	(if applicable)
10.00			
This	day of	2005	1M
Signature of Auth	orised Representative	ə: 	to the second se
	Full Name:		
The authorised represe		e knows and understands	s the contents and implications of the above
declaration. Commissioner of Oaths			
Full Name			
Designation			
Physical Address			
	by make oath and declare that:		JV Partner Declaration
 Refundancia de la compressa de la	ons set out on pages 2 and 3 of thi ed with and in this Application is true	Children and the control of the cont	
			nformation is provided, or material Information is not asion, cancellation, alteration or reduction of any right,
license or permit granted (d) in order to allow for the	d on the strength of this Application e proper verification of information	i, in terms of Section 28 of the l	Marine Living Resources Act 18 of 1998: any institution, organ of state, person or body, who
possesses or acquires or an official of the Depa	any information relevant to the ap rtment of EnvironmentalAffairs and	plication, to discbse or make d Tourismor the Rights Verifica	the information available to the Minister, his delegate ation Unit;
(e) responses to written rec	(3rd pa quests for information or explanate	rty name) undertakes to co-op ons, by attending meetings with	perate with any investigators by timeously submitting in investigators, by answering questions satisfactorily
at such meetings and w	(3rd pa quests for information or explanation here necessary, by granting inves iis regardwill constitute an indeper	stigators access to premises,	perate with any investigators by timeously submitting h investigators, by answering questions satisfactorily vessels and documents. The applicant accepts that oplication:
at such meetings and w failure to co-operate in th (f) authority or appellant aut	here necessary, by granting investis regard will constitute an indeper (3rd p thority on the allocation of a right of	stigators access to premises, ndent ground for refusingan ap party name) accepts that any or quantum or effort, in any ma	vessels and documents. The applicant accepts that oplication:
at such meetings and w failure to co-operate in th (f) authority or appellant aut in this application form, w	there necessary, by granting investives regard will constitute an indeper (3rd pathority on the allocation of a right vill result in the application being ref	stigators access to premises, ndent ground for refusingan ap party name) accepts that any or quantum or effort, in any ma fused.	vessels and documents. The applicant accepts that oplication: attempt to influence the decision of the delegated anner other than provided for in the General Policyor
at such meetings and w failure to co-operate in th (f) authority or appellant autin this application form, w Signature of Authority or Authority o	there necessary, by granting investicis regard will constitute an indeper (3rd pathority on the allocation of a right will result in the application being reformsed Representative	stigators access to premises, ndent ground for refusing an ap- party name) accepts that any or quantum or effort, in any ma- fused. Proof JV Partner (If ap-	vessels and documents. The applicant accepts that oplication: attempt to influence the decision of the delegated anner other than provided for in the General Policyor
at such meetings and w failure to co-operate in the authority or appellant au in this application form, w Signature of Authority of Signed at:	there necessary, by granting investing regard will constitute an indeper (3rd pathority on the allocation of a right of a right of a right or the application being reformsed Representative	stigators access to premises, ndent ground for refusing an apparty name) accepts that any or quantum or effort, in any mainsed.	vessels and documents. The applicant accepts that oplication: attempt to influence the decision of the delegated anner other than provided for in the General Policyor
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SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if ± intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure ■B.
- Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If 'Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 30.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C:
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) © 6.3.1: If the applicant Section 6.3.1 in respect of the board of 1" of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the till in Section 6.3.1 for the it and the other entities separately, and submit these as unnexu 6A.
- s) Section 6.3.2: If the applicant **completed** Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant complete the solution in Section 6.3. for the applicant dtl other: dsubmit these as unner 6.8.
- c) 3: 6.4.1: If the like completed "tior 6.4. it respect of the completed soft any other entities as per the Explanatory Notes, the applicant must supplicant and the other entities separately, and submit these as Annexure the complete supplicant and the other entities separately, and submit these as Annexure the complete supplicant and the other entities separately, and submit these as Annexure the complete supplicant and the other entities separately.
- d) Section 6.4.2: If pension, including the sas the applicant must provide of contributions made to medical aid and of the classes of beneficiaries, the employer's contributions and the nature of 6D.
- e) Section 6.4.3: If Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated **as** Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section **6.7.5**: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well **as** whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61**.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5**: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure **6M**.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 60.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R.**
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section **6.21**: If "Yes" provide a copy of the Affirmative Procurement Policy **as** Annexure **6V**.
- w) Section **6.22:** Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- x) Section **6.23**: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure **6X**.

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Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must **be** provided **as** Annexure 7H.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 86.

Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure

Schedule 10 - Local Economic Development

 a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 116.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance its entry into this listery as almost in 12.6:

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted (inshore or offshore fishing activities or both);
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 136. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regardas Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 146.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

FOR OFFICIAL USE ONLY: DO NOT TEAR OFF						
		建筑线	RECEIPT			
Received by:						
Date of Receipt:	14 July 2005 or	15 July 2005				
Time of Receipt:	h					
Application Number:						
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Disclaimer: The receipti	ingof this application does the application or the	s <i>not</i> imply an ackno correctness of is s co	wledgement of the completeness of ontent.			

		RECEIPT - FOR APPLICANT TO RETAIN
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Disclaimer: The receip	oting of this application does n the applicationor the co	not imply an acknowledgement of the completeness of orrectness of its content.

APPLICA	TION NUMBER:
	ENVIRONMENTAL AFFAIRS AND TOURISM H: MARINE AND COASTAL MANAGEMENT
Pa	tagonian Toothfish
Long Te	rm Rights Allocation Process: 2005 Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	
Registered Name of Applicant Company Registration Number or	
Company Registration Number	
Company Registration Number or Close Corporation Registration Number	
Company Registration Number or Close Corporation Registration	

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- 1) This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' arid Auditors' Board website. www.paab.co.za.or.www.saica.co.ra).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as **wel**l as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at clustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021)670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the from of an annexure;
- -the applicant's auditors need to verify a response; and
- -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not Submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic infomation. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

IMPORTANT INFORMATION

INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the Same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENTOF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address Will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company, sister company(les) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, ifapplicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application from.

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The applicant must supply a profile fishing operations, as Schedule 1/2	of its organisation in A.	an		Applicant I	
1.1 Registered Name of Applicant					
1.2 Trading Name of Applicant:					
1.3 Registration Number:				$\neg \alpha$	_
1.4 IncomeTax Number:					
1.5 Vat Number:					٥
1.6 Skills Development Levy Number	:[and the second
1.7 Have the applicant's details (1.8.1 applicant registered?1.8 If "Yes", complete only those details	-		Yes ON	la	
1.8.1 Principal Place of N Business:	lumber and Street:				
	Suburb{				
	Town / City:	Postal Code:			
		i Ostai Oode.	<u> </u>		
1.8.2 Postal Address: Number and	d Street / PO Box:				
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1.8.3 Telephone Number:		_			
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1.8.5 Fax Number:	<u> </u>				
1.8.6 Authorised Contact Person First Name:	<u> </u>				
1.8.7 Authorised Contact Person Surname:				_	
c) Cellular Number:	0]	

		S100-1897-0			Form o	Section 2
	cant hold a medium term any other sectors?	oyer	O No	C	TOMIO	паррисан
2.2 If "Yes", compl	ete the following:					
Fishery in which the	Applicant holds a Medium-Term F	light	1200	11 Application	Form Number	
23 Is the applicant African owned?	more than 50% South	O Yes	Ono	٥		
2.4 Does the applic	cant have a valid tax icate?	O Yes	O ₂ No	٥	Q	
2.5 Complete the fo	ollowing table in relation to ass	et value a	nd turnover:			٥
Year	The same of the same	Turnover		Gross Ass	et Veikie (eser)kell	ng fixed property)
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				1		
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	100					Section 3
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51 of 19	into a plea bargain under the 177, for a contravention of the t conditions during the medium	MLRA, or	the regulation		es O No	♦
	admission of guilt fine for a coations, or the permit condition od?				res O No	4 🗸

THE			Se	ection 3
			Com	pliance
3.1.4 been charged with an offence under the regulations or permit conditions during the right period?		O _{Yes} (No 4	V CV
3.2 Has a fishing vessel, motor vehicle, premises of of the applicant, or any of its shareholders, mer been detained, arrested or seized under the MI preserved, confiscated or forfeited under the Organised Crime Act 121 of 1998 during the reperiod?	mbers or directors LRA α restrained, ne Prevention of	○ Yes (O no	♥ ♥
3.3 Was the applicant's right or permit suspended, rereduced or altered under section 28 of the medium term right period?	evoked, cancelled, MLRA during the	O Yes	No 🬗	
			Se	ction 4
			Vessel	Details
4.1 How many vessels does the applicant nominate for use in this fishery?				
4.2 Fill in the relevant details in the tables below regard	ling each vessel nomin	ated:		C
Ves				
Vessel name			_	
MCM Area Number SAMSA Number				
Number of crew according to SAMSA Safety Certificate				
Owner of Vessel as indicated by South African Certificate of Registry	10			
Nature of Access to Vessel				
Vessel fitted with a functioning VMS System (Y/N)				
Manufacture of VMS System				
Vessel Langth in metres according to SAMSA Salety Certificate			25-25-3	
Vessel Horsepower as per SAMSA. Salety Certificate				
X	100 mm			
Vess	ol 2			
Vessel name				
MCM Area Number	A			
SAMSA Number				
Number of crew according to SAMSA Safety Certificate				10
Owner of Vessel as indicated by South African Certificate of Registry				77.27.94
Nature of Access to Vessel	4			
Vessel fitted with a functioning VMS System (Y/N)				
Manufacture of VMS System				
Vessel Length in metres according to SAMSA Safety Certificate	2			
Vessel Horsepower as per SAMSA Safety Certificate				

Section 4 Vessel Details



	Vessol 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessal 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

				DECEMBER SOON		Salari Salari Pali ya ma	ction
5.1 Didthe applic		" vr-catch in any of	hor fishory b	······	of the C	atch Util	isation
than 10% duri	ng the medium term riq	hts period?			O Yes	○ No	4
5.2 Complete the medium term	following in relation to rights in other sectors	the applicant's c (excluding secto	atch records ors where eff	if the app ort and no	licant hold t catch is a	s allocated \(\)	X 🔎
Fishery in Which A	Applicant Holds a Medium Tern	n Right					i
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch Allocation (in no	of Applicants ominal tons)	Percentage caug		Percentage ove	ar-deught
2002 Sesson						<u> </u>	0
2003 Season							
2004 Season		N N					
Fishery in Which A	opplicant Holds a Medium Term	Right					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch Allocation (in no		Percentage caug		Percentage ove	ir-caught
2002 Season							
2003 Season			/I				
2004 Season							
Fishery in Which A	pplicant Holds a Medium Term	Right					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch Allocation (in no		Percentage caugi		ercentage over	r-caught
2002 Season							
2003 Season							
2004 Season							
Fishery in Which A	pplicant Holds a Medium Term	Right					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch Allocation (in nor		Percentage caugh	under- Pe	ercentage over	-caught
2002 Season							
2003 Season			0				
2004 Season							
							tion 6
						ransforn	
6.1 Was the appli	icant a designated emp		lanagem		Emplo	yment E	quity
	t Equity Act, 55 of 1998				O Yes	O No	
6.2.1 If "Yes", has of 1998?	s the applicant complied	d with the Emplo	yment Equity	Act, 55	() Yes	O NO	,0
6.2.2 If "No", has Equity Act,	the applicant voluntarily 55 of 1998?	complied with t	he Employm	ent	O Yes	O No	

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	company) or members	u u				
	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Stack Gr (Y/N) (ender M/F)	ID Number
1						
2			70			
3					,	
4						
5						
6						
7	- F					
8	=	9				
9						
10						
11						5 24 . 195
12						
13						
14	0:					
15						

Section 6 **Transformation** Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
1							
2							
3							
4							
5							
6	Ю		5				
,							
3							
9					-50 05712		
0							
1							1
2							i i
3			N				
4							
5	32 -32 32 33						
1							
1							

Section 6

Transformation



Management and Employment Equity

	[]*		Annual Calors	T	Salarusa a		
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21						,	A MINISTRA
22							
23							
24							
25							
26							
27							
28							
29							
30			4				
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							
41							
42							
43							
44							
45							
46							
47							
48							
49							
50							
51							
52							
53							
54							
55							

Section 6





Management and Employment Equity

U	,		-						
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number		
56									
57							5		
58			7), 6						
59									
60									
61									
62									
63						Northwest Co.			
64		41				4			
65									
66									
67									
68									
69									
70					La constant constant				
71		100							
72									
73									
74									
75									
76									
77									
78									
79									
80									
81									
82									
83									
84									
85									
36									
87	1832500								
88									
39					3	313			
90									

		WES			Tran	sformation
			Mana	aomont an		ent Equity
	Complete the following table mployees at 28 February 20					Q 10
	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Fernale Employees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td></r2>					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500					
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
6	Between R20 001 and R25 000					
7	Between R25 001 and R30 000					
8	Between R30 001 and R40 000					
9	Between R40 001 and R60 000					
10	>R60 000					
	Total		100%		100%	
	loes the applicant contribute apployees?	e towards me	dical aid and pen	sion for its	O Yes O	No 🗸
or	IIDIOAGES:			employees?		~/

Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.	- 3
Total	
Occupational Categories Gender A No. A % C No. C % No. 1 % W No. W % ACI %	F

										To	tal
Occupational Categories	Gender	A No	A %	C No	C%	i No	1%	W No	W%	ACI%	F%
Top & Senior Management /	Maie					3%					
Senior Officials and Managers	Female	Male Female Male Male Male Male									
Professionally Qualified and experienced specialists and mid-management / Professionals	Male										
	Female										
Skilled Technical and academically qualified workers, supervisors, foremen, and superhitendents /	Male										
Technicians and Associate Professionals	Female										
Sem-skilled and discretionary decision- making and unstilled and undefined decision- making / Clerks, Service & Sales Workers, Fishery Workers, Arissens & Related Trade	Male										11
Workers, Plans & Machine Operators & Assemblors, Bernentery Occupations	Female										
TOTAL	Male									763	
10172	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Section 6 Transformation Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

										То	tal -
Occupational Categories	Gender	A No	A%	GNo	C%	í No	1%	W No	W%	ACI%	F%
Top and Senior Management / Senior Officials and Managers (as per 2001 application form)	Male										
	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female				No C% TNo						
Top and Senior Management / Senior Officials and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female							and the	100 m		Coat. To-ou

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



					1	_				То	itel
Occupational Categories	Gender	A No	Α%	C No	С%	l No	1%	W No	W %	ACI%	F%
Professionally qualified and experienced specialists and mid-management / Professionals 2001 (as per 2001 application form)	Male										
	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female									ACI%) }
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female										

		O)wnership -	Section Transformation Companies Or
5.7Is the applicant a company	?	_	○ Ye	s O No
5.7.1If "Yes", complete the tab	ole below in respect	of shareholding held	d by black perso	ns:
Year Percent	age Shereholding Held y Black Persons	Percentage Voting Rights I- by Black Persons	teld Percent	age of Dividends Black olders are Emilled To
2001 (as in 2001 application	y Diagon Persons	Dy DREAK PERSONS	GES.	000,000
(orm)				
2005 (at date of application)				
6.7.2 Complete the following to through principle:	able in relation to sh	areholding held by wo	omen based on	the flow
Year	age Shareholding Held by Women	Percentage Voting Rights H	leid Percentage	of Dividends Women are Entitled To
		<u> </u>		
DODE to be what of something the self-				
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the percentage.	entageshareholding	g held by or for	O Yes	3 O No 🗸
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under 5.7.5Complete the following ta	entageshareholdin er question 6.3.2, th	g held by or for rough the scheme?	7	3 O No (X)
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following ta	entageshareholdin er question 6.3.2, th	g held by or for rough the scheme? onies paid to shareho	olders:	onds Paid to Shareholders
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following tax Year Score (financial year)	entage shareholding er question 6.3.2,th able in relation to mo	g held by or for rough the scheme? onies paid to shareho	olders:	
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following ta	entage shareholding er question 6.3.2,th able in relation to mo	g held by or for rough the scheme? onies paid to shareho	olders:	
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following tax Year Section 2003 (financial year)	entage shareholdinger question 6.3.2,th ble in relation to mo alaries Paid to Shareholde ged by another entity of a 2004 financial year	g held by or for rough the scheme? onies paid to shareho rs Loans Made to Sha y, or was the catching in any fishery perform	olders: Divid	iends Paid to Shareholders
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following tax Year Scomplete the following tax Year Scoot (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year)	entage shareholdinger question 6.3.2,th able in relation to modelaries Paid to Shareholde ged by another entity of a 2004 allocation e 2004 financial year	g held by or for rough the scheme? onies paid to shareho rs Loans Made to Sha y, or was the catching in any fishery perform	olders: pivid g, O Yes ned Rand Amoun	iends Paid to Shareholders
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following tax and the second se	entage shareholdinger question 6.3.2,th able in relation to modelaries Paid to Shareholde ged by another entity of a 2004 allocation e 2004 financial year	g held by or for rough the scheme? onies paid to shareho to shareho y, or was the catching in any fishery performar?	olders: pivid g, O Yes ned Rand Amoun	ends Paid to Shareholders O No
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following tax Year	entage shareholdinger question 6.3.2,th able in relation to modelaries Paid to Shareholde ged by another entity of a 2004 allocation e 2004 financial year	g held by or for rough the scheme? onies paid to shareho to shareho y, or was the catching in any fishery performar?	olders: pivid g, O Yes ned Rand Amoun	ends Paid to Shareholders O No
5.7.3Did the applicant have a employees? 5.7.4If "Yes", what is the perceemployees not listed under the following tax Year Section (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) 4.7.6Was the applicant managerocessing or marketing of by another entity during the function Management	entage shareholdinger question 6.3.2,th able in relation to modelaries Paid to Shareholde ged by another entity of a 2004 allocation e 2004 financial year	g held by or for rough the scheme? onies paid to shareho to shareho y, or was the catching in any fishery performar?	olders: pivid g, O Yes ned Rand Amoun	ends Paid to Shareholders O No

	Section (
	Transformation Ownership - Close Corporations Only
6.8 the applicant a close corporation?	○ Yes ○ No
6.8.1 If "Yes", complete the table below in respec	ct of members interest held by black persons;
Percentage Interest Held by	Percentage Voting Rights Held by Percentage Profit Distribution Black
DBGK FERSORS	Black Persons Persons are Entitled To
2001 (as in 2001 application form)	The state of the s
2005 (as at date of application)	
6.8.2 Complete the table below in relation to men	mbore interest hold by women:
Percentage Interest Held by	Percentage Voting Rights Held by Percentage Profit Distribution Women and
Year Women	Women Entitled To
2005 (as at date of application)	
	1
6.8.3 Did the applicant have a profit sharing schein 2004?	eme for employees in place C Yes No Vo
6.8.4f "Yes", what percentage of profit were em	
under question 6.3.2entitled to?	proyector not noted
6.8.5 Complete the following table in relation to m	
Year Salaries Paid to Member 2002 (financial year)	rs Loans Made to Members Profit Distributed to Members
2003 (financial year)	
2004 (financial year)	
	2
6.8.6Was the applicant managed by another enti- processingor marketing of a 2004 allocation	n in any fishery performed tes the
by another entity during the 2004 financial ye	ear?
6.8.7 If "Yes", complete the table below:	
Function Rand Am	nount Paid to Other Entity Rand Amount as a Percentage of the Applicants Turnover in 2004
Management	
Catching	
Processing	
	1

					Sec Transform	etion 6
in the Transfo in 2001?	cant met the target ormation Plan subm ne following table in 98:	itted O Did n	ot apply in any ${f s}$	/Partially ector	O Not at all	pment الا الار
Year	Did the applicant submit. Workplace Skills Plan which was approved (Y/- N)	Did-the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Paid to SARS in skills development levies	Percentage Sala Bit Spent on Trai		et Spent
2001 (financial year and)						
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year end)						
6.1 1 Did the appl term rights	icant participate in a period?	ı learnershipprog	ramme during the	medium (Yes () No	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	icate the number of in respect of whom ear end).					
	licant participate in a s through its SETA			Strategy?	Yes O No	Ø
6.14.1 How many applicant?	y skippers are used	by the				
6.14.2 What pervare black?	centage of these ski	ppers				
its annual ta for deduction	plicant make donation which a comment of the commen	qualify O	Co (es O No	orporate So	ocial Invest	ment
6.16 If "Yes", indi 2004 financi	cate what percentag al year these donatio	ge of net profit ear ons amount to:	rica ii i u ic	0 - 0.5 % 0 0.5 - 1%	J jedi	Ø
			C) > 1 %		

Section 6 Transformation Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5	2	
Year	Benefiting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		

6.18	Does the applica	ant make any	other d	onations	(in addition to	those which q	ualify for
	deduction in terr	ns of section	18A of	the Incon	ne Tax Act 58	of 1962 , but n	ot
	including school	lfees)?					

OYes Ono

6.19 If 'Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O 0 - 0.5 %
O 0.5 - 1 %



0 > 1%



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4	The state of the s	
2004 - 5		

K			Sec Transform ative Procure	
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes O No		Ø
6.22 Provide the following information in (operational expenditure) in 2004:	relation to the applicar	nt's top ten South Afri	can suppliers	Z_
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Supplier Rands in 2004	in Percentage of T Expenses	otal
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spen	d?	O Yes	O _{NO}	3
6.24 Has the applicant instituted a system measure its affirmative procuremen spend as apercentage of total procurement spend in future?		○ Yes	○ No	
	Vesse	I, Crew and Env		St.
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty us Shipping Act, 57 of 1951?			○ Yes ○ No	Ø
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty fo Occupational Safety Regulations of 19	r contravening the Ma		○ Yes ○ No	Q

		Sec	tion 7
Vessel, Crew and Er	vironm	ental!	Safety
	Con	traven	tions
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	○ Yes	○ No	Q
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	ONo	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	OYes	O No	Ø
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	○ Yes	○ No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	OYes	O No	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	○ No	J east
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O _{No}	Q
	V	essel S	Safety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	OYes	O No	Q
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
En	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No	C
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	○ No	Q

Section 8 Job Creation

8.1 Complete the following tables in relation to job creation.

8.1.1 Table 1

Year	Employees in respect of which the applicant prepares IRPS Certificates (Land Based)	Employees in respect of which the applicant prepares IRPS Certificates (Sea Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Land Based)	Employees in respect of which the applicant does not prepare (RP5 Certificates (Sea Based)	Total Number of Employees (Columns 1-4)	Fotal Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application)							
2002 (financial year end)			3	-WiiiVi			
2003 (financial year end)				981, 2011 B			
2004 (financial year end)							
2005 (28 February 2005)		1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		5 - 4 E			

8.1.2 Table 2



Applicants Annual Catch Allocation (in tons)in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries wages per ton allocated
---	--	---

Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries wages per ton allocated

Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries, wages per ion allocated

Fishery in which Applicant Holds a Medius	n Term Right	
Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated

Fishery in which Applicant Holds a Medius	m Term Right	
Number of Units of Effort Allocated to the Applicant in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per unit of effort allocated

				Section
				Job Creation
8.1.3 Table 3				, 0
Fishery in which Applica	nt Holds a Medium Term F	light		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ten allocated	Total Employees less Sessonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
			1	<u> </u>
Fishery in which Applica	nt Holds a Medium Term F	light		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How marty people (less seasonal employees) does the applicant employ per ton allocated
		<u> </u>		l
Fishery in which Applica	nt Holds a Medium Term F	ight .		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
	nt Holds a Medium Term F	Light		I o
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
	l			
Fishery in which Applicar	nt Holds a Medium Term R	ight		
Number of units of effort allocated to the Applicant in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per unit of effort allocated?	Total Employees less Seasonal Employees (In relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per unit of effort allocated?
i]			

					Section Section
3.1.4 Table 4 Applicant's five highest arrays	al salarise (fotal cost to compar 2004 and 28 February 2005	ny) peid Average of to	office saturing form	i was the mulitple between and the average of the	or the average of the five five highest galaries at 28 2005?
CHINOST F MAICE	ANN and 20 February 2005	a to so dispersion		Fetruary	20657
Applicant's five lowest annual	aniaries (total codi to company 004 and 28 February 2008	y paid. Average of lower			
between 1 March 2	004 and 28 February 2005		THE BUILDING		
					job.
					Transaction (1951)
					Section 9
					Investment
1 Complete the follo	owing tables:				

9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

							S	ection
							Inv	estmei
9.1.2 Table 2								,0
	t Value of Total ed Assets	Percentage in Rand Valu	Increase or Decree e of Total Fixed Ass		tel Insured Value and Based Fixed		Total Insure Harbour and Fixed A	Sea Based
2002 (financial year end)							-11-11/ 533	
2003 (financial year end)								
2004 (financial year exc)								
9.1.3 Table 3		19						_
Fishery in which Applica	unt Holds a Medium	n Term Righ	ı				area.sn o-s	
Annual Catch Allocation (Intons) to the applicant in 2004	Book Value (in harbour and s assets at 2004 year er	sa-based financial	Book Value (in rai land-based assets financial year e	at 2004	Book Value of His Sea-Based Asse allocated to ap	es mer ton	Book Value of Assets per ton applic	allocated to
Fishery in which Applica	nt Holds a Medium	Term Right						
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in harbour and se assets at 2004 year sn	e-based financial	Book Value (in rar land-based assets financial year e	at 2004 5	Sook Value of Ha Sea-Based Assa allocated to ap	ts per ton	Book Value of l Assets per ton applic	aflocated to
Fishery in which Applica	nt Holds a Medium	Term Flight						
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in harbour and se assets at 2004 year an	a-based financial	Book Value (in ran land-based assets a financial year e	at 2004 S	look Value of Ha Sea-Based Asset allocated to ap	s per ton	Book Value of L Assets per ton applica	ellocated to
Fishery in which Applican	nt Holds a Madium	Term Right			+33 VV. 103			
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in r harbour and se assets at 2004 year and	e-based financial	Book Value (in ran- and-based assets a financial year er	t 2004 S	ook Value of Har es-Based Asset allocated to ap	s per ton 📝	Book Value of L Assets per too applice	allocated to
70			N					
Fishery in which Applican	t Holds a Medium	Term Right		4				
Number of units of effort allocated to the Applicant in 2004	Book Value (in reharbour and see assets at 2004 I year and	i-based k	Book Value (in rand and-based assets a financial year en	2004 St	ook Value of Har sa-Based Assets of effort allocat applicant	per unit	look Value of Li Assets per uni allocated to a	t of effort
	- Village - 18-				10-1-1179			

Section 9 Investment 9.1.4 Table 4 Fishery in which Applicant Holds a Medium Term Right Insured Value of Land-Based Assets per ton allocated to applicant Insured Value (In rands) of Insured Value (in rands) of land-based assets at 2004 financial year end Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Annual Catch Allocation (in harbour and sea-based assets at 2004 financial tons) to the applicant in 2004 year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value (in rands) of land-based assets at 2004 Insured Value of Harbour Insured Value of Land-Annual Catch Allocation (in Besed Assets per ton allocated to applicant and Sea-Based Assets per ton allocated to applicant tons) to the applicant in 2004 financial year end year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Insured Value of Land-Based Assets per ton allocated to applicant Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Insured Value (in rands) of Annual Catch Allocation (in harbour and sea-based assets at 2004 financial land-based assets at 2004 financial year end tons) to the applicant in 2004 year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value (in rands) of land-based assets at 2004 Insured Value of Harbour and Sea-Based Assets per Insured Value of Land-Annual Catch Allocation (in Based Assets per ton tons) to the applicant in 2004 allocated to applicant financial year end ton allocated to applicant year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value of Harbour Insured Value of Land-Number of units of effort allocated to the applicant in Insured Value (in rands) of land-based assets at 2004 Based Assets per unit of offort allocated to the and Sea-Based Assets pe unit of effort allocated to financial year end applicant the applicant year end

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.



9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.

O Yes O No

9.1.7 Has the applicant invested in on-board or land based processing facilities?

Section 10 Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites use

Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
		l	I
		+	
***		_	
	Carrie and		
Total			

10.2 At which harbours	and
landing sites will	5
applicant land its c	atches?

Harbour / Land	ling Site Names
	1

10.3 Has the applicant made any investment in physical infrastructureat these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



			Value	Add & Enterp	Section rise Developme
	nt directly involved in ing process in any	○ Yes	O No		Q
2 Has the applic for any enterpr	eant been responsible rise development?	O Yes	O No		Section Performan
certified Annu	oplicant's 2004 audited, v al Turnover? following table in relation		rformance:		Finan
Year	Return on Net Assets (RONA)	Debt: Eq	ulty Ratio	Current Ratio	Quick Ratio (Acid Tes
2001					
2002			111.111.11		
2003					
2004					
3 Provide the fol	l llowing information in rel	ation to the	applicant's	income statements	:
Year	Turnover	Profit Al	ter Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001					
2002		A541			
2003					
2004					
	loveiro erindo masodio a imenal	ation to the	applicant's	balance sheet:	
4 Provide the fol	iowing information in rek		арріюанто		
4 Provide the fol	Assets	Long-Term		Current Liabilities	
Year					
Year 2001					Shareholder's Equity Member's Contribution

			3 <u>200 (100 (100 (100 (100 (100 (100 (100 (</u>		Perfor	ilion 12 mance nancial
	information in relation to the	e applicant's cash		Net Cash I	nflow / Out	low from
Year	Operations	Investing Activ			ncing Activit	
2001						
2002						
2003					38222322	
2004			,			
12.6 If the applicant is a ne applicant intends to fin	ewly established entity, plead nance it's entry into this fish			ent of how	the	Q
					Sec	tion 13
	. 23.22.27.000.002.2000.002.2000.002				Fishir	ig Plan
13.1 Does the applicant ha	ve a fishing plan?		○ Yes	S O No		Q
13.2 Will the applicant be p fishing plan?	ersonally responsible for in	nplementing its	○ Yes	s O No		CX
13.3 Will a third party be re applicant's fishing plan		the	○ Yes	O No		Q
13.4 If yes, who is the third	party?					
• •	· · · ·					
					Sec	tion 14
	By-Catch and	Environmen	tally Su	stainal	ole Pra	ictices
14.1 Does the applicant ha	ve a by-catch managemen	t strategy?		○ Yes	○ No	Ø
14.2 Does the applicant int and fuel reduction cor	endto put in place any mea sumption on vessels, and i			OYes	ONo	Q
14.3 Has the applicant und		research in relatio	nto	O Yes	O No	C

Section 15
Fishing Levies

15.1Please provide the following information in relation to levies paid since 2001:



Year	Species	Total Calch in Tons	Levies Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			(4)
2003			
2004			i i
2004			
2004			
2004			
2004			

15.2 What was the date and amount of		×	7
the applicant's most recent levy payment?	Date vvvv/mm/dd	Amount	

Section 16

I, the undersigned do hereby make cath and declare that: **Applicant Declaration** (a) I have read the instructions set on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancelation, alterationor reduction of any right, license or permit granted on the strength of this Application, in terms of Section 20 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise arm institution organ of state, person or body who

 (e) the applicant undertake explanations, by attendin granting investigators ac constitute an independen (f) the applicant accepts that quantum or effort, in any refused. 	tment of Environmes to co-operate of generate of the co-operate of	nental Affairs and with any investigators, bus, vessels and application	1 Tourism or th igators by time y answering qu I documents. T n;	le R i i Veri lously submit pestrions satis The applicant	fication Unit; thing respons sfactorily at accepts that	ses to wr such me at failure	ilable to the itten requetings and to co-ope	
Signed at:								986647 38664 000 41 200 998600 200 200 200
This	—— day of			2005				
Signature of Autho	orised Repr	esentative	: ——		=			
Representative's F	-							
The authorised represen					ds the con	tents ar	d Implic	ations of the above
declaration. Commissioner of Oaths				Market Committee				
Full Name					yan Manasa Palawa			
Designation		***************************************	***************************************		MACON AND DESCRIPTION OF THE PARTY OF THE PA			
Physical Address								
I, the undersigned, do hereby (a) I have read the instructior (b) the information submitted (c) I accept that if any information dechased, this may lead licenseor permit granted (d) in order to allow for the possesses or acquires a or an official of the Depart Tesponses to written requires.	Is set out on page with and in this A mation in this A mation in this Application on the strength of proper verification by information relement of Environm usests for information as	pplication is true pplication is not pplication is not peing refused this Application of information evant to the app ental Affairs and (3rd pal on or explanation	e and correct at true or comple , or to the revo , in terms of Se submitted I he olication, to disa I Tourism or the ty name) unde ons, by attendin	ind complete. Ind co	information ension, cance the Marine Live se any institute the inform fication Unit; operate with with investiga	is provide ellation, a ng Resou tion, orga ationaval any inve tors, by a	ed, or ma teration o urces Act n of state lable to th estigators	r reduction of any right, 18 of 1998; , person or body, who e Minister, his delegate by timeously submitting questions satisfactorily
at such meetings and wh failure to co-operate in the authority or appellant auth in this application form. will of / utho	nority on the alloca I result in the applic	(3rd pa	arty name) acc	cepts that an	ny attempt to manner other	influence than pro	e the dec videdfor in	ision of the delegated
at such meetings and wh failure to co-operate in this (f) authority or appellant auth in this application form. will	nority on the alloca I result in the applicant! 1 R	(3rd pation of a right	arty name) acc or quantum or e used.	cepts that areffort, in any r	nv attempt to	influence than pro	e the dec	ision of the delegated
at such meetings and wh failure to co-operate in the authority or appellant auth in this application form. will of //utho	nority on the alloca I result in the applik	(3rd partion of a right of cation being refu	arty name) accor quantum or eused.	cepts that areffort, in any r	ny attempt to manner other	influence than pro	e the dec videdfor in	ision of the delegated
at such meetings and wh failure to co-operate in the authority or appellant auth in this application form, will of Autho Signed *** This	ority on the allocal result in the application of the application of the application of the application of the allocal result in the application of the allocal result in the application of the allocal result in the application of the allocal result in the allocal	(3rd pa ation of a right c cation being refu t ti	arty name) accor quantum or eused.	cepts that areffort, in any r	ny attempt to manner other	influence than pro	e the dec videdfor in	ision of the delegated
at such meetings and where failure to co-operate in the failure to co-operate in the authority or appellant authority or appellant authority or appellant authority or appellant authority or further signed of further than a signed of further than	ority on the allocal result in the application of the application of the application of the application of the allocal result in the application of the allocal result in the application of the allocal result in the application of the allocal result in the allocal	(3rd pa ation of a right c cation being refu t ti	arty name) accor quantum or eused.	cepts that areffort, in any r	ny attempt to manner other	influence than pro	e the dec videdfor in	ision of the delegated

Full Name
Designation
Physical Address

Section 16 1 l unde and the at and the are that: Sister Company Declaration (a) **Iha** (b) **t** 11 instructions set out on pages 2 and 3 of this application xm; mitted with I in this A cati is true and correct and (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license are permit granted as the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 Of 1998;

(d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit, _ (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or m this application form, will result in the application being refused. Signature of Authorised Representative of Sister Company (if applicable) Signed at: — _____day of ______ 2005 Signature of Authorised Representative: ———— Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation Physical Address I, the undersigned, do hereby make oath and declare that: JV Partner Declaration (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if fake information is provided, or material Information is not discbsed, this may lead to the Application being refused. or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;

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SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed **as** Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If 'Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If 'Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this **as** Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these **as** Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these **as** Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefit to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section **6.7.5**: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest <u>#</u> juristic person), as Annexure **61**.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- I) Section 6.8.3: If 'Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entii, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R**.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section **7.10**: If "Yes," provide a copy of the policy as Annexure **7H**. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure **7H**.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section **8.1.4**: If the applicant completed Questions **8.1.4** with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question **8.1.4** for both the applicant and the other entities separately, and submit these as Annexure **8B**.

Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

"-- __ Schedule 10 - Local Economic Development

 a) Section 10.3: If 'Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.1: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery as Annexure 12B.

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) demonstration of knowledge of the fishery;
 - b) research undertaken regarding this fishery;
 - c) days to be spent fishing per vessel nominated;
 - d) areas to be targeted;
 - e) species to be targeted and mix of species;
 - f) expected size of species to be targeted;
 - g) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity
 - Whether the nominated vessel(s) is ice strengthened and
 - Whether the nominated vessel(s) has ever been listed on a fishery organisation's negative list.
- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 13B. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.

FOR OFFICIAL USE ONLY: DO NOT TEAR OFF	
是ACELS, ETERS 发现的原则的现在分词。	RECEIPT
Received by:	
Date of Receipt: 14 July 2005 or 15 July 2005	
Time of Receipt:h	
Application Number:	
Departmental Stamp:	
Disclaimer: The receipting of this application does not imply an acknowledgement of the comthe application or the correctness of is content.	pleteness of

		RECEIPT - FOR APPLICANT TO RETAIN
Received by:		
Date of Receipt:	14 July 2005 or	15 July 2005
Time of Receipt:	h	а В
Application Number:		
DepartmentalStamp		1
Disclaimer: The receip		s not imply an acknowledgement of the completeness of correctness of its content.

	TION NUMBER:
VCZ 7540 1 0940	NVIRONMENTAL AFFAIRS AND TOURISM I: MARINE AND COASTAL MANAGEMENT
South (Coast Rock Lobster
Long Ter	m Rights Allocation Process: 2005
	Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	
Company Registration Number or	
or Close Corporation Registration	
Or Close Corporation Registration Number	

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- This application form must be read together with these Instructions, the Explanatory Nons, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at clustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
 - there is any documentation or information required in response to a question in the form of an annexure;
 - the applicant's auditors need to verify a response; and
 - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered With the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newty established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant Annexures to the application form do not need to be scanned and saved on to the CDs. The Departmentmay request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, ifapplicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

IMPORTANT INFORMATION INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

YPROPER LODGEMENT

14) Improperlylodged applications will be refused.

An application is improperly lodged if:

- it is received late:
- the applicant makes no payment, or short payment, or late payment of the application fee;
- -the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the **applicant's** authorised representative, and, if applicable, the authorised representative(s) of the **holding** company. sister **company(ies)** and the ioint venture **partner(s)**.
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

						Section 1
The applicant must supply a profile of its fishing operations, as Schedule 1A.	organisation	including	gan organ	ogram and		nt Details ionof its
1.1 Registered Name of Applicant						178, 200
1.2 Trading Name of Applicant:						
1.3 Registration Number:					\Box	مر ک
1.4 Income Tax Number:						0
1.5 Vat Number: 1.6 Skills DevelopmentLevy Number:						0,0
1.7 Have the applicant's details (1.8.1 - 1.8 applicant registered?	.7d) changed	I since the	0	Yes O	No	
1.8 If "Yes", complete only those details that	at have chang	ged:				
1.8.1 Principal Place of Number	er and Street {					
Business:	Suburb:					
	Town / City					
		Pos	tal code:			
1.8.2 Postal Address: Number and Stre	et / PO Box					
	Suburb:					
	Town / City					
		Pos	tal Code:			
,	Area Code:					
1.8.3 Telephone Number:						
1.8.4 Additional Telephone Number:						
1.8.5 Fax Number:						
1.8.6 Authorised Contact Person First Name:						
1.8.7 Authorised Contact Person Surname:						
a) Identity Number:						
b) Position Held/ Relationshipto Applicant						
c) Cellular Number:	0					
d) E-mail Address:						

4

				Form of	Section 2 Applicant
2.1 Does the applicant hold a medium term fishing right in any other sectors?	○ Yes	○ No	Q		
2.2 If "Yes", complete the following:					
Fishery in which the Applicant holds a Medium-Term	Right		2001 Application	Form Number	
23 Is the applicant more than 50% South African owned?	O Yes	O No	<u>_</u>		
2.4 Does the applicant have a valid tax clearance certificate?	O Yes	O No	1 "	Q	
25 Complete the following table in relation to as	sset value a	nd turnove	r:		
Year	Turriover		Grass Ass	et Value (excludi	ng fixed property)
2004 (Financial Year End)		9	ě		
26 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	○ Yes	○ No	Q		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	○ Yes	○ No	Q		
					Section 3
3.1 Has the applicant, or any of its me directors:	mbers, sha	reholders	or	C	ompliance
3.1.1 been convicted of a contravention regulations, or permit conditions di period?			e O m right	Yes O No	$\Phi \emptyset$
3.1.2 entered into a plea bargain under 51 of 1977, for a contravention of the or permit conditions during the media.	ne MLRA, oi	the regula		Yes O No	◆ ♥
3.1.3 paid an admission of guilt fine for a the regulations, or the permit condition right period?				Yes O No	

引发的 <u>。</u> 因为自己的自己的			Section
			Complianc
3.1.4 been charged with an offence under the regulations or permit conditions during the right period?	MLRA, or the medium term	O _{Yes} C	No 🧆 🖔
3.2 Has a fishing vessel, motor vehicle, premises or a of the applicant, or any of its shareholders, membeen detained, arrested or seized under the MLR preserved, confiscated or forfeited under the Organised Crime Act 121 of 1998 during the meperiod?	pers or directors A or restrained, Prevention of	O Yes	No 🧆 💢
3.3 Was the applicant's right or permit suspended, reversed reduced or altered under section 28 of the MI medium term right period?	oked, cancelled, LRA during the	O Yes O	No 🐠 🗸
			Section
			lessel Detail
4.1 How many vessels does the applicant nominate for use in this fishery?			(X
4.2 Fill in the relevant details in the tables below regardin		nated:	
Vessel name			
MCM Area Number			
SAMSA Number			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel fitted with a functioning VMS System (Y/N)			
Manufacture of VMS System			
Vessel Length in metres according to SAMSA Salety Certificate			
Vessel Horsepower as per SAMSA Safety Certificate	Early a same		
X			
Vassel	2		
Vessel name			
MCM Area Number			
SAMSA Number			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel fitted with a functioning VMS System (Y/N)			2.22 2.24 557 01.
Manulacture of VMS System			
Vessel Length in metres according to SAMSA Safety Certificate			
Vessel Horsepower as per SAMSA Safety Certificate			

Section 4 Vessel Details



120	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

- 72	* 1
-	X
1	
•	-

	Vossel 4
Vessel name	
MGM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel litted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Salety Certificate	

			100		Sec	tion 5
				C	atch Utili:	sation
than 10% dur	cant over-catch or unde ing the medium term rig e following in relation to t rights in other sectors	hts period? he applicant's catch	n records if the a	applicant hold	O No sallocated	
	Applicant Holds a Medium Term					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of A Allocation (in nomina	oplicants Percentions)	itaga undar- aught	Percentage over-	caught
2002 Seeson						
2003 Season						
2004 Season						
Fishery in Which /	Applicant Holds a Medium Term	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of A Allocation (in nominal	oplicants Percentons) c	tage under- aught	ercentage over-	caught
2002 Season						
2003 Season						
2004 Season						
Fishery in Which /	Applicant Holds a Medium Term	Alght				
Year	Annual Catch Allocation(in metric tons)	Total Actual Calch of Ap Allocation (in nominal		tage under- aught	ercentage over-	eaught
2002 Season						
2003 Season						
2004 Season					- Constant	
Fishery in Which A	Applicant Holds a Medium Term	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Ap Allocation (in naminal		age under- jught	ercentage over-o	aught
2002 Season						
2003 Season						
2004 Season						
					0	
					ransform	ion 6
						64564
6.1 Was the app	licant a designated emp		nagement a ection 1 of the		_	quity
Employmer	nt Equity Act, 55 of 1998	as at 28 February 2	005?	O Yes	O No	J imil
6.2.1 If "Yes", ha of 1998?	as the applicant complied	d with the Employme	ent Equity Act, 5	5 O Yes	○ No	Service Comme
	s the applicant voluntarily , 55 of 1998?	y complied with the l	Employment	O Yes	Оио	

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





		- (
	Director / Momber Name (Initia & Sumane)	Years of Service	Total Annual Persus and States Cost to Company)	- Entert (V/Hy)	Genoer (14/F)	ID Number
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						

Section 6 Transformation

Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Setween 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Selary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Gost to Company)	Black (Y/N)	Setary as a Percentage of Total Salaries in this Table	Gender (M/F)	LD Number
1			•				
2							
3					5111		
4							
5							
6							
7							
8							
9							
10							
11							
12					, L		
13							
14							
15				-			
16							
17				14100-21			
18							
19	4						

Section 6

Transformation



Management and Employment Equity

U,	J.						
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salartes in this Table	Gender (M/F)	ID Number
20						10.00	
21							
22							
23							
24	y ı — — — — — — — — — — — — — — — — — — —						
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35				anne.			
36							
37							
38							
39							
40							
41							
42							
43							
44							
45						10001	
46							
47							
48							
49					3		
50							
51							
52							
53							
54						10	
55		- W - KI		4 1 1			

Section 6 Transformation Management and Employment Equity

	Employee Name	Organianilonal Title	Annaliai Petery (Total Coat to Conspicty)	Rich (VN)	Deservation Porcerespe Stitland Search in 2161 (1930)	Guster (MF)	DiNumber
56							
57							
58				- 1			
59							
60							
61							
62							
63	+1						
64						772. 44	
65							
66							
67							
68							S. SUNT. NO.
69							
70		Should be seen					
71							
72							
73							
74							
75							
76							
77							
78							
79							16
80							
81							
82							
83							
84							
85							
86							
87							
88							
89							
90							
$\overline{}$							

Section 6

Transformation

Management and Employment Equity

6.4.1 Complete the following table in relation to income levels of the applicant's permanent employees at 28 February 2005:

~	gen.	
(1	-	6

	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Female Employees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td></r2>					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500		3 453			
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000				72	
6	Between R20 001 and R25 000					
7	Between R25 001 and R30 000					
8	Between R30 001 and R40 000					
9	Between R40 001 and R60 000					
10	>R60 000					
	Total		100%		100%	

6.4.2 Does the	applicant of	contribute	towards	medical	aid a	nd pension	for its
employee	s?						

$\overline{}$	Yes	\sim	
1	VAC	0	No
\smile	100	\sim	140

6.4.3 Does the applicant provide any other type of benefits for its employees?

-		_	
()	Voe	O No	

6.5 Complete the following table in relation to the applicant's employment equity profile as provided to the Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.

	1000				1000		1000	30000		To	tai
Occupational Categories	Gender	A No	Α%	C No.	С%	l No	1%	W No	W %	ACI%	F%
Top & Senior Management /	Male						-				
Senior Officials and Managers	Female										
	Male						W				
mid-menagement /	Female										
Skilled Technical and ecademically qualified workers, supervisors, foremen, and superintencents /	Maie			l direction							
Technicianis and Associate Professionals	Female										
Semi-added and decreationary decision- rating and unwided and underland decision- mility / Clarks, Service & Seles Workers,	Male										
Sehery Workers, Artistens & Retitled Trace Wirekers, Plant & Machine Operators & Assemblers, Hernardery Occupations	Female										100
TOTAL	Male										
IOIAL .	Female	TANKA WEE									

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Section 6

Transformation

Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers only.**



										То	Total	
Occupational Categories	Gender	ÁNo	A%	C No	C%	INσ	1%	₩ No	W %	ACI %	F%	
Top and Senior Management / Senior Officials and	Male					1+						
Managers (as per 2001 application form)	Female				THE THE PARTY OF T							
op and Senior Management / Senior Officials and	Male										12	
Managers 2002 (if not designated as at financial year end 2002)	Female			el el					·			
Top and Senior Management Senior Officials and Managers (as per 2001 application form) Top and Senior Management Senior Officials and Managers 2002 (if not designated as at financial	Male		1	0								
	Female						2					

 $6.6.2\ \ Complete the \ tables\ below\ in\ relation to\ employment\ equity\ figures\ for\ \textbf{Professionals}\ only.$



								-	,	To	otal
Occupational Categories	Gender	A No	A%	GNo	0%	(No	1%	W No	W %	ACI%	F%
Professionally qualified and experienced specialists and	Mala										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male					=	-				
Professionals 2002 (if not designated as at financial year end 2002)	Female		W 927								
Professionally qualified and experienced specialists and mid-management /	Male					_== 5400			17 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
Professionals 2004 (if not designated as at financial year end 2004)	Female					2003349-3					

						Sect	ion 6
					Tı	ransform	ation
			::::::::::::::::::::::::::::::::::::::	Own	ership - Co	mpanies	Only
6.7 Is the applicant a co	ompany?				O Yes C) No	
6.7.1 If "Yes", complete	the table be	low in respec	ct of shareholdin	g held by b	lack persons:	eX.	
Year		areholding Held	Percentage Voting	Rights Held		Dividends Black	
2001 (as in 2001 application	Dy Black	Persons	by Black Peo	SONS	Smarenbloers	are Entitled To	
form)							
2005 (at date of application)							
6.7.2 Complete the follo	owing table ir	n relation to sl	hareholding held	d by womer	n based on the f	low	ja Janet
Year	Percentage Sh	areholding Held	Percentage Voting	Rights Held	Pergentage of Div	vidends Women :	are
1681	by W	omen	by Wome	n l	Enti	tled To	
2005 (at date of application)			**				
employees not list 6.7.5 Complete the follo	•		J		S:	⋖.	٥
Year	Salaries	Paid to Sharehold	iers Loens Ma	de to Sharehol	ders Dividends	Paid to Shareholi	ders
2002 (financial year)							
2003 (financial year)					_		_
2004 (financial year)							
6.7.6 Was the applicant processing or mar by another entity d	keting of a 2	004 allocatior	n in any fishery p	atching, performed	O Yes (○ No	
6.7.7 If "Yes", complete	the table be	low:				W -	٦
Function			Paid to Other Entity of 04 Financial Year	luring the	Rand Amount as Applicant's Total	a Percentage of t Turnover in 200	
Management	Santa San						
Catching							
Processing							
Marketing				_			
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		U					

			Section 6
			Transformation
		Ownership -	Close Corporations Only
6.8 Is the applicant a close corporate	ion?	○ Yes ○ N	0
6.8.1 If "Yes". complete the table be	low in respect of	members interest held	d bv black persons:
Year Percentage Black	Interest Held by Per Persons	centage Voting Rights Held b Black Persons	Percentage Profit Distribution Black Persons are Entitled To
2001 (as in 2001 application form)	54)		
2005 (as at date of application)		96.99500.00.00.99	
6.8.2 Complete the table below in re	lation to members	s interest held by wom	en:
	inlerest Held by Per omen.	centage Voting Rights Held b Women	Percentage Profit Distribution Women are Entitled To
2005 (as at date of application)	* v. //*	ia E	
6.8.3 Did the applicant have a profit in 2004?	-		Yes ONo O
6.8.4 If "Yes", what percentage of puunder question 6.3.2 entitled to		es not listed	5
6.8.5 Complete the following table in	relation to monie	s paid to members:	Ø,S
	s Fald to Members	Loans Made to Membe	Profit Distributed to Members
2002 (financial year)	*****		
2003 (financial year) 2004 (financial year)			
6.8.6 Was the applicant managed by processing or marketing of a 200 by another entity during the 200	004 allocation in a		○ Yes ○ No
6.8.7 If "Yes", complete the table be	OW:		٥
Function	Rand Amount F	raid to Other Entity	Pland Amount as a Percentage of the Applicant's Turnover in 2004
Management			2
Catching			3
Processing	8	0.4	
Marketing	8 9 8	200	

					Se Transforr Skills Develo	
6.9 Has the application the Transform in 2001?6.10 Complete the Act 9 of 1998.	nation Plan subm following table in	itted O Did n	ot apply in ar	•	O Not at all	
Year	of the applicant submit. Workplace Skills Plan hich was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Pe SARS in skill development les	S Percentage	Salaries Training Bud on Black En	get Spent
2001 (financial year end) 2002 (financial year end)						
2003 (financial year enti)						
6.11 Didthe applica	ant participate in s	lagragehingrag	rammoduring	the medium	0.000	
term rights pe 6.12 If"Yes, Indica	riod? ate the number of respect of whom	learnerships as a	percentage of	the	O yes O no	j ⁱⁿ
6.13. Did the applic programmes t	ant participate in a hrough is SETA			ort Strategy?	O Yes O NO	Q
6.14.1 How many s applicant?	kippers are used	by the				
6.14.2 What perce are black?	ntage of these ski	ppers				
for deduction in	cant make donational ble income which a terms of section x Act 58 of 1962	qualify 18A of	res () No	Corporate	Social Inves	tment
6.16 If "Yes", indica 2004 financial	te what percentag year these donation		ned in the	O 0 - 0.5 % O 0.5 - 1 % O > 1 %	\omega	Ø

Section 6
Transformation
Corporate Social Investment

6.17 indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation Rand Value of Amount Gonated
2001 - 1	
2001 - 2	
2001 - 3	
2001 - 4	
2001 - 5	
Year	Benefiting Organisation Rand Value of Amount Donated
2002 - 1	
2002 - 2	
2002 - 3	
2002 - 4	
2002 - 5	
Year	Benefitting Organisation Pand Value of Amount Donesard
2003 - 1	
2003 - 2	
2003 - 3	
2003 - 4	
2003 - 5	
Year	Benefitting Organisation Rand Value of Amount Donated
2004 - 1	
2004 - 2	
2004 - 3	
2004 - 4	
2004 - 5	

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
	including school fees)?

O Yes O NO

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O 0 - 0.5 %



O 0.5 • 1% O > 1%



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rend Value of Amount Donated
2004- 1		
2004 - 2		
2004 - 3		
2004 - 4	H 200 76	
2004 - 5		II Was a second at the

		Af		Sec ransform Procure	
6.21 Does the applicant have a written affirmative procurement policy?	01				Q
6.22 Provide the following information in relation to th (operational expenditure) in 2004 :	ne applicant'	's top ten Sou	th African si	uppliers ¹	CS
(operational expenditure) in 2004 :					
	je of Black of Supplier	Amount Paid to S Rands in 2		Percentage of Expenses	
23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend?		C	Yes On	NO (✓
6.24 Has the applicant instituted a system to measure its affirmative procurement spend as a percentage of total procurement spend in future?		C	Yes OI	No	
				Sec	tion 7
	Vessel,	Crew and		nmental : Contraver	managa Mataya
7.1 Has the applicant, during the medium term rights forfeited a deposit by way of penalty under section Shipping Act, 57 of 1951?	period, been 324 of the	en convicted, o Merchant	nr	es O No	Ø
7.2 Has the applicant, during the medium term rights forfeited a deposit by way of penalty for contravenional Safety Regulations of 1994?			r 🔾 Ye	es O No	Q

[10] 10] [10] [10] [10] [10] [10] [10] [Sec	tion 7
Vessel, Crew and Er			ent de la martin de la constitución de la constituc
	Cor	ntraven	tions
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	O Yes	O No	C
Crew Safety & V	Vorking	g Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	C
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	O Yes	○ No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	O Yes	O No	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensationfor Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O No	٥
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	Q
	V	essel S	afety
7.11 Have the nominatedvessel(s) been issued with a valid Local General Safety Certificate?	O Yes	O No	Q
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
En	vironm	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	O No	Ø
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	○ Yes	O No	Ø

8.1 Complete the following tables in relation to job creation.

8.1.1 Table 1

Year	Employees in respect of which the applicant prepares IRPS Certificates (Land Based)	Employees in respect of which the applicant prepares IRPS Certificates (Sea Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Land Based)	Employees in respect of which the applicant dose not proper [RP5] Certificates (See Bassed)	Total Number of Employees (Columns 1+4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application)				7			
2002 (financial year end)							
2003 (financial year end)				E4			
2004 (financial year end)							277.
2005 (28 February 2005)			=1				

8.1.2 Table 2

																																								ú	

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons)in this fishery in 2004

How much does the applicant spend on salaries/ wages per ton allocated

Fishery in which Applicant Holds a Medium Term Right

Applicants Annual Catch Allocation (in tons) in this fishery in 2004

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End

How much does the applicant spend on salaries/ wages per fon allocated

Fishery in which Applicant Holds a Medium Term Right

Applicants Annual Catch Allocation (in tons) in this fishery in 2004

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End

How much does the applicant spend on salaries/ wages per ton allocated

Fishery in which Applicant Holds a Medium Term Right

Applicants Annual Catch Allocation (in tons) in this fishery in 2004

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End

How much does the applicant spend on salaries/ wages per ton allocated

Fishery in which Applicant Holds a Medium Term Right

Number of Units of Effort Allocated to the Applicant in 2004

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End

How much does the applicant spend on salaries/ wages per unit of effort allocated

				Section
3.1.3 Table 3				Job Creatio
.1.3 Table 3				
Fishery in which Applicar	nt Holds a Medium Term F	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per fon allocated
Fishery in which Applicar	nt Holds a Medium Term F	Right		
Applicant's Annual Catch Alocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people dose the applicant employ per ton allocated	Total Employees less Seasonal Employees (in reliation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
		1		
	t Holds a Medium Term R		Total Employees less	How many people (less
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (26 February 2005)	How many people does the applicant employ per ton allocated	Seasonal Employees (in relation to this fishery) (28 February 2005)	seasonal employees) does the applicant employ per ton allocated
H. C.				
	t Holds a Medium Term F		Total Employees less	How many people (less
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Seasonal Employees (in relation to this fishery) (28 February 2005)	seasonal employees) does the applicant employ per ton allocated
l				
Fishery in which Applican	t Holds a Medium Term R	ight		
Number of units of effort located to the Applicant in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per unit of effort allocated?	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per unit of effort allocated?

	Section 8
	Job Creation
8.1.4 Table 4	(X)
Applicant's five highest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five saluries What was the multiple between the average of the five highest saluries at 28 February 2005?

Applicant's five highest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five splares	What was the multiple between the average of the five lowest and the average of the five highest selaries at 21 February 2005?
Applicant's five towest arrural salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of lowest five saluries	State of the state
	*	
	e =	

		Section 9
 м	 	Investmen

9.1 Complete the following tables:

9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)		and the second		
2003 (financial year end)				
2004 (financial year end)				

		arani sura se vice s	" _				ection estme
9.1.2 Table 2							
	Value of Total ad Assets	Percentage In Rand Value	ncrease or Decre of Total Fixed As	ase Total in	sured Value of Tota Based Fixed Assets	Total Insure Harbour and Fload A	See Based
2002 (financial year end)	=						
2003 (financial year end)	8				1 9 30 0		
2004 (financial year end)							
9.1.3 Table 3							- Carrier 1
Fishery in which Applica	nt Holds a Mediun	Term Right					
Annual Catch Allocation (in tone) to the applicant in 2004	Book Value (in harbour and se assets at 2004 year an	ta-based te	Book Value (in ra and-based assets financial year	at 2004 Sea-	Value of Harbour ar Based Assets per to ocated to applicant		allocated to
Fishery in which Applica							
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in harbour and se assets at 2004 year and	a-based la financial	Book Value (in rai rkl-based assets financial year e	at 2004 Sea-	Value of Harbour an Based Assets per to ocated to applicant	Book Value of L Assets per ton applica	allocated to
		1					
Fishery in which Applican							
Annual Catch Allocation (in. tons) to the applicant in 2004	Book Value (in r harbour and ser assets at 2004 year end	s-based lar financial	look Value (in ran nd-based assets financial year a	at 2004 Sea-E	Value of Harbour and lesed Assets per ton called to applicant	Book Value of La Assets per lon a applica	flocated to
Fishery in which Applican	Holds a Medium Book Value (In n						
Annual Catch Allocation (in tons) to the applicant in 2004	harbour and sea assets at 2004 t year and	i-based lan	cok Value (in ran ki-based assats a financial year er	t 2004 Sea-B	Alive of Harbour and assed Assets per time called to applicant	Book Value of La Assets per ton a applicar	located to
Fishery in which Applicant							
Number of units of effort allocated to the Applicant in 2004	Book Value (in re harbour and see assets at 2004 fi year end	-based lan	bok Value (in rand d-based assets a financial year en	2004 Sea-Ba	alue of Harbour and sed Assets per unit. fort allocated to applicant	Book Value of Lar Assets per unit allocated to ap	of effort
i.		1					

O Yes ONo

.1.4 Table 4						
Fishery in which Applica	nt Holds a Medium Term Ru					
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year and	Insured Value land-based at financial	sales at 2004 Bi	neured Value of Hi of See-Bened Ass on allocated to ap		red Value of La ed Assets per ceted to applica
	6		22			
Flehery Inwhich Applica	nt Holds a Medium Term Ri	ght	AND THE PARTY OF T			
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) o harbour and sea-based essets at 2004 financial year end		enets of 2004 is	neured Vehilo of H nd Son-Resed Are on allocated to ap	ats per Bas	red Value of Le sed Assets per scated to applic
30						
Flahery in which Applica	nt Holds a Medium Term Bl	ght				7,4167,4167,4167
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbotir and sea-based assets at 2004 financial year and	Incured Value land-based a financial)	esets at 2004 au	nsured Value of Hi od See-Based Ass on allocated to ap	ets nor Ras	red Value of La ed Assels per cated to applica
Fishery in which Applica	nt Holds a Medium Term Fli	ght				
Annual Catch Allocation (in tors) to the applicant in 2004	Insured Value (in rands) or harbour and sea-based assets at 2004 financial year and	NO SECTION AND ADDRESS OF THE PARTY OF THE P	ssets al 2004 el	nsured Value of H nd See Based Ass on ellocated to ap	ens per Bas	ared Value of La aed Assets per ocated to applic
Fishery in which Applica	nt Holds a Medium Term Fli		***************************************			
Number of units of effort allocated to the applicant in 2004	Insured Value (in rands) to harbour and sea-based assets at 2004 financial year and	Insured Value land-based a financial	(in rands) of sects at 2004 weer and	natired Value of H nd Sea-Dissed As tent of effort alloca the applican	ated to effo	ared Value of La ed Assets per u ort allocated to applicant
					ļ	

9.1.7 Has the applicant invested in on-board or land based processing facilities?

-	 Local Economic Development
	Section 10

10.1 Comolete the following	a table in relation to harbours	and landing sites used:
-----------------------------	---------------------------------	-------------------------

Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2904	Percentage of Total Catch Landed in 2004
	Ï	<u> </u>	<u> </u>
9			
Total			

10.2 At which har	and
iding sites	will the
applicant lan	d its catches?

Hartxour/Lan	ding Sile Names

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

\sim		~	
\cup	Yes	\cup	Ν



					Section 11
	<u> </u>		Value	Add & Enterp	rise Developmen
11.1 Is the applicant any value addin other fishery?	directly involved in g process in any	C Yes	○ No		Ø
11.2 Has the applica for any enterpris	nt been responsible se development?	CYes	⊂ No		Q
					Section 12
					Performance Financial
12.1 What is the app	licant's 2004 audited, v	varified or			
certified Annual	Turnover?		1	V 700.0200	
12.2 Complete the fo	llowing table in relation	nto ratio pei	rformance		
Year	Return on Net Assets (RONA)	Debt: Eq	uity Ratio	Current Retio	Guick Pletto (Acid Test)
2001					
2002					
2003	-				
2004				*	
12.3 Provide the follow	wing information in rela	ation to the	applicant's	income statements:	
Year	Turnover	Profit Aft	or Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001					
2002					
2003					
2004					
12.4 Provide the follow	wing information in rela	ation to the a	applicant's	balance sheet:	
Your	Assets	Long-Term	Liebilties	Current Liabilities	Shareholder's Equity / Member's Contribution
2001	6				
2002				Carlotte (I Nike Co. Do Oth	
2003	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1		8	E
2004		1.0	× Jin	ATH A STATE OF	0.8

						ion 12
		-	Allender und generalen in		erfort Fin	nance ancial
12.5 Provide the following in	nformation in relation to the	applicant's cash flo	w:			anola
Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outile Investing Activitie		Net Cash in Financ	flow / Quitic	
2001						
2002						
2003						
2004				7/		
	wly established entity, pleas nance it's entry into this fish			ntof how	the	Q
					Sect	ion 13
					Fishin	g Plan
13.1 Does the applicant ha	ve a fishing plan?		O Yes	O No		CX.
13.2 Will the applicant be p fishing plan?	ersonally responsiblefor im	plementing its	O Yes	O No		Q
13.3 Will a third party be re applicant's fishing pla	sponsiblefor implementing n?	the	O Yes	O No		Q
13.4 If yes, who is the third	party?					
					Secti	on 14
	By-Catch and	Environmenta	illy Sus	tainab	-	
14.1 Does the applicant ha	ave a by-catch managemen	nt strategy?		O Yes	O No	Q
	tendto put in place any meansumption on vessels, and			O'Yes (O No	Ø
14.3 Has the applicant und environmentally susta	dertaken or sponsored any lainable practices?	research in relation t	io	O Yes	O No	Ø

		11, 15 JUNIE 2005	NO. 27683 6
			Section 15
			Fishing Levies
5.1Please p	rovide the following information in relatio	on to levies paid since 2001	,0
		1000	W. C. 240 (3.549)
Year	Species	Total Catch in Tons	Lovies Paid
2001		ji:	
2001			
2001			
2001	*		
2001			
2002		3/10/11/11	
2002		ar and a second	
2002			
2002			
2002			
2003	300 pr 3 100		
2003			
2003			
2003	- A		
2003	we are the same		
2904	*		
2004			
2004			

15.2	What was the date and amount of
	the applicant's most recent levy
	payment?

2004

/ /		
Date	Amsunt -	
yyyy/mm/dd		

Section 16

I the undersigned, do I I I I I I I I I Applicant Declaration (a) I have read the instructions set out on pages 2 and 3 of this form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation. suspension, cancellation. alteration or reduction of any right, license or permit granted on the strength of this Application. in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise arty institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit;

(e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusingan application; (9 the applicant accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signedat: --_____ day of ______ 2005 This — Signature of Authorised Representative: _____ Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation Physical Address I, the undersigned, do hereby make oath and declare that: **Holding Company Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is true and correct and complete.
 (c) I accept that if any information in this Application is not true or complete, or iffalse information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of thii Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
 (d) in order to allow for the proper Verification of information submitted I hereby authorise any institution, organ of state, person or body. who possesses or acquires any information relevant to the application, to disclose or make the informationavailable to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signature of Authorised Representative of Holding Company (if applicable) Signed at: This ______ day of 2005 Signature of Authorised Representative: _____ Representative's Full Name: . The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation Physical Address

Section 16

I, the undersigned, It I (a) II read the t	t it	thii application form: Sister Company Declara	tior
(b) I the t	with and in this _ , 🐠 is t	rue and correct and complete. ot true or complete, or if false information is provided, or material Information	
disclosed, this may lea	ad to the Application being refuse	ad, or to the revocation, suspension, cancellation, alteration or reduction of an on, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;	y right
(d) in order to allow for the	e proper verification of information	on submitted I hereby authorise any institution, organ of state, person or bod application, to disclose or make the information available to the Minister, his de	ly, who
or an official of the Depa	artment of EnvironmentalAffairs a	and Tourism or the Rights Verification Unit;	-
	quests for information or explana	arty name) undertakes to co-operate with any investigators by timeously subi ations, by attending meetings with investigators, by answering questions satisfa	actorily
		estigators access to premises, vessels and documents. The applicant accep endentground for refusing an application;	ts that
(f)	(3rd	party name) accepts that any attempt to influence the decision of the deleter or quantum or effort, in any manner other than provided for in the General Po	gated
	vill result in the application being re		ilicy Oi
Signature of Auth	orised Representativ	re of Sister Company (if applicable)	
Signed at:			
This	day of	2005	
	-		
Signature or Autr	orised Representativ	e:	_
Representative's			
The authorised represe declaration.	entative declares that he/s	he knows and understands the contents and implications of the	above
Commissioner of Oaths			
Full Name			
Designation			
Physical Address			
	by make oath and declare that:	JV Partner Declaration	1
	ons set out on pages 2 and 3 of the ed with and in this Application is tre	nis application form;	
		at true or complete, or if false information is provided, or material Information d, or to the revocation, suspension, cancellation, alteration or reduction of any	
license or permit grante	d on the strength of this Applicatio	n, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; n submitted I hereby authorise any institution, organ of state, person or body	
possesses or acquires	any information relevant to the a	pplication, to disclose or make the information available to the Minister, his del nd Tourism or the Rights Verification Unit;	
(e)		arty name) undertakes to co-operate with any investigators by timeously subn	nittina
responses to written red	quests for information or explana	tions, by attendial meetings with investigators, by answering questions satisfarestigators access to premises, vessels and documents. The applicant accept	ctorily
failure to co-operate in th	is regard will constitute an 'deper	ndent ground for refusing an application;	
(f) authority or appellant au	thority on the allocation of a right	party name) accepts that any attempt to influence the decision of the dele- or quantum or effort, in any manner other than provided for in the General Pol	gated icy or
	rill result in the application being re orised Representative	eof JV Partner (if applicable)	
Signed at:	•		
This		2005	
11115	uay oi	2005	
Signature of Auth	orised Representative	9: —————	-
Representative's	Full Name:		
The authorised represe	ntative declares that he/shi	e knows and understands the contents and implications of the ab	oove
declaration. Commissioner of Oaths		Andrew Control of the	
Full Name			\dashv
Designation			-
Physical Address			4

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is **involved** in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 26.
- c) Section **2.6:** If "Yes," provide a detailed statement describing percentage of shareholding, fhe name and the registration number of the company as Annexure **2C.**
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section **3.1.2:** If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and **identity** of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section **3.1.4:** If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure **3D.**
- e) Section **3.2:** If "Yes" provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure **3E.** Also provide details **if** a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section **3.3:** If 'Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section **28** procedure as Annexure **3F.**

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 46;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unabte to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.32: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 66.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy **as** Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5**: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well **as** whether the member is Black, as Annexure **6M**.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 6O.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, **as** well as any supporting documentation from the SETA, as Annexure **6R.**
- s) Section **6.14.1** and **6.14.2**: If the applicant completed Sections **6.14.1** and **6.14.2** with reference to the skippers of both the applicant and of any other entities **as** per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.14.1** and **6.14.2** for both the applicant and the other entities separately and submit these as Annexure **6S**.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22**: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 72: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 78.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- 9 Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If 'Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.11: If 'Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, is members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 88.

Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed **explanation** of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 1OA

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements **as** Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.1: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery **as** Annexure 128.

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan **as** Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear (length of lines, numbers of traps and winch carrying capacity)
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 136. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a **detailed** explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.

FC	OR OFFICIAL	USE O	NLY: DO NO	T TEAR OFF	
					RECEIPT
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Date of Receipt:	14 July 2005	or	15 July 2005		
Time of Receipt:	h				
Application Number		·			
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APPLICA	ATION NUMBER:		
Sma and	H: MARINE AND C	AFFAIRS AND TOUR OASTAL MANAGEM CS (Anch Purse-Sei	novy ne)
Long Te	erm Rights All	ocation Process Cl	s: 2005 uster A
	Applicati	on Form For: Nev	v Entrants
Registered Name of Applicant			
Company Registration Number or Close Corporation Registration Number			
Principal Place of Business			
Number of Vessels Nominated		e v	
The applicant is applying for ancl	hovy	0	
The applicant is applying for sard	line purse seine	0	
The applicant is applying for ancl	novy AND sardine purse se	ne O	
Number of tons of anchovy applicant is applying for:			
Number of tons of sardine applicant is applying for:			

. 11

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- This application form must be read together with these Instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, www.paab.co.za.or.www.salca.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at clustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the form of an annexure;
- -the applicant's auditors need to verify a response; and
- there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicantmust complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicanrs authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

IMPORTANT INFORMATION

INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and limes: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged it

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(les) and the ioint venture partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding ompany, sister company(les) and the joint venture partner(s) provides false information or documents, falls to disclose material information or attempts to Influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

			Section 1
The applicant must supply a profile of fishing operations, as Schedule 1A.		ncluding an organogra	Applicant Details mand a description of its
1.1 Registered Name of Applicant			
1.2 Trading Name of Applicant:			
1.3 Registration Number: 1.4 Income Tax Number:			
1.5 Vat Number:			
1.6 Skills Development Levy Number:			500
1.7 Have the applicant's details (1.8.1 - applicant registered?1.8 If "Yes", complete only those details	-	O fes	○ No
1.8.1 Principal Place of Nu Business:	mber and Street		
	Town / City:		
	- [Postal Code:	
1.8.2 Postal Address: Number and	Street / PO Box		
	Suburb:		
	Town / City:		
		Postal Code:	
4.0.2 Talambana Numban	Area Code:		
1.8.3 Telephone Number:		=	
1.8.4 Additional Telephone Number:		ᆿ	
1.8.5 Fax Number:			
1.8.6 Authorised Contact Person First Name:			
1.8.7 Authorised Contact Person Surname:			
a) Identity Number:			
b) Position Held/ Relationshipto Applic	ant		
c) Cellular Number:	()		
d) E-mail Address:			

				Form of	Section 2 Applicant
2.1 Does the applicant hold a medium term fishing right in any other sectors?	O Yes	○ No	Ø	, Oilli Oi	ppilodiit
2.2 If "Yes", complete the following:					
Fishery in which the Applicant holds a Medium Term	Right	200	1 Application F	orm Number	
2.3 Is the applicant more than 50% South African owned?	O Yes	ONo	ر		
2.4 Does the applicant have a valid tax clearance certificate?	○ Yes	O No	,0	Q	
2.5 Complete the following table in relation to as	sset value ar	nd turnover:			شكر
Your Tour Tariffe Said	Turgover		Gross Asset	Value (excludir	ng fixed property)
2004 (Financial Year End)					
			<u> </u>		
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	○ Yes	○ No			
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	○ Yes	O No	Q		
					Section 3
				Co	ompliance
3.1 Has the applicant, or any of its mer directors:	mbers,	e Or			
3.1.1 been convicted of a contravention regulations, or permit conditions du period?			right O Y	čes () No	$\bullet \varnothing$
3.1.2 entered into a plea bargain under t51 of 1977, for a contravention of thor permit conditions during the mediu	e MLRA, or	the regulation		es () No	♦ Ø
3.1.3 paid an admission of guilt fine for a the regulations, or the permit conditioning right period?				es O _{No}	◆ ♥

			Section Complianc
	an offence under the MLRA, or the nit conditions during the medium term	OYes Onc	
of the applicant, or any of been detained, arrested o preserved, confiscated of	its shareholders, members or directors or seized under the MLRA or restrained, or forfeited under the Prevention of 1 of 1998 during the medium term right	OYes ○ No	• 🗸
3.3 Was the applicant's right o	r permit suspended, revoked, cancelled, r section 28 of the MLRA during the	O Yes O No	♦ ♥
		V	Section sel Detail
4.1 How many vessels does the	e applicant	ves	sei Detail
nominate for use in this fisher	ery?		N
4.2 Fill in the relevant details in t	he tables below regarding each vessel nor	minated:	
	Vessel 1		
Vessel name			
MCM Area Number			
Number of crew according to SAMSA			
Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel fitted with a functioning VMS			
System (Y/N) Manufacture of VMS System			
Vessel Length in metres according to			
SAMSA Safety Certificate Vessel Horsepower as per SAMSA Safety Certificate			
C			
	Vessel 2		
Vessel name			
MCM Area Number			
SAMSA Number Number of crew according to SAMSA			
Safety Certificate			28
Owner of Vessel as indicated by South African Certificate of Registry	N	2	
Nature of Access to Vessel			
Vessel fitted with a functioning VMS System (Y/N)			
-1in finish			
Manufacture of VMS System			
Manufacture of VMS System Vessel Length in metres according to SAMSA Safety Certificate			

Section 4 Vessel Details



	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	·
Vessel Horsepower as per SAMSA Safety Certificate	



	-Vossel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Selety Certificate	

								Se	ction 8
							Ca	itch Util	isatior
		int over-catch or githe medium			ner fishery b	by more	O Yes	O No	•
		ollowing in rela							X ,o
		plicant Holds a Med	The second secon		- Where er	IOIT AND NO	Calcin is a	iocateo.	
	Year	Annual Catch Allo	cation(in To	tal Actual Catch Allocation (in no	of Applicable	Percenting	n rangele	erberlage ove	a'-daught
200	2 Seeson								
2000	3 Season								
200	4 Sesson								
Fishe	ery in Which Ap	plicant Holds a Med	lum Term Right						
	Year	Annual Catch Allo metric tons	cation(in Tol	tal Actual Catch Allocation (in no	of Applicants ninel (cns)	Percentag		ercantega ove	r-caught
200	2 Season								
2000	3 Season				7.				
2004	4 Season							183	
Fishe	ery in Which Ap	plicant Holds a Med	ium Term Right						
	Year	Annual Catch Allo metric tons	cetion(in Tot	al Actual Calch Mocation (in no	of Applicants ninel look)	Percentage caug		ercentage eve	r-caught
2008	2 Season			8					
2003	3 Season								
2004	Season								
Fishe	ry in Which Ap	plicant Holds a Med							
	Year	Annuel Catch Aller metric tons	ation(in Total	al Actual Catch Sociation (in non	of Applicants street tone)	Percentage caug	inder p.	rcentage over	caught
2002	2 Season								
2009	Season		2) t		1 3		
2004	Season								
							T.	ransforr	ction 6
an energy		***************************************	N		Janagon	aant an			
		cant a designat		as defined			Yes	yment t O №	-quity
Eı	mployment	Equity Act, 55	ot 1998 a.s a	at 28 Februa	ry 2005?		0		
	"Yes", has of 1998?	the applicant o	omplied with	n the Emplo	yment Equi	ty Act, 55	O Yes	O No	٥
6.2.2 If	"No", has t Equity Act, <i>t</i>	he applicant vo 55 of 1998?	oluntarily con	nplied with t	he Employr	ment	O Yes	O No	0

Section 6
Transformation
 Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	company) or members	- Up					
	Director / Member Name (initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black (Y/N)	Gender (M/F)	JD No	mber
1		•					
2							
3							
4							
5							
6							
7							7
8							
9							
10							
11		10					
12							
13							
14							
15							

Section 6 Transformation Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 185 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12					VI		
13							
14	ū						
15							
16	N						
17							
18							
19							

Section 6

Transformation



Management and Employment Equity

U	I.P		Dec-10111111111			110-1111	massantan managara sa managara sa masa sa
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Selary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21				†			
22	*	·		1			
23				$\dagger -$			1
24							
25				+			
26							
27	= = = = = = = = = = = = = = = = = = = =						
28							
29							
30							
31			2200				
32							
33	-						
34							
35							
36							
Ш							
38							
39							
40							
41						I	
42	5-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1						
43							
44							
45							
46							
47							
48							
49							
50							
51							11/7
53							
54							
55							

Section 6

Transformation



Management and Employment Equity

U				nug.		1100	yment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Settry or n. Percentage of Total Selections this Table	Gerafier (NAF)	10 Number
56							
57							
58							
59							
60							
61							
62							
63							
64							
65							
66							
67							
68							
69							
70							
71							
72							
73							
74							
75							
76							
77							
78							
79		J					
80							
81							
82							
83							
84							
85					-		
86							
87							
88							
89	1						
90							

1 2

3

4

5

6

7

8

9

10

Gross Monthly Income (Total Cost to Company)

<R2 500

Between R2 500 and R5 500

Between R5 501 and R10 500

Between R10 501 and R16 000

Between R16 001 and R20 000

Between R20 001 and R25 000

Between R25 001 and R30 000

Between R30 001 and R40 000

Between R40 001 and R60 000

>B60 000

	Section 6
ray annum alpmanum annum sanat latera annum	Transformation
	Management and Employment Equity

Percentage of Total Employees Number Empl

6.4.1 Complete the following table in relation to income levels of the applicant's permanent employees at **28** February 2005:

Number of Employees

icant's p	ermanent	QVO
of Black loyees	Percentage of Total Black Employees	Number of Fernial Employees
	2	
	<u> </u>	

Total	100%	100%		
6.4.2 Does the applicant contribute towar employees?	ds medical aid and pension for its	O Yes	O No	C
6.4.3Does the applicant provide any other	er type of benefits for its employees?	O Yes	O No	CX

6.5 Complete the following table in relation to the applicant's employment equity profile as provided to the Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.

		4.0	1000		4 2 2 4 3		al grant	al constant	Parish	To To	otel
Occupational Categories	Gender	A No	A%	C No	С%	l No	1%	W No	W %	ACL%	F%
Top & Senior Management /	Male										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Maie										
mid-management / Professionals	Female										
Skilled Technical and academically qualified workers, supervisors, foremen, and superintendents /	Male						100				
Technicians and Associate Professionals	Female										
Semi-skilled and discretionary decision- making and unskilled and undefined decision- making (Clerka, Service & Seiss Workers,	Male										
Fishery Workers, Aritime & Religid Trade Workers, Plant & Machine Operators & Assemblers, Elementary Occupations	Female										
TOTAL	Male										
TOTAL	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

•

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers** only.

										To	tal .
Occupational Categories	Gender	A No	A.%	GNo	C%	TNo	1%	W No	w*	ACI%	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male						J.				
Managers 2002 (if not destynated as all financial year end 2002)	Female										
Top and Senior Management / Senior Officials and Managers 2004 (if not	Male					11					
designated as at financial, year and 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for **Professionals** only.



										To	ital
Occupational Categories	Gender	A No	A %	G No	C%	ING	1%	W No	W %	ACI %	F%
Professionally qualified and apparienced specialists and	Male										
mid-menagement / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management.	Malé										N
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male							U	1		
Professionals 2004 (if not designated as at financial year and 2004)	Female										

		Own	Trans ership - Comp	Section 6 sformation anies Only
6.7 Is the applicant a company?			O Yes O No	
6.7.1 If "Yes", complete the table be	low in respect ${f d}$ sh	nareholding held by b	olack persons:	Q2
Year Percentage Shi by Black 2001 (as in 2001 sepalcation form) 2005 (at date of application)	Persons Persons	ntage Voting Rights Held by Black Persons	Percentage of Dividence Shareholders are E	
6.7.2Complete the following table in through principle:	n relation to shareh	olding held by womer	n based on the flow	
Year Percentage Sh by W 2005 (at data of application)	areholding Hold Perce	ntage Voting Rights Held by Worten	Percentage of Dividend Emitted To	
6.7.3 Didthe applicant have a share employees?	e scheme in place i	n 2004 for	○ Yes ○ No	QD
6.7.4I f Yes", what is the percentage employees not listed under que				
6.7.5 Complete the following table in	n relation to monies	paid to shareholders	S:	Q 10
Year Salaries 2002 (financial year) 2003 (financial year)	Paid to Stareholders	Loans Made to Sharehol	dere Dividends Paid t	o Shareholders
6.7.6 Was the applicant managed b processing or marketing of a 2 by another entity during the 200	004 allocation in an	was the catching, y fishery performed	○ Yes ○ No	
6.7.7If "Yes", complete the table be	low:		<	
Function Management	Rand Amount Paid to 2004 Fina	Other Entity during the nicel Year	Rand Amount as a Pero Applicant's Total Turno	entage of the over in 2004
Catching			-	
Processing				
Marketing				

		• • • • • • • • • • • • • • • • • • • •	Transformati
		Ownership	- Close Corporations O
6.8 Is the applicant a clo	ose corporation ?	○ Yes ○) No
6.8.1 If "Yes", complete	the table below in respect	of members interest h	neld by black persons:
Year	Percentage Interest Held by Black Persons	Percentage Voting Flights He Black Persons	Percentage Profit Distribution Blac Persons are Entitled To
2001 (as in 2001 application form)		10.3万数	The state of the s
2005 (as at date of application)			
6.8.2 Complete the table	below in relation to member	pers interest held by w	omen:
Year	Percentage Interest Held by Women		lid by Percentage Profit Distribution Women Critilled To
2005 (as at date of application)			
5.8.4 If "Yes", what perce under question 6.3.	entage of profit were empl 2 entitled to?	oyees not listed	
under question 6.3.	2 entitled to? ving table in relation to mo		
under question 6.3. 6.8.5 Complete the follow	2 entitled to?		
under question 6.3. 6.8.5 Complete the follow	2 entitled to? ving table in relation to mo	nies paid to members:	
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year)	2 entitled to? ving table in relation to mo	nies paid to members:	
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year) 2004 (financial year) 6.8.6 Was the applicant reprocessing or mark	2 entitled to? ving table in relation to mo	nies paid to members: Loans Made to Men Loans Made to Men , or was the catching nany fishery performe	Profit Distributed to Members
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year) 2004 (financial year) 6.8.6 Was the applicant r processingor mark by another entity dur	2 entitled to? ving table in relation to mo Salarles Paid to Members managed by another entity eting of a 2004 allocation iring the 2004 financial year	nies paid to members: Loans Made to Men Loans Made to Men , or was the catching nany fishery performe	Profit Distributed to Members
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year) 2004 (financial year) 8.6 Was the applicant r processing or mark by another entity dur	2 entitled to? ving table in relation to mo Salaries Paid to Members managed by another entity eting of a 2004 allocation iring the 2004 financial year table below:	nies paid to members: Loans Made to Men Loans Made to Men , or was the catching nany fishery performe	Profit Distributed to Members
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year) 2004 (financial year) 2004 (financial year) 8.6 Was the applicant r processing or mark by another entity dur. 8.7 If "Yes", complete the follows are processed in the processing or mark by another entity dur.	2 entitled to? ving table in relation to mo Salaries Paid to Members managed by another entity eting of a 2004 allocation iring the 2004 financial year table below:	nies paid to members: Loans Made to Men Loans Made to Men , or was the catching n any fishery performer?	Profit Distributed to Members ed Yes O No
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 6.8.6 Was the applicant r processingor mark by another entity dur. 6.8.7 If "Yes", complete the function	2 entitled to? ving table in relation to mo Salaries Paid to Members managed by another entity eting of a 2004 allocation iring the 2004 financial year table below:	nies paid to members: Loans Made to Men Loans Made to Men , or was the catching n any fishery performer?	Profit Distributed to Members ed Yes O No Rand Amount as a Percentage of the
under question 6.3. 6.8.5 Complete the follow Year 2002 (financial year) 2004 (financial year) 6.8.6 Was the applicant r processingor mark by another entity dur 6.8.7 If "Yes", complete the Function Management	2 entitled to? ving table in relation to mo Salaries Paid to Members managed by another entity eting of a 2004 allocation iring the 2004 financial year table below:	nies paid to members: Loans Made to Men Loans Made to Men , or was the catching n any fishery performer?	Profit Distributed to Members ed Yes O No Rand Amount as a Percentage of the

					S Transfo Skills Devel	
in the Transfo in 2001?	icant met the target ormation Plan subm ne following table in 98:	itted O Did r	ot apply in an	-	O Not at al	
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Pai SARS in skills development lev	Rati Shont on	Training B	ntage of udget Spent Employees
2001 (financial year end)						
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year and)						
6.1 1 Did the app term rights	licant participate in a	a learnershipproo	grammeduring	the medium	○ Yes ○ No	صر
6.12 If "Yes," ind	licate the number of in respect of whom					•
	olicant participate in a es through is SETA			ort Strategy?	OYes O NO	Q
6.14.1 How man applicant?	y skippers are used ?	lby the				
6.14.2 What per are black	centage of these ski	ippers				
its annual ta for deductio	oplicant make donation axable income which in terms of section Tax Act 58 of 1962	n qualify 0 n 18A of	Yes () No	Corporate	Social Inve	stment
	icate what percentac ial year these donati		rned in the	O 0 - 0.5 % O 0.5 - 1 % O > 1 %	P	Ø

Section 6
Transformation
Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		And the second of the second second
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		

6.18 E	Does the applicant make any o	other donations	(in addition to the	ose which qualify for
C	deduction in terms ${ m d}$ section 1	18A d the incor	ne Tax Act 58 of	1962, but not
i	including school fees)?			•

O Yes O NO

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to:

O *o* **-** 0.5 %

O 0.5 - 1%

0 > 1 %



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefitting Organisation	Rand Value of Amount Donated
2004- 1		
2004 - 2		220
2004 - 3		
2004 - 4		
2004 - 5		

		Affir		Section 6 nsformation rocurement
6.21 Does the applicant have a written affirmative procurement policy ?	0	Yes O No		Q
6.22 Provide the following information in re (operational expenditure) in 2004 :	elation to the applican	t's top ten South /	African suppli	iers 🖔
Name of Supplier	Percentage of Black Gwnerahip of Supplier	Amount Paid to Sup Rands in 2004	oller in Pero	centage of Total Expenses
§ 81		0		
		X		
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?	O Yes	O No	Ø
6.24 Has the applicant institued a system measure its affirmative procurement spend as a percentage of total procurement spend?	to t	○ Yes	○ No	
				Section 7
	Vesse	I, Crew and E		ental Safety traventions
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty ur Shipping Act, 57 of 1951?			O Yes	O No 🗸
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty for Occupational Safety Regulations of 19	r contravening the Ma		○ Yes	○No 🎸

		Sec	tion 7
Vessel, Crew and Er		nental : itraven	1,300
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	O Yes	O No	CX
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	○ Yes	O No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	○ Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	○ Yes	O No	Q
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O No	ر
7.10 Does the applicant have an HIV/AIDS policy?	○ Yès	O No	Q
	V	essel S	Safety
7.1 1 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	O Yes	O No	Q
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
<u>En</u>	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	O No	CX.
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	○ Yes	O No	Q

APPLICATION NU	JMBER:
Small Pe and Sard	MENTAL AFFAIRS AND TOURISM NE AND COASTAL MANAGEMENT elagics (Anchovy line Purse-Seine)
Long Term Kig	thts Allocation Process: 2005 Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	
Company Registration Number or Close Corporation Registration	
Number	
Principal Place of Business	
Number of Vessels Nominated	
The applicant is applying for anchovy	•
The applicant is applying for sardine purse sein	ne O
The applicant is applying for anchovy AND same	dine purse seine
Number of tons of anchovy applicant is applying for:	
Number of tons of sardine applicant is applying for:	

. 11

IMPORTANT INFORMATION

INSTRUCTIONS

GENERAL

- This application form must be read together with these Instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, www.paab.co.za.or.www.salca.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at clustera@deat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
 - the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the form of an annexure;
- -the applicant's auditors need to verify a response; and
- there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicantmust complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicanrs authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

IMPORTANT INFORMATION

INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and limes: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged it

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(les) and the ioint venture partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(les) and the joint venture partner(s) provides false information or documents, falls to disclose material information or attempts to Influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

			Section 1
The applicant must supply a profile of fishing operations, as Schedule 1A.		ncluding an organogra	Applicant Details mand a description of its
1.1 Registered Name of Applicant			
1.2 Trading Name of Applicant:			
1.3 Registration Number: 1.4 Income Tax Number:			
1.5 Vat Number:			
1.6 Skills Development Levy Number:			500
1.7 Have the applicant's details (1.8.1 - applicant registered?1.8 If "Yes", complete only those details	-	O fes	○ No
1.8.1 Principal Place of Nu Business:	mber and Street		
	Town / City:		
	- [Postal Code:	
1.8.2 Postal Address: Number and	Street / PO Box		
	Suburb:		
	Town / City:		
		Postal Code:	
4.0.2 Talambana Numban	Area Code:		
1.8.3 Telephone Number:		=	
1.8.4 Additional Telephone Number:		ᆿ	
1.8.5 Fax Number:			
1.8.6 Authorised Contact Person First Name:			
1.8.7 Authorised Contact Person Surname:			
a) Identity Number:			
b) Position Held/ Relationshipto Applic	ant		
c) Cellular Number:	()		
d) E-mail Address:			

				Form of	Section 2 Applicant
2.1 Does the applicant hold a medium term fishing right in any other sectors?	O Yes	○ No	Ø	, Oilli Oi	ppilodiit
2.2 If "Yes", complete the following:					
Fishery in which the Applicant holds a Medium Term	Right	200	1 Application F	orm Number	
2.3 Is the applicant more than 50% South African owned?	O Yes	ONo	ر		
2.4 Does the applicant have a valid tax clearance certificate?	○ Yes	O No	,0	Q	
2.5 Complete the following table in relation to as	sset value ar	nd turnover:			شكر
Your Tour Tariffe Said	Turgover		Gross Asset	Value (excludir	ng fixed property)
2004 (Financial Year End)					
			<u> </u>		
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	○ Yes	○ No			
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	○ Yes	O No	Q		
					Section 3
				Co	ompliance
3.1 Has the applicant, or any of its mer directors:	mbers,	e Or			
3.1.1 been convicted of a contravention regulations, or permit conditions du period?			right O Y	čes () No	$\bullet \varnothing$
3.1.2 entered into a plea bargain under t51 of 1977, for a contravention of thor permit conditions during the mediu	e MLRA, or	the regulation		es () No	♦ Ø
3.1.3 paid an admission of guilt fine for a the regulations, or the permit conditioning right period?				es O _{No}	◆ ♥

			Section Complianc
	an offence under the MLRA, or the nit conditions during the medium term	OYes Onc	
of the applicant, or any of been detained, arrested o preserved, confiscated of	its shareholders, members or directors or seized under the MLRA or restrained, or forfeited under the Prevention of 1 of 1998 during the medium term right	OYes ○ No	• 🗸
3.3 Was the applicant's right o	r permit suspended, revoked, cancelled, r section 28 of the MLRA during the	O Yes O No	♦ ♥
		V	Section sel Detail
4.1 How many vessels does the	e applicant	ves	sei Detail
nominate for use in this fisher	ery?		N
4.2 Fill in the relevant details in t	he tables below regarding each vessel nor	minated:	
	Vessel 1		
Vessel name			
MCM Area Number			
Number of crew according to SAMSA			
Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel fitted with a functioning VMS			
System (Y/N) Manufacture of VMS System			
Vessel Length in metres according to			
SAMSA Safety Certificate Vessel Horsepower as per SAMSA Safety Certificate			
C			
	Vessel 2		
Vessel name			
MCM Area Number			
SAMSA Number Number of crew according to SAMSA			
Safety Certificate			28
Owner of Vessel as indicated by South African Certificate of Registry	N	2	
Nature of Access to Vessel			
Vessel fitted with a functioning VMS System (Y/N)			
-1in finish			
Manufacture of VMS System			
Manufacture of VMS System Vessel Length in metres according to SAMSA Safety Certificate			

Section 4 Vessel Details



	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA. Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	·
Vessel Horsepower as per SAMSA Safety Certificate	



	-Vossel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Selety Certificate	

								Se	ction 8
							Ca	tch Util	isatior
		int over-catch or githe medium			ner fishery b	y more	O Yes	O No	•
		ollowing in rela							X ,o
		plicant Holds a Med			is where en	ion and no	i Calci i i Sa	iocated.	
	Year	Annual Catch Allo	cation(in To	ai Actual Catch Alocation (in not	of Applicantie	Percenting	under p	erdentage ove	a - daught
200	2 Season								
200	3 Season			and the same of th					
200	4 Sesson								
Fishe	ery in Which Ap	plicant Holds a Med	lum Term Right						
	Year	Annual Catch Allo metric tons	cation(in Tot	ni Actual Gatch. Allocation (in non	of Applicants ninel (one)	Percentage 0810		ercentage ove	r-caught
200	2 Season								
200	3 Season				72				
500	4 Season							188 === 77=	
Fishe	ery in Which Ap	plicant Holds a Med	ium Term Right			<u></u>			
	Year	Annual Catch Allo metric tons	cetion(in Tot	al Actual Calch (Modation (in non	of Application rinal loos)	Percentage caug		rcentage ave	r-caught
2000	2 Season								
2003	3 Season			1800					
2004	4 Season			4,		10			
Fishe	iry in Which Ap	plicant Holds a Med							
	Year	Annuel Catch Aller metric tons	ation(in Total	al Actual Cately o Receiver (in non	of Appalcante street total)	Percentage caugi	under: po	rcentage over	caught
2002	2 Sesson								
2009	3 Seeson		9). It		1 3		
2004	Season								
							т.	ansforr	ction 6
all area		***************************************	N. T. S.		lanagon	ont and			
		cant a designat		as defined i			Yes	O No	-quity
Eı	mployment	Equity Act, 55	ot 1998 a.s a	it 28 Februa	ry 2005?		0		
	"Yes", has of 1998?	the applicant o	omplied with	the Employ	yment Equi	ty Act, 55	O Yes	O No	٥
6.2.2 If	"No", has t Equity Act, <i>t</i>	he applicant vo 55 of 1998?	oluntarily con	nplied with th	ne Employr	ment	O Yes	O No	0

Section 6
Transformation
 Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	company) or members (if a close corporation) as at 26 February 2005.						
	Director / Member Name (initial & Surname)	Years of Service	Total Annual Remuneration (Total Coet to Company)	Black (Y/N)	Gender (M/F)	JD No	mber
1		•					
2							
3							Δ.
4							
5					2		
6					č		
7							
8							
9							
10							
11			<				
12							
13							
14							
15							

Section 6 Transformation Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 185 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12					VI		
13							
14	ū						
15							
16	N						
17							
18							
19							

Transformation



Management and Employment Equity

U	I.P		Dec-till Edition			110-1111	massantan managara sa managara sa masa sa
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Selary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21				†			
22	*	·		1			
23				$\dagger -$			1
24							
25				+			
26							
27	= = = = = = = = = = = = = = = = = = = =						
28							
29							
30							
31			2200				
32							
33	=						
34							
35							
36							
Ш							
38							
39							
40							
41							
42	5-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1						
43							
44							
45							
46							
47							
48							
49							
50							
51							11/7
53							
54							
55							

Transformation



Management and Employment Equity

U				nug.		1100	yment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Settry or n. Percentage of Total Selections this Table	Geration (NAT)	10 Number
56							
57							
58							
59							
60							
61							
62							
63							
64							
65							
66							
67							
68							
69							
70							
71							
72							
73							
74							
75							
76							
77							
78							
79		J					
80							
81							
82							
83							
84							
85					-		
86							
87							
88							
89	1						
90							

1 2

3

4

5

6

7

8

9

10

Gross Monthly Income (Total Cost to Company)

<R2 500

Between R2 500 and R5 500

Between R5 501 and R10 500

Between R10 501 and R16 000

Between R16 001 and R20 000

Between R20 001 and R25 000

Between R25 001 and R30 000

Between R30 001 and R40 000

Between R40 001 and R60 000

>B60 000

	Section 6
ray annum alpmanum annum sanat latera annum	Transformation
	Management and Employment Equity

Percentage of Total Employees Number Empl

6.4.1 Complete the following table in relation to income levels of the applicant's permanent employees at **28** February 2005:

Number of Employees

icant's p	ermanent	QD
of Black loyees	Percentage of Total Black Employees	Number of Fernial Employees
	2	
	<u> </u>	

Total	100%	100%		
6.4.2 Does the applicant contribute towar employees?	O Yes	O No	C	
6.4.3Does the applicant provide any other	er type of benefits for its employees?	O Yes	O No	CX

6.5 Complete the following table in relation to the applicant's employment equity profile as provided to the Department of Labour in October 2004. If the applicant is not designated or did not voluntarily comply then the table must be completed as at 28 February 2005.

						Total					
Occupational Categories	Gender	A No	A%	C No	С%	l No	1%	W No	W %	AGI %	F%
Top & Senior Management /	Male										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and mid-management / Professionals	Maie										
	Female										
Sidlled Technical and academically qualified workers, supervisors, foremen, and superintendents /	Male						100				
Technicians and Associate Professionals	Female										
Semi-skilled and discretionary decision- making and unskilled and undefined decision- making (Clerka, Service & Seiss Workers,	Male										
Fishery Workers, Arissins & Related Trade Workers, Plant & Machine Operators & Assemblers, Elementary Occupations	Female										
TOTAL	Male										
	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

•

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers** only.

										To	tal .
Occupational Categories	Gender	A No	A.94	GNo	C%	TNo	1%	W No	w*	ACI%	F%
Top and Senior Management / Senior Officials and Managers (as per 2001 application form)	Male										
	Female										
Senior Officials and Managers 2002 (if not descripting as at financial	Male						J.				
	Female										
Top and Senior Management? Senior Officials and Managers 2004 (If not designated as at figancial year and 2004)	Male					11					
	Female										

6.6.2 Complete the tables below in relation to employment equity figures for **Professionals** only.



										To	ital
Occupational Categories	Gender	A No	A %	G No	C%	ING	1%	W No	W %	ACI %	F%
Professionally qualified and apparienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management.	Malé										N
Professionale 2002 (if not designated as et financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management / Professionals 2004 (It not designated as at financial year-end 2004)	Male							U	1		
	Female										

		Own	Trans ership - Comp	Section 6 sformation anies Only
6.7 Is the applicant a company?			O Yes O No	
6.7.1 If "Yes", complete the table be	low in respect ${f d}$ sh	nareholding held by b	olack persons:	Q2
Year Percentage Shi by Black 2001 (as in 2001 sepalcation form) 2005 (at date of application)	Persons Persons	ntage Voting Rights Held by Black Persons	Percentage of Dividence Shareholders are E	
6.7.2Complete the following table in through principle:	n relation to shareh	olding held by womer	n based on the flow	
Year Percentage Sh by W 2005 (at data of application)	areholding Hold Perce	ntage Voting Rights Held by Worten	Percentage of Dividend Emitted To	
6.7.3 Didthe applicant have a share employees?	e scheme in place i	n 2004 for	○ Yes ○ No	QD
6.7.4I f Yes", what is the percentage employees not listed under que				
6.7.5 Complete the following table in	n relation to monies	paid to shareholders	S:	Q 10
Year Salaries 2002 (financial year) 2003 (financial year)	Paid to Stareholders	Loans Made to Sharehol	dere Dividends Paid t	o Shareholders
6.7.6 Was the applicant managed b processing or marketing of a 2 by another entity during the 200	004 allocation in an	was the catching, y fishery performed	○ Yes ○ No	
6.7.7If "Yes", complete the table be	low:		<	
Function Management	Rand Amount Paid to 2004 Fina	Other Entity during the nicel Year	Rand Amount as a Pero Applicant's Total Turno	entage of the over in 2004
Catching			-	
Processing				
Marketing				

			SSEEMINAISHINE.	Section
WALANAMAN		Ownershi	p - Close Cor	ransformatio
6.8 Is the applicant a clos	·	○ Yes (⊃ No	ćX
Year	Percentage Interest Held by Black Persons	Percentage Voting Flights I Black Persons	Held by Percentage	Profit Distribution Black as are Entitled To
2001 (as in 2001 application form)		1917		Particular Control
2005 (as at date of application)	- L			<u> </u>
6.8.2 Complete the table	below in relation to mem	bers interest held by	women:	1
Year	Percentage Interest Held by Women	Percentage Voting Rights I Women	feld by Percentage Pro	fit Distribution Women a Entitled To
2005 (as at date of application)				
6.8.5 Complete the follow	ing table in relation to mo	onies paidto member	s:	00 p
Year	Salaries Paid to Members			stributed to Members
2002 (financial year)				
2003 (financial year)				
2004 (financial year)		1		7 - 11 11 12 7
	nanaged by another entity eting of a 2004 allocation ing the 2004 financial yea	in any fishery perform		O No
5.8.7 If "Yes", complete th	e table below:			٥
Function	Rand Amo	unt Paid to Other Entity	Fland Amount as Applicants T	a Percentage of the urnover in 2004
Management				
Committee of the Commit			The second of th	
Catching				
Catching Processing				

					S Transfo Skills Devel	
in the Transfo in 2001?	icant met the target ormation Plan subm ne following table in 98:	itted O Did r	ot apply in an	-	O Not at al	
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Pai SARS in skills development lev	Rati Shont on	Training B	ntage of udget Spent Employees
2001 (financial year end)						
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year and)						
6.1 1 Did the app term rights	licant participate in a	a learnershipproo	grammeduring	the medium	○ Yes ○ No	صر
6.12 If "Yes," ind	licate the number of in respect of whom					•
	olicant participate in a es through is SETA			ort Strategy?	OYes O NO	Q
6.14.1 How man applicant?	y skippers are used ?	lby the				
6.14.2 What per are black	centage of these ski	ippers				
its annual ta for deductio	oplicant make donati exable income which n in terms of section Tax Act 58 of 1962	n qualify 0 n 18A of	Yes () No	Corporate	Social Inve	stment
	icate what percentac ial year these donati		rned in the	O 0 - 0.5 % O 0.5 - 1 % O > 1 %	P	Ø

Section 6
Transformation
Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		And the second of the second second
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		

6.18 E	Does the applicant make any o	other donations	(in addition to the	ose which qualify for
C	deduction in terms ${ m d}$ section 1	18A d the incor	ne Tax Act 58 of	1962, but not
i	including school fees)?			•

O Yes O NO

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to:

O *o* **-** 0.5 %

O 0.5 - 1%

0 > 1 %



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefitting Organisation	Rand Value of Amount Donated
2004- 1		
2004 - 2		220
2004 - 3		
2004 - 4		
2004 - 5		

		Affir		Section 6 nsformation rocurement
6.21 Does the applicant have a written affirmative procurement policy ?	0	Yes O No		Q
6.22 Provide the following information in re (operational expenditure) in 2004 :	elation to the applican	t's top ten South /	African suppli	iers 🗸
Name of Supplier	Percentage of Black Gwnerahip of Supplier	Amount Paid to Sup Rands in 2004	oller in Pero	centage of Total Expenses
§ 81		0		
		X		
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?	O Yes	O No	Ø
6.24 Has the applicant institued a system measure its affirmative procurement spend as a percentage of total procurement spend?	to t	○ Yes	○ No	
				Section 7
	Vesse	I, Crew and E		ental Safety traventions
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty ur Shipping Act, 57 of 1951?			O Yes	O No 🗸
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty for Occupational Safety Regulations of 19	r contravening the Ma		O Yes	○No 🎸

		Sec	tion 7
Vessel, Crew and Er		nental : itraven	1,300
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	O Yes	O No	CX
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	○ Yes	O No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	○ Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	○ Yes	O No	Q
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O No	ر
7.10 Does the applicant have an HIV/AIDS policy?	○ Yès	O No	Q
	V	essel S	Safety
7.1 1 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	O Yes	O No	Q
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
<u>En</u>	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	O No	CX.
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	○ Yes	O No	Q

						Jo	b Creation
1 Complete 3.1.1 Table 1	t following	tables in re	lation to job	i			& 10
Year	Employees in respect of which the applicant prepares IRP5 Certificates (Land Based)	Employees in respect of which the applicant prepares IRIF Certificates (Sea Based	which the applicant does not prepare IRP5	respect of which the applicant does not prepare IRP5 Certificates	Total Number of Employees (Columns 1-4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application)							
2002 (financial year end)							
2003 (financial year end)							
2004 (financial year end)							
2005 (28 February 2005)							
	Applicant Hold		Fotal Arinual Salary	Rill (in relation to thi	s How much do	es the applicant sp	pend on ealaria
	n Applicant Hold	s a Medium T		nancial Year End		ages per ton alloc	
	I Caich Allocation fishery in 2004	(in tons) in	fotal Annual Salary fishery) 2004 Fir	Bill (in relation to the nancial Year End		es the applicant sp ages per ton alloca	
Fishery in which	n Applicant Hold	s a Medium T	erm Right				
	Catch Allocation (fishery in 2004	(in tons) in	Fotal Annual Salary fishery) 2004 Fir			es the applicant sp ages per ton alloc	
HIS					1		
	n Applicant Hold	s a Medium T	erm Right				
Fishery In which	n Applicant Hold I Catch Allocation (fishery in 2004		Ferm Right Fotal Annual Salary fishery) 2004 Fir			es the applicant sp ages per ton alloci	pend on salarie: ated
Fishery in which Applicants Annual this	Catch Allocation	(in tons) in	Total Annual Salary fishery) 2004 Fir			as the applicant spages per ton alloci	end on salarie: ated

Fishery in which Applica	ent Holds a Medium Term	Alpha ·		-
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees tess Seasonal Employees (in- relation to this fishery) (28 February £005)	How many people (lessessonal employees) of the applicant employ p for ellocated
Fishery in which Applica	int Holds a Medium Term	Bight		1
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (n relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (se seasonal amployees) of the applicant employ p ton allocated
Fishery in which Applica	nt Holds a Medium Term F			
Applicant's Annual Catch Allocation (in tons) in this	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (In relation to this fishery) (28 February 2005)	How many people (les seasonal employees) do the applicant employ po ton allocated
fishery in 2004				
•	nt Hokts a Medium Term f	ight -		
	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton ellocated	Total Employees less Seasonal Employees (to relation to this fishery) [28 February 2005]	How many people (les seasonal employees) do the applicant employ p ton allocated
Fishery in which Applica Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery)	How many people does the applicant employ per ion ellocated	Total Employees tees Seasonal Employees (in- relation to this fishery) (26 February 2005)	seasonal employees) do the applicant employ p

		Section 8
		Job Creation
3.1.4 Table 4	-	(X)o
		What was the mulitole between the average of the five
Applicant's five highest annual selectes (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five salarles	What was the multiple between the average of the five lowest and the average of the five highest salaries at 28 February 2005?

Applicant's five highest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five salaries	What was the multiple between the average of the five lighest salaries at 2 February 2005?
a fin Difference of the Control of t		· ×
Applicant's flue invest annual estance (total end to command neit		
Applicant's five lowest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005.	Average of lowest five salaries	· 中国中国中国中国中国中国中国中国中国中国中国中国中国中国中国中国中国中国中国
A-11 - 22 De		

Section 9
 Investment

9.1 Complete the following tables:

9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)				\$
2003 (financial year end)				
2004 (financial year end)				

				-	Section
9.1.2 Table 2	hattana and hattana and hattana		***************************************		Investmer
Year Insured	Value of Total Pe in F	rcentage incre land Value of Ti	ase or Decrease otal Food Assets	Total insured Value of To Land Based Floor Auser	Total Insured Value of Harboan and See Based Found Accord
2002 (financial year end)			Santa pa Santa Partiri Santa Santa Partiri		
2003 (financial year end)			Ю .	1	
2004 (financial year end)					B 9
9.1.3 Table 3					,,
Fishery in which Applica	nt Holds a Medium T Book Value (in ra				
Annual Catch Allocation (in tons) to the applicant in 2004	harbour and sea-	based land-	k Value (in rands) o besed essett at 200 inancial year end	Pools Value of Harbour 4 Sec-Bussed Albums ru allocated to applice	Fand Block Value of Earti-Based to Assets per for allocated to applicant
				2 22	
Fishery in which Applica	nt Holds a Medium T	erm Right		is as	
Annual Catch Allocation (intons) to the applicant in 2004	Book Value (in rai harbour and see- assets at 2004 fir year end	hased land-	k Value (in rands) of based assets at 200 nancial year and	Pool: Value of Fighting Con-Book! Askes on allocated to applice	Book Value of Land-Based for Akades per for allocated to applicant
	×	20.00			
Fishery in which Applica					
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rai harbour and sea- assets at 2004 fin year end	based land-t	Value (in rands) of passet assets at 200 nanctel year and	Dook Val-le of Harbour Sen-Record Assum as allocated to appace	and Book Value of Land-Bessel for Assets per ton allocated to applicant
Fishery in which Applica					
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in ran harbour and sea- assets at 2004 fin year end		(Value (in rands) of esset pasets at 200 essetal year and	Brok Value of Herbour See Peared Assets per altropised to applique	and Book Value of Land Based has Assets per ton allocated to spokers.
	81		5		
Fishery in which Applicar					
Number of units of effort allocated to the Applicant in 2004	Book Value (in ran harbour and sea-b assets at 2004 fini year end	pased land-b	Value (in rands) of esed assets at 2004 nancial year and	Book Value of Harbour See-Beself Assets per of effort elecated to applicant	and und Assets per unit of effort, allocated to apprount

Section 9 Investment 9.1.4 Table 4 Fishery in which Applicant Holds a Medium Term Right Insured Value of Land-Based Assets per Ion allocated to applicant Insured Value (in rands) of Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Annual Catch Allocation (in tons) to the applicant in 2004 Insured Value (in rands) of land-based assets at 2004 financial year end harbour and sea-based assets at 2004 financial year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value (in rands) of land-based assets at 2004 Annual Catch Allocation (in Insured Value of Harbour Insured Value of Land-Based Assets per ton allocated to applicant tons) to the applicant in 2004 and Sea-Based Assets per financial year end ton allocated to applicant year end Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value of Land-Based Assets per ton allocated to applicant Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Annual Catch Allocation (in tons) to the applicant in 2004 Insured Value (in rands) of land-based assets at 2004 financial year end

		pplicant			

year end

Annual Catch Allocation (In tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	land-based assets at 2004	insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant

Fisher	y in which Ap	oplicant Holds a	Medium Term	i Fliatit

Number of units of effort allocated to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per unit of effort allocated to the applicant	

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.



9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.

9.1.7 Has the applicant invested in on-board or land based processing facilities?



Section 10 Local Economic Development

10.1	Completethe	followins table in	relation to harbours	and landing sites used:

Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
	l	Ī	1
	ļ		
		Compactification and processes	
177) 47			
		 	
	6		
			Acceptance in the second
T. 100			
Total			

At which	ours and
g site	s will the
applicant la	ind its catches?
	g site

Harbour / Lanc	ling Site Names

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



			Value	Add & Enterp	rise Developmen
	t directly involved in ng process in any	○ Yes	○ No		Q
	ant been responsible ise development?	○ Yes	C No		Ø
					Section 12 Performance
					Financia
certified Annua	plicant's 2004 audited, al Turnover? ollowing table in relation		formance		
Year	Return on Net Assets (RONA)	Debt: Eq	ity Ratio	Current Ratio	Quick Ratio (Acid Test)
2001					
2002					
2003					
2004					
12.3 Provide the foll	owing information in rel	ation to the	applicant's	income statements	
Year	Turnover	Profit Af	er Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001		у			
2002				12	
2003					
2004					
12.4 Provide the follo	owing information in rela	ation to the	applicant's	balance sheet:	
Year	Assets	Long-Term	Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001					
2002					
2003					
2300 09413, 400, 100, 100, 100		2 20	3.1		

2004

			Sec	tion 12
				mance
		546 1 W	Fir	nancial
12.5 Provide the following information in relation to the applicant's cash				
Year Nel Cash Inflow / Ourflow from Net Cash Inflow / Ou Operations Investing Activ		Final	officer / Out oring Activiti	ow from
2001				
2002				
2003				
2004				
12.6 If the applicant is a newly established entity, please provide a detail		nt of how	v the	CX
applicant intends to finance it's entry into this fishery as Schedule 1	2B.			16. 417
	Colored to the colore			tion 13
40.4 Despite a pullicant le que d'altino a rica?		acasania a	Fishin	g Plan
13.1 Does the applicant have a fishing pian?	O Yes	O No		Q
13.2 Will the applicant be personally responsible for implementing its fishing plan?	O; Yes	Ono		Q
13.3 Will a third party be responsible for implementing the applicant's fishing pian?	O Yes	Ono		Q
13.4 If yes, who is the third party?			-	
				tion 14
By-Catch and Environmen	tally Sus	stainal	ole Pra	ictices
14.1 Does the applicant have a by-catch management strategy?		O Yes	Ovo	X
14.1 Does the applicant have a by-catchmanagement strategy:		∪ tes	ONO	
14.2 Does the applicant intend to put in place any measures to reduce e and fuel reduction consumption on vessels, and in processing facil		O Yes	O No	CX
14.3 Has the applicant undertaken or sponsored any research in relation environmentally sustainable practices?	nto	O Yes	Ono	Q

Section 15 Fishing Levies

$15.1 Please \ provide the following \ information \ in \ relation to \ levies \ paid \ since \ 2001:$



Year	Species	Total Galoti in Tons	Levios Pakt
2001			
2001			
2001			
2001			
2001			*
2002			
2002			
2002			
2002		Section -	
2002		333334H-	
2003	*		
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of		
the applicant's most recent levy	Date	Amount
payment?	vvvv/mm/dd	

s ig sl, sle to the state of

(a) I re read I instructions set out on pages 2 and 3 of this application form;

Applicant Declaration

- (b) the information submitted with and in this Application is true and correct and complete.
- (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, licenseor permit granted on the strength of this Application, interms of Section 28 of the Marine Living Resources Act 18 of 1998;
- (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights verification Unit;

 (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant: accepts that failure to co-operate in this regard will

constitute an independen	nt ground for refusing an applica	decision of the delegated authority or appellant authority on the allocation of a right or for in the General Policy or in this application form, will result in the application being
Signed at:		
Brook was an and a control	day of	
Signature of Author	orised Representati	ve:
	= ==== 14	
	Full Name:	she knows and understands the contents and implications of the above
declaration.		one more and directionates are complete and implications of the accre-
Commissioner of Oaths		
Full Name	AND DESCRIPTION OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS OF THE	
Designation		
Physical Address		
responses to written require tauch meetings and written to co-operate in this application form, will	any information relevant to the triment of Environmental Affairs (3rd uests for information or explar nere necessary, by grantii in s regard will constitute an inde (3rd nority on the allocation of a right result in the application being	ted, or to the revocation, suspension, cancellation, alterationor reduction of any right, tion, interms of Section 28 of the Marine Living Resources Act 18 of 1998; tion submitted I hereby authorise any institution, organ of state, person or body, who application, to disclose or make the information available to the Minister, his delegate and Tourism or the Rights Verification Unit; party name) undertakes to co-operate with any investigators by timeously submitting nations, by attending meetings with investiitors, by answering questions satisfactorily vestigators access to premises, vessels and documents. The applicant accepts that pendent ground for refusing an application; disparty name) accepts that any attempt to influence the decision of the delegated that or quantum or effort, in any manner other than provided for in the General Policy or refused.
	msea nepreseman	
	day of	
Signature of Author	orised Representati	ve:
Representative's	Full Name:	
		she knows and understands the contents and implications of the above
Commissioner of Oaths		
Full Name		
Designation		
Physical Address		

i) I have it it cti in the information submitted (c) I accept that if any information disclosed, this may lead license or permit granted (d) in order to allow for the possesses or acquires a or an official of the Depart (e) responses to written requat such meetings and what failure to co-operate in this (f) authority or appellant auth	with and in this it is matter in this it is matter in this Application is to the Application being ref on the strength of this Applic proper verification of inform yinformation relevant to numentof Environmental Affai (3) uests for information or explere necessary, by granting is regard will constitute an inc. (3)	of this like for 11 is true and correct and complete. Is not true or complete, or if false used, or to the revocation, suspecation. In terms of Section 28 of the nation submitted 1 hereby authoriss many application, to disclose or make its and Tourism or the Rights Verifierd party name) undertakes to coolanations, by attending meetings with investigators access to premises dependent ground for refusing an addragator name) accepts that any right or quantum or effort, in any might or quantum or effort,	perate with any investigators by timeously submitting th investigators, by answering questions satisfactorily s, vessels and documents. The applicant accepts that
Signature of Author Signedat:	rised Representa	tiveof Sister Company	y (if applicable)
_	day of	2005	
Signature of Author	orised Representa		
		e/she knows and understand	s the contents and implications of the above
Full Name			
Designation			
Physical Address			
I, the undersigned, do hereby make oath and declare that: (a) I have read the instructions set out on pages 2 and 3 of this application form: (b) the information submitted with and in this-Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if fake information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the informationavailable to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (e) (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that			
failure to co-operate in this (f) authority or appellant auth	s regard will constitutean in ()(dependent ground for refusing an a 3rd party name) accepts that any right or quantum or effort, in any m	pplication; rattempt to influence the decision of the delegated anner other than provided for in the General Policy or
		tive of JV Partner (if ap	plicable)
Signedat:			
This	day of	2005	
Signature of Author	•	ative:	
The authorised represent declaration.	tative declares that h	e/she knows and understands	s the contents and implications of the above
Commissioner of Oaths			
Full Name			
Designation			
Physical Address			

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure IC.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identii and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

 a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separatety, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If 'Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6.F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits paid to employees, as well **as** a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- b) Section **6.9:** Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure **60.**
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- S) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If **"Yes,"**provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If Yes," provide a copy of the policy as Annexure 7H. || "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- Section 7.13: If Yes," provide details and documentation regarding the conduct of the applicant, is members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 1 1.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A.
 The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance is entry into this fishery as Annexure 12B.

Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If 'Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 138. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regardas Annexure 13C.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 148.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.

FOR OFFICIAL USE ONLY: DO NOT TEAR OFF		
	RECEIPT	
Received by:		
Date of Receipt: 14 July 2005 or 15 July 2005		
Time of Receipt:h	5	
Application Number:		
DepartmentalStamp:		
Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.		

			RECEIPT - FOR APPLICANT TO RETAIN
Received by:			
Date of Receipt:	14 July 2005	or	15 July 2005
Time of Receipt:	h		
Applkatkn Number	:		
Departmental Stamp):		
Disclaimer: The recei	pting of this application	ation does on or the c	not imply an acknowledgement of the completeness of correctness of its content.