# SCHEDULE E

SPECIMEN NEW ENTRANTAPPLICATION FORMS

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L AFFAIRS AND TOURISM
COASTAL MANAGEMENT
p Sea Trawl
location Process: 2005
Cluster A
ion Form For: New Entrants

# IMPORTANT INFORMATION INSTRUCTIONS

#### **GENERAL**

- 1) This application form must be read together with these instructions, the Explanatory Nates, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <a href="mailto:ciustera@deat.gov.za">ciustera@deat.gov.za</a>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="mailto:www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may **not** communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- 4) Applicants must pay attention to the icons in the application form in order to determine whether.
  - the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the form of an annexure;
- the applicant's auditors need to verify a response; and
- there are consequences of notanswering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is **not** submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### **COMPLETION OF APPLICATION FORM**

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.



#### IMPORTANT INFORMATION

#### INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever archifles with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever archifle after the annexures.
- 1 1) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

#### LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested). and a copy of the printed version must be hand delivered to the place, on the dates and times stated below.

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

#### IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- -the application is lodged in a manner contrary to the instructions.

#### **MATERIAL DEFECTS**

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the **authorised** representative(s) of the holdina company, sister **company(ies)** and the ioint venture partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material Information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

	Section 1
\$1.00 P \$1.00	Applicant Details
The applicant must supply a profile of fishing operations, as Schedule 1A.	of its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
<ul><li>1.7 Have the applicant's details (1.8.1 - applicant registered?</li><li>1.8 If "Yes", complete only those details</li></ul>	3.00
1.8.1 Principal Place of Nu	mber and Street {
Business:	Suburb:
	Town/City:
	Postal Code:
400 D 44444 N 4	1
1.8.2 Postal Address: Number and	Street / PO Box 2
	Suburb City
	Town / City:
١	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number: Last Fax Number:	
1.8.6 Authorised Contact Person First Name:	
1.8.7 Authorised Contact Person Surname:	
a) Identity Number:	
b) Position Held/ Relationship to Applic	ant
c) Cellular Number:	
d) E-mail Address:	

				Form of	Section 2 Applicant
2.1 Does the applicant hold a medium term fishing right in any other sectors?	O Yes	O No	Ø		
2.2 If 'Yes", complete the following:					
Fishery in which the Applicant holds a Medium-Term Ri	ght	200	Application	Form Number	
	-				
2.3 Is the applicant more than 50% South African owned?	O Yes	O No	Ş		
2.4 Does the applicant have a valid tax clearance certificate?	O Yes	○ No		Q.	
2.5 Complete the following table in relation to asse	<b>t</b> value ar	d turnover:			
Year	urnover		Gross Asse	t Value (axcitate	g feed property:
2004 (Financial Year End)			· ·		
00.0			l	<del></del>	
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	O Yes	○ No	Q		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	O Yes	○ No	Ø		
					Section 3
				<b>C</b> c	mpliance
3.1 Has the applicant, or any of its memb directors:	ers, shai	eholders or			
3.1.1 been convicted of a contravention of regulations, or permit conditions durin period?			right O	les $O_{\text{NO}}$	$\Diamond$
3.1.2 entered into a plea bargain under the 51 of 1977, for a contravention of the l or permit conditions during the medium	MLRA, or	the regulatio	Act ns, <b>O</b> Y	'es ○ <sup>No</sup>	<b>♦</b> Ø
3.1.3 paid an admission of guilt fine for a co the regulations, at the permit conditions right period?				es O No	◆ ∅

			A
· · · · · · · · · · · · · · · · · · ·		Co	mpliance
	n an offence under the MLRA, or the mit conditions during the medium term	○ Yes ○ No	
of the applicant, or any of been detained, arrested preserved, confiscated	otor vehicle, premises or any other assets of its shareholders, members or directors or seized under the MLRA or restrained, or forfeited under the Prevention of 21 of 1998 during the medium term right	$\bigcirc$ Yes $O_{ m NO}$	<b>♦</b> ♥
	or permit suspended, revoked, cancelled, ler section 28 of the <b>MLRA</b> during the ?	○ Yes ○ No	◆ < <
		Ves:	Section 4 sel Details
4.1 How many vessels does the nominate for use in this fish			<b>«</b> Υ
4.2 Fill in the relevant details in	the tables below regarding each vessel non	ninated:	
	Vessel 1		Out of the second
Vessel name MCM Area Number			
SAMSA Number			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel fitted with a functioning VMS System (Y/N)			
Manufacture of VMS System	160 ·		
Vessel Length in metres according to SAMSA Satety Certificate	*		; 
Vessel Horsepower as per SAMSA Salety Certificate			
<del>~</del> X			
	Vessel 2		
Vessel name			
MCM Area Number			
SAMSA Number  Number of crew according to SAMSA			
Safety Certificate Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel		: : : : : : :	
Vessel fitted with a functioning VMS System (Y/N)			
Manufacture of VMS System			
Vessel Length in metres according to SAMSA Safety Certificate			
Vessel Horsepower as per SAMSA Safety Certificate			

# Section 4 Vessel Details



	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vossel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

Z aŭst								Sec	etion 5
					*4		Ca	itch Utili	sation
tha	an 10% durin	int over-catch o ig the medium to following in relat	erm rights	period?			O Yes	○ No	
5.2 CC me	edium term r	ights in other se	ectors (ex	cluding se	ctors where	effort and no	ot catch is a	llocated \	
Fis	hery in Which Ar	pplicant Holds a Medi							
	Year	Annual Catch Alloc metric tons		otal Actual C Allocation (	atch of Applicant n nominal tons)	s Percentaç cau	itat ie muder. – bi	ercentage over	-caught
20	02 Season								
20	003 Season								
20	004 Season								
Fis	hery in Which A	pplicant Holds a Medi	um Term Rig	ht					
	Year	Annual Catch Alloc metric tons			aich of Applicant n nominal tons)	s Percentaç cau		ercentage over	-caught
20	002 Season								
20	003 Season								
50	004 Season								
Fis	hery in Which A	) Oplicant Holds a Medi	um Term Alg	hi					
	Year	Annual Catch Alloc metric tons		otal Actual C Allocation (	atch of Applicant in nominal tons)	Percentag cau		ercentage over	-caught
20	002 Season								
21	003 Season								
21	004 Season						}		
Fis	shery in Which A	pplicant Holds a Medi	um Term Rig	ht					
	Year	Annual Catch Alloc metric tons		etal Actual C Allocation (	atch of Applicant in nominal tons)	s Percentag cau		ercentage over	-caught
20	002 Season								
20	903 Season								
21	004 Season								
		3						Son	ction 6
							7	oet Holens	
***************************************		***************************************	***************************************						
61 V	Nac the appl	icant a designat	od omplo	or ac defi		ement ar			Squity ©
U. 1 V		nt Equity Act, 55					O Yes	O No	
6.2.1	If "Yes", ha of 1998?	s the applicant o	complied v	vith the Er	mployment E	quity Act, 55	○ Yes	O No	nee Januar
6.2.2		the applicant vo	oluntarily o	complied v	vith the Empl	loyment	○ Yes	O NO	

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.

	>
	<b>M</b>
₹ 🕅	M





	Director / Member Name (Initial & Surname)	Years of Service	Total Annual   Cost	Remuneration (Total o Company)	Black (Y/N)	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14		•					
15							

# Section 6 Transformation Management and Employment Equity

#### 6.3.2Complete the table below in respect of employees at 28 February 2005.



Batveen 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost Te Company)
Between 31 - 80 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Selecty (Total Cost to Company)	Black (Y/N)	Satary as a Percentage of Total Salaries in this Table	Gender (M/F)	(D Number
1			1	7		1	
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							

## Section 6

# Transformation



# **Management and Employment Equity**

₹ij	·						
	Employee Name	Organisational Title	Annual Stilary (Total Cost to Company)	Black (Y/N)	Stilary as a Percentage of Total Salarioun this Table	Gender (M/F)	ID Number
20							
21							
22							
23							
24							
25							
26							
27							
28				<u> </u>	) 		
29							
30							
31							
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35					 		
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39	·· <u>·</u> ····	·	 				
40						 	
41							
42							
43	·						
44							
45							
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49							
50							
51							
52							
53							
54							
55							

## Section 6

# Transformation



# Management and Employment Equity

-11			magement and Employment Equity					
	Employee Name	Organisational Title	Arrival Salary (Total Cost to Company)	Black (YN)	Setary as a Percentage of Total Selected in this Table	Gender (MF)	ID Number	
56								
57								
58								
59								
60								
ഖ			 		ļ	<u> </u>		
62								
63					<u> </u>			
64								
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a4								
85								
86								
87								
88								
89								
90								

		Section 6
		nsformation
	Management and Employr	nent Equity
6.4.1 Complete the following table in relation to income employees at 28 February 2005:	levels of the applicant's permanent	Q\po

700	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Female Employees
1	₹FI2 500					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500				·	
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
6	Between R20 001 and R25 000					
7	Between R25 001 and R30 000					
8	Between R30 001 and R40 000					
9	Between R40 001 and R60 000					
10	>R80 000					
	Total		100%		100%	

6.4.2 Does the applicant	contribute tow	rards medical	aid and	pension f	or its
employees?				-	

O Yes O No

6.4.3 Does the applicant provide any other type of benefits for its employees?

O Yes	0	No
-------	---	----

6.5 Complete the following table in relation to the applicant's employment **equity** profile as provided to the Department of Labour in October **2004**. If the applicant is not designated or did not voluntarily comply then the table must be completed **as** at 28 February **2005**.

1000									,	Ŧc	tal
Occupational Categories	Gender	A No	A%	CNo	C%	I No	1%	WNo	W %	AGI%	F%
Top & Senior Management /	Maie										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Male										
mid-management / Professionals	Female										
Skilled Technical and academically qualified workers, supervisors, foremen, and superintendents /	Male										
Technidans and Associate Professionals	Female										
Sens-skilled and discretionary decision- making and unskilled and undefined decision- making / Clarks, Service & Sales Workers,	Male										
Fishery Workers, Arthurus & Related Tracks Workers, Plans & Machine Operators & Assemblers, Elementary Occupations	Female										
TOTAL	Male										
I O I AL	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

general services	88	89				
	А	П	a	п	8	3

# Transformation

# **Management and Employment Equity**

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

										Te	tal .
Occupational Categories	Gender	A No	A %	CNo	C%	INo	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male									ļ Ļ	
Managers 2004 (if not designated as at financial year end 2004)	Female										

#### 6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



										To	tal
Occupational Categories	Gender	A No	A %	G No	C %	INo	1%	W No	W %	ACI%	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female							-			
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female										

and the transfer, the contract of the second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions are second different contractions and the second different contractions are second different contractions and the second different contractions are second different contractions.				
				sformation
	······································	Owi	nership - Comp	anies Only
6.7 Is the applicant a company?			○ Yes ○ No	
6.7.1 If"Yes", complete the table be	elow in <b>respec</b> t of s	shareholding held by	black persons:	$ \mathcal{C}_{\mathcal{P}} $
	sereholding Held Perc	entage Voting Rights Held by Black Persons	Percentage of Divid Shareholders are I	
2001 (as in 2001 application form)				
2005 (at date of application)				
6.7.2 Complete the following table in	n relation to sharel	nolding held by wom	en based on the flow	
through principle:				
	areholding Held Percomen	entage Voting Rights Held by Women	Percentage of Dividend Entitled To	
2005 (at date of application)				
			<u> </u>	
6.7.3 Did the applicant have a share employees?	e scheme in place	in 2004 for	○ Yes ○ No	C/
6.7.4 If "Yes", what is the percentag				٠ .
employees not listed under qu	estion 6.3.2, throu	gh the scheme?		
6.7.5 Complete the following table in	n relation to monie	s <b>paid</b> to shareholde	rs:	~
	Paid to Shareholders	Loans Made to Shareh	olders Dividends Paid I	o Shareholders
2002 (financial year) 2003 (financial year)				
2004 (financial year)				
6.7.6 Was the applicant managed b	v anotherentity o	r was the catching		
processing or marketing of a 2 by another entity during the 200	2004 allocation in a		d OYes ON	0
6.7.7 If "Yes", complete the table be	elow:		*	
Function		Other Entity during the sencial Year	Rand Amount as a Pero Applicant's Total Turn	
Management	DOG:	en rum i tod	гранция тисктин	OVER 11 2004
Catching				
Processing				
Marketing			· 	
		(		

		201000000000000000000000000000000000000		Tiens	formal
		Ownersh	ip - Close	e Corpora	
.8 Is the applicant a close corpora	tion?	O Yes	○ No		
.8.1 If "Yes", comdete the table be	elow in respect of me	mbers interes	st held by bla	ick persons:	<u> </u>
	Interest Held by Percent Persons	tage Voting Rights Black Persons	Held by Pr	ercentage Profit Di Persons are E	
2001 (as in 2001 application					
iorm)					
005 (as at date of application)					
.8.2 Complete the table below in re	elation to members in	terest held by	women:		
	Interest Held by Percent omen	tage Voting Rights Women	Heid by Perce	entage Profit Distri Entitled	
and the second					
005 (as at date of application)		<del> </del>			
in 2004?  8.4 If "Yes", what percentage of produced in the control of the control		not listed		Yes No	
.8.4 If "Yes", what percentage of pr	?			Yes No	ر ز [ رک
.8.4 If "Yes", what percentage of prunder question 6.3.2 entitled to .8.5 Complete the following table in	?		ers:	Yes No	I to Members
.8.4 If "Yes", what percentage of prunder question 6.3.2 entitled to	? n relation to monies p	aidto membe	ers:		1 to Members
.8.4 If "Yes", what percentage of prunder question 6.3.2 entitled to  .8.5 Complete the following table in  Year Salarie  2002 (financial year)	? n relation to monies p	aidto membe	ers:		ito Members
.8.4 If "Yes", what percentage of prunder question 6.3.2 entitled to  .8.5 Complete the following table in  Year Salarie  2002 (financial year)	?  relation to monies p s Paid to Members  y another entity, or wa	aidto membe Loans Made to	ers: Members		
.8.4 If "Yes", what percentage of prounder question 6.3.2 entitled to entitled to entitle entitled to entitle entitled to entitle entitled to entitle entitled entitl	? relation to monies p s Paid to Members  y another entity, or wa 004 allocation in any 4 financial year?	aidto membe Loans Made to	ers: Members	Profit Distributed	
.8.4 If "Yes", what percentage of prounder question 6.3.2 entitled to entitle	? relation to monies p s Paid to Members  y another entity, or wa 004 allocation in any 4 financial year?	aidto membe Loans Made to as the catchir fishery perfor	ers:  Members  ag  med  Rand	Profit Distributed	entage of the
.8.4 If "Yes", what percentage of prunder question 6.3.2 entitled to .8.5 Complete the following table in Year Salaria 2002 (financial year) 2004 (financial year) 2004 (financial year) .8.6 Was the applicant managed by processing or marketing of a 20 by another entity during the 200 8.7 If "Yes", complete the table be	relation to monies p s Paid to Members  y another entity, or wa 204 allocation in any 4 financial year?	aidto membe Loans Made to as the catchir fishery perfor	ers:  Members  ag  med  Rand	Profit Distributed  Yes No	enlage of the
.8.4 If "Yes", what percentage of prunder question 6.3.2 entitled to  .8.5 Complete the following table in  Year Salaria  2002 (financial year)  2004 (financial year)  .8.6 Was the applicant managed by processing or marketing of a 20 by another entity during the 200  8.7 If "Yes", complete the table be	relation to monies p s Paid to Members  y another entity, or wa 204 allocation in any 4 financial year?	aidto membe Loens Mede to as the catchir fishery perfor	ers:  Members  ag  med  Rand	Profit Distributed  Yes No	entage of the
.8.4 If "Yes", what percentage of prounder question 6.3.2 entitled to entitle entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle entitle to entitle to entitle to entitle to entitle to entitle entitle to entitle	relation to monies p s Paid to Members  y another entity, or wa 204 allocation in any 4 financial year?	aidto membe Loens Mede to as the catchir fishery perfor	ers:  Members  ag  med  Rand	Profit Distributed  Yes No	entage of the

					Se Transfor Skills Develo	
in the Transfo in <b>2001?</b>	licant met the targe ormation Plan subm ne following table in 98:	nitted O Did n	ot apply in any	hat/Partially y sector	O Not at all	<u>ن</u> بر
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Fraining Report which was approved (Y/N)	Rand Amount Paid SARS in skills development levk	Rati Spent on 1		iget Spent
2001 (financial year end)						
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year end)	·					
6.11 Didthe appl	icant participate in a period?	a learnership prog	ramme during t	he medium	O Yes O No	
	icatethe number of in respect of whom ear end).					
	licant participate in a s through <b>is</b> SETA			rt Strategy?	○ Yes ○ No	≪
<b>6.14.1</b> How many applicant?	/ skippers are used	by the				
6.14.2 What perd are black?	centage <b>of</b> these ski	ppers				
its annual tax for deduction	plicant make donation which in terms of section Tax Act 58 of 1962	qualify O' 18A of	res O No	Corporate :	Social Inves	stment
	cate what percentag al year these donatio		ned in the	O 0 - 0.5 % O 0.5 - 1% O > 1%	<b>₽</b> î.	Ø

Section	6
Transformatio	
Corporate Social Investmen	t

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefiting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rend Value of Amount Donated
2003 - 1		
2003 - 2		
2009 - 3		
2009 - 4		
2003 - 4	Benefitting Organisation	Rand Value of Amount Donated
2003 - 4 2003 - 5	Benefiting Organisation	Rand Value of Amount Donated
2003 - 4 2003 - 5 Year	Benefiting Organisation	Rand Value of Amount Donated
2003 - 4 2003 - 5 Year 2004 - 1	Benefiting Organisation	Rand Value of Amount Donated
2003 - 4 2003 - 5 Year 2004 - 1 2004 - 2	Benefiting Organisation	Rend Value of Amount Donated

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
	including school fees)?

O Yes O No

**6.19** If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to:

O 0 - 0.5 % O05 - 1%



0 > 1 %



**6.20** If other donations were made, indicate the five largest such donations made in **2004** in the table below:

Year Benefiting Organisation	Rand Value of Amount Donated
2004-1	
2004 - 2	
2504 - 3	
2004 - 4	
2004 - 5	

					Section o
6.21 Does the applicant have a writen affirmative procurement policy?		O Yes	○ No	ative Pro	curement
6.22 Provide the following information in r (operational expenditure) in 2004:	elation to the applic	ant's top	ten South Afr	ican supplie	rs 🗸
Name of Supplier	Percentage of Black Ownership of Supplic		unt Paid to Supplie Rands in 2004	in Perce	ntage of Total openses
		_		-	
<b>6.23</b> Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	<b>ታ</b> ?		O Yes	○ <b>№</b>	Ø
6.24 Has the applicant instituted a system measure its affirmative procurement spend as a percentage of total procurement spend?			O Yes	○ No	
	Vess	sel, Cr	ew and En		Section 7 ntal Safety
7.1 Has the applicant, during the medium forfeited a <b>deposit</b> by way of penalty ur Shipping Act, 57 of 1951?	term rights period, nder section 324 of	been co the Merc	nvicted, <b>c</b> r chant		No C
72 Has the applicant, during the medium to forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the			O Yes	ONo 🌣

Vessel, Crew and E	nvironm	iental
		traver
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	○ No
Crew Safety &	Working	Conc
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	○ No
<b>7.5</b> Has a qualified safety officer been appointed for each nominated vessel in terms of section <b>36</b> of the Marine Occupational Safety Regulations?	O Yes	O No
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 (1951?	of OYes	O NO
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	○ Yes	O∘No
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O: No
7.10 Does the applicant have an HIV/AIDS policy?	○ Yes	O No
	V	essel
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	○ Yes	O∈No
<b>7.12</b> Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No
	nvironm	ental
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marin Pollution (Control and Civil Liability) Act 6 of 1981?	ne O Yes	O No
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	O No

# Section 8

# Job Creation

# 8.1 Complete the following tables in relation to job creation.

#### 8.1.1 Table 1

Year	Employees in respect of which the applicant properes IRPS Certificates (Land Based)	Employees in respect of which the applicant prepares IRPS Certificates (See Based)	Employees in respect of which the applicant does not prepare HIP5 Certificates (Land Based)	Employees in respect of which the applicant does not prepare (RP5 Certificates (Sea Based)	Total Number of Employees (Columns 1- 4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application)							
2002 (financial year end)							
2003 (financial year end)							
2004 (financial year end)							
2005 (28 February 2005)							

#### 8.1.2 Table 2

.00	
ji.	en.

ı	Fishery in which Applicant Holds a Medium Term Right	
	Applicants Annual Catch Allocation (in tons)in this fishery in 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated

Fishery in which Applicant Holds a Medium Term Right				
Applicants Armual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated		

Fishery in which Applicant Holds a Medi	Term Right
Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this flahery) 2004 Financial Year End wages per ton allocated

Fishery in which Applicant Holds a Medic	ım Term Right	
Applicants Annual Calch Allocation (in tone) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
**************************************	<b>"</b>	

Fishery in which Applicant Holds a Mediur	n Term Right	
Number of Units of Effort Allocated to the Applicant in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per unit of effort allocated

Fishery in which Apolica	nt Holds a Medium Term F	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (In relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton effocated	Total Employees loss Seasonal Employees (In relation to this fishery) (26 February 2005)	How many people (les seasonal employees) of the applicant employ pe ton allocated
· Fishery in which Applica	, I Int Holds a Medium Term F	ight		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this lishery) (28 February 2005)	How many people (les seasonal employees) do the applicant employ pe ton allocated
	nt Holds a Medium Term R		Total Employous loss	How many people (les
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Seasonal Employees (in relation to this fishery) (28 February 2005)	seasonal employees) do
Fishery in which Applican	nt Holds a Medium Term F	ioht		
oplicant's Annual Catch Viocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees loss Seasonal Employees (in relation to this fishery) (26 February 2005)	How many people (les seasonal employees) of the applicant employ p ton allocated
Fishery in which Applican	t Holds a Medium Term R	ght		

Applicant's five highest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five salaries	What was the multiple bet lowest and the average of February	seen the average of the the five highest salaries at py 20057
Applicant's five lowest armuel selaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of lowest five selaries		
	_		10 mg
		34.840 (2)	
			00000
	<u></u>		Investm

Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

#### Section 9 nvasimani 9.1.2 Table 2 Total Insured Value of Harbour and See Based Total Insured Value of Total Land Based Fixed Assets Percentage Increase or Decrease in Rand Value of Total Fixed Assets Insured Value of Total Year Fixed Assets Fixed Assets 2002 (financial year end) 2003 (financial year end) 2004 (financial year end) 9.1.3 Table 3 Fishery in which Applicant Holds a Medium Term Right. Book Value (in rands) of Book Value of Land-Based Assets per ton allocated to applicant Book Value (in rands) of land-based assets at 2004 financial year end Book Value of Harbour and harbour and sea-based assets at 2004 financial Annual Catch Allocation (In See-Based Assets per ton allocated to applicant tons) to the applicant in 2004 year end Fishery in which Applicant Holds a Medium Term Right Book Value (in rands) of harbour and sea-based assets at 2004 financial Book Value of Harbour and Sea-Based Assets per ton allocated to applicant Book Value of Land-Based Book Value (in rands) of land-based assets at 2004 financial year and Annual Catch Allocation (in Assets per ton allocated to tons) to the applicant in 2004 applicant year end Fishery in which Applicant Holds a Medium Term Right Book Value (in rands) of harbour and sea-based assets at 2004 financial Book Value of Land-Based Assets per ton allocated to Book Value (in rands) of land-based assets at 2004 Book Value of Harbour and Annual Catch Allocation (in Sea-Based Assets per ton allocated to applicant tons) to the applicant in 2004 applicant financial year end vear end Fishery in which Applicant Holds a Medium Term Right Book Value (in rands) of Book Value (in rands) of land-based assets at 2004 financial year end Book Value of Harbour and Sea-Based Assets per ton allocated to applicant Book Value of Land-Based Annual Catch Allocation (in tons) to the applicant in 2004 harbour and sea-based assets at 2004 financial Assets per ton allocated to applicant year end Fishery in which Applicant Holds a Medium Term Right Book Value of Harbour and Book Value (in rands) of harbour and sea-based assets at 2004 financial Number of units of effort Book Value (in rands) of land-based assets at 2004 financial year end Book Value of Land-Based Sea-Based Assets per unit of effort allocated to applicant allocated to the Applicant in Assets per unit of effort allocated to applicant 2004 year end

				Section
9.1.4 Table 4				٥
	ant Holds a Medium Term Rig	ght		
Armuel Catch Allocation (in tons) to the applicant in 2004	insured Vakie (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per for allocated to applicant
Fishery in which Applica	ant Holds a Medium Term Ric			
Annual Catch Allocation (in lons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year and	Insured Value of Harbour and Sea-Based Assats per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
·				
	nt Holds a Medium Term Rig Insured Value (in rands) of			
Annual Catch Albeation (in tons) to the applicant in 2004	harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ion allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
	nt Holds a Medium Term Flig Insured Value (in rands) of			
Annual Catch Allocation (in tons) to the applicant in 2004	harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
	nt Holds a Medium Term Fligi Insured Value (in rands) of			Insured Value of Land-
Number of units of effort allocated to the applicant in 2004	harbour and see-besed assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per unit of effort allocated to the applicant	
9.1.5 A detailed expla annexure.	anation <i>ot</i> all investme	entmade in marketing	j must be incl	uded an
9.1.6 A detailed expla an inexur	nation of all investme	nt made in processing	ritic must be i	as 💢
9.1.7 Has the applica	ınt invested in on-boaı	rd or land based proce	ssingfacilities?	O Yes O No

							Section 1
	***************************************				-		mic Developmer
10.	ir the		in atic			sites used:	Percentage of Total Catch
	Harbour / Lar	xing SteName		Fishery		Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
<u> </u> _			1			<u> </u>	1
				<u> </u>			
				····			
		·		·			
-	· · · · · · · · · · · · · · · · · · ·						
		<del></del>		·			
<u> </u>				<u>.</u>			
			-	<del></del>			
	To	iai -					
10.2	At which hark	oours d					
	landing sites v	will the distance the distance			HARDOUT / L	anding Site Names	
					,		

10.3  $\rm H\,a\,s$  the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



				Section 1
		. Value	Add & Enterp	ise Developmen
11.1 Is the applicant directly involved in any value adding process in any other fishery?	○ Yes	○ No		Ø,
11.2 Has the applicant been responsible for any enterprise development?	○ Yes	O No		Ø
				Section 1: Performance
				Financia
<b>12.1</b> What <b>is</b> the applicant's <b>2004</b> audited, certified Annual Turnover?	verifiedor	Γ		7 0 10
<b>12.2</b> Complete the following table in relation	n to ratio pe	rformance	:	
Year Return on Net Assets (FICINA)	Debt: Eq	Ay Fallo	Quirent Ratio	Quick Railo (Acid Test)
2001				
2003		· <u>·</u>		
2004				
12.3 Provide the following information in re	lation to the	applicant's	s income statements:	
Yeer Turntyer	Profit Al	ner Tax	Retained Elimings	Dividends Paid / Distribution of Profits
2001		<del></del>		
2003				
2504				
12.4 Provide the following information in rel	ation to the	applicant's	s balance sheet:	
Year Assets	Long-Term	Lighthies	Current Linbillins	Shareholder's Equity / Member's Contribution
2001				
2003				
2004				

				ion 12
			Perforr	<u> </u>
12.5 Provide the following information in relation to the applicant's cash flow	<i>r</i> -		FIN	ancial
Net Cash inflow / Outflow from Net Cash Inflow / Outflow			nfow / Outlic	
Operations Investing Activities		PERM	cess Activitie	5
2002		<u>.</u>		
2003				
2004			<del></del>	
12.6 If the applicant is a newly established entity, please provide a detailed	statemer	nt of how	the	<\s\cdot\)
applicant intends to finance it's entry into this fishery as Schedule 12B.				
				ion 13
			Fishin	
13.1 Does the applicant have a fishing plan?	○ Yes	Ом		
13.2 Will the applicant be personally responsible for implementing is fishing plan?	O Yes	Ом		Ø
13.3 Will a third party be responsible for implementing the applicant's fishing plan?	○ Yes	Ом		Ø
13.4 Ifyes, who is the third party?				
				ion 14
By-Catch and Environmental	lly Sus	tainal	ole Pra	ctices
14.1 Does the applicant have a by-catch management strategy?	(	Yes	O No	Q
14.2 Does the applicant intendto put in place any measures to reduce ener		○ Yes	O:No	CX.
and fuel reduction consumption on vessels, and in processing facilities	S? `			
14.3 Has the applicant undertaken or sponsored any research in relation to	)	O Yes	O No	Ø
environmentally sustainable practices?				
14.4 Does the applicant intend to put in place any measures to reduce dam to sea beds?	age (	) Yes	ONO	C

Section 15
 Fishing Levies

15.1Please provide the following information in relation to levies paid since 2001:



Year	Species	Total Catch in Tons	Ewins Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002		•	
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy payment?	Date yyyy/mm/dd	Amount
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	

## Section 16 tre te tanda i i k i **Applicant Declaration** i) I have read the instructions set out on 2 and 3 of this application form; (b) the informatin submitted with and in this Application is true and correct and complete. (c) If accept that if any information in this Application is true and correct and complete. (c) If accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application: constitute an independent ground for refusing an application; (f) the applicant accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signed at: day of \_\_\_\_\_\_ 2005 Signature of Authorised Representative: ———— Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration Commissioner of Oaths **Full Name** Designation Physical Address I, the undersigned, do hereby make oath and declare that: **Holding Company Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form: (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application is not like to complete, or in taste information is interested, or the terror disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signature of Authorised Representative of Holding Company (if applicable) Signed at: This day of 2005 Signature of Authorised Representative: \_\_\_\_\_ Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration Commissioner of Oaths **Full Name** Designation

Physical Address

I, te und signe do h t (a) I have ad the instruction (b) the information submitted	ns set out on pages 2	tt t 13 of this application form; is true and correct and	Sister Company Declaration	
(c) I accept that if any information in this Application is not true a complete, or if false mformation is provided, or material Information is not				
disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alterationor reduction of any right. license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 or 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who				
or an official of the Depar	any information relevant tmentof Environmental	Affairs and Tourismor <b>the</b> Righ		
responses to written requ	uests for information or	explanations, by attending mee	to co-operate with any investigators by timeously submitting stings with investigators, by answering questions satisfactorily remises, vessels and documents. The applicant accepts that	
failure to co-operate in this	s regard will constitute a	an independent ground for refus	ing an application;	
<ul> <li>authority or appellant auth in this application form, will</li> </ul>	nority on the allocation of result in the application	of a`right'a quantum or effort, i	hat any attempt to influence the decision of the delegated n any manner other than provided for in the General Policy or	
Signature of Author	orised Represe	ntativeof Sister Con	npany (if applicable)	
Signed at:				
This	day of	200	05	
Signature of Authorised Representative:				
Representative's F	•			
The authorised represer		at he/she knows and undi	erstands the contents and implications of the above	
declaration. Commissioner of Oaths				
Full Name				
Designation				
Physical Address				
I, the undersigned, do hereby (a) I have read the instruction	ns set out on pages 2 a	nd 3 of this application form;	JV Partner Declaration	
(c) I accept that if any info	rmation in this Applicat	ntion is true and correct and cor on is not true or complete, or	iffake information is provided, or material Information is not	
license or permit granted	on the strength of <b>thi</b> s A	Application, in terms of Section 2	, suspension, cancellation, alteration & reduction of any right, 28 of the Marine Living Resources Act 18 of 1998; uthorise any institution, organ of state, person or body, who	
possesses or acquires a	nv information relevant	to the application, to disclose Affairs and Tourism or the Righ	or make the information available to the Minister, his delegate	
(e)responses to written requ	uests for information or	explanations, by attending mee	to co-operate with any investigators by timeously submitting tings with investigators, by answering questions satisfactorily	
at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application;				
(f) (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused.				
Signature of Authorised Representative of JV Partner (if applicable)				
Signed at:				
This	day of	200	05	
Signature of Author	orised Represe	ntative:		
Representative's Full Name:				
The authorised represen	tative declares that	it he/she knows and unde	relands the contents and implications of the above	
declaration. Commissioner of Oaths				
Full Name				
Designation				

#### **SCHEDULES**

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE  $\sigma$  THAT ANNEXURE

#### Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

#### Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

# Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individual involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

#### Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 48;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

#### Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must **be** attached as Annexure 5A.

#### Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Question 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Question 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Question 6.4.1 in respect of the employees of the applicant and of any other entities **as** per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details **of** any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

#### Schedule 6 - Transformation

- i) Section **6.7.5:** Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61.**
- j) Section 6.7.7: If the appticant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well as whether the member is Black, as Annexure **6M.**
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R.**
- s) Section **6.14.1** and **6.14.2**: If the applicant completed Sections **6.14.1** and **6.14.2** with reference to the skippers of both the applicant and of any other entities **as** per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.14.1** and **6.14.2** for both the applicant and the other entities separately and submit these as Annexure **6S**.
- t) Section **6.15**, **6.16** and **6.17**: If the applicant completed Sections **6.15**, **6.16** and **6.17** with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section **6.17** for both the applicant and the other entities separately and submit these **as** Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22**: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

#### Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If 'Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.1 1: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

#### Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B,

#### Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C:

## Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A

## Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 116.

## Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery as Annexure 126.

## Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan **as** Annxure 13A, paying particular attention to:
  - a) days to be spent fishing per vessel nominated;
  - b) areas to be targeted;
  - c) species to be targeted and mix of species;
  - d) expected size of species to be targeted;
  - e) detailed information regarding:
    - Age
    - Size
    - Gear
    - Fishing capacity and
    - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 13B. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

## Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 146.
- c) Section **14.3:** Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure **14C**.
- d) Section 14.4: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

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APPLICAT	TION NUMBER:
	NVIRONMENTAL AFFAIRS AND TOURISM : MARINE AND COASTAL MANAGEMENT
	Horse Mackerel
Long Ter	m Rights Allocation Process: 2005 Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	Application Form For: New Entrants
Company Registration Number or	Application Form For: New Entrants
Company Registration Number	Application Form For: New Entrants
Company Registration Number or Close Corporation Registration Number	Application Form For: New Entrants
Company Registration Number or Close Corporation Registration	Application Form For: New Entrants

## IMPORTANT INFORMATION INSTRUCTIONS

#### GENERAL

- 1) This application form must be read together with these instructions, the Explanatory Notes, the Scheduler; to the application form and the Procedures for Independent Auditors (available on the Public Accountants' arid Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <a href="mailto:ciustera@deat.gov.za">ciustera@deat.gov.za</a>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669 Fax: (021)670-1782 E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
  - the information provided will be treated as confidential:
  - there is any documentation or information required in response to a question in the form of an annexure;
  - -the applicant's auditors need to verify a response; and
  - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application **will** be refused



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information. Pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provided etails of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs.The Departmentmay request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

# IMPORTANT INFORMATION INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

#### LODGEMENTOF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

#### IMPROPER LODGEMENT'

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late:
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

#### MATERIAL DEFECTS

15) Applications that are materially defective Will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company. sister company(ies) and the joint venture partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, ifapplicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

Section 1 Applicant Details
<ol> <li>The applicant must supply a profile of its organisation including an organogram and a description of its fishing operations, as Schedule 1A.</li> </ol>
1.1 Registered Name of Applicant
1.2 Trading Name of Applicant:
1.3 Registration Number:
1.3 Registration Number:  1.4 Income Tax Number:  1.5 Vat Number:
1.5 Vat Number:
1.6 Skills Development Levy Number:
1.7 Have the applicant's details (1.8.1 - 1.8.7d) changed since the applicant registered?
1.8 If "Yes", complete only those details that have changed:
1.8.1 Principal Place of Number and Street
Business: Suburb:
Town / City:
Postal Code:
According to the latest and the second County Popper
1.8.2 Postal Address: Number and Street / PO Box:
Suburb:
Town / City:  Postal Code:
Area Code:  1.8.3 Telephone Number:
1.8.4 Additional Telephone Number:
1.8.5 Fax Number:
1.8.6 Nartherised Contact Person First
1.8.7 Sourthamissed Contact Person
a) Identity Number:
b) Position Held / Relationshipto Applicant
c) Cellular Number:
d) E-mail Address:

					ction 2
2.1 Does the applicant hold a medium term fishing right in any other sectors?	○ Yes	O No	Ø	orm of Ap	
2.2 If "Yes", complete the following:					
Fishery in which the Applicant holds a Medium-Terr	m Right	20	101 Application For	m Number	
<b>2.3</b> Is the applicant more than 50% South African owned?	O Yes	O No			
2.4 Does the applicant have a valid tax	() Yes	○ No		Ć.	
clearance certificate?					gue.
2.5Complete the following table in relation to a	asset value a	nd turnover:			
Year	Turnover		Gross Asset Va	alue (excluding fixed	property)
2004 (Financial Year End)					
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	○ Yes	○ No	Ø		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	○ Yes	○ No	Ø		
					ition 3
3.1 Has the applicant, or any of its medirectors:	embers, sha	reholders o	or		
<b>3.1.1</b> been convicted of a contravention regulations, or permit conditions of period?			right O Yes	O No	C C
3.1.2 entered into a plea bargain under 51 of 1977, for a contravention of the or permit conditions during the media	he MLRA, or	the regulat		No 🍨	Ø
3.1.3 paid an admission of guilt fine for a the regulations, or the permit condition right period?				○ No 🍁	Ø

Sec	tion 3
Compl	iance
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	♥
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?	Ø
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period? Yes O No	Ø
Seci Vessel D	ion 4 aplis
4.1 How many vessels does the applicant nominate for use in this fishery?	~ <i>t</i>
<b>4.2</b> Fill in the relevant details in the tables below regarding each vessel nominated:	C
Vessel 1	
Vessel name MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South	
African Certificate of Registry  Nature of Access to Vessel	
Vessel filted with a functioning VMS	
System (Y/N)	
Menufacture of VMS System  Vessel Length in metres according to	
SAMSA Safety Certificate  Vessel Horsepower as per SAMSA	
Safety Certificate	
Vessel 2	
Vessel name	
MCM Area Number SAMSA Number	
Number of crew according to SAMSA	
Safety Certificate Owner of Vessel as indicated by South	
African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

	Section
	Vessel Detail
Vessel name	Vessel 3
MCM Area Number	
SAMSA Number  Number of crew according to SAMSA	
Safety Certificate Owner of Vessel as indicated by South	
African Certificate of Registry  Nature of Access to Vessel	
Vessel littled with a functioning VMS	
System (Y/N) Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	
≪	
	Vessel 4
Vessel name MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Salety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	
CZ .	
	Vessel 5
Vessel name	
MCM Area Number SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel filted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

#### Section 5 Catch Utilisation 5.1 Did the applicant over-catch or under-catch in any other fishery by more O Yes O No than 10% during the medium term rights period? 5.2 Complete the following in relation to the applicant's catch records if the applicant holds medium term rights in other sectors (excluding sectors where effort and not catch is allocated Fishery in Which Applicant Holds a Medium Term Right Percentage under-caught Total Actual Catch of Applicants Allocation (in nominal tons) Annual Catch Allocation(in metric tons) Percentage over-caught 2002 Season 2003 Season 2004 Season Fishery in Which Applicant Holds a Medium Term Right Total Actual Catch of Applicants Allocation (in nominal tons) Annual Catch Allocation(in metric tons) Percentage under-caught Percentage over-caught Year 2002 Season 2003 Season 2004 Season Fishery in Which Applicant Holds a Medium Term Right Annual Catch Allocation(in metric tons) Total Actual Catch of Applicants Allocation (in nominal tons) Percentage under-Percentage over-caught Year caught 2002 Season 2003 Season 2004 Season Fishery in Which Applicant Holds a Medium Term Fight Annual Catch Allocation(in metric tons) Total Actual Catch of Applicants Allocation (in nominal tons) Percentage under-caught Year Percentage over-caught 2002 Season 2003 Season 2004 Season Section 6 Transformation Management and Employment Equity 6.1 Was the applicant a designated employer as defined in section 1 of the O Yes O No Employment Equity Act, 55 of 1998 as at 28 February 2005? 6.2.1 If "Yes", has the applicant complied with the Employment Equity Act, 55 Yes ONO of 1998? 6.2.2 If "No", has the applicant voluntarily complied with the Employment O Yes O No Equity Act, 55 of 1998?

E	Management and Employment Equity
	Transformation
	Section 6

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.

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	company, or members (ii a close corporation) as at 20 replicary 2005.								
	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black (Y/N)	Gender (M/F)	ID Number			
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									

# Section 6 Transformation Management and Employment Equity

## 6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner (Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1	1	ļ			ı	ļ	ı
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
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14						İ	
15							
16							· · · · · · · · · · · · · · · · · · ·
17		·					
18					·		
19							

## Section 6

## Transformation



## Management and Employment Equity

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salariesin this Table	Gender (M/F)	ID Number
20							
21							
22		-					
23							
24							
25	,						
26	<del></del>						
27							
28							
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54							
55							İ

## Section 6

## Transformation



## Management and Employment Equity

- I			1016	ıııay	enient and E	itipio	ymem Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
56	<del></del>			<u> </u>		<del> </del>	
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89							
90				<u> </u>			

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						Mana	agem	ent ar	nd Em		nent E	
	Complete the folio			lation to	income						Ø	<u></u>
	Gross Monthly Income to Compan	e (Total Cos	t Nu	mber of playees		ntage of Tot		ber of Blac reployees	" To	centage of stat Black aployees	F	mber of emale ployees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>apicyoos</td><td></td><td>hachaga</td></r2>									apicyoos		hachaga
2	Between R2 500 ar	nd R5 500										
3	Between R5 501 an	d R10 500									_	
4	Between R10 501 ar	rd R16 000					1					
5	Between R16 001 ar	rd R20 000									<u> </u>	
6	Between R20 001 ar	nd R25 000							†		+	
7	Between R25 001 ar	d R30 000					+					
8	Between R30 001 ar	d R40 000					1					
9	Between R40 001 an	d R60 000					1		<u> </u>		1-	
10	>R60 000						1-		-		-	
	Total		1			100%	1	<del> </del>		100%		
	Does the applicant	t contribu	te towa	arc'	-1' - 1 -	and pe	nsion fo	or its	L	_		<del>~</del> ×
	mployees? Does the applican	t provide	any ot	her type	of bene	efits for .it	s empl	ovees?	_	'es 'es C	No No	Š
	mplete the follow	-	•	• • •			•		_			the 🗀
Dq	partment of Labor n the table must b	ur in Octo	ber 20	04. If the	e applic	ant is no	t desig	nated or	did nat	volunta	rily com	oly 🕌
					,						Tr	Hal
Occi	pational Categories	Gender	A No	A%	CNo	0%	INo	1%	W No	W %	ACI %	F%
	Senior Management /	Male										
ienior (	Officials and Managers	Female										
********	sionally Qualified and	Male										
experie	enced specialists and											
experie mi		Female						ŀ				
experie mi Skilled T qualifie	anced specialists and d-management / Professionals echnical and academically ad workers, supervisors,	Female Male										
experie mi Skilled T qualific foreme	enced specialists and d-management / Professionals echnical and academically											

Female

Male

Female

TOTAL

A - African C - Coloured I - Indian W - White M - Male E - Female ACI - African Coloured and Indian

Section 6
Transformation
Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers** only.

							To	Total			
Occupational Categories	Gender	A No	Α%	G No	C%	1 No	1%	W No	W %	ACI%	F%
Top and Senior Management / Senior Officials and Managers (as per 2001 application form)	Male										
	Female										
Fop and Senior Management / Senior Officials and Managers 2002 (If not designated as at financial year end 2002)	Male										
	Female										
Fop and Senior Managemen Senior Officials and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



							Total				
Occupational Categories	Gender	A No	A%	G No	C%	1 No	1%	WiNo	W %	ACI%	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and	Male										
mid-management / Professionale 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management / Professionals 2004 (If not designated as at financial year end 2004)	Male										
	Female										

	Section 6 Transformation
6.7 Is the applicant a company?	Ownership - Companies Only  O Yes O No
6.7.1 If "Yes", complete the table below in respect of sha	areholding held by black persons:
	age Vating Rights Held Percentage of Dividends Black Black Persons Shareholders are Entitled To
2001 (as in 2001 application	Similar San Control of the Control o
form) 2005 (at date of application)	
6.7.2 Complete the following table in relation to sharehol	ding held by women based on the flow
through principle:	dirighted by women based on the now
Year Percentage Shareholding Held Percents by Women	age Voting Rights Held Percentage of Dividends Women are by Women
	103.00
2005 (at date of application)	
6.7.3 Did the applicant have a share scheme in place in employees?	2004 for O Yes O No 💢 🧢
6.7.4 If"Yes", what is the percentage shareholding held	
employees not listed under question 6.3.2, through	
6.7.5 Complete the following table in relation to monies p	
Year Salaries Paid to Shareholders  2002 (financial year)	Loans Made to Shareholders Dividends Paid to Shareholders
2003 (financial year)	
2004 (financial year)	
6.7.6 Was the applicant managed by another entity, or war processing or marketing of a 2004 allocation in any by another entity during the 2004 financial year?	
6.7.7 If "Yes", complete the table <b>below:</b>	
Function Rand Amount Paid to Ott 2004 Finance	
Management	
Catching	
Processing	
Marketing	

	Ownersł	Tra nip - Close Corpo	Section 6 insformation prations Only
<b>6.8 Is</b> the applicant a close corporation?	O Yes	Ono	
<b>6.8.1</b> If "Yes", complete the table below in resp	ect of members intere	est held by black persor	ns:
Year Percentage interest Held b Black Persons  2001 (as in 2001 application form)	y Percentage Voting Righ Blank Person		off Distribution Black are Entitled To
2005 (as at date of application)			
6.8.2 C µ ete the table below in relation to he	einbi s interest held b	y women:	
Year Percentage Interest Held b Women  2005 (as at date of application)	y Percentage Voting Righ Women		<b>Havition Women ard</b> Illed To
<ul><li>6.8.3 Did the applicant have a profit sharing schin 2004?</li><li>6.8.4 If "Yes", what percentage of profit were en under question 6.3.2 entitled to?</li></ul>		n place  O Yes  N	。 <u>くく</u> ニー
<b>6.8.5</b> Complete the following table in relation to	monies paid to memb	pers:	
Year Salaries Paid to Memi 2002 (financial year) 2003 (financial year)	DOETS LORENS Maide to	5 Members Profit Distri	puted to Members
6.8.6 Was the applicant managed by another en processing or marketing of a 2004 allocation by another entity during the 2004 financial	on in any fishery perfo	ing O Yes O	) No
6.8.7 If "Yes", complete the table below:			
Function Rand /	Amount Paid to Other Entity	Fland Amount as a Applicants Tun	
Management Catching			
Processing			

		Sec Transforr Skills Develo	
6.9 Has the applicant met the targets set in the Transformation Plan submitted in 2001?	what/Partially	O Not at all	Ø
6.10 Complete the following table in relation to compliance with the Act 9 of 1998:	Skills Developme	ent Levies	and the second
Year Did the applicant submit Did the applicant submit an Annual SARS in ski which was approved (Y/ Training Report which was approved (Y/N) was approved (Y/N)	BS PERCERIBLES		jet Spent
2001 (financial year end)			
2002 (financial year end)			
2003 (financial year end)			
2004 (financial year end)			
6.11 Did the applicant participate in a learnership programme during	g the medium	○ Yes ○ No	<sub>jo</sub>
term rights period? 6.12 If "Yes," indicate the number of learnerships as a percentage of employees in respect of whom the applicant prepared IRP5 can 2004 (tax year end).			
6.13. Did the applicant participate in any other skills development programmes through its SETA in 2004 such as an SME Supp	port Strategy?	○ Yes ○ No	Ø
6.14.1 How many skippers are used by the applicant?	]		
6.14.2 What percentage of these skippers are black?	]		
6.15 Does the applicant make denotions of	Corporate S	Social Invest	ment
6.15 Does the applicant make donations of its annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962?			Q
6.16 If "Yes", indicate what percentage of net profit earned in the	O 0 - 0.5 %		Ø
2004 financial year these donations amount to:	O 05 - 1% O > 1%		

		Transform
		Corporate Social Investr
7 Indicate th	e five largest tax deductible donation	ns made per annum since 2001 in the table below.
Year	Benefiting Organ	
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefiting Organ	nisation Rand Value of Amount Dona
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organ	heation Rand Value of Amount Dona
2003 - 1		
2003 - 2 2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organ	seation Rand Value of Amount Dona
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
	including school fees)?

**6.19 If** "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

0 0 - 0.5 %



O 0.5 = 1%

0 > 1%



**6.20 If** other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year Benefitting Organisation	Rand Value of Amount Donated
2004-1	
2004 - 2	
2004 - 3	
2004 - 4	
2004 - 5	

			2,424	Se Transfor	etion 6 mation
			Affirmativ	e Procur	ement
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes Or	10		Ø
6.22 Provide the following information in (operational expenditure) in 2004:	relation to the applicar	nt's top ten S	South African	suppliers	Q <sub>o</sub>
	Percentage of Black	Amount Pag	d to Supplier in	Percentage of	Total
Name of Supplier	Ownership of Supplier		in 2004	Expense	
		 <del> </del>	<u> </u>		
·					
				<del></del>	
			·		
				· · · · · · · · · · · · · · · · · · ·	
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	<b>ነ</b> ?		OYes O	NO	CZ
6.24 Has the applicant instituted a system	to		•		
measure its affirmative procurément spend <b>as</b> a percentage of total procurement spend?			OYes O	NO	
				Sec	tion 7
	Vesse	Crawa	nd Enviro		
				Contrave	dalam balan 1974)
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty un Shipping Act, 57 of 1951?					Ø
5					
7.2 Has the applicant, during the medium to forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the Ma		d, or O <b>Y</b>	es O No	Ø

Vessel, Crew and En			000,00000000000000000000000000000000000
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	O No	Ø
Crew Safety & W	orking/	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O NO	arphi
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	Ø
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	C
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	O Yes	O No	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	○ Yes	O No	
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	Q
	۷	essel S	Safety
7.11 Have the nominatedvessel(s) been issued with a valid Local General Safety Certificate?	○ Yes	○ No	Ø
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
En	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No	Ø
7.14 Does the applicant have a Garbage Management Planfor each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	O No	CX

#### Section 8 Job Creation 8.1 Complete the following tables in relation to job CTE 8.1.1 Table 1 Employees in respect of which the applicant does Employees in respect of which the Employees in respect of which the applicant prepares IEPS Employees in respect of which the Percentage Total Amount of Salary Bill as per Financial Total Number of applicant does Year applicant decrease in total number of Employees (Golumns 1-4) not prepare IRP5 Certificates not prepare IRP5 Certificates prepares IRP5 Certificates (Land Based) Certificates (Sea Based) employees (Land Based) (Sea Based) 2001 (date of 2002 (financial year end). 2003 (financial year end) 2004 (financial year end) 2005 (28 February 2005) 8.1.2 Table 2 Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons)in this fishery in 2004 Fotal Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Number of Units of Effort Allocated to the Applicant in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per unit of effort allocated

				Section 8
			(Original Control	Job Creation
8.1.3Table 3				مر
Fishery in which Applica	ant Holds a Medium Term	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per for allocated	Total Employees less Seasonal Employees (in relation to this fishery) (2) February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
ŀ	İ	·	<u> </u>	]
Fishery in which Applica	ant Holdis a Medium Térm F	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
		<u></u>	<u> </u>	
Fishery in which Applica	nt Holds a Medium Term R	liaht	· · · · · · · · · · · · · · · · · · ·	
Applicant's Annual Catch	Total Employees (in	How many people does the	Total Employees less Seasonal Employees (in	How many people (less
Allocation (in tons) in this fishery in 2004	relation to this fishery) (28 February 2005)	applicant employ per ton allocated	relation to this fishery) (28 February 2005)	seasonal employees) does the applicant employ per ton allocated
Fighan is which A B	rit Holds a Medium Term F			
Applicant's Annual Catch			Total Employees less	Here many annula (In
Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
Fishery in which Applican	nt Holds a Medium Term Ri	glit		
Number of units of effort allocated to the Applicant in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per unit of effort allocated?	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per unit of effort allocated?

							ection 8
8.1.4 Table	4	***************************************		······································		Job	Creation
Applicant's five	highest annual salaries (total or east 1 March 2004 and 28 Febr	ist to company) paid pary 2005	Average of top (	ive selectes	What was the multiple lowest and the average Fig.	o between the avera e of the five highest abrumy 2005?	pe of the live salaries at 28
				I			
Applicant's five i	Owest arrough estartes (total oce en 1 March 2004 and 28 Februa	to company) paid	Airerage of lowest				
DITTER	en 1 march 2004 and 26 Febru	RY 2006		016 GENERAL			
	<del></del>						
				<b>-</b>			
	•						ction 9 stment
9.1 Complete	the following tables:	:					
9.1.1 Table 1						Q	
Year	Book Value of Total Fixed Assets	Percentage Incre in Rand Value of T	ase or Decrease cial Fixed Assets	Total Book Based	Value of Total Land Fixed Assets	Total Book V Harbour and S Fixed Ass	ea Based
2002 (financial							

2003 (financial year end)

2004 (financial year end)

								Section
9.1.2 Table 2			nhahalalli				İn	vestme C
Year Insured	i Value of Total ed Assets		a Increase or Decre ue of Total Fixed As		otal insured Vi and Based Fi		Harbour ar	red Value of id See Based Assets
2002 (financial year end)								
2003 (financial year end)								
2004 (financial year end)				L				
9.1.3Table 3								<i></i>
Fishery in which Applica			ht					
Annual Catch Allocation (intens) to the applicant in 2004		sea-based 14 financial	Book Value (in n land-based asset linancial year	s at 2004	Sea-Based A	Harbour and saets per ton applicant	Assets per t	of Land-Based on allocated to licant
Fishery in which Applica	ant Holds a Medic Book Value (i							
Annual Catch Allocation (in tons) to the applicant in 2004	harbour and	ee-based 4 financial	Book Value (in re land-based asset financial year	s at 2004	Sea-Based A	Harbour and seets per ton applicant	Assets per to	of Land-Based on allocated to licant
Fishery in which Applica	ent Holds a Medic	ım Term Rigi	at					
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (i harbour and s assets at 200 year o	lea-based 4 financial	Book Value (in ra land-based asset financial year	s at 2004	Book Value of Sea-Based Ar allocated to	ssets per ton	Assets per to	if Land-Based on allocated to locant
Fishery in which Applica	nt Holds a Mediu	m Term Righ	ıt					
Annual Catch Allocation (in lons) to the applicant in 2004	Book Value (in harbour and s assets at 200 year o	ea-based 4 financial	Book Value (in ra land-based assets financial year	at 2004	Book Value of Sea-Based As allocated to	sets per ton	Assets per to	f Land-Based in allocated to card
Fishery in which Applica	nt Holds a Mediu	m Term Righ	•					
Number of units of effort	Book Value (In	rands) of	Book Value (in ra	unco por a	Book Value of Sea-Based As	Harbour and		Land-Based
allocated to the Applicant in 2004	assets at 200 year a	4 financial	land-based assets financial year		of effort all applic	ocated to	Assets per allocated to	

Fishery in which Applica	int Holds a Medium Term Rig	ht :		
Annual Catch Allocation (in tone) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (In rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land Based Assets perfort allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rig	hi		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	insured Value of Land- Based Assets per ion allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rigi	nt Insured Value (in rands) of	Insured Value of Harbour	Insured Value of Land-
tons) to the applicant in 2004	harbour and sea-based assets at 2004 financial year end	land-based assets at 2004 financial year end	and See-Based Assets per ton allocated to applicant	Based Assets per for allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rig	र्वा		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Bessel Assets per ton allocated to applicant
	nt Holds a Medium Term Rigi			
Number of units of effort located to the applicant in 2004	insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Herbour and Sea-Based Assets per unit of effort allocated to the applicant	Insured Value of Land- Based Assets per unit of effort allocated to the applicant
			}	

9.1.7 Has the applicant invested in on-board or land based processing facilities?

196	Section 10	N. Processor
	Local Economic Development	

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing SiteName	Fishery	Pone Landed at Feathers in 2004	Percentage of Total Carch Landon or 2004
Total			

Harbour/Land	ling Site Names

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



			Value	Add & Ent	erorise D	Section :
	nt directly involved in ling process in any	O Yes	○ No			Ø
	cant been responsible prise development?	○ Yes	ОиО			$\heartsuit$
-	•					Section :
						erformand Financi
<b>12.1</b> What is the ar	oplicant's <b>2004</b> audited, v	verified or			7	(X)
certified Annu			L_ rformance	<del></del>		
Year	Return on Net Assets (FIONA)	Debt: Eq		Current Retu	Quick	Ratio (Acid Test)
2001						
2002						
2003						
2004						
2.3 Provide the fo	llowing information in rela	ation to the	applicant's	s income statem	ents:	
Year	Turnover	Profit Af	ter Tax	Retained Earnin		idends Paid / button of Profits
2001						
2002						
2003						
2904						
12.4 Provide the fol	llowing information in rela	ation to the	applicant's	balance sheet:		
Year	Assets	Long-Term	Listilities	Corrent Liabilitie	s Shara Membr	holder's Equity / er's Contribution
2001		·				
2002						
2003						
2004					}	

				tion 12
				mance
12.5 Provide the following information in relation to the applicant's each fle	)\A/'		FII	nancial
12.5 Provide the following information in relation to the applicant's cash flo		Nac		
Year Net Cash Inflow / Outflow from Net Cash Inflow / Outfle Operations Net Cash Inflow / Outfle Investing Activitie		Finar	nflow / Outl icing Activiti	es
2001				
2002			, <u>.</u>	
2003				
2004				
12.6 If the applicant is a newly established entity, please provide a detailed applicant intends to finance it's entry into this fishery as Schedule 120	d stateme 6.	ent of how	the	♥
			Sect	tion 13
				g Plan
13.1 Does the applicant have a fishing plan?	O Yes	O No		₩.
13.2 Will the applicant be personally responsible for implementing its fishing plan?	O Yes	○ No		C
<b>13.3</b> Will a third patty be responsible for implementing the applicant's fishing plan?	O Yes	O No		C
13.4 If yes, who is the third patty?				
			Sect	ion 14
By-Catch and Environmenta	lly Sus	tainab		
14.1 Does the applicant have a by-catch management strategy?		O Yes	○ No	C
<b>14.2</b> Does the applicant intend to put in place any measures to reduce ene and fuel reduction consumption on vessels, and in processing facilities		○ Yes	○ No	Ø
<b>14.3</b> Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	ο ,	O Yes	O No	Ø
<b>14.4</b> Does the applicant intend to put in place any measures to reduce dam to sea beds?	nage (	◯ Yes	O No	Ø

		Section 15
		Fishing Levies
15.1Please provide the following information in relation	n to levies paid since 2001:	
Year Spacies	Total Calch in Tons	Lovies Paid
2001		
2601		
2001		
2001		
2001		
2002		
2002		
2002		
2002		
2002		
2008		
2003		
2003		
2003		
2003		
2004		
2604		

15.2 What was the date and amount of the applicant's most recent levy payment?

/ /		J.
Date vvvv/mm/dd	Amount	

Physical Address

Section 16

## I the undersigned, at her t ik th 1 declare that: **Applicant Declaration** (a) I have 1 th instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Livii Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Riihts Verification Unit; (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusingan application; (f) the applicant accepts that any attempt to influence the decision of the delegated authority or appellantauthority on the allocation of a right or quantum a effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signed at:— \_\_\_\_\_ day of \_\_\_\_\_ 2005 This-Signature of Authorised Representative: — Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths **Full Name** Designation Physical Address I, the undersigned, do hereby make oath and declare that: **Holding Company Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Informationis not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration a reduction of any right, licenseor permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, his delegate or an offilial of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary. by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regardwill constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policvor in this application form, will result in the application being refused. Signature of Authorised Representative of Holding Company (if applicable) Signed at:-\_\_\_\_\_ day of \_\_\_\_\_ 2005 Signature of Authorised Representative: \_\_\_\_ Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration Commissioner of Oaths **Full Name** Designation

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Signature of Auth	orised Representative: -	
Representative's	Full Name:	
The authorised represe declaration.	entative declares that he/she kno	ws and understands the contents and implications of the above
Commissioner of Oaths		
Full Name		
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#### **SCHEDULES**

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

## Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

## Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 27: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

## Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: **If**"Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

#### Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 4B;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this **as** Annexure 4E.

#### Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

#### Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 66.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, **as** well **as** a copy of the employee share scheme policy as Annexure 6H.

### Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well **as** whether the member is Black, as Annexure **6M.**
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section **6.12:** If the applicant completed Section **6.12** with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.12** for both the applicant and the other entities separately and submit these as Annexure **6Q**.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills **development** programmes, as well as any supporting documentation from the SETA, as Annexure **6R.**
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22:** Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- X) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

## Schedule 7 - Safety

- a) Section 7.1: If 'Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If 'Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

### Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

#### Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure, 9C.

## Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

## Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If 'Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

## Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery as Annexure 12B.

## Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
  - a) days to be spent fishing per vessel nominated;
  - b) areas to be targeted;
  - c) species to be targeted and mix of species;
  - d) expected size of species to be targeted;
  - e) detailed information regarding:
    - Age
    - Size
    - Gear
    - Type (dedicatedmidwater or deep sea trawler)
    - Fishing capacity and
    - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at **its** disposal to target the resource **as** Annexure 13B. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to **be used** in this regard as Annexure 13C.

## Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 148.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, **as** Annexure 14C.
- Section 14.4: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

FOR OFFICIAL USE ONLY: DO NOT TEAR OFF	-03000000000
RECEIPT	AND DESCRIPTION OF THE PERSON OF
Received by:	
Date of Receipt: 14 July2005 or 15 July2005	
Time of Receipt:h	
Application Number:	
Departmental Stamp:	
Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.	

		RECEIPT - FOR APPLICANT TO RETAIN
Received by:		
Date of Receipt:	14 July2005 or	15 July 2005
Time of Receipt:	h	
Application Number	:	
DepartmentalStamp	):	

 $\textbf{Disclaimer:} \ \, \text{The receipting of this application} \ \, \textbf{does} \ \, \text{not imply an acknowledgement of the completeness of the application} \ \, \boldsymbol{\underline{\alpha}} \ \, \text{the correctness of its content.}$ 

APPLIC	CATION NUMBER:	
	F ENVIRONMENTAL AFFAIRS AND TOURISM CH: MARINE AND COASTAL MANAGEMENT	
·	<b>Inshore Trawl</b>	
Long Term Rights Allocation Process: 2005 Cluster A		
	Application Form For: New Entrants	
Registered Name of Applicant	Application Form For: New Entrants	
Gompany Registration Number or		
Company Registration Number		
Company Registration Number or Close Corporation Registration		
Company Registration Number or Close Corporation Registration Number		

#### IMPORTANT INFORMATION

#### INSTRUCTIONS

#### **GENERAL**

- 1) This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' arid Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <a href="mailto:ciustera@deat.gov.za">ciustera@deat.gov.za</a>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669 Fax: (021) 670-1782 E-mail: RVU@deloitte.co.za

Applicants or their representatives may not **communicate** with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- \$) Applicants must pay attention to the icons in the application form in order to determine whether:
  - the information provided will be treated as confidential;
  - there is any documentation or information required in response to a question in the form of an annexure;
  - the applicant's auditors need to verify a response; and
  - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicants response to the question must be verified by an auditor (registeredwith the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### **COMPLETION OF APPLICATION FORM**

- i) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- ') The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- (8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, ifapplicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

#### IMPORTANT INFORMATION

#### INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a **true** copy of the original, but it Will be assumed to be **the** same as the original. Any discrepancy may invalidate the application.

#### LODGEMENT OF APPLICATION

12) The **CD** containing the application, the original printed version (signed and attested), and a copy of the printed version **must** be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

#### IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late:
- the applicant makes no payment, or short payment, or late payment of the application fee;
- -the application is lodged in a manner contrary to the instructions.

#### **MATERIAL DEFECTS**

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company, sister company(ies) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

	Section 1 Applicant Details
1.1 Registered Name of Applicant	*
1.2 Trading Name of Applicant:	-
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills DevelopmentLevy Number:	
1.7 Have the applicant's details (1.8.1 - 1.8.7d) changed s applicant registered?	ince the Yes O No
1.8 If "Yes", complete only those details that have change	d:
1.8.1 Principal Place of Business:  Number and Street Suburb	
Town / City{	Postal Code:
1.8.2 Postal Address: Number and Street / PO Box Suburb Town / City	Postal Code:
Area Code: 1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	
1.8.7 Authorised Contact Person Surname:	
a) Identity Number: b) Position Held/ Relationship to Applicant	
c) Cellular Number:	
d) E-mail Address:	1

						Form of	Secti	
	es the applicant hold a medium terming right in any other sectors?	O Ye	es ()	No	Ø			
2.2 lf "\	es", complete the following:							
Fishe	ry in which the Applicant holds a Medium-Tern	i Right		2001	Application F	orm Number		
	e applicant more than 50% South an owned?	○ Ye	s Oı	10				
2.4 Doe	s the applicant have a valid tax rance certificate?	○ Ye	s () l	No	<i></i>	<√X		
2.5 Com	nplete the following table in relation to as	sset value	e and turr	nover:				er s Joed
	Year	Turnover			Gross Asset	Value (excludir	g fixed pro	operty)
200	(Financial Year End)							
	es the applicant hold any share(s) in other company that is applying as a lium term right holder in this sector?	O Y	es O	No	C			
any o	s the applicant hold any share(s) in company that is applying as a new ant in this sector?	Oy	es O	No	C			
						C.	Secti Impli	
	s the applicant, or any of its me actors:	mbers, s	sharehold	ders or				
3.1.1	been convicted of a contravention regulations, or permit conditions diperiod?				right O Y	es O No	<b>*</b>	♥
3.1.2	entered into a plea bargain under to 51 of 1977, for a contravention of the or permit conditions during the medium	e MLRA,	or the re	egulatior		es O No	•	C
3.1.3	paid an admission of guilt fine for a the regulations, or the permit condition right period?					es O No	•	Q

		Section 3
		Compliance
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	○ Yes ○ No	• 🔷 🗸
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?	○ Yes ○ No	• • 🕸
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	$O$ Yes $\bigcirc$ No	• 🗸
		Section 4
4.1 How many vessels does the applicant nominate for use in this fishery?	Ves	sel Details
4.2 Fill in the relevant details in the tables below regarding each vessel nomi	nated:	Ø
Vessel 1		
Vessel name MCM Area Number		
SAMSA Number		
Number of crew according to SAMSA Safety Certificate		
Gwner of Vessel as indicated by South African Certificate of Registry		
Nature of Access to Veesel		
Vessel fitted with a functioning VMS System (Y/N)		
Manufacture of VMS System		
Vessel Length in metres according to SAMSA Safety Certificate		
Vessel Horsepower as per SAMSA Safety Certificate		
(X		
Vessel 2		
Vessel name		
MCM Area Number SAMSA Number		
Number of crew according to SAMSA		
Salety Certificate Owner of Vessel as indicated by South		
African Certificate of Registry  Nature of Access to Vessel		
Vessel fitted with a functioning VMS		
System (Y/N) Manufacture of VMS System		
/essel Length in metres according to		
SAMSA Safety Certificate  /essel Horsepower as per SAMSA		
Safety Certificate		}

	Section 4
	Vessel Details
<b>C</b>	
	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number  Number of crew according to SAMSA	
Safety Certificate Owner of Vessel as indicated by South	
Arroan Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Langth in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	
	Vessel 4
Vessel name MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South	
African Certificate of Registry  Nature of Access to Vessel	
Vessel fitted with a functioning VMS	
System (Y/N)	
Manufacture of VMS System  Vessel Length in matres according to	
SAMSA Safety Gertificate Vessel Florsepower as per SAMSA	
Safety Certificate	
<x< td=""><td></td></x<>	
	Vessel 5
Vessel name	Yeaser 3
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA	
Safety Certificate	

						c nou
				C	atch Utilis	sation
5.1 Did the applic than 10%duri	ant over-catch or unden ng the medium term riç	er-catch in any oth ghts period?	er fishery by mo	O Yes	O No	•
	following in relation to rights in other sectors					
Fishery in Which A	pplicant Holds a Medium Term					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch o Allocation (in non		rcentage under- caught	Percentage over-	caught
2002 Season						
2003 Sesson						
2004 Season						
Fishery in Which A	pplicant Holds a Medium Term	n Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Allocation (in nom	f Applicants Pe inal lons)	rcentage under- caught	Percentage over-	caught
2002 Season						
2003 Season						
2004 Season						
Fishery in Which A	pplicant Holds a Medium Term	Right				
Year	Annual Catch Allocation(in metric tons)	Total Actual Calch o Allocation (in nom		rcentage under- caught	Percentage over-	caught.
2002 Season						
2003 Season						
2004 Season						
Fishery in Which A	pplicant Holds a Medium Term					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch o Allocation (in nom		caught	Percentage over-	caught
2002 Season						
2003 Season						
2004 Season						
					Sec	tion 6
					ransform	natior
		M	lanagemen	t and Empl	oyment E	quity
<b>6.1</b> Was the appli	cant a designated emp t Equity Act, 55 of <b>1998</b>	oloyer as defined in	n section 1 of th		O No	
. ,			•	. FF ~	_	
<b>6.2.1</b> If "Yes", has of 1998?	s the applicant complie	awith the Employ	ment Equity Ac	t, 55 <b>Yes</b>	O No	
6.2.2 If "No", has Equity Act,	the applicant voluntarily 55 of <b>1998?</b>	y complied with th	e Employment	O Yes	O No	

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.

	-	٠.	
-	100	er.	ľ
-		123	
22		Я.	
- 12	10	RO.	



	company) or members (if a close corporation) as at 28 February 2005.					
	Director / Member Name (initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black Gender (Y/N) Gender (M/F)	ID Number	
2						
3						
4			·			
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						

# Section 6 Transformation Management and Employment Equity

## 6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Selary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 81 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
6							
7							
8							
9							
10							
11							
12					·		
13							
14							
15							
16							
17							
18							
19							

# Section 6 Transformation Management and Employment Equity

U	<b>87</b>						
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21							
22							
23		1					
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							
41							
42							
43							
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45							
46							
47							
48							
49							
50							
51							
52							
53							
54							
55							

## Section 6





## Management and Employment Equity

U							yment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
56						<u> </u>	<u></u>
57							
58							
59			·	<u> </u>			
60						<u></u>	
61				<u> </u>			
62							
63							
64							
65							
66							
67							
68							
69							
70							
71							
72							
73		_					
74							
75							
76							
77							
78							
79							
80							
81							}
82							
82 83 84 85							
84							
85							
86							
87					·		
88							
89							
90		· · · · · · · · · · · · · · · · · · ·					

***************************************	•••••••••••••••••••••••••••••••••••••••	***************************************	***************************************	***************************************							sform	
341	Complete the follo	wingtable	in rel	ationto	income			ent an			ent E	quity
6	employees at 28 F	ebruary 2	005:	allorrio	income	.1040130	i ii c a	opiloai ito	-		_(\( \)	
	Gross Monthly Income to Company	(Total Cost v)		mber of playees		tage of Tota iployees		nber of Black Imployees	To	centage of tal Black holovees	Fe	berof male ovees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></r2>											
2	Between R2 500 an	d R5 500										
3	Between R5 501 and	d R10 500										
4	Between R10 501 an	d R16 000										
5	Between R16 001 an	d R20 000									1	
6	Between R20 001 an	d R25 000										
7	Between R25 001 an	d R30 000								- <del></del>		
8	Between R30 001 an	d R40 000		<del></del>		······································					1	
9	Between R40 001 an	d R60 000										
10	>R60 000				1							
	Total				1	00%				100%		
4.2 E	oes the applicant	contribut	e towa	rds me	dical aid	and per	nsion fo	or its	O Y	es O	No	区
	Does the applicant	provide a	any oth	er type	of bene	fits for its	s empl	oyees?	O Y	es O	NO	X
.5 Co	mplete the followi	ng table ir	relatio	on to the	e applica	ant's em <sub>l</sub>	oloyme	ent equity	profile	<b>as</b> provid	ded to th	e 💢
	partment of Labount the table must b						desig	nated or d	lid not v	/oluntaril	y compl	y 
					,						Tota	
Occi	pational Categories	Gender	ANo	A%	C No	С%	l No	1%	W No	W%	ACI%	F%
	Senior Management / Officials and Managers	Male										
		Female										
	sionally Qualified and	Maie						1				•

										To	tal
Occupational Categories	Gender	ANo	A%	C No	C%	INo	1%	W No	W%	ACI%	F%
Top & Senior Management /	Male										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Male										
mid-management / Professionals	Female			·							
Skilled Technical and academically qualified workers, supervisors, foremen, and superintendents /	Male										
Technicians and Associate Professionals	Female										
Semi-skilled and discretionary decision- meking and urabilled and undefined decision- meking / Clarks. Service & Sales Workers.	Male										<del></del>
Platery Workers, Avisions & Pistand Track Workers, Plant & Machine Operators & Assentators, Elementary Occupations	Female										
TOTAL	Male					<del> </del>					<del></del>
TO ME	Female										· · · · ·

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Management and Employment Equity
Transformation
Section 6

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers** only.

										To	tai
Occupational Categories	Gender	A No	A%	G No	G %	f No	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (If not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



				1					1	To	otal
Occupational Categories	Gender	A No	A%	C No	C%	1 No	1%	W No	W %	ACI%	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male		. <u>-</u>								
Professionals 2004 (if not designated as at financial year end 2004)	Female										

6.7 Is the applicant a company? 6.7.1 If "Yes", complete the table below in respect of shareholdin  Vasir  Percentage Shareholding Held by Black Persons  2001 (as in 2001 application form)  2005 (at date of application)  6.7.2 Complete the following table in relation to shareholding held through principle:  Year  Percentage Shareholding Held by Women  Percentage Voting I by Women	Rights Held Percentage of Dividends Black Shareholders are Entitled To  d by women based on the flow  Rights Held Percentage of Dividends Women are
Percentage Shareholding Held by Black Persons  2001 (as in 2001 application form)  2005 (at date of application)  7 Percentage Shareholding Held by Black Persons  6.7.2 Complete the following table in relation to shareholding held through principle:  9 Percentage Shareholding Held by Women  Percentage Voting I by Women	Rights Held by black persons:  Percentage of Dividence Black Sharaholders are entitled To  d by women based on the flow  Rights Held Percentage of Dividence Women are
Percentage Shareholding Heid by Black Persons  2001 (as in 2001 application)  2005 (at date of application)  5.7.2 Complete the following table in relation to shareholding held through principle:  Percentage Shareholding Heid by Women  Percentage Voting by Women	Rights Held Percentage of Dividends Black Shareholders are Entitled To  d by women based on the flow  Rights Held Percentage of Dividends Women are
by Black Persons by Black Persons  2001 (as in 2001 application form)  2005 (at date of application)  5.7.2 Complete the following table in relation to shareholding held through principle:  Year  Percentage Shareholding Held by Women  Percentage Voting I by Women	d by women based on the flow  Rights Held  Percentage of Dividends Women are
2005 (at date of application)  5.7.2 Complete the following table in relation to shareholding held through principle:  Year  Percentage Shareholding Held by Women  Percentage Voting I by Women	Rights Held Pergentage of Dividends Women are
.7.2 Complete the following table in relation to shareholding held through principle:  Year Percentage Stareholding Held by Women by Women	Rights Held Pergentage of Dividends Women are
through principle:  Year Percentage Shareholding Held by Women  Percentage Voting I by Women	Rights Held Pergentage of Dividends Women are
by Women by Women	
005 (at date of application)	en Entitled To
<ul><li>7.4 If "Yes", what is the percentageshareholding held by or for employees not listed under question 6.3.2, through the sche</li><li>7.5 Complete the following table in relation to monies paid to sh</li></ul>	eme?
Year Salaries Paid to Shareholders Loans Mad 2002 (financial year)	de to Shareholders Dividends Paid to Shareholders
2003 (financial year)	
2004 (financial year)	
7.6 Was the applicant managed by another entity, or was the caprocessing or marketing of a 2004 allocation in any fishery p by another entity during the 2004 financial year?	
7.7 If "Yes", complete the table below:	<u> </u>
Function Rand Amount Paid to Other Entity d 2004 Financial Year	luring the Rand Amount as a Percentage of the Applicant's Total Turnover in 2004
Management	
Catching	
Processing	

				Section 6
		Ownership		Transformation porations Only
6.8 Is the applicant a close corporation	on?	OYes (	O NO	<b>.</b>
6.8.1 If "Yes", complete the table below	ow in respect of	members interest	held by black <b>pe</b> r	rsons:
	sterest Held by Pe Persons	rcentage Voting Flights F Black Parsons		e Profit Distribution Black one are Entitled To
2001 (as in 2001 application form)				
2005 (as at date of application)				
		· · · · · · · · · · · · · · · · · · ·		
6.8.2 Comdete the table below in rel				
	nen Pe	rcentage Voting Rights H Women	leid by Percentage P	rofit Distribution Women are Entitled To
2005 (as at date of application)				
6.8.3 Did the applicant have a profit s in 2004?	haring scheme	for employees in p	lace O <sub>Yes</sub>	
6.8.4 If "Yes", what percentage of prounder question 6.3.2 entitled to?	ofit were employ	ees not listed		
6.8.5 Complete the following table in a	relation to monie	es paidto members	S:	Q 10
	Paid to Members	Loans Made to Mi	embers Profit	Distributed to Members
2002 (financial year) 2003 (financial year)				
2004 (financial year)				
6.8.6 Was the applicant managed by processing or marketing of a 200 by another entity during the 2004	04 allocation in a			○ No
6.8.7 If "Yes", complete the table belo	W:			
Function	Fland Amount I	Paid to Other Entity		ss a Percentage of the Turnover in 2004
Management				
Catching				
Processing				
Marketing			)	

					Trar Skills De	slom	
in the Transfo in <b>2001?</b>	icant met the target ormation Plan subm ne following table in 18:	itted O Did n	ot apply in any	nt/Partially sector	O Not	at all	Ø
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (YN)	Rand Amount Paid to SARS in skills development levies	PRICEPLES	Territories 114	Percentagi ining Budge Black Emp	t Spent
2001 (financial year and)							
2002 (financial year end)							
2003 (financial year end)							
2004 (financial year end)							
6.11 Did the appli	cant participate in a	ı learnershipprog	rammeduring the	e medium	○ Yes	⊃ No	Ş
6.12 If "Yes," indi	cate the number of n respect of whom t						•
	icant participate in a through its SETA			Strategy?	O Yes	ON C	Ø
<b>6.14.1 How</b> many applicant?	skippers are used	by the					
<b>6.14.2</b> What percare black?	entage of these ski	ppers [					
its annual tax for deduction	olicant make donation kable income which in terms of section Tax Act <b>58</b> of <b>1962</b>	qualify 0	Ces O No	orporate	Social I	nvesti	ment
	cate what percentag alyear these donation		Cantaic	0-0.5%	j.	% ₩	C
	,			O 0.5 = 1% O > 1%			-

		Sect
11111741111111111111111111111111111111	minimization-wavening	Transform
		Corporate Social Investr
7 Indicate th	ne five largest tax deductible donations made per a	nnum since 2001 in the table below.
Year	Benefitting Organisation	Rend Value of Amount Done
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefiting Organisation	Rend Value of Amount Done
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organisation	Rand Value of Amount Done
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Dane
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.18 Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not including school fees)?			O №
6.19 <b>F</b> "Yes", indicate what percentage of net profit earned in the	<b>0 - 0.5 %</b>		
2004 financial year these other donations amount to :	O 0.5 - 1 %		_~
	O > 1 %		

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year Benefitting Organisation	Rand Value of Amount Donaled
2004-1	
2004 - 2	
2004 - 3	
2004 - 4	
2004 - 5	

on and the state of the state o			Trans	Section 6 formation
<b>6.21</b> Does the applicant have a written affirmative procurement policy?	0	Yes O No	mative Proc	urement
<b>6.22</b> Provide the following information in (operational expenditure) in <b>2004</b> :	relation to the applicar	nt's top ten South	African suppliers	Q <sub>o</sub>
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Sup Rands in 2004		ge of Total anses
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spen	d?	01	res () No	Ø.
<b>6.24</b> Has the applicant insituted a system measure its affirmative procurement spend as a percentage of total procurement spend in future?		O	res $O_{ m NO}$	
				Section 7
	vesse	, Crew and E		ventions
<b>7.1</b> Has the applicant, during the medium forfeited a deposit by way of penalty us Shipping Act, 57 of <b>1951?</b>	term rights period, be nder section 324 of the	en convicted, or Merchant	O Yes	<b>№</b> <
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty fo Occupational Safety Regulations of 19	r contravening the Ma		○ Yes ○ N	. <u> </u>

		Sec	tion 7
Vessel, Crew and Er			0.00
	Cor	itraven	lions
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	○ Yes	○ NO	Q
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O <sub>No</sub>	Ø
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	○ No	Ø
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	O Yes	○ No	Ø
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	O Yes	Ono	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	ONo	p
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	C
	Ve	essel S	afety
7.11 Have the nominatedvessel(s) been issued with a valid Local General Safety Certificate?	O Yes	Ono	Ø
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	O Yes	○ No	
En En	vironm	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted or	_		
forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	O No	C
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	Ono	Ø

#### Section 8 Job Creation 8.1 Complete the following tables in relation to job creation. 8.1.1 Table 1 Employees in respect of which the applicant does not prepare IRPS Employees in respect of which the applicant does Employees in respect of which the Employees in respect of which the Percentage Total Amount of Salary Bill as per Financial Statements Total Number of applicant prepares IRP5 Certificates applicant prepares IRPS Certificates Employees (Columns 1-4) Year not prepare IRP5 total number of employees Certificates (Land Based) Certificates (Sea Based) (Land Based) (Sea Based) 2001 (date of application) 2002 (financial year end) 2003 (financial year end) 2004 (financial year end) 2005 (28 February 2005) 8.1.2 Table 2 Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons)in How much does the applicant spend on salaries/ this fishery in 2004 wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in How much does the applicant spend on salaries/ wages per ton allocated this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in How much does the applicant spend on salaries/ this fishery in 2004 wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Number of Units of Effort Allocated to the How much does the applicant spend on salaries/ wages per unit of effort allocated Applicant in 2004

				Section 8  Job Creation
8.1.3 Table 3				ر در
Fishery in which Applicant	Holds a Medium Term F	Right		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ten allocated	Total Employees loss Seasonal Employees (In relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ion allocated
Fishery in which Applicant	Holds a Medium Term F	tight		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (26 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
Fishery in which Applicant			Total Employees less	Flow many people (less
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in elation to this fishery) (28 February 2005)	How many people does the applicant employ per for allocated	Seasonal Employees (in relation to this fishery) (28 February 2005)	seasonal employees) does the applicant employ per ton allocated
Fishery in which Applicant	Holds a Medium Term F	light		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
<u> </u>			<u> </u>	
Fishery in which Applicant I			Total Employees less	How many people (less
Number of units of effort allocated to the Applicant in 2004	Total Employees (in eleiton to this fishery) (28 February 2005)	How many people does the applicant employ per unit of effort allocated?	Seasonal Employees (in relation to this fishery) (28 February 2005)	riow harry peages (1935) seasonal employees close the applicant employ per unit of effort allocated?

	Santian 9
	lob Creation
8.1.4 Table 4	(X.)

		1	
Applicant's five highest arrival salaries (total cost (d company) paid between 1 March 2004 and 28 February 2005	Average of top tive salaries	What was the multiple between the average lowest and the average of the five highest as February 2005?	of the five arise at 28
Applicant's five lowest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of lowest: five salaries		

		Section 9
 * Summan		nivesinen

### 9.1 Complete the following tables:

## 9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and See Based Fixed Assets
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

0.4.0.T-bl-0				Section: Investmen
	Value of Total in Rand \	age increase or Decrease falue of Total Fixed Assets	Total insured Value of T Land Based Flood Assi	
9.1.3 Table 3				S
Fishery in which Applica	nt Holds a Medium Term I	Right		
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and sea-base assets at 2004 financia year and	i land-based assats at		er ton. Assets per ton allocated to
	Surger Wilson Surger Street Surger			
Fishery in which Applica	int Holds a Medium Term I	Right		
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and sea-base	Book Value (in rands	2004 Sea-Based Assets p	er ton. Assets per ton allocated to
				,
Fishery in which Applica	int Holds a Madium Term I	light		
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and sea-based assets at 2004 financia	land-based assets at		
	year end			
Fishery in which Applica	nt Holds a Medium Term F	light		
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and sea-based assets at 2004 financial year and	laryl-basert assets at	2004 Sea-Based Assets p	er ton. Assets per ton allocated to
	,			
Fishery in which Applica	nt Holds a Medium Term F	light		
Number of units of effort allocated to the Applicant in 2004	Book Value (in rands) of harbour and sea-based assets at 2004 financial year and	land-based assets at	Book Value of Harbot Sea-Based Assets per of effort allocated applicant	a unit
	1		And the second s	

					ection
				lnve	sime
9.1.4 Table 4					
	ant Holds a Medium Term Ri		•		
Annual Gatch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	r Based Assets	per ton
Fishery in which Applic	ant Holds a Medium Term Ri	ght	<u> </u>		
Annual Catch Allocation (It tons) to the applicant in 2004	Insured Value (in rends) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 inancial year end		r Based Assets	per ton
Fishery in which Applic	ant Holds a Medium Term Riç	jht			
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value Based Assets allocated to a	per ton
					-
Fishery in which Applic	ant Holds a Medium Term Rig	jht			
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value a Based Assets allocated to ap	per ton
Fishery in which Applica	ant Holds a Medium Term Rig				
Number of units of effort allocated to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and San-Based Assets per unit of effort allocated to the applicant		er unit of i to the
5 A detailed expl annexure.	anation of all	it made in marketing	activities must be inc	cluded as an	<b>S</b>
1.6 A detailed explanan annexure.	anation of all in	made in processino	gactivities must be	uded as	Q
9.1.7 Has the applica	ant invested in on-boa	rd or land based proce	essingfacilities?	O Yes	No

· ·	4.16.	Local	Economic De	velopment
				Section 10

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Carch Landed in 2004
Total			

10.2 At which harbours and landing sites will the applicant land its catches?

Harbour / Land	ing Site Names

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing *sites* in order to improve or make landing catches at these harbours possible?

O yes O No



			Value	Add & Enterpri	Section 11 se Development
11.1 Is the applicant dire any value adding p other fishery?		○ Yes	○ No		Ø
11.2 Has the applicant b		○ Yes	○ No		Ø
		***************************************			Section 12 Performance
424 What is the applica	otlo 2004 qualitad v	varified or			Financial
<ul><li>12.1 What is the application certified Annual Tu</li><li>12.2 Complete the follow</li></ul>	rnover?		 formance:		
Year	Return on Net Assate (RONA)	Debt: Equ	ity Ratio	Current Ratio	Quick Ratio (Ackl Test)
2001			<del> </del>		
2003					
2004					
12.3 Provide the following	g information in rela	ation to the a		Retained Fernings	Dividentis Paid / Distribution of Profits
2001					
2002					
2003					
12.4 Provide the followin	g information in rela	ation to the a	applicant's	balance sheet:	
Year	Assets	Long-Term	Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001		<del></del>			
2563					
2004					

				Sec	
		***************************************		Perfo	
12.5 Provide the following information in relation to the a	applicant's cash fla			Fi	Uč
Net Cash Inflow / Outflow from	Net Cash Inflow / Outflo	w from		Inflow / Out	
Operations Operations	investing Activities		1-112	ncing Activi	1100
2002					
2003			.,		
2004	<u> </u>		·		_
13. 6 If the applicant is a poully established entity pleasy	provide a detailed	Letatom/	ent of hou	w the	
12.6 If the applicant is a newly established entity, please applicant intends to finance it's entry into this fisher	ry as Schedule <b>12</b>	3 <b>.</b>	ent of Hov	w uie	
				Sec	iti
				Fishir	ľ
13.1 Does the applicant have a fishing plan?		O Yes	O No	•	
13.2Will the applicant be personally responsible for imp fishing plan?	lementing its	Yes	O No	,	
	L -	O v	O No		
13.3Will a third party be responsible for implementing that applicant's fishing plan?	ne	○ Yes	O No	•	
13.4 If yes, who is the third party?					
				Sec	
By-Catch and E	Environmenta	lly Su	staina	ble Pr	
14.1 Does the applicant have a by-catch management	strategy?		O Yes	O No	
14.2 Does the applicant intend to put in place any meas and fuel reduction consumption on vessels, and in			O Yes	O No	
<b>14.3</b> Has the applicant undertaken or sponsored any re environmentally sustainable practices?	esearch in relation t	0	O Yes	O No	
	uroo to maduses de	2000	<b>∩</b> V <sub>6</sub> =	<b>○</b> №-	
14.4 Does the applicant intend to put in place any measi to sea beds?	ures to reduce dan	iage	O Yes	O No	

Year Species Total Catch in Torea Lovies Pabl  2001  2001  2001  2002  2002  2002  2002  2003  2003  2003  2003  2004  2004	3. II lease provide the lollowing	information in relation	n to levies paid since 2001:	^
2001 2001 2001 2002 2002 2002 2002 2003 2003	Year Spec	ies	Total Catch in Tons	Levies Paid
2001 2001 2002 2002 2002 2002 2002 2003 2003	2001			
2001 2002 2002 2002 2002 2002 2003 2003	2001			
2002 2002 2002 2002 2002 2003 2003 2003 2003 2004	2001			
2002 2002 2002 2002 2002 2002 2003 2003				
2002         2002         2002         2003         2003         2003         2003         2003         2004	2001			
2002 2002 2002 2003 2003 2003 2003 2004	2002			
2002       2003       2003       2003       2003       2003       2004	2002			
2003 2003 2003 2003 2003 2003 2004	2002			
2003 2003 2003 2003 2003 2004 2004	2002			
2003       2003       2003       2003       2004	2002			
2003 2003 2003 2004 2004	2003			
2003 2003 2004 2004	2003			
2003 2004 2004	2003			
2004	2003			
2004	2003			
	2004			
2004	2004			
	2004			
	2004		·	

	·		I
/ / yyyylmmldd	] '	Amount	
	yyyylmmldd	yyyylmmldd	

		Section 1
(b) the informationsubmi (c) I accept that if any ir disclosed, this may le license or permit grant	ead to the Application being refu ed on the strength of this Applica	f this application form; true and correct and complete. not true or complete, or if fake information is provided, or material Information is not sed, or to the revocation, suspension, cancellation, alteration or reduction of any right, ation, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
possesses or acquires or an official of the Dep (e) the applicant underta explanations, by attender	s any information relevant to my partment of EnvironmentalAffairs akes to co-operate with any inveding meetings with investigator	ation submitted I hereby authorise any institution, organ of state, person or body, who application, to disclose or make the information available to the Minister, his delegate and Tourism or the Rights Verification Unit-vestigators by timeously submitting responses to written requests for information or s, by answering questions satisfactorily at such meetings and where necessary, by and documents. The applicant accepts that failure to co-operate in this regard will
constitute an independent (f) the applicant accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute and independent accepts the constitute accepts the constitute and independent accepts the constitute accepts the cons	ent ground for refusing an applicate the hat any attempt to influence the	ation; decision of the delegated authority or <b>appellant</b> authority on the allocation of a right or for in the General Policy or in this <b>application</b> form, will result in the application being
Signed at:		
•	day of	2005
_	norised Representati	ve:
Representative's	Full Name:	
The authorised represidectaration.	entative declares that he/	she knows and understands the contents and implications of the above
Commissioner of Oaths		
Full Name		
Designation		
Physical Address		
I, the undersigned, do here (a) I have read the instructi	by make oath and declare that ions set out on pages 2 and 3 ( f	this application form; Holding Company Declaration
(c) I accept that if any inf disclosed, this may lea license or permit grante     (d) in order to allow for the possesses or acquires	ad to the Application being refus don the strength of this Applicat e proper verification of informat any information relevant to the	true and correct and complete.  not true or complete, or if false information is provided, or material Information is not ed, or to the revocation, suspension, cancellation, alteration or reduction of any right, ion. interms of Section 28 of the Marine Living Resources Act 18 of 1998; ion submitted I hereby authorise any institution. organ of state, person or body, who application, to disclose or make the information available to the Minister, his delegate and Tourism or the Rights Verification Unit;
at such meetings and w	quests for information or explana	party name) undertakes to co-operate with any investigators by timeously submitting atlons, by attending meetings with investigators, by answering questions satisfactorily vestigators access to premises, vessels and documents. The applicant accepts that bendentground for refusing an application;
(f) authority or appellant aut in this applicationform, w	(3rd) hority on the allocation of a righ ill result in the application being	party name) accepts that any attempt to influence the decision of the delegated at or quantum or effort, in any manner other than provided for in the General Policy or refused.
Signature of Author	<u>-</u>	veof Holding Company (if applicable)
Signed at:		
•	day of	2005
This	day of	
This	day of orised Representativ	/e:
This	day of orised Representativ	
This	day of orised Representativ	/e:
Fhis	day of orised Representativ	rie knows and understands the contents and implications of the above
This	day of orised Representativ	/e:
This  Signature of Auth  Representative's Ine authorised representation.  Commissioner of Oaths  Full Name	day of orised Representative Full Name: nlative declares that he/si	rie knows and understands the contents and implications of the above

Physical Address

		Section 16
(a) It vare the instructions (b) the information submitted (c) I accept that if any inform disclosed, this may lead to liicense or permit granted (d) in order to allow for the p possesses or acquires and or an official of the Departn (e) responses to written reque at such meetings and whe failure to co-operate in this (f)	to the Application being refused, or to the report he strength of this Application, in terms of proper verification of information submitted In any information relevant to my application, to oment of Environmental Affairs and Tourism or (3rd party name) unless for information or explanations, by attender encessary, by granting investigators according and the party name) are gard will constitute an independent ground (3rd party name) are	plete, or if false information is provided, or material Information is not evocation, suspension, cancellation, alteration or reduction of any right, Section 28 of the Marine Living Resources Act 18 of 1998; I hereby authorise any institution, organ of state, person or body, who disclose or make the informationavailable to the Minster, his debate the Rights Verification Unit; dertakes to co-operate with any investigators by timeously submitting inding meetings with investigators, by answering questions satisfactorily less to premises, vessels and documents. The applicant accepts that
in this application form, will re	result in the application being refused.	
•	rised Representative of Siste	er Company(if applicable)
This	day of	2005
Signature of Author	rised Representative:	
Representative's Fu	uii Name:	_
The authorised represent declaration.		nd understands the contents and implications of the above
Commissioner of Caths		
Full Name		
Designation		
Physical Address		
(b) the information submitted (c) I accept that if any inform disclosed, this may lead to license or permit grantedor (d) in order to allow for the p	s set out on pages 2 and 3 of this application with and in this Application is true and correct mation in this Application is not true or commot the Application being refused, or to the reant the strength of this Application. In terms of proper verification of information submitted I	ct and complete.  Inplete, or if false information is provided, or material Information is not evocation, suspension, cancellation, alteration or reduction of any right, Section 28 of the Marine Living Resources Act 18 of 1998; hereby authorise any institution, organ of state, person or body, who
possesses or acquires any or an official of the Departm	ny information relevant to the application, to o mentof EnvironmentalAffairs and Tourism or	discose $lpha$ make the information available to the Minister, his <b>delegate</b> the Rights Verification Unit:
ce an official of the Department (e) responses to written reque at such meetings and when	nentof EnvironmentalAffairs and Tourismor (3rd party name) ur ests for informationor explanations by atter	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting inding meetings with investigators, by answering questions satisfactorily cass to premises, vessels and documents. The applicant accepts that
ce an official of the Department (e) responses to written request such meetings and when failure to co-operate in this (f) authority or appellant autho	nentof EnvironmentalAffairs and Tourismor (3rd party name) ur ests for informationor explanations, by atter are necessary, by granting investigators acc regard will constitute an independent ground (3rd party name)	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting inding meetings with investigators, by answering questions satisfactorily cass to premises, vessels and documents. The applicant accepts that
(e) responses to written reque at such meetings and whe failure to co-operate in this  (f) authority or appellant autho in this application form, will re	nentof EnvironmentalAffairs and Tourism or  (3rd party name) ur ests for informationor explanations, by atter are necessary, by granting investigators acc regard will constitute an independent ground  (3rd party name) ority on the allocation of a right or quantum of	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting adding meetings with investigators, by answering questions satisfactorily cass to premises, vessels and documents. The applicant accepts that I for refusing an application; accepts that any attempt to influence the decision of the delegated or effort, in any manner other than provided for in the General Policy or
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(e) responses to written reque at such meetings and whe failure to co-operate in this  (f) authority or appellant autho in this application form, will r  Signature of Author  Signed at:	nentof EnvironmentalAffairs and Tourism or  (3rd party name) ur ests for informationor explanations, by atter are necessary, by granting investigators acc regard will constitute an independent ground (3rd party name) ority on the allocation of a right or quantum or result in the application being refused.  rised Representative of JV Pa	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting and inding meetings with investigators. by answering questions satisfactorily less to premises, vessels and documents. The applicant accepts that if or refusing an application; accepts that any attempt to influence the decision of the delegated or effort, in any manner other than provided for in the General Policy or artner (if applicable)
c an official of the Department of the Departmen	mentof EnvironmentalAffairs and Tourism or  (3rd party name) ur ests for informationor explanations, by atter are necessary, by grantling investigators acc regard will constitute an independent ground  (3rd party name) ority on the allocation of a right or quantum or result in the application being refused.  rised Representative of JV Pa	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting and inding meetings with investigators. by answering questions satisfactorily less to premises, vessels and documents. The applicant accepts that if or refusing an application; accepts that any attempt to influence the decision of the delegated or effort, in any manner other than provided for in the General Policy or artner (if applicable)
(e) responses to written reque at such meetings and whe failure to co-operate in this i  (f) authority or appellant autho in this application form, will r  Signature of Author  This Signature of Author	mentof EnvironmentalAffairs and Tourismor  (3rd party name) ur ests for informationor explanations, by atter are necessary, by granting investigators acc regard will constitute an independent ground (3rd party name) crity on the allocation of a right or quantum or result in the application being refused.  rised Representative of JV Particles of the presentative of JV Particles of the presentative of JV Particles of JV Particle	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting anding meetings with investigators. by answering questions satisfactorily less to premises, vessels and documents. The applicant accepts that if or refusing an application; accepts that any attempt to influence the decision of the delegated or effort, in any manner other than provided for in the General Policy or artner (if applicable)  2005
responses to written request such meetings and whe failure to co-operate in this suthority or appellant authority	mentof EnvironmentalAffairs and Tourism or  (3rd party name) ur ests for informationor explanations, by atter are necessary, by granting investigators acc regard will constitute an independent ground  (3rd party name)  (3rd part	the Rights Verification Unit:  Indertakes to co-operate with any investigators by timeously submitting anding meetings with investigators. by answering questions satisfactorily less to premises, vessels and documents. The applicant accepts that if or refusing an application; accepts that any attempt to influence the decision of the delegated or effort, in any manner other than provided for in the General Policy or artner (if applicable)  2005

#### SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

## Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to **be** the authorised contact person, as Annexure 1C.

## Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 26.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

## Schedule 3 - Compliance

- a) Section 3.1.1: If 'Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid **as** Annexure **3C.**
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

## Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 4B;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

## Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation
must be attached as Annexure 5A.

### Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as **per** the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the Shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

#### Schedule 6 - Transformation

- i) Section **6.7.5**: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as **well as** whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61.**
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K**.
- Section **6.8.3:** If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure **6L.**
- m) Section **6.8.5**: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well **as** whether the member is Black, as Annexure **6M**.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section **6.10:** If the applicant completed Section **6.10** with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section **6.10** for both the applicant and the other entity(ies) separately and submit these as Annexure **6P.**
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- 1) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If"Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

#### Schedule 7 - Safety

- a) Section **7.1:** If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section **7.2**: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure **7B**.
- c) Section **7.3:** If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure **7C.**
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question **7.5**: If "Yes," provide the name, identity number and qualification of each safety officer **for** each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure **7E**.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section **7.10:** If "Yes," provide a copy of the policy as Annexure **7H.** If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided **as** Annexure **7H.**
- i) Section **7.11**: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. **If** "NO," a detailed explanation must be provided **as** Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1
- k) Section **7.14**: If "Yes," provide a copy of the plan **as** Annexure **7K**. If "No," provided a detailed explanation of why not as Annexure **7K**.

#### Schedule 8 - Job Creation

- a) Section **8.1:** The applicant must provide breakdowns **as** described in the Explanatory Notes as Annexure **8A**.
- b) Section **8.1.4**: If the applicant completed Questions **8.1.4** with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question **8.1.4** for both the applicant and the other entities separately, **and** submit these as Annexure **8B**.

#### Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section **9.1.5:** Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section **9.1.6**: Provide a detailed explanation of all investments in processing activities as Annexure **9C**.

#### Schedule 10 - Local Economic Development

a) Section 10.3: If 'Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

## Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If 'Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

#### Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial state ents as Annexure 12A. The applicant's auditors will be required to confirm its responses to all question in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance is entry into this fishery as Annexure 126.

### Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
  - a) days to be spent fishing per vessel nominated;
  - b) areas to be targeted;
  - c) species to be targeted and mix of species;
  - d) expected size of species to be targeted;
  - e) detailed information regarding:
    - Age
    - Size
    - Gear
    - Fishing capacity and
    - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 138. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities in be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of intities to be used in this regard as Annexure 13C.

## Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.1: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 148.
- c) Section 14.2: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.
- d) Section 14.3: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

F	OR OFFICIAL USE ONLY: DO NOT TEAR OFF	
10 mm	RIE(	CEIPT
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Date of Receipt:	14 July 2005 or 15 July 2005	
Time of Receipt:	h	11/422
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Departmental Stamp	);	
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APPLIC	ATION NUMBER:
	ENVIRONMENTAL AFFAIRS AND TOURISM CH: MARINE AND COASTAL MANAGEMENT
KwaZult	ı-Natal Prawn Trawl
Long T	erm Rights Allocation Process: 2005 Cluster A
	Application Form For: New Entrants
Registered Name of Applicant	
Company Registration Number or	
Close Corporation Registration Number	
Principal Place of Business	
Number of Vessels Nominated	

## IMPORTANT INFORMATION INSTRUCTIONS

#### **GENERAL**

- This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website. www.paab.co.za of www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at ciustera@deat.gov.za. Every query and response Will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021)670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
  - the information provided will be treated as confidential;
  - there is any documentation or information required in response to a question in the form of an annexure;
  - the applicant's auditors need to verify a response; and
  - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.

The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Proceduresfor Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### **COMPLETION OF APPLICATION FORM**

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.



#### IMPORTANT INFORMATION

#### INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should **be** placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

#### LODGEMENTOF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

#### IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- -the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

#### MATERIAL DEFECTS

'15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the **applicant's** authorised representative, and, if applicable, the authorised representative(s) of the holdina company, sister **company**(ies) and the ioint venture **partner(s)**.
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

Section Applicant Detail
1. The applicant must supply a profile of its organisation including an organogram and a description of its fishing operations, as Schedule 1A.
1.1 RegisteredName of Applicant
1.2 Trading Name of Applicant:
1.3 Registration Number:
1.4 Income Tax Number:
1.5 Vat Number:
1.6 Skills Development Levy Number:
1.7 Have the applicant's details (1.8.1 - 1.8.7d) changed since the applicant registered?
1.8 If "Yes", complete only those details that have changed:
1.8.1 Principal Place of Number and Street
Business: Suburb
Town / City{
Postal Code:
1.8.2 Postal Address: Number and Street / PO Box
Suburb:
Town/Cit
Postal Code:
Area Code:
1.8.3 Telephone Number:
1.8.4 Additional Telephone Number:
1.8.5 Fax Number:
1.8.6 Authorised Contact Person First Name:
1.8.7 Authorised Contact Person Surname:
a) Identity Number:
b} Position Held / Relationshipto Applicant
c) Cellular Number:
d) E-mail Address:

			****			ection 2
	s the applicant hold a medium term ag right in any other sectors?	○ Yes	○ No	♥	Form of A	pplicant
	es", complete the following:			-		
Fishen	In which the Applicant holds a Medium Term	Right	200	l Application Fo	om Number	
	_					
	e applicant more than 50% South an owned?	O Yes	O No			
2.4 Does	s the applicant have a valid tax ance certificate?	OYes	$O_{ exttt{NO}}$		Ø	
2.5 Com	plete the following table in relation to as	sset value ar	nd turnover:		_	
	Year	Turnover		Gross Asset S	/alua (ascituding)	had property)
2004	(Financial Year End)					
any o	s the applicant hold any share(s) in other company that is applying as a ium term right holder in this sector?	O Yes	O No	Ø		
any o	s the applicant hold any <b>share(s)</b> in company that is applying <b>as</b> a new ant in this sector?	O Yes	Ono	Ø		
						ection 3
	s the applicant, or any of its me	mbers, sha	reholders or		Con	npliance
3.1.1	been convicted of a contravention regulations, or permit conditions diperii?			right O Ye	s O No 🐧	• 0
3.1.2	entered into a plea bargain under to 51 of 1977, for a contravention of the or permit conditions during the media.	e MLRA, or	the regulatio		s O No	
3.1.3	paid an admission of guilt fine for a the regulations, or the permit condition right period?				s O No	♥

			Section 3
		Co	mpliance
3.1.4 been charged with an offer regulations or permit conditions right period?	ence under the MLRA, or the tions during the medium term	○ <sub>Yes</sub> ○ <sub>No</sub>	<b>♦</b> <
3.2 Has a fishing vessel, motor vehicle of the applicant, or any of its shar been detained, arrested or seized preserved, confiscated or forfei Organised Crime Act 121 of 1998 period?	eholders, members or directors under the MLRA or restrained, ited under the Prevention of	○ Yes ○ No	<b>♦</b> ♥
3.3 Was the applicant's right or permit reduced or altered under section medium term right period?		○ Yes ○ No	$\Phi \emptyset$
			Section 4
		Vess	el Details
4.1 How many vessels does the applicar nominate for use in this fishery?	nt		
4.2 Fill in the relevant details in the tables	s below regarding each vessel nomi	inated:	C C
	Vessel 1		
Vessel name			
MCM Ares Number			
SAMSA Number			
Number of crew according to SAMSA Safety Gertificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel fitted with a functioning VMS			
System (Y/N)			
Manufacture of VMS System  Vessel Length in metres according to	<del></del>	<del></del>	
SAMSA Safety Certificate			
Vessel Horsepower as per SAMSA Safety Certificate			
X			
Vessel name	Vessel 2		
MCM Area Number			
SAMSA Number			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as Indicated by South African Certificate of Registry			
Nature of Access to Vessel			
Vessel filled with a functioning VMS			
System (Y/N) Manufacture of VMS System			
Vessel Length in metres according to			
SAMSA Safety Certificate Vessel Horsepower as per SAMSA			
Safety Certificate			

## Section 4

## Vessel Details



	40.00	Vessel 3		44.44
Vessel name				
MCM Area Number				
SAMSA Number				
Number of crew according to SAMSA Safety Certificate				
Owner of Vessel as indicated by South African Certificate of Registry				
Nature of Access to Vessel				
Vessel fitted with a functioning VMS System (Y/N)				
Manufacture of VMS System				
Vessel Length in metres according to SAMSA Safety Certificate				
Vessel Horsepower as per SAMSA Safety Certificate				



	Vossel 4 Procedure Control of the Co
Vessel name	the second control of the second control of
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

			tion 5
	U a	tch Utilis	
5.1 Did the applicant over-catch or under-catch in any other fishery by more than 10% during the medium term rights period?	O Yes	○ No	•
5.2 Complete the following in relation to the applicant's catch records if the applimedium term rights in other sectors (excluding sectors where effort and not			2
Fishery in Which Applicant Holds a Medium Term Right			
Year Annual Catch Allocation(in Total Actual Catch of Applicants Percentage metric tons) Allocation (in nominal tons) Caugh		ercentage over-	caught
2002 Season			
2003 Season			
2004 Season			
Fishery in Which Applicant Holds a Medium Term Right.			
Year Annual Catch Allocation(in Total Actual Catch of Applicants metric tone) Total Actual Catch of Applicants caugh		ercentage over-	caught
2002 Season			
2003 Season			
2004 Season			
Fishery in Which Applicant Holds a Medium Term Right			
Year Annual Catch Allocation(in Total Actual Catch of Applicants Percentage Allocation (in nominal tons) caugh		ercentage over-	caught
2002 Season			
2003 Season			
2004 Season			
Fishery in Which Applicant Holds a Medium Term Right			
Year Annual Catch Allocation(in Total Actual Catch of Applicants metric tons) Total Actual Catch of Applicants Allocation (in nominal tons) Percentage caugh		rcentage over-	caught
2002 Season			
2003 Season			
2004 Season			
		Sec	tion 6
	T	ransform	ialion
Management and			
6.1 Was the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	○ Yes	O No	
6.2.1 If "Yes", has the applicant complied with the Employment Equity Act, 55	O Yes	○ No	
of 1998?	O V	O Não	- C
6.2.2 If "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	○ Yes	O No	

Section Sectio	on 6 Ilion
Management and Employment Eq	uity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	Director / Member Name (Initial & Surname)	Years of Service	Total Annual F Cost to	iomonoration (Total Company)	Black (Y/N)	Gender (M/F)	ID Number
1							
2							
3							
4							
5			]				
6							
7							
8							
9							
10							
11		\					
12							
13							
14							
15							

# Section 6 Transformation Management and Employment Equity

## 6.3.2 Complete the table below in respect of employees at 28 Februar 2005.



Between 1 - 5 Employees	The Top Salary Earner (Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 80 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2					EQ.		
3		· · · · · · · · · · · · · · · · · · ·					
4							
5					Å.		
6							
7			`				
8							
9							
10							
11							
12				<u> </u>			
13				-		<del> </del>	
14						<del> </del>	
15				ļ			
16						-	
17						-	
18				-			
19							

## Section 6

## Transformation



## **Management and Employment Equity**

1							
	Employee Name	Organisational Title	Arinual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21							
22							
23							
24	<del>-</del>			<b>†</b>			
25							
26							
27							
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## Section 6

## Transformation



## Management and Employment Equity

-				~~~			
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (YAN)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	10 Number
56				<u> </u>			
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						Mana	gem	ent an	d Em		nsforr nent E	
	Complete the following mployees at 28 February			on to i	ncome						Q	
	Gross Monthly Income (To to Company)	otel Cost	Numbe Employ			iage of Tota proyees		ber of Blac riployees	10	centage of dal Black nostypes		enber o orage ployee
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></r2>											
2	Between R2 500 and R	5 500										
3	Between R5 501 and R1	0 500										
4	Between R10 501 and R	16 000										
5	Between R16 001 and R	20 000							1	<u> </u>		
6	Between R20 001 and R	25 000									1	
7	Between R25 001 and R	SO 000					1		1			
8	Between R30 001 and R	40 000	<del></del>									
9	Between R40 001 and R	60 000				<del></del>	1					
10	>R60 000						1		1		1	
	Total				•	00%				100%		
	Does the applicant comployees?	ontribute	toward	s med	dical aid	and per	nsion fo	r its	O Y	es O	) No	~
-		rovide a	ny other	type	of bene	fits for its	s emplo	yees?	ΟY	es O	No	
	Does the applicant pr			to the	applica	ant's em	ployme	nt equity	/ profile	as prov	ided to	rhe 🗸
.4.3 E .5 Co	mplete the following	table in	relation er 2004	Ifthe	· annlica	ant is not	<sup>t</sup> desiar	nated or i	חום חום	VUIUI III.	II y OOLLII	sh.
4.3 E 5 Co De		n Octob	er 2004	. Ifthe	applica	ant is not <b>2005</b> .	t desigr	nated or	аю пот			oly -
4.3 E 5 Co De the	omplete the following partment of Labour i n the table must be o	n Octob complet	er 2004 ted <b>as</b> at	. Ifthe	applica	ant is not 2005.	t design	nated or	www.	w <sub>%</sub>		oly
4.3 E 5 Co De <sub>l</sub> the	omplete the following partment of Labour in the table must be opposite the companion of the table must be compational Categories G	n Octob complet	er 2004 ted <b>as</b> at	. Ifthe 28 Fe	applica ebruary	2005.					1	oly
4.3 E 5 Co De the	partment of Labour in the table must be of partment of Labour in the table must be of particular Categories  Senior Management / Officials and Management	n Octob complet	er 2004 ted <b>as</b> at	. Ifthe 28 Fe	applica ebruary	2005.					1	oly
4.3 De their Coccu	partment of Labour in the table must be of patients and Mariagers  Senior Management / Officials and Mariagers  Islandly Qualified and arrived specialists and	n Octob complet iender	er 2004 ted <b>as</b> at	. Ifthe 28 Fe	applica ebruary	2005.					1	oly
4.3 E 5 Co De thei	property of Labour in the table must be of Labour in the table must be of Labour in the table must be of Labour in the table must be of Labour in the table must be of Labour in the table management?  Senior Management?  Officials and Management?  Estending Qualified and management in the table management.	n Octob complet	er 2004 ted <b>as</b> at	. Ifthe 28 Fe	applica ebruary	2005.					1	oly `
4.3 E 5 Co De the Cocu Top & Lenlor C Profes experie mi	partment of Labour in the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of the table must be of table must	emale Male	er 2004 ted <b>as</b> at	. Ifthe 28 Fe	applica ebruary	2005.					1	oly `
4.3 De their Occur Top & erilor C	property of Labour in the table must be of table must be of table must	n Octob complet sender / Mais emale	er 2004 ted <b>as</b> at	. Ifthe 28 Fe	applica ebruary	2005.					1	oly `

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Male

Female

TOTAL

# Section 6 Transformation Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers** only.

						1				+ Te	iai:
Occupational Categories	Gender	A No	A%	G No	6%	1 No	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (If not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and Managers 2004 (if not designated as at financial year and 2004)	Male										
	Female								-		

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.

*	X
***	
400	F

									_	To	dei
Occupational Categories	Gender	A No	A%	© No	C%	1 No	1%	W No	W %	ACI %	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Prolessionals 2004 (if not designated as at financial year end 2004)	Female										

Ow	Section 6 Transformation nership - Companies Only
6.7 Is the applicant a company?	○ Yes ○ No
6.7.1 If "Yes", complete the table below in respect of shareholding held by	Mack persons:
Year Percentage Shareholding Heid Percentage Voting Rights Heid by Black Persons by Black Persons	Percentage of Dividence Black Shareholders are Entitled To
2001 (as in 2001 application form)	
2005 (at date of application)	
6.7.2 complete the following table in relation to shareholding held by wom through principle:	en based on the flow
Year Percentage Shareholding Held Percentage Voting Rights Held by Women by Women	Percentage of Dividends Women are Entitled To
2005 (at date of application)	
6.7.3Did the applicant have a share scheme in place in 2004 for employees?	O Yes O No
<b>6.7.4</b> f "Yes", what is the percentage shareholding held by or <b>for</b> employees not listed under question <b>6.3.2</b> ,through the scheme?	
6.7.5Complete the following table in relation to monies paid to shareholde	
Year Salaries Paid to Shareholders Loans Made to Sharel 2002 (financial year)	nolders Dividends Paid to Shareholders
2003 (financial year)	
2004 (financial year)	
<b>6.7.6</b> Was the applicant managed by another entity, or was the catching, processingor marketing <b>£</b> a <b>2004</b> allocation in any fishery performe by another entity during the <b>2004</b> financial year?	O Yes O No
6.7.7lf "Yes", complete the table below:	
Function Pand Amount Paid to Other Entity during the 2004 Financial Year	Rend Amount as a Percentega of the Applicant's Total Furnover in 2004
Management	
Catching	

	Section 6 Transformation Ownership - Close Corporations Only
6.8 Is the applicant a close corporation?	○ Yes ○ No
6.8.1 If "Yes", complete the table b in respect	f n b interest held by black persons:
Year Percentage Interest Held by Black Persons	Percentage Voting Rights Held by Black Persons Percentage Profit Distribution Black Persons are Entitled To
2001 (as in 2001 application form).	
2005 (as at date of application)	
6.8 .mplete the ple / in relation p.r mbs	e in est held by vo nen.
Year Percentage Interest Held by Women	Percentage Voting Rights Heid by Women Percentage Profit Distribution Women are Entitled To
2005 (as at date of application)	
6.8.3 Didthe applicant have a profit sharing scheme in 2004?	e for employees in place C Yes O No
6.8.4 If "Yes", what percentage of profit were employunder question 6.3.2 entitled to?	yees not listed
6.8.5 Complete the following table in relation to mon	in anid to members.
Year Salaries Paid to Members	Loans Made to Members Profit Distributed to Members
2002 (financial year)	
2003 (financial year) 2004 (financial year)	
6.8.6 Was the applicant managed by another entity, processing or marketing of a 2004 allocation in by another entity during the 2004 financial year	
6.8.7 If "Yes", complete the table below:	
Function Rand Amoun	nt Paid to Other Entity Rand Amount as a Percentage of the Applicant's Turnover in 2004
Management	
Catching	
Processing	

0 > 1%

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 Section 6
Secuono
Transformation
THE RESIDENCE PROPERTY OF THE PARTY OF THE P
Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefiting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		·
2001 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		•
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 8		
2004 - 4		
2004 - 5		

2004.5			
<b>6.18</b> Does the applicant make any other donations (in addition to the deduction in terms of section 18A of the Income Tax Act 58 c including school fees)?	nose which qualify for ff 1962, but not	○ Yes	ои O (2)
<b>6.19</b> If "Yes", indicate what percentage of net profit earned in the	<b>0</b> - 0.5 %	ger Marie	
2004 financial year these other donations amount to:	O 0.5 - 1 %		/\
	O > 1 %		

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year Benefiting Organisation	Rand Value of Amount Donated
2004-1	
2004 - 2	
2004 - 3	
2004 - 4	
2004 - 5	

		Affirn		ection 6 rmation rement
<b>6.21</b> Does the applicant have a written affirmative procurement policy?	0	Yes O No		Ø
6.22 Provide the following information in re (operational expenditure) in 2004:	lation to the applicant	s's top ten South Al	frican suppliers	Ç,
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Suppli Rands in 2004	er in Percentage Expen	
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend?	?	O Yes	O <sub>NO</sub>	
<b>6.24</b> Has the applicant instituted a system to measure its affirmative procurement spend as a percentage of total procurement spend in future?	0	○ Yes	○ No	
	Vessel	, Crew and Er		ection 7 I Safety
			Contrav	entions
7.1 Has the applicant, during the medium te forfeited a deposit by way of penalty und Shipping Act, 57 of 1951?	erm rights period, bea ler section <b>324</b> of the	en convicted, or Merchant	○ Yes ○ N	
72 Has the applicant, during the medium tender for feited a deposit by way of penalty for concupational Safety Regulations of 1994	contravening the Ma		○ Yes ○ No	Ø

		Sec	tion i
Vessel, Crew and En		ental : traven	9999004 <del>000</del> 0000
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	○ Yes		<u> </u>
Crew Safety & W	orking/	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O No	<b>C</b>
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	○ Yes	O No	(X
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	Ø
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	Ø
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	Yes	○ No	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	Yes	ONO	P
7.10 Does the applicant have an HIV/AIDS policy?	○ Yes	○ No	Q
	V	essel S	Safety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	OYes	O No	Ø
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
En En	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollutionfrom Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No	Ø
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, <b>as</b> set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	O No	Ø

							Section
8.1 Complete the	e gi	ti in rel	ation to job cre				ob Creatio
Vear p	imployees in respect of which the applicant applicant aperes IRPS Certificates Land Based)	Employees in respect of which the applicant prepares IFIP Certificates (Sea Based)	which the applicant does not prepare IPPS	Employees in respect of which the applicant close not prepare IRP5 Certificates (See based)	Total Number of Employees (Columns 1-4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application) 2002 (financial year and) 2003 (financial							
year end) 2064 (financial year end) 2005 (28							
8.1.2 Table 2							ر
Fishery in which Applicants Annual Cathis fish			erm Right otal Armuel Salary E fishery) 2004 Fine		How much do	es the applicant s ages per ton allo	pend on salaries/ select
Fishery in which Applicants Annual Cathis fish			orm Right otal Annual Salary B fishery) 2004 Fins	ill (in relation to this racks) Year End		os the applicants ages per ton alloc	pand on salaries/ salad
Fishery in which Applicants Annual Catholic fish			um Right otal Annual Solary B flahary) 2004 Fina			es the applicant s ages per ion alloc	pend on salarios/ ated
Fishery in which Ap Applicants Annual Ca this fish			rm Right dal Annual Salary B fishery) 2004 Fina	II (in relation to this noted Year End		e the applicants; igns per ton alloc	pand on selectes? ated
Fishery in which Applican			rm Right tal Annual Salary Bir Ishery) 2004 Finar	(in relation to this clai Year End		s the applicant apper unit of effort a	
ł		1					ľ

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	Section 8  Job Creation
8.1.3 Table 3	Source
Fishery in which Applicant Holds a Medium Term Right	
Applicant's Annual Catch Allocation (in tions) in this Behery in 2004  Total Employees (in relation to this fishery) applicant employees (28 February 2005)  [28 February 2005]	NOV DEF TOR
Fishery in which Applicant Holds a Medium Term Right	
Applicant's Annual Catch Allocation (In tons) in this fishery in 2004  Total Employees (in relation to this fishery) (26 February 2005)  How many peo applicant employees (in relation to this fishery) allocation (in tons) in this	loy per ton
Fishery in which Applicant Holds a Medium Term Right	
Applicant's Arruei Catch Allocation (in tons) in this fishery in 2004  Total Employees (in relation to this fishery) (28 February 2005)  How many peor applicant emp allocat	CV DBF 100 SERSONRE EMPROYEES (R) SERSONRE EMPROYEES (COS)
Fighery in which Applicant Holds a Medium Term Right  Applicant's Annual Catch   Total Employees (in How many people	Total Employees less How many people (less
Affocation (in tons) in this relation to this fishery) applicant emp (28 February 2005) allocal	by per ton soleton to this february (29) the employees) does
<u> </u>	<u> </u>
Fishery in which Applicant Hokis a Medium Term Right	Total Employees less How many people (less
Number of units of effort allocated to the Applicant in relation to this fishery) (28 February 2005)  How many peopaphicant employees (in relation to this fishery) applicant employees (in relation to this fishery) applicant employees (in relation to this fishery) applicant employees (in relation to the Applicant in relation to the Applicant in relation to the Applicant in relation to the Applicant in relation to the Applicant in relation to this fishery) applicant employees (in relation to this fishery) applicant in relation to this fishery).	per unit of Seasonal Employees (in seasonal employees) does

			Job Creation
8.1.4 Table 4			么么
Applicant's five highest annual salaries (total cost to company) paid butween 1 March 2004 and 29 February 2005	Average of top five solution	What was the multiple bottom lowest and the average of the February	en the average of the five five highest satisfies at 28 20057
	]		
Applicant's five lowest ennual selaries (total cost to company) paid between 1 Merch 2004 and 28 February 2005.	Average of lowest five salarities		
		and the second	
	_	225	
	_		
	4		
	_	5.0	
	*****		0
	. n		Section 9
9.1 Complete the following tables:			
9.1.1 Table 1			Q 1º
Year Book Value of Total Percentage In Rand Value			Total Book Value of rbour and Sea Based Fixed Assets
2002 (financial year end)			
2003 (financial year end)			
2004 (financial			

				Section 9
			And Samuel Section	Investment
9.1.2 Table 2				حر
		pe Ingresse or Decresse like of Total Fixed Assets	Total Insured Value of Total Land Based Fixed Assets	Total Insured Value of Harbour and Sea Based Fixed Assets
2002 (financial year and)	250 (2)			
2003 (financial year and)				
2004 (financial year end)				
9.1.3 Table 3				
Fishery in which Applica	ant Holds a Medium Term Fli	ph .		
Annual Catch Allocation (in tons) to the applicant in 2004		Book Value (in rands) of land-based assets at 200- financial year end	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per fon allocated to applicant
Fishery in which Applica	ınt Holds a Madlum Term Rig	itt		
Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of herbour and see-based assets at 2004 financial year and	Book Value (in rands) of land-based assets at 2004 financial year end	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rig	Ht .		
Annual Caich Allocation (in tons) to the applicant in 2004	Book Value (In rands) of harbour and sea-based assets at 2004 financial year and	Book Value (in rands) of land-based assets at 2004 financial year end	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rig	nt		
Annual Catch Allocation (in- one) to the applicant in 2004	Book Value (in rands) of herbour and see-based assets at 2004 financial year and	Book Value (in rands) of land-based assets at 2004 financial year end	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant
Fishery in which Applican	nt Holds a Medium Term Rigi	TÎ.	1	
Number of units of effort allocated to the Applicant in 2004	Book Value (in rands) of harbour and see-based essets at 2004 financial year and	Book Value (in rands) of land-based assets at 2004 financial year end	Book Value of Harbour and Sea-Based Assets per unit of effort allocated to applicant	Book Value of Land-Based Assets per unit of effort allocated to applicant
		I		

O Yes O No

#### Section 9 Investment 9.1.4 Table 4 Fishery in which Applicant Holds a Medium Term Right Insured Value of Land-Based Assets per ton allocated to applicant Insured Value (in rands) of Annual Catch Allocation (in tons) to the applicant in 2004 Insured Velue (in rands) of land-based assets at 2004 financial year end Insured Value of Harbour and See Based Assets par herbour and see-based assets at 2004 financial year end ind Sea-Based Assets per ton allocated to applicant Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end Annual Catch Allocation (in tons) to the applicant in 2004 Insured Value (in rands) of land-based assets at 2004 financial year end Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Insured Value of Land-Based Assets per ton allocated to applicant Fishery in which Applicant Holds a Medium Term Right Insured Value (in rands) of Insured Value of Land-Based Assels per ton allocated to applicant Annual Catch Allocation (in Insured Value (in rands) of land-based assets at 2004 financial year end Incured Value of Harbour and Sea-Based Assets per ton allocated to applicant harbour and sea-based assets at 2004 financial tons) to the applicant in 2004 vear end Fishery in which Applicant Holds a Medium Term Right insured Value (in rands) of Insured Value of Land-Based Assets per ten-allocated to applicant insured Value (in rands) of land-based assets at 2004 financial year end Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant Annual Catch Allocation (in harbour and sea-based assets at 2004 financial tons) to the applicant in 2004 wear envi Fishery in which Applicant Holds a Medium Term Right Insured Valus of Harbour and Sea-Based Assets per unit of effort allocated to the applicant Insured Value of Land-Based Assets per unit of effort allocated to the Insured Value (in rands) of harbour and sea-based assets at 2004 financial Insured Value (in rands) of land-based assets at 2004 financial year end Number of units of effort allocated to the applicant in 2004 vear end 9.1.5 A detailed explanation of all investment made in marketing activities must be included as an

9.1.6 A detailed explanation d all investment made in processing activities must be included as

9.1.7 Has the applicant invested in on-board or land based processing facilities?

an annexure.

Section 10
 Logal Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing SiteName	Flahery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
	J		
Total			

10.2 At which harbours and sites will the land its catches?	Harbour/Land	ing Site Names
land its catches?		

10.3 Has the applicant made ar	ny investment in physical infrastructure at these
harbours or landing sites in	n order to improve or make landing catches at
these harbours possible?	

O Yes	0	No
-------	---	----



			Value	Add & Enterpri	Section : se Developme
11.1 <b>I</b> s the applicant any value addin other fishery?	directly involved in g process in any	_	○ No	-eu e-meipu	Ø
	nt been responsible se development?	○ Yes	○ No		C C
					Section
					Performan Financ
12.1 What is the apr	olicant's <b>2004</b> audited,	verified or			7 0/
certified Annua	I Turnover? bllowing table in relation		ormance.		
Year	Return on Net Assets (RONA)	Debt: Equit		Current Ratio	Quick Ratio (Acid Test
2001					
2002					
2003					
2004					
12.3 Providethe follo	owing information in re	elation to the a	applicant's	income statements:	
Year	Turnover	Profit Afte	Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001					
2002					
2003				· · · · · · · · · · · · · · · · · · ·	
2004					
12.4 Provide the foll	owing information in re	elationto the a	applicant's	balance sheet:	
Year	Assets	Long-Term	Liabilities	Current Liabilities	Shareholder's Equity Member's Contribution
2001					
2002					
2003					
2004					

				Perfor	tion 12 mance nancial
12.5 Provide the following	nformation in relation to the ap	plicant's cash flow:			
Year	Net Cash Inflow/Outflow from A Operations	let Cash Inflow / Outflow from investing Activities		Inflow / Outl noing Activit	
2001					
2002					
2003					
2004					
12.6 If the applicant is a ne applicant intends to fir	wly established entity, please phance it's entry into this fishery	provide a detailed statem as Schedule 126.	nent of how	v the	Ø
				Sec	tion 13
				Fishin	g Plan
13.1 Does the applicant ha	ve a fishing plan?	O Ye	s O No	1	Q
13.2 Will the applicant be p fishing plan?	ersonally responsible for imple	ementing its Ye	s O No		Ø
13.3 Will a third party be re applicant's fishing pla	sponsible for implementingthe n?	○ Ye	s O No		C
13.4 If yes, who is the third	party?				
	By-Catch and E	nvironmentally Sc	ıstaina		ion 14 ctices
14.1 Does the applicant ha	ave a by-catch managementst	rategy?	O Yes	O No	Ø
	end to put in place any measur nsumption on vessels, and in p		O Yes	O No	Ø
14.3 <b>Has</b> the applicant unc	lertaken or sponsored any reso inable practices?	earch in relation to	O Yes	O No	Ø
14.4 Does the applicant int to sea beds?	end to put in place any measur	res to reduce damage	O Yes	O No	C

	_			
	Se	910	n 1	5
<b>13</b> 2	Har.		<b>.</b>	73

## 15.1 Please provide the following information in relation to levies paid since 2001:

J90000
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1000000
2

Year	Species	Total Catch in Tore	Lovies Pald
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003	·		
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

45 0 147 - 4			
15.2 What was the date and amount of the applicant's most recent levy	/ /		
-	Date	Amount	
payment?	yyyy/mm/dd		

Physical Address

Section 16

## i i d'al le de la este la la este t Applicant Declaration (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and mthis Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, licenseor permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who (d) in order to allow for the proper verification or information submitted Thereby authorise any institution, organ or state, person or cody, who possesses or acquires any information relevant to my application. to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusingan application; (9 the applicant accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused Signed at: — This \_\_\_\_\_ day of \_\_\_\_\_ 2005 Signature of Authorised Representative: —— Representative's Full Name: . The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation Physical Address I, the undersigned, do hereby make oath and declare that: **Holding Company Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, h's delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously **submitting** responses to written requests for information or explanations, by attending meetings **with** investigators, by answeringquestions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regardwill constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signature of Authorised Representative of Holding Company (if applicable) Signed at: \_\_\_\_ \_\_\_\_\_day of \_\_\_\_\_ 2005 Signature of Authorised Representative: \_\_\_\_\_ Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation

Section 16 (a) I have a l e ii ii ii it pages? nd 3 of this app form;
(b) the information submitted with and in this l this is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused. Or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998:

(d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body. Who possesses or acquires any information relevant to my application, to disclose or make the informationavallable to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit;

(3rd party name) undertakes to co-operate with any investigators by timeously submitting (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests tor information or explanations, by attending meetings with investigators by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regardwill constitute an independent ground for refusing an application: (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signature of Authorised Representative of Sister Company (if applicable) Signed at: — \_\_\_\_\_day of \_\_\_\_\_ 2005 This Signature of Authorised Representative: ——— Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths **Full Name** Designation Physical Address I, the undersigned, do hereby make oath and declare that: JV Partner Declaration (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is role and correct and complete.
 (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998:
 (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to discose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourismor the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorify at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regardwill constitute an independent ground for refusing an application: (3rd party name) accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policyor in this application form, will result in the application being refused. Signature of Authorised Representative of JV Partner (if applicable) Signed at: \_\_\_\_ \_\_\_\_\_ day of \_\_\_\_\_ 2005 Signature of Authorised Representative: \_\_\_\_\_ Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above Commissioner of Oaths **Full Name** Designation

Physical Address

#### **SCHEDULES**

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

## Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if ± intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, **as** Annexure 1C.

### Schedule 2 - Form of Applicant

- a) Section 21: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If 'Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

## Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 30.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- s) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

#### Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 4B;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this **as** Annexure 4E.

## Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

#### Schedule 6 - Transformation

- a) C 6.3.1: If the applicant Section 6.3.1 in respect of the board of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the till in Section 6.3.1 for the it and the other entities separately, and submit these as unnexul 6A.
- section 6.3.2: If the applicant **completed** Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the **a must** complete the **b** in Section **6.3.** for the applicant **dtl** other **e g d** submit these **as nne** 6B.
- c) 3.2 6.4.1: If the Ili completed "tion 6.4. i respect of the proof is a lice and of any other entities as per the Explanatory Notes, the applicant must the lice in it is 6.4.1 for the applicant and the other entities separately, and submit these as Annexure ;
- d) Section 6.4.2: If pension, including the sas the applicant must provide from of contributions made to medical aid and of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions and the nature of the classes of beneficiaries, the employer's contributions are classes.
- e) Section 6.4.3: If Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.62: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

## Schedule 6 - Transformation

- i) Section **6.7.5**: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well **as** whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61**.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5**: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure **6M**.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section **6.10**: If the applicant completed Section **6.10** with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section **6.10** for both the applicant and the other entity(ies) separately and **submit** these **as** Annexure **6P**.
- q) Section **6.12**: If the applicant completed Section **6.12** with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.12** for both the applicant and the other entities separately and submit these as Annexure **60**.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R.**
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22**: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

### Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation **as** Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

#### Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes **as** Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 86.

#### Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure

# Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

## Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 116.

# Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance its entry into this listery as America 126.

# Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
  - a) days to be spent fishing per vessel nominated;
  - b) areas to be targeted (inshore or offshore fishing activities or both);
  - c) species to be targeted and mix of species;
  - d) expected size of species to be targeted;
  - e) detailed information regarding:
    - Age
    - Size
    - Gear
    - Fishing capacity and
    - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 136. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

# Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 146.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of intended measures to reduce damage to sea beds as Annexure 14D.

FO	R OFFICIAL USE	ONLY: DO NOT TEAR OFF	
			RECEIPT
Received by:			
Date of Receipt:	14 July 2005 or	15 July 2005	
Time of Receipt:	h		
Application Number:			
DepartmentalStamp:			
Disclaimer: The receipt	ting of this application doe the application or the	s <i>not</i> imply an acknowledgementof the correctness of <b>its</b> content.	completeness of
			***************************************

			RECEIPT - FOR APPLICANT TO RETAIN
Received by:		<del></del>	
Date of Receipt:	14 July 2005	or	15 July 2005
lime of Receipt:	h	<del></del>	
Application Number	:		
Departmental Stamp	): [		
Disclaimer: The recei	pting of this applicat the applicatio	tion <b>does</b> onor the o	not imply an acknowledgement of the completeness of correctness of its content.

Number of Vessels Nominated

A	PPLICATION NUMBE	R:	
DEPARTMI	ENT OF ENVIRONMENT		
	BRANCH: MARINE AN	ID COASTAL MANA	AGEMENT
	Patagon	ian Too	thfish
L	ong Term Rights	Allocation Pro	cess: 2005 Cluster A
	Applio	cation Form For:	New Entrants
Registered Name of A	pplicant		
Company Registration or	Number		
Close Corporation Re Number	gistration		
Principal Place of Busi	ness		

## **IMPORTANT INFORMATION**

#### INSTRUCTIONS

#### **GENERAL**

- 1) This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website. www.paab.co.za.or.www.saica.co.ra).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as **well** as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <a href="mailto:ciustera@deat.gov.za">ciustera@deat.gov.za</a>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="mailto:www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021)670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
  - the information provided will be treated as confidential;
  - there is any documentation or information required in response to a question in the from of an annexure;
  - -the applicant's auditors need to verify a response; and
  - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not Submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic infomation. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

## IMPORTANT INFORMATION

#### INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the Same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

#### LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address Will be refused.

#### **IMPROPER LODGEMENT**

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

#### MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company, sister company(ies) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, ifapplicable, the holding company, sister company(les) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

			Ann		ction 1
The applicant must supply a profile fishing operations, as Schedule 1A	of its organisation in	an		cription	Details fits
1.1 Registered Name of Applicant					
1.2 Trading Name of Applicant:					
1.3 Registration Number:				NO.	
1.4 Income Tax Number:					
1.5 Vat Number:					
1.6 Skills Development Levy Number:					
1.7 Have the applicant's details (1.8.1 applicant registered?	- 1.8.7d) changed sin	oce the O	es O No		
1.8 If "Yes", complete only those detail	ls that have changed	:			
<b>1.8.1</b> Principal Place <b>of</b> No Business:	umber and <b>Street</b> :				
	Town / City:				
		Postal Code:			
<b>1.8.2</b> Postal Address: Number and	Street / PO Box:				
TIOLET COMMITTEE AND ALLES	Suburb:				
	Town / City:				
		Postal Code:			
	Area Code:				
1.8.3 Telephone Number:					
1.8.4 Addiiional Telephone Number:			<del></del>		
1.8.5 Fax Number:					
<b>1.8.6</b> Authorised Contact Person First Name:					
<b>1.8.7</b> Authorised Contact Person Surname:					
c) Cellular Number:	0			····	

					Form of	Section 2 Applican
	sthe applicant hold a medium term ng right in any other sectors?	oyer	O No	Ø		- гррпоси
2.2 If "Y	es", complete the following:					
Fisher	y in which the Applicant holds a Medium-Terri	t Bight .	2	001 Application	Form Number	
	e applicant more than 50% South	O Yes	Ono			
Africa	an owned?	• 155	<b>O</b> 110	•	<i>t</i>	
	s the applicant have a valid tax ance certificate?	O Yes	O.No	P	CZ	
2.5 Com	plete the following table in relation to a	sset value ar	nd turnover	:		مر
	Year	Turnover		Gross Ace	nt Veikie (estrikelis	g flac property)
200						
an	(Financial Year End)					
any	s the applicant hold any share(s) in other company that is applying as a ium term right holder in this sector?	O Yes	Ono	Ø		
any o	s the applicant hold any share(s) in company that is applying as a new ant in this sector?	O Yes	Ono	Ø		
						Section 3
					Co	mpliance
	is the applicant, $oldsymbol{lpha}$ any of its $oldsymbol{\iota}$ ectors:	mbers, sha	l	Œ		
3.1.1	been convicted of a contravention regulations, or permit conditions diperiod?				Yes O No	<b>♦</b> ♥
3.1.2	entered into a plea bargain under 51 of 1977, for a contravention of the or permit conditions during the media	ne MLRA, or	the regulat		∕es ○ No	◆ Ø
3.1.3	paid an admission of guilt fine for a the regulations, or the permit condition right period?				fes O No	4 🗸

		Co	Section 3 impliance
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	O <sub>Yes</sub>		<b>♦</b> ♥
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA α restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?	○ Yes	O no	◆ ♥
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	○ Yes	O No	
			Section 4 el Details
4.1 How many vessels does the applicant nominate for use in this fishery?			
4.2 Fill in the relevant details in the tables below regarding each vessel non	ninated:		V.
Vessel name			
MCM Area Number			
SAMSA Number  Number of crew according to SAMSA			
Safety Certificate  Owner of Vessel as indicated by South			
African Certificate of Registry  Nature of Access to Vessel		<u> </u>	
Vessel fitted with a functioning VNS System (Y/N)			
Manufacture of VMS System			
Vessel Langth in metres according to SAMSA Salety Certificate			
Vessel Horsepower as per SAMSA Safety Certificate			
CZ			
Vessel 2			
Vessel name MCM Area Number			
SAMSA Number	<del></del>		
Number of crew according to SAMSA Satisfy Certificate	· · · · · · · · · · · · · · · · · · ·		
Owner of Vessel as indicated by South African Certificate of Redistry			
Nature of Access to Vessel	<u></u>		
Vessel fitted with a functioning VMS System (Y/N)			
Manufacture of VMS System			
Vessel Length in metres according to SAMSA Safety Certificate			
Vessel Horsepower as per SAMSA Safety Certificate	· · · · · · · · · · · · · · · · · · ·		



	Vessel 3	(A) (A) (A) (A) (A) (A) (A) (A) (A) (A)
Vessel name		
MCM Area Number		
SAMSA Number		
Number of crew according to SAMSA Safety Certificate		
Owner of Vessel as indicated by South African Certificate of Registry		
Nature of Access to Vessel		
Vessel fitted with a functioning VMS System (Y/N)		
Manufacture of VMS System		
Vessel Length in metres according to SAMSA Safety Certificate		
Vessel Horsepower as per SAMSA Safety Certificate		



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in matres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

		Se	ction :
	C	aich Uii	isalio
5.1 Did the applicant over-catch or under-catch in any other fishery by more	○ Yes	O No	•
than 10% during the medium term rights period?  5.2 Complete the following in relation to the applicant's catch records if the app	•	<b>O</b>	,
medium term rights in other sectors (excluding sectors where effort and no	t catch is	allocated	<u> </u>
Fishery in Which Applicant Holds & Medium Term Right  Annual Catch Allocation(in Total Actual Catch of Applicants Percenting	a index		_
Year metric toris) Allocation (in nominal toris) caus		ancertage ove	ar-ceught
2002 Seeson			·
2003 Season			
2004 Season			
Fishery in Which Applicant Holds a Medium Term Right			
Year Annual Cattch Allocation(in Total Actual Cattch of Applicants metric tons) Allocation (in nominal ions) Percentage caug		ercentage ove	r-caught
2002 Season			
2003 Season			
2004 Season			
Fishery in Which Applicant Holds a Medium Term Right			
Year Annual Catch Allocation(In Total Actual Catch of Applicants metric tons) Allocation (in nominal tons) Percentage basis		ercentage over	r-caught
2002 Season			
2003 Season			
2004 Season			
Fishery in Which Applicant Holds a Medium Term Flight			
Year Annual Catch Allocation(in Total Actual Catch of Applicants Percentage metric tons) Allocation (in nominal tons) caucht		arcentage over	-caught
2002 Season			
2003 Season			
2004 Season			
		Sec	tion 6
	T	ransforn	nation
Management and	Emplo	yment E	quity
6.1 Was the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	O Yes	O No	
<b>6.2.1</b> If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	O Yes	Оио	
<b>6.2.2</b> If "No", has the applicant voluntarily complied with the Employment Equity Act, <b>55</b> of <b>1998</b> ?	○ Yes	O No	

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





	company) or members (if a close corporation) as at 28 February 2005.									
	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black Gender (Y/N) (NAF)	ID Number					
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

# Section 6 Transformation **Management and Employment Equity**

## 6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	. The Top Salary Earner (Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 81 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (YAI)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							·
13							
14							
15							
+							
$\dashv$							
$\dashv$							
- 1							

## Section 6

# Transformation



# Management and Employment Equity

W	<b>8</b> *						
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (V/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21							
22							
23							
24							
25							
26							
27							
28							
29							
30			<u> </u>				
31							
32							
33							
34							
35							
36							
37							
38							
39	<del></del>						
40	<del></del>						
41							
42				<u>.</u>			·
43							
44							
45							
46							
47							
48							
49							
50							
51							
52							
53				{			
54			···-				
55			i		<u> </u>	1	

# Section 6

# Transformation



# Management and Employment Equity

V-03	-			u 2000.000		. **************	
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
56							
57							
58							
59							
60							
61							
62				<u> </u>			
63				ļ			
64							
65					·		
66							
67							
68							
69							
70			·				
71							
72					<u> </u>		
73	·						
74							
75							
76		·			<del></del>		
77							
78							
79							
80							
81							
82							
83							
84							
85							
86							
87							
88							
89							
90							

						Section
					Trans	sformatio
			Mana	gement an	d Employm	ent Equity
	Complete the following table mployees at 28 February 20		income levels of	the applicant's	permanent	0,0
	Gross Monthly income (Total Cost to Company)	Number of Employees	Percantage of Total Employees	Number of Black Employees	Percentage of Total Black Eraployees	Number of Female Employees
1	≼FI2 500					
2	Between R2 500 and R5 500				·	
3	Between R5 501 and R10 500					
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
θ	Between R20 001 and R25 000					
7	Between R25 001 and R30 000					
8	Between R30 001 and R40 000					
9	Between R40 001 and R60 000					
0	>R60 600					
	Total		100%		100%	

6.4.2 Does the applicant contribute towards medical aid and pension for its	O Yes
employees?	O tes

**6.4.3** Does the applicant provide any other type of benefits for its employees?

**6.5** Complete the following table in relation to the applicant's employment **equity** profile as provided to the Department of Labour in October **2004**. If the applicant is not designated or **did** not voluntarily comply then the table must be **completed as** at 28 February 2005.

O Yes

O No

							To	Total			
Occupational Categories	Gender	ANo	A%	CNo	C%	INo	1%	W No	W%	ACI%	F%
Top & Senior Management /	Male					·					
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Male										
mid-management / Professionals	Female										
Skilled Technical and academically qualified workers, supervisors.	Male										
foremen, and superintendents / Technicians and Associate Professionals	Female										
Sem-skilled and discretionary decision- making and untitilled and undefined decision- making / Clarks, Service & Sales Workers.	Male										
Platery Workers, Assaula & Related Track Workers, Plans & Machine Operators & Assaulticus, Generality Occupations	Female										
100	Male				_						
TOTAL	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

# Section 6 Transformation **Management and Employment Equity**

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

						r				To	tal
Occupational Categories	Gender	A No	A%	GN6	C%	iNo	1%	W No	W-%	ACI %	F%
Top and Senior Management Senior Officials and	Male										
Managers (as per 2001 application form)	Female										:
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.



									ı	T	otal
Occupational Categories	Gender	A No	A%	G No	C%	No	1%	W No	W %	AC1%	F9.
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male	-									
Professionals 2004 (if not designated as at financial year end 2004)	Female			**							

				~!! <i>C</i>
			Trans	Section 6 sformation
		Ow	nership - Comp	anies Only
6.7Is the applicant a company	?		○ Yes ○ No	
6.7.1If "Yes", complete the tab	ole below in respect o	f shareholding held by	black persons:	K P
	age Shereholding Held y Black Persons	Voentage Voting Rights Held by Black Persons	Percentage of Divide Shareholders are E	ends Bleck Officed To
2001 (as in 2001 application form)	1			
2005 (at date of application)				
6.7.2 Complete the following ta through principle:	able in relation to shar	eholding held by wom	en based on the flow	Jii
Year	age Shareholding Held Pe by Women	rcentage Voting Rights Held by Women	Percentage of Dividend Entitled To	
2005 (at date of application)				
6.7.3Did the applicant have a employees?	share scheme in plac	ce in 2004 for	○ Yes ○ No	Ø,50
6.7.4If "Yes", what is the perce employees not listed under				L
<b>6.7.5</b> Complete the following ta	ble in relation to mon	ies paid to shareholde	ers:	Q 10
	alaries Paid to Shareholders	Egane Made to Shareh	olders Dividends Paid to	3 Shereholders
2002 (financial year) 2003 (financial year)				
2004 (financial year)				
6.7.6Was the applicant manage processing or marketing of by another entity during the	of a 2004 allocation in	any fishery performed	O Yes O No	)
6.7.7lf "Yes", complete the tab	le below:	_	_	32
Function		to Other Entity during the Financial Year	Rand Amount as a Perci Applicant's Total Turno	
Management				
Catching				_
Processing				
Marketing				

			Section ( Transformation
	Owners	hip - Close	Corporations Onl
6.81s the applicant a close corporation?	O Yes	○ No	
6.8.1 If "Yes", complete the table below in respect	of members interes	est held by blac	k persons:
Year Percentage Interest Held by Black Persons	Percentage Voting Right Black Person		entage Profit Distribution Black Persons are Emilied To
2001 (as in 2001 application form)	46.0		
2005 (as at date of application)			
2037 (8) 11 11 11 11 11 11 11 11 11 11 11 11 11			
6.8.2 Complete the table below in relation to meml			
Year Percentage Interest Held by Women	Percentage Voting Fligh Women	is Heid by Percent	tage Profit Distribution Women are Entitled To
2005 (as at date of application)			
6.8.3 Did the applicant have a profit sharing scher in 2004?	me for employees i	n place C	os Ono O
6.8.4/f "Yes", what percentage of profit were empl	loyees not listed		
under question 6.3.2entitled to?			
<b>6.8.5</b> Complete the following table in relation to mo	onies paidto memb	oers:	
Year Salaries Paid to Members	Longe Made 9	o Members	Profit Distributed to Marnbers
2002 (financial year) 2003 (financial year)			
2004 (financial year)			
C. P. E. Was the applicant managed by another entity	, or was the catch	ina	
6.8.6Was the applicant managed by another entity processing or marketing of a 2004 allocation by another entity during the 2004 financial yea	in any fishery perfo		Yes O No
by anounce charged and good and another you	ai :		2000
6.8.7 If "Yes", complete the table below:			
Function Rand Amor	unt Peid to Other Entity		ount as a Percentage of the lean's Turnover in 2004
Management			
Catching			· · · · · · · · · · · · · · · · · · ·
Processing			
Marketing			

Section Transforma	
Skills Developm	
6.9 Has the applicant met the targets set in the Transformation Plan submitted in 2001?  6.10 Complete the following table in relation to compliance with the Skills Development Levies Act 9 of 1998:	<u>ر</u> ا
Year Did the applicant submit.  Workplace Skills Plan submit approved (Y)  Workplace Skills Plan submit approved (Y)  Was approved (YN)  Percentage Salaries Sill Spent on Training Budget S on Black Employe	pent
2001 (financial year and)	
2002 (financial year end)	
2003 (financial year end)	
2004 (financial year and)	
6.1 1 Did the applicant participate in a learnership programme during the medium O Yes O No term rights period?	juni
6.12 If "Yes," indicate the number of learnerships <b>as</b> a percentage of the employees in respect of whom the applicant prepared IRP5 certificates in 2004 (tax year end).	
6.13. Did the applicant participate in any other skills development programmes through its SETA in 2004 such as an SME Support Strategy?	<b>公</b>
6.14.1 How many skippers are used by the applicant?	
6.14.2 What percentage of these skippers are black?	
Corporate Social Investme	ent
6.15 Does the applicant make donations of its annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act <b>58</b> of 1962?	义
6.16 If "Yes", indicate what percentage of net profit earned in the	X
2004 financial year these donations amount to:  O 0.5 - 1%  O > 1%	er."

Section (	)
Transformation	
Corporate Social Investment	

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefiting Organisation	Rend Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefiting Organisation	Rend Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.18 Does the applicant make any other donations (in addition to those which qualify for
deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
including school fees)?

OYes Ono

**6.19 If** 'Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to:

O 0 - 0.5 %



O 0.5 - 1 %

Ø

O > 1.%

**6.20** If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year Benefiting Organisation	Rand Value of Amount Donaled
2004-1	
2004 - 2	
2004 - 9	
2804 - 4	
2004-5	

k		Affirn	Section 6  Transformation native Procurement
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes O No	Ø
6.22 Provide the following information in r (operational expenditure) in 2004:	elation to the applican	t's top ten South Af	rican suppliers
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Supplie Rands in 2004	Percentage of Total Expenses
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?	OYes	O <sub>NO</sub>
6.24 Has the applicant instituted a system measure its affirmative procurement spend as apercentage of total procurement spend in future?		○ Yes	○ No
	Vessel	, Crew and Er	Section 7 vironmental Safety Contraventions
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty ur Shipping Act, 57 of 1951?			○ Yes ○ No
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty for Occupational <b>Safety</b> Regulations of 19	contravening the Ma		○ Yes ○ No

		Sec	tion 7
Vessel, Crew and Er	papagan pangan an sanah		201 x 20 x 20 x 20 x 20 x 20 x 20 x 20 x
	Con	traven	tions
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	○ Yes	○ No	C
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	○ Yes	○ No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	Ø
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	OYes	O No	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	○ No	July 1
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	$\heartsuit$
	V	essel S	Safety
7.11 Have the nominatedvessel(s) been issuedwith a valid Local General Safety Certificate?	OYes	O No	Ø
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
En	vironm	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No	Ø
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	OYes	○ No	Ø

Section 8

Job Creation

8.1	Comp	lete the	following	ı tables	in relat	ionto jo	b creation.
-----	------	----------	-----------	----------	----------	----------	-------------

Ω	1	1	-	Га	h	حا	1
O.		. 1			IJ	ш	-

Year	Employees in respect of which the applicant prepares IRPS Certificates (Land Based)	Employees in respect of which the applicant prepares IRPS Certificates (Sea Based)	Employees in respect of which the applicant does not prepara BIPS Certificates (Land Based)	Employees in respect of which the applicant does not proper IRP5 Certificates (See Based)	Total Number of Employees (Columns 1-4)	Total Amount of Salary Still as per Financial Statements	Percentage increase or decrease in total number of employees
2001 (date of application)							
2002 (financial year and)					·		
2003 (financial year end)							
2004 (financial year end)							
2905 (28 February 2005)							

#### 8.1.2 Table 2

Fishery in which Applicant Holds a Medium Term Right

Number of Units of Effort Allocated to the Applicant in 2004

J000000	
- 8° 0	į
2000	

How much does the applicant spend on salaries/ wages per unit of effort allocated

Fishery in which Applicant Holds a Mediun	n Term Right	
Applicants Annual Catch Allocation (in tons)in this fishery in 2004	Total Annual Salary BIII (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
Fishery in which Applicant Holds a Medium	Term Right	
Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
Fishery in which Applicant Holds a Medium	Term Right	
Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bit (in relation to this flahery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per for allocated
Fishery in which Applicant Holds a Medium	Term Right	
Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End

2004 (financial year end)

848	No. <b>27683</b>	GOVERNMI	ENT GAZETTE, 15	JUNE 2005	
					Section 8  Job Creation
8.	.1.4 Table 4				X
	Applicant's five highest are between 1 Marci	uud salaries (fote) cost to company) peid n 2004 and 28 February 2006	Average of the five sale	What was the must fower gnd the aver	pte between the average of the five age of the five highest enteries at 28 February 2005?
	Apolicant's five lowest share	ual sobertes (total cod) to company) paid 2004 and 26 Poloniany 2005	Average of lower five sale	ree .	
					Section 9 Investment
	1 Complete the fo	llowing tables:			<
	002 (financial year end)	k Value of Total Percentage Inc ixed Assets in Rand Value of	reese or Decreese Total Fixed Assets	il Book Value of Tutal Lan Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Asserts
2	003 (financial year end)				

				Section
9.1.2 Table 2				Investme
Van Insur		ntage Increase or Decreas Value of Total Fixed Asset		
2002 (financial year end)				
2003 (financial year end)				
9.1.3 Table 3		<del> </del>		
Fishery in which Applic	ant Holds a Medium Term			
Annual Catch Allocation (intents) to the applicant in 200		d land beset assets at	2004 See-Based Assets	per ton. Assets per ton alignated tr
Fishery in which Applic	ant Holds a Medium Term			
Annual Catch Allocation (in tons) to the applicant in 200		d boylance session	2004 Sea-Based Assets	per ton. Assets per ton allocated to
Fishery in which Applica	ant Holds a Medium Term			
Annual Catch Allocation (in tons) to the applicant in 200-		d larytheseriessels at	2004 Sea-Based Assets (	er ton. Assets per ton allocated to
Fighery in which Applica	nn Holde a Medium Term	light.		
Annual Catch Allocation (In tons) to the applicant in 2004		later Leaguer accepts at		er ton. Assets per ton allocated to
Fishery in which Applica	nt Holds a Madium Term F	ighi		
Number of units of effort allocated to the Applicant in 2004	Book Value (in rands) of harbour and sea-based assets at 2004 financia year end	lend based assets at 5	OG4 Sea-Based Assets pa of effort allocated	E UTIE ASSESS POR UNIT OF OTHER
	100 010		applicant	

Section 9

O Yes O No

				Investme
9.1.4 Table 4	ant Holds a Medium Term Rig		· · · · · · · · · · · · · · · · · · ·	
Annual Calch Allocation (in tons) to the applicant in 2004	insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	insured Value (in rands) of land-based assets at 2004 finencial year end	Insured Value of Harbour and See-Based Assets per ton allocated to applicant	Insured Value of Land- Basset Assets per ton allocated to applicant
Fishery in which Applica	ant Holds a Medium Term Rig	<b>j</b> nt		
Annual Catch Allocation (in tons) to the applicant in 2004	insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Vakie (in rands) of and-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fishery in which Applica	int Holds a Medium Term Rig	h		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant.	Insured Value of Land- Brised Assets per for allocated to applicant
				_
Fishery in which Applica	unt Holdis a Medium Term Rig	in		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbbur and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
			·	
Fishery in which Applica	int Holds a Medium Term Rig	In		
Number of units of effort allocated to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year and	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per unit of effort allocated to the applicant	Insured Value of Land- Based Assets per unit o effort allocated to the applicant
9.1.5 A detailed expla annexure.	anation of all investme	ent made in marketing	activities must be incl	uded as an
9.1.6 A detailed explanan annexure.	anation of all investme	ent made in processino	gactivities must be inc	cluded as

9.1.7 Has the applicant invested in on-board or land based processing facilities?

# Section 10 Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

10.1 Complete the following table in relation to harbours and landing sites used:				
Harbour / Landing SiteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004	
Total				

10.2 At which harbours	and
landing sites will	5
applicant land its o	catches?

ing Site Names

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

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	Vac	
_	162	_



			Value	Add & Entern	Section 11 rise Developmen
11.1 Is the applicant d any value adding other fishery?		○ Yes	○ No		Ø
11.2 Has the applican		O Yes	○ No		Ø
of any ofner pre-					Section 12
					Performance Financia
certified Annual	licant's <b>2004</b> audited, v Turnover? lowing table in relation		rformance:		
Year	Return on Net Assets (RONA)	Debt: Eq	uity Ratio	Current Ratio	Quick Ratio (Ackd Test)
2001					
2002	·				
2003	·				
2004					
	wing information in rela				Dividends Paid /
Year	Turnover	Profit Af	jer i ax	Retained Earnings	Distribution of Profits
2001					
2002					
2004					
12.4 Provide the follow	wing information in rela	ation to the	applicant's	balance sheet:	1
Year	Assets	Long-Term	Labilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001					
2002		<del></del>			
2003					
2004					

12.5 Provide the following information in relation to the applicant's cash		Perfo	etion 12 rmance inancial
Year Net Cash inflow / Outflow from Operations Net Cash Inflow / Outflow from Investing Act		Cash Inflow/ O	
2001			
2002			
2003			
2004			
12.6 If the applicant is a newly established entity, please provide a deta applicant intends to finance it's entry into this fishery as Schedule		f how the	Ø
		Se	ction 13
	44.00	Fishi	ng Plan
13.1 Does the applicant have a fishing plan?	O Yes	) No	C
<b>13.2</b> Will the applicant be personally responsible for implementing its fishing plan?	O Yes (	No No	Ø
13.3 Will a third party be responsible for implementing the applicant's fishing plan?	○ Yes (	) No	Ø
13.4 If yes, who is the third party?			
			ction 14
By-Catch and Environmen	itally Susta	inable P	ractices
<b>14.1</b> Does the applicant have a by-catch management strategy?	0	Yes O No	\C
<b>14.2</b> Does the applicant intend to put in place any measures to reduce and fuel reduction consumption on vessels, and in processing factors.		Yes $oldsymbol{O}$ No	Ø
<b>14.3</b> Has the applicant undertaken or sponsored any research in relation environmentally sustainable practices?	on to	Yes O No	Ø

Section 15
Fishing Levies

# 15.1Please provide the following information in relation to levies paid since 2001:

	4000	
	Secretar	į
ففد		
200		

Year	Species.	Total Catch in Tons	Levies Palt
2001			
2001			
2001			
2001			
2001	· · · · · · · · · · · · · · · · · · ·		
2002			
2002	· · · · · · · · · · · · · · · · · · ·		
2002			
2002			
2003			
2003			
2003			
2003			· · · · · · · · · · · · · · · · · · ·
2904			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy payment?	/ /					
	Date yyyy/mm/dd					

	۵
Amount	

#### Section 16

#### I, the undersigned do hereby make cath and declare that:

(a) I have read the instructions set on pages 2 and 3 of this application form;

# Applicant Declaration

- (b) the information submitted with and in this Application is true and correct and complete.
- (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancelation, alterationor reduction fany right, licenseor permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
   (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the R i i Verification Unit;
- (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or

explanations, by attendin granting investigators ac constitutean independen (f) the applicant accepts tha quantum or effort, in any refused.	ccess to premises, taroundfor refusing	vessels and an application	đ documentš. T on:	he applican	t accepts tha	at failu	re to	co-opera	ate in <b>this</b> regard wilt
Signed at:									
This	day of			2005		,			
Signature of Author	orised Repres	sentative	et ———						
Representative's F	Full Name: —								
The authorised represen	itative declares	that he/sh	e knows and	understar	ids the con	tents	and	implicat	ions of the above
declaration. Commissioner of Oaths									
Full Name	· ·								
Designation									
Physical Address									
I, the undersigned, do hereby (a) I have read the instruction	make oath and dec ns set out on pages	lare that: 2 and 3 cf ti	applicationfo	m;	Holding	g Co	m	oany	Declaration
<ul> <li>(b) the information submitted</li> <li>(c) I accept that if any information discbsed, this may lead licenseor permit granted</li> <li>(d) in order to allow for the possesses or acquires a or an official of the Depart</li> </ul>	rmation in thii Appl to <i>the</i> Application b on the strength of th proper verification o	ication is not eing refused is Application of information	true or comple I, or to the revo I, in terms of Se I submitted I he	te, or if fake cation, susp ction <b>28</b> of t reby authori	e information ension, cano he Marine Livi ise any institu	ellation ing Re tion. o	n, alter sourc roan c	ation or rees Act 18 of state. r	eductionof any right, s of 1998; person or body, who
•									
responses to written requat such meetings and what failure to co-operate in the	uests for information ere necessary, by o	n or explanations or explanations or explanations investigations or independent of the explanation of the ex	ons, by attendin stigators acces	g meetings to premise	with investiga es, vessels a	tors, by	y ans umer	weringqu nts. The a	estions satisfactorily pplicant accepts that
(f)authority or appellant authin this application form. wil	nority on the allocation	(3rd p on of a right o	arty name) acc or quantum or e						
of Autho		t ti		•	•	·li	til	)	
Signed ***		<del></del>							
This	day of _		<del> </del>	2005					
Signature of Author	orised pre	¥							
Representative F	ull Name:			_					
The authorised represendeclaration.	declares	that he/she	knows and	understan	ds the con	ents :	and i	mplicati	ons of the above
Commissioner of Oaths									
Full Name									
Designation						***************************************			
Physical Address									

**Physical Address** 

Section 1	6
Sister Company Declaration of the property of	ot nt, ote
Signature of Authorised Representative of Sister Company (if applicable)	
Signed at:day of 2005	
Signature of Authorised Representative:	
nepresentative's Full Name: The authorised representative ideclares that he/she knows and understands the contents and implications of the abov	
lectaration. Commissioner of Caths	٩
Full Name	┥
Designation	4
Physical Address	1
	and 2
the undersigned, do hereby make oath and declare that:  JV Partner Declaration	
the undersigned, do hereby make oath and declare that:  a) I have read the instructions set out on pages 2 and 3 of this application form;  b) the information submitted with and in this Application is true and correct and complete.  c) I accept that if any information in this Application is not true or complete, or if fake information is provided, or material Information is not discbsed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any righ license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;  d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, hidelegation or an official of the Department of Environmental Affairs and Tourismor the Riihts Verification Unit;	t, o
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## **SCHEDULES**

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

# **Schedule 1 - Applicant Details**

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

# Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

# Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed **as** Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If 'Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If 'Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

## Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 4B;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C:
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this **as** Annexure 4E.

## Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

## Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these **as** Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these **as** Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefit to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

# Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest # juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- I) Section 6.8.3: If 'Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entii, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section **6.10:** If the applicant completed Section **6.10** with reference to the payroll and training budgets of both the applicant and of any other **entity(ies)** as per the Explanatory Notes, the applicant must complete the table in Section **6.10** for both the applicant and the other **entity(ies)** separately and submit these as Annexure 6P.
- q) Section **6.12**: If the applicant completed Section **6.12** with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.12** for both the applicant and the other entities separately and submit these as Annexure **6Q**.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure **6R**.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- **w)** Section **6.22**: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure **6W**.
- Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

# Schedule 7 - Safety

- a) Section **7.1:** If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section **7.3:** If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section **7.10**: If "Yes," provide a copy of the policy as Annexure **7H.** If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure **7H.**
- i) Section **7.11**: If "Yes," provide a copy of the Local General Safety Certificate as Annexure **71.** If "No," a detailed explanation must be provided **as** Annexure **71**.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.1.
- k) Section **7.14:** If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

# Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section **8.1.4**: If the applicant completed Questions **8.1.4** with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question **8.1.4** for both the applicant and the other entities separately, and submit these as Annexure **8B**.

## Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section **9.1.6**: Provide a detailed explanation of all investments in processing activities as Annexure **9C**.

# \_\_\_\_ Schedule 10 - Local Economic Development

a) Section 10.3: If 'Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

# Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

# Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.1: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery as Annexure 12B.

# Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the **applicant's** fishing plan as Annxure 13A, paying particular attention to:
  - a) demonstration of knowledge of the fishery;
  - b) research undertaken regarding this fishery;
  - c) days to be spent fishing per vessel nominated;
  - d) areas to be targeted;
  - e) species to be targeted and mix of species;
  - f) expected size of species to be targeted;
  - g) detailed information regarding:
    - Age
    - Size
    - Gear
    - Fishing capacity
    - Whether the nominated vessel(s) is ice strengthened and
    - Whether the nominated vessel(s) has ever been listed on a fishery organisation's negative list.
- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 13B. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

# Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.

FO	OR OFFICIAL USE ONLY: DO NOT TEAR OFF RECEIPT
Received by:	
Date of Receipt:	14 July 2005 or 15 July 2005
Time of Receipt:	h
Application Number:	
Departmental Stamp	:
Disabilities The massis	
Discialmer: The receip	ting <b>of</b> this application does not imply an acknowledgement of the completeness of the application or the correctness of <b>its</b> content.
	RECEIPT - FOR APPLICANT TO RETAIN
Received by:	
Date of Receipt:	14 July 2005 or 15 July 2005
Time of Receipt:	h
Application Number:	
Departmental Stamp:	
Disclaimer: The receip	ting <b>of</b> this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.

A	APPLICATION NUMBER:	
DEPARTME	ENT OF ENVIRONMENTAL AFFAIRS AN BRANCH: MARINE AND COASTAL MA	
Sou	th Coast Rock L	Lobster
L	ong Term Rights Allocation P	rocess: 2005 Cluster A
	Application Form Fo	or: New Entrants
Registered Name of A		or: New Entrants
Registered Name of A Company Registration or	Applicant	or: New Entrants
Company Registration	Applicant Number	or: New Entrants
Company Registration or Close Corporation Re	Applicant  Number gistration iness	or: New Entrants

## IMPORTANT INFORMATION

## INSTRUCTIONS

#### **GENERAL**

- 1) This application form must be read together with these instructions, the Explanatory Nons, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <a href="mailto:ciustora@deat.gov.za">ciustora@deat.gov.za</a>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
  - the information provided will be treated as confidential;
  - there is any documentation *or* information required in response to a question in the form of an annexure;
  - the applicant's auditors need to verify a response; and
  - -there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered With the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### **COMPLETION OF APPLICATION FORM**

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newty established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant Annexures to the application form do not need to be scanned and saved on to the CDs. The Departmentmay request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicant's authorised representative, and, ifapplicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

## IMPORTANT INFORMATION

INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, **must** be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

#### LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and Times: Thursday 14July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

#### YPROPER LODGEMENT

14) Improperlylodged applications will be refused.

An application is improperly lodged if:

- it is received late:
- the applicant makes no payment, or short payment, or late payment of the application fee;
- -the application is lodged in a manner contrary to the instructions.

#### **MATERIAL DEFECTS**

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the **applicant's** authorised representative, and, if applicable, the authorised representative(s) of the **holding** company. sister **company(ies)** and the ioint venture **partner(s)**.
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

		A	Section 1 pplicant Details
The applicant must supply a profile of fishing operations, as Schedule 1A			
1.1 Registered Name of Applicant			
1.2 Trading Name of Applicant:			
1.3 Registration Number:			
1.4 Income Tax Number:			
1.5 Vat Number: 1.6 Skills DevelopmentLevy <b>Number:</b>			
1.7 Have the applicant's details (1.8.1 applicant registered?	- 1.8.7d) changed	since the O Yes O No.	•
1.8 If "Yes", complete only those details	s that have change	ed:	
	mber and Street [		
Business:	Suburb:		
	Town / City		
		Postal code:	
1.8.2 Postal Address: Number and	Street / PO Box		
	Suburb:		
	Town / City		
	, r	Postal Code:	
	Area Code:	<u> </u>	
1.8.3 Telephone Number:			
1.8.4 Additional Telephone Number:			
1.8.5 Fax Number:			
1.8.6 Authorised Contact Person First Name:	[——		
1.8.7 Authorised Contact Person Surname:			
a) Identity Number:			
b) Position Held/ Relationshipto Applic	ant		
c) Cellular Number:	0		
d) E-mail Address:			

3

		-			Section 2 Applicant
<ul><li>2.1 Does the applicant hold a medium term fishing right in any other sectors?</li><li>2.2 If "Yes", complete the following:</li></ul>	○ Yes	○ No	Ø		-ppiicain
Fishery in which the Applicant holds a Medium-Term	Right	200	)1 Application F	orm Number	
-					
					` ;
23 Is the applicant more than 50% South African owned?	O Yes	O No	<u></u>		
2.4 Does the applicant have a valid tax clearance certificate?	○ Yes	O No	J 4	Q.	
25 Complete the following table in relation to as	sset value a	nd turnover:			
Year	Turnover		Grass Asset	Value (excluding	; fixed property)
2004 (Financial Year End)				-	
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	○ Yes	○ No	Ø		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	○ Yes	○ No	Ø		
					Section 3
3.1 Has the applicant, or any of its me directors:	mbers, sha	reholders o	or	Co	mpllance
3.1.1 been convicted of a contravention regulations, or permit conditions di period?			right O Y	es O No <sup>1</sup>	
3.1.2 entered into a plea bargain under 51 of 1977, for a contravention of the or permit conditions during the medium.	ne MLRA, or	the regulati		es 🔾 No	<b>◆</b> ♥
3.1.3 paid an admission of guilt fine for a the regulations, or the permit condition right period?				es O No	♦

			Sec	tion
		C	ompl	ianc
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	O <sub>Yes</sub>	O <sub>No</sub>	<b></b>	≪
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 12L of 1998 during the medium term right period?	O Yes	O No	•	Ø
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	O Yes	O No	•	Ø
			Seci	ion
		Vess	selD	etail
4.1 How many vessels does the applicant				
nominate for use in this fishery?				<x< td=""></x<>
4.2 Fill in the relevant details in the tables below regarding each vessel nomination	nated:			
Vessel 1				
Vessel name				
MCM Area Number				
SAMSA Number  Number of crew according to SAMSA Safety Certificate	<u> </u>			
Owner of Vessel as indicated by South African Certificate of Registry			_	
Nature of Access to Vessel				
Vessel fitted with a functioning VMS System (Y/N)				
Manufacture of VMS System				
Vessel Length in metres according to SAMSA Safety Certificate				
Vessel Horsepower as per SAMSA Safety Certificate				
igtriangledown				
Vessel 2				
Vessel name				
MCM Area Number				
SAMSA Number				
Number of crew according to SAMSA Safety Certificate	4			
Owner of Vessel as indicated by South African Certificate of Registry				
Nature of Access to Vessel				
Vessel fitted with a functioning VMS System (Y/N)				
Manufacture of VMS System	<del></del>			
Vessel Length in metres according to SAMSA Safety Certificate		<del></del>		
Vessel Horsepower as per SAMSA Safety Certificate				

# Section 4 Vessel Details



	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

				Secti	on F
			Cal	ch Utilisa	
<ul><li>5.1 Did the applicant over-catch or under-catch than 10% during the medium term rights pe</li><li>5.2 Complete the following in relation to the applicant over-catch or under-catch li></ul>	riod?		O Yes	○ No	•
medium term rights in other sectors (exclude				ocated	
Fishery in Which Applicant Holds a Medium Term Right  Annual Catch Allocation(in Total	Agual Catch of Applicants	Percentage u	nrior.		
	cation (in nominal tons)	caught	Pon	sentage over-cal	ugm
2002 Season					
2003 Season					
2004 Season	_				
Fishery in Which Applicant Holds a Medium Term Flight					
	Actual Gatch of Applicants cation (in nominal lons)	Percentage us caught	nder Perd	entage over-car	<b>ight</b>
2002 Season	<del></del>				_
2003 Season					
2004 Season					
Fishery in Which Applicant Holds a Medium Term Right					
	Actual Gatch of Applicants cation (in nomine) tons)	Percentage ur caught	rder- Perc	entage over-cat	ght
2002 Season					
2003 Season					
2004 Season					
Fighery in Which Applicant Holds a Medium Term Flight					
	Actual Catch of Applicants cation (in nominal tons)	Percentage un caucht	der- Perc	entage over-cas	ght
2002 Season					
2003 Season		300			
2004 Segson					
		<u> </u>			
	er er er er er er er er er er er er er e			Section	on 6
			Tra	nsforma	tion
		nent and l	Employ	ment Equ	uity
<b>6.1</b> Was the applicant a designated employer a Employment Equity Act, 55 of <b>1998</b> as at 2		of the	O Yes	○ No	
<b>6.2.1</b> If "Yes", has the applicant complied with t	he Employment <b>Equ</b> it	ty Act, 55	OYes (	⊃ No	gen.

O Yes O NO

**6.2.2** If "No", has the applicant voluntarily complied with the Employment Equity Act, **55** of 1998?

Section 6
 Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.





		( 0.0000	orporation, as at 20 i estat	,		dia A
	Director / Member Name (Initial & Strasse)	Yeste of Service	Total Annual Pentuberators Floral Cost to Company)	Bies Cras	enne UT:	1b Number
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# Section 6 Transformation Management and Employment Equity

# 6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Eurnera (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1		, -					
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							

# Section 6

# Transformation



# **Management and Employment Equity**

	Employee Name	Organisational Title	Arruel Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20						ļ	
21							
22			 	<u> </u>	<u> </u>		· · · · · · · · · · · · · · · · · · ·
23							
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# Section 6

# Transformation



# Management and Employment Equity

N.	<u>**                                   </u>						
	Employee Name	Organicational Title	Arrestal States (Total Control Contains)	State (S/N)	(Sharyes a Percentage SET out Sharter 6: 81917 sta	Gravene (MF)	Bluete
56							
57							
58							
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67							
68							
69							
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86 87							
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89							
90							
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			Manag	gement and	Employ	ment Equ
	Complete the following table employees at 28 February 20		<u> </u>			ペン
	Gross Monthly Income (Fotal Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage Total Black Employee	k Femal
•	-R2500					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500					
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
6	Between R20 001 and R25 000					
7	Between R25 001 and R90 000					
8	Between R30 001 and R40 000					
9	Batween R40 001 and R60 000					
10	, >R60 000					
	Total		100%		190%	
	Does the applicant contribute mployees?	towards me	dical aid and pen	sion for its	O Yes	O No 5
.4.3 [	Does the applicant provide ar	ny other type	of benefits for its	employees?	O Yes	O No <
De	omplete the following table in partment of Labour in Octobo In the table must be complete	er <b>2004</b> . Ifth	e applicant is not			
						Total

										To	tel
Occupational Categories	Gender	ANo	A%	C No	С%	INo	1%	WNo	W%	ACI%	F%
Top & Senior Management/	Male								-		
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Mais										
mid-menagement / Professionals	Female										
Sidilar Technical and academically qualified workers, supervisors,	Maie								-		
foremen, and appointments / Technicans and Associate Professionals	Female										
Semi-addited and discretionary decision- meking and unstitled and undefined decision- mitting / Clarks, Service & Sales Workers.	Male	-									
Planery Workers, Arkense & Related Trace Wishers, Plant & Machine Country & Assemblers, Elementary Occupations	Female			i							
777.5	Male										
TOTAL	Female										' <del></del>

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Fernale, ACI = African, Coloured and Indian

Management and Employment Equity
Transformation
Section 6

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers only.** 

										To	tai
Occupational Categories	Gender	ANo	AS	CNo	6%	INc	1%	W No	w.e.	ACI%	F%.
Top and Senior Management Senior Officials and	Maie										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Main									-	
Managers 2002 (if not designated as at financial year end 2002)	Female								·		
Top and Senior Management / Senior Officials and	Maie	!									
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for **Professionals** only.



			,							10	ital
Occupational Categories	Gender	ANo	A%.	CNo	0%	INo	1%	W No	W%	ACI%	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female	:									
Professionally qualified and experienced specialists and mid-management./	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (If not designated as at financial year end 2004)	Female										

				Tran	Section Isformatio
			Owner	ship - Comp	
6.7 Is the applicant a compar	ny?			○ Yes ○ No	0
6.7.1 If "Yes", complete the ta	able below in resp	pect of sharehold	ing held by bla	ck persons:	0.5
Year	ntage Shareholding He by Black Persons	ed Percentage Votin by Black P		Percentage of Divi	
2001 (as in 2001 application form)					
2005 (at date of application)	<del></del>				
6.7.2 Complete the following through principle:	table in relation to	o shareholding he	eld by women b	pased on the flow	
Year Perce	ritage Shareholding He by Women	kid Percentage Voting		Pergentage of Dividen Entitled 1	
2005 (at date of application)					
6.7.4 If "Yes", what is the per employees not listed un 6.7.5 Complete the following	der question 6.3.	.2,through the sch	neme?		
Year - was stored	Salaries Paid to Share	holders Loans N	lade to Shareholder	s Dividends Paid	to Shareholders
2002 (financial year)					
2003 (financial year)			<del></del>		
2004 (financial year)			a a t a b i a a	<u> </u>	
6.7.6 Was the applicant man processing or marketing by another entity during	g of a 2004 alloca	tion in any fishery		○Yes ○≀	No
6.7.7 If "Yes", complete the ta	able below:				
Function	Rand Amo	unt Paid to Other Entity 2004 Financial Year	during the	Rand Amount as a Pe Applicant's Total Tur	
Management					
Catching					
Processing					
Marketing					

				Section
	Owners	hip - Clo	i rar se Corpor	isformatioi ations Onl
6.8 Is the applicant a close corporation?	O Yes	O No		
6.8.1 If "Yes". complete the table below in respect	of members intere	est held by	black persons	
Year Percentage Interest Held by Black Persons	Percentage Voting Righ Black Person			Distribution Black Entitled To
2001 (as in 2001 application form)				1000
2005 (as at date of application)				
	·		<del></del>	.000
6.8.2 Complete the table below in relation to member 1.8.2	oers interest held b	y women:		
Year Percentage interest Held by Women.	Percentage Voting Flight Women	ts Held by F	ercentage Profit Dis Entitle	
2005 (as at dete of application)				
	· .			<del></del>
5.8.3 Did the applicant have a profit sharing schem in 2004?	ne for employees i	n place	O Yes O No	
<b>5.8.4</b> If "Yes", what percentage of profit were employed	oyees not listed			
6.8.4 If "Yes", what percentage of profit were employened under question 6.3.2 entitled to?	oyees not listed			
under question <b>6.3.2</b> entitled to?		oers:		مر □ مري
under question <b>6.3.2</b> entitled to?			Profit Distribut	CO Action Members
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year Subries Paid to Members  2002 (Illiancial year)	nies <b>paid</b> to memb		Profe Distribut	ad to Members
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year Saturies Faid to Members  2002 (financial year)  2003 (financial year)	nies <b>paid</b> to memb		Profit Distribut	act to Metribers
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year Subries Paid to Members  2002 (financial year)	nies <b>paid</b> to memb		Profit Distribu	nd to Members
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to move the same of	nies <b>paid</b> to memb	o Members	Profit Distribut	
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to more states and to Members  2002 (financial year)  2003 (financial year)  2004 (financial year)  5.8.6 Was the applicant managed by another entity	nies <b>paid</b> to memb	o Members		
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year Scharles Paid to Members  2002 (financial year)  2004 (financial year)  5.8.6 Was the applicant managed by another entity processing or marketing of a 2004 allocation i	nies <b>paid</b> to memb	o Members		
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year  Settries Pald to Members  2002 (financial year)  2004 (financial year)  2004 (financial year)  5.8.6 Was the applicant managed by another entity processing or marketing of a 2004 allocation i by another entity during the 2004 financial year  5.8.7 If "Yes", complete the table below:	nies <b>paid</b> to memb	ing ormed		lo centage of the
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year  Settries Pald to Members  2002 (financial year)  2004 (financial year)  2004 (financial year)  5.8.6 Was the applicant managed by another entity processing or marketing of a 2004 allocation i by another entity during the 2004 financial year  5.8.7 If "Yes", complete the table below:	nies <b>paid</b> to memb Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to	ing ormed	O Yes O N	lo centage of the
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year Selectes Paid to Members  2002 (financial year)  2004 (financial year)  3.8.6 Was the applicant managed by another entity processing or marketing of a 2004 allocation i by another entity during the 2004 financial year  5.8.7 If "Yes", complete the table below:  Fireton Rendered	nies <b>paid</b> to memb Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to	ing ormed	O Yes O N	lo centage of the
under question 6.3.2 entitled to?  5.8.5 Complete the following table in relation to mo  Year Staries Paid to Members  2002 (financial year)  2003 (financial year)  5.8.6 Was the applicant managed by another entity processing or marketing of a 2004 allocation i by another entity during the 2004 financial year  5.8.7 If "Yes", complete the table below:  Function Rand Amed  Management	nies <b>paid</b> to memb Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to Loens Mode to	ing ormed	O Yes O N	lo centage of the

				Tra Skills D	nsforn	
<ul><li>6.9 Has the applicant met the targets in the Transformation Plan submitt in 2001?</li><li>6.10 Complete the following table in reAct 9 of 1998:</li></ul>	ed O Did no	ot apply in any		O <b>No</b>		٧ ر
	Did the applicant submitten Annual raining Report which was approved (YM)	Rend Amount Palc SARS in skills development levi	FOR CONTURBED	Training	Percentag sizing Budg Heck Em	et Sperit
2001 (financial year and)						
2002 (financial year ond)						
2003 (financiallyour anti)						
2004 (financial year and)						
<b>6.11</b> Did the applicant participate in a leterm rights period?	earnershipprogr	ammeduring t	he medium	O yes	Оио	<del>Jina</del>
6.12 If "Yes, Indicate the number of le employees in respect of whom th 2004 (taxyear end).	arnerships <b>as</b> a e applicant prep	percentage of t ared IRP5 certi	the ificates in			
<b>6.13.</b> Did the applicant participate in an programmes through <b>is</b> SETA in	ny other skills de n <b>2004</b> such as a	velopment In SME Suppo	rt Strategy?	O Yes	O NO	Ø
<b>6.14.1</b> How many skippers are used by applicant?	y the [					
6.14.2 What percentage of these skippare black?	pers [					
6.15 Does the applicant make donation is annual taxable income which of for deduction in terms of section 1 the Income Tax Act 58 of 1962?	<sub>lualify</sub>	es O No	Corporate	Social	Invest	ment
6.16 If "Yes", indicate what percentage 2004 financial year these donation		ned in the	O 0 - 0.5 % O 0.5 - 1 %			Ø
			<i>O</i> >1%			

Section 6
Transformation
Corporate Social Investment

6.17 indicate the five largest tax deductible donations made per annum since 2001 in the table below.

	the five largest tax deductible donations made <b>per</b> annum since 2001 in the table below.
Year	Seneliting Organisation Rand Value of Ancium Constant
2001 - 1	
2001 - 2	
2001 - 3	
2001 - 4	
2001 - 5	
Year	Benefiting Organisation Rend Value of Amount Donated
2002 - 1	
2002 - 2	
2002 - 3	
2002 - 4	
2002 - 5	
Year	Benefiting Organisation Rand-Value of Amount Constagn
2003 - 1	
2003 - 2	
2003 - 3	
2003 - 4	
2003 - 5	
Year	Benefitting Organisation Rand Value of Anount Donatical
2004 - 1	
2004 - 2	
2004 - 3	
2004 - 4	
2004 - 5	

6.18 Does the applicant make any other donations (in addition to those which qualify for
deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not
including school fees)?

O Yes O NO

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O 0 - 0.5 %



O 0.5 - 1% O > 1%



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rend Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

			Si Transio	ection (
		Affirma	tive Procu	
<b>6.21 Does</b> the applicant have a written affirmative procurement policy?	○ Yes	O <sub>NO</sub>		Ø
<b>6.22</b> Provide the following information in relation to the (operational expenditure) in <b>2004</b> :	ne applicant's to	p ten South Africa	an suppliers	٧.
	ge of Black Am oof Supplier	ount Peid to Supplier in Rands in 2004	Percentage Expens	
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurementspend?		○ Yes	Ono	Ø
6.24 Has the applicant instituted a system to measure its affirmative procurement spend as a percentage of total procurement spend in future?		○ Yes	○ No	
				ction
	Vessel, Cr	ew and Env	ironmenta Contrav	
7.1 Has the applicant, during the medium term rights forfeited a deposit by way of penalty under section Shipping Act, 57 of 1951?			○ Yes ○ Ne	
7.2 Has the applicant, during the medium term rights forfeited a deposit by way of penalty for contraven Occupational Safety Regulations of 1994?			∵Yes ()No	$^{\circ}$

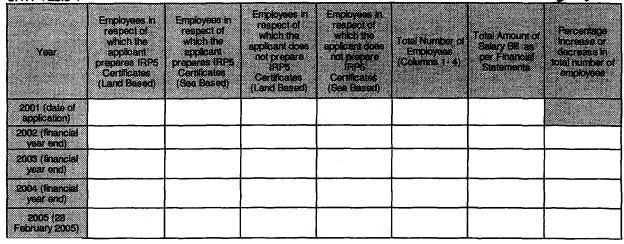
 $\boldsymbol{\omega}$ 

		Seci	ion 7
Vessel, Crew and Er		nental S ntravent	and the common properties.
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	O Yes	O No	Ø
Crew Safety & V	Vorking	g Condi	tions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	O Yes	O No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	O Yes	O No	Q
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	€ C
7.7 £ a nominatedvessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	O Yes	O No	Ø,
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	O Yes	O No	C
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O No	Ş
7.10 Does the applicant have an HIV/AIDS policy?	O Yes	O No	Q
	V	essel S	afety
7.11 Have the nominatedvessel(s) been issued with a valid Local General Safety Certificate?	O Yes	O No	O.
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	O Yes	○ No	
	vironm	ental Sa	afety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	O Yes	O No	Ø
7.14 Does the applicant have a Garbage Management Planfor each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	O No	Ø

# **Job Creation**

# 8.1 Complete the following tables in relation to job creation.

## 8.1.1 Table 1



#### 8.1.2 Table 2

O

Fishery in which Applicant Holds a Medium Term Right	
Applicants Annual Catch Allocation (in tons)in this fishery in 2004  Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	does the applicant spend on calaries/ wages per ton allocated

	Fishery in which Applicant Holds a Medium	n Term Right	
	Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this lishery) 2004 Financial Year End	How much does the applicant spend on salaries/ wages per ton allocated
1			

Fishery in which Applicant Holds a Medium	m Term Right	
Applicants Annual Catch Allocation (in tons) in this fishery in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End weges per ton allocated	arios/

Fishery in which	Applicant Holds a Mediu	n Term Right			
Applicants Annual this f	Catch Alocation (in tone) in fishery in 2004	Total Annual Salary Bill fishery) 2004 Finan	(in relation to this call Year End	How much does the appl wages per to	

Fishery in which Applicant Holds a Mediu	m Term Right	
Number of Units of Effort Allocated to the Applicant in 2004	Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End	How much does the applicant apend on salaries/ wages per unit of effort allocated

				Section 8  Job Creation
8.1.3 Table 3				, p
Fishery in which Applica	nt Holds a Medium Term F	ight		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How merry people does the applicant employ per itin allocated	Total Employees less Seasonal Employees (In relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per on allocated
			1	
Fishery in which Applican	nt Holds a Medium Term F	light		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people dose the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
Fishery in which Applicar	nt Holds a Medium Term R	ight		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per ton allocated
Fishery in which Applican	nt Holds a Medium Term F	ign .		
Applicant's Annual Catch Allocation (in tons) in this fishery in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per ton allocated	Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per jon allocated
Fishery in which Applican	nt Holds a Medium Term R	ghi		
Number of units of effort allocated to the Applicant in 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many people does the applicant employ per unit of effort allocated?	Total Employees less Sessonal Employees (in relation to this fishery) (28 February 2005)	How many people (less seasonal employees) does the applicant employ per unit of effort allocated?

	Se	ectio	on	8
Jo	b 0	rea	lie	

## 8.1.4 Table 4

Applicant's five highest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005	Average of top five salarise	What was the multiple between the average of the five lowest and the average of the five highest salaries at 28 February 2005?
		·
	·	
Applicant's five towest annual salaries (total cost to company) paid between 1 March 2004 and 28 February 2005		
between 1 March 2004 and 28 February 2005	Average of lowest five salaries	
between 1 March 2004 and 28 February 2005	Average of lowes live studies	
between 1 March 2004 and 28 February 2005	Average of lowest live studies	
between 1 March 2004 and 28 February 2005	Average of lowest tive studies	
between 1 March 2004 and 28 February 2005	Attack of sover the suggest	

			Section 9
 •	***	•	invesiment

# 9.1 Complete the following tables:

# 9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

				Section
9.1.2 Table 2				Investme
	nkie of Total Percenta	ge Increase or Decrease	Total Insured Value of Total	Total Insured Value of
Fluid	Assets in Fland V	Stue of Total Fixed Assets	Land Based Fixed Assets	Harbour and See Based Fluid Assets
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				
9.1.3 Table 3				
Fishery in which Applicant I	Holds a Medium Term Fli Book Value (in rands) of			
Annual Catch Allocation (in	harbour and see-based assets at 2004 financial year and	Book Value (in rands) of land-based assets at 200 financial year end	Book Value of Harbour at Sea-Based Assets per to allocated to applicant	nd Book Value of Land-Based in Assets per ton allocated to applicant
Fishery in which Applicant I	lokis a Medium Term Ric	in.		
Anoual Catch Allocation (in	Book Value (in rands) of harbour and see-based	Gook Value (in rands) of land-based assets at 200		
tons) to the applicant in 2004	Assets at 2004 financial year and	financial year and	Sax-Resert Assets per to allocated to applicant	Assets per ton allocated to applicant
<u> </u>			ĺ	
Fishery in which Applicant H		tit .		
Annual Laten Allocation (in. )	book Value (in rands) of airbour and sea-based issets at 2004 financial year and	Book Value (in rands) of and-based assets at 2004 financial year and	Book Value of Harbour and Sea-Based Assets per for allocated to applicant	Book Value of Land-Based Assets per tip allocated to applicant
	TOTAL GIRG			
Fighteen or unfield A T				
Fishery in which Applicant H  Annual Catch Allocation (in B)	ock Value (in rands) of arbour and sea-baser	Book Value (in rands) of	Book Value of Harbour and	Sook Value of Land-Based
	asets at 2004 financial year end	land-based assets at 2004 friendial year and		Assets per ton allocated to applicant
]				
Fishery in which Applicant Ho	alds a Medium Term Righ	ı		
allocated to the Applicant in 19	ook Value (in rands) of arbour and see-based seets at 2004 financial	Book Value (in rande) of land-based assets at 2004	Book Value of Harbour and Sea-Based Assats per unit	Book Value of Land-Resed Assets per unit of effort
EXP.	Vear end	financial year end	of effort allocated to applicant	alineated to applicant
1				' '

				Section 9
9.1.4 Table 4	-			2
Fishery in which Application (in tons) to the applicant in 2004	insured Value (in rands) of harbour and sea-based paseus at 2004 financial	Insured Value (In randa) of land-based assets at 2004 finercial year and	Insured Value of Hartour end Sea-Based Assets pay for allocated to applicant	Insured Value of Land- Besid Assets per ion allocated to applicant
	year and			
Fighery in which Application (in tops) to the applicant in	TRAIDOUR AIRS SER-DASEC	Insured Value (In rands) of land-based assets at 2004	insured Velue of Harbour and See Besed Assets per	Insured Value of Land- Based Assets per ton
2004	essets at 2004 financial year end	francisi year ext.	ton allocated to applicant.	allocated to applicant
Fishery in which Application (Internal Internal Carton Allocation (Internal Internal	Insured Value (in rands) of harbolir and sea-board	insured Value (in rande) of land-based assets at 2004	Insured Value of Hartton and See Resed Assets per	Insured Value of Land- Based Assets per ton
2004	essets at 2004 financial year and	Triancial year end	ing allocated to applicant	allocated to applicant
Fishery in which Applica	ant Holds a Medium Term Rig	n l		
Annual Catch Allocation (in tors) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Injured Value (in rends) of land-based assets at 2004 financial year and	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fisheru in which Analics	ant Holds a Medium Term Fig			
Number of units of affort allocated to the applicant in 2004	Insured Value (in rands) of	Incured Value (Firends) of land-based access at 2004 financial year and	Insured Value of Harbour and Sea Flanco Assets per unit of allocated to the applicant	Incured Value of Land- Based Assets per unit of effort allocated to the applicant
9.1.5 A detailed expl annexure.	anation of all investme	ent made in marketing	activities must be inc	luded as an
9.1.6 A detailed expl an annexure.	anation of all investme	ent made in processin	gactivities must be in	cluded as 💢
9 1 7 Has the annlic	ant invested in on-boa	rd or land based proc	essinafacilities?	O Ves ONo

						5	Sect	ion 10
L	oc:	al E	co	no	mic	: Dev	relo	pment

101	Complete the	following table	in relation to	harhours and	landing sites used:
1 U. I	COITIOIELE LI IC	; iuiiuwii iu labic		Hai buul 5 al lu	iai iuli iu sites useu.

Harbour / Landing SiteName	Flahery	Tons Landed at Herbour in 2004	Percentage of Total Catch Landed in 2004
•			
Total			

10.2 At which har	and
ıding sites	will the
applicant land	d its catches?

Harbour / Land	ling Site Names

10.3 Has the	applicant made any investment in physical infrastructure at these
harbours	or landing sites in order to improve or make landing catches at
these ha	rbours possible?

_			
$\cap$	Vac	$\cap$	NTO



	Perfo	ction 12 ormance
12.5 Provide the following information in relation to the applicant's cash flow:		inancial
Year Net Cash Inflow / Outflow from Operations Net Cash Inflow / Outflow from Investing Activities  2001 2002	Net Cash Inflow/ C Financing Act	
2003		
12.6 If the applicant is a newly established entity, please provide a detailed states applicant intends to finance it's entry into this fishery as Schedule 128.	ment of how the	C
		ction 13 ng Plan
13.1 Does the applicant have a fishing plan?	es O No	Q.
<b>13.2</b> Will the applicant be personally responsible for implementing its fishing plan?	es O No	Ø
13.3 Will a third party be responsible for implementing the applicant's fishing plan?	es O No	Q
13.4 If yes, who is the third party?		
By-Catch and Environmentally S		etion 14 ractices
<b>14.1</b> Does the applicant have a by-catch management strategy?	O Yes O No	Ø
<b>14.2</b> Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	O Yes O No	Ø
<b>14.3</b> Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	O Yes O No	Ø

	STAATSKOERAN	41, 15 <b>30</b> NIE 2005	NO. 27663 6
			Section 15 Fishing Levies
15.1 Please pr	rovide the following information in relatio	n to levies paid since 2001	: ************************************
Year	Species	Total Catch in Tona	Bovies Paút
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002	·		
2002			
2002			
2003			
2003			
2903			
2063			
2003			
2004			
2004			
2004			
2004			

15.2	What was the date and amount of
	the applicant's most recent levy
	payment?

/ /	Amount	
Date yyyy/mm/dd	Amount	

Section 16

**Applicant Declaration** (a) I have **read the** instructions set out on pages 2 and 3 of this (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true  $\alpha$  complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise arty institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit;

(e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application; (9 the applicant accepts that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Policy or in this application form, will result in the application being refused. Signedat: ---\_\_\_\_\_ day of \_\_\_\_\_ 2005 This -Signature of Authorised Representative: ——— Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration Commissioner of Oaths **Full Name** Designation **Physical Address** ), the undersigned, do hereby make oath and declare that: **Holding Company Declaration** (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this Application is true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or iffalse information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alterationor reduction of any right, license or permit granted on the strength of thii Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
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Section 16

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Representative's	Full Name:	
The authorised represe declaration.	entative declares that he/she knows and understands the contents and implications of the abo	ve
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**SCHEDULES** 

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

# Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is **involved** in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

# Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 26.
- c) Section **2.6**: If "Yes," provide a detailed statement describing percentage of shareholding, fhe name and the registration number of the company as Annexure **2C**.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

# Schedule 3 - Compliance

- a) Section **3.1.1**: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed **as** Annexure 3A.
- b) Section **3.1.2:** If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and **identity** of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section **3.1.4:** If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure **3D.**
- e) Section **3.2:** If "Yes" provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure **3E.** Also provide details **if** a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section **3.3**: If 'Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section **28** procedure as Annexure **3F**.

# Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 46;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unabte to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

# Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

# Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.32: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 66.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of **contributions** made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

# Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5:** Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well **as** whether the member is Black, as Annexure **6M.**
- Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, **as** well as any supporting documentation from the SETA, as Annexure **6R**.
- s) Section **6.14.1** and **6.14.2**: If the applicant completed Sections **6.14.1** and **6.14.2** with reference to the skippers of both the applicant and of any other entities **as** per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section **6.14.1** and **6.14.2** for both the applicant and the other entities separately and submit these as Annexure **6S**.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section **6.22:** Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- X) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

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# Schedule 7 - Safety

- a) Section 7.1: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 72: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 78.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- 9 Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If 'Yes,"provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.11: If 'Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, is members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

# Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 88.

# Schedule 9 - Investment

- a) Section 9.1.3 and 9.1.4: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed **explanation** of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

# Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A

# Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

# Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A.
   The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.1: Provide a detailed explanation of how the applicant intends to finance its entry into this fishery **as** Annexure 128.

# Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan **as** Annxure 13A, paying particular attention to:
  - a) days to be spent fishing per vessel nominated;
  - b) areas to be targeted;
  - c) species to be targeted and mix of species;
  - d) expected size of species to be targeted;
  - e) detailed information regarding:
    - Age
    - Size
    - Gear (length of lines, numbers of traps and winch carrying capacity)
    - Fishing capacity and
    - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If "Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 136. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard as Annexure 13C.

# Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a **detailed** explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.

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APPLIC	ATION NUMBER:	
2	ENVIRONMENTAL AFFAIRS AND TOURISM CH: MARINE AND COASTAL MANAGEMENT	
Sma	ll Pelagics (Anchovy	
and	Sardine Purse-Seine)	
Long T	erm Rights Allocation Process: 2005	
	Cluster A	
	Application Form For: New Entran	tc
Registered Name of Applicant		1
Registered Name of Applicant  Company Registration Number		
Company Registration Number or Close Corporation Registration		
Company Registration Number or Close Corporation Registration Number		
Company Registration Number or Close Corporation Registration Number  Principal Place of Business  Number of Vessels Nominated  The applicant is applying for and the applicant is applying for sar	hovy O	
Company Registration Number or Close Corporation Registration Number  Principal Place of Business	hovy O	

#### IMPORTANT INFORMATION

# INSTRUCTIONS

#### **GENERAL**

- 1) This application form must be read together with these Instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website. www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. The policies guide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <a href="mailto:clustera@deat.gov.za">clustera@deat.gov.za</a>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on <a href="https://www.mcm-deat.gov.za">www.mcm-deat.gov.za</a>. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

#### **ICONS**

- 4) Applicants must pay attention to the icons in the application form in order to determine whether:
  - the information provided will be treated as confidential;
  - there is any documentation or information required in response to a question in the form of an annexure;
  - -the applicant's auditors need to verify a response; and
  - there are consequences of not answering a question.
- 5) The application form makes use of the following icons:



The books icon means that the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.



The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

#### COMPLETION OF APPLICATION FORM

- 6) The applicant need not complete sections of the application form that are not applicable to the applicant. If the applicant has never held medium term rights in any other fisheries, the applicant should disregard the sections that require information pertaining to medium term rights in other fisheries. If the applicant is a newly established entity, the applicant should only provide details of current status where the application calls for historic information. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the applicanrs authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.

# IMPORTANT INFORMATION

# INSTRUCTIONS

- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.
- 11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

#### LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and limes: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

#### IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged it

- it is received late:
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

#### **MATERIAL DEFECTS**

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and **attested** by the **applicant's** authorised representative, and, ifapplicable, the authorised representative(s) of the holding company, sister company(les) and the ioint venture partner(s).
- -the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(les) and the joint venture partner(s) provides false information or documents, falls to disclose material information or attempts to Influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

	Section 1  Applicant Details
<ol> <li>The applicant must supply a profile of fishing operations, as Schedule 1A</li> </ol>	of its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	
12 Trading Name of Applicant:	
<b>1.3</b> Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
1.7 Have the applicant's details (1.8.1 applicant registered?	- 1.8.7d) changed since the Yes No
1.8 If "Yes", complete only those details	s that have changed:
	mber and Street
Business:	Suburb:
	Town / City:
	Postal Code:
<b>1.8.2</b> Postal Address: Number and	Street / PO Box
1.0.21 Ostal/address.	Suburb:
	Town / City:
	Postal Code:
	Area Code:
1.8.3 Telephone Number:	
<b>1.8.4</b> Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	
<b>1.8.7</b> Authorised Contact Person Surname:	
a) Identity Number:	
b) Position Held/ Relationshipto Applic	ant
c) Cellular Number:	( )
d) E-mail Address:	

				Section 2 rm of Applicant
2.1 Does the applicant hold a medium term fishing right in any other sectors?	OYes	○ No	⟨♥   □   □   □   □   □   □   □   □   □	
2.2 If "Yes", complete the following:				
Fishery in which the Applicant holds a Medium-Term I	Right	200	1 Application Form	Number
2.3 Is the applicant more than 50% South African owned?	OYes	ONo	p	
2.4 Does the applicant have a valid tax clearance certificate?	O Yes	○ No	, C	>
2.5 Complete the following table in relation to as	set value ar	nd turnover:		<i></i>
Year	Turnover		Gross Asset Valu	a (excluding fixed property)
electric designation of the second se				
.2004 (Financial Year End)				
2.6 Does the applicant hold any share(s) in any other company that is applying as a medium term right holder in this sector?	OYes	O No		
2.7 Does the applicant hold any share(s) in any company that is applying as a new entrant in this sector?	O Yes	ONo	C .	
				Section 3 Compliance
3.1 Has the applicant, or any of its men directors:	nbers,	e ve		
3.1.1 been convicted of a contravention regulations, or permit conditions du period?			right O Yes	O No 🍑 💢
<ul><li>3.1.2 entered into a plea bargain under the</li><li>51 of 1977, for a contravention of the or permit conditions during the medium</li></ul>	e MLRA, or	the regulatio		○ No ◆ 🌣
<b>3.1.3</b> paid an admission of guilt fine for a conditional the regulations, or the permit conditional right period?				О ио

	100	Section:
	C	ompliance
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	OYes $O$ NO	$\Diamond$
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of is shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?	O'Yes ○ No	<b>♦</b> Ø
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	○ Yes ○ No	
525-71 (1959). <b>1</b>	Vec	Section 4
4.1 How many vessels does the applicant		sel Details
nominate for use in this fishery?		<i>i</i> ~/
4.2 Fill in the relevant details in the tables below regarding each vessel nomination	nated:	
Vessel 1		
Vessel name MCM Area Number		
SAMSA Number		<del></del>
Number of crew according to SAMSA Safety Certificate		
Owner of Vessel as indicated by South African Certificate of Registry	**************************************	
Nature of Access to Vessel		
Vessel fitted with a functioning VMS		
System (V/N) Manufecture of VMS System		
Vessel Length in metres according to SAMSA Salety Certificate		
Vessel Horsepower as per SAMSA Salety Certificate		
✓ <u> </u>		
Vessel 2 Vessel name		
MGM Area Number		
SAMSA Number		
Number of crew according to SAMSA Safety Certificate		<del></del>
Owner of Vessel as indicated by South African Certificate of Registry	· · · · · · · · · · · · · · · · · · ·	
Nature of Access to Vessel		
Vessel fitted with a functioning VMS	· · · · · · · · · · · · · · · · · · ·	
System (Y/N)		
System (Y/N)		

# Section 4 Vessel Details



	Vessel 3	
Vessel name		
MCM Area Number		
SAMSA Number		
Number of crew according to SAMSA Safety Certificate		
Owner of Vessel as indicated by South African Certificate of Registry		
Nature of Access to Vessel		
Vessel fitted with a functioning VMS System (Y/N)		
Manufacture of VMS System		
Vessel Length in metres according to SAMSA Safety Certificate		
Vessel Horsepower as per SANSA Safety Certificate		



	Yessel 4		
Vessel name			
MCM Area Number		,	
SAMSA Number			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel		<del></del>	
Vessel fitted with a functioning VMS System (Y/N)			
Manufacture of VMS System.		· · · · · · · · · · · · · · · · · · ·	
Vessel Length in metres according to SAMSA Safety Certificate			
Vessel Horsepower as per SAMSA Salety Certificate			



	Vossel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	1
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Selety Certificate	

O Yes O No

	Sec. 1				Sect	
		, 111111111111111111111111111111111111			Catch Utilis	atior
	ant over-catch or unde ng the medium term rig		er fishery by mo	ore O Ye	s O No	•
5.2 Complete the	following in relation to	:he applicant's cat				5
	rights in other sectors		where effort a	nd not catch is	allocated.	
Year	Annual Catch Allocation(in	Total Actual Casch o		rocenson dieser	Percentage over c	
	metric (ons)	Alcontien (in nom	nel tote)	caugh	racenage ores.	ara de la
2002 Season						
2903 Season				<del></del>	<del></del>	
2004 Season						
Fishery in Which A	oplicant Holds a Medium Term					
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Alocation (in nomi	ACCEPTATE PAR MELLOTES	centage under- caught	Percentage over-ca	meghi
2002 Season		 <del> </del>				
2003 Season						]
2004 Season						
Fishery in Which Ap	pplicant Holds a Medium Term	Right				
Year	Annual Catch Allocation (in metric tons)	Total Actual Galich of Allocation (its north		certage under- ceught	Percentage over-ca	wght
2002 Season						
2003 Season						
2004 Season						
Fishery in Which Ap	oligant Holds a Medium Term	Rion		L		
Year	Annual Catch Allocation(in metric tons)	Total Actual Catch of Alocation (in yours	Accelerate Per	entego indeg ceophi	Percentage over-ca	ucht
2002 Season				COLUM		
2003 Season						$\dashv$
2004 Season			<del></del>			$\dashv$
ZIAM CHIEDOTI		_			<del></del>	
					Secti	on 6
					Transforma	
······································		Ma	anagemeni	and Emp	loyment Eq	uitv
	cant a designated emp Equity Act, 55 of <b>1998</b>	loyer as defined in	section 1 of th			
6.2.1 If "Yes", has of 1998?	the applicant complied	d with the Employi	ment Equity Act	, 55 <b>O Yes</b>	O No	در

6.2.2 If "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of **1998?** 

Section 6
Transformation
Management and Employment Equity

6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.

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	oompany) or mornioore	,,, u, 0,000 t	corporation) as at 20 Febru	a. y 2000	•	W V
	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black (Y/N)	Gender (M/F)	ID Number
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11				   		
12						
13						
14						
15						

# 6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Selary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1			l L				
2							
3							
4							
5							
6							
7		·					
8							
9							
10							
11	-						
12							
13							
14							
15							
16							
17							
18							
19							

# Section 6

# Transformation



# Management and Employment Equity

-	Lar						
	Employee Name	Organicational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salarlesin this Table	Gender (M/F)	ID Number
20							
21							
22						1	
23							
24							
25							
26		·					
27							
28							
29							
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# Section 6

# Transformation



# Management and Employment Equity

N.							,,
	Employee Name	Organisational Title	Annual Salary (Total Cost to Corepany)	Black (Y/N)	Salary serie. Percentage of Total Salaries in the Table	Gordor (N/F)	ID Number
56			<u> </u>				
57							
58							
59					·		
60							
61							
62							
63							
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65							
66							
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86						1	
87							
88							
89							
90							
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					Section 6
. 17.1111				Trans	formation
		Manag	gement and	I Employme	ent Equity
6.4.1 Complete the following table employees at 28 February 2		ncome levels of t	the applicant's p	permanent	Q 10
Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Fernile Employees
+ 202500					

	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number of Fernie Employees
1	₹R2 500					
2	Between R2 500 and R5 500					
3	Between R5 501 and R10 500					
4	Between R10 501 and R16 000					
5	Between R16 001 and R20 000					
6	Between R20 001 and R25 000		·			"
7	Between R25 001 and R90 000					
8	Between R30 001 and R40 000				. '	
9	Between R40 001 and R60 000					
10	≠R60 000					
	Total		100%		100%	

6.4.2 Does the	applicant	contribute	towards	medical	aid and	pension	for its
employees	s?						

_			_			
O	Yes		O	N	lo	

	, 1	•	,
4	1	7	(

**6.4.3** Does the applicant provide any other type of benefits for its employees?

0	Yes	0	No

6.5 Complete the following table in relation to the applicant's employment equity profile **as** provided to the Department of Labour in October **2004**. If the applicant is not designated or did not voluntarily comply then the table must be completed **as** at 28 February 2005.

										Tr	tai
Occupational Categories	Gender	A No	A%	C No	С%	l No	1%	W No	W%	ACI%	P%
Top & Senior Management /	Male										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Male										-
mid-management / Professionals	Female										
Sidled Technical and academically qualified workers, supervisors.	Male										
foremen, and Appeintendents/ Technicians and Associate Professionals	Female										
Sent-skilled and discretionary decision- making and unskilled and undaffined decision- making/ Clerks, Service & Sales Workers,	Male										
Fishery Workers, Armons & Relined Tracts Workers, Plant & Machine Obsestors & Assemblers, Elementary Occupations	Female										
	Male										
TOTAL	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

# Section 6 Transformation Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for **Senior Officials and Managers** only.

2284	ı									To	tai
Occupational Categories	Gender	A No	A 96	C No.	C%	FNo	ž	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and Managers 2002 (If not	Male										
designated to at francial year and 2002)	Female										
Top and Senior Management / Senior Officials and Managers 2004 (If not	Male										
designated as at figurately year and 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for **Professionals** only.



										· To	相
Occupational Categories	Gender	ANo	A%	GN <sub>0</sub>	C %	iNo	1%	WNo	WS	ACI %	F%
Professionally qualified and experienced specialists and mid-management.	Mele										
Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management.	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mini-management / Professional 2004 (5 ex)	Male										
Professionals 2004 (Finot designated as at financial year and 2004)	Female										

	Section 6 Transformation Ownership - Companies Only
6.7 Is the applicant a company?	○ Yes ○ No
6.7.1 If "Yes", complete the table below in respect of shareholding	g held by black persons:
Percentage State Foreign Heat by Black Persons by Black P	
6.7.2Complete the following table in relation to shareholding held through principle:	d by women based on the flow
Year Percentage Shareholding Held Percentage Voting to by Women by Women by Women by Women	
6.7.3Did the applicant have a share scheme in place in 2004 for employees?	O Yes O No
<b>6.7.4If</b> Yes", what is the percentage shareholding held by or for employees not listed under question <b>6.3.2,</b> through the sche	eme?
6.7.5 Complete the following table in relation to monies paid to sh	nareholders:
Year Salaries Poid to Shareholders Loans Ma. 2002 (Briancial year) 2003 (financial year) 2004 (financial year)	te to Shareholders Dividends Paid to Shareholders
6.7.6 Was the applicant managed by another entity, or was the caprocessing or marketing of a 2004 allocation in any fishery put by another entity during the 2004 financial year?	
6.7.7/f "Yes", complete the table below:	
Function Paid Amount Paid to Other Entity of 2004 Financial Year  Mediagrament	Rand Antourit as a Percentage of the Applicant's Total Turnover in 2004
Catching	
Marketrg	

Marketing

		Sec Transforr Skills Develo	
6.9 Has the applicant met the targets set	_	O Not at all	Ø s
Act 9 of 1998:  Did the applicant submit workplace Skills Plan submit an Arsual SARS in 1 Submit an Arsual Stars i	SKIRS PRICERIUS, ST. ST. ST. ST. ST. ST. ST. ST. ST. ST.		et Spent
<ul> <li>6.1 1 Did the applicant participate in a learnership programme duri term rights period?</li> <li>6.12 If "Yes," indicate the number of learnerships as a percentage employees in respect of whom the applicant prepared IRP5 of 2004 (tax year end).</li> </ul>	e of the	○ Yes ○ No	,;;
<ul> <li>6.13. Did the applicant participate in any other skills development programmes through is SETA in 2004 such as an SME Support of the set of the s</li></ul>	pport Strategy?	○Yes ○ NO	
6.15 Does the applicant make donations of its annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962?	Corporate	Social Invest	ment
6.16 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these donations amount to:	○ 0 - 0.5 % ○ 0.5 - 1 % ○ > 1 %		Ø

Section (	5
Transformation	
Corporate Social Investment	

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2009 - 4		
2003 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 1 2004 - 2		
2004 - 2		

6.18	Does the applicant make any other donations (in addition to those which qualify for
	deduction in terms of section 18A of the income Tax Act 58 of 1962, but not
	including school fees)?

OYes Ono

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O *o* **-** 0.5 %



○ 0.5 - 1%○ > 1%



6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

		Affir		ection 6 ormation irement
<b>6.21 Does</b> the <b>applicant</b> have a written affirmative procurement <b>policy?</b>	0	Yes O No		Ø
<b>6.22</b> Provide the following information in re (operational expenditure) in <b>2004</b> :	elation to the applican	t's top ten South A	African suppliers	٥
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Supp Rands in 2004	ier in Percenteg Exper	
		<b>X</b>		
		ijina		
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?	O Yes	○ No	Ø
<b>6.24 Has</b> the applicant institued a system measure its affirmative procurement spend <b>as</b> a percentage of total procurement spend?		○ Yes	○ No	
	-	<b>SP</b>		ection 7
	Vesse	l, Crew and E		al Safety entions
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty ur Shipping Act, 57 of 1951?	term rights <b>period,</b> be nder section <b>324</b> of the	en convicted, or Merchant	○ Yes ○ N	. 🗸
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty for Occupational Safety Regulations of 19	contravening the Ma		○ Yes ○ No	· Ø

		Sec	tion 7
Vessel, Crew and Er		iental : itraven	
	Con	iti a veii	lions
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	O Yes	○ No	Ø
Crew Safety & V	Vorking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	○ Yes	ONo	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Marine Occupational Safety Regulations?	○ Yes	○ No	Ø
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	O Yes	O No	Ø
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	○ Yes	○ No	Ø
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	○ Ye's	O No	Ø
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	O No	5
7.10 Does the applicant have an HIV/AIDS policy?	○ Yès	ONo	Ø
	V	essel S	Safety
7.1 1 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	○ Yes	O No	Ø
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	○ Yes	○ No	
<u> </u>	vironm	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	○ Yes	O No	Ø
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	O Yes	O No	Ø

#### Job Creation 1 Completet following tables in relation to job 8.1.1 Table 1 Employees in respect of which the applicant does not prepare IRP5 Employees in respect of which the applicant prepares IRP5 Certificates (Sea Based) Employees in Employees in respect of which the respect of which the applicant does Percentage Total Amount of Total Number of increase o Salary Bill as per Financial applicant Employees (Columns 1-4) decrease in total number of Year not prepare IRP5 prepares IRP5 Certificates Statements employees Certificates (Land Based) Certificates (Sea Based) (Land Based) 2001 (date of application) 2002 (financial vear end) 2003 (financial vear end) 2004 (financial year end) 2005 (28 February 2005) 8.1.2 Table 2 Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons)in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right. Total Armual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in this fishery in 2004 How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right Applicants Annual Catch Allocation (in tons) in this fishery in 2004 Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End How much does the applicant spend on salaries/ wages per ion allocated Fishery in which Applicant Holds a Medium Term Right Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End Applicants Annual Catch Allocation (in tons) in this fishery in 2004 How much does the applicant spend on salaries/ wages per ton allocated Fishery in which Applicant Holds a Medium Term Right

Total Annual Salary Bill (in relation to this fishery) 2004 Financial Year End

How much does the applicant spend on salaries/ wages per unit of effort allocated

Number of Units of Effort Allocated to the

Applicant in 2004

# Section 8 **Job Creation** 8.1.3Table 3 Fishery in which Applicant Holds a Medium Term Flight Total Employees loss Seasonal Employees (in relation to this fishery) [28 February 2005]. How many people (less seasonal employees) does the applicant employ per for ellocated Total Employees (in relation to this fishery) (28 February 2005) How many people does the applicant employ per ton allocated Applicant's Annual Catch Allocation (in tons) in this fishery in 2004 Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) (28 February 2005) How many people (less sessonal employees) does the applicant employ per ton allocated How many people does the applicant employ per ton allocated Applicant's Annual Catch Allocation (in tons) in this fishery in 2004 Total Employees (in relation to this fishery) (28 February 2005) Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in elation to this dishery) (28 February 2005) How many people (less seasons employees) does the applicant employ per ton allocated Applicant's Annual Catch Allocation (in tons) in this fishery in 2004 Total Employees (in relation to this fishery) (28 February 2005) How many people does the applicant employ per ton ellocated Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) [28 February 2005] How many people (less seasonal employees) does the applicant employ per ion allocated Applicant's Annual Catch Allocation (in tons) in this How many people does the applicant employ per ton allocated Total Employees (in elation to this fishery) fishery in 2004 (28 February 2005) Fishery in which Applicant Holds a Medium Term Right Total Employees less Seasonal Employees (in relation to this fishery) (26 February 2005) How many people (less semional employees) does the applicant employ per unit of effort allocated? Total Employees (in-relation to this fishery) (26 February 2005) How many people does the applicant employ per unit of effort allocated? Number of units of effort allocated to the Applicant in 2004

			Section  Job Creatio
8.1.4 Table 4			< <b>₹</b>
Applicant's five highest aurusal salaries (total cost to company) paid between 1 March 2904 and 28 February 2005	Average of top five salaries	What was the multiple between lowest and the average of the February?	or the average of the live five highest salaries at 25 8055
		Ì	
Adolicant's five lowest around ediates (total cost to company unid	100000000000000000000000000000000000000		
Applicant's five lowest annual estates (total cost to company) paid between 1 March 2004 and 28 Petruary 2005	Average of lowest five salaries		

Section 9 Investment
<u> </u>

# 9.1 Complete the following tables:

# 9.1.1 Table 1



Year	Book Value of Total Fixed Assets	Percentage Increase or Decrease in Rand Value of Total Fixed Assets	Total Book Value of Total Land Based Fixed Assets	Total Book Value of Harbour and Sea Based Fixed Assets
2002 (financial year end)				2
2003 (financial year end)				
2004 (financial year end)	-			

	Section:
9.1.2 Table 2	nvestmer 
Your Front Assets in Barri Value of Little Front Server Land Based Committee of Herbotic	sured Votes of and Son Resect of Assets
2002 (financial	A4 700018
year and)	
2003 (financial year end)	
2004 (financial year end)	
9.1.3 Table 3	ر
Fishery in which Applicant Holds a Medium Term Right  Book Value (in rands) of Page Medium Term Right	
Annual Catch Allocation (in harbour and sea-based trus) to the applicant in 2004 sessits at 20	ped Larri-Basad o so electrologi pelican
Fishery in which Applicant Holds a Medium Term Flight	
	48 of Land-Based in the althought in COLORS
Vear and	
Fishery in which Applicant Holds a Medium Term Right	
Appeal Catch Allocation (in backers and analysis of Book Value (in rands) of Book Value (in rand	e of Land-Read
tors) to the applicant in 2004 Assets at 2004 Spagner at 2004 Spagner at 2004 Spagner as 2004 Spagner at 2004	r ton allocated to policers
Fishery in which Applicant Holds a Medium Term Right	
Annual Catch Allocation (in factors and 2004 Instructed assets at 2004 Instructed assets at 2004 Instructed year and 1004	e of Land-Based are also and to options
Vear end	A STATE OF
Fishery in which Applicant Holds a Medium Term Right  Number of units of effort Book Value (in rands) of Book Value (in r	
NUMBER OF CHARLES OF CHARLES AND CONTROL OF	e of Land-Resign er sest of effort d fo expelicant

# Section 9 Investment

9.1.4 Table 4				
Fishery in which Applica	ant Holds a Medium Term Riç	int		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per for allocated to applicant
Fishery in which Applica	ant Holds a Medium Term Rig	ht		
Annual Catch Allocation (in tons) to the applicant in 2004	insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per for allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rig	11.		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
Fishery in which Applica	nt Holds a Medium Term Rigi	it		
Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land Based Assets per ton allocated to applicant
Fishery in which Applican	nt Holds a Medium Term Righ	ıt		
Number of units of effort allocated to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 financial year end	Insured Value of Harbour and Sea-Based Assets per unit of effort allocated to the applicant	Insured Value of Land- Based Assets per unit of effort allocated to the applicant

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.



9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.

9.1.7 Has the applicant invested in on-board or land based processing facilities?





# Section 10 Local Economic Development

10.1 Complete the followins table in relation to harbours and landing sites us	stable in relation to harbours and landing sites up	lowins table in relation to harbours and landing sites used:
--	---	--

Harbour / Landing SteName	Fishery	Tons Landed at Harbour in 2004	Percentage of Total Catch Landed in 2004
Total			

10	At which	ours and
	ı <b>g</b> site	s will the
	applicant la	nd its catches?

	Harbour/Land	ing Site Names
?		

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



			Valu	e Add & Enterp	Section 1 rise Developmen
11.1 Is the applicant any value addin other fishery?	directly involved in g process in any	○ Yes	∩ No		Q
11.2 Has the applica for any enterpris	nt been responsible se development?	∩ Yes	C No		Q
					Section 12 Performance
<u> </u>		***************************************	***************************************		Financia
certified Annual	licant's <b>2004</b> audited, Turnover? Ilowingtable in relation		rformance	:	
Year	Return on Net Assets (RONA)	Debt: Eq	uity Ratio	Current Ratio	Quick Platic (Acid Test)
2001					
2002					
2003					
2004					
12.3 Provide the follo	wing information in rel	ation to the	applicant's	s income statements:	
Year	Turnover	Profit Al	ter Tax	Retained Earnings	Dividends Paid / Distribution of Profits
2001					
2002					
2663					
2004					
12.4 Provide the follo	wing information in rel	ation to the	applicant's	s balance sheet:	
Year	Assets	Long-Term	Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001					
2002		-			
6000					

The second secon

2004

			Seci	tion 12
	,, 1.4 <b>9*******</b>		<sup>2</sup> erfor	mance
			Fin	nancial
12.5 Provide the following information in relation to the applicant's cash				
Year Nel Cash Inflow / Outflow from Operations Net Cash Inflow / Or Investing Activ		Net Cash Ir Finan	ROW COURS	DW Inom
2001			·	
2002			<del></del>	
2003				
2004			····	
12.6 If the applicant is a newly established entity, please provide a detail	led stateme	nt of how	the	Ø
applicant intends to finance it's entry into this fishery as Schedule 1	IZB.			
				tion 13
42.4 Dece the applicant have a fishing pion?			FISNIN	g Plan
13.1 Does the applicant have a fishing pian?	O Yes	O No		O
<b>13.2</b> Will the applicant be personally responsible for implementing its fishing plan?	O Yes	Ono		Q
13.3 Will a third party be responsible for implementing the applicant's fishing pian?	O Yes	Ono		Q
13.4 If yes, who is the third party?		_		
By-Catch and Environmen	tally Cur			ion 14
Dy-Catch and Environment	tally Sus	stalliat	ile Fra	Glices
14.1 Does the applicant have a by-catch management strategy?		O Yes	Ono	Q
<b>14.2</b> Does the applicant intend to put in place any measures to reduce e and fuel reduction consumption on vessels, and in processing <b>facility</b>		O Yes	O No	C
				• 🐙
<b>14.3</b> Has the applicant undertaken or sponsored any research in relation environmentally sustainable practices?	onto	O Yes	Ono	Ø

Section 15
Fishing Levies

# 15.1Please provide the following information in relation to levies paid since 2001:



Year Species		Total Calch in Tons	Levies Part
2001			
2001	-		
2001			
2001	1	·	
2001			,
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy	/ / Date	Amount .	
payment?	vvvv/mm/dd		

Section 16

#### a signal, skihoto koto sia di di

- **Applicant Declaration**
- (a) I 'e read: I instructions set out on pages 2 and 3 of this application form;
- (b) the information submitted with and in this Application is true and correct and complete.
- (c) I accept that if any information in **this** Application is not true or complete, or if false information is provided, or material information is not disclosed, **this** may lead to the Application **being** refused, or to **the** revocation, suspension, cancellation, alterationor reduction of any right, license or permit granted on the strength of **this** Application, in terms of Section **28** of the Marine **Living** Resources Act **18** of **1998**;
- (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights verification Unit;

  (e) the applicant undertakes to co-operate with any investigators by timeously submitting responses to written requests for information or

explanations, by attend granting investigators constitute an independe	ing meetings with investigato access to premises, vessels ant ground for refusing an appli	rs, by answering questions satisfactorily at such meetings and where necessary, by and documents. The applicant accepts that failure to co-operate in this regard will cation; e decision of the delegated authority or appellant authority on the allocation of a right or d for in the General <b>Policy</b> or in this application form, will result me the application being
Signed at:		
This	day of	2005
Signature of Auth	norised Representa	tive: —————
Representative's	Full Name:	
	entative declares that he	e/she knows and understands the contents and implications of the above
declaration. Commissioner of Oaths		
Full Name		
Designation		
Physical Address		
(b) the information submitt (c) I accept that if any inf discbsed, this may lea license or permit grante (d) in order to allow for th possesses or acquires or an official of the Depa  (e) responses to written re at such meetings and w failure to co-operate in th  (f) authority or appellant au in this application form, w	formation in this Application is ad to the Application being refu don the strength of this Applic proper verification of informany information relevant to the artment of Environmental Affair (3rquests for information or explayhere necessary, by grantiin is regard will constitute an indication of the refull result in the application being the constitute of the refull result in the application being the constitute of the refull result in the application being done the refull result in the application being done the refull result in the application being done the refull result in the application being done the refull result in the application being done the refull result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result result in the application being done to the refull result in the application being done to the refull result in the application being done to the refull result in the refull result in the refull result result in the refull result res	s true and correct and complete. In not true or complete. Or if fake information is provided, or material information is not used, or to the revocation, suspension, cancellation, alterationor reduction of any right, ation, interms of Section 28 of the Marine Living Resources Act 18 of 1998; ation submitted I hereby authorise any institution, organ of state, person or body, who e application, to disclose or make the information available to the Minister, his delegate is and Tourism or the Rights Verification Unit;  d party name) undertakes to co-operate with any investigators by timeously submitting anations, by attending meetings with investitions, by answering questions satisfactorily investigators access to premises, vessels and documents. The applicant accepts that lependent ground for refusing an application; and party name) accepts that any attempt to influence the decision of the delegated light or quantum or effort, in any manner other than provided for in the General Policy or g refused.
•	-	ive of Holding Company (if applicable)
	day of	
Representative's	norised Representat	tive:
		and the second s
declaration.	manye deciares mai ne	/she knows and understands the contents and implications of the above
Commissioner of Oaths		
Full Name Designation		
Physical Address		
, nyaidai rasai daa		

Section 16

It is the information submitted with and in this \$I\$ is true and correct and complete.  (c) I accept that if any information in this Application is not true or complete, or if false information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application. in terms of Section 28 of the Marine Living Resources Act 18 of 1998;  (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, what possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit;  (e)						
Signedat:——						
This	day of	2005				
Signature of Author	orised Representativ	/e:				
Representative's f	Full Name:					
The authorised represent declaration.	native declares that he/sh	he knows and understands the contents and implications of the above				
Commissioner of Oaths						
Full Name						
Designation						
Physical Address						
I, the undersigned, do hereby make oath and declare that: (a) I have read the instructions set out on pages 2 and 3 of this application form; (b) the information submitted with and in this-Applicationis true and correct and complete. (c) I accept that if any information in this Application is not true or complete, or if fake information is provided, or material Information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) in order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, his delegate or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit;  (e)						
at such meetings and where necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will constitute an independent ground for refusing an application;						
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	hority on the allocation of a right If result in the <mark>application being</mark> re	t or quantum or effort, in any manner other than provided for in the General Policy or efused.				
Signature of Authorised Representative of JV Partner (if applicable)						
Signedat:						
This	day of	2005				
Signature of Authorised Representative:						
		ne knows and understands the contents and implications of the above				
declaration.	mause deciares that heldin	e wows and understands the currents and implications of the above				
Commissioner of Oaths						
Full Name						
Designation						
Physical Address						

# SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

# **Schedule 1 - Applicant Details**

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure IC.

# Schedule 2 - Form of Applicant

- a) Section 2.1: Provide copies of the 2005 permits as Annexure 2A.
- b) Section 2.4: Provide a copy of a valid SARS tax clearance certificate as Annexure 2B.
- c) Section 2.6: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2C.
- d) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2D.

# Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identii and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

# Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
  - i) SAMSA Safety Certificate(s) as Annexure 4A;
  - ii) South African Certificate(s) of Registry as Annexure 4B;
  - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
  - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

# Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

# Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separatety, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If 'Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6.F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits paid to employees, as well **as** a copy of the employee share scheme policy as Annexure 6H.

# Schedule 6 - Transformation

- i) Section **6.7.5**: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure **61**.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K.**
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section **6.9:** Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure **60.**
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

# Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed description of the accident as Annexure 7D.
- e) Question 7.5: If Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If Yes," provide details and documentation regarding the conduct of the applicant, is members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7.J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

# Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section **8.1.4**: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities **as** per the Explanatory Notes, the applicant must complete the table in Question **8.1.4** for both the applicant and the other entities separately, and submit these as Annexure 8B.

### Schedule 9 - Investment

b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including

# Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation d all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

# Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

# Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.6: Provide a detailed explanation of how the applicant intends to finance is entry into this fishery as Annexure 12B.

# Schedule 13 - Fishing Plan

- a) Section 13.1: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
  - a) days to be spent fishing per vessel nominated;
  - b) areas to be targeted;
  - c) species to be targeted and mix of species;
  - d) expected size of species to be targeted;
  - e) detailed information regarding:
    - Age
    - Size
    - Gear
    - Fishing capacity and
    - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

- b) Section 13.2: If 'Yes," provide a detailed explanation of the experience and knowledge the applicant has at its disposal to target the resource as Annexure 138. This explanation must provide details, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regard.
- c) Section 13.3: If "Yes," provide a detailed explanation of the experience and knowledge of the third party, including abbreviated curriculum vitae of individuals and profiles of entities to be used in this regardas Annexure 13C.

# Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 148.
- c) Section 14.3: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14C.

Applkatkn Number: .

Departmental Stamp:

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