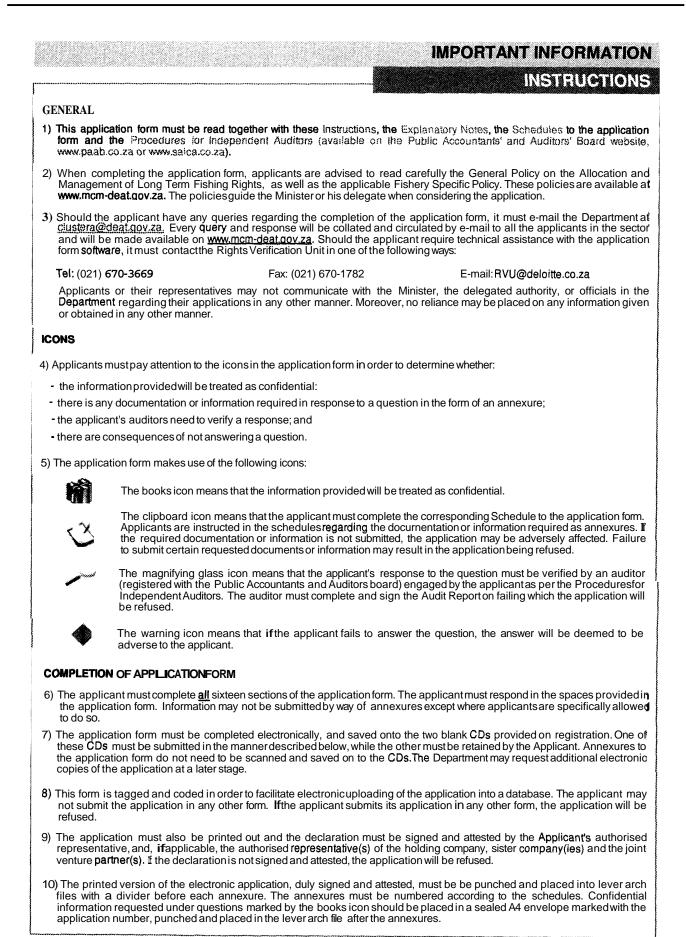
SCHEDULE C

SPECIMEN MEDIUM TERM RIGHT HOLDER APPLICATION FORMS (TOGETHER WITH EXPLANATORY NOTES) AND PATAGONIAN TOOTHFISH EXPERIMENTAL PERMIT HOLDER APPLICATION FORM (TOGETHER WITH EXPLANATORY NOTES)

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APPLIC	CATION NUMBER:
	L
	F ENVIRONMENTAL AFFAIRS AND TOURISM CH: MARINE AND COASTAL MANAGEMENT
H	[ake Deep Sea Trawl
Long T	Cerm Rights Allocation Process: 2005
	Cluster A
Registered Name of Applicant	ation Form For: Medium Term Right Holders
Company Registration Number or	
Close Corporation Registration Number	
Principal Place of Business Number of Vessels Nominated	



IMPORTANT INFORMATION INSTRUCTIONS

11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be tht same as the original. Any discrepancy may invalidate the application.

LODGEMENTOF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdinn company. sister company(ies) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector,
- the applicant, or, if applicable, the holding company, sister **company(ies)** and the joint venture **partner(s)** provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

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	Section 1 Applicant Details
	Č
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
1.7 Have the applicant's details (1.8.1 - applicant registered?	1.8.7d) changed since the O Yes O ^{No}
1.8 If "Yes", complete only those details	s that have changed:
1.8.1 Principal Place of Nu	mber and Street
Business:	Suburb:(
	Town / City:
	Postal Code:
1.8.2 Postal Address: Number and	Street / PO Box
	Suburb{
	Town / City
	Postal Code:
	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	
1.8.7 Authorised Contact Person Surname:	
a) Identity Number:	
b) Position Held/ Relationship to Applic	ant
a) Collular Number	
c) Cellular Number:d) E-mail Address:	<u> </u>
y L=110117001€33.	1 I

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STAATSKOERANT, 15 JUNIE 2005

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					Fo	orm of	Applic
2.1 Does the applicant currently medium term fishing right in t		() Ye	s () No	<	X		
2.2 If "Yes", to which entity or pe	rson was the	e medium te	rm fishing	right alloca	ited in 2()01/2002	?
Company	🗆 Na	ame					
Close Corporation	🗌 Na	ame					
Trust		ame					
Individual	🗌 Na	ame			~		<u></u>
2.3 In what form of entity is the m	nedium term	n fishing righ	t currently	held?	5		
Company	🗆 Na	ame					
Close Corporation	🗌 Na	ame					
Trust	🗆 Na	ame					
Individual	🔲 Na	ame					
2.4Is the applicant more than 50 African owned?	1% South	() Ye	s () No	/			
2.5 Does the applicant have a va clearance certificate?	ılid tax		s () No	/	۲ د	X	
2.6 Complete the following table i	in relation to	asset value	and turno	ver:			
Year		Turnovar		Grad	e Annat Ma	tuo (overhuel	ing fund and
TOTAL CONTRACTOR OF CONTRACTON		Tangaga		Giu		alue (excludi	IN INCO HO
2004 (Financial Year End)							
2.7 Does the applicant hold any s any other company that is ap medium term right holder in the	plying as a	ΟY	es Om	· 🗸	>		
2.8 Does the applicant hold any sany company that is applying entrant in this sector?		0 Y	es () No	• <	X		
						1	Sectio
3.1 Has the applicant, or an directors:	ıy of its m	nembers, s	hareholde	rs or		1000	mpliar
3.1.1 been convicted of a					() Yes	No	\$
regulations, or permit period?							
period?							
	travention of	the MLRA,	or the reg	ulations,	() Yes	O No	۲ 🔶

		Section 3
	Col	mpliance
3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	⊖ _{Yes} ⊖ _{No}	\$ <u>(</u>
3.2 Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention df Organised Crime Act 121 of 1998 during the medium term right period?	○Yes ○No	•
3.3 Was the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	○Yes ○No	\mathbf{O}
	9	Section 4
	Vesse	Details
4.1 How many vessels has the applicant		
nominated for use in this fishery?		e X
4.2 Fill in the relevant details in the tables below regarding each vessel nomin	nated:	
Vessel name		
MCM Area Number		
SAMSA Number		
Number of crew according to SAMSA Safety Certificate		
Owner of Vessel as indicated by South African Certificate of Registry		
Nature of Access to Vessel		
Vessel fitted with a functioning VMS		
System (VN) Manufacture of VMS System		
Vessel Length in metres according to SAMSA Safety Certificate	<u> </u>	
Vessel Horsepower as per SAMSA	<u></u>	
Safety Certificate		
Vessel 2		
Vessel name MCM Area Number		
SAMSA Number	· · · · · · · · · · · · · · · · · · ·	
Number of crew according to SAMSA Safety Certificate		
Owner of Vessel as indicated by South African Certificate of Registry	<u> </u>	
Nature of Access to Vessel		
Vessel fitted with a functioning VMS		
System (Y/N) Manulacture of VMS System		
Vessel Length in metres according to SAMSA Safety Certificate		
Vessel Horsepower as per SAMSA	······	
Safety Certificate		

Section 4 Vessel Details

C	
-	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	· · · · · · · · · · · · · · · · · · ·
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

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	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certilicate	

Section 4

Vessel Details

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	Vescel 6
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

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	Vessel 7
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Centilicate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 8
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certilicate	

Section 4 Vessel Details

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	Vessel 9
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessal 10
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Centificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	i

<u>s</u>	
×	Vessel 11
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

	Section 4
	Vessel Details
Vessel 12	
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Centificate	······································
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	

Veasel Horsepower as per SAMSA Safety Certificate

	Vossel 19
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

\heartsuit		
	Vessel 14	
Vessel name		
MCM Area Number	and and the second s	
SAMSA Number		
Number of crew according to SAMSA Safety Certificate		
Owner of Vessel as indicated by South African Certificate of Registry		
Nature of Access to Vessel		
Vessel fitted with a functioning VMS System (Y/N)		
Manufacture of VMS System		-
Vessel Length in metres according to SAMSA Safety Certificate		٦
Vessel Horsepower as per SAMSA Safety Certificate		

Section 4
Vessel Details

<u> </u>	Vessel 15
Vessel name	10
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manulacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Satety Certificate	



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	Vessel 16
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

	Vessel 17
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manulacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

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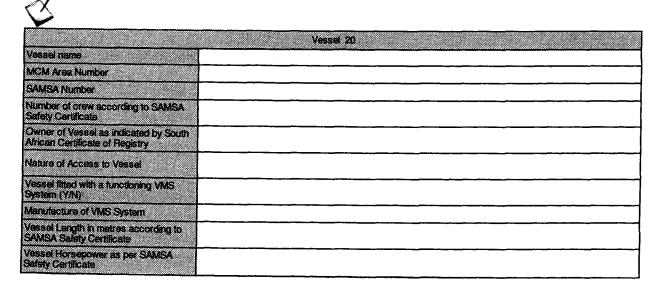
Section 4

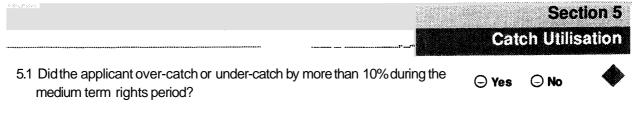
Vessel Details

	Vessel 18
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manulacture of VMS System	
Vessel Length In metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Sefety Certificate	



	Vessel 19
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Langth in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	





5.2 Complete the following in relation to the applicant's catch records.



Year	Annual Catch Allocation (in- metric tons)	Total Actual Catch of Applicants Alocation (Nominal tons)	Percentage under-caught	Percentage over-cought
2002 Season				
2003 Season				
2004 Season				

		Sec ansform	ction 6
Management and			
6.1 Was the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	() Yes	⊖ ^{No}	P
6.2.1 If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	() Yes	() No	P
6.2.2 If "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	⊖ Yes	O No	P

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			Manager	nent and E	Transfo	ection 6 rmation
6.3	3.1 Complete the table to company) or members	pelow in resp s (if a close c	pect of the applicant's boa corporation) as at 28 Febru	rd of directors		
	Droctor / Monbor Name (Initia & Sumano)	Years of Service	Total Annual Remuneration (Tota Cost to Company)	i Black Gende (Y/N) (M/F)	e ID NU	mber
1		ļ	ļ		ļ 	
2						
3		ļ				
4						
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6						
7						
8						
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10					ļ	
11						
12						
13						
14						
15						

Section 6

Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Selary (Total Cost to Company) Earners
More than 185 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Tetal Salaries in this Table	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
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18							
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Section 6 Transformation

Management and Employment Equity

Ø)		IVIaI	1566		<u>((()(0))</u>	ment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salarlesin this Table	Gender (M/F)	ID Number
20							
21						·	
22							
23							
24							
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30							
31							
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Section 6

Transformation

Management and Employment Equity

	J						
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
56]	
57							
58						L	
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										Trik	Sect	
						Contraction of the second s				ploym	ent E	quit
	Complete the follo employees at 28 F			ation to	income	levels a	f the ap	plicant's	perma	nent	C	
	Gross Monthly Income to Company			mber of ployees		age of Tot ployees		per of Black	` To	centage of Ital Black Inployees	F	mber of emale ployees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>ipic joco</td><td></td><td>pojeco</td></r2>									ipic joco		pojeco
2	Between R2 500 an	d R5 500		<u> </u>	1						1	
3	Between R5 501 and	1 R10 500			1		-				1	
4	Between R10 501 an	d R16 000			1		-+					
5	Between R16 001 an	d R20 000			1		1		+			
6	Between R20 001 an	d R25 000					+		+		+	
7	Between R25 001 an	d R30.000			1				+		-+	
8	Between R30 001 an	d R40 000			1		+				+	
9	Between R40 001 en	d R60 000					-		-			
10	>R60 000				+		+					
	Total				<u> </u> ,	00%				100%		
	Does the applicant	contribu	ute towa	ards me	dical aid	and pe	nsion fo	or its	 Ο γ	'es ()	No	~7
	Does the applican	t provide	e any oth	ner type	of bene	efits for it	s emplo	oyees?	ΟY	_	No	×
	omplete the followi											
	epartment of Labou en the table must b						ot desigr	natedor	did not	voluntai	ily com	ply
	and and Determine				0.00			1	[1		otal
	upational Categories	Gender Male	A No	A%	C No	C%	INo	1%	W No	W %	ACI %	F%
	Senior Management / Officials and Managers	Female		 	<u> </u>				<u>`</u>			<u> </u>
	ssionally Qualified and enced specialists and	Male		}		}						<u> </u>
	nid-management / Professionals	Female				<u> </u>				<u> </u>		<u> </u>
qualif	Factinical and academically led workers, supervisors, an, and superintendents /	Male										<u> </u>
Tec	hnicians and Associate Professionals	Female										
taking and making / C	illed and discretionary decision funcivilled and undefined decision- derks, Service & Salas Workers, orkers, Artisteris & Related Trade	Male										
Workers	s, Plant & Machine Operators & Jora, Bernentary Occupations	Female			 							
		Male	_									
	TOTAL	Female										<u> </u>

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

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Section 6 Transformation Management and Employment Equity 6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Z Managers only. Total Occupational Categories ACI % Gender A No A % C No C % I No 1% W No W % F% Top and Senior Management / Senior Officials and Managers (as per 2001 application form) Male Female Top and Senior Management / Senior Officials and Managers 2002 (if not designated as at financial year end 2002) Male Female Top and Senior Management / Senior Officials and Managers 2004 (if not designated as at financial year end 2004) Male Female

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.

	1				1	-				T	
Occupational Categories	Gender	A No	A%	C No	С%	1 No	1%	W No	W %	ACI %	F%
Professionally qualified and experienced specialists and mid-management / Professionals 2001 (as per 2001 application form)	Male										
	Female										
Professionally qualified and experienced specialists and mid-management /	Male						•				
Professionals 2002 (if not designated as at financial year and 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female										

			Owr	nership - Co	ompanies Or
0.7 Is the applicant a co	mpany?) Yes	
.7.1 If "Yes", complete		n respect of s	hareholding held b	v black persons	: 1
					<u> </u>
Year	Percentage Sharehok by Black Perso		entage Voting Rights Held by Black Persons		e of Dividends Black lers are Entitled To
2001 (as in 2001 application form)					
2005 (at date of application)					
.7.2 Complete the follo	wing table in rela	tion to shareh	olding held by won	nen based on th	e flow
through principle:					
Year	Percentage Sharehok by Women	ding Held Perce	entage Voting Rights Held by Women		Dividends Women are Entitled To
MOS (at data at medication)					
2005 (at date of application)					
employees?	e percentage sha	areholding he	ld by or for	() Yes	O No 🞸
employees? .7.4 If "Yes", what is th employees not list	e percentage sha ed under questior	areholding he n 6.3.2, throug	ld by or for gh the scheme?		
employees? .7.4 If "Yes", what is th employees not list .7.5 Complete the follo Year	e percentage sha ed under questior	areholding he n 6.3.2, throug tion to monies	ld by or for gh the scheme?	ers:	O No
employees? .7.4 If "Yes", what is the employees not list .7.5 Complete the follo Year 2002 (financial year)	e percentage sha ed under questior wing table in rela	areholding he n 6.3.2, throug tion to monies	ld by or for gh the scheme? s paid to sharehold	ers:	
employees? .7.4 If "Yes", what is th employees not list .7.5 Complete the follo Year	e percentage sha ed under questior wing table in rela	areholding he n 6.3.2, throug tion to monies	ld by or for gh the scheme? s paid to sharehold	ers:	
employees? .7.4 If "Yes", what is the employees not list .7.5 Complete the follow Year 2002 (financial year) 2003 (financial year) 2004 (financial year)	e percentage sha ed under question wing table in rela Salaries Paid to	areholding he n 6.3.2, throug tion to monies o Shareholders	ld by or for gh the scheme? s paid to sharehold Loans Made to Shar	ers:	
employees? .7.4 If "Yes", what is the employees not list .7.5 Complete the follow Year 2002 (financial year) 2003 (financial year) 2004 (financial year) .7.6 Was the applicant	e percentage sha ed under question wing table in relat Salaries Paid to Salaries Paid to and the salaries managed by and keting of the 2004	areholding he n 6.3.2, throug tion to monies Shareholders other entity, or 4 allocation in	ld by or for gh the scheme? s paid to sharehold Loans Made to Shar	ers: eholders Divide	
employees? .7.4 If "Yes", what is themployees not list .7.5 Complete the follow Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) .7.6 Was the applicant processing or mar by another entity d	e percentage sha ed under question wing table in rela Salaries Paid to Salaries Paid to analoged by and keting of the 2004 fin	areholding he n 6.3.2, throug tion to monies Shareholders other entity, or 4 allocation in	ld by or for gh the scheme? s paid to sharehold Loans Made to Shar was the catching,	ers: eholders Divide	nds Paid to Shereholde
employees? .7.4 If "Yes", what is the employees not list .7.5 Complete the follow Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) .7.6 Was the applicant processing or mar by another entity d	e percentage sha ed under question wing table in relat Salaries Paid to Salaries Paid to sa	areholding he h 6.3.2, throug tion to monies Shareholders other entity, or 4 allocation in ancial year? nd Amount Paid to	ld by or for gh the scheme? s paid to sharehold Loans Made to Shar was the catching,	ers: eholders Divide med O Yes	O No
employees? .7.4 If "Yes", what is the employees not list .7.5 Complete the folic Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) .7.6 Was the applicant processing or mar by another entity d	e percentage sha ed under question wing table in relat Salaries Paid to Salaries Paid to sa	areholding he h 6.3.2, throug tion to monies Shareholders other entity, or 4 allocation in ancial year? nd Amount Paid to	Id by or for gh the scheme? s paid to sharehold Loans Made to Share was the catching, this fishery perform	ers: eholders Divide med O Yes	O No
employees? .7.4 If "Yes", what is the mployees not list .7.5 Complete the follow Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) .7.6 Was the applicant processing or mar by another entity d .7.7 If "Yes", complete Function	e percentage sha ed under question wing table in relat Salaries Paid to Salaries Paid to sa	areholding he h 6.3.2, throug tion to monies Shareholders other entity, or 4 allocation in ancial year? nd Amount Paid to	Id by or for gh the scheme? s paid to sharehold Loans Made to Share was the catching, this fishery perform	ers: eholders Divide med O Yes	O No
 7.4 If "Yes", what is the employees not list 7.5 Complete the folic Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 7.6 Was the applicant processing or mar by another entity d 7.7 If "Yes", complete Function 	e percentage sha ed under question wing table in relat Salaries Paid to Salaries Paid to sa	areholding he h 6.3.2, throug tion to monies Shareholders other entity, or 4 allocation in ancial year? nd Amount Paid to	Id by or for gh the scheme? s paid to sharehold Loans Made to Share was the catching, this fishery perform	ers: eholders Divide med O Yes	O No

Section 6 Transformation **Ownership - Close Corporations Only** 6.8 Is the applicant a close corporation? O Yes O No 6.8.1 If "Yes", complete the table below in respect of members interest held by black persons: Percentage Interest Held by Black Persons Percentage Voting Rights Held by Black Persons Percentage Profit Distribution Black Persons are Entitled To Year 2001 (as in 2001 application iorm) 2005 (as at date of application) 6.8.2 Complete the table below in relation to members interest held by women: Percentage Interest Held by Women Percentage Voting Rights Held by Women Percentage Profit Distribution Women are Entitled To Year 2005 (as at date of application) 6.8.3 Did the applicant have a profit sharing scheme for employees in place in 2004? O Yes O No 6.8.4 If "Yes", what percentage of profit were employees not listed under question 6.3.2 entitled to? 6.8.5 Complete the following table in relation to monies paid to members: 6.8.6 Was the applicant managed by another entity, or was the catching O Yes O No processing or marketing performed by another entity during the 2004 financial year? 6.8.7 If "Yes", complete the table below: Rand Amount as a Percentage of the Applicant's Turnover in 2004 Function Rand Amount Paid to Other Entity Management Catching Processing

Year	Salaries Paid to Members	Loans Made to Members	Profit Distributed to Members
2002 (financial year)			
2003 (financial year)			
2004 (financial year)			

Marketing

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					Transfor	
in the Transfo in 2001?	icant met the target prmation Plan submi	tted O Fully	O Somewha	t/Partially	C Not at al entLevies	\sim
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Paid to SARS in skills development levies	Percentage S Bill Spent on T	avaries Training B	ntage of ludget Spen Employees
2001 (financial year end)						
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year end)						
2004 (ťax y 6.13. Didthe apr	in respect of whom ear end). Dicant participate in a es through its SETA	any other skills de	velopment		○ Yes ○ N] 。 🗳
6.14.1 How man applicant?	y skippers are used ?	by the				
6.14.2 What per are black'	centage of these ski ?	ppers				
its annual ta for deductio	pplicant make donati ixable income which on interms of section Tax Act 58 of 1962	n qualify O Y n 18A of	res () No	orporate S	Social Inve	stmen
	icate what percentagial year these donati			○ 0 - 0.5 % ○ <i>0.5</i> - 1 % ○ > 1 %	1 ****	Ç

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Section 6 Transformation Corporate Social Investment

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefiting Organisation	Rend Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
2003 - 5 Year	Benefitting Organisation	Rand Value of Amount Donated
	Benefitting Organisation	Rand Value of Amount Donated
Year	Benefitting Organisation	Rand Value of Amount Donated
Year 2004 - 1	Benefitting Organisation	Rand Value of Amount Donated
Year 2004 - 1 2004 - 2	Benefitting Organisation	Rand Value of Amount Donated

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

6.18 Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act **58** of 1962, **but** not including school fees)?

O Yes O No

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

0 - 0.5 %	
O 0.5 - 1 %	-
0 > 1 %	

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefitling Organisation	Rand Value of Amount Donated
2004- 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

		• • • •
		Section 6 Transformation
	Affirm	native Procurement
6.21 Does the applicant have a written affirmative procurement policy?	⊖Yes ⊖No	Q.
6.22 Provide the following information in relation to the	applicant's top ten South A	frican suppliers
(operational expenditure) in 2004:		<u></u>
Name of Supplier Percentage of Ownership of		er in Percentage of Total Expenses
		ł
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend?	() Yes	0 No
6.24 Has the applicant instituted a system to		
measure its affirmative procurement spend as a percentage d total procurement spend in future?	() Yes	0 No
		Section 7
······································	essel, Crew and En	vironmental Safety
		Contraventions
7.1 Has the applicant, during the medium term rights perforfeited a deposit by way of penalty under section 3 Shipping Act, 57 of 1951?	eriod, been convicted, or 24 of the Merchant	○Yes ○No
7.2 Has the applicant, during the medium term rights perforfeited a deposit by way of penalty for contravening Occupational Safety Regulations of 1994?		○Yes ○No 🎸

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		Secti	on 7
Vessel, Crew and	d Environme	ental S	afety
	Con	travent	ions
7.3 Has the applicant, during the medium term rights period, been convicted, forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	, or OYes	() No	Q
Crew Safety a	nd Working	Condi	tions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	() Yes	() No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel i terms of section 36 of the Maritime Occupational Safety Regulations?	in O Yes	() No	Ś
 7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 1951? 		() No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel bee issued with a valid SAMSA Safe Manning Document required in terms of Merchant Shipping (Safe Manning) Regulations?		O No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Mar Notice no 26 of 2004?	^{ine} O Yes	() No	Q
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	() Yes	() No	P
7.10 Does the applicant have an HIV/AIDS policy?	() Yes	() No	\mathfrak{S}
	Ve	essel S	afety
7.11 Have the nominatedvessel(s) been issued with a valid Local General Safety Certificate?	Oves	ΟΝο	Ś
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Lo General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	ocal O Yes	() No	
	Environm	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the N Pollution (Control and Civil Liability) Act 6 of 1981?		() No	<u>;</u>
7.14 Does the applicant have a Garbage Management Plan for each nominativessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Ac 1986?	<i>O</i> Yes	() No	Q

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GOVERNMENT GAZETTE, 15 JUNE 2005

1 Complete	e the following	tables in relat	tion to job crea	ation.		Jol	Creation
1.1 I able 1	Employees in respect of which the applicant prepares IRPS Certificates (Land Based)	Employees in respect of which the applicant prepares IRP5 Certificates (Sea Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Land Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Sea Based)	Total Number of Employees (Columns 1-4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
application) 002 (financial							
year end) 003 (financial year end)							
04 (financial year end)							
2005 (28 bruary 2005)							
2-Table-2 Nicant's Annua	I Catch Allocation(i	ntons) to Ta	I tal Annual Salary E	I III (in relation to th rear End as per T	I Is	ch does the applic	
licant's Annua	/ Catch Total	Employees (in	How many peop		otal Employees let	ss How man	y people (less
3 Table 3 ulcant's Annua cation (in tons fishery in 200 4 Table 4) in this relation	Employees (in to this fishery) ebruary 2005)	How many peop applicant emple allocated	y per ton Se	otal Employees les asonal Employees titon to this fishery) February 2005)	(in seasonal ei (28 the applic	y people (less mployees) does ant employ per illocated?
alicant's Annua cation (in tons fishery in 200 4 Table 4) in this relation	aries (total cost to	applicant emplo allocated	y per ton Se	Asonal Employees tion to this fishery) February 2005) What was the m five lowest and	(in seasonal ei (28 the applic	mployees) does ant employ per illocated?
alicant's Annua cation (in tons fishery in 200 4 Table 4	highest annual sal	aries (total cost to	applicant emplo allocated	y per ton 17	Asonal Employees tion to this fishery) February 2005) What was the m five lowest and	(in seasonal at the application at the approximately application at the application at	mployees) does ant employ per illocated?
alicant's Annua cation (in tons fishery in 200 4 Table 4	highest annual sal	aries (total cost to	applicant emplo allocated	ay per ton rela	Asonal Employees tion to this fishery) February 2005) What was the m five lowest and	(in seasonal at the application at the approximately application at the application at	mployees) does ant employ per illocated?
Alicant's Annua cation (in tons fishery in 200 4 Table 4 Applicant's five any) paid betw	highest annual sal	rigs //total cost to	applicant empt allocates	ay per ton rela	Asonal Employees tion to this fishery) February 2005) What was the m five lowest and	(in seasonal at the application a time application	mployees) does ant employ per illocated?

C.

C.

Section 0

Investment

9.1 Complete the following tables:

9.1.1 Table 1

Year	Book Value of Total Feed Assets (in relation to this fishery)	Percentage Increase or Decrease in Rand Value of Total Fixed Assets (in relation to this fishery)	Total Book Value of Total Land Based Fixed Assets (in relation to this fishery)	Total Book Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

9.1.2 Table 2

Year	Insured Value of Total Fixed Assets (in relation to this fishery)	Percentage increase or Decrease in Rand Value of Total Fixed Assets (in relation to this fishery)	Total Insured Value of Total Land Based Fixed Assets (in relation to this fishery)	Total Insured Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year and)				

9.1.3 Table 3

Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of land-based assets at 2004 financial year and	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant

9.1.4 Table 4

Annual Catch Allocation (in tons) to the applicant in 2004	insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	THE REPORT OF THE PARTY OF THE	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Insured Value of Land- Based Assets per ton allocated to applicant
9.1.5 A detailed explai annexure.	nation of all investmer	nt made in marketing a	activities must _{be} inclu	uded as an
9.1.6 A detailed explar an annexure.	nation of all investmer	nt made in processing	activities must be inc	luded as

			Section 9
 	 	la de la compañía de	nvestment

9.1.7 Has the applicant invested in on-board or land based processing facilities? O Yes O No

9.1.8 If yes, please complete the following table:

Annual Catch Allocation (in metric tons) to the applicant in 2004	Nominal Tons of Applicants Allocation Processed On Board Vessel	Nominal Tons of Applicants Allocation Processed on Land	Nominal Tens Processed on Behalf of other Right Holders On Board Vessel	Nominal Tons Processed on Behalf of other Right Holders on Eand
			1	

Section 10

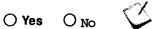
Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing Site: Name	Tons Landed at Harbour in 2004 calendar year	Percentage of Total Catch Landed in 2004 calendar year
TOTAL		

10.2 At which harbours and landing sites will the	Harbour / Landing Site Names		
applicant land its catches?			

10.3 Has the applicant made any investment in physical infrastructureat these harbours or landing sites in order to improve or make landing catches at these harbours possible?



		Value Add	S & Enterprise Dev	Section 11 (elopment)
11.1 Is the applicant directly involved in any value adding process in this fishery?	O Yes	O No		Ś
11.2 Has the applicant been responsible for any enterprise development?	С _{Yes}	C No		Ø
				ection 12
				formance
			······	Financial
12.1 What is the applicant's 2004 audited, v certified Annual Turnover?	verified or			Q /2

12.2 Indicate the rand value and percentage of total turnover derived from this fishery:

Annual Catch Allocation (tons) to the Applicant in 2004	Turnover Generated in 2004 financial year by Annual Catch Allocation	Percentage of Total Turnover

12.3 Complete the following table in relation to ratio performance:

Year	Return on Net Assets (RONA)	Debt: Equity Ratio	Current Ratio	Quick Ratio (Acid Test)
2001				
2002				
2003				
2004				

12.4 Provide the following information in relation to the applicant's income statements:

Year	Turnover	Profit After Tax	Flatained Earnings	Dividends Paid / Distribution of Profils
2001				
2002				
2003		· · · · · · · · · · · · · · · · · · ·		
2004				

Financial
Performance
Section 12

12.5 Provide the following information in relation to the applicant's balance sheet:

Year	Assets	Long-Term Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001				
2002				
2003				
2004				

12.6 Provide the following information in relation to the applicant's cash flow:

Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflow from Investing Activities	Net Cash Inflow / Outflow from Financing Activities
2001			
2002			
2003			
2004			

Fishing Plan

13.1 Does the applicant have a fishing plan?

OYes O No

Section 14

By-Catch and Environmentally Sustainable Practices

By-Catch

14.1 Indicate the proportion of the applicant's annual catch that is made up of by-catch species:

Year	Annual By-Catch (in Ions)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By-Catch, Juvenile Species and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003		· · · · · · · · · · · · · · · · · · ·	
2004			

		Secu	51114
By-Catch and Environmentally Sus	stainab	le Prac	tices
14.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processingfacilities?	<i>O</i> Yes	<i>O</i> No	\mathcal{C}
14.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	<i>O</i> Yes	ONO	$\langle \mathcal{S} \rangle$
14.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yes	() No	Ś
14.5 Has the applicant put measures in place to reduce damage to sea beds ?	() Yes	() No	Ċ

		Section	15
*	Fish	ing Lev	ies

riease provide the foll	owing informa	tion in relation	<u>to levies paids</u>		/
Year	Spec	cies	Total Catch	n Tona	Levies Paki
2001					
2001					
2001					
2001					
2001					
2002					
2002					
2002					
2002					
2002					
2003					
2003					
2003					
2003					
2003					
2004					
2004					
2004					
2004					
2004				1	

the applicant's most recent levy payment?



78

Amount

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		Section 16
 (b) the information submitte (c) I accept that if any info disclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Depari (e) The applicant undertake explanations, by attendir granting investigators and constitute an independer (f) The applicant accepts the quantum or effort, in any refused. 	I to the Appkation being refuse on the strength of this Applicatio proper verification of informatio any information relevant to my a truentof EnvironmentalAffairs ar es to co-operate with any inves g meetings with investigators, I ccess to premises, vessels an tiground for refusing an application at any attempt to influence the d manner other than provided for	ue and correct and complete. to true or complete, α if false information is provided, or material Information is not d, or to the revocation, suspension, cancellation, alteration or reduction of any right, in, in terms of Section 28 of the Marine Livii Resources Act 18 of 1998; on submitted I hereby authorise any institution, organ of state, person or body , who pplication, to disclose or make the informationavailable to the Minister, his delegate nd Tourismor the Rights Verification Unit: stigators by timeously submitting responses to written requests for information or by answering questions satisfactorily at such meetings and where necessary, by nd documents. The applicant accepts that failure to co-operate in this regard will on; tecision of the delegated authority or appellant authority on the allocation of a right or r in the General Policy or in the application form, will result m the application being
-	<u></u>	
This	day of	2005
Signature of Author	orised Representativ	e:
Representative's	-ull Name:	
The authorised represended authorised represended authorised authority of the second authority of the	native declares that he/sh	he knows and understands the contents and implications of the above
Commissioner of Oaths		
Full Name Designation		
Physical Address		
 (a) I have read the instruction (b) the information submitted (c) I accept that if any information disclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Depart or an official of the Depart esponses to written requat such meetings and wr failure to co-operate in this authority or appellant authority or a	I to the Application being refused on the strength of this Application proper verification of information ny information relevant to the ap timentof EnvironmentalAffairs ar (3rd pa uests for information or explana here necessary, by granting inves s regard will constitute an indepen	ue and correct and complete. t true or complete, or if false information is provided, or material Information is not d, or to the revocation, suspension, cancellation, alteration or reduction of any right, n, in terms of section 28 of the Marine Living Resources Act 18 of 1998; n submitted I hereby authorise any institution, organ of state, person or body, who pplication, to disclose or make the informationavailable to the Minister, his delegate and Tourism or the Rights Verification Unit; anty name) undertakes to co-operate with any investigators by timeously submitting tions, by attending meetings with investigators, by answering questions satisfactorily estigators access to premises, vessels and documents. The applicant accepts that endentground for refusing an application; party name) accepts that any attempt to influence the decision of the delegated or quantum or effort, in any manner other than provided for in <i>the</i> General Policy or
Signed at:		
This	day of	2005
Signature of Autho	orised Representativ	e:
Representative's F	⁻ ull Name:	
The authorised represen	tative declares that he/sh	e knows and understands the contents and implications of the above
declaration. Commissioner of Oaths		
declaration.		
declaration. Commissioner of Oaths		

*

		Section 16
(a) I have read the undersigned, do here (b) the in the undersigned the unders	by make oath) d that: ; s: out or pages 2 and 3 of thi with and in this Application is true	in form; and correct and complete.
 (c) I accept that if any indicisclosed, this may lead license or permit grante (d) In order to allow for the possesses or acquires or an official of the Depage (e) 	formation in this Application is not a ad to the Application being refused, d on the strength of this Application, e proper verification of information any information relevant to my app artment of EnvironmentalAffairs and (3rd part	rue or complete, or if false information is providd, or material Information is not or to the revocation, suspension, cancellation, alteration or reduction of any right, interms of Section 28 of the Marine Living Resources Act 18 of 1998; submitted I hereby authorise any institution, organ of state, person or body, who lication. to disclose or make the informationavailable to the Minister, h i delegate Tourism or the Rights Verification Unit; / name) undertakes to co-operate with any investigators by timeously submitting
at such meetings and w	quests for information or explanation here necessary, by granting invest his regard will constitute an independ	hs, by attending meetings with investigators, by answering questions satisfactorily gators access to premises, vessels and documents. The applicant accepts that lent ground for refusing an application; ty name) accepts that any attempt to influence the decision of the delegated
authority or appellant aut	thority on the allocation of a right of ill result in the application being refu	quantum or effort, in any manner other than provided for in the General Policy or
-	orised Representative	of Sister Company (if applicable)
-	day of	
-	•	
		knows and understands the contents and implications of the above
Commissioner of Oaths Full Name		
Designation		^{ֈֈ} ՠֈՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ֎ՠ
Physical Address		
 (a) I have read the instruction (b) the information submittee (c) I accept that if any information of the i	d to the Application being refused, of don the strength of this Application, a proper verification of informations	
at such meetings and w	uests for informatin or explanation here necessary, by granting investi	name) undertakes to co-operate with any investigators by timeously submitting is, by attending meetings with investigators, by answering questions satisfactorily gators access to premises, vessels and documents. The applicant accepts that entground for refusing an application;
(f)	(3rd par hority on the allocation of a right or ill result in the application being refus	ty name) accepts that any attempt to influence the decision of the delegated quantum or effort, in any manner other than provided for in the General Policy or ed.
		f JV Partner (if applicable)
-		
	day of	
Signature of Author	orised Representative:	
Signature of Author Representative's I The authorised represer	orised Representative: Full Name:	
Signature of Author Representative's I	orised Representative: Full Name:	
Signature of Author Representative's I The authorised represent declaration.	orised Representative: Full Name:	
Signature of Author Representative's I The authorised represent declaration. Commissioner of Oaths	orised Representative: Full Name:	

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SCHEDULES

THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1 : Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 2.2: Provide a copy of the letter granting a right as Annexure 2B.
- c) Section 2.3: If the medium term right is currently held by an entity different from the one listed in Section 2.2, provide a detailed explanation **as** Annexure 2C.
- d) Section 2.5: Provide a copy of a valid SARS tax clearance certificate as Annexure 2D.
- e) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- f) Section 2.8: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2G.

Schedule 3 - Compliance

- a) Section 3.1.1 : If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 36.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure **3F**.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board d directors d the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1 : If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- 9 Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- **g**) Section 6.7.1 : Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must **also** include a complete Lit of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- 1) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K**.
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities Separately and submit these as Annexure 6Q.
- r) Section **6.13:** If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section **6.23:** If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .
- e) Question 7.5: If 'Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy **as** Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided **as** Annexure 7H.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

- a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's **2004** audited financial statements as Annexure **12A**. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.2: If the applicant completed the table in Section 12.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 12.2 for both the applicant and the other entity(ies) separately and submit these as Annexure 12B

Schedule 13 - Fishing Plan

- a) Section 13: If "Yes," provide a synopsis of the applicant's fishing plan **as** Annxure **13A**, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishingcapacity and
 - Processing capacity d each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of measures put in place to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, **as** Annexure **14C**.
- d) Section 14.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 140.
- e) Section 14.5: Provide a detailed explanation of measures put in place to reduce damage to sea beds as Annexure 14E.

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Application Numbe	r:		_
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Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness \mathbf{of} the application or the correctness \mathbf{d} its content.

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Application Number:			
Departmental Stamp:	:		
Disclaimer: The receipti			not imply an acknowledgement \mathbf{d} the completeness of correctness of its content.

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AP.	PLICATION NUMBER:
DEPARTMEN	□ lock the app no. T OF ENVIRONMENTAL AFFAIRS AND TOURISM
	RANCH: MARINE AND COASTAL MANAGEMENT
	Horse Mackerel
Lor	g Term Rights Allocation Process: 2005
	Cluster A
Ar	plication Form For: Medium Term Right Holders
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Registered Name of App	licant
Company Registration N	
or Close Corporation Regist	
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Principal Place of Busines	s
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	INSTRUCTIONS
GENER	
-	
form	pplication form must be read together with these instructions, the Explanatory Notes, the Schedules to the application and the Procedures for independent Auditors (available on the Public Accountants' and Auditors' Board website, aab.co.za or www.salca.co.za).
Man	completing the application form, applicants are advised to read carefully the General Policy on the Allocation and gement of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <u>ncm-deat.gov.za</u> . The policies guide the Minister or his delegate when considering the application.
ciust and	d the applicant have any queries regarding the completion of the application form, it must e-mail the Department at received and circulated by e-mail to all the applicants in the sector fill be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application oftware, it must contact the Rights Verification Unit in one of the following ways:
Tel: (21) 670-3669 Fax: (021) 670-1782 E-mail: RVU@deloitte.co.za
Dep	cants or their representatives may not communicate with the Minister, the delegated authority, or officials in the rtment regarding their applications in any other manner. Moreover, no reliance may be placed on any information given tained in any other manner.
ICONS	
4) Applic	ants must pay attention to the icons in the application form in order to determine whether:
- the i	formation provided will be treated as confidential;
- there	is any documentation or information required in response to a question in the form of an annexure;
-the a	oplicant's auditors need to verify a response; and
-there	are consequences of not answering a question.
5) The a	pplication form makes use of the following icons:
Ŭ	The books icon means that the information provided will be treated as confidential.
Ś	The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.
/	The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.
4	The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.
COMPL	ETION OF APPLICATION FORM
6) The a the a to do	pplicant must complete <u>all</u> sixteen sections of the application form. The applicant must respond in the spaces provided in pplication form. Information may not be submitted by way of annexures except where applicants are specifically allowed so.
these the a	pplication form must be completed electronically, and saved onto the two blank CDs provided on registration. One of CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to pplication form do not need to be scanned and saved on to the CDs. The Departmentmay request additional electronic s of the application at a later stage.

- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.
- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures **must** be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.

IMPORTANT INFORMATION INSTRUCTIONS

11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

- 14) Improperly lodged applications will be refused.
 - An application is improperly lodged if:
 - it is received late;
 - the applicant makes no payment, or short payment, or late payment of the application fee;
 - the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

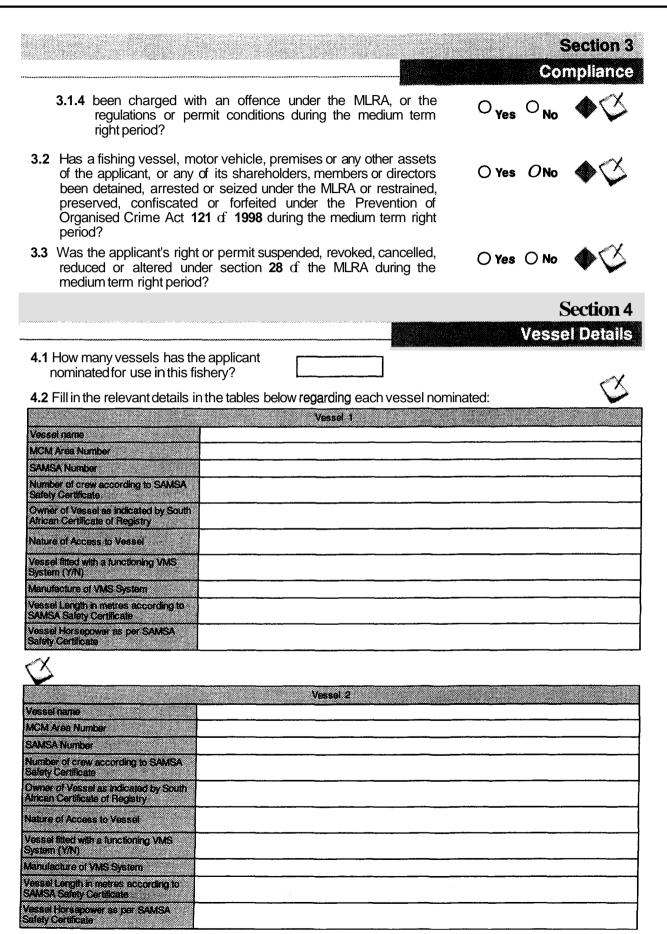
- An application is materially defective if:
 - the declaration is not signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company. sister company(ies) and the joint venture partner(s).
 - the auditor's report is not submitted or signed;.
 - more than one application is received for a right in the same sector;
 - the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

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	Section 1
	Applicant Details
1. The applicant must supply a profile fishing operations, as Schedule 1A	of its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
1.7 Have the applicant's details (1.8.1 applicant registered?	- 1.8.7d) changed since the O Yes O No
1.8 If "Yes", complete only those detail	s that have changed:
	Imber and Street]
Business:	Suburb:
	Town / City:
	PostalCode:
1.8.2 Postal Address: Number and	Street / PO Box:
	Suburb]
	Town / City:
	Postal Code:
1.8.3 Telephone Number:	Area Code:
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	\ V
1.8.7 Authorised Contact Person Surname:	
a) Identity Number:	
b) Position Held/ Relationship to Applic	cant
c) Cellular Number:	
d) E-mailAddress:	t1

						ę	Section 2
·						orm of A	pplicant
	s the applicant currently hold um term fishing right in this s		() Yes	O No	Q		***************************************
2.2 lf "Ye	es", to which entity or person	was the me	edium term	n fishing righ	t allocated in a	2001/2002?	
ÿ	Company Close Corporation Trust Individual	Name Name Name Name					
2.3 Inwh	nat form of entity is the mediu	m term fish	ing right c	urrently held	?		
	Company Close Corporation Trust Individual	Name Name Name Name					
	e applicant more than 50% So an owned?	outh	⊖ Yes	○ No	j.		
	the applicant have a valid ta ance certificate?	x	() Yes	O No	~	$ \mathfrak{S} $	
2.6 Com	plete the following table in rel	ation to ass	et value a	nd turnover:			
	Year	T	"urnover		Gross Asset	Value (excluding] fixed property)
2004	(Financial Year End)		<u>.</u>				
any c	s the applicant hold any share other company that is applyin um term right holder in this so	gasa	() Yes	() No	Q		
any c	s the applicant hold any share company that is applying as a nt in this sector?		() Yes	⊖ No	(X)		
						S	ection 3
						Con	npliance
	the applicant, or any of ctors:	its mem	bers, sha	ireholders c	or		
3.1.1	been convicted of a contr regulations, or permit con period?				right O Y	ζes ○No	<u>م</u>
3.1.2	entered into a plea bargai 51 of 1977, for a contrave or permit conditions during	ntion of the	MLRA, or	the regulation		es 🔿 No	♦ <
3.1.3	paid an admission of guilt f the regulations, or the perm right period?					es 🔿 No	الي ♦



Section 4

Vessel Details

Q	
	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South Arrican Certificate of Registry	
Nature of Access to Vessel	
Vessel litted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Veesel Length in motions according to SAMSA Selety Cartificate	
Vessel Horsepower as per SAMSA Setaty Cartilicata	



-	Vossal 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Salety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Sefety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metros according to SAMSA Safety Centilicate	
Vessel Horsepower as per SAMSA Safety Certificate	

	Cat	Sec ch Utili	tion 5
5.1 Did the applicant over-catch or under-catch by more than 10% during the medium term rights period?	O Yes	O No	•

5.2 Complete the following in relation to the applicant's catch records.

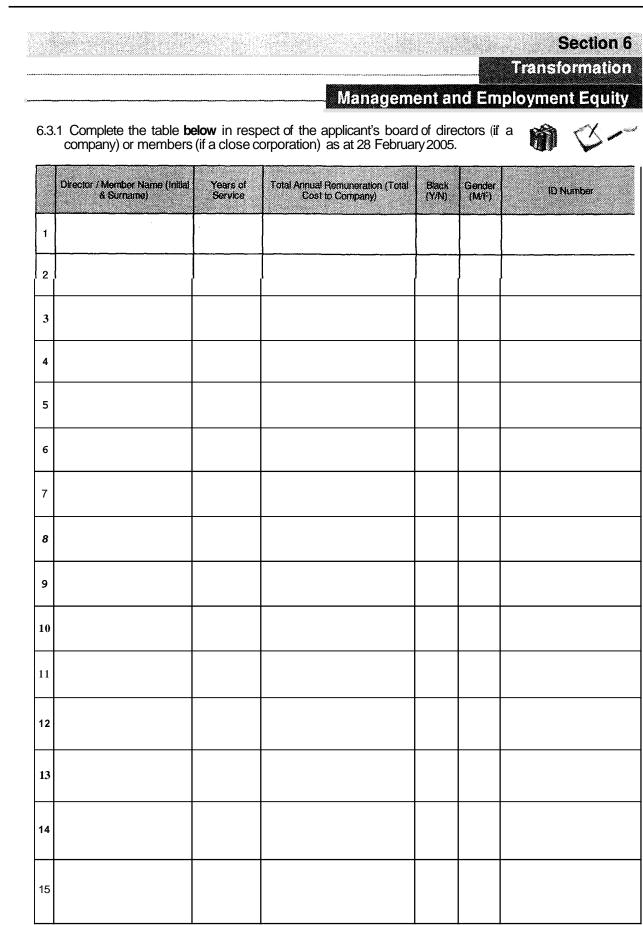
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Year	Annuel Catch Alecation (in meinic tons)	Total Actual Gash of Applicants Alocation (Nominal tons)	Percentage under-chupte	Percentage aver-caught
2002 Season				
2003 Season				
2004 Season				

		Se	ction 6
	Tr	ansfori	nation
Management and	Employ	yment I	Equity
6.1 Was the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	() Yes	() №	~
6.21 If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	() Yes	O No	Jan
6.2.2 // "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	() Yes	O No	P



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Section 6 Transformation Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.

Between 1 - 5 Employees	The Top Salary (Earner (Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners(Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Coat to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Telbio	Gender (M/F)	ID Number
1							
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							Section 6
•••••							nsformation
Ø	1		Mar	age	ment and Er	nploy	ment Equity
	y		Annual Salary		Salary as a		
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20					·		
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Section 6

Transformation

Management and Employment Equity

<u> </u>)		Ma	nage	ement and El	mploy	ment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
56							
57							
58	· · · · · · · · · · · · · · · · · · ·			†			
59		<u> </u>					
60			1				
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6.4.1 €	Complete the follo	owing tal February	ble in re 2005:	elation to	income	lievels (geme of the ap	plicant	d Em s perma	ploym anent	ent E	जमार ्र
	Gross Monthly Incom to Compar			umber of nployees		tage of To sployees		iber of Blac mployees	" T	rcentage of otal Black	I F	mber of emale
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>mployees</td><td>En</td><td>ployees</td></r2>									mployees	En	ployees
2	Between R2 500 a	nd R5 500					_					-
3	Between R5 501 an	xd R10 500								- <u></u> .		
4	Between R10 501 a	nd R16 000										
5	Between R16 001 ar	nd R20 000		· ··								
6	Between R20 001 ar	nd R25 000		<u> </u>								
7	Between R25 001 ar	nd R30 000										
8	Between R30 001 ar	nd F140 000		· .						<u> </u>		
9	Between R40 001 an	d R60 000			<u> </u>		_					
10	>R60 000			· · · ·				<u></u>				
	Total				1	00%		···· · .=		100%		
e	mployees?				uicai ai	and pe	nsion ic	/ 11.5	Ογ	'es C) No	C
5.4.3 E 5.5 Co Dej	mployees? Does the applican mplete the followi partment of Labou n the table must b	t provide ing table ur in Octo	e any ot in relat ober 20	her type ion to the	of bene e applica e applica	efits for it ant's en ant is no	s emplo nployme	oyees? ent equit	O Y y profile	'es () as prov	No No	the v
5.4.3 E 5.5 Co De _l thei	mployees? Does the applicant mplete the followi partment of Labou n the table must b	t provide ing table ur in Octo be comp	e any ot in relat ober 20	her type ion to the	of bene e applica e applica	efits for it ant's en ant is no	s emplo nployme	oyees? ent equit	O Y y profile	'es () as prov	No No Nided to t rily com	the
5.4.3 E 5.5 Co De _l thei	mployees? Does the applican mplete the followi partment of Labou	t provide ing table ur in Octo be comp Gender	e any ot in relat ober 20	her type ion to the	of bene e applica e applica	efits for it ant's en ant is no	s emplo nployme	oyees? ent equit	O Y y profile	'es () as prov	No No Nided to t rily com	oly 🔪
5.4.3 E 5.5 Co Dej thei Occu	mployees? Does the applicant mplete the followi partment of Labou n the table must b	t provide ing table ur in Octo be comp Gender Mate	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
5.4.3 E 5.5 Co Dej thei Occu Top & S	mployees? Does the applicant mplete the followi partment of Labou n the table must b pational Categories Senior Management / Officials and Managers	t provide ing table ur in Octo be comp Gender Mate Female	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
6.4.3 E 5.5 Co Dej thei Occu Top & 3 Senior C Professi experies	mployees? Does the applicant mplete the followi partment of Labou n the table must b pational Categories Senior Management / Ufficials and Managers	t provide ing table ur in Octo be comp Gender Mate	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
6.4.3 E 5.5 Co Dej thei Occu Top & Senior C Profess experies mit	mployees? Does the applicant implete the following partment of Labour in the table must be pational Categories Senior Management / Dificials and Managers stonally Qualified and inced specialists and d-management / Professionals	t provide ing table ur in Octo be comp Gender Mate Female Mate	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
5.4.3 E 5.5 Co Dej thei Occu Top & S Senior C Profess experies mit Skilled Tr qualities	mployees? Does the applicant implete the following partment of Labour in the table must b pational Categories Senior Management / Officials and Managers stonally Qualified and unced specialists and demanagement / Professionals	t provide ing table ur in Octo be comp Gender Mate Female Female	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
Skilled To guartie Senior C Profess experie foremer Tech Sen skill sking and u	mployees? Does the applicant implete the following partment of Labour in the table must be pational Categories Senior Management / Dificials and Managers stonally Qualified and inced specialists and d-management / Professionals extinical and ecademically d workers, supervisors, and experimentation professionals extinical and ecademically d workers, supervisors, and experimentation Professionals	t provide ing table be comp Gender Mate Female Female Mate	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
6.4.3 E 5.5 Co Del their Occu Top & S Senior C Profess experie mit Skilled Te qualitie foremen Tech Skilled Te shery Workers, I	mployees? Does the applicant implete the followin partment of Labou in the table must b pational Categories Senior Management / Officials and Managers sionally Qualified and inced specialists and d-management / Professionals schnict and academicatly d workers supervisors inclans and Associate Professionals	t provide ing table be comp Gender Male Female Male Female Female	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly
6.4.3 E 5.5 Co Del their Occu Top & S Senior C Profess experie mit Skilled Te qualitie foremen Tech Skilled Te shery Workers, I	mployees? Does the applicant implete the following partment of Labour in the table must be pational Categories Senior Management / Officials and Managers Senior Management / Officials and Managers stonally Qualified and inced specialists and d-management / Professionals schrlidt and academicative d workers, supervisors, and superintendents / hiddas and Associate Professionals	t provide ing table be comp Gender Mate Female Mate Female Female Mate	e any ot in relat ober 20 leted as	her type ion to the 04. If the s at 28 F	of bene e applica e applica ebruary	fits for it ant's en ant is no 2005.	s emplo iployme it desigr	oyees? ent equit nated or	O Y y profile did not	Yes () as prov voluntar	No rided to t rily com	oly

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									Tran	Sec:	tion 6
									ployn	nent E	
6.6.1 Complete the tabl Managers only.	es belov	w in rela	ation to e	employr	nent eq	uity figur	es for S	Senior C	officials	and	⇒¢
Occupational Categories	Gender	ANo	A%	C No	0%	1N6	1%	WINO	W%	To ACT %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and Managers 2002 (if not	Male										
designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and Managers 2004 (il not	Male						-				
designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for **Professionals** only.

	1									Та	atel
Occupational Categories	Gender	A No	A%	C No	C%	liNo	1%	W No	W %	ACI %	F%
Professionally qualified and experienced specialists and mid-manapement /	Male										
Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male			:							
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Protessionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (If not designated as at financial year end 2004)	Female										

....

						الماليذيني المليلية	es Onl
6.7 Is the applicant a	company?			,	() Yes	-	
6.7.1 If "Yes", comple	ete the table bel	ow in respe	ct of sharehold	ing held by bl	ack persons	:	×~
Ypar	Percentage Sha by Black		Percentage Votin by Black P			of Dividend ers are Entit	
2001 (as in 2001 application form)	un .						
2005 (at date of applicatio	n)						
6.7.2 Complete the f		relationto s	hareholding he	eld by women	based on th	e flow	ic*
through principl							
Year	Percentage Sha by Wo		Percentage Votin by Won	g Rights Heid hen	Percentage of E	Dividends W ntitled To	omen are
2005 (at date of application	n)						
6.7.3 Did the application employees?6.7.4 If "Yes", what is employees not apployees not apployees	s the percentage	eshareholdi	ng held by or fo	Dr	O Yes	<u>О</u> Nо	لا ب بر بر
employees? 6.7.4 If "Yes", what is	s the percentag listed under que	eshareholdii stion 6.3.2, 1	ng held by or fo through the scł	or neme?		O No	×
employees? 6.7.4 If "Yes", what is employees not 6.7.5 Complete the fo Year	s the percentage listed under que ollowing table in Salaries f	eshareholdii stion 6.3.2, 1	ng held by or fo through the sch nonies paid to s	or neme?		<i>O</i> No	V v v varehoiders
employees? 6.7.4 If "Yes", what is employees not 6.7.5 Complete the fo	s the percentage listed under que ollowing table in Salaries f	eshareholdii stion 6.3.2, 1 relation to n	ng held by or fo through the sch nonies paid to s	or neme? shareholders:			C C C C C C C C C C C C C C C C C C C
employees? 6.7.4 If "Yes", what is employees not 6.7.5 Complete the fo Year 2002 (financial year)	s the percentage listed under que ollowing table in Salaries F	eshareholdii stion 6.3.2, 1 relation to n	ng held by or fo through the sch nonies paid to s	or neme? shareholders:			X e e -
employees? 6.7.4 If "Yes", what is employees not 6.7.5 Complete the fo Year 2002 (financial year) 2003 (financial year) 2004 (financial year)	s the percentage listed under que ollowing table in Salaries i ant managed by narketing of the 2	e shareholdii stion 6.3.2, 1 relation to m Paid to Sharehold another ent 2004 allocati	ng held by or fo through the sch nonies paid to s ders Loans A Loans A tity, or was the ion in this fishe	or neme? shareholders: tade to Sharehold catching,	ers Dividen		C e e -
employees? 6.7.4 If "Yes", what is employees not 6.7.5 Complete the fo Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) 6.7.6 Was the applica processing or m	ant managed by during the 200	e shareholdii stion 6.3.2, t relation to m Paid to Sharehold another ent 2004 allocati 4 financial ye	ng held by or fo through the sch nonies paid to s ders Loans A Loans A tity, or was the ion in this fishe	or neme? shareholders: tade to Sharehold catching,	ers Dividen	ds Paid to S	See.
employees? 6.7.4 If "Yes", what is employees not 1 6.7.5 Complete the for Year 2002 (financial year) 2003 (financial year) 2004 (financial year)	s the percentage listed under que ollowing table in Salaries f Salaries f Salaries f ant managed by arketing of the 2 during the 200 ete the table below	e shareholdii stion 6.3.2, t relation to m Paid to Sharehold another ent 2004 allocat 4 financial ye ow:	ng held by or fo through the sch nonies paid to s ders Loans A Loans A tity, or was the ion in this fishe	or heme? shareholders: tade to Sharehold catching, ery performed	ers Dividen	O No	age of the
employees? 6.7.4 If "Yes", what is employees not I 6.7.5 Complete the for Year 2002 (financial year) 2003 (financial year) 2004 (financial year)	s the percentage listed under que ollowing table in Salaries f Salaries f Salaries f Salaries f during the 200 ete the table below	e shareholdii stion 6.3.2, t relation to m Paid to Sharehold another ent 2004 allocat 4 financial ye ow:	ng held by or for through the sch nonies paid to s ders Loans & ders Loans & tity, or was the ion in this fishe ear?	or heme? shareholders: tade to Sharehold catching, ery performed	O Yes	O No	age of the
employees? 6.7.4 If "Yes", what is employees not I 6.7.5 Complete the for Year 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) 6.7.6 Was the applica processing or m by another entity 6.7.7 If "Yes", complete Function	s the percentage listed under que ollowing table in Salaries f Salaries f Sal	e shareholdii stion 6.3.2, t relation to m Paid to Sharehold another ent 2004 allocat 4 financial ye ow:	ng held by or for through the sch nonies paid to s ders Loans & ders Loans & tity, or was the ion in this fishe ear?	or heme? shareholders: tade to Sharehold catching, ery performed	O Yes	O No	age of the

				Section 6
		Ownership	- Close Co	Transformation orporations Only
6.8 Is the applicant a close corporation	?		() No	~
6.8.1 If 'Yes", complete the table below	in respect of r	nembers interes	t held by black p	persons:
Year Percentage Intern Bask Perc		sentage Voting Rights Black Persons		iage Prolii Distribution Black ersons are Entitied To
2001 (as in 2001 application form)				
2005 (as at date of application)				
6.8 Complete the table below 11 1e atio	o to ento s	interest held by	wom :	p
Year Percentage Intern Womer		entage Voting Rights I Women	Held by Percentage	e Profit Distribution Women are Entitled To
2005 (as at date of application)				
6.8.3 Did the applicant have a profit sha in 2004?	aring scheme fo	or employees in	^{place} O _{Yes}	o _№ Ø~
6.8.4 If "Yes", what percentage of profit under question 6.3.2 entitled to?	were employe	es not listed		
6.8.5 Complete the following table in rela	ation to monies	s paid to membe	rs:	22
Year Salaries Pa	ki to Members	Loans Made to N	Aembers Pro	It Distributed to Members
2002 (financial year) 2003 (financial year)				
2004 (financial year)				
6.8.6 Was the applicant managed by an processingor marketing performe financial year?				s ONO
6.8.7 If "Yes", complete the table below:	:			Jan 199
Function	Rand Amount P	aid to Other Entity		nt as a Percentage of the nts Turnover in 2004
Management				
Catching				
Processing				
Marketing		·		

	SI	Sect Transform (ills Develop	
 6.9 Has the applicant met the targets set in the Transformation Plan submitted O Fully O Some in 2001? 6.10 Complete the following table in relation to compliance with the Act 9 of 1998: 	ewhat/Partially e Skills Developm	O Not at all ent Levies	Q A
Year Did the applicant submit Workplace Skills Plan which was approved (Y/ N) Did the applicant submit an Annual Training Report which was approved (Y/N) Rand Amount SARS in s development 2001 (financial year N	kills Bill Spent on T		et Spent
end) 2002 (financial year end) 2003 (financial year			
end) 2004 (financial year and)			
 6.11 Did the applicant participate in a learnership programme durin term rights period? 6.12 If "Yes," indicate the number of learnerships as a percentage employees in respect of whom the applicant preparedIRP5 of 2004 (tax year end). 	of the	() Yes () No	, * / *
6.13. Did the applicant participate in any other skills development programmes through is SETA in 2004 such as an SME Sup	oport Strategy?	O Yes O No	Ø
6.14.1 How many skippers are used by the applicant?			
6.14.2 What percentage of these skippers are black?] Corporate S	ocial Investn	nent
6.15 Does the applicant make donations of its annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962 ?	- een vorune e	(Ś
6.16 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these donations amount to:	○ 0 - 0.5 % ○ 0.5 - 1 % ○ > 1 %	J ^{ani}	(Y

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Section 6
 Transformation
Corporate Social Investment

6.17 Indicate the five largest tax deductible donations made per	r annum since 2001 in the table below.
--	--

Year	Benefitting Organisation Rend Value of Amount Donated
2001 - 1	
2001 - 2	
2001 - 3	
2001 - 4	
2001 - 5	
Year	Benefitting Organisation Rand Value of Amount Donated
2002 - 1	
2002 - 2	
2002 - 3	
2002 - 4	
2002 - 5	
Year	Benefitting Organisation Rand Value of Amount Donated
2003 - 1	
2003 - 2	
2003 - 3	
2003 - 4	
2003 - 5	
Year	Benefitting Organisation Rand Value of Amount Donated
Year 2004 - 1	Benefitting Organisation Rand Value of Amount Donated
	Benefitting Organisation Rand Value of Amount Donated
2004 - 1	Benefitting Organisation Rand Value of Amount Donated
2004 - 1 2004 - 2	Benefitting Organisation Rand Value of Amount Donated

6.18 Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not including school fees)?

O Yes O No

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

00-0.5% O 0.5 - 1 % 0 > 1%

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefitting Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

		Affirm	native Proc	ureme
6.21 Does the applicant have a written affirmative procurement policy?	. ()Yes ()No		1
6.22 Provide the following information in r (operational expenditure) in 2004:	elation to the applica	ant's top ten South A	African supplier	rs 🗘
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Supe Rands in 2004		tage of Tota penses
				······
6.23 Has the applicant determined its affirmative procurement spend as a	10	⊖ Yes	<i>O</i> No	Ŷ
percentage of total procurement spend				
6.24 Has the applicant instituted a system measure its affirmative procurement spend as a percentage of total		() Yes	O No	
procurement spend in future?				
			S	Section
	Vessel	, Crew and En		
			Contra	ventior
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty un Shipping Act, 57 of 1951?			⊖Yes ⊂) No

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0.7			,		00111	2000

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		Secti	
Vessel, Crew and En		ental S ravent	
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	() No	Ľ
Crew Safety and W	orking	Condi	tions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	() Yes	O No	\checkmark
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Maritime Occupational Safety Regulations?	() Yes	O No	\heartsuit
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	() Yes	() No	\checkmark
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	() Yes	() No	Ś
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	() Yes	() No	Ç
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993 ?	() Yes	() No	-
7.10 Does the applicant have an HIV/AIDS policy?	() Yes	O No	X
	Ve	ssel S	afety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	() Yes	O No	Q
7.12 Are any operational limits imposed on the nominated vessel(s) by is Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	() Yes	⊖ No	
En	/ironme	ental S	afety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollutionfrom Ships) Act 2 d 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	() Yes	() No	Ý
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	() Yes	() No	Ÿ

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8.1.1 Table 1		tables in relat	ion to job crea	ition,			<
Year 2001 (date of application)	Employees in respect of which the applicant prepares IRP5 Certificates (Land Based)	Employees in respect of which the applicant propares IRP5 Certificates (Sea Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Land Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Sea Based)	Total Number of Employees (Columns 1- 4)	Total Amount of Salary Bill as per Financial Statements	Percentage increase or decrease in total number of employees
2002 (financial year end) 2003 (financial year end)							
2004 (financiel year end) 2005 (28 February 2005) 3.1.2 Table 2							
Applicant's Annual the applicant	Catch Allocation In this listnery in 2		tal Annual Salary E y) 2004 Financial 8.1	lear End as per T		ch does the applic ies/wages per ton	
3.1.3 Table 3		I			l		
Applicant's Annual Allocation (in tons) fishery in 200	in this relatio	Employees (in n to this fishery) ebruary 2005)	How many peop applicant empl allocate	by per ton Si	Total Employees k easonal Employee ation to this fishery February 2005)	s (in seasonal e /) (28 the applic	ny people (less imployees) does ant employ per allocated?
		nin Vita Vita vita vita	l				
3.1.4 Table 4	hinhest enruel e	alaries (total cost t			What was the r	nulitole between th	e average of the
ompany) paid betw	een 1 March 200	4 and 28 February	2005 Average	of top five salaries	five lowest ar salari	id the average of t es at 28 February	he five highest 2005?
						- <u>Ş</u>	
Applican's five mpany) paid betw	lowest annual sa een 1 March 200-	laries (total cost to 4 and 28 February		e of lowest five sataries		<u> </u>	
Applicant's five mpany) paid betw	lowest annual sa een 1 March 200	laries (total cost to 4 and 28 February					

· .

Section 9

Investment

9.1 Complete the following tables:

9.1.1 Table 1

Yeser	Book Value of Total Fixed Assets (in relation to this fishery)	Percentage increase or Decrease in Rand Value of Total Fixed Assets (in relation to this fishery)	Total Book Value of Total Land Based Freed Assets (in relation to this flabery)	Total Book Value of Harbour and Sea Based Fixed Assals (in relation to this fishery)
2002 (financial year end)				
2003 (fnancial year end)				
2004 (financial year end)				
0 1 2 Table 2				<u>C</u>

9.1.2 Table 2

Year	Fixed Assets (in relation	Percentage Increase or Decrease in Rand Value of Total Freed Assets (in relation to this Tishery)	Total Insured Value of Harbour and Sea Based Fued Assets (in relation to this lishery)
2002 (financial year end)			
2003 (financial year end)			
2004 (financial year end)			

9.1.3 Table 3

9 1 4 Table 4

				-498×
Annual Catch Allocation (In tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year and	land-based assets at 2004	Insured Value of Harbour and Sen-Based Assets por ton allocated to applicant	Based Assets per ton

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.

9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.



17 Has the applicar	nt invested in on-board	tor land based proces	esing facilities?		0.11
				() Yes	() No
	mplete the following ta	ble: Nominal Tons of	Nominal Tons	Non	ninal Ions
nnual Catch Allocation (in metric tons) to the applicant in 2004	Applicant's Allocation Processed On Board Vessel	Applicants Allocation Processed on Land	Processed on Behalf of other Right Holders On Board Vessel		ed on Beh ght Holde Land
	4	1 4	 		

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing Site Name	Tons Landed at Harbour in 2004 calendar year	Percentage of Total Catch Landed in 2004 calendar year
		i
	+	<u> </u>
TOTAL		

10.2 At which harbours and landing sites will the applicant land its catches?

11 - 11 - 11 - 1

Harbour / Land	ing Site Names
	······

10.3 Has the applicant made any investment in physical infrastructureat these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No

STAATSKOERANT, 15 JUNIE 2005

		Value Ad	Section 11 d & Enterprise Development
11.1 Is the applicant directly involved in any value adding process in this fishery?	∩ Yes	∩ No	Ş
11.2 Has the applicant been responsible for any enterprise development?	Oves	O No	V
			Section 12 Performance Financial
12.1 What is the applicant's 2004 audited, certified Annual Turnover?	verified or		

12.2 Indicate the rand value and percentage of total turnover derived from this fishery:

Annual Catch Allocation (in tons) to the Applicant in 2004	Turnover Generated in 2004 financial year by Annual Catch Allocation	Percentage of Total Turnover

12.3 Complete the following table in relation to ratio performance:

Year	Return on Net Assets (RONA)	Debt: Equity Ratio	Current Ratio	Quick Ratio (Acid Test)
2001				
2002				
2003				
2004				

12.4 Provide the following information in relation to the applicant's income statements:

Year	Turnover	Profit After Tax	Retained Earnings	Dividencis Paid / Distribution of Profile
2001				
2002				
2003				
2004				

Section 12
Performance
 Financial

12.5 Provide the following information in relation to the applicant's balance sheet:

Year	Assets	Long-Term Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001				
2002				
2003				
2004				

12.6 Provide the following information in relation to the applicant's cash flow:

Year	Net Cash Inflow / Outflow from Operations	Not Cash Inflow / Outflow from. Investing Activities	Net Cash Inflow / Outflow from Plnancing Activities
2001			
2002			
2003			
2004			

Section 13

Fishing Plan

13.1 Does the applicant have a fishing plan?

OYes O No

By-Catch and Environmentally Sustainable Practices

By-Catch

Section 14

X

14.1 Indicate the proportion of the applicant's annual catch that is made up of by-catch species:

Year	Annual By-Catch (in tons)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By-Catch, Juvenile Species and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003			
2004			

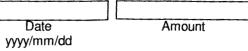
		Secti	on 14
By Catch and Environmentally St	Istainah	la Dra	-tices
14.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processing facilities?	() Yes	() No	(X)
14.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	Yes	O No	Q
14.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yeş	() No	Q
12:5 Besche applicant put measures in place to reduce damage to sea beds?	9 ¥§	9 N8	\mathfrak{r}

Fishing Levies

Section 15

Year	Species	Total Catch in Tons	Levies Pair
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004	4		
2004			

the applicant's most recent levy payment?



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	Section 16
 (a) I have read the instructions (b) the information submitted (c) I accept that if any inform disclosed, this may lead to license or permit granted o (d) In order to allow for the prossesses or acquires an or an official of the Departm (e) The applicant undertakes explanations, by attending granting investigators acc constitute an independent of (9) The applicant accepts that quantum or effort, in any r refused. 	Applicant Declaration Application being refused, or to the revocation, suspension, cancellation, alterationor reduction of any right, in the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who y information relevant to my application, to disclose or make the information available to the Minister, his delegate netting swith investigators, by answering questions satisfactorily at such meetings and where necessary, by reso to premises, vessels and documents. The applicant accepts that failure to co-operate in fris regard will ground for refusing an application; any attempt to influence the decision of the delegated authority or appellant authority on the allocation being nent of rin maner other than provided for in <i>the</i> General Policy or in this application form, will result in the application being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being and a subjection being the influence the decision of the delegated authority or appellant authority on the allocation being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being and any attempt to influence the decision of the delegated authority or appellant authority on the allocation being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being any attempt to influence the decision of the delegated authority or appellant authority on the allocation being and any
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This	day of 2005
Signature of Autho	rised Representative:
Representative's F	ull Name:
The authorised represent declaration. Commissioner of Oaths	ative declares that he/she knows and understands the contents and implications of the above
Full Name	
Designation	
Physical Address	
(b) the information submitted (c) Laccept that in any inform	make oath and declare that: s set out on pages 2 and 3 of this application form; with and in this Application is true and correct and complete. nation in this Application is not true or complete, or if fake information is provided, or material Information is not to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right,
 (d) In order to allow for the p possesses or acquires an or an official of the Departr (e) responses to written requarts such meetings and whether the such meetings are such as the such meetings and whether the such meetings are such as the such meetings are such as the suc	on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998 ; oroper verification of information submitted I hereby authorise any institution, organ of state, person or body, who in information relevant to the application, to disclose or make the information available to the Minister, his delegate nent of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting ests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily are necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that
 (d) In order to allow for the p possesses or acquires an or an official of the Departr (e) responses to written requarts such meetings and whe failure to co-operate in this authority or appellant authori	on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998 ; proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who in formation relevant to the application, to disclose or make the information available to the Minister, his delegate nent of Environmental Affairs and Tourism or the Rights Verification Unit;
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authority or appellant authority on the allocation of a right or duratum or effort, in any memoroher than provided/or inthe General Policy or inthe application being refused. Signature of Authorised Representative of Sister Company (if applicable) Signature of Authorised Representative: This day of 2005 Signature of Authorised Representative: The authorised Representative: Commissioner of Oaths The subcoded representative declares that hatche knows and understands the contents and implications of the above declaration. Commissioner of Oaths The information-being declares that hatche knows and understands the contents and implications of the above declaration. Commissioner of Oaths To Hundres and the optication is the application form: () W Partner Declaration () W Partner Declaration () No provide definition of the application form: () W Partner Declaration () The provide definition of the application form: () No provide definition of the application form: () How read the information above the application is the application form: () How read the information above the application form the application form: () How read the information above the application formed and only the application is the application formed to applicate and the application formed to applicate and the application formed to applicate and the application applicatinte applicatinte application application application applicatin			Section 16
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Signed at:	in this application form, wi	Il result in the application being	
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Signature of Authorised Representative:	-		
Representative's Full Name: Commissioner of Oaths Full Name Designation Physical Address 1. the undersigned de hereby make oath and declare that: (a) Have read the instructions set out on pages 2 and 3 of this application form: (b) the undersigned de hereby make oath and declare that: (c) Have read the instructions set out on pages 2 and 3 of this application form: (b) the undersigned de hereby make oath and declare that: (c) Have read the instructions set out on pages 2 and 3 of this application form: (c) I accept their dray information in the Application is not use or complete. (c) I accept their dray information in the Application is not the or complete. (c) I accept their dray information in the Application is not their set of the Application is not their set of their department of Environmental Affairs and Tourism or the Rights Verification and undersites and Bourism or the Rights Verification and undersites and advectory or make the information. Beak placetor is delegated or an official of the Department of Environmental Affairs and Tourism or the Rights Verification application. (c) accept the information or explanations, by attending meetings with investigators by answeing questions satisfactorithe a streng here in the paper and the application is not the Rights Verification Unit. (c) accept the application for any thry name) undertakes to co-operate with any investigators by submitting at such meetings and Morey name of applicatin is not tructing on the Rights Verificatio	This	day of	2005
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Signature of Authorised Representative: Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation	Signed at:		
Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation	This	day of	2005
Representative's Full Name: The authorised representative declares that he/she knows and understands the contents and implications of the above declaration. Commissioner of Oaths Full Name Designation	Signature of Autho	orised Representati	ve:
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Designation	Commissioner of Oaths		
	Full Name		
Physical Address	Designation		
	Physical Address		

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a} Section 2.1 : Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 2.2: Provide a copy of the letter granting a right as Annexure 2B.
- c) Section 2.3: If the medium term right is currently held by an entidifferent from the one listed in Section 22, provide a detailed explanation **as** Annexure 2C.
- d) Section 2.5: Provide a copy of a valid SARS tax clearance certificate as Annexure 2D.
- e) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- f) Section 2.8: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2G.

Schedule 3 - Compliance

- a) Section 3.1.1 : If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 30.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure **4E**.

Schedule 5 - Catch Utilisation

 a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section **6.4.2**: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure **6D**.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1 : Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder *is* black (*ii* natural person), or what percentage of the shareholder is black (*if* company or close corporation), the gender of the shareholder (*if* natural person), or what percentage of the shareholder is female (*if* company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section **6.8.7:** If the applicant completed any part of the table in Section **6.8.7**, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- /) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- *N*) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- <) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

	Schedule 7 - Safety
	Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
	Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
	Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
d) S	Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .
,	Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
f) S	Section 7.6: If "No, " provide a detailed explanation as Annexure 7F.
	Section 7.7: If "Yes ," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
h) \$	Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
	Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
	Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
	Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.
	Schedule 8 - Job Creation
a)	Schedule 8 - Job Creation Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
L) (Castien 0.4.4. If the employed completed Questions 0.4.4 with reference to the normally of the

b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure **86**.

Schedule 9 - Investment

- a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a 'detailed explanation of all investments in processing activities as Annexure 9C.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.2: If the applicant completed the table in Section 12.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 12.2 for both the applicant and the other entity(ies) separately and submit these as Annexure 12B

Schedule 13 - Fishing Plan

a) Section 13: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:

a) days to be spent fishing per vessel nominated;

- b) areas to be targeted;
- c) species to be targeted and mix of species;
- d) expected size of species to be targeted;
- e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Type (dedicated midwater or deep sea trawler)
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).
- e) whether the horse mackerel will be landed in South Africa for local processing and consumption, or whether the horse mackerel will be transshipped.

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of measures put in place to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 146.
- c) Section 14.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, **as** Annexure 14D.
- *e*) Section 14.5: Provide a detailed explanation of measures put in place to reduce damage to sea beds as Annexure 14E.

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FC	OR OFFICIAL USE ONLY: DO NOT TEAR OFF RECEIPT
Received by:	
Date of Receipt:	14 July2005 or 15 July2005
Time of Receipt:	h
Application Number	
Departmental Stamp	
Disclaimer: The receip	ting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.
•	RECEIPT - FOR APPLICANT TO RETAIN
Passived by	_
Date of Receipt:	14 July2005 or 15 July2005
Time of Receipt:	A
Application Number:	·
Departmental Stamp:	
Disclaimer: The receipti	ng of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.

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APPLICATION	NUMBER:)
	lock the app no.
DEPARTMENT OF ENVIRO	ONMENTAL AFFAIRS AND TOURISM
	RINE AND COASTAL MANAGEMENT
	w a ran a
	Inshore Trawl
Long Term R	Rights Allocation Process: 2005
	Cluster A
Application F	orm For: Medium Term Right Holders
Registered Name of Applicant	
Company Registration Number	
or Close Corporation Registration	
Number	
Principal Place of Business	
Number of Vessels Nominated	

IMPORTANT INFORMATION

GENERAL

- This application form must be read together with these instructions, the Explanatory Notes, the Schedules to the application form and the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, www.paab.co.za or www.saica.co.za).
- 2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at www.mcm-deat.gov.za. The policiesguide the Minister or his delegate when considering the application.
- 3) Should the applicant have any queries regarding the completion of the application form, it must e-mail the Department at <u>ciustera@deat.gov.za</u>. Every query and response will be collated and circulated by e-mail to all the applicants in the sector and will be made available on www.mcm-deat.gov.za. Should the applicant require technical assistance with the application form software, it must contact the Rights Verification Unit in one of the following ways:

Tel: (021) 670-3669

Fax: (021) 670-1782

E-mail: RVU@deloitte.co.za

Applicants or their representatives may not communicate with the Minister, the delegated authority, or officials in the Department regarding their applications in any other manner. Moreover, no reliance may be placed on any information given or obtained in any other manner.

ICONS

4) Applicants must pay attention to the icons in the application form in order to determine whether:

- the information provided will be treated as confidential;
- there is any documentation or information required in response to a question in the form of an annexure;
- -the applicant's auditors need to verify a response; and
- there are consequences of not answering a question.

5) The application form makes use of the following icons:

The books icon meansthat the information provided will be treated as confidential.



The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected Failure to submit certain requested documents or information may result in the application being refused.

The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.



The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.

COMPLETION OF APPLICATION FORM

- 6) The applicant must complete <u>all</u> sixteen sections of the application form. The applicant must respond in the spaces provided in the application form. Information may not be submitted by way of annexures except where applicants are specifically allowed to do so.
- 7) The application form must be completed electronically, and saved onto the two blank CDs provided on registration. One of these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.
- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.

IMPORTANT INFORMATION INSTRUCTIONS

11) One **true** copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be **certified** as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

- 14) Improperly lodged applications will be refused.
 - An application is improperly lodged if:
 - it is received late;
 - -the applicant makes no payment, or short payment, or late payment of the application fee;
 - the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdinn company, sister company(ies) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

STAATSKOERANT, 15 JUNIE 2005

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Matter

Section 1 Applicant Details 1. The applicant must supply a profile of its organisation including an organogram and a description of its
fishing operations, as Schedule 1A.
1.2 Trading Name of Applicant:
1.3 Registration Number:
1.4 Income Tax Number:
1.5 Vat Number:
1.6 Skills Development Levy Number:
1.7 Have the applicant's details (1.8.1 - 1.8.7d) changed since the O Yes O No applicant registered?
1.8 If "Yes", complete only those details that have changed:
1.8.1 Principal Place of Business: Number and Street Suburb: Suburb:
Town / City:
Postal Code:
1.8.2 Postal Address: Number and Street / PO Box
Suburb:
Town / City:
Postal Code:
Area Code:
1.8.3 Telephone Number:
1.8.4 Additional Telephone Number: 1.8.5 Fax Number:
1.8.6 Authorised Contact Person First Name:
1.8.7 Authorised Contact Person Surname:
a) Identity Number:
b) Position Held/ Relationshipto Applicant
c) Cellular Number:
d) E-mailAddress:

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						Section 2
2.1 Doe	s the applicant currently	holda	O Y =	○ ₩2	For	n of Applicant
	ium term fishing right in t		() Yes	() No		
2.2 If "Y	es", to which entity or pe	rson was the me	edium term	n fishing right	t allocated in 2001	/2002?
ui t	Company	Name				
- V	Close Corporation Trust	Name				
-	Individual	Name				
2.3 In wl	nat form of entity is the m	nedium term fish	ning right cu	urrently held	' (V	
	Company	Name				
	Close Corporation Trust	□ Name □ Name				
	Individual	D Name				
	e applicant more than 50 ' an owned?	% South	() Yes	O NO		
	s the applicant have a va ance certiiicate?	lid tax	() Yes	() No	,> ♥	, >
2.6 Com	plete the following table i	n relation to ass	et value ar	nd turnover:		Jan Jan
	Year	4	Urnover		Gross Asset Value	excluding fixed property)
200	(Financial Year End)					
					<u> </u>	
anyo	s the applicant hold any s other company that is ap ium term right holder in t	plying as a	() Yes	O No	\mathcal{C}	
anyo	s the applicant hold any s company that is applying int in this sector?		O Yes	O No	\Diamond	
						Section 3
						Compliance
-	the ε or an ctors:	y f its meml	bers, shai	reholders 't		~/
3.1.1	been convicted of a c regulations, or permit period?				right OYes (DNo 🔶 🏈
3.12	entered into a plea ba 51 of 1977, for a contr or permit conditions du	ravention of the	MLRA, or	the regulatic) No 🔶 🏹
3.1.3	paid an admission of g the regulations, or the p right period?) No 🔶 🎸

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			Section 3
minun	· · · · · · · · · · · · · · · · · · ·		Compliance
3.1.4 been charged with an or regulations or permit co right period?	offence under the MLRA, or Inditions during the medium te		O No 🔶 🏹
been detained, arrested or seiz preserved, confiscated or fo	nicle, premises or any other ass hareholders, members or direct zed under the MLRA or restrain prfeited under the Prevention 1998 during the medium term ri	ors O Yes ed, of	O No
3.3 Was the applicant's right or per- reduced or altered under sec medium term right period?	mit suspended, revoked, cancell ction 28 of the MLRA during		0 No 🔶 🏹
			Section 4
······································			Vessel Details
4.1 How many vessels has the applic nominated for use in this fishery?	cant		~/
4.2 Fill in the relevant details in the tal	bles below regarding each vesse	el nominated:	ų su
	Vassal 1		
Vessel name MCM Area Number			
SAMSA'Nomber			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as indicated by South African Certificate of Registry			
Nature of Access to Vessel		<u> </u>	
Vessel fitted with a functioning VMS System (V/N)			
Manufacture of VMS System			
Vessel Length in metres according to SAMSA Safety Certificate			
Vessel Horsepower as per SAMSA Salety Certificate			
$\overline{\langle X \rangle}$			
Y	Vessel 2		
Vessel name			
MCM Area Number SAMSA Number			
Number of crew according to SAMSA Safety Certificate			
Owner of Vessel as indicated by South			
African Certificate of Registry Nature of Access to Vessel			
Vessel fitted with a functioning VMS			
System (Y/N) Manulacture of VMS System			
Vessel Length in metres according to SAMSA Safety Certificate	······································		
Vessel Horsopower as per SAMSA Safety Certificate			

Section 4

Vessel Details

Q				
	Vessel 3			
Vessel name				
MCM Area Number		-		
SAMSA Number			· · ·	
Number of crew according to SAMSA Safety Certificate				
Owner of Vessel as indicated by South African Certificate of Registry				
Nature of Access to Vessel				
Vessel litted with a functioning VMS System (Y/N)	 			
Manufacture of VMS System				
Vessel Langth in metres according to SAMSA Safety Certificate				
Vessel Horsepower as per SAMSA Safety Certificate				

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	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel.	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to sams Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

				Cai	ch Utilis	ation
5.1 Did the applicant of medium term right	over-catch or under-ca ts period?	atch by more than 10%	% during the) Yes	() No	•
5.2 Complete the follo		Q	2			
Year	Annual Catch Allocation (in metric tons)	Total Actual Catch of Applicants Allocation (Nominal tons)	Percentage under-ca	ught P	acentage qvef	-caught
2002 Season						

2002 Season			
		· · · · · · · · · · · · · · · · · · ·	
2003 Season			
2004 Season			

		Sec	tion 6
		ansform	nation
Management and	Emplo	yment	Equity
6.1 Was the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	<i>O</i> Yes	Ono	ç
6.2.1 If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	() Yes	O №	P
6.2.2 If "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	() Yes	() No	P

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								Section	0000).
				Manage	ment	and F	Transf mployme	ormation	
6.3	3.1 Complete the table company) or member	below in res 's (if a close	spect of the corporation)	applicant's boa	rd of di	rectors (У , , "
	Director / Member Name (Initi & Surname)	al Years of Service	Total Annua Cost	Remuneration (Tota to Company)	i Black (Y/N)	Gende (M/F)	t di	Number	
1			ļ						
2									
3									
4									
5									
6								<u> </u>	
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8				<u></u>					
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11				<u> </u>					
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13									-
14									
15								<u></u>	1

Section 6

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Transformation

Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.

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Between 1 - 5 Empkyees	The Top Salary Earner(Total Cost to Company)
Between 6 - 30 Employees	The Top Two Salary Earners(Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners(Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Totel Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (MF)	ID Number
1							
2							
3							
4							
5		_					
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							

							Section 6
							nsformation
	3		Man	age	ment and En	nploy	ment Equity
	<u>p</u>						
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (V/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21							
22							
23					<u></u>		
24							
25							
26							
27							
28							
29	1						
30						1	
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33							
34 35							
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51		· · · · · · · · · · · · · · · · · · ·				ļ	
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54							
55							

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Section 6 Transformation Management and Employment Equity Annual Salary (Total Cost to Company) Black Salary as a Percentage of Total Salaries in this Table Gender (M/F) **Organisational Title** 10 Number Employee Name

								Trem	Sect	
				Mana	geme	ntan	d Em	oloym	ent E	quity
6.4.1 Complete the follo employees at 28 F			to income	e levels o	f the ap	plicant's	s permai	nent	Q	
Gross Monthly Income to Company		Number of Employees		tage of Tot ployees		er of Blac ployees	n To	entage of tal Black tployees	F	nber of emale ployees
1 <r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>ine ine</td><td></td><td></td></r2>								ine ine		
2 Between R2 500 ar	nd R5 500									
3 Between R5 501 and	d R10 500									
4 Between R10 501 an	d R16 000									
5 Between R16 001 an	d R20 000									
6 Between R20 001 an	d R25 000									
7 Between R25 001 an	d R30 000									
8 Between R30 001 an	d F140 000									
9 Between R40 001 an	d R60 000									
10 >R60 000										
Total				100%				100%		
5.4.2 Does the applicant employees?	t contribute	towards n	nedical ai	d and pe	nsion fo	or its	OY	es O	No	Q
5.4.3 Does the applicant	t provide an	y other ty	pe of ben	efits for it	s emplo	yees?	ΟY	es O	No	
6.5 Complete the followi Department of Labouthen the table must b	ur in Octobe	er 2004. If	the applic	cant is no	ployme t desigr	nt equit nated or	y profile did not	as prov voluntai	ided to t ily com	he S
									T	ntal
Occupational Categories	Gender A	No A%	CNo	C%	l No	1%	W No	W %	ACI %	F%
Top & Senior Management / Senior Officials and Managers	Male									
Professionally Qualified and	Female Male									
experienced specialists and mid-management / Professionals	Female		+							
Skilled Technical and ecademically qualified workers, supervisors, foremen, and supervisors /	Male									
foremen, and appeintendents / Technicians and Associate Professionals	Fomale									
Semi-skilled and discellarary decision making and unskilled and undefined decision making / Clerks, Service & Sales Workers, Elabor / Clerks, Service & Sales Workers,	Maio									
Fishery Workers, Artisans & Roland Trade Workers, Plant & Machine Operators & Assemblices, Biementery Occupations	Female									ļ
TOTAL	Male		-	ļ						
A = African, C = Coloured	Female	14/1-14 - A-1							L	<u> </u>

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										Sec	tion (
							- 1		Trar	isform	natior
					Man	agem	ent ai	nd Em	nployr	nent	Equity
6.6.1 Complete the tabl Managers only.	les belov	w in rela	ation to (employr	nent equ	uity figur	es for S	enior C	Officials	and	⇒Ý
				1					1		otal
Occupational Calegories	Gender	ANo	A %	GNo	C%	INo	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and	Male						-				
Managers 2004 (if not designated as at financia) year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionalsonly.

										Ť	stal
Occupational Categories	Gender	A No	A%	C No.	С%	INo	1%	W No	W %	ACT %	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Maio	_									
Prolessionals 2004 (if not designated as at financial year end 2004)	Female										

	Section 6
	Transformation
Ow	nership - Companies Only
6.7Is the applicant a company?	O Yes O No
6.7.1 If 'Yes", complete the table below in respect of shareholding held be	y black persons:
Year Percentage Shareholding Held Percentage Voting Hights Hel by Black Persons by Black Persons	d Percentage of Dividends Black Shareholders are Entitled To
2001 (as in 2001 application form)	
2005 (at date of application)	
6.7.2Complete the following table in relation to shareholding held by wor	men based on the flow
through principle:	
Year Percentage Shareholding Held Percentage Voting Rights Held by Women by Women	d Percentage of Dividends Women are Entitled To
2005 (st. date of application)	
6.7.3 Did the applicant have a share scheme in place in 2004 for employees?	O Yes O No 🗸 🦯
6.7.4 If "Yes", what is the percentage shareholding held by or for employees not listed under question 6.3.2 through the scheme?	
6.7.5Complete the following table in relation to monies paid to sharehold	
Year Salaries Paki to Sharehoklers Loans Made to Shar	
2002 (financial year)	
2003 (financial year)	
2004 (financial year)	
6.7.6Was the applicant managed by another entity, or was the catching processingor marketing of the 2004 allocation in this fishery perform by another entity during the 2004 financial year?	
6.7.7lf "Yes", complete the table below:	$\checkmark \sim \sim$
Function Rand Amount Paid to Other Entity during the 2004 Financial Year	Rand Amount as a Percentage of the Applicant's Total Turnover in 2004
Management	
Catching	
Processing	
Markeing	

			100	Se	ection 6
			vahin Class	Transfor	
		atomic and the second second	rship - Close	Corporation	ns Only
6.8 Is the applicant a clos		_	Yes ONo		$\langle X \rangle$
6.8.1 If "Yes", complete the	Percentage Interest Held			ack persons: Percentage Profit Distri	bullan Black
Year	Black Persons	Black P		Persons are Entit	
2001 (as in 2001 application form)					
2005 (as at date of application)					
6.8.2 r ∶te _e tabl	in tin ton	e bers telest	eld ty voine i.	-	
Year	Percentage Interest Heid I Women	by Percentage Votin Wor		entage Profit Distributi Entitled To	on Women are
2005 (as at date of application)					
6.8.4 If "Yes", what perce under question 6.3.26.8.5 Complete the follow	entitled to?				~~ ~_ <
Year	Salaries Paid to Men	nbers Loans N	Ande to Members	Profit Distributed to	Members
2002 (financial year) 2003 (financial year)				·	
2004 (financial year)					
6.8.6 Was the applicant m processing or marke financial year?) Yes ONO	
6.8.7 If "Yes", complete th	e table below:				Ja
Function	Rand	Amount Paid to Other E		Amount as a Percenta ppicant's Turnover in :	
Management					
Catching					
Processing					
Marketing					

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420 N	0.27683
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					Transform	
in the Transfo in 2001?	icant met the targe ormation Plan subm ne following table in 98:	itted O Fully		vhat/Partially	Not at all	pmen
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	submit an Annual		IS Percentage		dget Sper
2001 (financial year end)						
2002 (financial year end)						
2003 (financial year end)						
2004 (financial year end)						
employees 2004 (tax ye 6.13. Didthe app	icatethe number of in respect of whom	the applicant pre	epared IRP5 ce levelopment	rtificates in	○ Yes ○ No	ç
6.14.1 How man applicant?	y skippers are used	l by the				
6.14.2 What per are black?	centage of these sk ?	ippers		ļ		
its annual ta for deductio	oplicant make donat ixable income which n in terms of section Tax Act 58 of 1962	n qualify O n 18A of	Yes ONo	Corporate S	Social Invest	ment
	icate what percenta al year these donat		arned in the	○ 0 - 0.5 ○ 0.5 - 1 % ○ > 1 %	Jan	Ś

	orporate Social Investment
oana rankuullus dun sama aun asaa sa maana aana aana aya diya aana uduun diya aha umuun aana aana aana aha aha	
	Transformation
	Section 6

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
Year 2003 - 1	Benefitting Organisation	Rand Value of Amount Donated
	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1	Benefiting Organisation	Rand Value of Amount Donated
2003 - 1 2003 - 2	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1 2003 - 2 2003 - 3	Benefiting Organisation	Rand Value of Amount Donated
2003 - 1 2003 - 2 2003 - 3 2003 - 4	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1 2003 - 2 2003 - 3 2003 - 4 2003 - 5		
2003 - 1 2003 - 2 2003 - 3 2003 - 4 2003 - 5 Year		
2003 - 1 2003 - 2 2003 - 3 2003 - 4 2003 - 5 Year 2004 - 1		
2003 - 1 2003 - 2 2003 - 3 2003 - 4 2003 - 5 Year 2004 - 1 2004 - 2		

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

6.18Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act **58** of **1962**, but not including school fees)?

OYes \bigcirc No

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

0 - 0.5 % O 0.5 - 1 % 0 > 1%

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefiting Organisation	Rand Value of Amount Donated
2004- 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

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		Affirma	Se Transfort tive Procur	
6.21 Does the applicant have a written affirmative procurement policy?	0	Yes () No		Ś
6.22 Provide the following information in re (operational expenditure) in 2004:	elation to the applican	t's top ten South Afr	ican suppliers	No.
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to Supplier Rands in 2004	in Percentage Expense	
· · · · · · · · · · · · · · · · · · ·				
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?	⊖ Ye	s () No	\checkmark
6.24 Has the applicant instituted a system measure its affirmative procurement spend as a percentage of total procurement spend in future?		() Ye	rs () No	
	Vessel	Crew and Env		
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty us Shipping Act, 57 of 1951?	term rights period, be nder section 324 of th	een convicted, or e Merchant	⊖y _{es} ⊖¹	×~>
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty fo Occupational Safety Regulations of 19	r contravening the M		○ Yes ○ N	• 🗸

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		Secti	
Vessel, Crew and Env			
	COIII	raventi	UIIS
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	() N o	Q
Crew Safety and W	orking	Condii	tions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	<i>O</i> Yes	() No	<u>s</u>
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Maritime Occupational Safety Regulations?	⊖ Yes	() No	\langle
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	() Yes	() No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	⊖ Yes	() No	Ś
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	C _{Yes}	C No	Q
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	O Yes	() No	P
7.10 Does the applicant have an HIV/AIDS policy?	() Yes	() No	Q
	Ve	ssel S	afety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	() Yes	() No	Ś
7.12 Are any operational limits imposed on the nominatedvessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	() Yes	⊖ No	
Env	vironme	ental S	afety
 7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability)Act 6 of 1981? 	() Yes	() No	Ś
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	() Yes	() No	Q

				Section 8
8.1 Complete the following table	es in relation to job cr	eation.	J.	ob Creation
8.1.1 Table 1	ployees in Employees in	Employees in		
Tespect of methods and the met	repect of which the mich the applicant doe		Number of Salary Bill a	R INCIGASE OF
preparas (RP5 prop Certificates Ce	eres IRPS PC prepare IRPS IRPS Certification	IRP5 (Colu	mins 1-4) Der Financia Statements	
2001 (date of application)	(Land Based)	(Sen Pased)		
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end) 2005 (28				
February 2005) 8.1.2 Table 2				
Applicant's Annual Catch Allocation (in tons the applicant in this lishery in 2004) to Total Annual Salary Bahany) 2004 Financia	Ell (in relation to this Year End as per Table	How much does the ap	plicant spend on
	8	4.1	salaries/wages per t	on allocated?
8.1.3 Table 3	1	ĭ		
Applicant's Annual Catch Total Emplo	yeas (in How many per		ND NOVES LESS How r	nany people (less
Allocation (n tons) in this lishery in 2004 (28 Februar	Billiony applicant em	sky per ton relation to	this fishery) (28 the ap	al employees) does plicant employ per on allocated?
]		
8.1.4 Table 4			I	37
Applicant's five highest annual salaries (company) paki between 1 March 2004 and 2	total cost to 8 February 2005 Average	of top live salaries live	was the multiple between lowest and the average of solaries at 29 Eatrons	if the five highest
			Salanes at 25 Februa	.,, _,
Applicants five kneet annual selaries (iz ompany) paid between 1 March 2004 and 25	Stal cost to Averag	e of lowest five salarice		
		-02		

Section 9

Investment

9.1 Complete the following tables:

9.1.1 Table 1

Yəar	FREC ASSEIS (In relation	Percentage Increase or Decrease In Rand Value of Total Fixed Assets (in relation to this fishery)	Total Book Value of Total Land Based Fined Assets (in relation to this fishery)	Total Book Value of Harbour and Sea Based Fixed Assets (In relation to this fishery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

9.1.2 Table 2

Year	Insured Value of Total Fixed Assets (in relation to this fishery)	Percentage Increase or Decrease in Rand Value of Total Exed Assets (in relation to this Tishery)	Total Insured Value of Total Land Based Fixed Assets (in relation to this fishery)	Total Insured Value of Harbour and See Based Flood Assets (In relation to this Tisbery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

9.1.3 Table 3

					
Annual Catch Allocation (in	Book Value (in rands) of	Best Max 41 Area -		Book Value of Land-Based	L
tons) to the applicant in	harbour and sea-based	DOOK VELLE (In rands) of	Book Value of Harbour and	Book Value of Land-Based	ĺ
	essets at 2004 financial		Sea-Based Assets per ton	Assets per ton allocated to	Ĺ
2004	boe reev	financial year end	allocated to applicant	ecoicant	Ĺ
	IGUIOIN				l
					Ĺ
]	
					í.
l i	l i		l		,

9.1.4 Table 4

Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and sea-based assets at 2004 financial year end	Insured Value (in rands) of land-based assets at 2004 linancial year and	Insured Value of Harbour and Sea-Based Assets per ton allocated to applicant	Based Assets per ton
	L	1		

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.

9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.



S

Section 9
 rvestment

9.1.7 Has the applicant invested in on-board or land based processing facilities? O Yes O No

9.1.8 || yes, please complete the following table:

Annual Catch Allocation (in metric tons) to the applicant in 2004	Nominal Tons of Applicant's Allocation Processed On Board Vessel	Nominal Tons of Applicant's Allocation Processed on Land	Nominal Tons Processed on Behalf of other Right Holders on Land

Section 10

Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing Site Name	Tons Landed at Harbour in 2004 calendar year	Percentage of Total Catch Landed in 2004 calendar year
τοτω		
TOTAL		

10.2 At which harbours and landing sites will the	Harbour / Landing Site Names		
landing sites will the applicant land its catches?			

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



			Section 11
		Value Add &	& Enterprise Development
11.1 Is the applicant directly involved in any value adding process in this fishery?	O Yes	O No	Q
11.2 Has the applicant been responsible for any enterprise development?	O Yes	O No	A
			Section 12
			Performance
	······		Financial
12.1 What is the applicant's 2004 audited, v certified Annual Turnover?	verified or		Ø ~>

12.2 Indicate the rand value and percentage of total turnover derived from this fishery:

Annual Catch Allocation (in tons) to the Applicant in 2004	Turnover Generated in 2004 financial year by Annual Catch Allocation	Percentage of Total Turnover

12.3 Complete the following table in relation to ratio performance:

Year	Return on Net Assets (RONA)	Debt: Equity Ratio	Current Retio	Quick Ratio (Acid Test)
2001				
2002				
2003				
2004				

12.4 Provide the following information in relation to the applicant's income statements:

Year	Turnover	Profit After Tax	Retained Earnings	Dividends Paid / Distribution of Profils
2001				
2002				
2003				
2004				

	Section 12
	Performance
	Financial
12.5 Provide the following information in relation to the applicant's balance sheet:	

Year	Assets	Long-Term Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001				
2002				
2003				
2004				

12.6 Provide the following information in relation to the applicant's cash flow:

Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflow from Investing Activities	Net Cash Inflow / Outflow from Financing Activities
2001			
2002			
2003			
2004			

Section 13

Fishing Plan

13.1 Does the applicant have a fishing plan?

O Yes O No

Section 14

By-Catch and Environmentally Sustainable Practices

By-Catch

Z

14.1 Indicate the proportion of the applicant's annual catch that is made up of by-catch species:

Your	Annual By-Catch (in tons)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By-Catch, Juvenile Species and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003			
2964			

		Secti	on 14
By-Catch and Environmentally Su	stainab	le Prac	tices
14.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processing facilities?	<i>O</i> Yes	() №	Q
14.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	O ^{Yes}	0 ^{No}	\mathfrak{r}
14.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yes	() No	\mathcal{C}
14.5 Has the applicant put measures in place to reduce damage to sea beds?	OYes	ONo	\mathcal{C}

Section 15

Amount

Fishing Levies

15.1Please provide the following information in relation to levies paid since 2001:

Year	Species	Total Catch in Tons	Levies Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy payment?

Date yyyy/mm/dd

			Section 16
lth alik aldoherei	by make oath and deci i		Applicant Declaration
	ions set out on pages 2 and 3 of this a		Applicant Declaration
1 · · ·	ed with and in this Application is true a		formation is provided, or material Information is not
disclosed, this may lea	ad to the Application being refused, or	r to the revocation, suspens	sion. cancelation, alteration or reduction of any right,
(d) In order to allow for the	e proper verification of information su	ubmitted I hereby authorise	Marine Living Resources Act 18 of 1998; any institution, organ of state, person or body, who
possesses or acquires	any information relevant to my applic artmentof Environmental Affairs and T	cation, to disclose or make t	he information available to the Minister, his delegate
(e) The applicant undertak	ces to co-operate with any investigation	tors by timeously submitting	responses to written requests for information or
granting investigators a	access to premises, vessels and d	ocuments. The applicant a	ctorily at such meetings and where necessary, by ccepts that failure to co-operate in this regard will
	ntground for refusing an application; pat any attempt to influence the decis	sion of the delegated authori	ty or appellant authority on the allocation of a right or
quantum or effort, in an	y manner other than provided for in t	the General Policy or in this	application form. will result in the application being
******************		******	
Signed at:	<u> </u>		
This	day of	2005	
	-		
Signature of Auth	orised Representative:	· · · · · · · · · · · · · · · · · · ·	
Representative's	Full Name:		
			the contents and implications of the above
declaration.		mows and onderstands.	the contents and implications of the apove
Commissioner of Oaths			
Full Name			
Designation			
Physical Address			
	by make oath and declare that: ons set out on pages 2 and 3 of this a	onlication form:	Iding Company Declaration
(b) the information submitte	ed with and in this Application is true ar	nd correct and complete.	
disclosed this may lear	d to the Application being refused, or	to the revocation, suspens	ormation is provided, or material Information is not ion, cancellation, alteration or reduction of any right,
(d) In order to allow for the	t on the strength of this Application, in proper verification of information sul	terms of Section 28 of the N bmitted I hereby authorise a	larine Living Resources Act 18 of 1998; ny institution, organ of state, person or body , who
possesses or acquires a	any information relevant to the applicant relevant to the applicant relevant to the applicant relevant of Environmental Affairs and To	ation, to disclose or make th	ne information available to the Minister, his delegate
		•	
responses to wrtten rec	juests for information or explanations	by attending meetings with	erate with any investgators by timeously submitting investigators. by answering questions satisfactorily essels and documents. The applicant accepts that
failure to co-operate in th	is regard will constitute an independer	ntground for refusing an app	lication;
(f)	(3rd party	name) accepts that any a	ttempt to influence the decision of the delegated ner other than provided for in the General Policy or
in this application form, wi	ill result in the application being refuse	d.	
-			
This	day of	2005	
Signature of Auth	orised Representative:		
•	Full Name:		
nepresentative s			
The authorised represendeclaration.	stative declares that he/she kn	nows and understands t	he contents and implications of the above
Commissioner of Oaths			
Full Name			
Designation			
Physical Address			

		Cli 46
 (a) I have read the instruction (b) the information t (c) I accept that if any information t (c) I accept that if any information (d) In order to allow for the possesses or acquires or an official of the Department of the Department of the posses to written record at such meetings and with failure to co-operate in the failure to co-operate in the failure to co-operate of the posterior of the posterio	d on the strength of this Application, in proper verification of information si any information relevant to my appli rtmentof EnvironmentalAffairs and T (3rd party) uests for information or explanation here necessary, by granting investion is regard will constitute an independent	in correct and complete. ue or complete, or if false information is provided, or material Information is not or to the revocation, suspension, cancellatin, alteration or reduction of any right, n terms of Section 28 of the Marine Living Resources Act 18 of 1998; ubmitted I hereby authorise any institution, organ of state, person or body, who ication, to disclose or make the information available to the Minister, his delegate Fourism or the Rights Verification Unit; name) undertakes to co-operate with any investiitors by timeously submitting s, by attending meetings with investigators, by answering questions satisfactorily gators accepts to premises, vessels and documents. The applicant accepts that ent ground for refusing an application; ty name) accepts that any attempt to influence the decision of the delegated quantumor effort, in any manner other than provided for m the GeneralPolicy or
Signature of Auth	orised Representatived	of Sister Company (if applicable)
Signedat:		
This	day of	2005
Signature of Auth	orised Representative:	
Representative's	- Full Name:	
		knows and understands the contents and implications of the above
Commissioner of Oaths		
Full Name		
Designation		
Physical Address		
I, the undersigned, do hereb	y make oath and declare that:	JV Partner Declaration
 (a) I have read the instruction (b) the information submittee (c) I accept that if any information disclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Depart 	I to the Application being refused, o on the strength of this Application, ir proper verification of information sum any informationrelevant to the applic tment of EnvironmentalAffairs and T	application form; and correct and complete. Je or complete, or if false information is provided, or material Information is not r to the revocation, suspension, cancellation, alteration or reduction of any right, n terms of Section 28 of the Marine Living Resources Act 18 of 1998; Jubmitted I hereby authorise any institution. organ of state, person or body, who cation, to disclose or make the information available to the Minister, his delegate ourism or the Rights Verification Unit;
 (a) I have read the instruction (b) the information submittee (c) I accept that if any information disclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Depart or an official of the Depart such meetings and with failure to co-operate in this 	d with and in this Application is true a prmation in this Application is not tru to the Application being refused, o on the strength of this Application, ir proper verification of information su any informationrelevant to the applic truent of EnvironmentalAffairs and T (3rd party uests for information or explanations here necessary, by granting investig s regardwill constitute an independe	application form; and correct and complete. Je or complete, or if false information is provided, or material Information is not ro the revocation, suspension, cancellation, alteration or reduction of any right, in terms of Section 28 of the Marine Living Resources Act 18 of 1998 ; Jubiitted I hereby authorise any institution. organ of state, person or body, who cation, to disclose or make the information available to the Minister, his delegate "ourism or the Rights Verification Unit; name) undertakes to co-operate with any investigators by timeously submitting s. by attending meetings with investigators, by answering questions satisfactorily pators access to premises, vessels and documents. The applcant accepts that ent ground for refusing an application;
 (a) I have read the instruction (b) the information submittee (c) I accept that if any information disclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Depart (e)	d with and in this Application is true a prmation in this Application is not tru to the Application being refused, o on the strength of this Application, ir proper verification of information su any informationrelevant to the applic truent of EnvironmentalAffairs and T (3rd party uests for information or explanations here necessary, by granting investig s regardwill constitute an independe	Application form; and correct and complete. Jue or complete, or if false information is provided, or material Information is not or to the revocation, suspension, cancellation, alteration or reduction of any right, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; Jubmitted I hereby authorise any institution. organ of state, person or body, who pation, to disclose or make the information available to the Minister, his delegate ourism or the Rights Verification Unit; name) undertakes to co-operate with any investigators by timeously submitting s. by attending meetings with investigators, by answering questions satisfactorily pators access to premises, vessels and documents. The applcant accepts that ent ground for refusing an application; ty name) accepts that any attempt to influence the decision of the delegated quantum or effort, in any manner other than provided for in the General Policy or
 (a) I have read the instruction (b) the information submittee (c) I accept that if any information disclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Depart (e) responses to written require the such meetings and write failure to co-operate in this failure to react on the superior of the s	d with and in this Application is true a prmation in this Application is not true to the Application being refused, o on the strength of this Application, is proper verification of information su any informationrelevant to the applic truent of EnvironmentalAffairs and T (3rd party uests for information or explanations are necessary, by granting investig s regardwill constitute an independen (3rd party is result in the application being refuse prised Representative o	Application form; and correct and complete. Jue or complete, or if false information is provided, or material Information is not ir to the revocation, suspension, cancellation, alteration or reduction of any right, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; Jubmitted I hereby authorise any institution. organ of state, person or body, who cation, to disclose or make the information available to the Minister, his delegate ourism or the Rights Verification Unit; name) undertakes to co-operate with any investigators by timeously submitting s. by attending meetings with investigators, by answering questions satisfactorily pators access to premises, vessels and documents. The applcant accepts that ent ground for refusing an application; ty name) accepts that any attempt to influence the decision of the delegated quantum or effort, in any manner other than provided for in the General Policy or ed.
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SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profite may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1 : Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 22: Provide a copy of the letter granting a right as Annexure 2B.
- c) Section 2.3: If the medium term right is currently held by an entity different from the **one** listed in Section 2.2, provide a detailed explanation as Annexure 2C.
- d} Section 2.5: Provide a copy of a valid SARS tax clearance certificate as Annexure 2D.
- e) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- f) Section 2.8: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2G.

Schedule 3 - Compliance

- a) Section 3.1.1 : If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 36.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine **paid**, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure **3D**.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was /was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities **as** per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2 If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1 : If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure **6E**.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K**.
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If 'Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 76.
- c) Section **7.3:** If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If 'Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- i) Section **7.13:** If "Yes," provide details and documentation regarding the conduct of the applicant, is members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A

- b) Section **9.1.5**: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 96.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audiied financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.2: If the applicant completed the table in Section 12.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the ExplanatoryNotes, the applicant must complete the table in Section 12.2 for both the applicant and the other entity(ies) separately and submit these as Annexure 12B

Schedule 13 - Fishing Plan

- a) Section 13: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishingcapacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of measures put in place to reduce energy and fuel Consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14D.
- e) Section 14.5: Provide a detailed explanation of measures put in place to reduce damage to sea **beds** as Annexure 14E.

FOR OFFICIAL USE ONLY: DO NOT TEAR OFF			
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Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.			

	RECEIPT - FOR APPLICANT TO RETAIN
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KwaZulu-Natal Prawn	Trawl
Long Term Rights Allocation Pro	cess: 2005
	Cluster A
Application Form For: Medium Term Registered Name of Applicant	Right Holders
Company Registration Number or Close Corporation Registration Number	
Principal Place of Business Number of Vessels Nominated	
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Tel: (021)	670-3669	Fax: (021) 670-1782	E-mail: RVU@deloitte.co.za
Departme	s or their representatives in tregarding their application any other manner.	may not communicate with the ons in any other manner. Moreove	Minister, the delegated authority, or officials in t er, no reliance may be placed on any informationgive
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- these CDs must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to the application form do not need to be scanned and saved on to the CDs. The Department may request additional electronic copies of the application at a later stage.
- 3) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.
- 9) The application must also be printed out and the declaration must be signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the applicationWill be refused.
- 10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.

IMPORTANT INFORMATION

INSTRUCTIONS

11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENTOF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above dates at the above address will be refused.

IMPROPER LODGEMENT

14) Improperly lodged applications will be refused.

An application is improperly lodged if:

- · it is received late;
- the applicant makes no payment, or short payment, or late payment of the application fee;
- the application is lodged in a manner contrary to the instructions.

MATERIALDEFECTS

15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holdina company, sister company(ies) and the ioint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

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North Contraction	Section · Applicant Detail:
1. The al i ant must supply a r fishing operations, as	of its organisation : an organogram and a description of its 1A.
1.1 Registered Name of Applicant	
1.2 Trading Name f Applicant:	
1.3 Registration Number:	
1.4 IncomeTax Number:	er:
1.5Vat Number:	
1.6 Skills Development Levy Number	er:
1.7 Have the applicant's details (1.8 applicant registered?	8.1 - 1.8.7d) changed since the O Yes O No
1.8 If "Yes", complete only those de	tails that have changed:
	Number and Street
Business:	Suburb:
	Town / City:
	Postal Code:
102 Destal Addresses Number of	nd Street (DO Boy
1.8.2 Postal Address: Number a	Suburb
	Town / City:
	Postal Code:
	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1 .8.6 Martherised Contact Person Fir	st I C
1.8.7 Authorised Contact Person Surname:	
a) IdentityNumber:	
b) Position Held/ Relationshipto Ap	plicant
c) Cellular Number:	
d) E-mail Address:	

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GOVERNMENT GAZETTE, 15 JUNE 2005

						Form of	Section Applica
	the applicant currently um term fishing right in t		() Yes	() No	Q		
2.2 lf "Ye	es", to which entity or pe	rson was the m	edium term	i fishing righ	t allocated in	2001/2002	?
~1	Company Close Corporation	□ Name □ Name					
	Trust	□ Name					
	Individual	Name					
2.3 In wh	hat form of entity is the m	redium term fish	ning right cu	urrently held	? 🔇		
	Company	🗆 Name					
	Close Corporation	Name					
	Trust Individual	□ Name □ Name					
	applicant more than 50 an owned?		() Yes	() No	,0		
	the applicant have a va	lid tax	() Yes	() No	_	\triangleleft	
2.6 Com	plete the following table i	n relation to ass	set value ar	nd turnover:			
	Year		Turnover		Gross Asse	Value (exclud	ng fixed property
2004	(Financial Year End)						
2.7 Does	the applicant hold any	share(s) in					
any c	other company that is ap um term right holder in t	plying as a	() Yes	() No	5		
any c	the applicant hold any sompany that is applying		() Yes	() No	\mathfrak{A}		
entra	nt in this sector?						
	logi stati etare s						Section
3.1 Has	the applicant, or an	y of its mem	ibers, sha	reholders o	or	Co	omplianc
	ctors:						· A
3.1.1	been convicted of a regulations, or permit period?				right O 1	'es () No	
	entered into a plea b					'es 🔿 No	
3.1.2	51 of 1977, for a cont or permit conditions du			period?			

							Ş	Section 3
							Cor	npliance
;	3.1.4	been charged w regulations or peright period?	ith an offence ermit conditions	under the M during the n	LRA, or the dium term	() Yes	() No	<u>م</u>
	of the been prese	a fishing vessel, m applicant, or any detained, arrested rved, confiscated nised Crime Act 7 d?	of its sharehold d or seized unde d or forfeited	ders, members er the MLRA of under the P	s or directors or restrained, revention of	() Yes	O No	\$ \\$
3.3		he applicant's righ ed or altered un um term right perio		ended, revoke of the MLR/	d, cancelled, A during the	() Yes	() N o	\mathbf{O}
							-	ection 4
		any vessels has th tedfor use in this f						~1
4.2 F	Fill in th	ne relevant details i	in the tables belo	w regarding e	ach vessel nomi	nated:		
				Vessel 1				
	Iname	•	ļ	<u>-</u>		·····		
	Area Nu					······································		
	A Numb er of cre	er w according to SAMSA						
Safety	Certifica	ite				······································		
		el as indicated by South ate of Registry						
Nature	of Acce	ss to Vessel						
Vessel System		th a functioning VMS						
		VMS System		<u> </u>				
		in metres according to Certificate						
Vessel		ower as per SAMSA		<u></u>	<u></u>			
Z								
				Vessel 2				
Vessel								
MCM A SAMSA	rea Nun					•••••••	<u>.</u>	
Numbe	r of crev	vaccording to SAMSA						
	Certificat of Vessi Cortifica	e al as indicated by South te of Registry						
		is to Vessel		<u> </u>		<u> </u>		
Vessel 1	itted wit	n a functioning VMS						
System Manular		VMS System						
Vessel l	ength i	n metres according to						
	lorsepo	Sertificate wer as per SAMSA						

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GOVERNMENT GAZETTE, 15 JUNE 2005

Section 4 Vessel Details

\sim	
Y	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as Indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessel 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

₹ S	
<u> </u>	Vessel 5
Vessel name	
MCM Area Number	*
SAMSA Number	
Number of crew according to SAMSA Salety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel litted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Satety Certificate	

				ea.	Section 5 Ich Utilisation
5.1 Complet	e the following in rela	tion to the applicant's	s catch records.		ر ک
Yəar	Number of Vessels Aflocated	Total Actual Catch (in metric tons) in South African Waters	Number of Fishing Days in South African Waters	Total Actual Gatch (In metric tons) in Non- South African Waters	Number of Fishing Days In Non-South African Waters
2002					
2003					
2004					

				ection 6 imation
<u></u>	Management an	d Emplo	oyment	Equity
6.1 \	Vas the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	() Yes	O No	ju se
6.2.1	If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	() Yes	() No	,
6.2.2	If "No" , has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	Oyes	O No	P

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GOVERNMENT GAZETTE, 15 JUNE 2005

Section 6

Transformation

Management and Employment Equity

6.3.1 Complete the table **below** in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005.

	Director / Member Name (Initial & Surname)	Years of Service	Total Annual Remuneration (Total Cost to Company)	Black (Y/N)	Gender (M/F)	ID Number
1				·		
2						
3						
4						
5						
6						1
7						
8						
9						
10						
11						
12						ę
13						
14						
15						

		6
	Management and Er	Transformation
	Management and Er	
6.3.2 Complete the table below in respect of emp	oloyees at 28 February 2005.	
Between 1 - 5 Employees	The Top Selary Earner(To	al Cost to Company)
Between 6 - 30 Employees	The Top Two Selary Earners(*	fotal Cost To Company)

Daiweer 0 - 50 Employees	the top the case) carries (total cost 10 company)
Between 31 - 60 Employees	The Top 3 Salary Earners(Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 165 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							

GOVERNMENT GAZETTE, 15 JUNE 2005

Section 6 Transformation

Management and Employment Equity

Ŋ)			шацу		anteres	yment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salariesin this Table	Gender (M/F)	ID Number
20							
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
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35						ļ	
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37							
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51							
52							
53							
54							
55							

Section 6

Transformation

Management and Employment Equity

ħ)		Wia	nay	ement and E	ഡ്ലെ	yment Equity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
56	i						
57							
58	· · · · · · · · · · · · · · · · · · ·						
59							
60							
61							
62							
63							
64							
65							
66							
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85							
86			·	۱ ۱	l 		
87							
,8 8							
8 9 90							
90							

						Mana	geme	ent an	d Em	ployn	nent E	qu
	Complete the followers at 28 Fe			ation to i	ncome						Ø	/
	Gross Monthly Income to Company	(Total Cost		nber of ployees		age of Tota ployees		per of Black	` T o	centage of tal Black nployees	Fi Fi	nber (emale
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></r2>											
2	Between R2 500 an	d R5 500			1.							
3	Between R5 501 and	R10 500										
4	Between R10 501 an	d R16 000	1									
5	Between R16 001 an	d R20 000	1				-					
6	Between R20 001 and	d R25 000										
7	Between R25 001 an	d R30 000										
8	Between R30 001 an	d R40 000	-		<u> </u>							
9	Between R40 001 and	d R60 000					1					
10	>R60 000						1					
	Total Does the applicant employees?	t contribu	te towa	ards me		00% I and pe	nsion fo	or its	Ο γ	100% 'es C) No	5
6.4.3 6.5 Co De	Does the applicant	t provide ng table i ur in Octo	any oth n relatio ber 20	ner type on to the 04. If the	dical aid of bene application application	fits for it ant's em ant is no	s emplo ployme	oyees? nt equity	OY OY y profile	res C res C as prov) No vided to t	
6.4.3 6.5 Co De	Does the applicant employees? Does the applicant omplete the followin epartment of Labou	t provide ng table i ur in Octo	any oth n relatio ber 20	ner type on to the 04. If the	dical aid of bene application application	fits for it ant's em ant is no	s emplo ployme	oyees? nt equity	OY OY y profile	res C res C as prov) No vided to t rily com	
6.4.3 6.5 Cc De the	Does the applicant employees? Does the applicant omplete the followin epartment of Labou	t provide ng table i ur in Octo	any oth n relatio ber 20	ner type on to the 04. If the	dical aid of bene application application	fits for it ant's em ant is no	s emplo ployme	oyees? nt equity	OY OY y profile	res C res C as prov) No vided to t rily com	oly
6.4.3 6.5 Cc De the Occ Top 8	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b supational Categories	t provide ng table i ur in Octo pe comple	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene e applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C res C as prov volunta) No vided to t rily comp	oly
6.4.3 6.5 Cc De the Occ Top 8 Senior	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b upational Categories Senior Management / Officials and Managers	t provide ng table i ur in Octo be comple Gender	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene applica applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C res C as prov volunta) No vided to t rily comp	oly otal
6.4.3 6.5 Cc De the Occ Top 8 Senior	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b supational Categories & Senior Management / Officials and Managers ssionally Qualified and ienced specialists and	t provide ng table i ur in Octo be comple Gender Male	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene applica applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C res C as prov volunta) No vided to t rily comp	oly otal
6.4.3 6.5 Cc De the Occ Top 8 Senior	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b supational Categories & Senior Management / Officials and Managers ssionally Qualified and ienced specialists and nid-management / Professionals	t provide ng table i ur in Octo be comple Gender Male Female	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene applica applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C res C as prov volunta) No vided to t rily comp	oly otal
6.4.3 6.5 Cc De the Occ Top 8 Senior Profe exper n Skilled gualit forem	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b supational Categories & Senior Management / Officials and Managers ssionally Qualified and ienced specialists and nid-management / Professionals Technical and academically fed workers, supervisors, en, and experimendents /	t provide ng table i ur in Octo be comple Gender Male Female Male	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene applica applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C as prov volunta w %) No vided to t rily comp	oly otal
6.4.3 6.5 Cc De the Occ Top 8 Senior Profe exper n Skilled qualit	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b upational Categories & Senior Management / Officials and Managers ssionally Qualified and ind-management / Professionals Technical and academicatly fied workers, supervisors, en, and superinterdenta / chinicans and Associate Professionals	t provide ng table i ur in Octo be comple Gender Male Female Female	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene applica applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C as prov volunta w %) No vided to t rily comp	oly otal
6.4.3 6.5 Cc De the Occ Top 8 Senior Profe exper n Skilled qualit forem Tec Seni-sk making //	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b supational Categories & Senior Management / Officials and Managers ssionally Qualified and ienced specialists and nid-management / Professionals Technical and academically fed workers, supervisors, en, and experimendents / childians and Associate Professionals	t provide ng table i ur in Octo be comple Gender Male Female Female Male	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene applica applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C as prov volunta w %) No vided to t rily comp	oly
6.4.3 6.5 Cc De the Occ Top 8 Senior Profe exper 0 Skilled qualit forem Tec Seni-sk making av Fishery Worker	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b supational Categories scienced specialists and hid-management / Professionals Technical and academically field workers, supervisors, en and experimendents / childians and Associate Professionals	t provide ing table i in octo be comple Gender Male Female Male Female Female	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene e applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C as prov volunta w %) No vided to t rily comp	oly
6.4.3 6.5 Cc De the Occ Top 8 Senior Profe exper 0 Skilled qualit forem Tec Seni-sk making av Fishery Worker	Does the applicant employees? Does the applicant omplete the followin epartment of Labou en the table must b upational Categories School Qualified and ienced specialists and Management / Professionals Technical and academically field and discretionary decision drankined and academically find workers, supervisors, en and experimendents / Unicians and Associate Professionals	t provide ing table i in octo be comple Gender Male Female Male Female Male Female Male	any oth n relatio ber 20 eted as	ner type on to the 04. If the at 28 F	dical aid of bene e applica ebruary	fits for it ant's em ant is no 2005.	s emplo ployme t desigr	oyees? nt equity nated or	OY OY g profile did not	res C as prov volunta w %) No vided to t rily comp	oly

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

Section 6 Transformation

Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

	1			<u> </u>		1	-	1	1	To	liste
Occupational Categories	Gender	A No	A%	C No	С%	1 No	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and Managers 2002 (If not designated as at financial year end 2002)	Male										
	Female										
Top and Senior Management / Senior Officiale and	Male										
Managers 2004 (if not designated as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.

۲	X
*	
<i>A+′</i>	, ,,

								Total			
Occupational Categories	Gender	A No	A%	C No	С%	1 No	1%	W No	W %	ACI %	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management / Professionals 2002 (if not designated as at financial year end 2002)	Male										
	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year end 2004)	Female										

Marketing

5

Ownership - Companies O 6.7 Is the applicant a company? O Yes O No 6.7.1 If "Yes", complete the table below in respect of shareholding held by black persons: Image: Complete the table below in respect of shareholding held by black persons Image: Complete the table below in respect of shareholding held by black persons 2001 (as in 2001 application form) Percentage Shareholding held by women based on the flow through principle: Percentage Stareholding Held Percentage Voling Rights Held Percentage of Dividends Women are Entited To 2005 (at date of application form) Percentage Stareholding Held Percentage Voling Rights Held Percentage Voling Rights Held Percentage of Dividends Women are Entited To 2005 (at date of application by Women Percentage Stareholding Held by women based on the flow through principle: Percentage Stareholding Held by or for employees? Percentage Shareholding held by or for employees not listed under question 6.32, through the scheme? Image: Complete the following table in relation to monies paid to shareholders: 2006 (framed year) Starefee Paid to Shoreholder Loone Made to Shareholders Image: Complete the following table and the Shareholders: 2016 (framed year) Starefee Paid to Shoreholder Loone Made to Shareholders: Image: Complete the following table and the Shareholders: 2016 (framed year) Starefee Paid to Shoreholder Loone Mad				Trans	formati
67.1 If "Yes", complete the table below in respect of shareholding held by black persons:			Owners	hip - Compa	nies On
Year Percentage Sharebooking Heat Percentage Voting Rights Heid Percentage of Dividends Back 2001 (as in 2001 appacition form) 2005 (at date of application form) 2005 (at date of application by Women Percentage Shareholding Hold Percentage Of Dividends Women are by Women 2005 (at date of application) 2005 (at date of application) Percentage Shareholding Hold Percentage Of Dividends Women are by Women Percentage Of Dividends Women are by Women 2005 (at date of application) 2004 (for employees? Percentage Shareholding Hold by or for employees not listed under question 6.3.2, through the scheme? O Yes O No 37.5 Complete the following table in relation to monies paid to shareholders: Dividends Paid to Shareholders 2006 (financial year) 2004 (financial year) Dividends Paid to Shareholders 2008 (financial year) 2004 (financial year) O Yes O No 37.7 If "Yes", complete the table below: 2004 Financial Year? O Yes O No	6.7 Is the applicant a company?			⊖Yes ONo	
Test by Back Persons by Back Persons Shareholders are Familed To 2001 (as in 2001 application (orm)	0.7.1 If "Yes", complete the table belo	ow in respect of shareh	holding held by blac	k persons:	X)
2001 (se in 2001 application form) 2005 (at date of application) 2005 (at date of application) 2005 (at date of application) 6.7.2 Complete the following table in relation to shareholding held by women based on the flow through principle: Percentage Strasholding Held Percentage Voting Rights Held Percentage of Dividends Women are Entitled To: 2005 (at date of application) Percentage Voting Rights Held Percentage of Dividends Women are Entitled To: 2005 (at date of application) Percentage Strasholding Held by Or for employees? Percentage shareholding held by Or for employees not listed under question 6.3.2, through the scheme? O Yes O No 3.7.4 If "Yes", what is the percentage shareholding held by Or for employees not listed under question 6.3.2, through the scheme? Dividends Pad to Stareholders 3.7.5 Complete the following table in relation to monies paid to shareholders: Dividends Pad to Stareholders 2005 (transition year) 2004 (transition year) Dividends Pad to Stareholders 2003 (transition year) 2004 (transition year) O Yes O No 3.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? O Yes O No 3.7.7 If "Yes", complete the table below: Image of the 2004 financial Year Pand Amount as a Percentage of the Applicante Todal Turnover i	Year Percentage Sha	eholding Held Percentage			
	2001 (as in 2001 application				
Year Percentage Straigholding Held by Women Percentage Voting Rights Held by Women Percentage of Dividends Women are Entitled To 2005 (at date of application)	2005 (at date of application)				
Year Percentage Stareholding Held by Women Percentage Voting Rights Held by Women Percentage of Dividends Women are Entited To 2005 (at date of application)		relation to shareholdin	g held by women ba	ased on the flow	^
3.7.3 Did the applicant have a share scheme in place in 2004 for employees? 3.7.4 If "Yes", what is the percentage shareholding held by or for employees not listed under question 6.3.2, through the scheme? 3.7.5 Complete the following table in relation to monies paid to shareholders: Year Salaries Paid to Shareholders Year Salaries Paid to Shareholders 2002 (financial year) Dividends Paid to Shareholders 2003 (financial year) Dividends Paid to Shareholders 2004 (financial year) O Yes 2004 financial year? O Yes	Voor Percentage Shar				Women are
employees? 5.7.4 If "Yes", what is the percentage shareholding held by or for employees not listed under question 6.3.2, through the scheme? 5.7.5 Complete the following table in relation to monies paid to shareholders: Year Salaries Paid to Shareholders Year Salaries Paid to Shareholders 2002 (financial year) Loans Made to Shareholders 2003 (financial year) Dividends Paid to Shareholder 2004 (financial year) Complete the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? O Yes O No 5.7.7 If "Yes", complete the table below: Image: Paid to Other Entity during the 2004 Financial Year Rand Amount as a Percentage of the Appleant's Total Turnover in 2004	2005 (at date of application)				<u></u>
Year Salaries Paid to Shareholders Loans Made to Shareholders Dividends Paid to Shareholders 2002 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) 2004 (financial year) 2004 (financial year) 2004 (financial year) 0 Yes 0 No 5.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? 0 Yes 0 No 5.7.7 If "Yes", complete the table below: Image: Complete the table below: Image: Complete the table below: Image: Complete the table below: Function Rand Amount Paid to Other Entity during the 2004 Financial Year Rand Amount as a Percentage of the Applicent's Total Turnover in 2004	employees? 37.4 If "Yes", what is the percentage	shareholding held by	a r for		
2002 (financial year) 2003 (financial year) 2003 (financial year) 2004 (financial year) 2004 (financial year) 2004 (financial year) 207.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? O Yes O No 20.7.7 If "Yes", complete the table below: Image: Complete the table below: Image: Complete the table below: Function Rand Amount Paid to Other Entity during the 2004 Financial Year Pand Amount as a Percentage of the Applicant's Total Turnover in 2004	7.5 Complete the following table in 1	elation to monies paid	to shareholders:		5~
2003 (financial year) 2004 (financial year) 5.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? 5.7.7 If "Yes", complete the table below: Function Rand Amount Paid to Other Entity during the 2004 Financial Year Rand Amount Paid to Other Entity during the 2004 Financial Year	Year Salaries P	aid to Shareholders Loa	ins Made to Shareholders	Dividends Paid to	Shareholders
2004 (financial year) 5.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? O Yes O No 5.7.7 If "Yes", complete the table below: Image: Complete the table below: Image: Complete the table below: Function Fand Amount Paid to Other Entity during the 2004 Financial Year Pand Amount as a Percentage of the Applicant's Total Turnover in 2004					<u></u>
processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year? O Yes O No 5.7.7 If "Yes", complete the table below: If "Yes", complete the table below: Function Rand Amount Paid to Other Entity during the 2004 Financial Year Rand Amount as a Percentage of the Applicant's Total Turnover in 2004					
Function Rand Amount Paid to Other Entity during the 2004 Financial Year Applicant's Total Turnover in 2004	processing or marketing of the 2	004 allocation in this fi		() Yes () No	
2004 Financial Year Applicants Total Turnover in 2004	.7.7 If "Yes", complete the table belo	W:		7	メニ
Management	Function				
	Management				

Year	Percentage Interest Heid by Black Persons	Percentage Voting Rights Held by Black Persons	Percentage Profil Distribution Black Persons are Entitled To
2001 (as in 2001 application form)			
2005 (as at date of application)			

6.8.2 Complete the table below in relation to members interest held by women:

Year	Percentage Interest Held by Women	Percentage Voting Flights Held by Women	Percentage Profit Distribution Women are Emilied To	
2005 (as at date of application)				

6.8.3 Did the applicant have a profit sharing scheme for employees in place in 2004?

6.8.4 If "Yes", what percentage of profit were employees not listed **under** question **6.3.2** entitled to?

6.8.5 Complete the following table in relation to monies paid to members:

Year	Selectes Paid to Members	Loans Made to Members	Profit Distributed to Members
2002 (financial year)			
2003 (financial year)			
2004 (financial year)			

6.8.6 Was the applicant managed by another **entity**, or was the catching processing or marketing performed by another entity during the **2004** financial year?

O Yes O No

O Yes O No

6.8.7 If "Yes", complete the table below:

Func	tion	Rand Amount Paid to Other Entity	Rand Amount as a Percentage of the Applicant's Turnover in 2004
Manage	ment		
Catch	hing:		
Procee	ising and a		
Marke	iting		

454 No 27683

<u></u>				Skills	Developmer
in the Transf in 2001?	licant met the target ormation Plan subm he following table in 98:	itted O Fully	O Somewhat	•	Not at all
Year	Did the applicant submit Workplace Skills Plan which was approved (Y/ N)	Did the applicant submit an Annual Training Report which was approved (Y/N)	Rand Amount Paid to SARS in skills development levies	Percentage Salaries Bill Spent on Training	Percentage of Training Budget Spen on Black Employees
2001 (financial year end)					
2002 (financial year end)					
2003 (financial year end)					
2004 (financial year end)					
employees 2004 (tax y	,	the applicant prep	aredIRP5 certific	ates in	
6.13. programme	eligantugarti gipeteria a	anzobæsskillade	₩₽\$₩₽₽\$Upport S	Strategy? O Y	(es 🔿 No 🛛 🍹
6.14.1 How man	y skippers are used ?	by the			
applicant					
applicant	centage of these sk ?	ippers [
applicant 6.14.2 What per are black 6.15 Does the ap its annual ta for deductio		ons of ongualify O Y	/es () No	rporate Soci	al Investmen

-

 Transformation
Corporate Social Investment

Year	Benefitting Organisation	Pland Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefiting Organisation	Rand Value of Amount Donated
2004 - 1	· · · · · · · · · · · · · · · · · · ·	
2004 - 2		
2004 - 3		
2004 - 4		

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

6.18 Does the applicant make any other donations (in **addition** to those which qualify for deduction in terms of section 18A of the Income Tax Act **58** of **1962**, **but** not including school fees)?

O Yes O No

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

0 - 0.5 %	<i>(</i> ~~
O 0.5 - 1%	and the second s
0 > 1%	

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year Benefitting	Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.21 Does the applicant have a affirmative procurement po		0	Yes 🔘 No			\langle
6.22 Provide the following inform	otion in r	lation to the applican	t's top top South	\ fricor		$\langle X \rangle$
(operational expenditure) in	2004:			-incai		<u> </u>
Name of Supplier		Percentage of Black Ownership of Supplier	Amount Paid to Sup Rands in 2004		Percentage of Expense	
		· 				
		:				
					, 	
					,	
6.23 Has the applicant determin affirmative procurement sper percentage of total procurem	nd as a	1?	1	0	Yes () No	5
6.24 Has the applicant instituted measure affirmative procurer as a percentage of total procu spend in future?	a system nentsper	to		0	Yes () No	
					Se	
		Vessel	, Crew and E	invir	onmental Contrave	
7.1 Has the applicant, during the forfeited a deposit by way of				C) Yes 🔿 No	

			tion 7
Vessel, Crew and Er		iental S htraven	in in state of a
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	() No	Ċ
Crew Safety and V	orking	Condi	tions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	() Yes	() No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Maritime Occupational Safety Regulations?	O Yes	O No	Ś
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	() Yes	O NO	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	() Yes	() No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004?	<i>O</i> Yes	O No	Ś
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993 ?	() Yes	O No	P
7.10 Does the applicant have an HIV/AIDS policy?	() Yes	O No	Q
	Ve	ssel Sa	afety
7.11 Have the nominated vesse l(s) been issued with a valid Local General Safety Certificate?	() Yes	O No	Q
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	() Yes	O No	
Env	vironme	ental Sa	afety
 7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981? 	() Yes		Q
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	OYes	O No	Q

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п				Section 8 Job Creation
8.1 Complete the fellow 8.1.1 Table 1 Employee respect	as in Employees in a of respect of webbit the	Employees in Employees respect of respect of which the	a Total Number of	otal Amount of Percentage
Year scolics prepares Centifics (Land Ba 2001 (date of application)	IRPS propares IRPS les Certificates	oplicant does epplicant do not prepara IRP5 IRP5 Gertificates Conflicate Land Based) (See Base	re (Columns 1- 4)	Salary Bill at per Financial Statements Statements
2002 (financial year and) 2003 (financial year and) 2004 (financial				
year end) 2005 (28 February 2005) 8.1.2 Table 2				
Number of vessels allocates this fahery in 20		Annual Salary Bill (in relation 004 Financial Year End as y 8.1.1	her Table Prove much	does the applicant spend on rager per vessel allocated?
Number of vessels	Total Employees (in relation to this fishery) (28 February 2005)	gw many people does the plicant employ per vesset ellocated?	Total Employees less Seasonal Employees (relation to this fishery) (February 2005)	n seasonal employees) doe
8.1.4 Table 4				<u> </u>
Applicant's five highest ann company) paid between 1 Marc	ual salaries (total cost to n 2004 and 28 February 200	Average of top five said	ries five lowest and t	ple botwaan the avarage of the le avarage of the five highest at 28 February 2005?
		-		
Applicant's five lowest ann company) paid between 1 March	al saleries (btai cost to 2004 and 25 Fabruary 200	Average of lowest five setaries	8	
		-		
		1		

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Section 9 Investment

9.1 Complete the following tables:

9.1.1 Table 1

Year	Fixed Assets (in relation	Percentage Increase or Decrease in Rand Value of Total Read Assess (in relation to this factory)	Based Flood Assets (in relation)	Total Back Value of Harbour and San Based Found Assats (Intravition to this fashery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financia) year end)				

9.1.2 Table 2

9.1.2 Table 2 Year	Insured Value of Total Fixed Assets (in relation	Percentage Increase or Decrease In Rand Value of Total Flood Assota (In relation to this fishery)		Total Insured Value of Harbour and Sat Based Flood Assets (In relation to this tablery)
2002 (financial year and)				
2003 (financial year end)			· · ·	
2004 (financial year end)				

9.1.3 Table 3

3.1.3 Table 3				
Number of vessels allocated to the applicant in this fisheryin 2004	Book Value (in rands) of harbour and see-based assets at 2004 financial year and	Book Value (in rands) of land-based assets at 2004 financial year and	Book Value of Harbour and Sen-Bened Assats ps vessel allocated to someone	Book Value of Land-Based Assets per vassel allocated to applicant
1				
	1	1	1	

9.1.4 Table 4

Number of vessels allocated to the applicant in this fisheryin 2004 insured Value (in rands) harbour and sea-based assets at 2004 financia year and	Institled Value of Harbour and Sea-Benned Assets, per vessel allocated to applicate	Insured Value of Land- Bollad Assets ptr value allocated to epplicant

9.1.5 A detailed explanation of all investment made in marketing activities must *be* included as an annexure.

9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.



Section 9
nvestment

9.1.7 Has the applicant invested in on-board or land based processing facilities? O Yes O No

9.1.8 If yes, please complete the following table:

Number of vessels allocated to the applicant in 2004	Nominal Tons of Applicant's Catch Processed On Board Vessel		Nominal Tons Processed on Behalf of other Right Holders on Land

Section 10

Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing Site Name	Tons Landed at Harbour in 2004 calendar year	Percentage of Total Califit Landed in 2004 calendar year
TOTAL		

10.2 At which harbours and landing sites will the	Harbour / Land	ing Site Names
applicant land its catches?		

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



		Value Add & E	Section 11 Enterprise Development
11.1 Is the applicant directly involved in any value adding process in this fishery?	C Yes		S.
11.2 Has the applicant been responsible for any enterprise development?	C Yes	C No	V
			Section 12 Performance Financial
12.1 What is the applicant's 2004 audited, v certified Annual Turnover?	verified or		

12.2 Indicate the rand value and percentage of total turnover derived from this fishery:

Number of vessels allocated to the Applicant in 2004	Turnover Generated in 2004 financial year by Vessels Allocated	Percentage of Total Turnover

12.3 Complete the following table in relation to ratio performance:

Year	Return on Net Assets (RONA)	Debt: Equity Ratio	Current Ratio	Quick Ratio (Acid Test)
2001				
2002				
2003				
2004				

12.4 Provide the following information in relation to the applicant's income statements:

Year	Turnover	Profit After Tax	Retained Earnings	Dividends Paid / Distribution of Profiles
2901				
2002				
2003				
2004				

Section 12
Performance
Financial

12.5 Provide the following information in relation to the applicant's balance sheet:

Year	Assets	Long-Term Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2901				
2002				
2003				
2004				

12.6 Provide the following information in relation to the applicant's cash flow:

Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflow from Investing Activities	Net Cash Inflow / Outflow from Financing Activities
2001			
2002			
2003			
2004			

Section 13

Fishing Plan

13.1 Does the applicant have a fishing plan?

O Yes O No

By-Catch and Environmentally Sustainable Practices

By-Catch

Section 14

14.1 Indicate the proportion of the applicant's annual catch that is made up of by-catch species:

Year	Annual By-Gatch (in toris)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By Catch, Juvenile Spacies and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003			
2604			

Section 15

Fishing Levies

		Sectl	on 14
By-Catch and Environmentally Su	Istainal	ole Prac	tices
14.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processing facilities?	O Yes	() No	Ś
14.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	() Yes	O no	Q
14.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yes	O no	Q
14.5 Has the applicant put measures in place to reduce damage to sea beds?	() Yes	O No	Ś

15.1Please provide the following inf	ormation in relation to lev	vies paid since 2001:
--------------------------------------	-----------------------------	-----------------------

Year	Species	Total Catch ip Tons	Levies Paid
2001			
2001			
2001			
2001			
2001			
2002			1
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy payment?

Date	
yyyy/mm/dd	

Amount_

	Section 1
l, the left of the left of	Applicant Declaration
(a) I have ∈ the i	Is set out on pages 2 and 3 of ths application form;
(c) I accept that if any info	ormation in this Application is not true or complete, or if false information is provided, or material information is not
license or permit granted	d to the Application being refused, or to the revocation, suspension, cancellation, alteration α reduction of any right, d on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998 ;
possesses or acquires	proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who any information relevant to my application, to disclose or make the information available to the Minister, his delegate
(e) The applicant undertak	rtment of Environmental Attairs and Tourism or the Rights Verification Unit; es to co-operate with any investigators by timeously $submit$ responses to written requests for information or
granting investigators a	ng meetings with investigators, by answering questions satisfactorily at such meetils and where necessary, by iccess to premises, vessels and documents. The applicant accepts that failure to co-operate in this regard will
(f) The applicant accepts th	nt ground for refusing an application; at any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or
quantum or effort, in any refused.	/ manner other than provided for in the General Policy or in this application form, will result in the application being
Signed at:	
This	day of 2005
Signature of Author	orised Representative:
Representative's	Full Name:
The authorised represe	ntative declares that he/she knows and understands the contents and implications of the above
declaration. Commissioner of Oaths	
Full Name	
Designation	
Physical Address	
(a) I have read the instruction	y make oath and declare that: Insist out on pages 2 and 3 of this application form; d with and in this Application is true and correct and complete.
 (c) I accept that if any info disclosed, this may lead license or permit granted. (d) In order to allow for the possesses or acquires a or an official of the Depar (e)	mation in this Application is not true or complete. or if false information is provided, or material Information is not true or complete. or if false information is provided, or material Information is not true or complete. or if false information cancellation, alteration or reduction of any right, don the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate truent of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting uests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right or quantum effort, in any manner other than provided for in <i>the</i> General Policy or ill result in the application being refused.
 (c) I accept that if any info disclosed, this may lead license or permit granted. (d) In order to allow for the possesses or acquires a or an official of the Depar (e)	proper verification is not true or complete. or if false information is provided, or material Information is not to the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, don the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate transit of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right or quantumor effort, in any manner other than provided for in <i>the</i> General Policy or
 (c) I accept that if any infordisclosed, this may lead license or permit granted. (d) In order to allow for the possesses or acquires a or an official of the Departer of the transformation of transformation of the transformation of tran	proper verification is not true or complete. or if false information is provided, or material Information is not to the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, don the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate transit of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right or quantumor effort, in any manner other than provided for in <i>the</i> General Policy or
 (c) I accept that if any infordisclosed, this may lead license or permitigranted or an official of the Departor or an official of the Departor or an official of the Departor of	proper verification is not true or complete. or if false information is provided, or material Information is not to the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, and the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; appoper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate truent of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting uests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right or quantum or effort, in any manner other than provided for in <i>the</i> General Policy or ill result in the application being refused.
 (c) I accept that if any infordisclosed, this may lead license or permitigranted. (d) In order to allow for the possesses or acquires a or an official of the Departer of the Depart	proper verification is not true or complete. or if false information is provided, or material Information is not to the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, to the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate truent of Environmental Affairs and Tourismor the Rights VerificationUnit;(3rd party name) undertakes to co-operate with any investigators by timeously submitting uests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application;(3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right or quantumor effort, in any manner other than provided for in <i>the</i> General Policy or ill result in the application being refused.
 (c) I accept that if any infordisclosed, this may lead license or permit granted (d) In order to allow for the possesses or acquires a or an official of the Departer of a such meetings and what failure to co-operate in this (f)	ormation in this Application is not true or complete. or if false information is provided, or material Information is not the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, to the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; or proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate truent of Environmental Affairs and Tourismor the Rights VerificationUnit;
 (c) I accept that if any infordisclosed, this may lead license or permitigranted (d) In order to allow for the possesses or acquires a or an official of the Departed at such meetings and what failure to co-operate in this (f) authority or appellant authing this application form, with the superimeter of the superime	ormation in this Application is not true or complete. or if false information is provided, or material Informationis not do the Application being refused, or to the revocation, suspension. cancellation, alteration or reduction of any right, don the strength of this Application, in terms of Section28 of the Marine Living ResourcesAct 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate transmost of information or explanations, by attending meetings with investigators, by answering questions satisfactorily here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that is regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right <i>a</i> quantumor effort, in any manner other than provided for in <i>the</i> General Policy or ill result in the application being refused.
 (c) I accept that if any infordisclosed, this may lead license or permitigranted (d) In order to allow for the possesses or acquires a or an official of the Departed at such meetings and what failure to co-operate in this (f) authority or appellant authing this application form, with the supplication form, with the support of Author Representative's I The authorised representation. 	ormation in this Application is not true or complete. or if false information is provided, or material Information is not the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, to the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; or proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate truent of Environmental Affairs and Tourismor the Rights VerificationUnit;
 (c) I accept that if any infordisclosed, this may lead license or permit granted. (d) In order to allow for the possesses or acquires a or an official of the Departer of at such meetings and with failure to co-operate in the failure to co-operate in the authority or appellant authority or appellant authority in this application form, with the supplication form, with the supplication form of the support of the su	Tration in this Application is not true or complete. or if false information is provided, or material Information is not do the Application being refused, or to the revocation, suspension cancellation, alteration or reduction of any right, don the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; proper verification of information submitted I hereby authorise any institution, organ of state, person or <i>body</i> , who any information relevant to the application, to disclose or make the information available to the Minister, his delegate truent of Environmental Affairs and Tourism or the Rights Verification Unit; (3rd party name) undertakes to co-operate with any investigators by timeously submitting uests for information or explanations, by attending meetings with investigators, by answeringquestions satisfactorily here necessary, by granting investigators access to premises, vessels and documents. The applicant accepts that se regard will constitute an independent ground for refusing an application; (3rd party name) accepts that any attempt to influence the decision of the delegated hority on the allocation of a right or quantum or effort, in any manner other than provided for in <i>the</i> General Policy or ill result in the application being refused. Full Name:
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(b) the information submitte		ue and correct and complete.	tion is provided, or material Information is not
disclosed, this may lead license or permit granted	d to the Application being refuse I on the strength of this Applicati	ed, or to the revocation, suspension, c on, in terms of Section 28 of the Marine	ancellation, alteration or reduction of any right, Living Resources Act 18 of 1998 ;
possesses or acquires a	any information relevant to my a	application. to disclose or make the inf	stitution, organ of state, person or body, who formationavailable to the Minister, his delegate
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at such meetings and wh failure to co-operate in this	here necessary, by granting inv s regard will constitute an indep	estigators access to premises, vesse endent ground for refusing an applicati	is and documents. The applicant accepts that on;
(f)	(3rd	party name) accepts that any attempt	pt to influence the decision of the delegated there in the the provided for m the General Policy or
m this application form, wi	Il result in the application being r	efused.	
, -	•	veof Sister Company (if a	pplicable)
} -			
This	day of	2005	
Signature of Author	orised Representativ	'e:	· · · · · · · · · · · · · · · · · · ·
Representative's I	Full Name:	<u>\</u>	
The authorised represended at the declaration.	ntative declares that he/s	he knows and understands the	contents and implications of the above
Commissioner of Oaths			
Full Name			
Designation			
Physical Address			
	y make oath and declare that: ns set out on pages 2 and 3 of	his application form:	IV Partner Declaration
(b) the informationsubmitte	d with and in this Application is t	rue and correct and complete.	tion is provided, or material information is not
disclosed, this may lead	d to the Application being refuse	ed, or to the revocation, suspension, c on, in terms of Section 28 of the Marine	ancellation, alteration or reduction of any right,
 (d) In order to allow for the possesses or acquires a 	proper verification of information of information relevant to the	on submitted I hereby authorise any ir application, to discbse or make the int	nstitution, organ of state, person or body, who formation available to the Minster, his delegate
or an official of the Depai		and Tourism or the Rights Verification L	Jnit; with any investigatorsby timeously submitting
responses to written req at such meetings and wr	uests for information or explanation or explanation or explanation of the provident of the	tions, by attending meetings with inve	stigators, by answering questions satisfactorily els and documents. The applicant accepts that
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Representative's			
The authorised represended at the declaration.	stative declares that he/s	he knows and understands the	contents and implications of the above
Commissioner of Daths			
Full Name			
Designation			
Physical Address			

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section **1.3**: Provide a copy of a valid company or close corporation registration certificate as Annexure **1B**.
- c) Section **1.8.6**: Provide a copy of a resolution duly authorising the person named in Section **1.8.6** to be the authorised contact person, as Annexure **1C**.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 2.2: Provide a copy of the letter granting a right as Annexure 28.
- c) Section 2.3: If the medium term right is currently held by an entity different from the one listed in Section 2.2, provide a detailed explanation as Annexure 2C.
- d) Section **2.5**: Provide a copy of a valid SARS **tax** clearance certificate as Annexure **2D**.
- e) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- **9** Section **2.8**: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure **2G**.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 38.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and idention of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section **3.1.4:** If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure **3D**.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 46;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

Not applicable

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Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 or the applicant and the other entities separately, and submit these as Annexure 66.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5,6.6.1 and 6.6.2: If the applicant completed Sections 6.5,6.6.1 and 6.6.2with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5**: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, **as** well as whether the member is Black, as Annexure **6M**.
- n) Section **6.8.7:** If the applicant completed any part of the table in question **6.8.7**, the applicant must provide details regarding the entity, the **relationship** between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure **60**.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- V) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- (W) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- K) Section 6.23: If "Yes," Explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7A.
- b) Section 7.2: If Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If 'Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed **as** Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
- h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
- i) Section 7.1 1: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

- a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure **9C**.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation **d** all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11 1: If "Ves." provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A: The applicant's auditors will be required to confirm is responses to all questions in Section 12.
- b) Section 12.2: If the applicant completed the table in Section 12.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 12.1 for both the applicant and the other entity(ies) separately and submit these as Annexure 126

Schedule 13 - Fishing Plan

- a) Section 13: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted (inshore or offshore fishing activities or both);
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e), detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate),

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of measures put in place to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14D.
- e) Section 14.5: Provide a detailed explanation of measures put in place to reduce damage to sea beds as Annexure 14E.

No. 27683 471

FOR OFFICIAL USE ONLY: DO NOT TEAR OFF
RECEIPT
Received by:
Date of Receipt: 14 July 2005 or 15 July 2005
Time of Receipt:
Application Number:
DepartmentalStamp:
Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.

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	and the second second	RECEIPT - FOR APPLICANT TO RETAIN
Received by:		
Date of Receipt:	14 July 2005 or	15 July200 5
Time of Receipt:	h	
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Disclaimer: The recei		pes not imply an acknowledgement of the completeness of he correctness of its content.

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APPLIC	ATIONNUMBER:
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DEPARTMENT OF	ENVIRONMENTAL AFFAIRS AND TOURISM
BRANC	CH: MARINE AND COASTAL MANAGEMENT
	atagonian Toothfish
Long T	erm Rights Allocation Process: 2005
	Cluster A
Applica	tion Form For: Experimental Permit Holders
Registered Name of Applicant	
Company Registration Number or	
Close Corporation Registration Number	
Principal Place of Business	
Number of Vessels Nominated	

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	IMPORTANT INFORMATION
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GENERAL	
form and	cation form must be read together with these Instructions, the Explanatory Notes, the Schedules to the application the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, accurate or www.saica.co.za).
Managem	mpleting the application form, applicants are advised to read carefully the General Policy on the Allocation and nent of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <u>-deat.gov.za</u> . The policies guide the Minister or his delegate when considering the application.
ciustera@ and will b	e applicant have any queries regarding the completion of the application form, it must e-mail the Department at deat.gov.za Every query and response will be collated and circulated by e-mail to all the applicants in the sector e made available on <u>www.mcm-deat.gov.za</u> . Should the applicant require technical assistance With the application vare, it must contact the Rights Verification Unit in one of the following ways:
Tel: (021)	670-3669 Fax: (021) 670-1782 E-mail: RVU@deloitte.co.za
Departme	s or their representatives may not communicate with the Minister, the delegated authority, or officials in the nt regarding their applications in any other manner. Moreover, no reliance may be placed on any information given ad in any other manner.
ICONS	
4) Applicants	must pay attention to the icons in the application form in order to determine whether:
	nation provided will be treated as confidential;
	by docurnentation or information required in response to a question in the form of an annexure;
 the applica 	ant's auditors need to verify a response; and
 there are d 	consequences of not answering a question.
5) The applica	ationform makes use of the following icons:
Ŵ	The books icon means that the information provided Will be treated as confidential.
Ŷ	The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.
P	The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.
•	The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.
COMPLETIO	N OF APPLICATION FORM
	ant must complete all fifteen sections of the application form. The applicant must respond in the spaces provided in ation form. Information may not be submitted by way of annexures except where applicants are specifically allowed
these CDs the applica	ation form must be completed electronically, and saved onto the two blank CDs provided on registration. One of must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to ation form do not need to be scanned and saved on to the CDs. The Department may request additional electronic ne application at a later stage.
	s tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may the application in any other form. If the applicant submits its application in any other form, the application will be
representa	ation must also be printed out and the declaration must be signed and attested by the Applicants authorised tive, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint there(s). If the declaration is not signed and attested, the application will be refused.
files with a information	d version of the electronic application, duly signed and attested, must be be punched and placed into lever arch a divider before each annexure. The annexures must be numbered according to the schedules. Confidential requested under questions marked by the books icon should be placed in a sealedA4 envelope marked with the number, punched and placed in the lever arch file after the annexures.

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	IMPORTANT INFORMATION
	INSTRUCTIO
manner as th	y of the printed version of the application must be made. This copy must be punched and divided in the same original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be to original. Any discrepancy may invalidate the application.
LODGEMENTOF	APPLICATION
12) The CD cont be hand deli	taining the application, the original printed version (signed and attested), and a copy of the printed version m vered to the place, on the dates and times stated below:
Place: Good	Hope Centre
Dates and Ti	imes: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00
13) The application above dates	on may not be submitted by post or by fax. An application not submitted by hand within the above times, on a the above address will be refused.
IMPROPER LODO	SEMENT
14) Improperly loc	lged applications will be refused.
An application	nis improperly lodged if:
- it is received	late;
- the applicant	makes no payment, or short payment, or late payment of the application fee;
- the application	on is lodged in a manner contrary to the instructions.
MATERIALDEFE	стѕ
15) Applications th	nat are materially defective will be refused.
An applicatior	n is materially defective if:
- the decla represen	aration is not signed and attested by the Applicant's authorised representative, and, if applicable, the authorise tative(s) of the holding company. sister company(ies) and the ioint venture partner(s).
- the audito	pr's report is not submitted or signed;
	n one application is received for a right in the same sector;
informati	cant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides fals on or documents, fails to disclose material information or attempts to influence the Minister or the delegate other than in the manner provided for in the General Policy and in this application form.
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STAATSKOERANT. 15 JUNIE 2005

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	Section 1
	Applicant Details
1. The applicant must supply a profile of fishing operations, as Schedule 1A	of its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	r
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
1.7 Have the applicant's details (1.8.1 · applicant registered?	- 1.8.7d) changed since the O Yes O No
1.8 If "Yes", complete only those detail	s that have changed:
1.8.1 Principal Place of Nu	Imber and Street
Business:	Suburb:
	Town / City:
	Postal Code:
1.8.2 Postal Address: Number and	Street / PO Box
	Suburb]
	Town / City:
	PostalCode:
	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	
1.8.7 Authorised Contact Person Surname:	
a) Identity Number: b) Position Held/ Relationship to Applic	cant
c) Cellular Number:	

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							Section 2
	es the applicant currently ho perimental permit in this sect		<i>O</i> Yes	○ No	Ś	Form o	f Applicant
	Yes", to which entity or perso		perimenta	al permit allo	cated in 2004	?	
Ŷ	Trust [Individual	NameNameNameName					
2.3 In v	vhat form of entity is the expe	erimental pern	nit current	tly held?	3		
	Company [Close Corporation [Trust [Individual [NameNameNameName					
	ne applicant more than 50% s can owned?	South	() Yes	<i>O</i> No	1		
	es the applicant have a valid t rance certificate?	ax	() Yes	O No	and the second second	C C	
2.6 Cor	nplete the following table in re	elation to asse	et value ar	nd turnover:			<i>,</i>
200	Year 4 (Financial Year End)	Ти	i'nover		Gross Asset	Value (excludi	ing fixed property)
any	es the applicant hold any sha other company that is applyi dium term right holder in this	ng as a	() Yes	O No	Ø		
any	es the applicant hold any sha company that is applying as ant in this sector?		() Yes	() No	Q		
							Section 3
3.1 Has dire	s the applicant, or any c ectors:	of its membe	ers, shar	eholders o	r	Ci	ompliance
3.1.1	been convicted of a cont regulations, or permit con period?				right O Ye	s () No	
3.1.2	entered into a plea barga 51 of 1977, for a contrave or permit conditions during	ention of the N	/ILRA, or t	the regulation		s () No	♦
3.1.3	paid an admission of guilt the regulations, or the perr right period?					s () No	الي ♦

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			San shire an							Contina 2
	•			n					Co	ompliance
	3.1.4	been charged regulations or right period?						() Ye	es () No	\mathbf{A}
3.2	of the been prese	a fishing vessel applicant, or a detained, arres erved, confisca nised Crime Ac d?	any of its sted or so ited or	sharehol eized und forfeited	ders, me ler the M under	embers or d ILRA or res the Prever	lirectors strained, ntion of	() Ye	s () No	♦ ♥
3.3	reduc	the applicant's r ced or altered um term right pe	under s					() Ye	s () No	♦ ♥
										Contian 4
							1000		Vess	sel Details
+ N 	00011	nany vessels ha atedfor use in th								
		he relevant deta	-		ow regar	ding each v	essel nomi	inated:		
						ssel 1				-
Vess	el name								· · · · · · · · · · · · · · · · · · ·	
	A Area Ni									
	SA Numb	er w according to SAM	SA							
Safel	ly Certific	ate								
		sel as indicated by So cate of Registry	uth							
Natu	re of Acc	ess to Vessel								
	el fitted w	/ith a functioning VMS								
		of VMS System								
		in metres according Certificate	to							
Vess		oower as per SAMSA								
$\overline{\langle}$										
\succ	<u>*</u>				Ve	ssel 2				
Vess	el name		1		•0.					
MCM	Area Nu	mber								
	sa Numb									
	er of cre / Certifica	w according to SAMS ite	A							
Owne Africa	n of Vess n Certific	el as indicated by So ate of Registry	uth							
		es to Vessel								
	al fitted w m (Y/N)	Ith a functioning VMS								
200000000000000000000000000000000000000		VMS System								
Vesse	A Length	in metres according t Certificate	0							
Vesse	A Horsep	ower as per SAMSA								
Salety	Certifica	19								

Section 4 Vessel Details

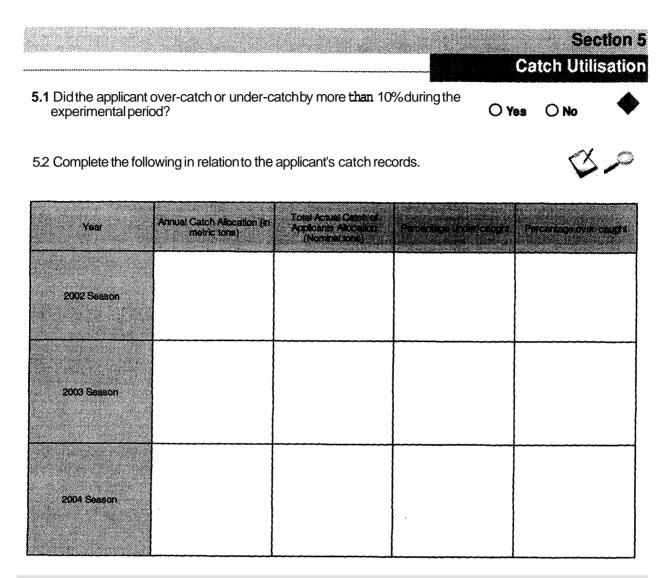
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C S	
	Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	



	Vessei 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	
A	

	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel litted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Centilicate	
Vessel Horsepower as per SAMSA Safety Certificate	



		Time	Se ransforr	ction 6 mation
	Management and	Emplo	yment	Equity
6.1 Was the applicant a designated employer as defined the Employment Equity Act, 55 of 1998 as at 28 Feb.		() Yes	() No	P
6.2.1 If 'Yes", has the applicant complied with the Emotion of 1998?	ployment Equity Act, 55	() Yes	O NO	P
6.2.2 If " No ", has the applicant voluntarily complied wi Equity Act, 55 of 1998 ?	h the Employment	() Yes	O No	P

				Section (Transformation
6 0 4 0				mployment Equity
	he table below in respect r members (if a close corp			fa 📸 🎸 🔑
Director / Membe & Surra	r Name (initial Years of Sorvice	Fotal Annual Remuneration (Total Cost to Campliny)	Desck Gender (Y/N) (M/F)	ID Number
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
13				
14				
15				

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							Section 6 ransformation			
	Management and Employment Equity									
6.	6.3.2 Complete the table below in respect of employees at 28 February 2005.									
	Between 1 - 1 Between 31 - 1 Between 61 - 1 More than 18	0 Employees 30 Employees 65 Employees		The I The The	ne Top Salary Eamers op Two Salary Eamers Top & Salary Eamers Top & Salary (Totel C % of Salary Eamers (Empl	e (Total Cos (Total Cos ost to Con Total Cost	st To Company) Lito Company; noany) Epimans			
	Employee Name	Organis ational Title	Annual Salary (Total Coarso Company)	Black (Y/N)	Solary as a Percentage of Total Salaries in this Table	Gender (MF)	ED Number			
1										
3										
4										
5						 				
6										
8										
9	<u> </u>	l			· 	L				
10										
11										
12										
13										
15										
16										
17										
18										
19										

6	Section 6 Transformation Management and Employment Equity							
	Employee Name	Organisational Title	Annual Selary (Total Cost to Company)	Black (V/N)	Selery as a Percentage of Total Salaries in this Table	Gender (M/F)	10 Number	
20				1				
21			<u> </u>	+		+		
22			<u> </u>	{	<u> </u>	+		
23			<u> </u>	<u> </u>	[+	+	
24			<u> </u>	1				
25			 	1		1	†	
26				1		1		
27						1		
28						1		
29								
30								
31								
32								
33	·							
34								
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36						L		
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38	·							
39								
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41								
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43					l			
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45						ļ		
46 47	· · · · · · · · · · · · · · · · · · ·							
47								
48 49								
49 50								
51								
52								
53								
54								
54 55								
130				1				

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							Section 6 ransformation
ľ	â		Ma	inag	ement and E	Implo	yment Equity
	Employee Name	Organisational Titlé	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	10 Number
5	6						
5	7						
5							
5	9						
6							
6		<u> </u>	 	┟───		ļ	
6		<u> </u>		 			
6				<u> </u>		<u> </u>	
64	+			 			
6		· · · · · · · · · · · · · · · · · · ·		 		}	
60	+			 			<u> </u>
67			·	 	<u> </u>	 	
68 69				<u> </u>		<u> </u>	
7(+	<u> </u>					
71	+			<u> </u>		<u> </u>	
72	<u> </u>			<u> </u>			
73	+ 	<u> </u>					
74			 		<u> </u>		
75					<u> </u>		<u> </u>
76					<u> </u>		I
77							
78							
79							
80					·····		
81							
82							
83							
84							
85							
86							
87							
88							
89 90							
90		<u>†</u>	l				

е —	employees at 28 February 20	005:				
	Gross Monthly Income (Total Cost to Company)	Number of Employees	Percentage of Total Employees	Number of Black Employees	Percentage of Total Black Employees	Number o Female Employee
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td></r2>					
?	Between R2 500 and R5 500					
3	Between R5 501 and R10 500					
	Between R10 501 and R16 000					
,	Between R16 001 and R20 000					
8	Between R20 001 and R25 000					
•	Between R25 001 and R30 000					
3	Between R30 001 and R40 000					
•	Between R40 001 and R60 000					
	>R60 000					
0			100%		100%	<u> </u>
10	Total		1007a		10070	1

Occupational Categories	Gender	A No	A %	C No	С%	I No	1%	W No	W %	AGI %	F%
Top & Senior Management /	Male										
Senior Officials and Managers	Female										
Professionally Qualified and experienced specialists and	Male										
mid-management / Professionals	Female										
Skilled Technical and academically qualified workers, supervisors, foreman, and superintendents /	Male										
Technicians and Associate Professionals	Female										
Semi-skilled and discretionary decision- making and unskilled and undefined decision- making / Clorks, Service & Sales Workers,	Male										
Flahery Workers, Artisans & Belated Trade Workers, Plant & Machine Operators & Assemblors, Elementary Occupations	Female										
TOTAL	Male										
e se i d'ile	Female										

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

5.6.1 Complete the tab Managers only.	les belov	w in rela	ition to e	empl⊂ ∽ y⊓	n <i>c</i>	.њ. r	-	-	nploy Officials	ment	Equi 2)
	1						r			To	otai
Occupational Categories	Gender	A No	A%	C No	С%	i No	1%	W No	W %	ACI %	F%
Fop and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
op and Senior Management / Senior Officials and	Male										
Managers 2002 (If not designated as at financial year end 2002)	Female										
op and Senior Management / Senior Officials and	Male										
Managers 2004 (il not designated as at financial year end 2004)	Female										

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6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.

	7				_	<u>.</u>	<u></u>	,		Τc	otal
Occupational Categories	Gender	A No	A.%	C No	С%	1 No	1%	W No	W %	ACI %	F9
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Prolessionals 2004 (if not designated as at financial year end 2004)	Female										

Marketing

	Socilar (
	Section 6 Transformation
	hership - Companies Only
6.7 Is the applicant a company?	
6.7.1 If "Yes", complete the table below in respect of shareholding held by	
Year Percentage Shareholding Held Percentage Voting Rights Held by Black Persons by Black Persons	Percentage of Dividends Black Shareholders are Entitled To
2001 (as in 2001 application form)	
2005 (at date of application)	
6.7.2 Complete the following table in relation to shareholding held by wome through principle:	en based on the flow
Year Percentage Shareholding Held Percentage Voting Rights Held by Women	Percentage of Dividends Women are Entitled To
2005 (at date of application)	
6.7.3 Did the applicant have a share scheme in place in 2004 for employees?	
6.7.4 If Yes", what is the percentage shareholding held by or for employees not listed under question 6.3.2 , through the scheme?	
6.7.5 Complete the following table in relation to monies paid to shareholde	rs:
Year Salariae Phic to Shareholders Loans Made to Shareh	olders Dividends Paid to Shareholders
2002 (financial year) 2003 (financial year)	
2004 (financial year)	
6.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performe by another entity during the 2004 financial year?	ed O Yes O No
6.7.7 If "Yes", complete the table below:	$\heartsuit \sim$
Function Rand Amount Paid to Other Entity during the 2004 Financial Year	Hand Amount as a Percentage of the Applicant's Total Turnover in 2004
Management	
Catching	
Processing	

		Section 6
		Transformation
-	Ownership - Cl	ose Corporations Only
6.8 ls the applicant a close corporation?	O Yes O NO	e X
6.8.1 If "Yes", complete the table below in	respect of members interest held b	y black persons:
Year Percentage Interest I Black Persons		Percentage Profit Distribution Black Persons are Entitled To
2001 (as in 2001 application form)		
2005 (as at date of application)	· .	
6.8.2 Complete the table below in relation to	o members interest held by womer	n: 🎤
Year Percentage Interest H Women	leid by Percentage Voting Rights Held by Women	Percentage Profit Distribution Women are Entitled To
2005 (as at date of application)		
6.8.3 Did the applicant have a profit sharing in 2004?	scheme for employees in place	0 Yes 0 No 🗸 🔎
6.8.4 If "Yes", what percentage of profit we	re employees not listed	
under question 6.3.2 entitled to?		
6.8.5 Complete the following table in relation	n to monies paid to members:	0.0
Year Salaries Paid to I	Members Leans Made to Members	Profit Distributed to Members
2002 (financial year)		
2003 (financial year) 2004 (financial year)		
6.8.6 Was the applicant managed by anothe processing or marketing performed by financial year?		○ Yes ○ No
6.8.7 If "Yes", complete the table below:		p
Function	and Amount Paid to Other Entity	land Amount as a Parcentage of the Applicant's Turnover in 2004
Management		
Catching		
Processing		

Marketing

			ction 6
		Transforr Skills Develo	
 6.9 Has the applicant met the targets set in the Transformation Plan submitted O Fully O Son in 2001? 6.10 Complete the following table in relation to compliance with the following table in table in the following table in table in	newhat/Partially	O Not at all ent Levies	Q D
Act 9 of 1998:	Pointin	Permania	
Year Workplace Skills Plan stamit an Annual SARS in which was approved (W Training Report which developme N) was approved (VN)	skills Pill Spent on 1	Barries Training Rude	pet Spent
2001 (financiel year end)			
2002 (financial year and)			
2003 (financial year end)			
2004 (financial year and)			
6.11 Did the applicant participate in a learnership programme dur	ing the medium	()Yes ()No	
 term rights period? 6.12 If "Yes," indicate the number of learnerships as a percentag employees in respect of whom the applicant prepared IRP5 2004 (tax year end). 			
6.13. Did the applicant participate in any other skills development programmes through its SETA in 2004 such as an SME Su		O Yes O No	Q
6.14.1 How many skippers are used by the applicant?			
6.14.2 What percentageof these skippers are black?			
6.15 Does the applicant make donations of	Corporate S	Social Invest	ment
or the applicant make donations of Organ O			Q
6.16 If 'Yes", indicate what percentage of net profit earned in the	○ 0 - 0.5 %	+	<u>(</u> X)
2004 financial year these donations amount to:	O 0.5 - 1 %		
	O > 1 %		

	Section 6
	Transformation
۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ - ۲۰۰۲ ۲۰۰۲ -	Corporate Social Investment

Year	Benefiting Organisation	Fiend Value of Amount Donated
2001 1		
2001 - 2		
2001 - 3		
2001-4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2062 - 1		
2002-2		
2002 - 3		
2002 - 4		
2002 - 5		
Yeer	Benefitting Organisation	Rand Value of Amount Doneted
2003 - 1		
20032		
2005 - 3		
2009 - 4		
2003 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
. 2004 - 1		
2004 - 2		
2004 - 3		
20044		
2004 - 5		

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

- 6.18 Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not including school fees)?
- 6.19 If "Yes" indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

0 • • 0.5 %	
00.5 - 1%	
0 > 1%	

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year Benefitting Organisation	Fland Value of Amount Donated
2004-1	
2004-2	
2004-3	
2004 - 4	
2004+5	

O Yes O No

			Transf	Section 6 ormation
6.21 Does the applicant have a written affirmative procurement policy?	C	Affin Yes () No	mative Proc	urement
6.22 Provide the following information in (operational expenditure) in 2004 :	relation to the applicar	nt's top ten South A	frican suppliers	Ø.
Name of Suppler	Percentage of Black Ownership of Supplier	Amount Paldito Suppl Rands in 2004	er in Percentag Exter	
6.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	1?	0	Yes () No	Ø
6.24 Has the applicant instituteda system measure its affirmative procuremen spend as a percentage of total procurement spend?		0	Yes O.No	
	Vessel,	Crew and En		
7.1 Has the applicant, during the medium t forfeited a deposit by way of penalty un Shipping Act, 57 of 1951?				<u> </u>
7.2 Has the applicant, during the medium to forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the Ma		O Yes O No	Q

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	Vessel, Crew and En		Sect ental S itravent	afety
	edium term rights period, been convicted, or enalty for contravening the Crew 961?	() Yes	O No	Ø
	Crew Safety and W	orking	Condi	tions
7.4 Has the applicant ever reported Maritime Occupational Safety F	dan accident in terms of section 6 of the Regulations?	<i>O</i> Yes	O NO	Q
	een appointed for each nominated vessel in ime Occupational Safety Regulations?	⊖ Yes	() No	$\langle \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \!$
	pard each nominated vessel completed the ng required by the Merchant Shipping Act, 57 of	() Yes	() NO	Q
	ross tonnage or more, has the vessel been e Manning Document required in terms of the ng) Regulations?	() Yes	() No	Q
7.8 Has the applicant completed a formation Notice no 26 of 2004?	ormal safety assessment in terms of Marine	() Yes	O No	Q
	th the Commissioner in terms of the Il Injuries and Diseases Act 130 of 1 993 ?	() Yes	O No	2
7.10 Does the applicant have an H	IV/AIDS policy?	() Yes	O No	V
		Ve	essel S	afety
7.1 1 Have the nominated vessel(s) Safety Certificate?	been issued with a valid Local General	() Yes	O No	\heartsuit
	osed on the nominated vessel(s) by its Local g. No more than 200 nautical miles off the	() Yes	() No	
	En	vironm	ental S	afety
	nedium term right period, been convicted or ning any part of the Marine Pollution ships) Act 2 of 1986 or Section 30 of the Marine bility) Act 6 of 1981?	O Yes	() No	Ø
vessel as stipulated in terms of	rbage Management Plan for each nominated of Annex V of Marpol, as set out in the on (Prevention of Pollution from Ships) Act 2 of	() Yes	O No	Q

Complete .1 Table 1	the following	tables in rela	tion to job crea	ation.		Jo	b Creat
Year	Employees in respect of which the applicant prepares IRP5 Centificates (Land Based)	Employees in respect of which the applicant prepares IRPS Centificates (Sea Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (Land Based)	Employees in respect of which the applicant does not prepare IRP5 Certificates (See Based)	Total Number of Employees (Columns 1-4)	Total Amount of Salary Bill as per Financial Statementa	Percentage increase o decrease in total number employees
001 (date of application)							
02 (financial year end)							
03 (financial year end)							
04 (financial year end)							
2005 (28 Xuary 2005)							
2 Table 2	Catch Allocation(in tops) to To	ital Annual Selary I	Sill (in relation to th	is		
the applicant	t in this fishery in 2	1004 fisher	y) 2004 Financial 8.1	Year End as per T	ishia muwittu	ch does the applic es/wages per ton	ant spend on allocated?
3 Table 3						·····	
licant's Annual cation (in tons)	in this relation	Employees (in to this fishery)	How many peop applicant emplo	by per ton Se	Fotal Employees le asonal Employees alog to this fishery	i (in seasonal ei	molovees) doe
licant's Annual cation (in tons) fishery in 200	in this relation	Employees (in to this fishery) ebruary 2005)	How many peop applicant empli allocate	by per ton Se	Total Employees le asonal Employees ation to this fishery February 2005)	(in seasonal er (28 the applica	molovees) doe
licant's Annual cation (in tons)	in this relation	n to this fisherv)	applicant empli	by per ton Se	asonal Employees ation to this fishery	(in seasonal er (28 the applica	mployees) dee ant employ per
licant's Annual cation (in tons) fishery in 200	in this relation	n to this fisherv)	applicant empli	by per ton Se	asonal Employees ation to this fishery	(in seasonal er (28 the applica	mployees) dee ant employ per
licant's Annua cation (in tons) fishery in 200 4 Table 4	hin this relation (28 F	n to this fishery) obruary 2005)	applicant empli allocate	oy per torn rela	asonal Employees alon to this fishery February 2005) What was the m	(in seasonal er (28 the applic) ton a	inployees) dee ant employ per llocated?
licant's Annua cation (in tons) fishery in 200 4 Table 4	i in this relation 4 (28 F	n to this fishery) obruary 2005)	applicant empli allocate	by per ton Se	Assonal Employees alon to this fishery February 2005) What was the m five lowest and	(in seasonal er (28 the applic) ton a	ant amploy par ilocated?
licant's Annua cation (in tons) fishery in 200 4 Table 4	hin this relation (28 F	n to this fishery) obruary 2005)	applicant empli allocate	oy per torn rela	Assonal Employees alon to this fishery February 2005) What was the m five lowest and	(in seasonal er (28 the applic) ton a ultiple between the the average of the	inployees) dee ant employ per ilocated?
licant's Annua cation (in tons) fishery in 200 4 Table 4	hin this relation (28 F	n to this fishery) obruary 2005)	applicant empli allocate	oy per torn rela	Assonal Employees alon to this fishery February 2005) What was the m five lowest and	(in seasonal er (28 the applic) ton a ultiple between the the average of the	inployees) dee ant employ per ilocated?
licant's Annua cation (in tons) fishery in 200 Table 4	hin this relation (28 F	n to this fishery) obruary 2005)	applicant empli allocate	oy per torn rela	Assonal Employees alon to this fishery February 2005) What was the m five lowest and	(in seasonal er (28 the applic) ton a ultiple between the the average of the	inployees) dee ant employ per ilocated? ilocated? ilocated?
licant's Annua cation (in tons) fishery in 200 Table 4	hin this relation (28 F	n to this fishery) obruary 2005)	applicant empli allocate	oy per torn rela	Assonal Employees alon to this fishery February 2005) What was the m five lowest and	(in seasonal er (28 the applic) ton a ultiple between the the average of the	inployees) dee ant employ per ilocated? ilocated? average of th e five highest
Icant's Annua cation (in tons) fishery in 200 Table 4 pplicant's five	highest annual sa een 1 March 2004	h to this fishery) eoruary 2005)	applicant empli allocate	oy per torn rela	Assonal Employees alon to this fishery February 2005) What was the m five lowest and	(in seasonal er (28 the applic) ton a ultiple between the the average of the	inployees) dee ant employ per ilocated? ilocated? average of th e five highest
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Section 9 Investment

9.1 Complete the following tables:

9.1.1 Table 1

Year	Book Value of Total Fixed Assets (in relation to this fishery)	Percentage Increase or Decrease In Rand Value of Total Fixed Assets (in relation to this fishery)	Total Book Value of Total Land Based Fixed Assets (in relation to this fishery)	Total Book Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

9.1.2 Table 2

Year	Insured Value of Total Fixed Assets (in relation to this fishery)	Percentage Increase or Decrease In Rand Value of Total Fixed Assets (in relation to this fishery)	Total Insured Value of Total Land Based Fixed Assets (in relation to this fishery)	Total Insured Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

9.1.3 Table 3

Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and sea-based assets at 2004 financial year end	Book Value (in rands) of land-based assets at 2004 financial year end	Book Value of Harbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant	

9.1.4 Table 4

Annual Catch Allocation (in tons) to the applicant in 2004	second preside of a like the relief of a second processing of the second process of the second proces of the second process of the second proces of the se	land-based assets at 2004	Insured Value of Harbour and See-Based Assats per ton allocated to applicant	Based Assets per ton

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.

9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.

Section 10

Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing Sile Name	Tons Landed at Harbour in 2004 calendar year	Percentage of Total Catch Landed in 2004 calendar year
		1
TOTAL		

10.2 At which harbours and landing sites will the applicant land its catches?	Harbour / Lang	ling Site Names

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O NO



	Section 11
un	Performance
	Financial
11.1 What is the applicant's 2004 audited, verified or certified Annual Turnover?	

11.2 Indicate the rand value and percentage of total turnover derived from this fishery:

Annual Catch Allocation(in tons) to the Applicant in 2004	Turnovar Generated in 2004 financial year by Annual Gatch Alocation	Percentage of Total Turnover

11.3 Complete the following table in relation to ratio performance:

•

Your	Return on Net Assets (RONA)	Debt: Equity Ratio	Current Hoto	Quick Pietlo (Ackl Test)
2001				
2002				
2003				
2004				

11:4 Provide the following information in relation to the applicant's income statements:

Year	Turhover	Prolit Alter Tex	Retained Earnings	Dividence Paid / Distribution of Profiles
2001				
2002				
2003				
2004				

	Section 11
	Performance
	Financial
11.5 Provide the following information in relation to the applicant's balance sheet:	

Year	Assets	Long-Term Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001				
2002				
2003				
2004				

11.6 Provide the following information in relation to the applicant's cash flow:

Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflow from Investing Activities	Net Cash Inflew / Oetflow from Financing Activities
2001			
2002			
2003			
2004			

12.1 Does the applicant have a fishing plan?

O Yes O No

Section 13

By-Catch and Environmentally Sustainable Practices

By-Catch

X

13.1 Indicate the proportion of the applicant's annual catch that is made up of by-catch species:

Year	Annual By-Catch (in tons)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By-Catch, Juvenile Species and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003			
2004			

			on 13
By-Catch and Environmentally Su	stainat	ole Prac	ctices
13.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processing facilities?	() Yes	() No	$\langle \! \langle \! \langle \! \rangle \rangle$
13.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	() Yes	ои ()	$\langle \mathcal{C} \rangle$
13.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yes	() No	\mathfrak{S}

	S	Sec	tior	n 14	
10000					
	X 38.233				

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Year	Species	Total Catch in Tons	Levies Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

44400 والمرام أول 6-11-. -----. 2001 . . .

14.2 What was the date and amount **c** the applicant's most recent levy payment?

Date	Amount	*
yyyy/mm/dd		

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 Suggesselectamenanismeneralistiker/Statistics/Statistics 	Section 15
Lith de in 1 do 1	Applicant Declaration
	ctions set out c pages 2 and 3 of this application form; itted with and in this Application is true and correct and complete.
(c) I accept that it any i	information in this Application is not true or complete, or if false information is provided, or material Information is not
disclosed, this may le license or permit gran	ead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, ted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
(d) In order to allow for	the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who
or an official of the De	es any information relevant to my application. to disclose or make the informationavailable to the Minister, his delegate partment of EnvironmentalAffaiis and Tourism or the R i Verification Unit;
explanations, by atter	akes to co-operate with apprincestigators by timeously submitting responses to written requests for information or Inding meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by
granting investigators	s access to premises , vessels and documents. The applicant accepts that failure to co-operate in this regard will dentground for refusing an application;
(f) The applicant accepts	s that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or any manner other than provided for in the General Policy or in thii application form, will result in the application being
refused	
Signed at:	
This	day of 2005
Oliverations of Aust	la seis e d Domme e se to time
Signature of Aut	horised Representative:
Representative'	s Full Name:
The authorised repre	sentative declares that he/she knows and understands the contents and implications of the above
declaration. Commissioner of Oath	
Full Name	
Designation	
Physical Address	
	by make oath and declare that: totons set out on pages 2 and 3 cf this application form; Holding Company Declaration
(b) the informationsubmi	itted with and in this Application is true and correct and complete.
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Sectio	n 15
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in this application form, will result in the application being refused. Signature of Authorised Representative of Sister Company (if applicable)	
Signed at:	
This day <i>o</i> f 2005	
Signature of Authorised Representative:]
Representative's Full Name:	
The authorised representative declares that he/she knows and understands the contents and implications of the a declaration.	ibove
Commissioner of Caths	
Full Name	
Designation	
Physical Address	
I, the undersigned, do hereby make oath and declare that: (a) I have read the instructions set out on pages 2 and 3 c f this application form; (b) <i>the</i> information submitted with and in this Application is true and correct and complete.	
 (c) I accept that if any information in this Application is not true or complete, or if tase information is provided, or material Information discbsed, this may lead to the Application being refused, or the revocation, suspension, cancellation, alteration or reduction of any 	is no t riaht.
license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998; (d) In order to albw for the proper verification of informationsubmitted I hereby authorise any institution, organ of state, person or body	, who
possesses or acquires any information relevant to the application, to disclose or make the information available to the Minister, his del or an official of the Department of Environmental Affairs and Tourism or the Rights Verification Unit;	
(e) (3rd party name) undertakes to co-operate with any investigators by timeously subr responses to written requests for information explanations, by attending meetings with investigators, by answering questions satisfa at such meetings and where necessary, by grantii investiitors access to premises, vessels and documents. The applicant accept	ctorily
failure to co-operate in this regard will constitute an independent ground for refusing an application; (f) (3rd party name) accepts that any attempt to influence the decision of <i>the</i> delete	
authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the General Pol in this application form, will result in the application being refused.	licyor
Signature of Authorised Representative of JV Partner (if applicable)	
Signed at:	
This day of 2005	
Signature of Authorised Representative:	-
Representative's Full Name:	
The authorised representative declares that he/she knows and understands the centerits and implications of the a declaration.	ocve:
Commissioner of Oaths	
Full Name	
Resumption	
Designation Physical Address	

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1 : Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 2.2: Provide a copy of the letter granting a right as Annexure 2B.
- c) Section 2.3: If the medium term right is currently held by an entity different from the one listed in Section 22, provide a detailed explanation as Annexure 2C.
- d) Section 2.5: Provide a copy of a valid SARS tax clearance certificate as Annexure 2D.
- e) Section 2.7: If 'Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- f) Section 28: If "Yes, "provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2G.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the indentities and conduct of the members, shareholders or directors resulting in the conviction, the dates of viction, and the penalties imposed as Annexure 3A.
 b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into.
- dates and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offenceand rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 30.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identiof individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- If 'Yes", provide details and any documentation including the identity and conduct of f) Section 3.3: individuals giving rise to the Section 28 procedure as Annexure 3F.

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual employee benefits, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 6I.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6.1.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 6O.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 -	Safety
a) Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the d contravention, the offence and the penalty imposed as Annexure 7A.	ate of
b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the data contravention, the offence and the penalty imposed as Annexure 7B.	ate of
c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the data contravention, the offence and the penalty imposed as Annexure 7C.	ate of
d) Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .	
e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety offic each vessel. If "No," please provide a detailed explanation of why the applicant has not appoin qualified safety officer for each vessel. The information provided under this section must be con- in Annexure 7E.	nted a
f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.	
g) Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.	nd the
h) Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of w applicant does not have an HIV/AID policy must be provided as Annexure 7H.	hy the
i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 7I. If a detailed explanation must be provided as Annexure 7I.	f "No,"
 j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applica members, shareholders or directors resulting in the convictions and penalties imposed as Ann 7J. 	ant, its nexure
k) Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a de explanation of why not as Annexure 7K.	ailed
Schedule 8 - Job Cr	eation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A

. .

- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

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Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Performance (Financial)

- a) Section 11.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 11A. The applicant's auditors will be required to confirm its responses to all questions in Section 11.
- b) Section 11.2: If the applicant completed the table in Section 11.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 11.2 for both the applicant and the other entity(ies) separately and submit these as Annexure 11B

Schedule 12 - Fishing Plan

- a) Section 12: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 12A, paying particular attention to:
 - a) demonstration of knowledge of the fishery;
 - b) research undertaken regarding this fishery;
 - c) days to be spent fishing per vessel nominated;
 - d) areas to be targeted;
 - e) species to be targeted and mix of species;
 - f) expected size of species to be targeted;
 - g) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishing capacity
 - Whether the nominated vessel(s) is ice strengthened and
 - Whether the nominated vessel(s) has ever been listed on a fishery organisation's negative list.

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 13 - By-Catch and Environmentally Sustainable Practices

a) Section 13.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 13A.

- b) Section 13.2: Provide a detailed explanation of measures put in place to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 13B.
- c) Section 13.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 13C.
- d) Section 13.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 13D.

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FO	R OFFICIAL USE C	ONLY: DO NOT TEAR OFF	
			RECEIPT
Received by:			
Date of Receipt: 1	14 July 2005 or	15 July 2005	
Time of Receipt:	h		
Application Number: _			
Departmental Stamp:			
	L		J
Disclaimer: The receipting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.			

			RECEIPT - FOR APPLICANT TO RETAIN
Received by:			
Date of Receipt:	14 July 2005	or	15 July 2005
Time of Receipt:	h	_	
Application Number	:		
Departmental Stamp	:		
Disclaimer: The receip	oting of this applica the application	tion does on or the (not imply an acknowledgement of the completeness of correctness of its content.

APPLICATION NUMBER:
DEPARTMENT OF ENVIRONMENTAL AFFAIRS AND TOURISM BRANCH: MARINE AND COASTAL MANAGEMENT
South Coast Rock Lobster
Long Term Rights Allocation Process: 2005
Cluster A
Application Form For: Medium Term Right Holders
Company Registration Number
or Close Corporation Registration Number
Principal Place of Business Number of Vessels Nominated

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		IMPORTANT INFORMATION			
(INSTRUCTIONS			
G	ENERAL				
1)	form and	ation form must be read together with these Instructions, the Explanatory Notes, the Schedules to the application the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, co.za or www.saica.co.za).			
2)	2) When completing the application form, applicants are advised to read carefully the General Policy on the Allocation and Management of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at <u>www.mcm-deat.gov.za</u> . The policies guide the Minister or his delegate when considering the application.				
3)	ciustera@c and will be	applicant have any queries regarding the completion of the application form, it must e-mail the Department at teat.gov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector made available on <u>www.mcm-deat.gov.za</u> . Should the applicant require technical assistance with the application tre, it must contact the Rights Verification Unit in one of the following ways:			
	Tel: (021) 6	70-3669 Fax: (021) 670-1782 E-mail: RVU@deloitte.co.za			
	Departmer	or their representatives may not communicate with the Minister, the delegated authority, or officials in the tregarding their applications in any other manner. Moreover, no reliance may be placed on any information given d in any other manner.			
IC	ONS				
4).	Applicants n	nust pay attention to the icons in the application form in order to determine whether:			
	the information	ation provided will be treated as confidential;			
-	there is any	documentation or information required in response to a question in the form of an annexure;			
	- the applica	nt's auditors need to verify a response; and			
	- there are co	onsequences of not answering a question.			
5)	The applicat	tion form makes use of the following icons:			
	R)	The books icon means that the information provided will be treated as confidential.			
	K K K K K K K K K K K K K K K K K K K	The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentation or information required as annexures. If the required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.			
		The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Report on failing which the application will be refused.			
	\	The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.			
C	OMPLETION	OF APPLICATION FORM			
6)		ant must complete <u>all</u> fifteen sections of the application form. The applicant must respond in the spaces provided in tion form. Information may not be submitted by way of annexures except where applicants are specifically allowed			
,	these CDs the applicat	tion form must be completed electronically, and saved onto the two blank CDs provided on registration. One of must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to ion form do not need to be scanned and saved on to the CDs. The Department may request additional electronic e application at a later stage.			
8)	8) This form is tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may not submit the application in any other form. If the applicant submits its application in any other form, the application will be refused.				
•	9) The application must also be printed out and the declaration must be signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s). If the declaration is not signed and attested, the application will be refused.				
	10) The printed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch files with a divider before each annexure. The annexures must be numbered according to the schedules. Confidential information requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the application number, punched and placed in the lever arch file after the annexures.				

508 No. 27683

GOVERNMENT GAZETTE, 15 JUNE 2005

IMPORTANT INFORMATION

INSTRUCTIONS

11) One true copy of the printed version of the application must be made. This copy must be punched and divided in the same manner as the original. The copy does not have to be certified as a true copy of the original, but it will be assumed to be the same as the original. Any discrepancy may invalidate the application.

LODGEMENT OF APPLICATION

12) The CD containing the application, the original printed version (signed and attested), and a copy of the printed version must be hand delivered to the place, on the dates and times stated below:

Place: Good Hope Centre

Dates and Times: Thursday 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00

13) The application may not be submitted by post or by fax. An application not submitted by hand within the above times, on the above uses at the above uses

IMPROPER LODGEMENT

- 14) Improperly lodged applications will be refused.
 - An application is improperly lodged if:
 - it is received late;
 - the applicant makes no payment, or short payment, or late payment of the application fee;
 - the application is lodged in a manner contrary to the instructions.

MATERIAL DEFECTS

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15) Applications that are materially defective will be refused.

An application is materially defective if:

- the declaration is not signed and attested by the Applicant's authorised representative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint venture partner(s).
- the auditor's report is not submitted or signed;
- more than one application is received for a right in the same sector;
- the applicant, or, if applicable, the holding company, sister company(ies) and the joint venture partner(s) provides false , information or documents, fails to disclose material information or attempts to influence the Minister or the delegated authority other than in the manner provided for in the General Policy and in this application form.

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	Section 1
1. The applicant must supply a profile fishing operations, as Schedule 1A	of its organisation including an organogram and a description of its
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Number:	
1.7 Have the applicant's details (1.8.1 applicant registered?	- 1.8.7d) changed since the O Yes O No
If "Yes", complete only those detail	Is that have changed:
.1 Principal Place of Nu Business:	Jupper and Stract
	Town / City:
	Postal Code:
1.8.2 Postal Address: Number and	
	Suburb:
	Town / City: Postal Code:
	Area Code:
1.8.3 Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Authorised Contact Person First Name:	¢
1.8.7 Authorised Contact Person Surname:	
a) Identity Number:	
b) Position Held / Relationship to Applic	cant
c) Cellular Number:	
d) E-mail Address:	

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								Section 2
	the applicant currently			() Yes	O No 1	<x td="" ·<=""><td>Form of A</td><td>Applicant</td></x>	Form of A	Applicant
mediu	um term fishing right in t	his sec	tor?	0 163				
2.2 lf "Ye	s", to which entity or pe	rson wa	as the me	dium term	fishing righ	t allocated in	2001/2002?	
	Company		Name					
eX)	Close Corporation		Name					
\sim	Trust		Name					
	Individual		Name			~~~		
2.3 In wh	at form of entity is the m	nedium	term fishi	ing right ci	urrently held	2 5		
	Company		Name					
	Close Corporation		Name					
	Trust		Name					
	Individual		Name	L				
	applicant more than 50 an owned?	1% Sout	h	() Yes	() No	~		
	the applicant have a va	ulid tax		() Yes	O No	0	\heartsuit	
2.6 Com	plete the following table	in relati	on to ass	et value a	nd turnover:			0
	Yeer		Т	UPDOMOT		Gross Asset	Value (excluding)	bed property)
2004	(Financial Year End)					T		
	and the second	·	· · · · · · · · · · · · · · · · · · ·					
any c	s the applicant hold any other company that is ap jum term right holder in t	plying a	as a	() Yes	O No	Q		
28 Doe	s the applicant hold any	share(s	s) in			A		
any c	company that is applying ant in this sector?			() Yes	O No			
							5	ection 3
							Cor	npliance
	the applicant, or a actors:	ny of	its mem	bers, sha	areholders (D r		
3.1.1	been convicted of a regulations, or permit period?					O Y	es () No	♦ Ø
3.1.2	entered into a plea t 51 of 1977, for a con or permit conditions d	travent	ion of the	MLRA, o	r the regulat		es () No	♦ 🞸
3.1.3	paid an admission of the regulations, or the right period?						es () No	• 🔇

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			Section 3
		Co	mpliance
	3.1.4 been charged with an offence under the MLRA, or the regulations or permit conditions during the medium term right period?	O Yes O No	♦ ∅
3.2	Has a fishing vessel, motor vehicle, premises or any other assets of the applicant, or any of its shareholders, members or directors been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the medium term right period?	○ Yes ○ No	•
3.3	Nas the applicant's right or permit suspended, revoked, cancelled, reduced or altered under section 28 of the MLRA during the medium term right period?	O Yes O No	\mathbf{A}
			Section 4
. 1	How many vessels has the applicant	Vess	el Details
	nominated for use in this fishery?		
4.2	Fill in the relevant details in the tables below regarding each vessel nomi	nated:	
	Vasad 1		
	Aree Number		
	SA Number		
	per of crew according to SAMSA		
	r of Vessel as indicated by South in Certificate of Registry		
	e of Access to Vessel		
	al fitted with a functioning VMS		
000000000000000000000000000000000000000	m (Y/N) facture of VMS System		
	a Length in metres according to A Safety Certificate		
Vesse	Horsepower as per SAMSA Certificate		
~			
\checkmark	Vessal 2		-
Vesse	f name		
	Area Number		
Numb	A Number at of crew according to SAMSA		<u> </u>
	Ortificate of Vessel as indicated by South		
Africar	Certificate of Registry		
	of Access to Vessel fitted with a functioning VMS		
System	(Y/N)		
	Length in metres according to		<u>. </u>
SAMSA	Safety Certificate		
	Horsepower as per SAMSA Certificate		

Section 4

Vessel Details

C C	
	Vintsol 2
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in memos according to SAMSA Selety Certificate	· · · · ·
Vessel Horsepower as per SAMSA Safety Certificate	

Q

and a second of the	Yousd 4
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Salety Certificate	
Owner of Vessel as indicated by South Aircan Certificate of Registry	
Nature of Access to Vetsal	
Vessel fitted with a functioning VMB System (YM)	
Manufacture of VMS System	
Vessel Loogh in netres according to SAMSA Selety Certificate	
Vecsel Horsepower as per SAMSA Safety Certificate	

Q

	Vach 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel littled with a functioning VMS System (V/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Salety Certificate	
Vessel Horsepower at per SAMSA Salety Certificate	

	Ca	Sei Itch Utili	ction 5 isation
i.1 Did the applicant over-catch or under-catch by more than 10% during the medium term rights period?	() Yes	O No	•

5.2 Complete the following in relation to the applicant's catch records.



Year	Annual Catch Allocation (in metric tone)	Total Actual Catch of Applicante Allocation (Nomine time)	Percentage under-caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Season				

		Se	ection 6
		ransfor	mation
Managem	ent and Emplo	oyment	Equity
6.1 Was the applicant a designated employer as defined in section 1 o Employment Equity Act, 55 of 1998 as at 28 February 2005?	of the O Yes	O No	S
6.2.1 If "Yes", has the applicant complied with the Employment Equity of 1998?	/ Act, 55 🔿 Yes	O No	0
6.2.2 If "No", has the applicant voluntarily complied with the Employm Equity Act, 55 of 1998?	ent O Yes	O No	

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					Section 6 Transformation
6.3.	1 Complete the table be company) or members	low in respe (if a close co	Managen ect of the applicant's board prporation) as at 28 Februar	of directors (if	nployment Equity
	Director / Member Name (militi & Stariante)	Youre of Service	Total Annual Remuneration (Total Cot(in Company)	Black Grender (Y/N) (M/F)	ID Number
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					

		Section 6
Manage	ment and E	Transformation Employment Equity



6.3.2 Complete the table below in respect of employees at 28 February 2005.

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Between 1 - 5 Employees	The Top Selery (Eatner (Totel Cost to Company)
Between 6 - 30 Employees	The Top Two Selary Earners (Total Cost To Company)
Between 31 + 60 Employees	The Top 3 Salary Earners (Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Selary (Total Cost to Company) Earners
More than 185 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employees

	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black: (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12						-	
13							
14							
15							
16							
17							
18							
19							

Section 6 Transformation

Management and Employment Equity

Ń	1	Wanagement and Employment E					
Ĩ	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black. (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20]	T			1	l I	
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36					·		
37							
38							
39							
40	· · · · · · · · · · · · · · · · · · ·						
41							
42		<u> </u>					
43		+					
44							
45							
46							
47							
48							
49							
50							
51							
52							
53					<u> </u>		
54		<u> </u>	<u> </u>				
55	ł					L	

Section 6

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Transformation

Management and Employment Equity

ĥ)		Ma	inag	ement and E	mplo	yment Equity
Ĩ	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Selaries in this Table	Gender (MF)	ID Number
66			company				
57							
68							
69							
60							
61							
62							
63							
64						_	
65							
66							с.
67							
68							đi:
69							· · · · · · · · · · · · · · · · · · ·
70	·			_			
71							
72							
73			· · · · · · · · · · · · · · · · · · ·				
74							
75							
76							
77							
78				·		······	
79							
80							· · · · · · · · · · · · · · · · · · ·
81							
82							·
83							
84							••
85							
86							
87							
88							
89							
90							+

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	artis Sain	e espera										ction 6
			64			Man	agen	nent a	nd En			mation Equity
6.4.1	Complete the folk employees at 28 F	owing tal ⁼ ebruary	ble in re 2005:	lation to	incom						Q	
	Gross Monthly Incom to Compar			imber of nployees		ntage of To mployees		nber of Bla Imployees	UN T	rcentage o otal Black Imployees		imber of emale nployees
1	<r2 500<="" td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></r2>											
2	Between R2 500 a	nd R5 500										
3	Between R5 501 ar	nd R10 500										
4	Between R10 501 at	nd R16 000					_					
5	Between R16 001 ar	nd R20 000				*						
6	Between R20 001 ar	nd R25 000										
7	Between R25 001 ar	nd R30 000									1	
8	Between R30 001 ar	xd R40 000										
9	Between R40 001 an	nd R60 000									1	
10	>R60 000											
	Total					100%				100%		
6.4.2 [e	Does the applican mployees?	t contrib	ute towa	ards me	dical ai	d and pe	nsion fo	or its	01	/es C	No	I
	Does the applicant	provide	any oth	ner type	of bene	fits for its	s emplo	oyees?	ΟY	es Ó	No	Š
6.5 Co	mplete the followi partment of Labou	ng table	in relati	on to the	e applic	ant's em	ployme	ent equit	y profile	as prov	ided to t	the 🖑
the	n the table must b	e comp	eted as	at 28 F	ebruary	/ 2005.				volunital	liy com	
Occa	pational Categories	Gender	A No	A%	C No	C%	1 No	1%	W No	W %	Tt ACI %	ntal F%
Top &	Senior Management /	Male										
	Officials and Managers	Female										
experie	sionally Qualified and anced specialists and	Male										
	d-management / Professionals	Female										
qualifi	echnical and academically ad workers, sepervisors, n, and superintendents / micians and Associate	Male										
Semi-aidi	Professionals led and discretionary decision	Female										
making/Cl Fishery Wo Workers	unskilled and undefined decision wita, Service & Sates Workers, riters, Artisans & Retaind Trade Plant & Machine Chevalors &	Male Female				┠───┤						
Aasembi	ora, Elementary Occupations	Male										
	TOTAL	Female										
	frican C - Colourod											

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

No.

							<u>g</u>		Tra	nsfor	mati
6 1 Complete the tabl	oc bolo	w in role	tion to			nagem					Equi
6.6.1 Complete the table Managers only.				empioyr	nent eq	uity figur	es for S	enior (Officials	and	\sim
										Т	otal
Occupational Categories	Gender	A No	A%	CNO	C %	1 No	196	W No	W %	ACI %	F%
op and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
op and Senior Management / Senior Officials and	Male										
Managers 2002 (If not designated as at financial year and 2002)	Female										_
op and Senior Management / Senior Officials and	Male										
Managers 2004 (il not designaled as at financial year end 2004)	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.

	1	*2	r			1				T	otai
Occupational Categories	Gender	A No	A%.	C No	C %	i No	1%	W No	W %	ACI %	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionale 2002 (it not designated as at financial year and 2002)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2004 (if not designated as at financial year and 2004)	Female										

	Section 6
	Transformation
	Ownership - Companies Only
6.7 Is the applicant a company?	
6.7.1 If "Yes", complete the table below in respect of shareholding he	
Year Percentage Shareholding Held Percentage Voting Right by Black Persone by Disck Persons	s Held Percentage of Dividends Black Shereholders are Entitled To
2001 (as in 2001 application lorm)	
2005 (at date of application)	
6.7.2 Complete the following table in relation to shareholding held by through principle:	women based on the flow
Year Percentage Shareholding Held Percentage Voting Rights	Held Percentage of Dividends Women are Ended 10
by Women by Women	CENTRAL 7 10
2005 (at date of application)	
6.7.3 Did the applicant have a share scheme in place in 2004 for employees?	O Yes O No
6.7.4 If "Yes", what is the percentage shareholding held by or for employees not listed under question 6.3.2, through the scheme	,
6.7.5 Complete the following table in relation to monies paid to share	holders:
Year Salarias Paid to Shareholders Loans Made to	Starsholders Dividends Paki to Sharehokiers
2002 (financial year)	
2003 (financial year) 2004 (financial year)	
6.7.6 Was the applicant managed by another entity, or was the catch processing or marketing of the 2004 allocation in this fishery per by another entity during the 2004 financial year?	
6.7.7 If "Yes", complete the table below:	$\mathcal{Q} \sim$
Function Rand Amount Pairl to Other Entity during 2004 Financial Year	the Rand Amount as a Percentage of the Applicant's Total Turnover is 2004
Management	
Catching	

Processing

Marketing

					Castle	
					Section Transformation	
	*****		Ownership	- Close Co	orporations C	
6.8 Is the applicant a clo	se corporation ?) No		
6.8.1 If "Yes", complete	the table below in r	espect of me	mbers interest	held by black p	ersons:	Z
Year	Percentage Interest i- Black Persons		lage Voting Rights H Black Persons		ige Profit Distribution Bio roons-are Entitled To	ack
2001 (as in 2001 application form)						
2005 (as at date of application)						
6.8.9 Complete the table	helow in relation to					
6.8.2 Complete the table	Percentage Interest H		age Voting Rights H		Profit Distribution Wome	an are
IVEL	Women		Women		Entitled To	
2005 (as at date of application)						
6.8.3 Did the applicant ha	ave a profit sharing	scheme for	molovees in pl	208		~
in 2004?	we a prost sharing	Scheme lor	ampioyees in pi	ace () Yes	0 No 5	anine in
6.8.4 If "Yes", what perce under question 6.3.2		e employees	not listed			\bigcirc
1					1	pres.
6.8.5 Complete the follow	ing table in relatior	n to monies p	aid to members	:	<u> </u>	
Year	Salaries Paid to I	Vembers	Loans Made to Me	mbers Prof	t Distributed to Member	5
2002 (financial year) 2003 (financial year)						\neg
2004 (financial year)						
6.8.6 Was the applicant n				O Yes		
processing or marke financial year?	eting performed by	another entity	y during the 200	4 0 100		
6.8.7 If "Yes", complete th	e table below:					0
Function		and Amount Paid I	o Other Entity		t as a Percentage of the ts Turnover in 2004	8
Management						
Catching				+		
Processing				+		
Marketing						
				1		

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in the Transfo in 2001?	cant met the target rmation Plan submi	itted O Fully		hat/Partially		<
Act 9 of 199	e following table in 1 8: Did the applicant submit	Did the applicant				_
Year	Workplace Skills Plan which was approved (Y/ N)	submit an Annual Training Report which was approved (V/N)	Rand Amount Pal SARS in skills development lev	Percentage C		jet Spe
2001 (financial year end)						
2002 (financial year end)						
2003 (financial year			+			
end)						
2004 (financial year end)						
employees in 2004 (tax ye 6.13. Did the appl	cate the number of n respect of whom ar end). icant participate in a s through its SETA	the applicant pre	pared IRP5 cert	tificates in	O Yes O No	5
6.14.1 How many applicant?	skippers are used	by the	[]			
5.14.2 What perc are black?	entage of these sk	ippers				
				Corporate	Social Invest	lme
its annual ta for deduction	plicant make donati xable income which a in terms of sectior Tax Act 58 of 1962	n qualify O n 18A of	Yes () No			Ç
	cate what percentag al year these donati		rned in the	○ 0 - 0.5 % ○ 0.5 - 1 %	\mathcal{P}	Ş
				0>1%		

Section 6 Transformation Corporate Social Investment

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

6.18 Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not including school fees)?

OYes ONo

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :

O 0 - 0.5 %	
O 0.5 - 1 %	
O>1%	

6.20 If other donations were made, indicate the five largest such donations made in 2004 in the table below:

Year	Benefitting Organisation	Rand Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

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					ansfor	ction 6 mation
6.21 Does the applicant have a written affirmative procurement policy?		() Yes		native f	Procure	ement
3.22 Provide the following information in re (operational expenditure) in 2004:	elation to the app	licant's top	ten South Al	rican sup	pliers	
Name of Supplier	Percentage of Bla Ownership of Supp		unt Paid to Suppli Rands in 2004	arin P	ercentage of Expenses	
·					,	
.23 Has the applicant determined its affirmative procurement spend as a percentage of total procurement spend	d?		() Yes	() No		
6.24 Has the applicant instituted a system measure affirmative procurement sp as a percentage of total procuremen spend in future?	bend		Ó Yes:	O No		
						ction 7
	Ve:	ssel, Cr	ew and E		nentai ntrave	
7.1 Has the applicant, during the medium forfeited a deposit by way of penalty un Shipping Act, 57 of 1951?	term rights perio nder section 324	d, been co of the Mer	nvicted, or chant	() Yes	O No	Ŷ
7.2 Has the applicant, during the medium forfeited a deposit by way of penalty fo Occupational Safety Regulations of 19	or contravening th	d, been co ne Maritime	nvicted, or e	() Yes	O No	Ś

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	Vessel, Crew and E			
7.3 Has the applicant, during the me forfeited a deposit by way of a pe Accommodation Regulations, 19		() Yes	() No	Ÿ
	Crew Safety and V	Vorking	, Condi	itions
7.4 Has the applicant ever reported Maritime Occupational Safety Re	an accident in terms of section 6 of the egulations?	() Yes	() No	Q
	en appointed for each nominated vessel in ne Occupational Safety Regulations?	() Yes	O No	Q
	rd each nominated vessel completed the grequired by the Merchant Shipping Act, 57 of	() Yes	() No	Š
7.7 If a nominated vessel is of 25 gro issued with a valid SAMSA Safe Merchant Shipping (Safe Manning	oss tonnage or more, has the vessel been Manning Document required in terms of the g) Regulations?	() Yes	() No	Q
7.8 Has the applicant completed a for Notice no 26 of 2004?	rmal safety assessment in terms of Marine	O Yes	O No	Q
7.9 Has the applicant registered with Compensation for Occupational	the Commissioner in terms of the Injuries and Diseases Act 130 of 1993?	() Yes	ONO	P
7.10 Does the applicant have an HIV	//AIDS policy?	() Yes	O No	Q
		V	essel S	afety
7.11 Have the nominated vessel(s) b Safety Certificate?	been issued with a valid Local General	() ies	O No	V.
7.12 Are any operational limits impos General Safety Certificate (E.g. South African coast)?	sed on the nominated vessel(s) by its Local No more than 200 nautical miles off the	() Yes	() No	
	En	vironm	ental S	afety
forfeited a deposit for contraveni	edium term right period, been convicted or ing any part of the Marine Pollution nips) Act 2 of 1986 or Section 30 of the Marine ility) Act 6 of 1981?	() Yes	O No	Q
vessel as stipulated in terms of	age Management Plan for each nominated Annex V of Marpol, as set out in the n (Prevention of Pollution from Ships) Act 2 of	() Yes	O No	Q

		general s			~~~~~~
8.1 Complete the following	tables in	······································			
8.1. Table 1		u joo orealion.			$\overline{\Diamond}$
Employees in respect of	respect of	respect of the	byees in pect of ich the	Table barren	Percentage
Year applicant prepares IRPS	applicant 80	plicant does appli of prepare not	prepare Employ	per Financial	norease or
Certificates (Land Based)	Certificates	Certificates Cer	RP5 (Counters dicates (Besed)	Statements	employees
2001 (date of application)				1.11	
2002 (financial year end)					
2003 (financial year end)					
2004 (financial year end)					
2005 (28 ebruary 2005)				200	
1.2 Table 2 pplcant's Annual Catch Allocation	in tons) to Total A	nnual Salary Eill (in r	elation to this	ow much does the app	licant spend on
the applicant in this lishery in 2	2004 Isinery) za	04 Financial Year Er 8.1.1	acrasiper rable	salaries/wages per to	
1 	ľ				
8.1.3 Table 3					~
Allocation (in tons) in this relation	n to this fishery) a	w many people does policant employ per t	the Total Emplo Seasonal Em relation to this	oloyees (in Seasona	any people (less employees) does licant employ per
fishery in 2004 (28 F	ebruary 2005)	allocated?	February	2005) to	allocated?
ļ ļ	1		ľ	ĺ	
8.1.4 Table 4					S~
Applicant's five highest annual as company) paid between 1 March 200	laries (total cost to 4 and 28 February 200	S Average of top in	e salaries five low	s the multiple betwaan t est and the average of salaries at 26 Februar	the five highest
		_			
		-			
		-			
		4			
Applicant's five lowest annual sa	lorior (tatal onet ta	Average of low	net five		
company) paid between 1 March 200-	4 and 28 February 200	S salaries			
	· <u></u>	4			
		4			
		-			
		-			

Section 9

Investment

9.1 Complete the following tables:

Table 1 q

9.1 Complete 9.1.1 Table 1	the following tables:		0-0
Year	Book Value of Total Fixed Assets (in relation to this fishery)	Percentage Increase or Decrease In Rand Value of Total Fixed Assets (in relation to this fishery)	Total Book Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)			
2009 (financial year end)			
2004 (financial year end)			

9.1.2 Table 2

Year Fixed Assets (in relation	Percentage Increase or Decrease In Rand Value of Total Fixed Assets (in relation to this fishery)	Total insured Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)		
2003 (linancial year end)		
2004 (financial year end)		

).1.3 Table 3

Annual Catch Allocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and sea-based assets at 2004 financial year and	Book Value (in rands) of land-based assets at 2004 financial year and	Book Value of Herbour and Sea-Based Assets per ton allocated to applicant	Book Value of Land-Based Assets per ton allocated to applicant

1.1.4 Table 4

Annual Catch Allocation (in tons) to the applicant in 2004	Insured Value (in rands) of harbour and see-based assets at 2004 financial year end	Insured Value (in rande) of land-based assets at 2004 linancial year and	Insured Value of Harbour and See-Based Assets per ton allocated to applicant	Based Assels per Ion

.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.

J. 6 A detailed explanation of all investment made in processing activities must be included as an annexure.



GOVERNMENT GAZETTE, 15 JUNE 2005

	Section 9
 	Investment

9.1.7 Has the applicant invested in on-board or land based processing facilities? O Yes O No

9.1.8 If yes, please complete the following table:

Annual Catch Allocation (in metric tons) to the applicant in 2004	Nominal Tons of Applicant's Allocation Processed On Board Vossel		Nominal Tons Processed on Behalf of other Right Holders on Land

Section 10

Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Harbour / Landing Site Name	Tons Landed at Harbour in 2004 calendar year	Percentage of Total Catch Landed in 2004 calendar year
TOTAL	·	

10.2 At which harbours and landing sites will the applicant land its catches

^{10.3} Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?



STAATSKOERANT, 15 JUNIE 2005

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	S	ection 11
		formance Financial
11.1 What is the applicant's 2004 audited, verified or certified Annual Turnover?		Q ~0

11.2 Indicate the rand value and percentage of total turnover derived from this fishery:

Annual Catch Allocation (in tons) to the Applicant in 2004	Turnover Generated in 2004 financial year by Annual Catch Allocation	Percentage of Total Turnover

11.3 Complete the following table in relation to ratio performance:

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Year	Return on Net Assets (RONA)	Debt: Equity Platic	Current Patio	Quick Ratio (Acid Test)
2001				
2002				
2003				
2004				

11.4 Provide the following information in relation to the applicant's income statements:

Year	Turnover	Profit After Tex	Retained Earnings	Dividends Paid / Distribution of Profils
2001				
2002				
2003				
2004				

Section 11
Performance
Financial
11.5 Provide the following information in relation to the applicant's balance sheet:

Year	Assets	Long-Term Liabilities	Current Liabilities	Shareholder's Equity / Member's Contribution
2001				
2002				
2003				
2004				

11.6 Provide the following information in relation to the applicant's cash flow:

Year	Net Cash Inflow / Outflow from Operations	Net Cash Inflow / Outflow from Investing Activities	Net Cash Inflow / Outflow from Financing Activities
2001			
2002			
2003			
2004			

Section 12

Fishing Plan

Z

12.1 Does the applicant have a fishing plan?

Section 13 By-Catch and Environmentally Sustainable Practices

O Yes O No

By-Catch

13.1 Indicate the proportion of the applicant's annual catch that is made up of by-catch species:

Year	Annual By-Gatch (in tons)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By-Catch, Juvenile Species and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003			
2004			

		Sec	tion 13
By-Catch and Environmentally S	lustaina	ble Pra	ictices
13.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processing facilities?	() Yes	() No	\mathfrak{A}
13.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	() Yes	() No	\mathfrak{A}
13.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yes	() No	Ś

Section 14
Fishing Levies

14.1Please provide the following information in relation to levies paid since 2001:

Year	Species	Total Catch in Tons	Levies Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

14.2 What was the date and amount of the applicant's most recent levy payment?

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Date	Amount	
yyyy/mm/dd		

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		Section 1
I, the undersigned, do here	by make oath and declare that	
	tions set out on pages 2 and 3	
	••	s true and correct and complete.
disclosed, this may lea license or permit grante	ad to the Application being refu Id on the strength of this Applic	not true or complete, or if false information is provided, or material Information is not used, or to the revocation, suspension, cancellation, alteration or reduction of any right, ation, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
possesses or acquires or an official of the Depa	any information relevant to m artment of Environmental Affairs	ation submitted I hereby authorise any institution, organ of state, person or body, who y application, to disclose or make the information available to the Minister, his delegate s and Tourism or the Rights Verification Unit;
explanations, by attend granting investigators a	ing meetings with investigator	vestigators by timeously submitting responses to written requests for information or s, by answering questions satisfactorily at such meetings and where necessary, by and documents. The applicant accepts that failure to co-operate in this regard will atton:
(f) The applicant accepts the	hat any attempt to influence th	e decision of the delegated authority or appellant authority on the allocation of a right or for in the General Policy or in this application form, will result in the application being
Signed at:		
Thie	day of	2005
11113		2005
Signature of Auth	orised Representat	ive:
	-	
Representative's	Full Name:	
The authorised represe	antative declares that he	ishe knows and understands the contents and implications of the above
declaration.		
Commissioner of Oaths		
Full Name		
Designation]
Physical Address		
(a) I have read the instruction	by make oath and declare that: ons set out on pages 2 and 3 o	of this application form;
(c) l accept that if any infi	ormation in this Application is	true and correct and complete. not true or complete, or if false information is provided, or material Information is not
disclosed, this may lea	d to the Application being refu	sed, or to the revocation, suspension, cancellation, alteration or reduction of any right, ation, in terms of Section 28 of the Marine Living Resources Act 18 of 1998;
(d) In order to allow for the	e proper verification of informa	ution submitted I hereby authorise any institution, organ of state, person or body, who
or an official of the Depa	any information relevant to the rtment of Environmental Affairs	a application, to disclose or make the information available to the Minister, his delegate and Tourism or the Rights Verification Unit;
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at such meetings and w	here necessary, by granting in	I party name) undertakes to co-operate with any investigators by timeously submitting nations, by attending meetings with investigators, by answering questions satisfactorily nvestigators access to premises, vessels and documents. The applicant accepts that apendent ground for refusing an application;
(f)	(3r	d party name) accepts that any attempt to influence the decision of the delegated ght or quantum or effort, in any manner other than provided for in the General Policy or
in this application form, w	vill result in the application being	int or quantum or enort, in any manner other than provided for in the General Policy or refused.

Signed at:		
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SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN $\Lambda N \forall$ of the schedules below, the applicant may submit an explanation for this in place of that annexure

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogram which clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if it intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1: Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 2.2: Provide a copy of the letter granting a right as Annexure 2B.
- c) Section 2.3: If the medium term right is currently held by an entity different from the one listed in Section 2.2, provide a detailed explanation as Annexure 2C.
- d) Section 2.5: Provide a copy of a valid SARS tax clearance certificate as Annexure 2D.
- e) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- f) Section 2.8: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2G.

Schedule 3 - Compliance

- a) Section 3.1.1: If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure 3B.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3E.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was / was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1: If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these as Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
- f) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- g) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
- h) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 6I.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section 6.8.1: Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure 6K.
- Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme, paying
 particular attention to actual benefits to employees, as well as a copy of the employee share scheme
 policy as Annexure 6L.
- m) Section 6.8.5: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure 6M.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- w) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

	Schedule 7 - Safety
a)	Section 7.1: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
b)	Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
c)	Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
d)	Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .
e)	Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
f) (Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
g)	Section 7.7: If "Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure 7G.
h)	Section 7.10: If "Yes," provide a copy of the policy as Annexure 7H. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure 7H.
) :	Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 7I. If "No," a detailed explanation must be provided as Annexure 7I.
) :	Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
()	Section 7.14: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not as Annexure 7K.

Schedule 8 - Job Creation

- a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.
- b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A

....

- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section 9.1.6: Provide a detailed explanation of all investments in processing activities as Annexure 9C.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Performance (Financial)

- a) Section 11.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 11A. The applicant's auditors will be required to confirm its responses to all questions in Section 11.
- b) Section 11.2: If the applicant completed the table in Section 11.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 11.2 for both the applicant and the other entity(ies) separately and submit these as Annexure 11B

Schedule 12 - Fishing Plan

- a) Section 12: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 12A, paying particular attention to:
 - a) days to be spent fishing per vessel nominated;
 - b) areas to be targeted;
 - c) species to be targeted and mix of species;
 - d) expected size of species to be targeted;
 - e) detailed information regarding:
 - Age - Size
 - Gear (length of lines, numbers of traps and winch carrying capacity)
 - Fishing capacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 13 - By-Catch and Environmentally Sustainable Practices

- a) Section 13.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 13A.
- b) Section 13.2: Provide a detailed explanation of measures put in place to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 13B.
- c) Section 13.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 13C.
- d) Section 13.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 13D.

F	FOR OFFICIAL USE ONLY: DO NOT TEAR OFF	
		RECEIPT
Received by:		
Date of Receipt:	14 July 2005 or 15 July 2005	
Time of Receipt:	hh	
Application Number	r:	
Departmental Stamp	p:	1
]
Disclaimer: The recei	ipting of this application does not imply an acknowledgement of the comple the application or the correctness of its content.	teness of

	RECEIPT - FOR APPLICANT TO RETAIN
Received by:	
Date of Receipt:	14 July 2005 or 15 July 2005
Time of Receipt:	h
Application Number	:
Departmental Stamp	
Disclaimer: The receip	oting of this application does not imply an acknowledgement of the completeness of the application or the correctness of its content.

DEPARTMENT OF ENVIRONMENTAL AFFAIRS AND TOUR BRANCH: MARINE AND COASTAL MANAGEM Small Pelagics (Anch and Sardine Purse-Sei Long Term Rights Allocation Processs Clu	ENT IOVY
and Sardine Purse-Sei Long Term Rights Allocation Process:	
Long Term Rights Allocation Process	ne)
8 8	
	: 2005 ister A
Application Form For: Medium Term Right	t Holders
Registered Name of Applicant	
Company Registration Number or Close Corporation Registration Number	
Principal Place of Business	
Number of Vessels Nominated	
The applicant is applying for anchovy O The applicant is applying for sardine purse seine O The applicant is applying for anchovy AND sardine purse seine O	
Number of tons of anchovy applicant is applying for:	
Number of tons of sardine applicant is applying for.	

	IMPORTANT INFORMATION
r	INSTRUCTIONS
GENERAL	
form and	cation form must be read together with these Instructions, the Explanatory Notes, the Schedules to the application the Procedures for Independent Auditors (available on the Public Accountants' and Auditors' Board website, co.za or www.saica.co.za).
Managem	npleting the application form, applicants are advised to read carefully the General Policy on the Allocation and lent of Long Term Fishing Rights, as well as the applicable Fishery Specific Policy. These policies are available at deat.gov.za. The policies guide the Minister or his delegate when considering the application.
ciustera@ and will be	e applicant have any queries regarding the completion of the application form, it must e-mail the Department at deat.ov.za. Every query and response will be collated and circulated by e-mail to all the applicants in the sector e made available on <u>www.mcm-deat.gov.za</u> . Should the applicant require technical assistance with the application are, it must contact the Rights Verification Unit in one of the following ways:
Tel: (021) 6	670-3669 Fax: (021) 670-1782 E-mail: RVU@deloitte.co.za
Departme	s or their representatives may not communicate with the Minister, the delegated authority, or officials in the nt regarding their applications in any other manner. Moreover, no reliance may be placed on any information given ad in any other manner.
ICONS	
4) Applicants r	must pay attention to the icons in the application form in order to determine whether:
- the inform	nation provided will be treated as confidential;
- there is an	y documentation or information required in response to a question in the form of an annexure;
	ant's auditors need to verify a response; and
-there are c	consequences of not answering a question.
5) The applica	ation form makes use of the following icons:
Ŵ	The books icon means that the information provided will be treated as confidential.
~	The clipboard icon means that the applicant must complete the corresponding Schedule to the application form. Applicants are instructed in the schedules regarding the documentationor information required as annexures. If me required documentation or information is not submitted, the application may be adversely affected. Failure to submit certain requested documents or information may result in the application being refused.
,	The magnifying glass icon means that the applicant's response to the question must be verified by an auditor (registered with the Public Accountants and Auditors board) engaged by the applicant as per the Procedures for Independent Auditors. The auditor must complete and sign the Audit Reporton failing which the application Will be refused.
•	The warning icon means that if the applicant fails to answer the question, the answer will be deemed to be adverse to the applicant.
COMPLETIO	N OF APPLICATION FORM
	cant must complete all sixteen sections of the application form. The applicant must respond in the spaces provided in cation form. Information may not be submitted by way of annexures except where applicants are specifically allowed
these CDs the applica	ation form must be completed electronically, and saved onto the two blank CDs provided on registration. One of must be submitted in the manner described below, while the other must be retained by the Applicant. Annexures to ation form do not need to be scanned and saved on to the CDs. The Department may request additional electronic the application at a later stage.
8) This form is not submit refused.	s tagged and coded in order to facilitate electronic uploading of the application into a database. The applicant may t the application in any other form. If the applicant submits its application in any other form, the application will be
representa	cation must also be printed out and the declaration must be signed and attested by the Applicant's authorised ative, and, if applicable, the authorised representative(s) of the holding company, sister company(ies) and the joint rtner(s). If the declaration is not signed and attested, the application will be refused.
files with a information	ed version of the electronic application, duly signed and attested, must be be punched and placed into lever arch a divider before each annexure. The annexures must be numbered according to the schedules. Confidential n requested under questions marked by the books icon should be placed in a sealed A4 envelope marked with the number, punched and placed in the lever arch file after the annexures.

	IMPORTANT INFORMATIC
	INSTRUCTION
11) One true copy of the printed manner as the original. The Same as the original. Any d	d version of the application must be made. This copy must be punched and divided in the San e copy does not have to be certified as a true copy of the original, but it will be assumed to be th discrepancy may invalidate the application.
LODGEMENT OF APPLICATION	
12) The CD containing the app be handdelivered to the pl	plication, the original printed version (signed and attested), and a copy of the printed version mu lace, on the dates and times stated below:
Place: Good Hope Centre	
Dates and Times: Thursday	y 14 July 2005 between 08h00 and 19h00, or Friday 15 July between 08h00 and 17h00
13) The application may not be above dates at the above a	submitted by post or by fax. An application not submitted by hand within the above times, on the dress will be refused.
IMPROPER LODGEMENT	
14) Improperly lodged application	ns will be refused.
An application is improperly l	odgedif:
 it is receivedlate; 	
the applicant makes no payr	ment, or short payment, or late payment of the application fee;
-the application is lodged in a	a manner contrary to the instructions.
MATERIAL DEFECTS	
15) Applications that are material	lly defective will be refused.
An application is materially de	efective if:
 the declaration is not signature representative(s) of the last signature 	gned and attested by the Applicant's authorised representative,and, if applicable, the authorise holdina company , sister company (ies) and the ioint venture partner(s) .
-the auditor's report is not	t submitted or signed;
	on is received for a right in the same sector;
 the applicant, or, if appli information or documen authority other than in the 	icable, the holding company, sister company(ies) and the joint venture partner(s) provides fals nts, fails to disclose material information or attempts to influence the Minister or the delegate ne manner provided for in the General Policy and in this application form.

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	Applica
1. The applicant must supply a profile fishing operations, as Schedule 1.	e of its organisation including an organogram and a descript A.
1.1 Registered Name of Applicant	
1.2 Trading Name of Applicant:	
1.3 Registration Number:	
1.4 Income Tax Number:	
1.5 Vat Number:	
1.6 Skills Development Levy Numbe	er:
1.7 Have the applicant's details (1.8. applicant registered?	.1 - 1.8.7d) changed since the O Yes O No
1.8 If "Yes", complete only those deta	tails that have changed:
	Number and Street
Business:	Suburb:
	Town / City:
	Postal Code:
1.8.2 Postal Address: Number a	Ind Street / PO Box 2
	Town / City
	PostalCode:
	Area Code:
1.8.3Telephone Number:	
1.8.4 Additional Telephone Number:	
1.8.5 Fax Number:	
1.8.6 Matherised Contact Person Fir	rst
1.8.7 Swithanised Contact Person	
a) Islandi (Normalian)	[]
a) Identity Number:	
b) Position Held/ Relationship to Ap	
c) Cellular Number:	· · · · · · · · · · · · · · · · · · ·

							Section 2
						Form o	f Applican
	s the applicant currently h ium term fishing right in th		() Yes		Ċ		
2.2 lf "Y	es", to which entity or per	son was the me	edium term	n fishing righ	nt allocated in	2001/2002	?
Q	Company Close Corporation Trust Individual	NameNameNameNameName					
2.3 ln.w	hat form of entity is the m	edium term fish	ing right cu	urrently held	n 🔿		
	Company Close Corporation Trust Individual	NameNameNameNameName					
	e applicant more than 50 ° an owned?	% South	() Yes	O No	Ç		
	s the applicant have a val ance certificate?	id tax	() Yes		~	$\langle \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \!$	
2.6 Com	plete the following table in	n relation to asse	et value ar	nd turnover:			~
200-	Year I (Financial Year End)	Т	LIMOVER		Gross Asset	Value (excludi	ng fixed property)
anyo	s the applicant hold any s other company that is app ium term right holder in th	olying as a	() Yes	() No	\heartsuit		
anyo	s the applicant hold any s company that is applying ant in this sector?	hare(s) in as a new	() Yes	⊖ No	Q		
							Section 3
	s the applicant, or any ectors:	/ of its memb	pers, sha	reholders C	or	Co	ompliance
3.1.1	been convicted of a c regulations, or permit period?				right O Ye	es Ono	<u>ک</u> ب
3.1.2	entered into a plea ba 51 of 1977, for a contra or permit conditions dur	avention of the	MLRA, or	the regulation		es () No	• 🕸
3.1.3	paid an admission of g the regulations, or the p right period?					es () No	• 🕸

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							Secti mplia
	3.1.4 been charged wit regulations or per right period?				() Yes		\$ <
3.2	Has a fishing vessel, mo of the applicant, or any o been detained, arrested preserved, confiscated Organised Crime Act 1: period?	of its sharehol or seized und or forfeited	ders, members ler the MLRA c under the P	or directors r restrained, evention of	() Yes	O no	\$
3.3	Was the applicant's right reduced or altered und medium term right period	ler section 28			() Yes	() No	\$
	alla dia dia di						Secti iel De
4.1	How many vessels has the	e applicant				- UCCC	
	nominated for use in this fis						~
4.2	Fill in the relevant details in	the tables bel	ow regarding ea	ch vessel nomi	nated:		\
			Vessel 1				
	el name						
	Area Number SA Number						
Numt	per of crew according to SAMSA v Certificate						
Senare av							
Owne	er of Vessel as indicated by South						
Owne Africa	·						
Owne Africa Natur Vesse	or of Vessel as indicated by South an Certificate of Registry of Access to Vessel of litted with a functioning VMS						
Owne Africa Natur Vessi Syste	er of Vessel as indicated by South in Certificate of Registry e of Access to Vessel al fitted with a functioning VMS m (VN)						
Owne Africa Natur Vessi Syste Manu Vessi	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel el fitted with a functioning VMS m (Y/N) facture of VMS System el Length in metres according to						
Owne Africa Natur Vossi Syste Manu Vesso SAMS	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel al fitted with a functioning VMS im (V/N) facture of VMS System al Length in metres according to SA Safety Certificate al Horsepower as per SAMSA						
Owne Africa Natur Vossi Syste Manu Vesso SAMS	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel el fitted with a functioning VMS m (V/N) facture of VMS System el Length in metres according to SA Safety Certificate						
Owne Africa Natur Vossi Syste Manu Vesso SAMS	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel al fitted with a functioning VMS im (V/N) facture of VMS System al Length in metres according to SA Safety Certificate al Horsepower as per SAMSA						
Owne Africa Natur Vesse Syste Vesse SAME Vesse Safety	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel el fitted with a functioning VMS m (VN) facture of VMS System el Length in metres according to SA Safety Certificate el Horsepower as per SAMSA y Certificate		Vessel 2				
Owne Africa Natur Vesse Sayste Manu Vesse SaMS Safety Vesse	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel al fitted with a functioning VMS im (V/N) facture of VMS System al Length in metres according to SA Safety Certificate al Horsepower as per SAMSA		Vessel 2				
Vesse Vesse Vesse Vesse Safety Vesse MCM	er of Vessel as indicated by South in Certificate of Registry to of Access to Vessel el fitted with a functioning VMS m (VN) facture of VMS System el Length in metres according to SA Safety Certificate el Horsepower as per SAMSA y Certificate		Vessel 2				
Owne Africa Natur Vesse Syste Manu Vesse Safety Vesse MCM SAMS Numb	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel al litted with a functioning VMS m (V/N) facture of VMS System al Length in metres according to 3A Safety Certificate al Horsepower as per SAMSA y Certificate		Vessei 2				
Vesse Safety Vosse McM Safety Owner	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel al fitted with a functioning VMS m (V/N) facture of VMS System al Length in metres according to 3A Safety Certificate al Horsepower as per SAMSA y Certificate al name Area Number A Number er of crew according to SAMSA		Vessel 2				
Owner Africa Natur Vesse Syste Manu Vesse Safety Vesse Safety Safety Owner Africa	er of Vessel as indicated by South in Certificate of Registry te of Access to Vessel al fitted with a functioning VMS m (V/N) facture of VMS System al Length in metres according to 3K Safety Certificate al Horsepower as per SAMSA y Certificate al name Area Number A Number er of crew according to SAMSA Certificate of Vessel as indicated by South		Vessel 2				
Owne Africa Natur Vesse SAMS Vesse Sams Vesse MCM Safety Owner Africar Nature Vesse Vesse MCM	er of Vessel as indicated by South in Certificate of Registry a of Access to Vessel el fitted with a functioning VMS m (VN) facture of VMS System el Length in metres according to SA Safety Certificate el Horsepower as per SAMSA y Certificate el Horsepower as per SAMSA y Certificate el Horsepower as per SAMSA y Certificate el Access to Vessel er of crew according to SAMSA Certificate r of Vessel as indicated by South in Certificate of Registry e of Access to Vessel		Vessal 2				
Owne Africa Natur Vesse SAMS Vesse Sams Vesse Sams Vesse MCM Safety Owne Africa Numb Safety Owne Africa	er of Vessel as indicated by South in Certificate of Registry re of Access to Vessel al litted with a functioning VMS m (V/N) facture of VMS System al Length in metres according to 3A Safety Certificate al Horsepower as per SAMSA y Certificate al name Area Number A Number er of crew according to SAMSA Certificate of Vessel as indicated by South in Certificate of Registry a of Access to Vessel		Vessel 2				
Owne Africa Natur Vesse SAMS Vesse SAMS Vesse Safety Vesse MCM Safety Owner Africa Nature Vesse MCM Safety Owner Africa Natur Vesse Safety Vesse Vesse Safety Vesse Vesse Safety Vesse Vesse Safety Vesse Vesse Safety Vesse Vesse Safety Vesse Vesse Safety Vesse Vesse Safety Vesse Vesse Vesse Vesse Vesse Vesse Safety Vesse Ves	er of Vessel as indicated by South in Certificate of Registry a of Access to Vessel el fitted with a functioning VMS m (VN) facture of VMS System el Length in metres according to SA Safety Certificate el Horsepower as per SAMSA y Certificate el Horsepower as per SAMSA y Certificate el Horsepower as per SAMSA y Certificate el Access to Vessel er of crew according to SAMSA (Certificate r of Vessel as indicated by South in Certificate of Registry e of Access to Vessel el fitted with a functioning VMS in (VN)		Vessel 2				

Section 4

Vessel Details

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eX	
	- Vessel 3
Vessel name	
MCM Area Number	
SAMSA Number	
Number of onew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length In metres according to SAMSA Safety Certilicate	
Vessel Horsepower as per SAMSA Safety Certificate	



*	
	Vessel 4
Vessel name	
MGM Area Number	
SAMSA Number	
Number of crew according to SAMSA Salety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Veasel fitted with a functioning VMS System (Y/N)	
Manulacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vassel Horsapower as per SAMSA Safety Certificate	



	Vessel 5
Vessel name	
MCM Area Number	
SAMSA Number	
Number of crew according to SAMSA Safety Certificate	
Owner of Vessel as indicated by South African Certificate of Registry	
Nature of Access to Vessel	
Vessel fitted with a functioning VMS System (Y/N)	
Manufacture of VMS System	
Vessel Length in metres according to SAMSA Safety Certificate	
Vessel Horsepower as per SAMSA Safety Certificate	

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		Se	ction 5
······	Ca	tch Utili	isation
5.1 Did the applicant over-catch or under-catch by more than 10% during the medium term rights period?	⊖ Yes	() No	٠

5.2 Complete the following in relation to the applicant's catch records.

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Year	Annual Catch Allocation (in metric tone)	Total Actual Catch of Applicants Allocation (Nominal tons)	Percentage under-caught	Percentage over-caught
2002 Season				
2003 Season				
2004 Segson				

			ection 6
Management and			mation Fauity
6.1 Was the applicant a designated employer as defined in section 1 of the Employment Equity Act, 55 of 1998 as at 28 February 2005?	() Yes	O No	
6.2.1 If "Yes", has the applicant complied with the Employment Equity Act, 55 of 1998?	⊖ Yes	() No	, /~
6.2.2 If "No", has the applicant voluntarily complied with the Employment Equity Act, 55 of 1998?	() Yes	O No	, 0

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Section 6 Transformation Management and Employment Equity 6.3.1 Complete the table below in respect of the applicant's board of directors (if a company) or members (if a close corporation) as at 28 February 2005. <u>_</u>____ ñ X Director / Member Name (Initial & Surname) Total Annual Remuneration (Total Cost to Company) Years of Service Black (Y/N) Gender (M/F) **ID Number** 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15

Section 6 Transformation
Management and Employment Equity

6.3.2 Complete the table below in respect of employees at 28 February 2005.



Between 1 - 5 Employees	The Top Salary Earner(Total Cost to Company)
Botween 6 - 30 Employees	The Top Two Selary Earners (Total Cost To Company)
Between 31 - 60 Employees	The Top 3 Salary Earners(Total Cost to Company)
Between 61 - 165 Employees	The Top 4 Salary (Total Cost to Company) Earners
More than 185 Employees	The Top 3% of Salary Earners (Total Cost to Company) up to 90 Employeea

	Empkyse Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
1							
2							
3							
4							
5							
6							
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9							
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11							
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Section 6

Transformation

Management and Employment Equity

í							
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Selary as a Percentage of Total Salaries in this Table	Gender (M/F)	ID Number
20							
21		1		1			
22		1		1			
23			1	1			
24				1			
25							
26							
27							
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							Section 6
		****	5.4.		omont and E		ansformation yment Equity
Ĩ)		Wic	inay			ymeni Lquity
	Employee Name	Organisational Title	Annual Salary (Total Cost to Company)	Black (Y/N)	Salary as a Percentage of Total Salaries in this Table	Gender (M/F)	10 Number
50	5		1				
57	7	1					
58	3						
59)					L	
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62	+	ļ		ļ		 	
63	· · · · · · · · · · · · · · · · · · ·		<u> </u>				
64		<u> </u>				 	
65			+	<u> </u>	 		
66			<u> </u>	<u> </u>			
67		<u> </u>		╂	<u> </u>		
68 69	<u> </u>		}	 			
70	}		<u> </u>		<u> </u>		
71	<u> </u>			<u> </u>		<u> </u>	
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	Complete the follow			ion to i	ncome			ent an plicant's			X	
	employees at 28 F	(Total Cos	t Numt			age of Tota		er of Black		entage of al Black		mber ol emale
1	to Company	1)	Empli	Pyces	Em	Xoyees	ЕП	ployees	En	nployses	Em	ployees
2	Between R2 500 an	d R5 500		.			+		+			
3	Between R5 501 and			<u> </u>			+					
4	Between R10 501 an			<u> </u>					+	<u></u>	+	
5	Batween R16 001 an						+	<u> </u>	+			
6	Between R20 001 an			<u> </u>			+		+			
7	Between R25 001 an						+		+			
8	Between R30 001 an	d R40 000					+	<u></u>	+		+	
9	Between R40 001 an	d R60 000		· ···			+					
10	>R60 000				<u> </u>				+		+	
					}		1				1	
	Total					00%				100%		
	Does the applicant	t contribu	Ite towar	ds med			nsion fo	or its	0 Y		No	Ć
e					dical aid	l and per				es ()	No	Ś
e 4.3 1 5 Cc	Does the applicant amployees? Does the applicant omplete the following	t provide ng table i	any othe in relation	er type	dical aic of bene applica	l and per fits for its ant's emp	s emplo oloyme	oyees? nt equity	O Y O Y profile	es O es O as provi	No ided to t	ine (
e 4.3] 5 Cc De	Does the applicant mployees? Does the applicant	t provide ng table i ir in Octo	any othe in relation ober 2004	er type n to the 4. If the	dical aid of bene applica	i and per fits for its ant's emp ant is not	s emplo oloyme	oyees? nt equity	O Y O Y profile	es O es O as provi	No ided to t	the oly
e 4.3 1 5 Cc De the	Does the applicant employees? Does the applicant omplete the following partment of Labou en the table must b	t provide ng table i ir in Octo ne comple	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica ebruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly `
e 4.3 I 5 Cc De the Occ	Does the applicant employees? Does the applicant omplete the followin partment of Labou on the table must b	t provide ng table i ir in Octo	any othe in relation ober 2004	er type n to the 4. If the	dical aid of bene applica	i and per fits for its ant's emp ant is not	s emplo oloyme	oyees? nt equity	O Y O Y profile	es O es O as provi	No ided to t ily comp	oly
e 4.3] 5 Cc De the Occ	Does the applicant employees? Does the applicant omplete the following partment of Labou en the table must b	t provide ng table i ir in Octo e comple Gender	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica ebruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly `
e 4.3 1 5 Cc De the Occ Profes	Does the applicant employees? Does the applicant omplete the followin partment of Labou en the table must b upational Categories Senior Management /	t provide ing table i in Octo e comple Gender Mate	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica ebruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly `
e 4.3 1 5 Cc De the Occ Fop &	Does the applicant employees? Does the applicant omplete the followin partment of Labou en the table must b upational Categories Senior Management / Officials and Managers	t provide ing table i in Octo e comple Gender Mate Female	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica ebruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly `
e 4.3 1 5 Cc De the Occ Professeri m substitute 1 guarditionaria	Does the applicant employees? Does the applicant omplete the followin partment of Labou en the table must b upstional Categories Senior Management / Officials and Managers asionally Qualified and encod specialists and incomanagement / Professionals	t provide ing table i in in Octo e comple Gender Male Male	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica ebruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly `
e 4.3 1 5 Ccc De the Occi Fop & anior 1 Profector profector m recommendation for the country for the for the country for the for the for the country for the for the f	Does the applicant employees? Does the applicant omplete the followin partment of Labou an the table must b upational Categories Senior Management / Officials and Managers salonally Qualified and enced specialists and id-management / Professionals	t provide ing table i in in Octo be comple Gender Male Female Male Female Female	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica abruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly V otal
e 4.3 1 5 Ccc De the Occ Top & enfor Profes experi- m fielded 1 former Tech Entor Fro	Does the applicant employees? Does the applicant omplete the followin partment of Labou en the table must b upational Categories Senior Management / Officials and Managers salonally Qualified and enced specialists and incrmanagement / Professionals Technol and exademicatly ad women, apprintents / Professionals Red enced specialists and incrmanagement / Professionals	t provide ing table i in in Octo be comple Gender Male Female Male Female Male Female Male	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica abruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly V otal
e 4.3 1 5 Ccc De the Occ Top & enfort Profess particles for super- resident for super- for for for for for for for for for for	Does the applicant employees? Does the applicant omplete the followin partment of Labou en the table must b upational Categories Senior Management / Officials and Managers salonally Qualified and enced specialists and domanagement / Professionals Technics and academically ied workers, supervisors, en and appendiced ents / miniana and Associate Profusionals	t provide ing table i in in Octo be comple Gender Male Female Male Female Female	any othe in relation ober 2004 eted as a	er type n to the 4. If the at 28 Fe	dical aic of bene applica applica abruary	I and per fits for its ant's em ant is not 2005.	s emplo oloyme design	oyees? nt equity lated or o	OY OY profile did not	es O es O as provi voluntar	No ided to t ily comp	oly `

A = African, C = Coloured, I = Indian, W = White, M = Male, F = Female, ACI = African, Coloured and Indian

20

Section 6

Management and Employment Equity

6.6.1 Complete the tables below in relation to employment equity figures for Senior Officials and Managers only.

.

										To	tal
Occupational Categories	Gender	A No	A %	CiNo	С%	1 No	1%	W No	W %	ACI %	F%
Top and Senior Management / Senior Officials and	Male										
Managers (as per 2001 application form)	Female										
Top and Senior Management / Senior Officials and	Male										
Managers 2002 (if not designated as at financial year end 2002)	Female										
Top and Senior Management / Senior Officials and Managers 2004 (if not designated as at financial year end 2004)	Male										
	Female										

6.6.2 Complete the tables below in relation to employment equity figures for Professionals only.

	1									Te	nai 👘
Occupational Categories	Gender	A No	Α%	C No	С%	1 No	1%	W No	W %	ACI%	F%
Professionally qualified and experienced specialists and	Male										
mid-management / Professionals 2001 (as per 2001 application form)	Female										
Professionally qualified and experienced specialists and mid-management /	Male										
Professionals 2002 (if not designated as at financial year end 2002)	Female										
Professionally qualified and experienced specialists and mid-management / Professionals 2004 (if not designated as at financial year end 2004)	Male										
	Female										

Section 6 Transformation	Only
	on 6 tion
-	Contraction of the local division of the loc

6.7 Is the applicant a company?

O Yes O No

O Yes ○ No

X

6.7.1 If "Yes", complete the table below in respect of shareholding held by black persons:

Yaar	Percentage Shareholding Held by Black Persons	Percentage Voting Rights Held by Black Persons	Percentage of Dividends Black Shareholders are Entitled To
2001 (as in 2001 application form)			
2005 (at date of application)			

6.7.2 Complete the following table in relation to shareholding held by women based on the flow through principle:

Year	Percentage Shareholding Held	Percentage Voting Rights Held	Percentage of Dividends Women are
	by Women	by Women	Entitled To
2005 (at date of application)			

- **6.7.3** Did the applicant have a share scheme in place in 2004 for employees?
- **6.7.4** If "Yes", what is the percentage shareholding held by or for employees not listed under question **6.3.2**, through the scheme?
- 6.7.5 Complete the following table in relation to monies paid to shareholders:

Year	Salaries Paid to Shareholders	Loans Made to Sharaholders	Dividends Paid to Shareholders
2002 (financial year)			
2003 (financial year)			
2004 (financial year)			

6.7.6 Was the applicant managed by another entity, or was the catching, processing or marketing of the 2004 allocation in this fishery performed by another entity during the 2004 financial year?

O No

O Yes

6.7.7 If "Yes", complete the table below:

Function	Rand Amount Paid to Other Entity during the 2004 Financial Year	Rand Amount as a Percentage of the Applicant's Total Turnover in 2004
Management		
Catching		
Processing		
Marketing		

ŧ

				Transi	noitemto
		Owne	ership - Clos		
6.81s the applicant a c	lose corporation ?	0	res 🔿 No		1
6.8.1 If "Yes", complete	e the table below in res	pect of members i	nterest held by bla	ack persons:	
Year	Percentage Interest Held Black Persons	by Percentage Votin Black P		ercentage Profit Distr Persons are Ent	
2001 (as in 2001 application torm)					
2005 (as at date of application	1)				
6.8.2 Complete the tab	le below in relation to m	nembers interest h	eld by women:		
Year	Percentage Interest Held Women		Rights Held by Perc	entage Profit Disiriou Entitled To	
2005 (as at date of application)				
	× · · · ·		ł		
6.8.3Did the applicant in 2004?	nave a profit sharing so	cneme for employe	ees in place C	Yes 🔼 No	0~0
6.8.4 f "Yes", what per under question 6.3		employees not liste	ed [/// ////
			_		
6.8.5Complete the follo	owing table in relation to	o monies paid to m	nembers:	<u> </u>	
Year	Salaries Paid to Mer	nbers Loans N	lade to Members	Profit Distributed to	Members
2002 (financial year) 2003 (financial year)					
2004 (financial year)					
6.8.6 Was the applicant processing or mar financial year?	managed by another e keting performed by ar) Yes () No	
6.8.7 If "Yes", complete	the table below:				J ^{an}
Function	Rand	Amount Paid to Other E		Amount as a Percent oplicant's Turnover I	
Management					
Catching					
Processing		·····			
Marketing					

4

				Se Transfor Skills Develo	
 6.9 Has the applicant met the targets set in the Transformation Plan submitted in 2001? 6.10 Complete the following table in relation Act 9 of 1998: 	Ö Fully In to complia	O Somewh a		O Not at all nent Levies	3
Year Workplace Skills Plan subm which was approved (Y/ Training	te applicant it an Aorual Report which groved (Y/N)	Rand Amount Paid SARS in skills development levier	Hercentages HerSpent on		iget Spent
 6.11 Did the applicant participate in a learne term rights period? 6.12 If "Yes," indicate the number of learne employees in respect of whom the app2004 (tax year end). 	rships as a j	percentage of th	ie	() yes () No	~
6.13. Did the applicant participate in any oth programmes through its SETA in 2006.14.1 How many skippers are used by the applicant?			Strategy?	() Yes (<i>O</i> No	¢.
6.14.2 What percentage of these skippers are black?	[C	orporate	Social Inves	tment
 6.15 Does the applicant make donations of its annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962 ? 6.16 If "Yes", indicate what percentage of ne 2004 financial year these donations and sections and sections. 	y f et profit earr		○ 0 - 0.5 % ○ <i>0.5</i> - 1 %	P	U U
		(○ > 1 %		

Section 6 Transformation

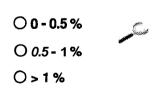
Corporate Social Investment

Year	Benefitting Organisation	Rand Value of Amount Donated
2001 - 1		
2001 - 2		
2001 - 3		
2001 - 4		
2001 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2002 - 1		
2002 - 2		
2002 - 3		
2002 - 4		
2002 - 5		
Year	Benefitting Organisation	Rand Value of Amount Donated
2003 - 1		
2003 - 2		
2003 - 3		
2003 - 4		
2003 - 5		
Year	Benefitting Organisation	Rand Value of Anyount Donated
2004 - 1		
2004 - 2		
2004 - 3		
2004 - 4		
2004 - 5		

6.17 Indicate the five largest tax deductible donations made per annum since 2001 in the table below.

6.18 Does the applicant make any other donations (in addition to those which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962, but not including school fees)?

6.19 If "Yes", indicate what percentage of net profit earned in the 2004 financial year these other donations amount to :



○ Yes ○ No

6.20 If other donations were made, indicate the five laraest such donations made in 2004 in the table below:

Year Benefitting C	Organisation Ran	d Value of Amount Donated
2004-1		
2004 - 2		
2004 - 3		
2004 - 4		
2004-5		

1

					ection 6
		Af	firmativ	Transto ve Procu	rmation rement
6.21 Does the applicant have a written affirmatiie procurement policy?	0	Yes O No	i		Q
6.22 Provide the following information in re (operational expenditure) in 2004 :	elation to the applicar	nt's top ten Sou	th African	suppliers	CY o
Name of Supplier	Percentage of Black Ownership of Supplier	Amount Paid to S Rands in 2		Percentage Expense	
		+			
	· · · · · · · · · · · · · · · · · · ·				
	· · · · · · · · · · · · · · · · · · ·				
6.23 Has the applicant determined its		<u> </u>	O Yes	<u>О NO</u>	
affirmative procurement spend as a percentage of total procurement spend	l?		0 163	O NO	V
6.24 Has the applicant insituted a system measure its affirmative procurement spend as a percentage of total procurement spend?			<i>O</i> Yes	<i>O</i> No	
				Se	ction 7
	Vesse	l, Crew and		onmenta Contrave	
7.1 Has the applicant, during the medium t forfeiteda deposit by way of penalty un Shipping Act, 57 of 1951?			r	Yes () No	X77
7.2 Has the applicant, during the medium to forfeited a deposit by way of penalty for Occupational Safety Regulations of 199	contravening the Ma		O1	∕es ○No	Q

		Sect	ion 7
Vessel, Crew and En		C	969 (COLOR 1998)
	Con	traven	tions
7.3 Has the applicant, during the medium term rights period, been convicted, or forfeited a deposit by way of a penalty for contravening the Crew Accommodation Regulations, 1961?	() Yes	O №	\heartsuit
Crew Safety and W	/orking	Cond	itions
7.4 Has the applicant ever reported an accident in terms of section 6 of the Maritime Occupational Safety Regulations?	() Yes	O No	Q
7.5 Has a qualified safety officer been appointed for each nominated vessel in terms of section 36 of the Maritime Occupational Safety Regulations?	() Yes	O No	\mathfrak{A}
7.6 Has every crew member on board each nominated vessel completed the approved safety induction training required by the Merchant Shipping Act, 57 of 1951?	() Yes	O No	Q
7.7 If a nominated vessel is of 25 gross tonnage or more, has the vessel been issued with a valid SAMSA Safe Manning Document required in terms of the Merchant Shipping (Safe Manning) Regulations?	() Yes	O No	Q
7.8 Has the applicant completed a formal safety assessment in terms of Marine Notice no 26 of 2004 ?	() Yes	O No	\mathfrak{r}
7.9 Has the applicant registered with the Commissioner in terms of the Compensation for Occupational Injuries and Diseases Act 130 of 1993?	() Yes	O No	<u>_</u>
7.10 Does the applicant have an HIV/AIDS policy?	OYes	() No	Q
	V	essel S	Safety
7.11 Have the nominated vessel(s) been issued with a valid Local General Safety Certificate?	() Yes	O No	Ś
7.12 Are any operational limits imposed on the nominated vessel(s) by its Local General Safety Certificate (E.g. No more than 200 nautical miles off the South African coast)?	() Yes	O No	
En	vironm	ental S	Safety
7.13 Has the applicant, during the medium term right period, been convicted or forfeited a deposit for contravening any part of the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986 or Section 30 of the Marine Pollution (Control and Civil Liability) Act 6 of 1981?	() Yes	() No	Q
7.14 Does the applicant have a Garbage Management Plan for each nominated vessel as stipulated in terms of Annex V of Marpol, as set out in the Schedule to the Marine Pollution (Prevention of Pollution from Ships) Act 2 of 1986?	OYes	ONo	Ś

					Section 8
8.1 Complete the foll	owing tables in re	lation to job cr	eation.		Job Creation
8.1.1 Table 1	_	-			
respe	h the which the	n Employees it respect of which the applicant doe	respect of which the	8 888 9 2 3 4 2 9 1 9 1 9 2 3 8 9 2 8 2 8 2 8 2 8 2 8 2 8 2 8 2 8 2 8	al Amount of Percentage
Year eppin prepara Centifi	cates prepares IRP Cates Certificates	5 not prepare IRP5	not prepare IRP5 Certificates	(Columne 1-4) P	alary Bill as decrease in decrease in total number of employees
2001 (data of	Based) (Sea Based)	(Land Based)			
application) 2002 (financial year and)			-		
2003 (finankiai yaar ond)					
2004 (financial year and)					
2005 (20 February 2005)		_			
8.1.2 Table 2 Applicant's Annual Catch Al	Y, Y, Y, Y, Y, S S R (S P) S N R (S P) S (Total Annual Salary	r Bill (in relation to thi Il Year End as per T	s How much de	es the applicant spend on
the applicant in this fis	hery in 2004 nam		i tea chù as per t. 1.1	salaries/w	ages per ton allocated?
2. 8.1.3Table 3]			1	
Applicant's Annual Catch	The Party of the			otal Employees lass	How many people (less
Allocation (in tone) in this issume 2004	Total Employees (in relation to this fishery) (28 February 2005)	How many per applicant em alloca	ploy per ton Se	asonal Employees (in tion to this fishery) (28 February 2005)	SAARONA AMOMMORE door
		1			
8.1.4 Table 4			I		
Agelloact's live highest a Company) paid between 1 Mai	nnual salaries (Intal cos rch 2004 and 28 Eebrua	t to Average	of top five salaries	What was the multiple five lowest and the	average of the live highest
		.,		salaries at	28 February 2005?
Applicants five lowest an	nual salaries (total cost	to Averaç	e of lowest five		
company) paid between 1 Mar	ch 2004 and 28 Februar	y 2005	salaries		
• • • • • • • • • • • • • • • • • • •	·				
	<u> </u>				

Section 9 Investment

9.1 Complete the following tables:

9.1.1 Table 1

Year	Book Value of Total Fixed Assets (in relation to this fishery)	Percentage Increase or Decrease in Rand Value of Total Fixed Assets (in relation to this fishery)	Total Book Value of Total Land Based Fixed Assets (in relation to this fishery)	Total Book Value of Harbour and Sea Based Fixed Assets (in relation to this fishery)
2002 (financial year end)				
2003 (financial year end)				
2004 (financial year end)				

9.1.2 Table 2

Year	Fixed Assets (in relation	Percentage Increase or Decrease in Rand Value of Total Fixed Assets (in relation to this fishery)	Total Insured Value of Total Land Based Flored Assets (in relation to this fishery)	Total Insured Value of Harbour and Sea Based Flued Assets (in relation to this fishery)
2002 (financial year and)				
2003 (financial year end)				
2004 (financial year end)				

9.1.3 Table 3

Annual Catch Alocation (in tons) to the applicant in 2004	Book Value (in rands) of harbour and see-based assets at 2004 financial year end	BOOK VALUE (IN FAINDS) OF	Book Value of Land-Based Assets per ton allocated to applicant

9.1.4 Table 4

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Annual Catch Allocation (in tons) to the applicant in 2004	land-based assets at 2004	Insured Value of Harbour and Sep-Based Assets per ton allocated to applicant	Based Assets per ton

9.1.5 A detailed explanation of all investment made in marketing activities must be included as an annexure.

9.1.6 A detailed explanation of all investment made in processing activities must be included as an annexure.



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Section 9
Investment

9.1.7 Has the applicant invested in on-board or land based processing facilities? O Yes O No

9.1.8 If yes, please complete the following table:

Annual Catch Allocation (in metric tons) to the applicant in 2004	Nominal Tons of Applicants Allocation Processed On Board Vessel	Nominal Tons of Applicant's Allocation Processed on Land	Nominal Tons Processed on Behalf of other Right Holders on Land

Section 10

Local Economic Development

10.1 Complete the following table in relation to harbours and landing sites used:

Hartour / Landing Site Name	Tons Landed at Herbour in 2004 calendar year	Percentage of Total Catch Landed in 2004 calendar year
	1	
TOTAL		

10.2 At which harbours and landing sites will the applicant land its catches?	Harbour / Lano	ling Site Names
applicant land its catches?		

10.3 Has the applicant made any investment in physical infrastructure at these harbours or landing sites in order to improve or make landing catches at these harbours possible?

O Yes O No



			Section 11
11.1 Is the applicant directly inv olved in any value adding process, in particular, the development of products for the human consuption of anchovy and sardine?	O Yes	Value A	Add & Enterprise Development
11.2 Has the applicant been responsible for any enterprise development?	() Yes	() No	$\langle \mathcal{C} \rangle$
			Section 12 Performance Financial

12.1 What is the applicant's 2004 audited, verified or certified Annual Turnover?

12.2 Indicate ti rand value and percentage of total turnover derived from this fishery:

Annaul Catch Allocation (in tons) to the Applicant in 2004	Turnover Generated in 2004 francial year by Annual Catch Allocation	Percentage of Total Turnover

12.3 Complete the following table in relation to ratio performance:

I

4

Year	Return on Net Assets (RONA)	Debt: Equily Railo	Current Ratio	Quick Ratio (Acid Test)
2001				
2002				
2003				
2004				

12.4 Provide the following information in relation to the applicant's income statements:

Year	Turnover	Profit After Tex	Retained Earnings	Dividends Paid / Distribution of Profile
2001				
2002				
2003				
2004				

i

	wez					Sect Perform
rovide the follow	ing information in r	elation to the	applicant's	s balance sheet:		Fin
Year	Assets		m Liabilities	Current Liabiliti		Shareholder's E Aember's Contri
2001						
2002			<u></u>			
2003						<u> </u>
2004						
Year	Net Cash Inflow			flow / Outflow from	Net Cast	00000000000000000000000000000000000000
	Operat			ting Activities	Fin	ancing Activitie:
2001					Fin	ancing Activitie:
					Fin	ancing Activitie:
2001 2002					Fin	ancing Activitie:
2001 2002 2003			Inves		Fin	anoing Activitie:
2001 2002 2003	Operat		Inves		Fin	ancing Activities
2001 2002 2003 2004			Inves	ting Activities	Fin	Fishing
2001 2002 2003 2004			Inves	ting Activities	Fin	Fishing
2001 2002 2003 2004	t have a fishing pla	bne	Inves	ting Activities	S O N	Fishing o Secti

Year	Annual By-Catch (in tons)	Annual Utilised By-Catch as a Percentage of Total Catch	Annual Unutilised By Catch, Juvenile Species and Endangered Species as a Percentage of Total Catch
2001			
2002			
2003			
2004			

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Section 15

Amount

Fishing Levies

	ustaina		tion 14 Ictices
14.2 Has the applicant put in place any measures to reduce energy and fuel consumption on vessels, and in processing facilities?	() Yes	() No	$\langle \! \langle \! \langle \! \langle \! \rangle \!$
14.3 Does the applicant intend to put in place any measures to reduce energy and fuel reduction consumption on vessels, and in processing facilities?	() Yes	() No	Ś
14.4 Has the applicant undertaken or sponsored any research in relation to environmentally sustainable practices?	() Yes	() No	

15.1Please provide the following information in relation to levies paid since 2001:

Year	Species	Total Catch in Tons	Lovies Paid
2001			
2001			
2001			
2001			
2001			
2002			
2002			
2002			
2002			
2002			
2003			
2003			
2003			
2003			
2003			
2004			
2004			
2004			
2004			
2004			

15.2 What was the date and amount of the applicant's most recent levy payment?

I

yyyy/mm/dd

			Section 16
], t]	l di siskati t	Applicant	Declaration
	ons set out (pages 2 and 3 of this		Declandiion
 (c) I accept that if any info disclosed, this may lead license or permit granted (d) In order to allow for the 	d to the Application being refused, o don the strength of this Application, in proper verification of information su	ue or complete, or if false information is provided, or r to the revocation, suspension, cancellation, alteration n terms of Section 28 of the Marine Living Resources A ubmitted I hereby authorise any institution, organ of st	n or reduction of any right, Act 18 of 1998; ate. person ar body. who
or an official of the Depai (e) The applicant undertake explanations, by attendir	tment of EnvironmentalAffairs and T es to co-operate with any investiga ng meetings with investgators. by a	cation, to disclose or make the informationavailable to ourism or the Rights Verification Unit; ators by timeously submitting responses to written re answering questions satisfactorily at such meetings ocuments. The applicant accepts that failure to co-	quests for information α
constitute an independer (9 The applicant accepts th	at ground for refusing an application; at any attempt to influence the decis	sion of the delegated authority or appellant authority on the General Poky or in this application form, will resu	the allocation of a right or
Signed at:			
-	day of		
-	•		
Representative's			
The authorised represe declaration. Commissioner of Oaths		knows and understands the contents and imp	
Full Name			
Designation			
Physical Address			
(a) I have read the instruction	y make oath and declare that: Ins set out on pages 2 and 3 of this a d with and in this Application is true a		ny Declaration
 (c) I accept that if any info disclosed, this may lead license or permit granted (d) In order to allow for the 	prmation in this Application is not tru d to the Application being refused, or on the strength of this Application, in proper verification of information su any information relevant to the appli	In control and complete. r to the revocation, suspension, cancellation. alteration terms of Section 28 of the Marine Living Resources A ubmitted I hereby authorise any institution, organ of st cation, to disclose or make the informationavailable to ourism or the Rights Verification Unit;	n or reduction of <i>any</i> right, ct 18 of 1998; ate, person a r body, who
or an official of the Depa	anone of Environmental mano and i		
(e)	(3rd party	name) undertakes to co-operate with any investigators, s, by attending meetings with investigators, by answerin ators access to premises. vessels and documents. T ant ground for refusing an application;	a questions satisfactor ly
 or an official of the Departicipation (e)	(3rd party) uests for information or explanations here necessary, by granting investig is regard will constitute an independe	s, by attending meetings with investigators, by answerin lators access to premises. vessels and documents. T ent ground for refusing an application; y name) accepts that any attempt to influence the d guantum or effort, in any manner other than provided fo	ng questions satisfactorily he <i>applicant</i> accepts that
 or an official of the Depart of	(3rd party uests for information or explanation nere necessary, by granting investig is regard will constitute an independe (3rd part hority on the allocation of a right or ill result in the application being refuse	s, by attending meetings with investigators, by answerin lators access to premises. vessels and documents. T ent ground for refusing an application; y name) accepts that any attempt to influence the d quantum or effort, in any manner other than provided for ed.	ng questions satisfactorily he <i>applicant</i> accepts that
 or an official of the Departing of the Department of the Depa	(3rd party uests for information or explanations here necessary, by granting investig is regard will constitute an independe (3rd part hority on the allocation of a right or ill result in the application being refuse	s, by attending meetings with investigators, by answerin (ators access to premises. vessels and documents. T ent ground for refusing an application; y name) accepts that any attempt to influence the d quantum or effort, in any manner other than provided for ed.	ng questions satisfactorily he <i>applicant</i> accepts that
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STAATSKOERANT, 15 JUNIE 2005

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disclosed, this may lea	ad to the Application being refused ad on the strength of this Application	d, or to the rev∞ation, Suspension, cancellation, alteration or reduction or n, in terms of Section 28 of the W i n e Living Resources Act 18 of 1998;	of any righ t,
possesses or acquires	any information relevant to my ap	n submitted I hereby authorise any institution, organ of state, person or oplication, to disclose or make the information available to the Minister, h	body. who iis delegate
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-	-	e of Sister Company (if applicable)	
8			
This	day of	2005	
Signature of Auth	norised Representative	6:	
Representative's			
The authorised represideclaration.	entative declares that he/shi	e knows and understands the contents and implications of t	he above
Commissioner of Oaths			
Full Name			
Designation			
Physical Address			
I, the undersigned, do here (a) I have read the instruct	by make oath and declare that: ions set out on pages 2 and 3 of thi	is application form; JV Partner Declarat	ion
(b) the information submitt (c) I accept that if any in	ed with and in this Application is tru formation in this Application is not	ue and correct and complete. t true or complete, or if false information is provided, or material Informa	ationis not
disclosed, this may lea	ad to the Application being refused d on the strength of this Application	d, or to the revocation, suspension, cancellation, alteration or reduction on n. in terms of Section 28 of the Marine Living Resources Act 18 of 1998 :	of any right,
possesses or acquires	any information relevant to the ap	n submitted I hereby authorise any institution, organ of state, person or oplication, to disclose or make the informationavailable to the Minister. hi Id Tourism or the Rights Verification Unit;	body, who is delegate
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Signature of Auth	orised Representative	e of JV Partner (if applicable)	
Signed at:			
This	day of	2005	
Signature of Auth	orised Representative	e:	
Representative's	•		
The authorised represe	intative declares that he/she	e knows and understands the contents and implications of it	na abova
declaration.			
Commissioner of Oaths			
Full Name Designation			
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Physical Address			

SCHEDULES

IF THE APPLICANT CANNOT PROVIDE THE INFORMATION REQUESTED IN ANY OF THE SCHEDULES BELOW, THE APPLICANT MAY SUBMIT AN EXPLANATION FOR THIS IN PLACE OF THAT ANNEXURE

Schedule 1 - Applicant Details

- a) Provide, as Annexure 1A a brief profile of the applicant organisation including an organogramwhich clearly indicates the applicant's business model including holding company, sister company and joint venture partner relationships. The applicant should pertinently indicate if ± intends to apply in more than one sector, is involved in industries other than the fishing industry and if the applicant does rely on information of holding companies, sister companies and joint venture partners in this application. The profile may not exceed 5 pages.
- b) Section 1.3: Provide a copy of a valid company or close corporation registration certificate as Annexure 1B.
- c) Section 1.8.6: Provide a copy of a resolution duly authorising the person named in Section 1.8.6 to be the authorised contact person, as Annexure 1C.

Schedule 2 - Form of Applicant

- a) Section 2.1 : Provide a copy of the 2005 permit as Annexure 2A.
- b) Section 2.2: Provide a copy of the letter granting a right as Annexure 2B.
- c) Section 2.3: If the medium term right is currently held by an entity different from the one listed in Section 22, provide a detailed explanation as Annexure 2C.
- d) Section 2.5: Provide a copy of a valid SARS tax clearance certificate as Annexure 2D.
- e) Section 2.7: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2E.
- f) Section 2.8: If "Yes," provide a detailed statement describing percentage of shareholding, the name and the registration number of the company as Annexure 2G.

Schedule 3 - Compliance

- a) Section 3.1.1 : If "Yes", provide details and documentation regarding the conduct of the applicant, the identity and conduct of the members, shareholders or directors resulting in the conviction, the dates of conviction, and the penalties imposed as Annexure 3A.
- b) Section 3.1.2: If "Yes", provide details and any documentation of the plea bargain arrangement entered into, and details regarding the conduct and identity of the individuals giving rise to the plea bargain, the dates and specifics of the plea bargain, as Annexure **3B**.
- c) Section 3.1.3: If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and rand amount paid as Annexure 3C.
- d) Section 3.1.4: If "Yes", provide details and any documentation regarding the offence including information regarding the conduct and identity of the individuals giving rise to the fine, the date of offence and penalty as Annexure 3D.
- e) Section 3.2: If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct and identity of individuals involved as Annexure 3E. Also provide details if a final confiscation or forfeiture order was /was not granted under the Prevention of Organised Crime Act.
- f) Section 3.3: If "Yes", provide details and any documentation including the identity and conduct of individuals giving rise to the Section 28 procedure as Annexure 3F.

Schedule 4 - Vessel Details

- a) Section 4.2: For each vessel nominated, provide copies of the following documents:
 - i) SAMSA Safety Certificate(s) as Annexure 4A;
 - ii) South African Certificate(s) of Registry as Annexure 4B;
 - iii) agreement(s) providing access to the vessel if the applicant is not the majority shareholder or the sole owner of the vessel as Annexure 4C;
 - iv) Local General Safety Certificate(s) as Annexure 4D.
- b) If the applicant is unable to furnish any of the above documents for any of the nominated vessels, the applicant must provide an explanation for this as Annexure 4E.

Schedule 5 - Catch Utilisation

a) Section 5.2: If the applicant over- or under-caught by more than 10% in any year, a detailed explanation must be attached as Annexure 5A.

Schedule 6 - Transformation

- a) Section 6.3.1: If the applicant completed Section 6.3.1 in respect of the board of directors of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.1 for the applicant and the other entities separately, and submit these as Annexure 6A.
- b) Section 6.3.2: If the applicant completed Section 6.3.2 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.3.2 for the applicant and the other entities separately, and submit these as Annexure 6B.
- c) Section 6.4.1 : If the applicant completed Section 6.4.1 in respect of the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.4.1 for the applicant and the other entities separately, and submit these **as** Annexure 6C.
- d) Section 6.4.2: If "Yes," the applicant must provide details of contributions made to medical aid and pension, including details of the classes of beneficiaries, the employer's contributions and the nature of the benefits as Annexure 6D.
- e) Section 6.4.3: If "Yes," the applicant must provide details of the nature of the benefits provided, classes of beneficiaries and the employer's contribution as Annexure 6E.
-) Section 6.5, 6.6.1 and 6.6.2: If the applicant completed Sections 6.5, 6.6.1 and 6.6.2 with reference to the employees of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the tables in Section 6.5, 6.6.1 and 6.6.2 for the applicant and the other entities separately and submit these as Annexure 6F.
- 3) Section 6.7.1: Explain how black shareholding and black voting rights were calculated as Annexure 6G. This annexure should include details of any Black Economic Empowerment deals the applicant has concluded. The annexure must also include a complete list of all shareholders, following the flow through principle. This list should indicate the name, identification / registration number, whether the shareholder is black (if natural person), or what percentage of the shareholder is black (if company or close Corporation), the gender of the shareholder (if natural person), or what percentage of the shareholder is female (if company or close corporation).
-) Section 6.7.3: If "Yes," provide details regarding the applicant's employee share scheme, paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6H.

Schedule 6 - Transformation

- i) Section 6.7.5: Provide a breakdown of all salaries, loans and dividends paid to shareholders for each year including the name, identification number of the shareholder, as well as whether the shareholder is Black (if natural person, or name and company registration number and percentage black shareholding or interest if juristic person), as Annexure 61.
- j) Section 6.7.7: If the applicant completed any part of the table in question 6.7.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity, as Annexure 6J.
- k) Section **6.8.1:** Provide a list of all the applicant's members names, identification number, whether the member is black and the gender of the member, as Annexure **6K**.
- I) Section 6.8.3: If "Yes," provide details of the applicant's employee profit sharing scheme paying particular attention to actual benefits to employees, as well as a copy of the employee share scheme policy as Annexure 6L.
- m) Section **6.8.5**: Provide a breakdown of all salaries, loans and profit distribution paid to members for each year, including the name and identification number of the member, as well as whether the member is Black, as Annexure **6M**.
- n) Section 6.8.7: If the applicant completed any part of the table in question 6.8.7, the applicant must provide details regarding the entity, the relationship between the applicant and the entity, and whether the applicant or the applicant's holding company have any equity interest in the other entity as Annexure 6N.
- o) Section 6.9: Provide a summary of the targets set in the Transformation Plan, the extent to which these were met and the measures taken to reach the targets as Annexure 60.
- p) Section 6.10: If the applicant completed Section 6.10 with reference to the payroll and training budgets of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 6.10 for both the applicant and the other entity(ies) separately and submit these as Annexure 6P.
- q) Section 6.12: If the applicant completed Section 6.12 with reference to the leanerships of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.12 for both the applicant and the other entities separately and submit these as Annexure 6Q.
- r) Section 6.13: If "Yes" provide a detailed description of the applicant's participation in such skills development programmes, as well as any supporting documentation from the SETA, as Annexure 6R.
- s) Section 6.14.1 and 6.14.2: If the applicant completed Sections 6.14.1 and 6.14.2 with reference to the skippers of both the applicant and of any other entities as per the Explanatory Notes, the applicant must provide a breakdown of the information required in Section 6.14.1 and 6.14.2 for both the applicant and the other entities separately and submit these as Annexure 6S.
- t) Section 6.15, 6.16 and 6.17: If the applicant completed Sections 6.15, 6.16 and 6.17 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.17 for both the applicant and the other entities separately and submit these as Annexure 6T.
- u) Section 6.18, 6.19 and 6.20: If the applicant completed Sections 6.18 and 6.19 with reference to the donations of both the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Section 6.20 for both the applicant and the other entities separately and submit these as Annexure 6U.
- v) Section 6.21: If "Yes" provide a copy of the Affirmative Procurement Policy as Annexure 6V.
- **w**) Section 6.22: Provide a breakdown of capital and operational expenditure paid to South African suppliers, including the percentage black ownership of these suppliers as Annexure 6W.
- x) Section 6.23: If "Yes," explain how the Applicant determined its affirmative procurement spend as Annexure 6X.

Schedule 7 - Safety

- a) Section 7.1: **If** "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7A.
- b) Section 7.2: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7B.
- c) Section 7.3: If "Yes," provide a detailed explanation of the contravention, including the date of contravention, the offence and the penalty imposed as Annexure 7C.
- d) Section 7.4: If "Yes," provide a detailed desription of the accident as Annexure 7D .
- e) Question 7.5: If "Yes," provide the name, identity number and qualification of each safety officer for each vessel. If "No," please provide a detailed explanation of why the applicant has not appointed a qualified safety officer for each vessel. The information provided under this section must be contained in Annexure 7E.
- f) Section 7.6: If "No," provide a detailed explanation as Annexure 7F.
- g) Section 7.7: If 'Yes," provide a copy of the Safe Manning Document as Annexure 7G. If "No," and the nominated vessel is of 25 gross tonnage or more, provide a detailed explanation as Annexure **7G**.
- h) Section **7.10**: If "Yes," provide a copy of the policy as Annexure **7H**. If "No," an explanation of why the applicant does not have an HIV/AID policy must be provided as Annexure **7H**.
- i) Section 7.11: If "Yes," provide a copy of the Local General Safety Certificate as Annexure 71. If "No," a detailed explanation must be provided as Annexure 71.
- j) Section 7.13: If "Yes," provide details and documentation regarding the conduct of the applicant, its members, shareholders or directors resulting in the convictions and penalties imposed as Annexure 7J.
- k) Section **7.14**: If "Yes," provide a copy of the plan as Annexure 7K. If "No," provided a detailed explanation of why not **as** Annexure **7K**.

Schedule 8 - Job Creation

a) Section 8.1: The applicant must provide breakdowns as described in the Explanatory Notes as Annexure 8A.

."—""__"----- — .."".-"~.......

b) Section 8.1.4: If the applicant completed Questions 8.1.4 with reference to the payrolls of the applicant and of any other entities as per the Explanatory Notes, the applicant must complete the table in Question 8.1.4 for both the applicant and the other entities separately, and submit these as Annexure 8B.

Schedule 9 - Investment

- a) Section 9.1 and 9.1.2: Provide breakdowns as described in the Explanatory Notes as Annexure 9A
- b) Section 9.1.5: Provide a detailed explanation of all investments in marketing activities, including investments in distribution channels, product research and market research as Annexure 9B.
- c) Section **9.1.6**: Provide a detailed explanation of all investments in processing activities as Annexure **9C**.

Schedule 10 - Local Economic Development

a) Section 10.3: If "Yes," provide a detailed explanation of all investments made in order to make landing catches at identified harbours possible as Annexure 10A.

Schedule 11 - Value Add & Enterprise Development

- a) Section 11.1: If "Yes," provide a detailed explanation of how the applicant is involved in value adding processes, and what the processes are, as Annexure 11A.
- b) Section 11.2: If "Yes," provide a detailed explanation of how the applicant is responsible for enterprise development as Annexure 11B.

Schedule 12 - Performance (Financial)

- a) Section 12.1: Provide a copy of the applicant's 2004 audited financial statements as Annexure 12A. The applicant's auditors will be required to confirm its responses to all questions in Section 12.
- b) Section 12.2: If the applicant completed the table in Section 12.2 with reference to the turnover of both the applicant and of any other entity(ies) as per the Explanatory Notes, the applicant must complete the table in Section 12.2 for both the applicant and the other entity(ies) separately and submit these as Annexure 12B

Schedule 13 - Fishing Plan

a) Section 13: If "Yes," provide a synopsis of the applicant's fishing plan as Annxure 13A, paying particular attention to:

a) days to be spent fishing per vessel nominated;

- b) areas to be targeted;
- c) species to be targeted and mix of species;
- d) expected size of species to be targeted;
- e) detailed information regarding:
 - Age
 - Size
 - Gear
 - Fishingcapacity and
 - Processing capacity of each nominated vessel (where appropriate).

The fishing plan must clearly demonstrate the applicant's ability to perform effectively in the fishery.

Schedule 14 - By-Catch and Environmentally Sustainable Practices

- a) Section 14.1: Provide a detailed explanation of how the applicant manages bycatch, as Annexure 14A.
- b) Section 14.2: Provide a detailed explanation of measures put in place to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14B.
- c) Section 14.3: Provide a detailed explanation of intended measures to reduce energy and fuel consumption on vessels and in processing facilities, as Annexure 14C.
- d) Section 14.4: Provide a detailed explanation of any research undertaken or sponsored by the applicant in relation to environmentally sustainable practices, as Annexure 14D.

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