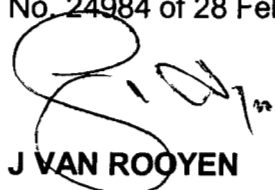


**NOTICE 1874 OF 2004
FINANCIAL SERVICES BOARD**

**COLLECTIVE INVESTMENT SCHEMES CONTROL ACT, 2002
GENERAL NOTICE**

Under section 114(3) of the Collective Investment Schemes Control Act, 2002 (Act No. 45 of 2002), I, Jeffrey van Rooyen, Registrar of Collective Investment Schemes, hereby amend Notice 569 of 2003, published in *Government Gazette* No. 24984 of 28 February 2003, as set out in the Schedule.



J VAN ROOYEN

REGISTRAR OF COLLECTIVE INVESTMENT SCHEMES

SCHEDULE

1. Paragraph 3 of the Notice is hereby amended by the addition of subparagraph (3):

“(3) The list referred to in sub-condition (1) shall be kept available at the registered office of the manager and at the office of every authorized agent of the manager for inspection during ordinary office hours by any investor or other person interested in the purchase of participatory interests from the manager.”.
2. Paragraph 4 of the Notice is hereby amended by the addition of subparagraph (i):

“(i) A copy of the report by the trustee or custodian referred to in section 70(1)(f).”.

3. The following paragraph is hereby substituted for paragraph 6 of the Notice:

"6. The following fees and penalties, which include VAT, are payable from the date of publication of this Notice at the times and by the persons indicated hereunder in respect of the following matters:

- (a) On lodging of an application under section 22 of the Act for exemption from the provisions of the Act, payable by the applicant concerned, a fee of R15 000.
- (b) For a copy of the registrar's report to be prepared in terms of section 23 of the Act, payable by the applicant concerned, a fee of R100-00.
- (c) On lodging of an application under section 25 of the Act for the issue of a licence to an association, payable by the applicant concerned, a fee of R75 000.
- (d) On lodging of an application under section 26 of the Act for the renewal of a licence to an association, payable by the association concerned, a fee of R10 000.
- (e) On lodging of an application under section 42 of the Act for registration as a manager of a collective investment scheme in securities, including one portfolio, payable by the applicant concerned, a fee of R26 250.
- (f) On lodging of an application by a manager of a collective investment scheme in securities for approval of a supplemental deed constituting an additional portfolio, payable by the applicant concerned, a fee of R8 530.
- (g) On lodging of an application, in terms of section 43 of the Act -
 - (i) for approval of a change in the minority shareholding or directors or the use of another name or an abbreviation or derivative of its registered name,

payable by the manager concerned, a fee of R500;

and

- (ii) for approval of a change in the controlling shareholding, payable by the manager concerned, a fee of R5 000.
- (h) On lodging of an application under section 48 of the Act, read with section 42, for registration as a manager of a collective investment scheme in property, including one portfolio, payable by the applicant concerned, a fee of R26 250.
- (i) On lodging of an application by a manager of a collective investment scheme in property for approval of a supplemental deed constituting an additional portfolio, payable by the manager concerned, a fee of R8 530.
- (j) On lodging of an application under section 53 of the Act for the registration as a manager of a collective investment scheme in participation bonds, payable by the applicant concerned, a fee of R17 000.
- (k) On lodging of an application under section 64 of the Act, read with section 42, for the registration of a manager in respect of a declared collective investment scheme, including one portfolio, payable by the applicant concerned, a fee of R26 250.
- (l) On lodging of an application under section 65(1) of the Act for approval of a foreign collective investment scheme, including one portfolio, payable by the applicant concerned, a fee of R29 160.
- (m) On lodging of an application under conditions imposed by the registrar under paragraph (c) of section 65(1) of the Act by a foreign collective investment scheme in respect of any

additional fund or product in such scheme, payable by the applicant concerned, a fee of R8 350.

- (n) On lodging of an application under conditions imposed by the registrar under paragraph (c) of section 65(1) of the Act by a foreign collective investment scheme for an alteration, rescission of or addition to such scheme, other than an application for an additional fund or product, payable by the applicant concerned, a fee of R13 420.
- (o) On lodging of an application under conditions imposed by the registrar under paragraph (c) of section 65(1) of the Act by a foreign collective investment scheme for an alteration, rescission of or addition to a fund or product of such scheme, other than an application for an additional fund or product, payable by the applicant concerned, a fee of R5 200.
- (p) On lodging of an application by a foreign collective investment scheme for an amendment to a representative agreement entered into in terms of conditions imposed by the registrar under paragraph (c) of section 65(1) of the Act, payable by the applicant concerned, a fee of R3 450.
- (q) On lodging of an application under section 69(2) of the Act for registration as a trustee or custodian, payable by the applicant concerned, a fee of R15 000.
- (r) On lodging of an application under section 78 of the Act for a conversion of a collective investment scheme, payable by the applicant concerned, a fee of R15 000.
- (s) For the issue of a certificate of registration of conversion in terms of section 82 of the Act, payable by the applicant concerned, a fee of R500.

- (t) On lodging of an application, in terms of section 86 of the Act to conduct business other than administration, payable by the manager concerned, a fee of R5 000.
- (u) On lodging of an application for the approval of a ballot paper to be submitted to investors for their consent in terms of section 98(2) and section 99(1) of the Act, payable by the manager concerned in respect of each portfolio, a fee of R2 400.
- (v) On lodging of an application under section 98(2) of the Act by a manager for approval of an amendment of a deed or supplemental deed, other than an application for an additional portfolio, payable by the manager concerned, a fee of R5 340.
- (w) On lodging of an application for the winding-up of a portfolio under section 102 of the Act, payable by the manager concerned in respect of each portfolio, a fee of R14 300.
- (x) On lodging of an application for the approval of the sale of a collective investment scheme in property or the property shares or immovable property included in a portfolio of such a scheme, payable by the manager concerned, a fee of R14 300.
- (y) On lodging of an application for the approval of a conversion of a collective investment scheme in property to a loan stock company, payable by the manager concerned, a fee of R14 300.
- (z) On lodging of an application for the approval of the acquisition by a collective investment scheme in property of a loan stock company, payable by the manager concerned, a fee of R14 300.

- (aa) On lodging of an application for a copy of any document, per A4-sheet, or part thereof, payable by the applicant concerned, a fee of R3, 50."