

# REPUBLIC OF SOUTH AFRICA

## NATIONAL LIQUOR AUTHORITY

### INSTRUCTIONS

This form is prescribed for use in terms of Regulation 14 of the National Liquor Regulations, 2004.

In terms of section 12 (1)(a) of the Liquor Act, 2003, read with Regulation 14 (1) and (4) of the National Liquor Regulations, 2004, the failure to comply with this notice may result in the Minister refusing to register the applicant or cancelling the registration.

In terms of item 4(9)(b) of Schedule (1) of the Act, if this notice concerns the filing of Form NLA 25, the failure to comply with this notice within 6 months could result in the Minister cancelling the registration in accordance with Section 20 (4), (5) and (6) of the Act.

In terms of section 24 of the Liquor Act, 2003, this decision is subject to review or appeal to the extent provided for and in accordance with the Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000).

#### CONTACTING THE NATIONAL LIQUOR AUTHORITY

National Liquor Authority  
77 Meintjes St.  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27 012 394 0555  
email: [nationalliquorauthority@thedti.gov.za](mailto:nationalliquorauthority@thedti.gov.za)

## FORM NLA 4

### Demand for Corrected Information

DATE: \_\_\_\_\_ REFERENCE #: \_\_\_\_\_

TO: \_\_\_\_\_

The registrant, or applicant for registration, named above is hereby informed that the National Liquor Authority has reason to believe that the following documents provided in terms of this application or registration contain false or misleading information, as noted. The National Liquor Authority requires that the applicant or registrant submit corrected information.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**NATIONAL LIQUOR AUTHORITY**  
**FOR THE MINISTER OF TRADE AND INDUSTRY**

# REPUBLIC OF SOUTH AFRICA

## NATIONAL LIQUOR AUTHORITY

### INSTRUCTIONS

This form is prescribed for use in terms of section 12, and Item 4 of Schedule 1, of the Liquor Act, 2003, and Regulation 14(3) of the National Liquor Regulations, 2004.

In terms of section 24 of the Liquor Act, 2003, this decision is subject to review or appeal to the extent provided for and in accordance with the Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000).

#### CONTACTING THE NATIONAL LIQUOR AUTHORITY

National Liquor Authority  
77 Meintjes St.  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27 012 394 0555  
email: [nationalliquorauthority@thedti.gov.za](mailto:nationalliquorauthority@thedti.gov.za)

### FORM NLA 5

#### Notice of Non-jurisdiction or Refusal to Register

DATE: \_\_\_\_\_ REFERENCE #:

TO: \_\_\_\_\_

Please take notice that :

- The National Liquor Authority will not consider the above referenced application for registration, because it appears that the application concerns activity that is not required to be registered in terms of the Liquor Act, 2003, and therefore does not fall within the jurisdiction of the National Liquor Authority.
- The National Liquor Authority, on behalf of the Minister of Trade and Industry, hereby refuses the above referenced application for registration, on the grounds that the applicant:
  - is disqualified in terms of section 11(2) of the Act.
  - has failed to comply with a request for information, or payment issued in terms of the Act.
  - has failed to respond to a proposal for conditions of registration.
- Particulars are attached.

**NATIONAL LIQUOR AUTHORITY**  
**FOR THE MINISTER OF TRADE AND INDUSTRY**



REPUBLIC OF SOUTH AFRICA  
NATIONAL LIQUOR AUTHORITY

**INSTRUCTIONS**

This form is prescribed for use in terms of Section 13 and Item 4 of Schedule 1 of the Liquor Act, 2003, and Regulation 15 of the National Liquor Regulations, 2004.

There is no fee for filing this form.

The applicant or registrant must sign this form in the space provided.

**CONTACTING THE NATIONAL LIQUOR AUTHORITY**

National Liquor Authority  
77 Meinjes St  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27 012 394 0555  
email: nationalliquorauthority@  
thedti.gov.za

**FORM NLA 7**

Consent to, or Refusal of, Proposed Conditions of Registration

DATE: \_\_\_\_\_ REFERENCE #: \_\_\_\_\_

TO: THE MINISTER OF TRADE AND INDUSTRY

Please take notice that the applicant or registrant under the above referenced file number

\_\_\_\_\_ consents to each and every proposed condition of registration set out in Form NLA 6, dated \_\_\_\_\_.

\_\_\_\_\_ objects to the following conditions, as enumerated in Form NLA 6, dated \_\_\_\_\_, for the reasons set out in the attached covering letter.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**APPLICANT'S OR REGISTRANT'S SIGNATURE, NAME AND ADDRESS:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**REPUBLIC OF SOUTH AFRICA  
NATIONAL LIQUOR AUTHORITY**

**INSTRUCTIONS**

This form is prescribed for use in terms of Section 14 of the Liquor Act, 2003.

In terms of Section 14 of the Act -

(a) registration takes effect on the date this certificate was issued, and continues until the registration is cancelled;

(b) the registrant must reflect its registered status and the registration number shown on this certificate, on all trading documents;

(c) the registrant must comply with the Act and any conditions of registration shown on Form NLA 8, if applicable;

(d) the registrant must keep any prescribed records;

(e) at least 30 days before the anniversary of the date on which this certificate was issued, the registrant must -

1. file an annual information return in Form NLA 28, and
2. pay the annual registration fee, calculated in accordance with Regulation 11 of the National Liquor Regulations, 2004.

**CONTACTING THE NATIONAL LIQUOR AUTHORITY**

National Liquor Authority  
77 Meintjes St.  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27-012 394 0555  
email: [nationalliquorauthority@thedti.gov.za](mailto:nationalliquorauthority@thedti.gov.za)

**FORM NLA 9  
Registration Certificate**

**DATE:** \_\_\_\_\_ **REFERENCE #:** \_\_\_\_\_

**To:** \_\_\_\_\_

The registrant named below is hereby registered under the Liquor Act, 2003 as -

\_\_\_ a manufacturer

\_\_\_ a distributor

\_\_\_ both a manufacturer and a distributor.

This registration is -

\_\_\_ unconditional

\_\_\_ subject to the conditions set out in Form NLA 8, attached.

**REGISTRANT'S NAME, AND ADDRESS OF PREMISES AT OR FROM WHICH THE REGISTERED ACTIVITIES MAY BE CONDUCTED:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**NATIONAL LIQUOR AUTHORITY  
FOR THE MINISTER OF TRADE AND INDUSTRY**

# REPUBLIC OF SOUTH AFRICA

## NATIONAL LIQUOR AUTHORITY

### INSTRUCTIONS

This form is prescribed for use in terms of section 15 of the Liquor Act, 2003.

In terms of section 15(1) of the Act, either the registrant or the proposed transferee may submit this form at any time.

The proposed transferee and the registrant must sign below the statement of certification.

The Proposed Transferee must sign this form below the transferee's certification, and the applicant must sign below the applicant's certification.

In addition to filing this Form, the applicant must -

1. Complete and file of Form NLA 1/1; and
2. Pay the required filing fee set out in Regulation 11 of the National Liquor Regulations, 2004.

#### CONTACTING THE NATIONAL LIQUOR AUTHORITY

National Liquor Authority  
77 Meintjes St.  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27 012 394 0555  
email: [nationalliquorauthority@thedti.gov.za](mailto:nationalliquorauthority@thedti.gov.za)

### FORM NLA 10

## Application to Transfer Registration

DATE: \_\_\_\_\_ REFERENCE #: \_\_\_\_\_

**TO: THE MINISTER OF TRADE AND INDUSTRY**

Please take notice that the registrant under the above referenced registration, or the proposed transferee of that registration, requests the Minister of Trade and Industry to transfer that registration to the person named below, with effect from \_\_\_\_\_.

The proposed transferee -

1. is not disqualified in terms of section 11(2) of the Act;
2. \_\_\_ is / \_\_\_ is not a registrant under the Act.

**REGISTRANT'S NAME AND ADDRESS:**

\_\_\_\_\_  
\_\_\_\_\_

**NAME, ADDRESS AND CONSENT OF PROPOSED TRANSFEEE:**

\_\_\_\_\_  
\_\_\_\_\_

I consent to this request for transfer of registration, and to having the registration transferred as proposed in terms of section 15 of the Liquor Act, 2003. If the transfer is approved, I will administer the registered activities in compliance with the Act and any conditions of that registration.

**NAME, ADDRESS AND CERTIFICATION OF APPLICANT:**

\_\_\_\_\_  
\_\_\_\_\_

I certify that, to the best of my knowledge and belief, the proposed transferee is not disqualified in terms of section 11(2) of the Liquor Act, 2003, and that the information set out above and in the attached Form NLA 1/1 is true.

\_\_\_\_\_

REPUBLIC OF SOUTH AFRICA  
NATIONAL LIQUOR AUTHORITY

**INSTRUCTIONS**

This form is prescribed for use in terms of section 15 of the Liquor Act, 2003.

The Acquiring registrant must sign this form in the space provided.

**FORM NLA 11**

**Notice of Acquisition of Control**

DATE: \_\_\_\_\_ REFERENCE #: \_\_\_\_\_

**TO: THE MINISTER OF TRADE AND INDUSTRY**

Please take notice that -

1. Control of the registrant under the above referenced registration has been acquired by another person, with effect from \_\_\_\_\_.

2. The person who has acquired control of the registrant is a registrant holding a different category of registration, under Registration Certificate # \_\_\_\_\_.

**REGISTRANT'S NAME AND ADDRESS:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SIGNATURE, NAME AND ADDRESS OF ACQUIRING REGISTRANT:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**CONTACTING THE NATIONAL LIQUOR AUTHORITY**

National Liquor Authority  
77 Meintjes St.  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27 012 394 0555  
email: nationalliquorauthority@  
thedti.gov.za

# REPUBLIC OF SOUTH AFRICA

## NATIONAL LIQUOR AUTHORITY

### INSTRUCTIONS

This form is prescribed for use in terms of section 16 of the Liquor Act, 2003.

In addition to filing this Form, the applicant must pay the required filing fee set out in Regulation 11 of the National Liquor Regulations, 2004.

The Applicant must sign this form in the space provided.

### FORM NLA 12

#### Application for Review of Conditions of Registration

DATE: \_\_\_\_\_ REFERENCE #: \_\_\_\_\_

**TO: THE MINISTER OF TRADE AND INDUSTRY**

Please take notice that the registrant under the above referenced registration wishes the Minister to commence a review of the conditions of that registration.

#### CONTACTING THE NATIONAL LIQUOR AUTHORITY

National Liquor Authority  
77 Meintjies St.  
Private Bag X 84  
Pretoria 0001  
Republic of South Africa  
tel: 0861 843 384  
fax: 27 012 394 0555  
email: [nationalliquorauthority@thedti.gov.za](mailto:nationalliquorauthority@thedti.gov.za)

**REGISTRANT'S SIGNATURE, NAME AND ADDRESS:**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_