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AIDS HELPLINE: 0800-0123-22 Prevention is the cure

BOARD NOTICE

BOARD NOTICE 9 OF 2004

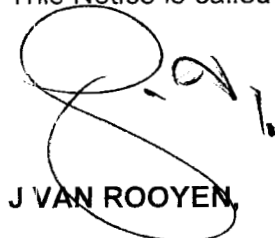
FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

FORM OF LICENCE

I, Jeffrey van Rooyen, Registrar of Financial Services Providers, hereby determine under section 8(5)(a)(i) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that a licence authorising an applicant to act as a financial services provider must be in the form set out in the Schedule.

This Notice is called the Notice on the Form of Licences, 2004.



J VAN ROOYEN,

Registrar of Financial Services Providers

SCHEDULE



FINANCIAL SERVICES BOARD

LICENCE No.

FINANCIAL SERVICES PROVIDER

Financial Advisory and Intermediary Services Act, 2002

IT IS HEREBY CERTIFIED THAT WITH EFFECT FROM

.....

**IS LICENSED AS A FINANCIAL SERVICES PROVIDER IN TERMS OF SECTION 8 OF THE
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT No. 37 OF 2002)
subject to the conditions and restrictions set out in the Annexure**

.....
REGISTRAR OF FINANCIAL SERVICES PROVIDERS

date and
stamp

This document to be displayed in terms of section 8(8)(a) of the Act. The Annexure to be available for the information of clients.

ANNEXURE

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

CONDITIONS AND RESTRICTIONS

LICENCE NO.ISSUED TO.....

1. The licence authorises the licensee to carry on business in respect of financial advisory and/or intermediary services as ordinary financial services provider/discretionary FSP/ administrative FSP in respect of the following financial product/products:

2. Further conditions/ restrictions:

3. Applicable exemptions (if any):

